

Anomalous dimensions without Feynman diagrams from Conformal Symmetry of WF fixed points

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- ✳ Numerical conformal bootstrap methods based solely on Conformal Invariance and crossing symmetry provide accurate estimates of the low-lying spectrum of local operators of non-trivial quantum field theories describing critical systems like 3d Ising, $O(N)$ -sigma models, Yang-Lee edge singularity, surface transitions and so on
- ✳ These results were traditionally obtained using Renormalization Group approach with diagrammatic expansions like ϵ expansion or Monte Carlo simulations
- ✳ How to explain the numerical agreement analytically?
- ✳ Try with weakly coupled conformal invariant systems.
- ✳ Is it possible to define Wilson-Fisher fixed points and the associated ϵ expansion using only CFT notions?

A first attempt (Rychkov & Tan, 2015)

Consider the massless ϕ^4 theory in $d = 4 - \epsilon$ dimensions described by the action

$$S = \int d^d x \left[\frac{1}{2} (\partial\phi)^2 + \frac{1}{4!} g\phi^4 \right]$$

The lowest non-trivial order results for the anomalous dimensions of ϕ^4 theory in $d = 4 - \epsilon$ dimensions are reproduced assuming the following three Axioms

- 1 The WF fixed point is conformally invariant
- 2 Every local operator \mathcal{O} of the WF fixed point reduces to a corresponding free field operator in the $\epsilon \rightarrow 0$ limit
- 3 ϕ^3 is a descendant of ϕ in the WF fixed point as a consequence of the e.o.m.

$$\partial^2 \phi = \frac{1}{3!} g\phi^3$$

- * The Axiom 3 seems too strong as it assumes e.o.m. which a priori have nothing to do with Conformal Symmetry
- * In this talk I wish to show (according to FG, A.Guerrieri, A. Petkou and C.Wen, PRL 118(2017)061601 and arXiv:1702.03938) that the “Axiom 3” is actually a Theorem of CFT, namely

$$\textcircled{1} \ \& \ \textcircled{2} \Rightarrow \textcircled{3}$$

- ⇒ extension of the analysis to other WF fixed points (ϕ^3 in $d = 6$, ϕ^6 in $d = 3, \dots$) and to generalized free field theories
- ⇒ generalization of the notion of **upper critical dimension**, including rational values
- ⇒ exact computation at the first non-trivial order in ϵ of the anomalous dimensions of any scalar operator and OPE coefficients of $O(N)$ -invariant theories
- ⇒ Anomalous dimensions of spinning operators (FG, arXiv:1711.05530)

- * A CFT in d dimensions is defined by a set of **local operators** $\{\mathcal{O}_k(x)\}$ $x \in \mathcal{R}^d$ and their **correlation functions**

$$\langle \mathcal{O}_1(x_1) \dots \mathcal{O}_n(x_n) \rangle$$

- * Local operators can be multiplied. Operator Product Expansion:

$$\mathcal{O}_1(x)\mathcal{O}_2(0) \sim \sum_k c_{12k}(x)\mathcal{O}_k(0)$$

- * $\mathcal{O}_{\Delta,\ell,f}(x)$ are labelled by a scaling dimension Δ

$$\mathcal{O}_{\Delta,\ell,f}(\lambda x) = \lambda^{-\Delta} \mathcal{O}_{\Delta,\ell,f}(x)$$

an $SO(d)$ representation ℓ (spin), and possibly a flavor index f

- * among the local operators there are the identity and generally a (unique) energy -momentum tensor $T_{\mu\nu}(x) = \mathcal{O}_{d,2}(x)$

⇒ a CFT has no much to do with Lagrangians, coupling constants or equations of motion.

