

Short-Baseline Neutrino Anomalies

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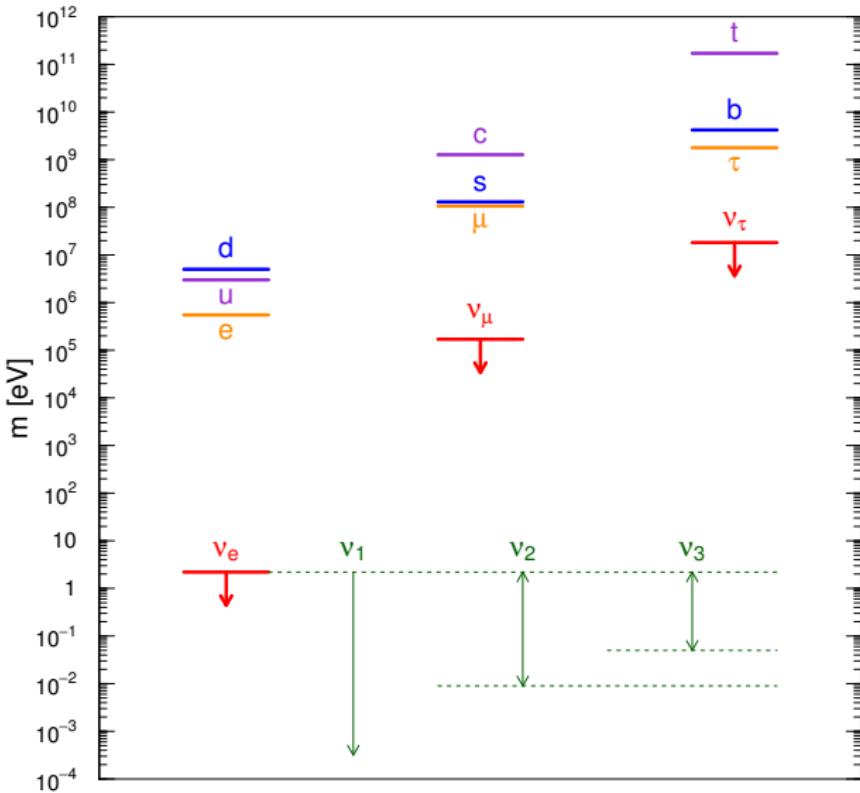
Neutrino Unbound: <http://www.nu.to.infn.it>

Selected Puzzles in Particle Physics

Laboratori Nazionali di Frascati

20-22 December 2016

Fermion Mass Spectrum



Neutrino Mixing

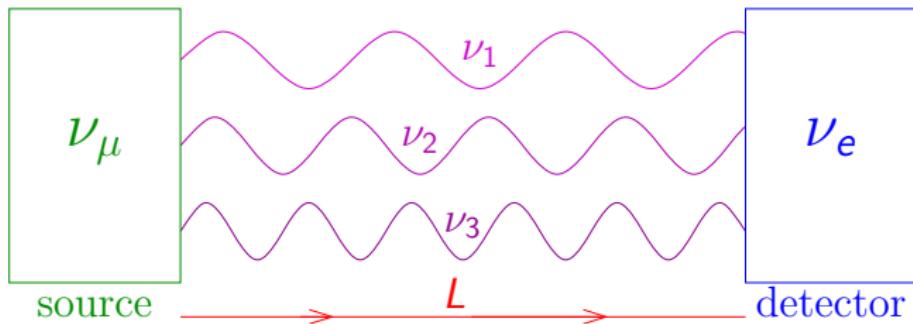
- ▶ Flavor Neutrinos: ν_e, ν_μ, ν_τ produced in Weak Interactions
- ▶ Massive Neutrinos: ν_1, ν_2, ν_3 propagate from Source to Detector
- ▶ Neutrino Mixing: a Flavor Neutrino is a superposition of Massive Neutrinos

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix}$$

- ▶ U is the 3×3 unitary Neutrino Mixing Matrix

Neutrino Oscillations

$$|\nu(t=0)\rangle = |\nu_\mu\rangle = U_{\mu 1} |\nu_1\rangle + U_{\mu 2} |\nu_2\rangle + U_{\mu 3} |\nu_3\rangle$$



$$|\nu(t > 0)\rangle = U_{\mu 1} e^{-iE_1 t} |\nu_1\rangle + U_{\mu 2} e^{-iE_2 t} |\nu_2\rangle + U_{\mu 3} e^{-iE_3 t} |\nu_3\rangle \neq |\nu_\mu\rangle$$

$$E_k^2 = p^2 + m_k^2$$

$$P_{\nu_\mu \rightarrow \nu_e}(t > 0) = |\langle \nu_e | \nu(t > 0) \rangle|^2 \sim \sum_{k>j} \text{Re} [U_{ek} U_{\mu k}^* U_{ej}^* U_{\mu j}] \sin^2 \left(\frac{\Delta m_{kj}^2 L}{4E} \right)$$

transition probabilities depend on U and $\Delta m_{kj}^2 \equiv m_k^2 - m_j^2$

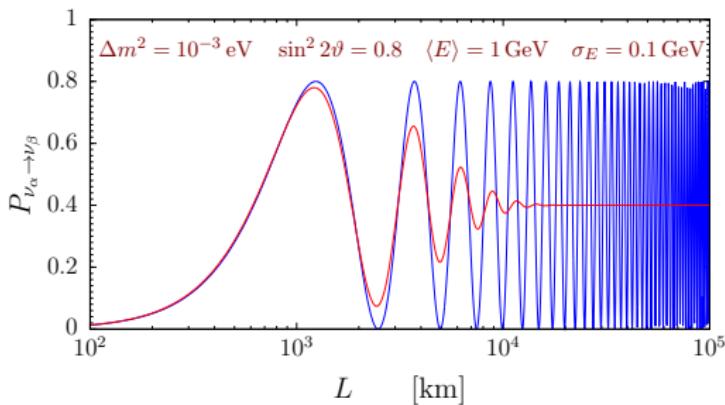
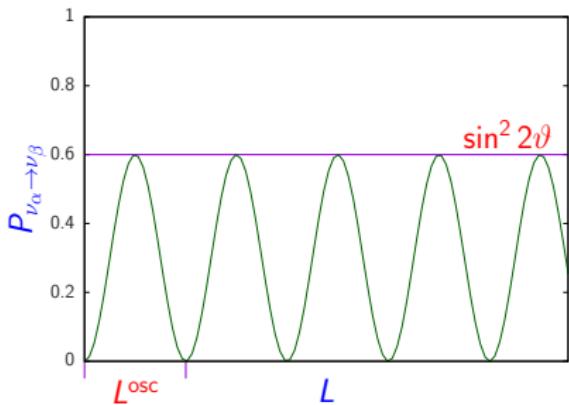
$$\begin{array}{l} \nu_e \rightarrow \nu_\mu \\ \bar{\nu}_e \rightarrow \bar{\nu}_\mu \end{array}$$

$$\begin{array}{l} \nu_e \rightarrow \nu_\tau \\ \bar{\nu}_e \rightarrow \bar{\nu}_\tau \end{array}$$

$$\begin{array}{l} \nu_\mu \rightarrow \nu_e \\ \bar{\nu}_\mu \rightarrow \bar{\nu}_e \end{array}$$

$$\begin{array}{l} \nu_\mu \rightarrow \nu_\tau \\ \bar{\nu}_\mu \rightarrow \bar{\nu}_\tau \end{array}$$

$$2\nu\text{-mixing: } P_{\nu_\alpha \rightarrow \nu_\beta} = \sin^2 2\vartheta \sin^2 \left(\frac{\Delta m^2 L}{4E} \right) \implies L^{\text{osc}} = \frac{4\pi E}{\Delta m^2}$$



Tiny neutrino masses lead to observable macroscopic oscillation distances!

$$L \gtrsim \begin{cases} 10 \frac{m}{MeV} \left(\frac{km}{GeV} \right) & \text{short-baseline experiments} & \Delta m^2 \gtrsim 10^{-1} eV^2 \\ 10^3 \frac{m}{MeV} \left(\frac{km}{GeV} \right) & \text{long-baseline experiments} & \Delta m^2 \gtrsim 10^{-3} eV^2 \\ 10^4 \frac{km}{GeV} & \text{atmospheric neutrino experiments} & \Delta m^2 \gtrsim 10^{-4} eV^2 \\ 10^{11} \frac{m}{MeV} & \text{solar neutrino experiments} & \Delta m^2 \gtrsim 10^{-11} eV^2 \end{cases}$$

Neutrino oscillations are the optimal tool to reveal tiny neutrino masses!

Three-Neutrino Mixing Paradigm

Standard Parameterization of Mixing Matrix

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$
$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{13}} \\ -s_{12}c_{23}-c_{12}s_{23}s_{13}e^{i\delta_{13}} & c_{12}c_{23}-s_{12}s_{23}s_{13}e^{i\delta_{13}} & s_{23}c_{13} \\ s_{12}s_{23}-c_{12}c_{23}s_{13}e^{i\delta_{13}} & -c_{12}s_{23}-s_{12}c_{23}s_{13}e^{i\delta_{13}} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$

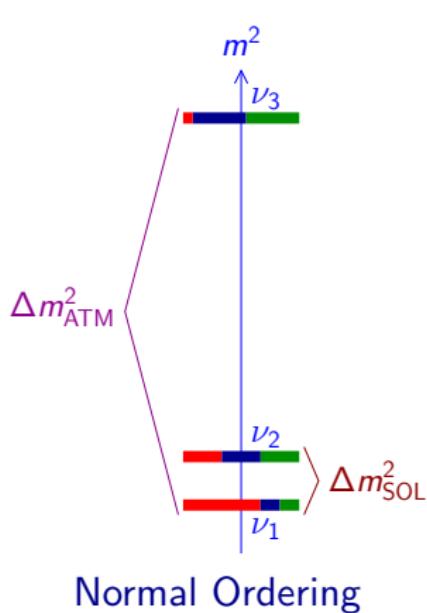
$$c_{ab} \equiv \cos \vartheta_{ab} \quad s_{ab} \equiv \sin \vartheta_{ab} \quad 0 \leq \vartheta_{ab} \leq \frac{\pi}{2} \quad 0 \leq \delta_{13}, \lambda_{21}, \lambda_{31} < 2\pi$$

OSCILLATION
PARAMETERS

{ 3 Mixing Angles: ϑ_{12} , ϑ_{23} , ϑ_{13}
1 CPV Dirac Phase: δ_{13}
2 independent $\Delta m_{kj}^2 \equiv m_k^2 - m_j^2$: Δm_{21}^2 , Δm_{31}^2

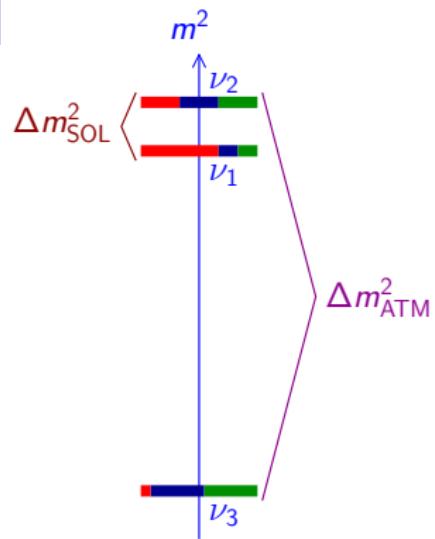
2 CPV Majorana Phases: λ_{21} , $\lambda_{31} \iff |\Delta L| = 2$ processes

Mass Ordering



Normal Ordering

$$\Delta m_{31}^2 > \Delta m_{32}^2 > 0$$



Inverted Ordering

$$\Delta m_{32}^2 < \Delta m_{31}^2 < 0$$

absolute scale is not determined by neutrino oscillation data

Experimental Evidences of Neutrino Oscillations

Solar
 $\nu_e \rightarrow \nu_\mu, \nu_\tau$

VLBL Reactor
 $\bar{\nu}_e$ disappearance

$\left(\begin{array}{l} \text{SNO, BOREXino} \\ \text{Super-Kamiokande} \\ \text{GALLEX/GNO, SAGE} \\ \text{Homestake, Kamiokande} \\ \text{(KamLAND)} \end{array} \right)$

$\left\{ \begin{array}{l} \Delta m_{\text{SOL}}^2 = \Delta m_{21}^2 \simeq 7.5 \times 10^{-5} \text{ eV}^2 \\ \sin^2 \vartheta_{\text{SOL}} = \sin^2 \vartheta_{12} \simeq 0.30 \end{array} \right.$

Atmospheric
 $\nu_\mu \rightarrow \nu_\tau$

LBL Accelerator
 ν_μ disappearance

LBL Accelerator
 $\nu_\mu \rightarrow \nu_\tau$

$\left(\begin{array}{l} \text{Super-Kamiokande} \\ \text{Kamiokande, IMB} \\ \text{MACRO, Soudan-2} \\ \left(\begin{array}{l} \text{K2K, MINOS} \\ \text{T2K, NO}\nu\text{A} \end{array} \right) \\ \text{(Opera)} \end{array} \right)$

$\left\{ \begin{array}{l} \Delta m_{\text{ATM}}^2 = |\Delta m_{31}^2| \simeq 2.5 \times 10^{-3} \text{ eV}^2 \\ \sin^2 \vartheta_{\text{ATM}} = \sin^2 \vartheta_{23} \simeq 0.50 \end{array} \right.$

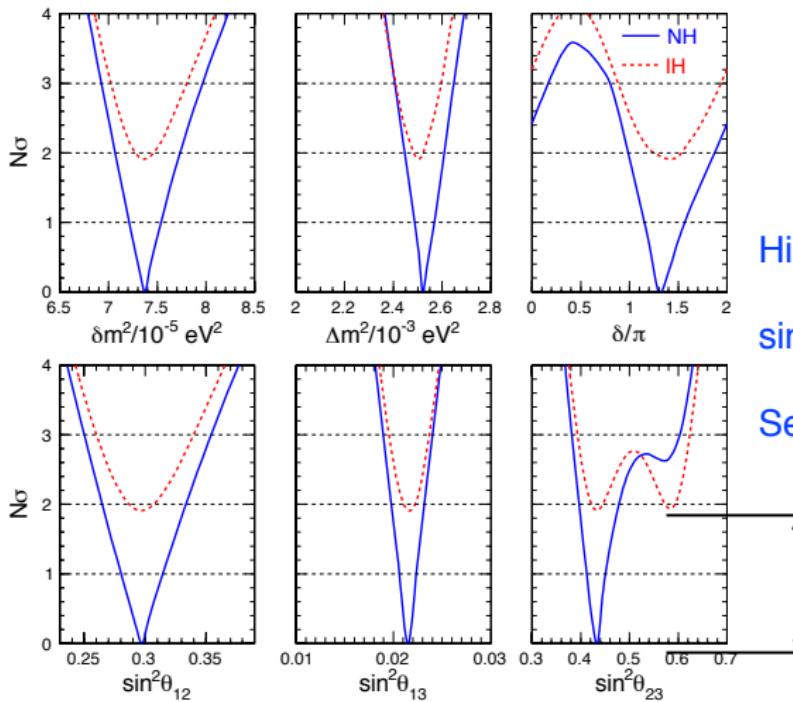
LBL Accelerator
 $\nu_\mu \rightarrow \nu_e$

LBL Reactor
 $\bar{\nu}_e$ disappearance

$\left(\begin{array}{l} \text{(T2K, MINOS, NO}\nu\text{A)} \\ \left(\begin{array}{l} \text{Daya Bay, RENO} \\ \text{Double Chooz} \end{array} \right) \end{array} \right)$

$\left\{ \begin{array}{l} \Delta m_{\text{ATM}}^2 = |\Delta m_{31}^2| \\ \sin^2 \vartheta_{13} \simeq 0.023 \end{array} \right.$

September 2016 Global Fit



COMMENTS

Hint for CP violation at $\sim 2\sigma$

$\sin^2 \theta_{23} = 0.5$ disfavoured at $\sim 2.8\sigma$

Second octant disfavoured at $\sim 2\sigma$

$$\Delta\chi^2 \sim 3.7$$

[Capozzi, Lisi, Marrone, Montanino, Palazzo @ NOW2016, September 2016]

[See also: Esteban, Gonzalez-Garcia, Maltoni, Martinez-Soler, Schwetz, arXiv:1611.01514]

Open Problems

- ▶ $\vartheta_{23} \stackrel{<}{\stackrel{>}{\sim}} 45^\circ$?
 - ▶ T2K (Japan), NO ν A (USA), ...
- ▶ CP violation ? $\delta_{13} \approx 3\pi/2$?
 - ▶ T2K (Japan), NO ν A (USA), DUNE (USA), HyperK (Japan), ...
- ▶ Mass Ordering ?
 - ▶ JUNO (China), RENO-50 (Korea), PINGU (Antarctica), ORCA (EU), INO (India), ...
- ▶ Absolute Mass Scale ?
 - ▶ β Decay, Neutrinoless Double- β Decay, Cosmology, ...
- ▶ Dirac or Majorana ?
 - ▶ Neutrinoless Double- β Decay, ...
- ▶ Beyond Three-Neutrino Mixing ? Sterile Neutrinos ?

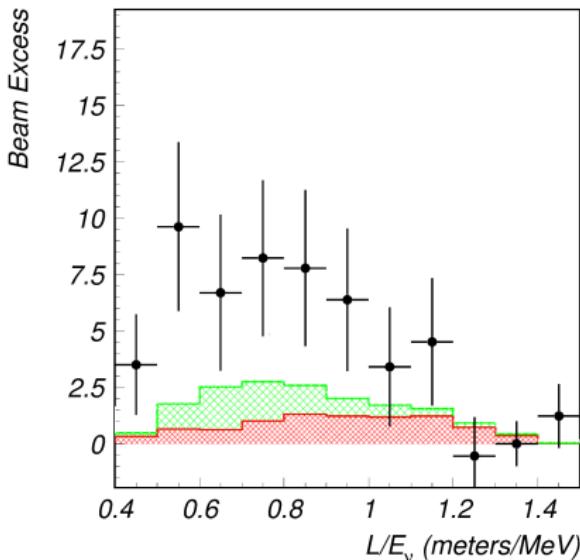
Indications of SBL Oscillations Beyond 3ν

LSND

[PRL 75 (1995) 2650; PRC 54 (1996) 2685; PRL 77 (1996) 3082; PRD 64 (2001) 112007]

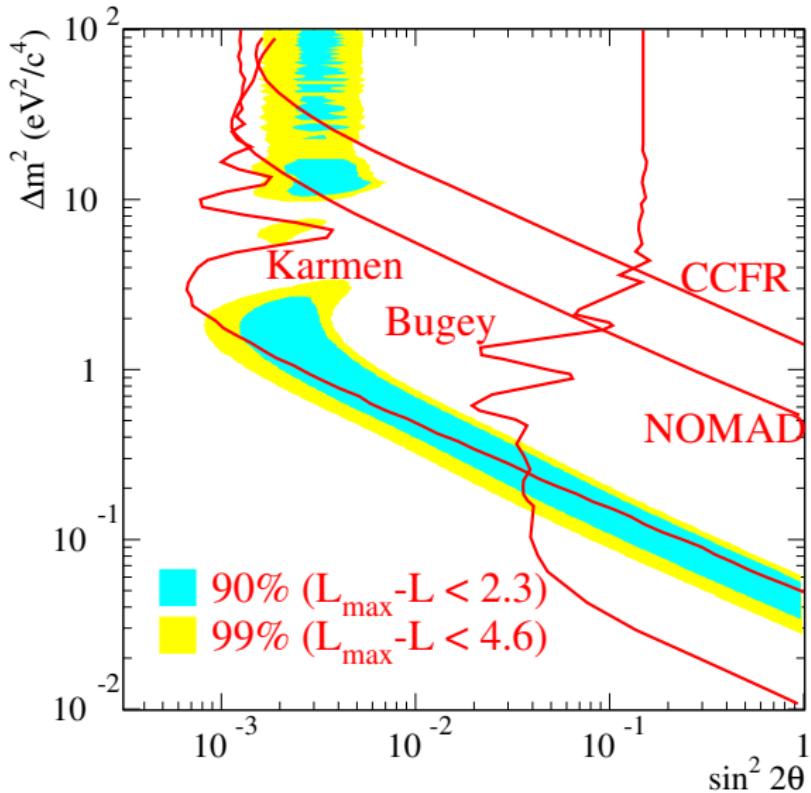
$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

$$20 \text{ MeV} \leq E \leq 60 \text{ MeV}$$



- ▶ Well-known source of $\bar{\nu}_\mu$
 μ^+ at rest $\rightarrow e^+ + \nu_e + \bar{\nu}_\mu$
 $L \simeq 30 \text{ m}$
 $\bar{\nu}_e + p \rightarrow n + e^+$
- Well-known detection process of $\bar{\nu}_e$
- ▶ $\approx 3.8\sigma$ excess
- ▶ But signal not seen by KARMEN at $L \simeq 18 \text{ m}$ with the same method

[PRD 65 (2002) 112001]



$$\Delta m_{SBL}^2 \gtrsim 3 \times 10^{-2} \text{ eV}^2 \gg \Delta m_{\text{ATM}}^2 \simeq 2.5 \times 10^{-3} \text{ eV}^2 \gg \Delta m_{\text{SOL}}^2$$

MiniBooNE

$L \simeq 541 \text{ m}$

$200 \text{ MeV} \leq E \lesssim 3 \text{ GeV}$

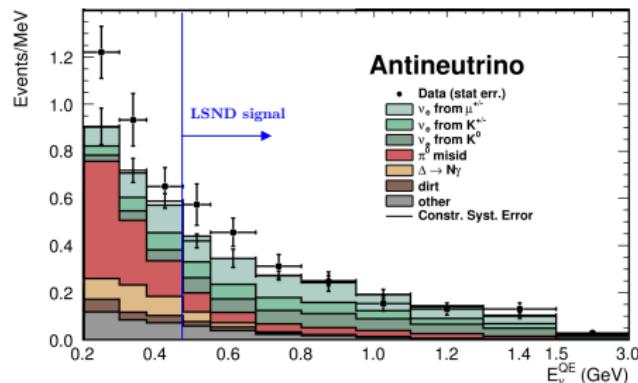
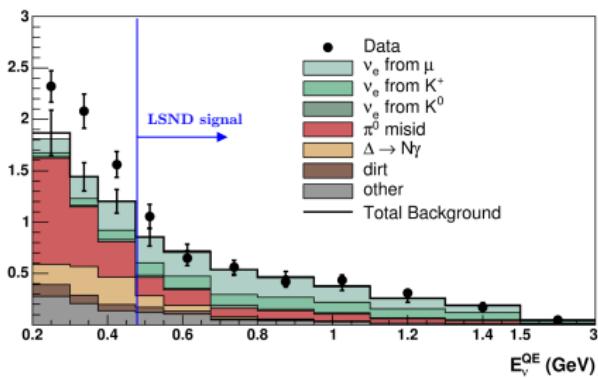
$$\nu_\mu \rightarrow \nu_e$$

[PRL 102 (2009) 101802]

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

[PRL 110 (2013) 161801]

Events / MeV



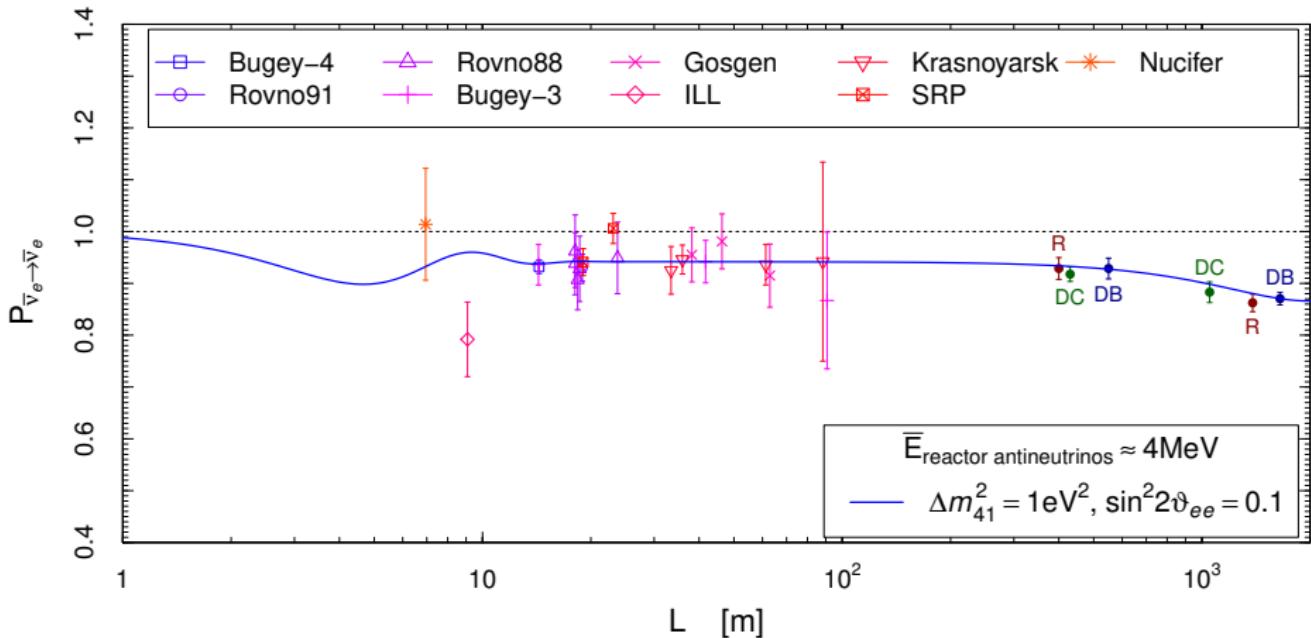
- ▶ Purpose: check LSND signal.
- ▶ LSND signal: $E > 475 \text{ MeV}$.
- ▶ Different L and E .
- ▶ Agreement with LSND signal?
- ▶ Similar L/E (oscillations).
- ▶ CP violation?
- ▶ No money, no Near Detector.
- ▶ Low-energy anomaly!

Reactor Electron Antineutrino Anomaly

[Mention et al, PRD 83 (2011) 073006]

New reactor $\bar{\nu}_e$ fluxes

[Mueller et al, PRC 83 (2011) 054615; Huber, PRC 84 (2011) 024617]



$\approx 2.9\sigma$ deficit

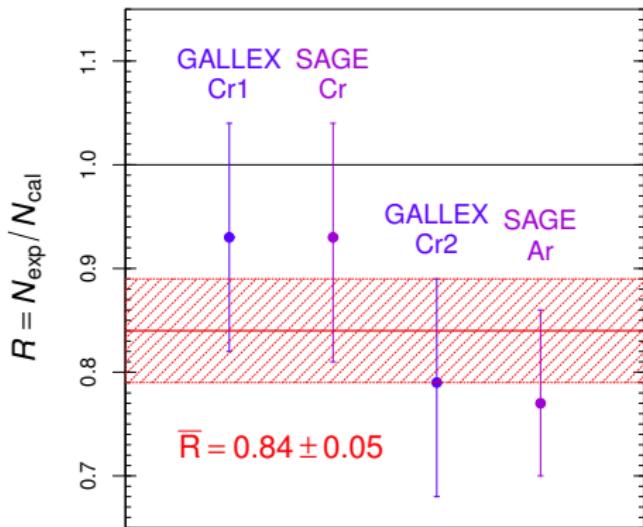
$$\Delta m_{\text{SBL}}^2 \gtrsim 1 \text{ eV}^2 \gg \Delta m_{\text{ATM}}^2 \gg \Delta m_{\text{SOL}}^2$$

Gallium Anomaly

Gallium Radioactive Source Experiments: GALLEX and SAGE

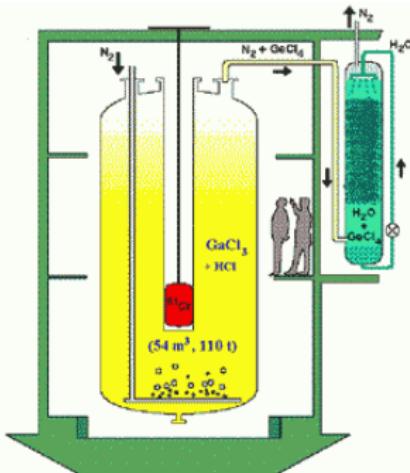


Test of Solar ν_e Detection:



$$\langle L \rangle_{\text{GALLEX}} = 1.9 \text{ m} \quad \langle L \rangle_{\text{SAGE}} = 0.6 \text{ m}$$

$$\Delta m^2_{\text{SBL}} \gtrsim 1 \text{ eV}^2 \gg \Delta m^2_{\text{ATM}} \gg \Delta m^2_{\text{SOL}}$$

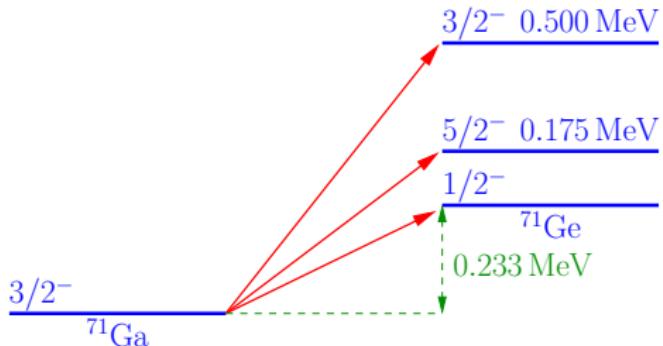


$\approx 2.9\sigma$ deficit

[SAGE, PRC 73 (2006) 045805; PRC 80 (2009) 015807;
Laveder et al, Nucl.Phys.Proc.Suppl. 168 (2007) 344,
MPLA 22 (2007) 2499, PRD 78 (2008) 073009,
PRC 83 (2011) 065504]