- ✳ Acting with the $SO(d+1, 1)$ Lie algebra $[J_{\mu,\nu}, P_\mu, K_\mu, D]$ on a state $|\Delta, \ell\rangle = \mathcal{O}_{\Delta, \ell}|0\rangle$ generates a whole representation of the conformal group. The local operator of minimal Δ (or $K_\nu|\Delta, \ell\rangle = 0$) is said a primary, the others are descendants
- ✳ Not all the primaries define irreducible representations:
- ✳ There are primaries admitting an invariant subspace: there is a descendant which is also primary. It corresponds to a **null state** i.e. a state of null norm
- ➡ Denoting with $[\Delta, \ell]$ a descendant primary and with $[\Delta', \ell']$ its parent primary, in view of the fact that they belong to the same representation, they must share the eigenvalues c_2, c_4, \dots of all the Casimir operators C_2, C_4, \dots

$$c_2(\Delta, \ell) = c_2(\Delta', \ell') ; c_4(\Delta, \ell) = c_4(\Delta', \ell') ; \dots$$
- ✳ since $[\Delta, \ell]$ and $[\Delta', \ell']$ belong to the same rep. $\Rightarrow \Delta = \Delta' + n$ and the first two eq.s fix uniquely the possible pairs

* Eigenvalues of the Casimir operators (for symmetric traceless tensors):

$$c_2(\Delta, \ell) = \frac{1}{2}\Delta(\Delta - d) + \ell(\ell + d - 2)$$

$$c_4(\Delta, \ell) = \Delta^2(\Delta - d)^2 + \frac{1}{2}d(d - 1)\Delta(\Delta - d) + \ell^2(\ell + d - 2)^2 + \frac{1}{2}(d - 1)(d - 4)\ell(\ell + d - 2)$$

⇒ There are three families of primary descendants:

Primary parent	Primary descendant		
Δ'_k	Δ_k	ℓ	
$1 - \ell' - k$	$1 - \ell + k$	$\ell' + k$	$k = 1, 2, \dots$
$\frac{d}{2} - k$	$\frac{d}{2} + k$	ℓ'	$k = 1, 2, \dots$
$d + \ell' - k - 1$	$d + \ell + k - 1$	$\ell' - k$	$k = 1, 2, \dots, \ell$

- ⇒ There is a parent primary \mathcal{O} with the same scaling dimension $\Delta_{\mathcal{O}} = \frac{d}{2} - 1$ of the canonical free scalar field ϕ_f
- ⇒ $P^2\mathcal{O}(x)|0\rangle \equiv -\partial^2\mathcal{O}(x)|0\rangle$ is a null state
- ⇒ If the theory is unitary $\Rightarrow \partial^2\mathcal{O}(x) = 0 \Rightarrow \mathcal{O}(x) \equiv \phi_f(x)$

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A CFT in $d - \epsilon$ dimensions is a *smooth deformation* of the free field theory in d dimensions if

- 1 $\exists \mathcal{O}_i \leftrightarrow \mathcal{O}_i^f : \Delta_{\mathcal{O}_i} \equiv \Delta_{\mathcal{O}_i^f} + \gamma_i = \Delta_{\mathcal{O}_i^f} + \gamma_i^{(1)}\epsilon + \gamma_i^{(2)}\epsilon^2 + \dots$
- 2 $\mathcal{O}_i^f \times \mathcal{O}_j^f = \sum_k c_{ijk}^f \mathcal{O}_k^f, \quad \mathcal{O}_i \times \mathcal{O}_j = \sum_k (c_{ijk}^f + \mathcal{O}(\epsilon)) \mathcal{O}_k$

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For generic d this deformation does not exist, since $\partial^2\phi$ with $\Delta_{\phi} = \Delta_{\phi_f} + \gamma_{\phi}^{(1)}\epsilon + \dots$ does not have a counterpart in the free theory *unless* there is a scalar ϕ_f^m with the same scaling dimensions of $\partial^2\phi_f$, i.e. $m(\frac{d}{2} - 1) = \frac{d}{2} + 1 \Rightarrow d = 3 \ m = 6, d = 4 \ m = 4, d = 6 \ m = 3$

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$$m\left(\frac{d}{2} - 1\right) = \frac{d}{2} + 1 \Rightarrow d_m = 3, m = 6; d_m = 4, m = 4; d_m = 6, m = 3$$

These are the *Wilson-Fisher fixed points* in the CFT approach.