- Deficit could be due to overestimate of
 $\sigma(\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-)$

- Calculation: Bahcall, PRC 56 (1997) 3391



- $\sigma_{\text{G.S.}}$ from $T_{1/2}({}^{71}\text{Ge}) = 11.43 \pm 0.03$ days [Hampel, Remsberg, PRC 31 (1985) 666]

$$\sigma_{\text{G.S.}}({}^{51}\text{Cr}) = 55.3 \times 10^{-46} \text{ cm}^2 (1 \pm 0.004)_{3\sigma}$$

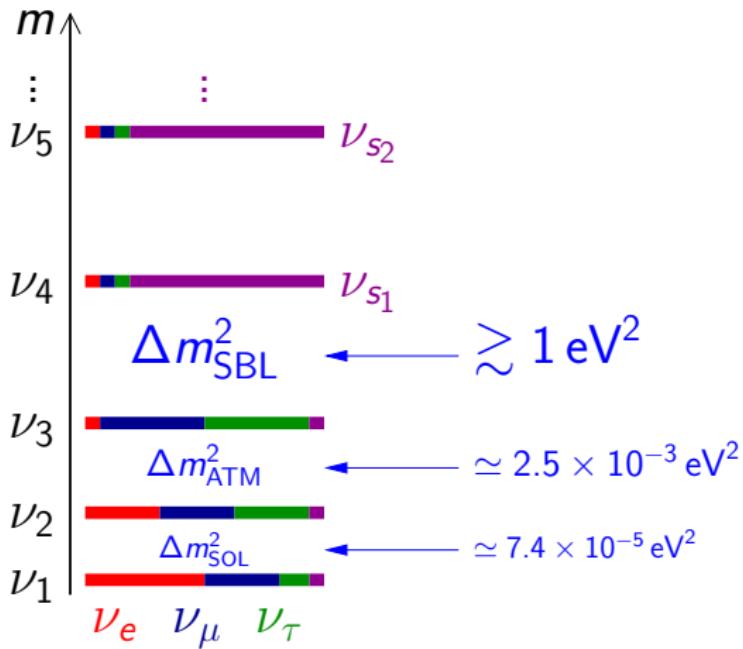
$$\sigma({}^{51}\text{Cr}) = \sigma_{\text{G.S.}}({}^{51}\text{Cr}) \left(1 + 0.669 \frac{\text{BGT}_{175}}{\text{BGT}_{\text{G.S.}}} + 0.220 \frac{\text{BGT}_{500}}{\text{BGT}_{\text{G.S.}}} \right)$$

- Contribution of excited states only 5%!

		$\frac{\text{BGT}_{175}}{\text{BGT}_{\text{G.S.}}}$	$\frac{\text{BGT}_{500}}{\text{BGT}_{\text{G.S.}}}$
Krofcheck et al. PRL 55 (1985) 1051	$^{71}\text{Ga}(p, n)^{71}\text{Ge}$	< 0.056	0.126 ± 0.023
Haxton PLB 431 (1998) 110	Shell Model	0.19 ± 0.18	
Frekers et al. PLB 706 (2011) 134	$^{71}\text{Ga}({}^3\text{He}, {}^3\text{H})^{71}\text{Ge}$	0.039 ± 0.030	0.202 ± 0.016

- ▶ The $^{71}\text{Ga}({}^3\text{He}, {}^3\text{H})^{71}\text{Ge}$ data confirm the contribution of the two excited states.
- ▶ Haxton: “The calculation predicts destructive interference between the (p, n) spin and spin-tensor matrix elements”
- ▶ It is unlikely that the deficit is caused by an overestimate of the cross section.
- ▶ Possible explanations:
 - ▶ Statistical fluctuations.
 - ▶ Experimental faults.
 - ▶ Short-baseline oscillations.

Beyond Three-Neutrino Mixing: Sterile Neutrinos



Terminology: a eV-scale sterile neutrino
means: a eV-scale massive neutrino which is mainly sterile

Sterile Neutrinos from Physics Beyond the SM

- ▶ Neutrinos are special in the Standard Model: the only **neutral fermions**
- ▶ Active left-handed neutrinos can mix with non-SM singlet fermions often called **right-handed neutrinos** **Neutrino Portal** [A. Smirnov, arXiv:1502.04530]
- ▶ Light left-handed anti- ν_R are **light sterile neutrinos**

$$\nu_R^c \rightarrow \nu_{sL} \quad (\text{left-handed})$$

- ▶ Sterile means **no standard model interactions**

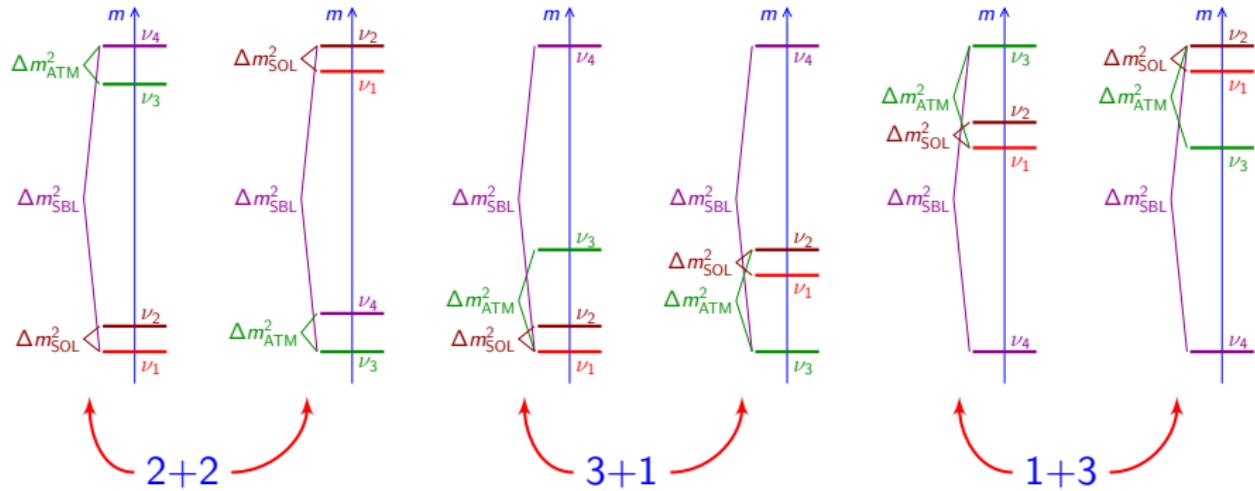
[Pontecorvo, Sov. Phys. JETP 26 (1968) 984]

- ▶ Active neutrinos (ν_e, ν_μ, ν_τ) can oscillate into light sterile neutrinos (ν_s)
- ▶ Observables:
 - ▶ **Disappearance** of active neutrinos (neutral current deficit)
 - ▶ Indirect evidence through **combined fit of data** (current indication)
- ▶ Short-baseline anomalies + 3ν -mixing:

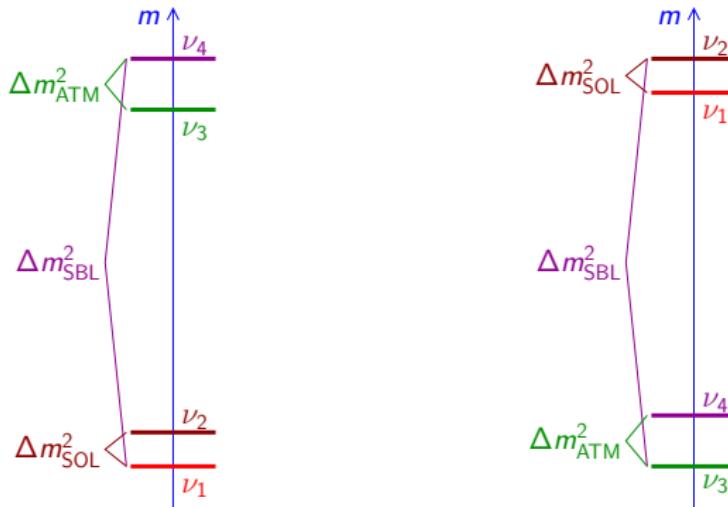
$$\Delta m_{21}^2 \ll |\Delta m_{31}^2| \ll |\Delta m_{41}^2| \leq \dots$$

ν_1	ν_2	ν_3	ν_4	\dots
ν_e	ν_μ	ν_τ	ν_{s1}	\dots

Four-Neutrino Schemes: 2+2, 3+1 and 1+3



2+2 Four-Neutrino Schemes

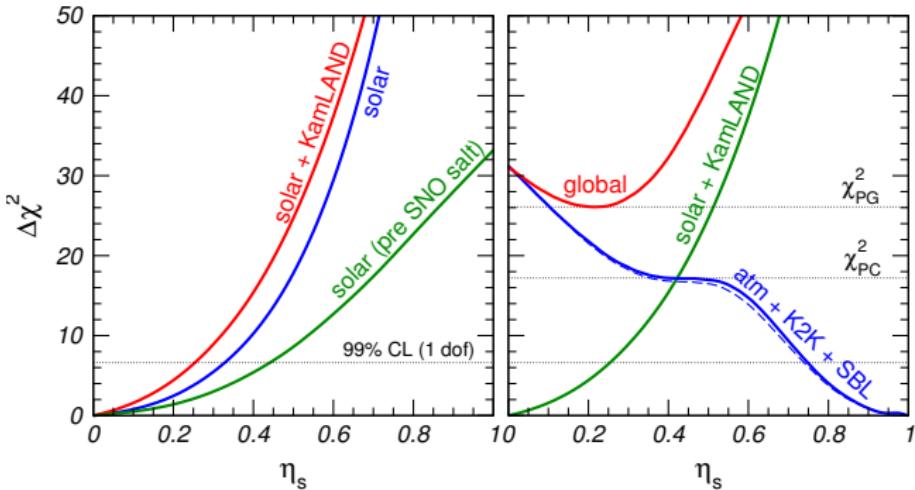


- After LSND (1995) 2+2 was preferred to 3+1, because of the 3+1 appearance-disappearance tension

[Okada, Yasuda, IJMPA 12 (1997) 3669; Bilenky, CG, Grimus, EPJC 1 (1998) 247]

- This is not a perturbation of 3- ν Mixing \Rightarrow Large active-sterile oscillations for solar or atmospheric neutrinos!

2+2 Schemes are Strongly Disfavored



Solar: Matter Effects + SNO NC

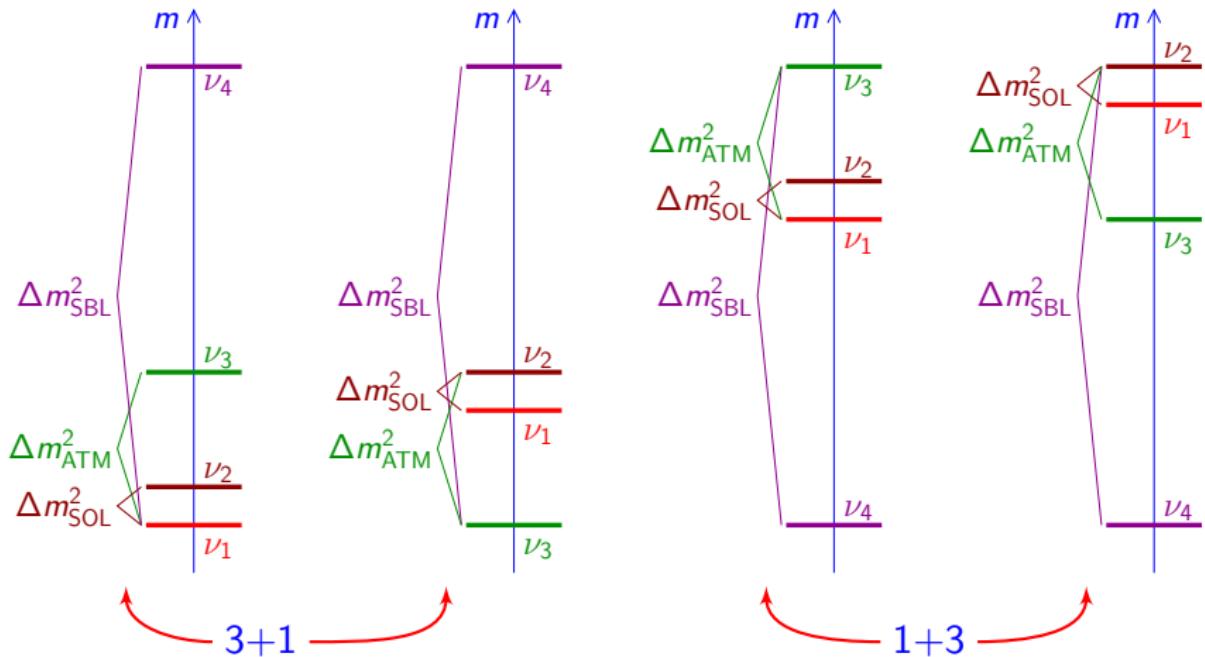
Atmospheric: Matter Effects

$$\eta_s = |U_{s1}|^2 + |U_{s2}|^2 = 1 - |U_{s3}|^2 + |U_{s4}|^2$$

$$99\% \text{ CL: } \begin{cases} \eta_s < 0.25 & (\text{Solar + KamLAND}) \\ \eta_s > 0.75 & (\text{Atmospheric + K2K}) \end{cases}$$

[Maltoni, Schwetz, Tortola, Valle, New J. Phys. 6 (2004) 122]

3+1 and 1+3 Four-Neutrino Schemes



- Perturbation of 3- ν Mixing: $|U_{e4}|^2, |U_{\mu 4}|^2, |U_{\tau 4}|^2 \ll 1$ $|U_{s4}|^2 \simeq 1$
- 1+3 schemes are disfavored by cosmology (Λ CDM):

$$\sum_{k=1}^3 m_k \lesssim 0.2 \text{ eV}$$

[Planck, Astron. Astrophys. 594 (2016) A13 (arXiv:1502.01589)]

Effective 3+1 SBL Oscillation Probabilities

Appearance ($\alpha \neq \beta$)

$$P_{\nu_\alpha \rightarrow \nu_\beta}^{\text{SBL}} \simeq \sin^2 2\vartheta_{\alpha\beta} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\beta} = 4|U_{\alpha 4}|^2 |U_{\beta 4}|^2$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}_{\text{SBL}}$$

$$P_{\nu_\alpha \rightarrow \nu_\alpha}^{\text{SBL}} \simeq 1 - \sin^2 2\vartheta_{\alpha\alpha} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

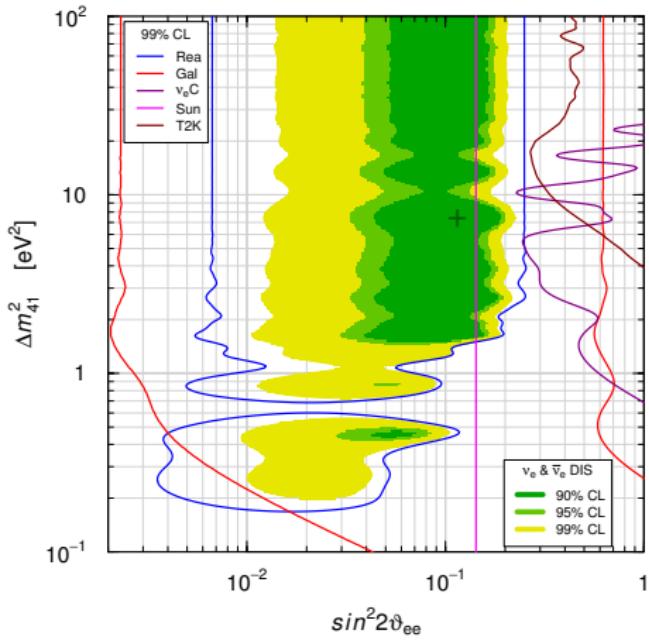
$$\sin^2 2\vartheta_{\alpha\alpha} = 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2)$$

- CP violation is not observable in SBL experiments!
- Observable in LBL accelerator exp. sensitive to Δm_{ATM}^2 [de Gouvea et al, PRD 91 (2015) 053005, PRD 92 (2015) 073012, arXiv:1605.09376; Palazzo et al, PRD 91 (2015) 073017, PLB 757 (2016) 142; Gandhi et al, JHEP 1511 (2015) 039] and solar exp. sensitive to Δm_{SOL}^2

- 6 mixing angles
- 3 Dirac CP phases
- 3 Majorana CP phases

[Long, Li, CG, PRD 87, 113004 (2013) 113004]

Global ν_e and $\bar{\nu}_e$ Disappearance

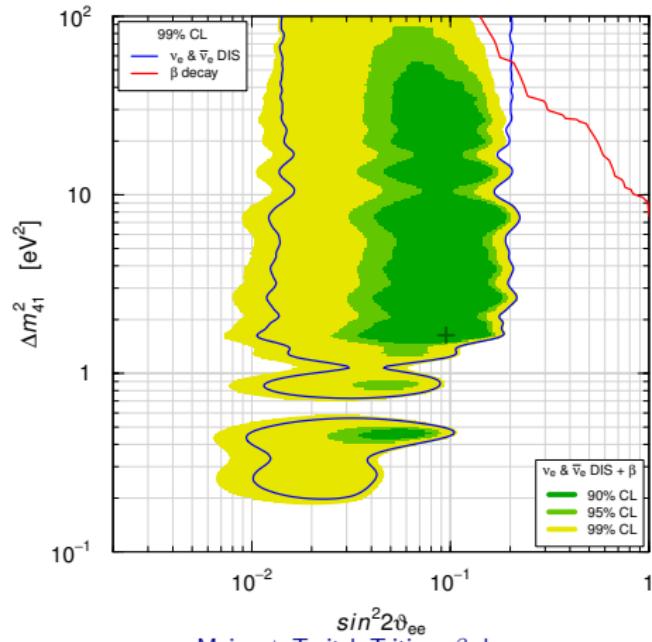


KARMEN + LSND $\nu_e + {}^{12}\text{C} \rightarrow {}^{12}\text{N}_{\text{g.s.}} + e^-$
 [Conrad, Shaevitz, PRD 85 (2012) 013017]
 [CG, Laveder, PLB 706 (2011) 200]

solar ν_e + KamLAND $\bar{\nu}_e$ + ϑ_{13}
 [CG, Li, PRD 80 (2009) 113007]

[Palazzo, PRD 83 (2011) 113013; PRD 85 (2012) 077301]
 [CG, Laveder, Li, Liu, Long, PRD 86 (2012) 113014]

T2K Near Detector ν_e disappearance
 [T2K, PRD 91 (2015) 051102]



Mainz + Troitsk Tritium β decay
 [Mainz, EPJ C 73 (2013) 2323]
 [Troitsk, JETPL 97 (2013) 67; JPG 41 (2014) 015001]

No Osc. excluded at 2.8σ
 $(\Delta\chi^2/\text{NDF} = 10.8/2)$

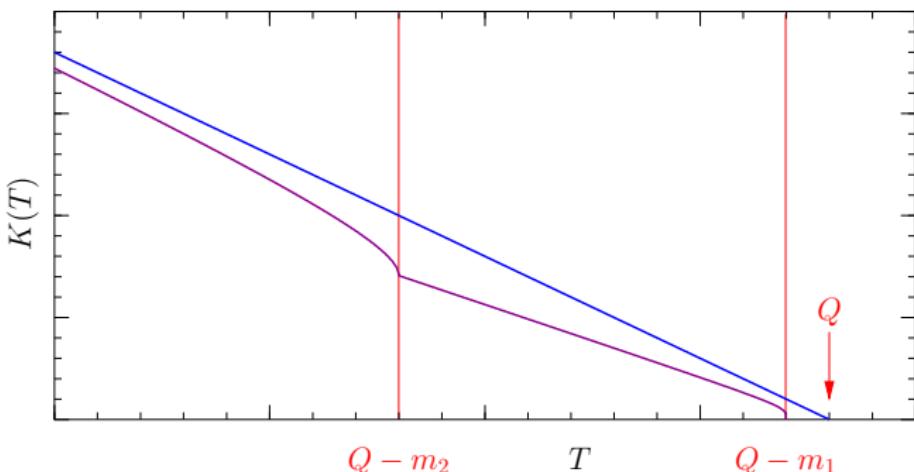
$$6 \text{ cm} \lesssim \frac{L_{41}^{\text{osc}}}{E [\text{MeV}]} \lesssim 6 \text{ m} \quad (2\sigma)$$

Tritium Beta-Decay: ${}^3\text{H} \rightarrow {}^3\text{He} + e^- + \bar{\nu}_e$

$$\frac{d\Gamma}{dT} = \frac{(\cos\vartheta_C G_F)^2}{2\pi^3} |\mathcal{M}|^2 F(E) p E K^2(T)$$

Kurie function: $K(T) = \left[(Q - T) \sum_k |U_{ek}|^2 \sqrt{(Q - T)^2 - m_k^2} \right]^{1/2}$

$$Q = M_{{}^3\text{H}} - M_{{}^3\text{He}} - m_e = 18.58 \text{ keV}$$

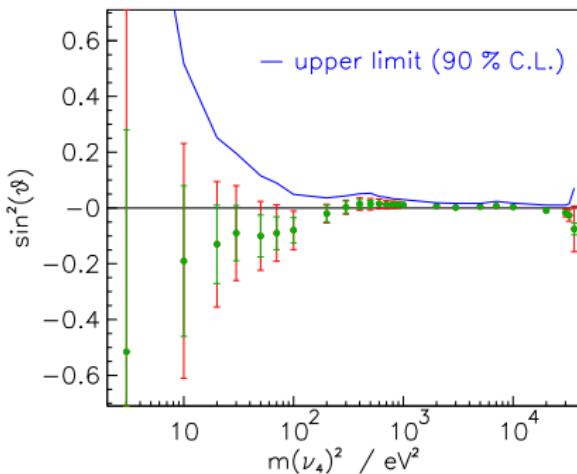
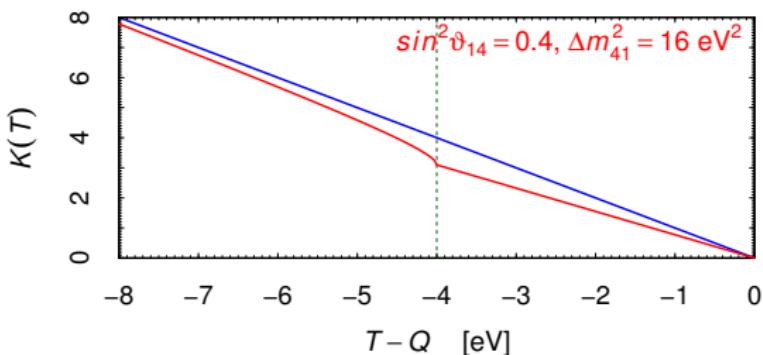


Mainz and Troitsk Limit on $\Delta m_{41}^2 \simeq m_4^2$

$$m_4 \gg m_1, m_2, m_3$$

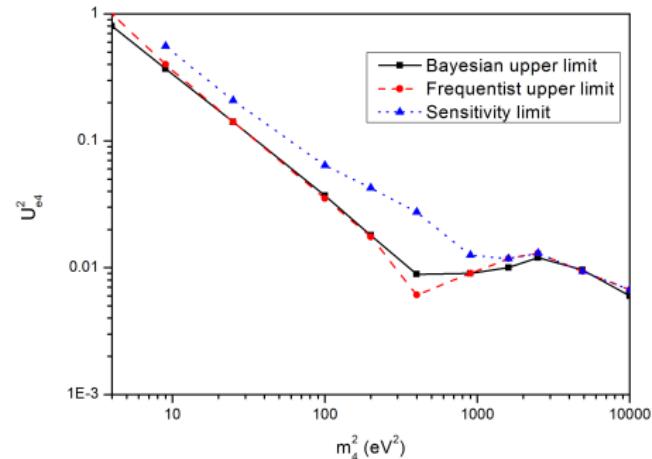
↓

$$\Delta m_{41}^2 \equiv m_4^2 - m_1^2 \simeq m_4^2$$



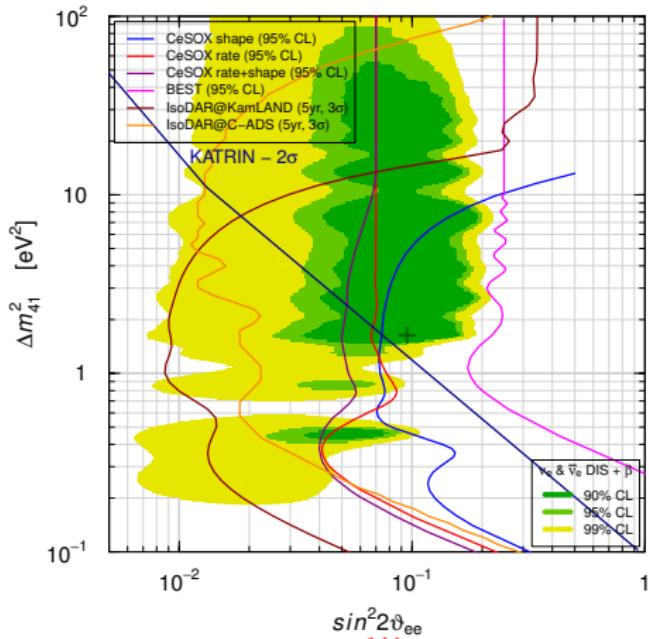
[Kraus, Singer, Valerius, Weinheimer, EPJC 73 (2013) 2323]

C. Giunti — SBL Neutrino Anomalies — Selected Puzzles in Particle Physics — LNF — 21 Dec 2016 — 28/67



[Belesev et al, JPG 41 (2014) 015001]

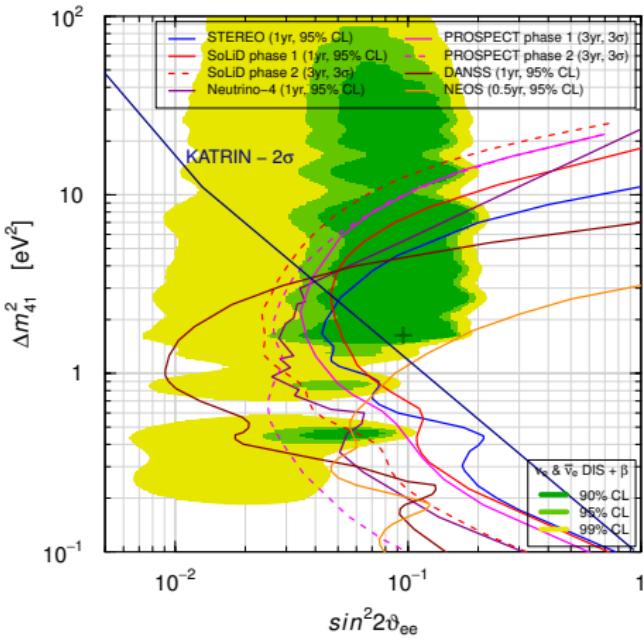
The Race for ν_e and $\bar{\nu}_e$ Disappearance



CeSOX (Gran Sasso, Italy) $^{144}\text{Ce} \rightarrow \bar{\nu}_e$
BOREXINO: $L \simeq 5\text{-}12\text{m}$ [Vivier@TAUP2015]

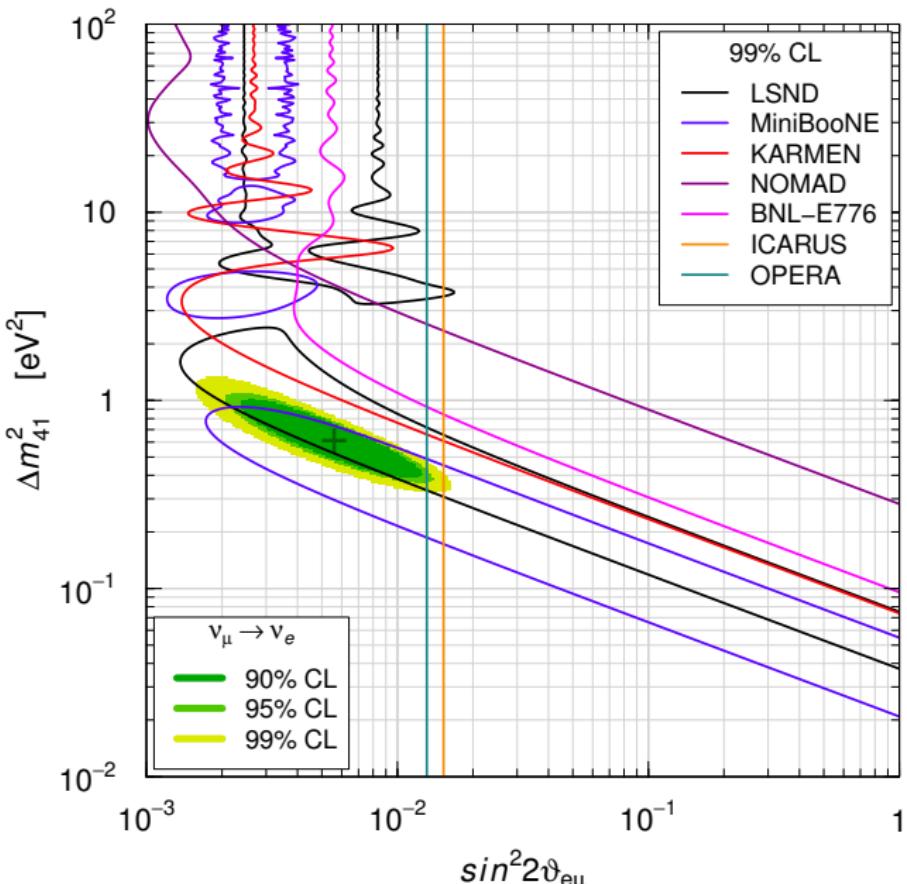
BEST (Baksan, Russia) $^{51}\text{Cr} \rightarrow \nu_e$
 $L \simeq 5\text{-}12\text{m}$ [PRD 93 (2016) 073002]