Note that ϕ_f^m is a *primary* of the free theory, ϕ^m is a *descendant* of the deformed one.

How to extract the anomalous dimensions γ_i in a WF fixed point?

- 1 Look for primaries \mathcal{O}_f and \mathcal{O}'_f such that in $d = d_m$ (*upper critical dimension*)
 $[\mathcal{O}_f] \times [\mathcal{O}'_f] = c_1[\phi_f] + c_m[\phi_f^m] + \dots$ (possible only if m is odd)
- 2 in $d = d_m - \epsilon$ the smoothly deformed CFT (=the interacting theory) $[\phi^m]$ is absorbed by $[\phi]$
 $[\mathcal{O}] \times [\mathcal{O}'] = (c_1 + \mathcal{O}(\epsilon))[\phi] + \dots$
- 3 The matching conditions of these two fusion rules in the $\epsilon \rightarrow 0$ limit gives $\gamma_{\mathcal{O}}$ and $\gamma_{\mathcal{O}'}$ at the first non vanishing order in ϵ
* In particular we take $\mathcal{O}_f = \phi_f^p$ and $\mathcal{O}'_f = \mathcal{O}_{p,\ell}^f$ a spin ℓ primary made with $p+1$ factors of ϕ_f and ℓ derivatives
 $\Rightarrow \Delta_{\mathcal{O}_{p,\ell}^f} = (p+1)\left(\frac{d}{2} - 1\right) + \ell \quad (2p+1 \geq m)$

Null states and poles

- * Factorizing the 4-pt function in the [12]-channel in $d = d_m$

$$\begin{aligned} \langle \phi_f^p \mathcal{O}_{p,\ell}^f \mathcal{O}_{p,\ell}^f \phi_f^p \rangle &= \sum_{\mathcal{O}} c_{\mathcal{O}}^2 \sum_{\alpha \in H_{\mathcal{O}}} \frac{\langle \phi_f^p \mathcal{O}_{p,\ell}^f | \alpha \rangle \langle \alpha | \mathcal{O}_{p,\ell}^f \phi_f^p \rangle}{\langle \alpha | \alpha \rangle} = \\ &= c_1^2 \sum_{\alpha \in H_{\phi_f}} \frac{\langle \phi_f^p \mathcal{O}_{p,\ell}^f | \alpha \rangle \langle \alpha | \mathcal{O}_{p,\ell}^f \phi_f^p \rangle}{\langle \alpha | \alpha \rangle} + c_m^2 \sum_{\alpha \in H_{\phi_f^m}} \frac{\langle \phi_f^p \mathcal{O}_{p,\ell}^f | \alpha \rangle \langle \alpha | \mathcal{O}_{p,\ell}^f \phi_f^p \rangle}{\langle \alpha | \alpha \rangle} + \dots \end{aligned}$$

with $\langle \phi_f | \phi_f \rangle = \langle \phi_f^m | \phi_f^m \rangle = 1$

- * At the WF fixed point in $d = d_m - \epsilon$, ϕ^m and its descendants are absorbed by ϕ as a sub-representation H_{χ} with $\chi = \partial^2 \phi$:

$$\begin{aligned} \langle \phi^p \mathcal{O}_{p,\ell} \mathcal{O}_{p,\ell} \phi^p \rangle &= \\ c_1^2 \left(\sum_{\alpha \in H_{\phi_f}} \frac{\langle \phi_f^p \mathcal{O}_{p,\ell}^f | \alpha \rangle \langle \alpha | \mathcal{O}_{p,\ell}^f \phi_f^p \rangle}{\langle \alpha | \alpha \rangle} + \sum_{\beta \in H_{\chi}} \frac{\langle \phi^p \mathcal{O}_{p,\ell} | \beta \rangle \langle \beta | \mathcal{O}_{p,\ell} \phi^p \rangle}{\langle \beta | \beta \rangle} \right) + \dots \end{aligned}$$