IsoDAR@KamLAND (Kamioka, Japan)
 $^{8}\text{Li} \rightarrow \bar{\nu}_e$ $L \simeq 16\text{m}$ [arXiv:1511.05130]
IsoDAR@C-ADS (Guangdong, China)
 $^{8}\text{Li} \rightarrow \bar{\nu}_e$ $L \simeq 15\text{m}$ [JHEP 1601 (2016) 004]

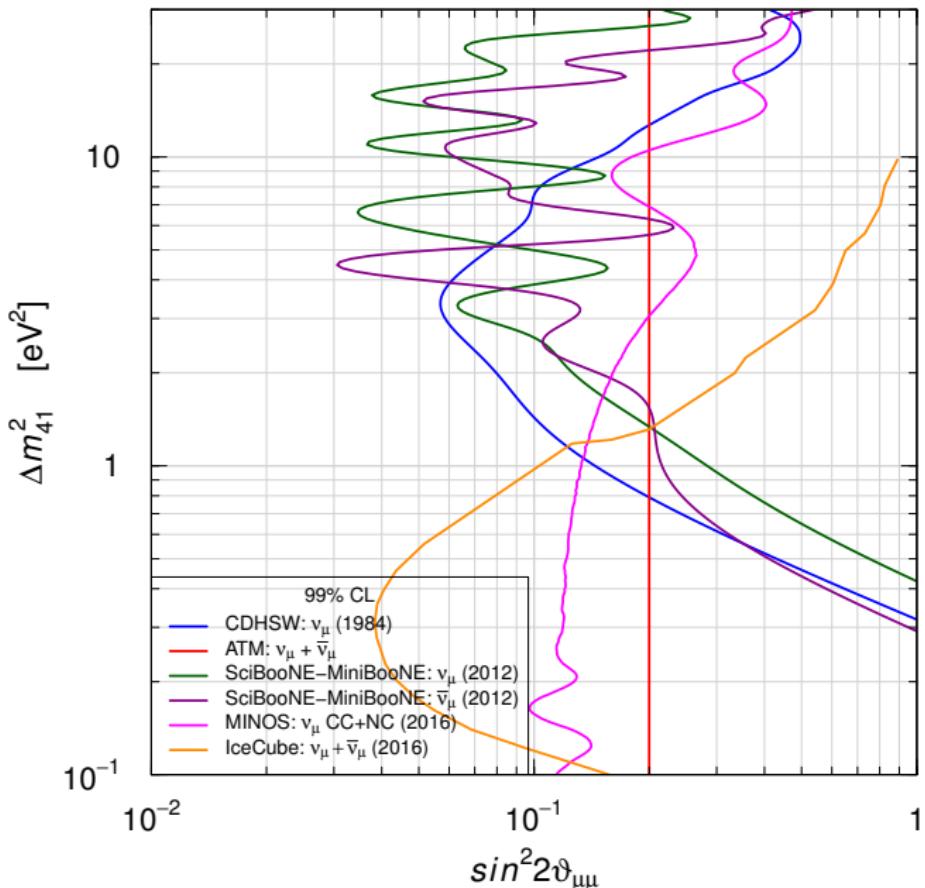


STEREO (ILL, France) $L \simeq 8\text{-}12\text{m}$ [arXiv:1602.00568]
SoLID (SCK-CEN, Belgium) $L \simeq 5\text{-}8\text{m}$ [arXiv:1510.07835]
Neutrino-4 (RIAR, Russia) $L \simeq 6\text{-}11\text{m}$ [JETP 121 (2015) 578]
PROSPECT (ORNL, USA) $L \simeq 7\text{-}12\text{m}$ [arXiv:1512.02202]
DANSS (Kalinin, Russia) $L \simeq 10\text{-}12\text{m}$ [arXiv:1606.02896]
NEOS (Hanbit, Korea) $L \simeq 24\text{m}$ [Oh@WIN2015]
KATRIN (Karlsruhe, Germany) $^3\text{H} \rightarrow \bar{\nu}_e$ [Mertens@TAUP2015]

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ and $\nu_\mu \rightarrow \nu_e$ Appearance



ν_μ and $\bar{\nu}_\mu$ Disappearance



3+1 Appearance-Disappearance Tension

ν_e DIS

$$\sin^2 2\vartheta_{ee} \simeq 4|U_{e4}|^2$$

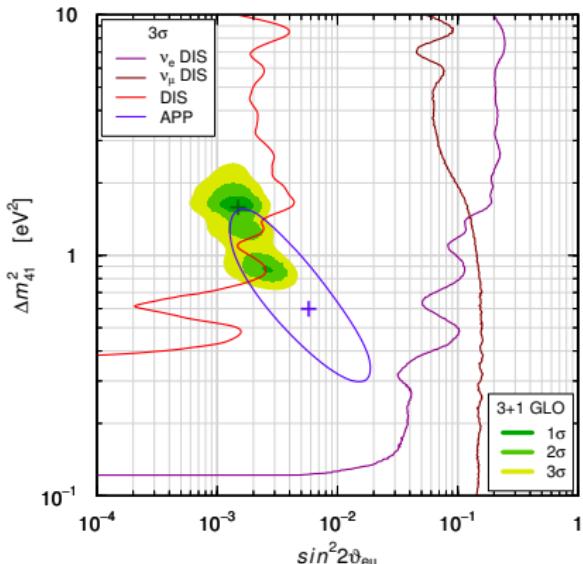
ν_μ DIS

$$\sin^2 2\vartheta_{\mu\mu} \simeq 4|U_{\mu 4}|^2$$

$\nu_\mu \rightarrow \nu_e$ APP

$$\sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2|U_{\mu 4}|^2 \simeq \frac{1}{4} \sin^2 2\vartheta_{ee} \sin^2 2\vartheta_{\mu\mu}$$

[Okada, Yasuda, IJMPA 12 (1997) 3669; Bilenky, CG, Grimus, EPJC 1 (1998) 247]



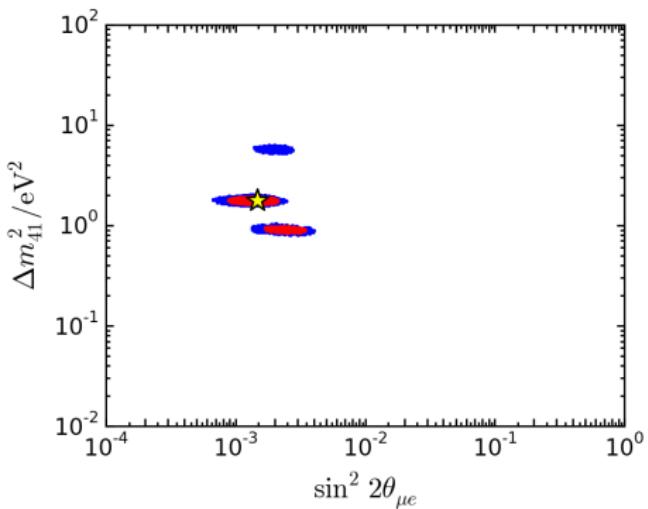
► $\nu_\mu \rightarrow \nu_e$ is quadratically suppressed!

► Similar constraint in

$3+2, 3+3, \dots, 3+N_s$

[CG, Zavanin, MPLA 31 (2015) 1650003]

Collin, Arguelles, Conrad, Shaevitz
 [NPB 908 (2016) 354]



Best Fit: $\Delta m_{41}^2 = 1.75 \text{ eV}^2$

$$|U_{e4}|^2 = 0.027 \quad |U_{\mu 4}|^2 = 0.014$$

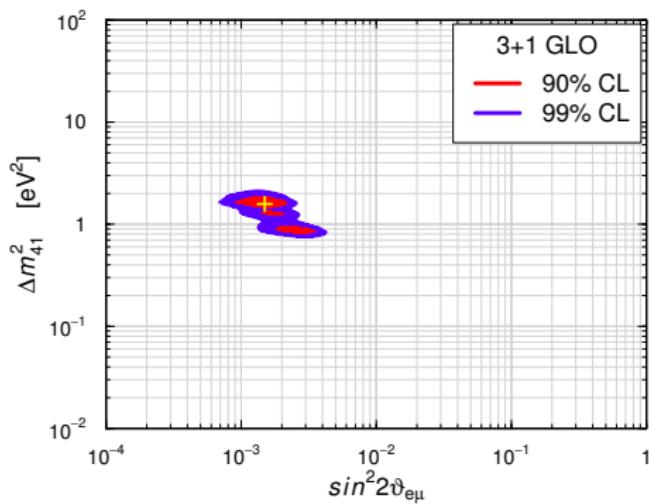
$$\text{GoF} = 57\% \quad (\chi^2_{\min}/\text{NDF} = 306.8/312)$$

$$\text{GoF}_{\text{null}} = 4.4\% \quad (\chi^2/\text{NDF} = 359.2/315)$$

$$\Delta\chi^2/\text{NDF} = 52.3/3 \quad (\approx 6.7\sigma)$$

Our Fit

Update of [Gariazzo, CG, Laveder, Li, Zavanin,
 JPG 43 (2016) 033001]



Best Fit: $\Delta m_{41}^2 = 1.6 \text{ eV}^2$

$$|U_{e4}|^2 = 0.028 \quad |U_{\mu 4}|^2 = 0.014$$

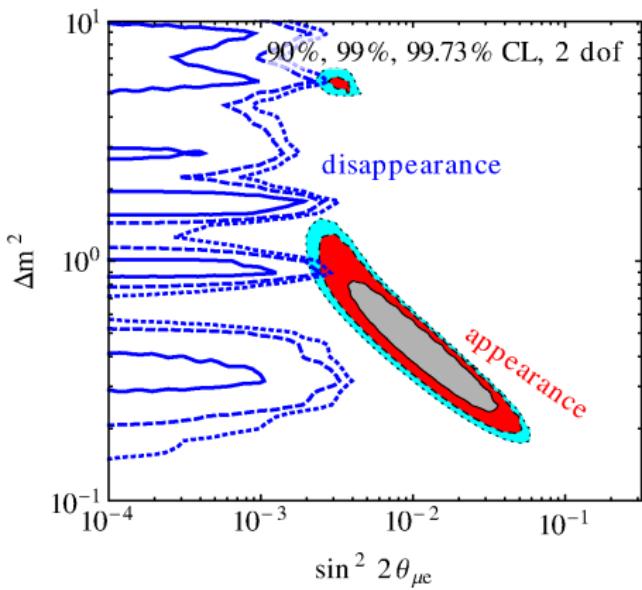
$$\text{GoF} = 6\% \quad (\chi^2_{\min}/\text{NDF} = 304.0/268)$$

$$\text{GoF}_{\text{null}} = 0.04\% \quad (\chi^2/\text{NDF} = 355.2/271)$$

$$\Delta\chi^2/\text{NDF} = 51.2/3 \quad (\approx 6.6\sigma)$$

Kopp, Machado, Maltoni, Schwetz

[JHEP 1305 (2013) 050]



$$\text{Best Fit: } \Delta m_{41}^2 = 0.93 \text{ eV}^2$$

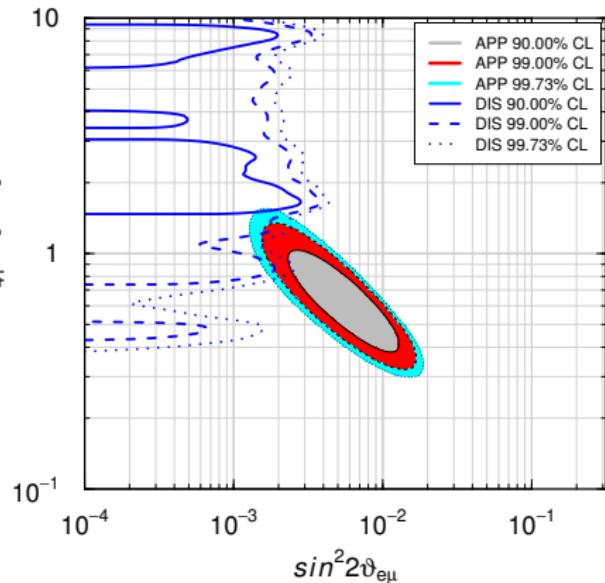
$$|U_{e4}|^2 = 0.023 \quad |U_{\mu 4}|^2 = 0.029$$

$$\text{GoF} = 19\% \quad (\chi^2_{\min}/\text{NDF} = 712/680)$$

$$\text{GoF}_{\text{PG}} = 0.01\% \quad (\chi^2_{\text{PG}}/\text{NDF} = 18.0/2)$$

Our Fit

Update of [Gariazzo, CG, Laveder, Li, Zavanin,
JPG 43 (2016) 033001]



$$\text{Best Fit: } \Delta m_{41}^2 = 1.6 \text{ eV}^2$$

$$|U_{e4}|^2 = 0.028 \quad |U_{\mu 4}|^2 = 0.014$$

$$\text{GoF} = 6\% \quad (\chi^2_{\min}/\text{NDF} = 304.0/268)$$

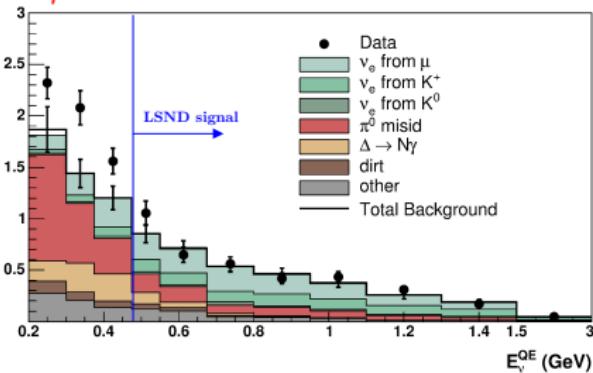
$$\text{GoF}_{\text{PG}} = 0.06\% \quad (\chi^2/\text{NDF} = 15.0/2)$$

MiniBooNE Low-Energy Anomaly

Events / MeV

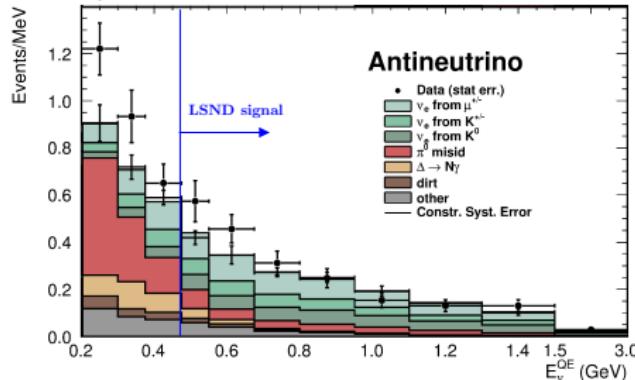
$\nu_\mu \rightarrow \nu_e$

[PRL 102 (2009) 101802]