⇒ Matching condition:

$$c_m^2 \rightarrow c_1^2 \frac{\langle \phi^p \mathcal{O}_{p,\ell} | \chi \rangle \langle \chi | \mathcal{O}_{p,\ell} \phi^p \rangle}{\langle \chi | \chi \rangle}$$

$$\langle \chi | \chi \rangle = 8d\Delta_{\phi}(\Delta_{\phi} - \Delta_{\phi_f})$$

Computing $\langle \phi^p | \mathcal{O}_{p,\ell} | \chi \rangle$

* $\mathcal{O}_{p,\ell}$ is a symmetric traceless tensor with ℓ indices that can be represented as $\mathcal{O}_{p,\ell}(x, z) = \mathcal{O}_{\mu_1, \dots, \mu_\ell} z^{\mu_1} \dots z^{\mu_\ell}$ ($z^\mu \in \mathbb{C}^\ell$, $z \cdot z = 0$)

* At $d = d_m - \epsilon$ we have the OPE

$$\phi(x) \phi^p(0) = (c_1 + \mathcal{O}(\epsilon)) \frac{(x \cdot z)^\ell}{(x^2)^{\frac{\Delta_\phi + \Delta_{\phi^p} - \Delta_{p,\ell} + \ell}{2}}} [\mathcal{O}_{p,\ell}(0, z) + \text{descendants}]$$

* Applying ∂^2 to both sides ($\chi(x) = -\partial^2 \phi(x)$)

$$\chi(x) \phi^p(0) = (c_1 + \mathcal{O}(\epsilon)) \frac{M_{p,\ell} (x \cdot z)^\ell}{(x^2)^{\frac{\Delta_\chi + \Delta_{\phi^p} - \Delta_{p,\ell} + \ell}{2}}} [\mathcal{O}_{p,\ell}(0, z) + \text{descendants}]$$

$$M_{p,\ell} = (\Delta_\phi + \Delta_{\phi^p} - \Delta_{p,\ell} + \ell) (\Delta_{p,\ell} - \Delta_\phi - \Delta_{\phi^p} - 2 + d + \ell)$$

$$= (\gamma_\phi + \gamma_{\phi^p} - \gamma_{p,\ell}) (d - 2 + 2\ell) + \mathcal{O}(\epsilon^2)$$

$$\Rightarrow \langle \phi^p | \mathcal{O}_{p,\ell} | \chi \rangle = c_1 M_{p,\ell}$$

⇒ The matching conditions can be written more precisely in the form

$$\lim_{\epsilon \rightarrow 0} \frac{M_{p,\ell}^2}{\langle \chi | \chi \rangle} = \lim_{\epsilon \rightarrow 0} \frac{M_{p,\ell}^2}{4d(d-2)\gamma_\phi} = \left(\frac{c_m^2}{c_1^2} \right) \equiv \left(\frac{\langle \phi_f^m \phi_f^p \mathcal{O}_{p,\ell}^f \rangle}{\langle \phi_f \phi_f^p \mathcal{O}_{p,\ell}^f \rangle} \right)^2$$

$$\gamma_{\phi^p} = \gamma_{\phi^p}^{(1)} \epsilon + \gamma_{\phi^p}^{(2)} \epsilon^2 + \dots$$

$$M_{p,\ell}^2 = \mathcal{O}(\epsilon^2) \Rightarrow \gamma_{\phi^p}^{(1)} = 0$$

* If $\ell = 0 \Rightarrow \mathcal{O}_{p,0} = \phi^{p+1} \Rightarrow$

$$\frac{\langle \phi_f^{m=2q+1} \phi_f^p \phi_f^{p+1} \rangle}{\langle \phi_f \phi_f^p \phi_f^{p+1} \rangle} = \binom{p}{q} \frac{\sqrt{(2q+1)!}}{(q+1)!}$$

Examples

In $d = 4$ and $m = 3$ (i.e. with a perturbing ϕ^4 potential) we get the recursion relation

$$\frac{\left(\gamma_{\phi^{p+1}}^{(1)} - \gamma_{\phi^p}^{(1)}\right)^2}{\gamma_{\phi}^{(2)}} = 12p^2$$

$$\Rightarrow \gamma_{\phi^p}^{(1)} = \frac{\kappa_4}{2} p(p-1), \quad \kappa_4 = \pm \sqrt{12\gamma_{\phi}^{(2)}}$$