$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

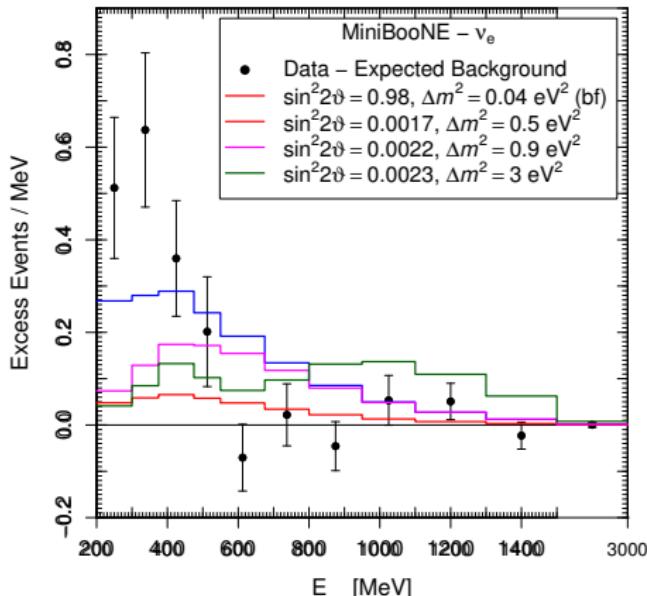
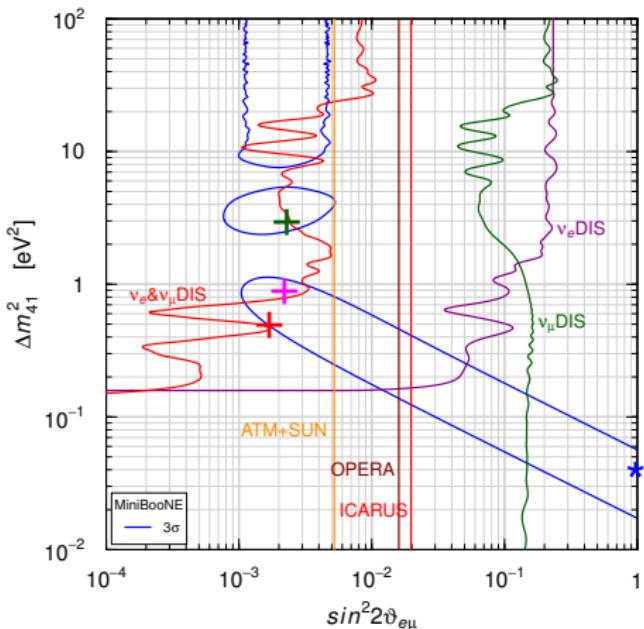
[PRL 110 (2013) 161801]



- ▶ Fit of MB Low-Energy Excess requires small Δm_{41}^2 and large $\sin^2 2\vartheta_{e\mu}$, in contradiction with disappearance data
- ▶ MB low-energy excess is the main cause of bad APP-DIS GoF_{PG} = 0.06%
- ▶ Pragmatic Approach: discard the Low-Energy Excess because it is likely not due to oscillations
- ▶ MicroBooNE is crucial for checking the MiniBooNE Low-Energy Anomaly and the consistency of different short-baseline data

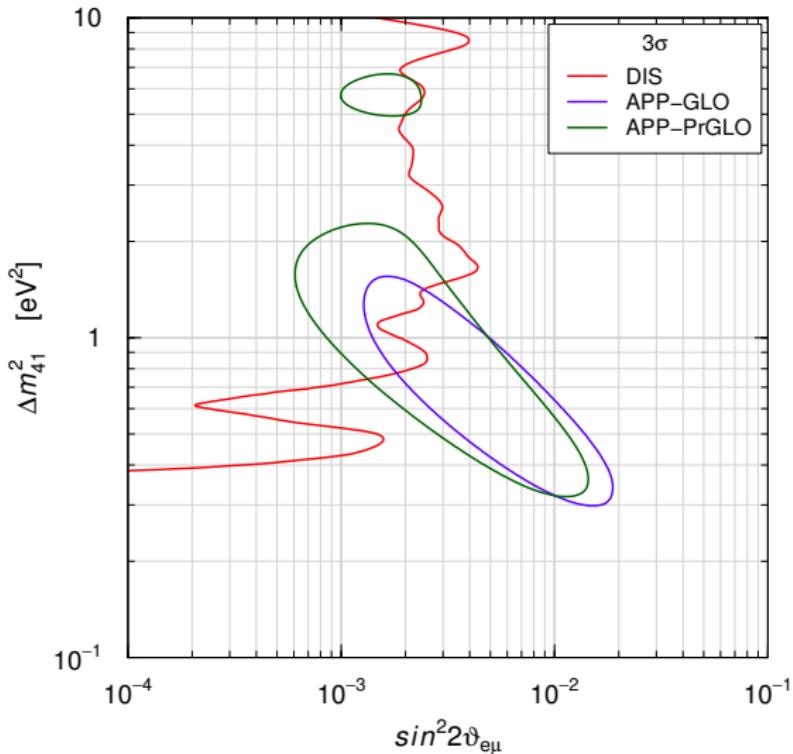
$$P_{\nu_\mu \rightarrow \nu_e}^{SBL} = \sin^2 2\vartheta_{e\mu} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

[CG, Laveder, Li, Long, PRD 88 (2013) 073008]



No fit of low-energy excess for realistic $\sin^2 2\theta_{e\mu} \lesssim 3 \times 10^{-3}$

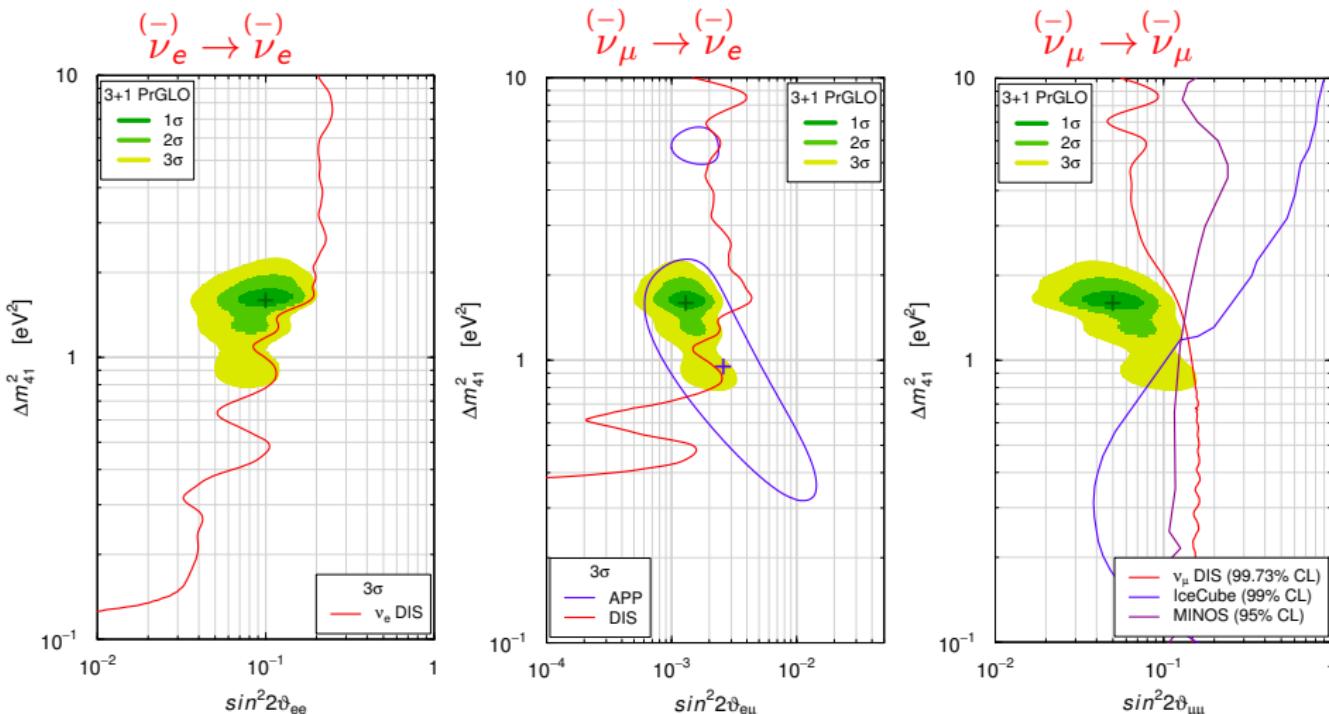
Global → Pragmatic



- ▶ APP-GLO: all MiniBooNE data
- ▶ APP-PrGLO: only MiniBooNE $E > 475$ MeV data (Pragmatic)

Pragmatic Global 3+1 Fit

Update of [Gariazzo, CG, Laveder, Li, Zavanin, JPG 43 (2016) 033001]



GoF = 24%

PGoF = 7%

No Osc. disfavored at $\approx 6.2\sigma$

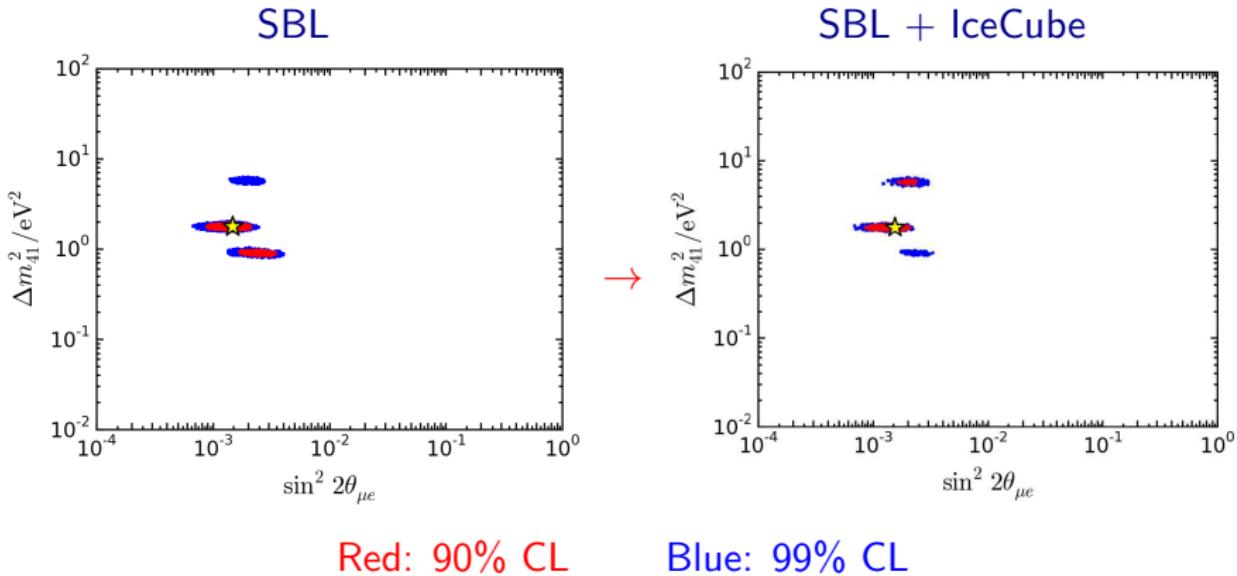
$\Delta\chi^2/\text{NDF} = 46.6/3$

Not yet included:

- IceCube [PRL 117 (2016) 071801 (arXiv:1605.01990)]
- MINOS [PRL 117 (2016) 151803 (arXiv:1607.01176)]

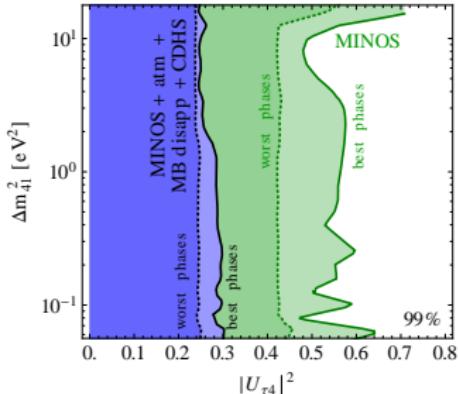
SBL + IceCube

[Collin, Arguelles, Conrad, Shaevitz, PRL 117 (2016) 221801 (arXiv:1607.00011)]

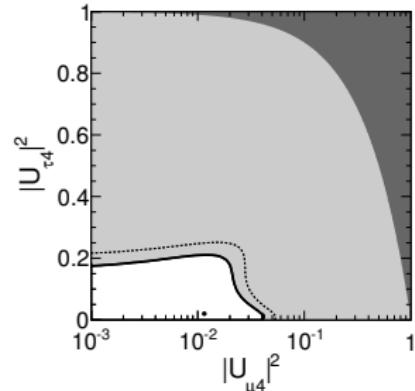


3+1	Δm_{41}^2	$ U_{e4} $	$ U_{\mu 4} $	$ U_{\tau 4} $	N_{bins}	χ^2_{\min}	χ^2_{null}	$\Delta\chi^2$ (dof)
SBL	1.75	0.163	0.117	-	315	306.81	359.15	52.34 (3)
SBL+IC	1.75	0.164	0.119	0.00	524	518.59	568.84	50.26 (4)
IC	5.62	-	0.314	-	209	207.11	209.69	2.58 (2)

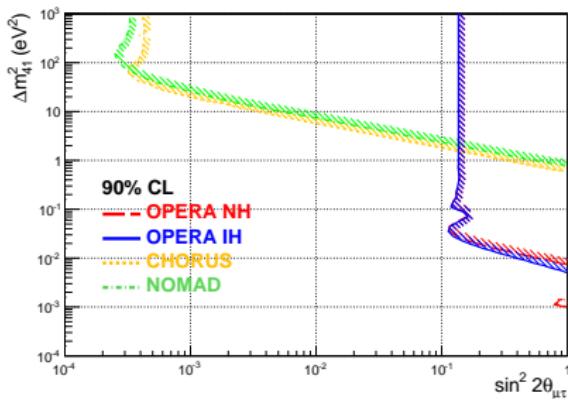
Bounds on $|U_{\tau 4}|$



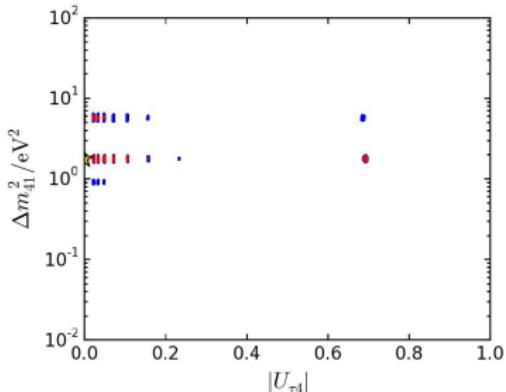
[Kopp et al, JHEP 1305 (2013) 050]



[Super-Kamiokande, PRD 91 (2015) 052019]