There is another way to calculate $\gamma_{\phi^3}^{(1)} = 3\kappa_4$: ϕ^3 is a primary descendant of ϕ_f of dimension $\Delta_{\phi_f} + 2$, then

$$\Delta_{\phi^3} = 3\Delta_{\phi_f} + \gamma_{\phi^3}^{(1)}\epsilon = \Delta_{\phi_f} + 2, \Rightarrow \gamma_{\phi^3}^{(1)} = 1, \text{ then } \kappa_4 = \frac{1}{3}, \quad \gamma_{\phi}^{(2)} = \frac{1}{108}$$

Similarly in $d = 3$ and $m = 5$ (multicritical Ising with a ϕ^6 potential)

$$\gamma_{\phi^p}^{(1)} \equiv \gamma_p^{(1)} = \frac{\kappa_3}{3} p(p-1)(p-2), \quad \kappa_3 = \pm \sqrt{10\gamma_\phi^{(2)}}$$

$\Rightarrow \gamma_{\phi^5}^{(1)} = 20\kappa_3$, matching with the primary descendant of ϕ yields $\gamma_{\phi^5}^{(1)} = 2$, thus

$$\kappa_3 = \frac{1}{10}, \quad \gamma_\phi^{(2)} = \frac{1}{1000}$$

✱ All these results in $d = 3$ and $d = 4$ coincide with those obtained with Feynman diagrams in quantum field theory

OPE coefficients in $d = 4$

Other results can be obtained by considering deformations of OPE free theories in which a ϕ_f^3 contribution on the RHS appears

$$[\phi_f^2] \times [\phi_f^5] = \sqrt{10}[\phi_f^3] + 5\sqrt{2}[\phi_f^5] + \sqrt{21}[\phi_f^7] + \text{spinning op.}$$

or

$$[\phi_f] \times [\phi_f^4] = 2[\phi_f^3] + \sqrt{5}[\phi_f^5] + \text{spinning op.}$$

the ϕ_f^3 contribution should be replaced by the conformal block of ϕ in the deformed theory.

$$c_{\phi^2\phi^5\phi}^2 = 5\gamma_{\phi}^{(2)}\epsilon^2 + O(\epsilon^3) = \frac{5}{108}\epsilon^2 + O(\epsilon^3);$$

$$c_{\phi\phi^4\phi}^2 = 2\gamma_{\phi}^{(2)}\epsilon^2 + O(\epsilon^3) = \frac{1}{54}\epsilon^2 + O(\epsilon^3)$$

Spinning operators

$$\gamma_{p,\ell}^{(1)} = \gamma_{\phi^p}^{(1)} + 4\gamma_{\phi}^{(2)} \frac{\langle \phi_f^{2q+1} \phi_f^p \mathcal{O}_{p,\ell}^f \rangle}{\langle \phi_f \phi_f^p \mathcal{O}_{p,\ell}^f \rangle} \frac{1+q}{q(1+q\ell)} \frac{(\sqrt{(2q+1)!})^3}{((q+1)!)^2}$$

- * Difficult to apply when $\mathcal{O}_{p,\ell}^f$ is degenerate (then both p and ℓ large)
- * $p = 1$ corresponds to higher-spin conserved currents $\mathcal{O}_{1,\ell} \equiv \mathcal{J}_\ell$.
- * $\langle \phi_f^{2q+1} \phi_f^p \mathcal{J}_\ell^f \rangle = 0 \Rightarrow \gamma_{1,\ell}^{(1)} \equiv \gamma_\ell^{(1)} = 0$

d	$\gamma_{p,2}^{(1)}$	$\gamma_{p,3}^{(1)}$	$\gamma_{2,\ell}^{(1)}$
4	$\frac{(p-1)(4+3p)}{18}$	$\frac{p^2-3}{6}$	$\frac{1}{3} + \frac{2(-1)^\ell}{3(\ell+1)}$
3	$\frac{(p-1)(p-2)(5p+18)}{150}$	$\frac{(p-2)(7p^2+11p-90)}{210}$	0