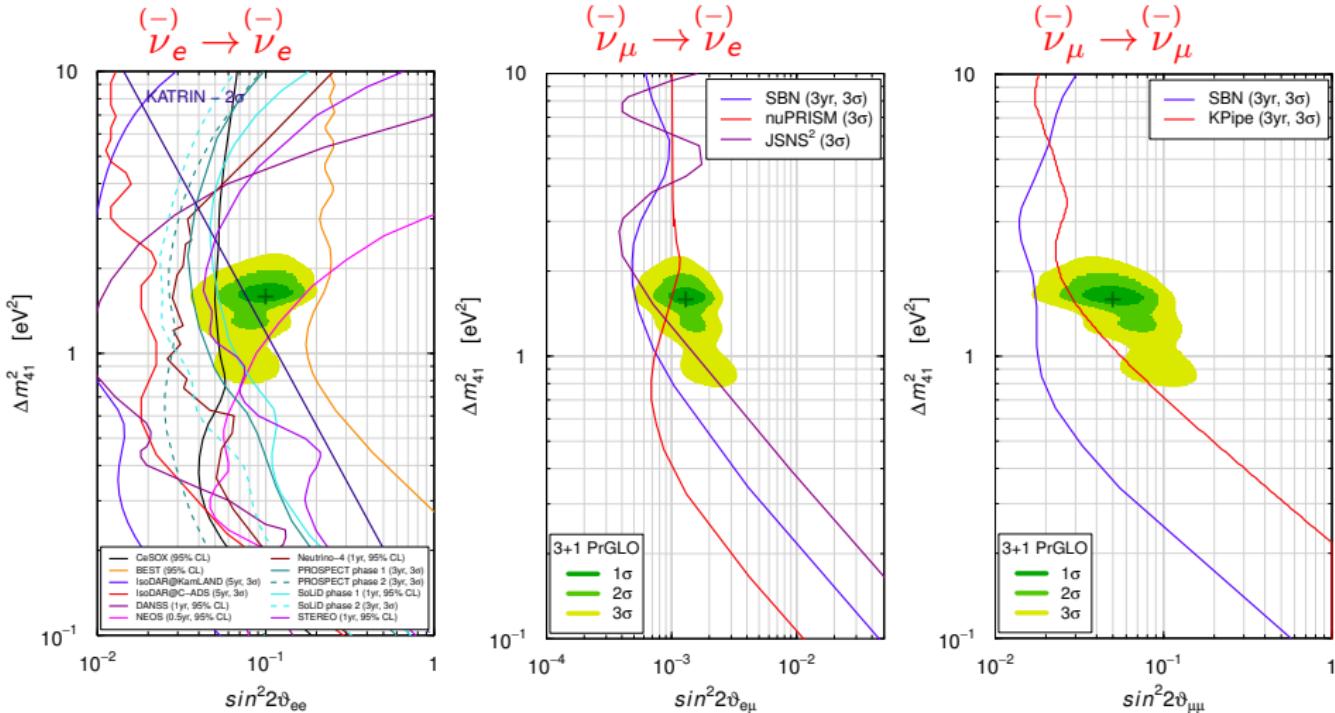


$\nu_\mu \rightarrow \nu_\tau$ [OPERA, JHEP 1506 (2015) 069]



IceCube Data [Collin et al, PRL 117 (2016) 221801]

The Race for the Light Sterile



Effects of light sterile neutrinos should also be seen in:

► β Decay Experiments

[Hannestad et al, JCAP 1102 (2011) 011; PRC 84 (2011) 045503; Formaggio, Barrett, PLB 706 (2011) 68; Esmaili, Peres, PRD 85 (2012) 117301; Gastaldo et al, JHEP 1606 (2016) 061]

► Neutrinoless Double- β Decay Experiments

[Rodejohann et al, JHEP 1107 (2011) 091; Li, Liu, PLB 706 (2012) 406; Meroni et al, JHEP 1311 (2013) 146, PRD 90 (2014) 053002; Pascoli et al, PRD 90 (2014) 093005; CG, Zavanin, JHEP 1507 (2015) 171; Guzowski et al, PRD 92 (2015) 012002]

► Long-baseline Neutrino Oscillation Experiments

[de Gouvea et al, PRD 91 (2015) 053005, PRD 92 (2015) 073012, arXiv:1605.09376; Palazzo et al, PRD 91 (2015) 073017, PLB 757 (2016) 142, JHEP 1602 (2016) 111, JHEP 1609 (2016) 016, arXiv:1605.04299; Gandhi et al, JHEP 1511 (2015) 039; Pant et al, NPB 909 (2016) 1079, Choubey, Pramanik, PLB 764 (2017) 135]

► Solar neutrinos

[Dooling et al, PRD 61 (2000) 073011, Gonzalez-Garcia et al, PRD 62 (2000) 013005; Palazzo, PRD 83 (2011) 113013, PRD 85 (2012) 077301; Li et al, PRD 80 (2009) 113007, PRD 87, 113004 (2013), JHEP 1308 (2013) 056; Kopp et al, JHEP 1305 (2013) 050]

► Atmospheric neutrinos

[Goswami, PRD 55 (1997) 2931; Bilenky et al, PRD 60 (1999) 073007; Maltoni et al, NPB 643 (2002) 321, PRD 67 (2003) 013011; Choubey, JHEP 0712 (2007) 014; Razzaque, Smirnov, JHEP 1107 (2011) 084, PRD 85 (2012) 093010; Gandhi, Ghoshal, PRD 86 (2012) 037301; Barger et al, PRD 85 (2012) 011302; Esmaili et al, JCAP 1211 (2012) 041, JCAP 1307 (2013) 048, JHEP 1312 (2013) 014; Rajpoot et al, EPJC 74 (2014) 2936; Lindner et al, JHEP 1601 (2016) 124; Behera et al, arXiv:1605.08607]

► Supernova neutrinos

[Caldwell, Fuller, Qian, PRD 61 (2000) 123005; Peres, Smirnov, NPB 599 (2001); Sorel, Conrad, PRD 66 (2002) 033009; Tamborra et al, JCAP 1201 (2012) 013; Wu et al, PRD 89 (2014) 061303; Esmaili et al, PRD 90 (2014) 033013]

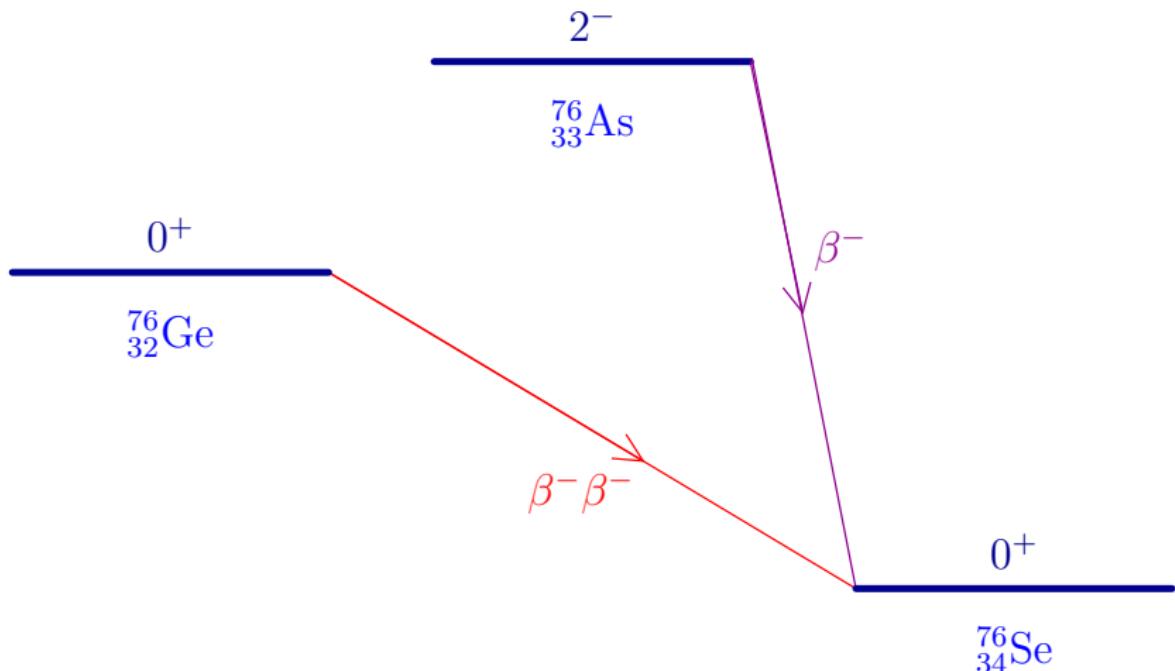
► Cosmic neutrinos

[Cirelli et al, NPB 708 (2005) 215; Donini, Yasuda, arXiv:0806.3029; Barry et al, PRD 83 (2011) 113012]

► Indirect dark matter detection [Esmaili, Peres, JCAP 1205 (2012) 002]

► Cosmology [see: Wong, ARNPS 61 (2011) 69; Archidiacono et al, AHEP 2013 (2013) 191047]

Neutrinoless Double-Beta Decay



Effective Majorana Neutrino Mass:

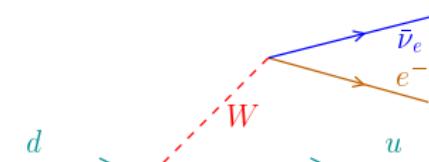
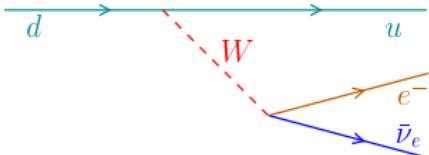
$$m_{\beta\beta} = \sum_k U_{ek}^2 m_k$$

Two-Neutrino Double- β Decay: $\Delta L = 0$

$$\mathcal{N}(A, Z) \rightarrow \mathcal{N}(A, Z+2) + e^- + e^- + \bar{\nu}_e + \bar{\nu}_e$$

$$(T_{1/2}^{2\nu})^{-1} = G_{2\nu} |\mathcal{M}_{2\nu}|^2$$

second order weak interaction process
in the Standard Model



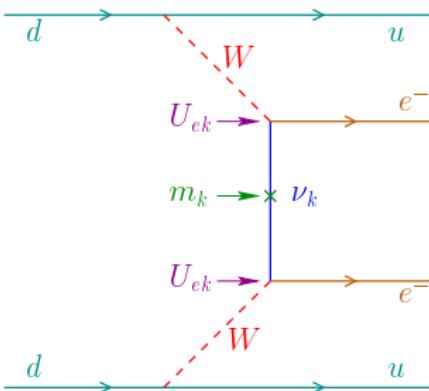
Neutrinoless Double- β Decay: $\Delta L = 2$

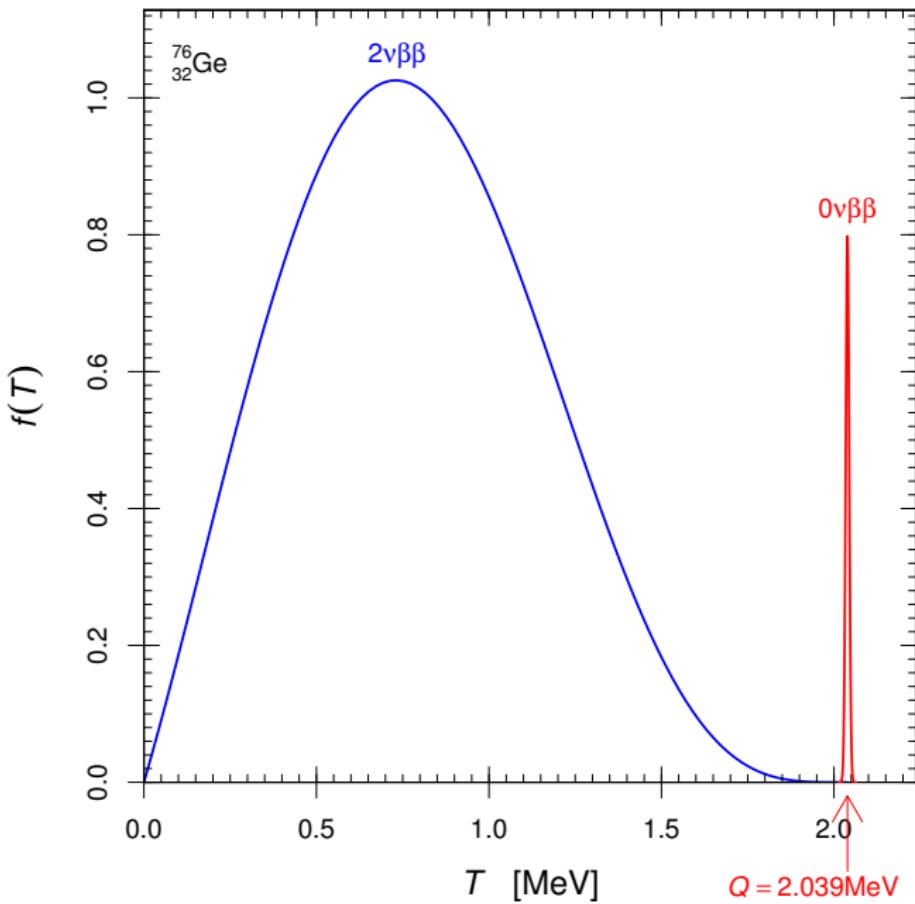
$$\mathcal{N}(A, Z) \rightarrow \mathcal{N}(A, Z+2) + e^- + e^-$$

$$(T_{1/2}^{0\nu})^{-1} = G_{0\nu} |\mathcal{M}_{0\nu}|^2 |m_{\beta\beta}|^2$$

effective
Majorana
mass

$$|m_{\beta\beta}| = \left| \sum_k U_{ek}^2 m_k \right|$$



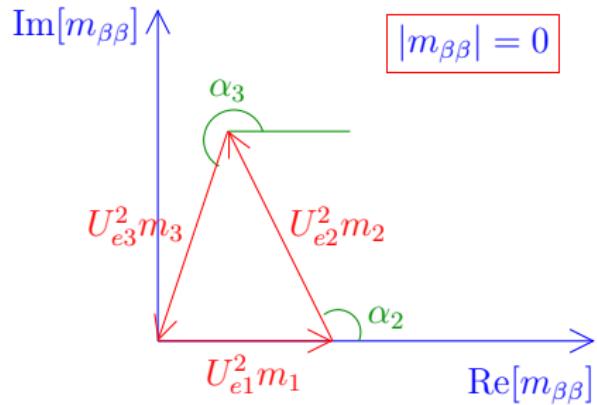
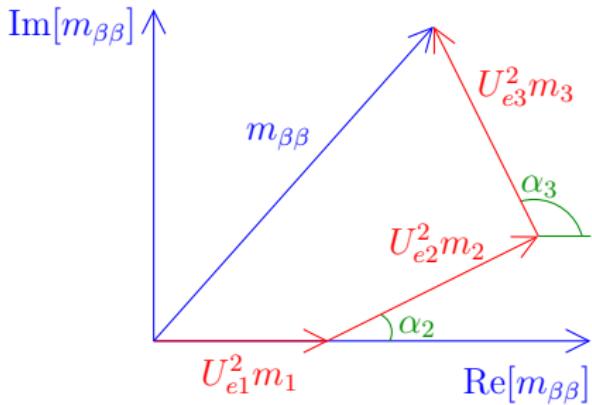