Weakly broken higher-spin currents \mathcal{J}_ℓ

- * useful tool: the five-point function

$$\langle \phi^q \phi^{q+1} \mathcal{J}_\ell \phi^q \phi^{q+1} \rangle =$$

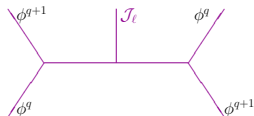
$$\sum_{\mathcal{O}} \sum_{\mathcal{O}'} c_{\mathcal{O}} c_{\mathcal{O}'} c_{\mathcal{J}_\ell} \sum_{\alpha \in H_{\mathcal{O}}} \sum_{\beta \in H_{\mathcal{O}'}} \frac{\langle \phi^q \phi^{q+1} | \alpha \rangle \langle \alpha | \mathcal{J}_\ell | \beta \rangle \langle \beta | \phi^q \phi^{q+1} \rangle}{\langle \alpha | \alpha \rangle \langle \beta | \beta \rangle}$$

- * Matching conditions:

$$\gamma_\ell^{(2)} = 2\gamma_\phi^{(2)} \left(1 - \frac{(\nu+1)(\nu+2)}{(\ell+\nu-1)(\ell+\nu)} \right)$$

$$\nu = \frac{d}{2} - 1$$

- * $\gamma_2^{(2)} = 0$ in accordance with the conservation of the stress tensor



Further generalizations

- * For any generalized free field of dimension $\Delta_\phi = \frac{d}{2} - k$ and any integer m one can define an **upper critical dimension** $d_U = 2k m / (m - 1)$ (in general a fractional number) in which
 - $\Rightarrow \phi^{2m}$ is a marginal perturbation
 - \Rightarrow in $d_U - \epsilon$ there is a (generalized) WF critical point characterized by the following spectrum of anomalous dimensions

$$\gamma_{\phi^p}^{(1)} = \frac{m-1}{(m)_m} (p - m + 1)_m, \quad (p > 1)$$

$$\gamma_{\phi}^{(2)} = (-1)^{k+1} 2 \frac{m \left(\frac{k}{m-1}\right)_k}{k \left(\frac{mk}{m-1}\right)_k} (m-1)^2 \left[\frac{(m!)^2}{(2m)!} \right]^3$$

$O(N)$ -invariant models

* generalized free theories with scalar fields $\phi_i, i = 1, 2, \dots, N$ transforming as vectors under $O(N)$

* $\gamma_{p,s}^{(i)} \equiv$ anomalous dimensions of symmetric traceless rank- s tensors $\phi^{2p} \phi_{i_1} \phi_{i_2} \dots \phi_{i_s}$ – traces

$$\Rightarrow \text{for } d_U = 4k \quad \gamma_{p,s}^{(1)} = \frac{s(s-1) + p(N+6(p+s)-4)}{N+8}, \quad \gamma_{\phi}^{(2)} = \frac{(-1)^{k+1} (k)_k (N+2)}{2k(2k)_k (N+8)^2}$$

$$\Rightarrow \text{for } d_U = 3k$$

$$\gamma_{p,s}^{(1)} = \frac{(2p + s - 2)(s(s - 1) + p(3N + 10(p + s) - 8))}{3(3N + 22)}$$

$$\gamma_{\phi}^{(2)} = \frac{(-1)^{k+1} (k/2)_k (N + 2)(N + 4)}{8k(3k/2)_k (3N + 22)^2}$$

Conclusions

- 1 Wilson-Fisher fixed points in $d - \epsilon$ can be seen as smooth deformations of free-field theories only using CFT notions, with no reference to Lagrangians, coupling constants or equations of motion
- 2 The anomalous dimensions of scalar and spinning operators at the first non vanishing order are easily obtained
- 3 $O(N)$ symmetric models and generalized free fields allow to define a more general class of WF fixed points
- 4 Higher order calculations require more constraints from conformal bootstrap equations.