Effective Majorana Neutrino Mass

$$m_{\beta\beta} = \sum_k U_{ek}^2 m_k \quad \text{complex } U_{ek} \Rightarrow \text{possible cancellations}$$

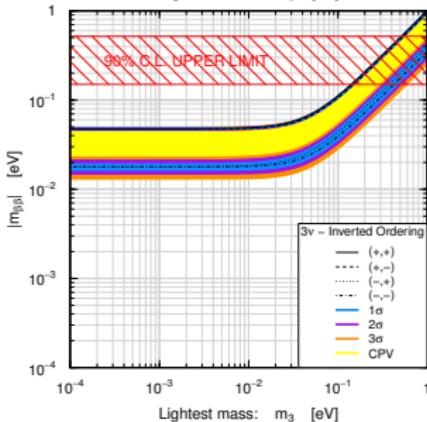
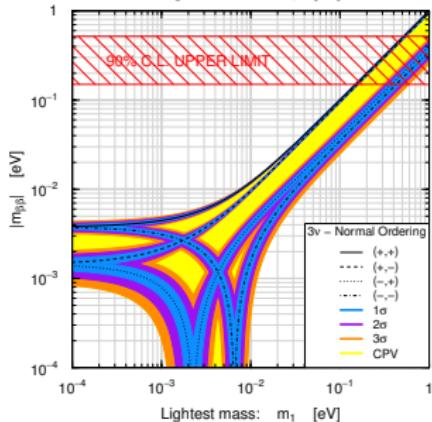
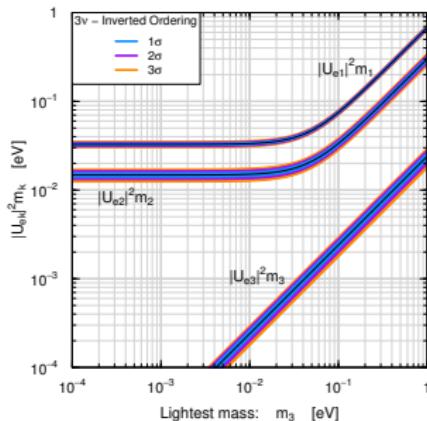
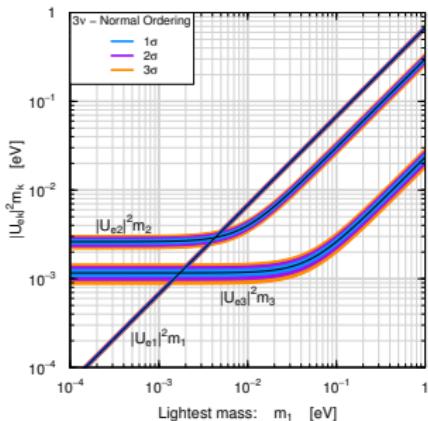
$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_2} m_2 + |U_{e3}|^2 e^{i\alpha_3} m_3$$

$$\alpha_2 = 2\lambda_2 \quad \alpha_3 = 2(\lambda_3 - \delta_{13})$$



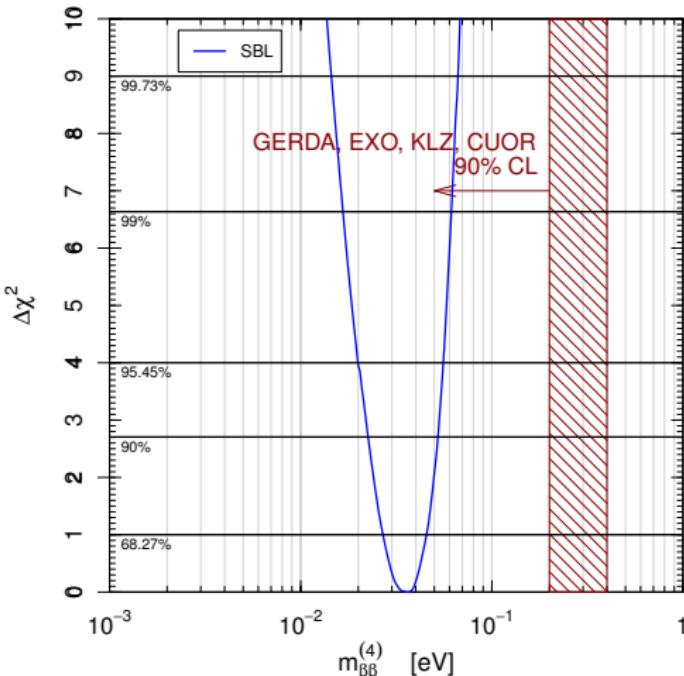
Predictions of 3ν -Mixing Paradigm

$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_2} m_2 + |U_{e3}|^2 e^{i\alpha_3} m_3$$



3+1 Mixing

$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_{21}} m_2 + |U_{e3}|^2 e^{i\alpha_{31}} m_3 + |U_{e4}|^2 e^{i\alpha_{41}} m_4$$



$$m_{\beta\beta}^{(k)} = |U_{ek}|^2 m_k$$

$$\begin{aligned} m_1 &\ll m_4 \\ \downarrow \\ m_{\beta\beta}^{(4)} &\simeq |U_{e4}|^2 \sqrt{\Delta m_{41}^2} \end{aligned}$$

surprise:
 possible cancellation
 with $m_{\beta\beta}^{(3\nu)}$

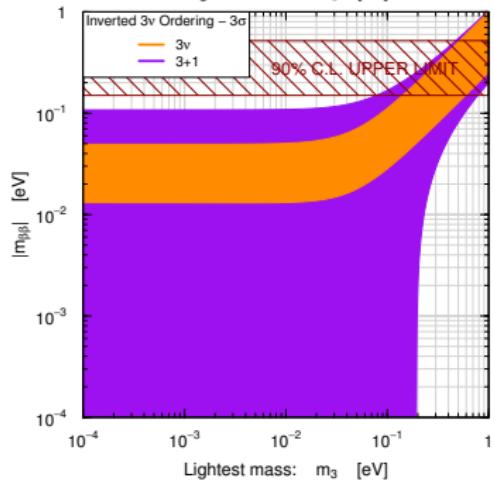
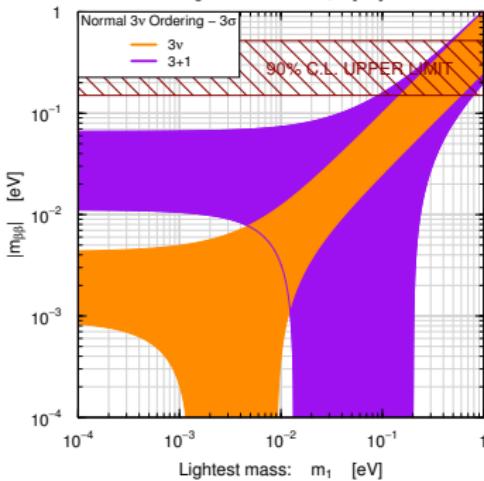
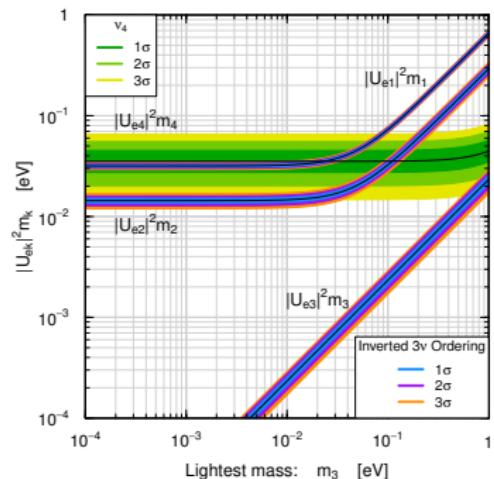
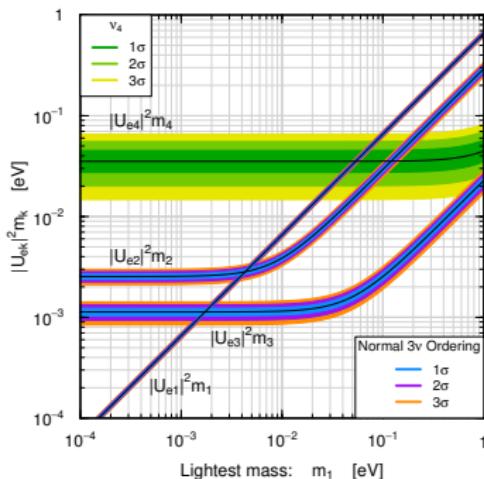
[Barry et al, JHEP 07 (2011) 091]

[Li, Liu, PLB 706 (2012) 406]

[Rodejohann, JPG 39 (2012) 124008]

[Girardi, Meroni, Petcov, JHEP 1311 (2013) 146]

[CG, Zavanin, JHEP 07 (2015) 171]



Cosmology

- neutrinos in equilibrium in early Universe through weak interactions:

$$\nu \bar{\nu} \leftrightarrows e^+ e^- \quad \stackrel{(-)}{\nu} e \leftrightarrows \stackrel{(-)}{\nu} e \quad \stackrel{(-)}{\nu} N \leftrightarrows \stackrel{(-)}{\nu} N$$

$$\nu_e n \leftrightarrows p e^- \quad \bar{\nu}_e p \leftrightarrows n e^+ \quad n \leftrightarrows p e^- \bar{\nu}_e$$

- weak interactions freeze out \Rightarrow active $(\nu_e, \nu_\mu, \nu_\tau)$ neutrino decoupling

$$\Gamma_{\text{weak}} = N \sigma v \sim G_F^2 T^5 \sim T^2 / M_P \sim \sqrt{G_N T^4} \sim \sqrt{G_N \rho} \sim H$$

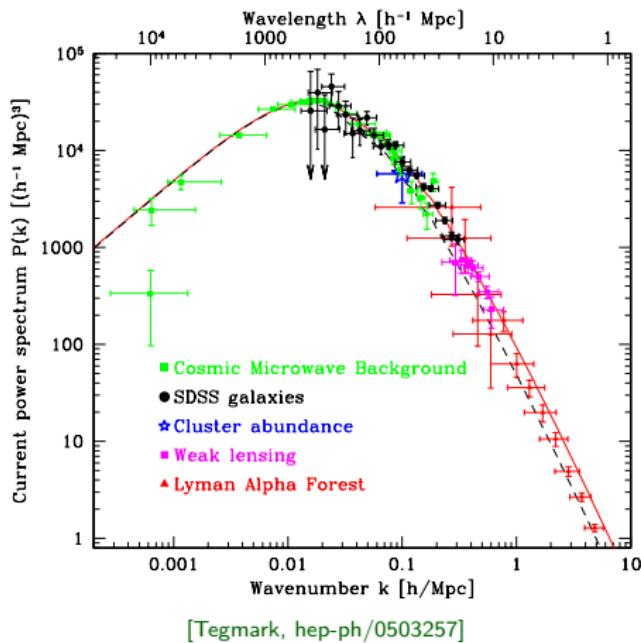
$$T_{\nu\text{-dec}} \sim 1 \text{ MeV} \quad t_{\nu\text{-dec}} \sim 1 \text{ s}$$

- relic neutrinos: $T_\nu = \left(\frac{4}{11}\right)^{\frac{1}{3}} T_\gamma \simeq 1.945 \text{ K} \Rightarrow k T_\nu \simeq 1.676 \times 10^{-4} \text{ eV}$
 $(T_\gamma = 2.725 \pm 0.001 \text{ K})$

- number density: $n_f = \frac{3}{4} \frac{\zeta(3)}{\pi^2} g_f T_f^3 \Rightarrow n_{\nu_k, \bar{\nu}_k} \simeq 0.1827 T_\nu^3 \simeq 112 \text{ cm}^{-3}$

- density contribution: $\Omega_k = \frac{n_{\nu_k, \bar{\nu}_k} m_k}{\rho_c} \simeq \frac{1}{h^2} \frac{m_k}{94.1 \text{ eV}} \Rightarrow \Omega_\nu h^2 = \frac{\sum_k m_k}{94.1 \text{ eV}}$
 $(\rho_c = \frac{3H^2}{8\pi G_N})$ [Gershtein, Zeldovich, JETP Lett. 4 (1966) 120; Cowsik, McClelland, PRL 29 (1972) 669]

Power Spectrum of Density Fluctuations



Solid Curve: flat Λ CDM model

$$(\Omega_M^0 = 0.28, h = 0.72, \Omega_B^0/\Omega_M^0 = 0.16)$$

Dashed Curve: $\sum_{k=1}^3 m_k = 1 \text{ eV}$

hot dark matter
prevents early galaxy formation

$$\delta(\vec{x}) \equiv \frac{\rho(\vec{x}) - \bar{\rho}}{\bar{\rho}}$$

$$\langle \delta(\vec{x}_1)\delta(\vec{x}_2) \rangle = \int \frac{d^3k}{(2\pi)^3} e^{i\vec{k}\cdot\vec{x}} P(\vec{k})$$

small scale suppression

$$\begin{aligned} \frac{\Delta P(k)}{P(k)} &\approx -8 \frac{\Omega_\nu}{\Omega_m} \\ &\approx -0.8 \left(\frac{\sum_k m_k}{1 \text{ eV}} \right) \left(\frac{0.1}{\Omega_m h^2} \right) \end{aligned}$$

for

$$k \gtrsim k_{nr} \approx 0.026 \sqrt{\frac{m_\nu}{1 \text{ eV}}} \sqrt{\Omega_m} h \text{ Mpc}^{-1}$$

[Hu, Eisenstein, Tegmark, PRL 80 (1998) 5255]

CMB (WMAP, ...) + LSS (2dFGRS) + HST + SN-Ia \implies Flat Λ CDM

$$T_0 = 13.7 \pm 0.2 \text{ Gyr} \quad h = 0.71^{+0.04}_{-0.03}$$

$$\Omega_0 = 1.02 \pm 0.02 \quad \Omega_b = 0.044 \pm 0.004 \quad \Omega_m = 0.27 \pm 0.04$$

$$\Omega_\nu h^2 < 0.0076 \quad (\text{95\% conf.}) \implies \sum_{k=1}^3 m_k < 0.71 \text{ eV}$$

CMB + HST + SN-Ia + BAO

$$T_0 = 13.72 \pm 0.12 \text{ Gyr} \quad h = 0.705 \pm 0.013$$

$$-0.0179 < \Omega_0 - 1 < 0.0081 \quad (\text{95\% C.L.})$$

$$\Omega_b = 0.0456 \pm 0.0015 \quad \Omega_m = 0.274 \pm 0.013$$

$$\sum_{k=1}^3 m_k < 0.67 \text{ eV} \quad (\text{95\% C.L.}) \quad N_{\text{eff}} = 4.4 \pm 1.5$$

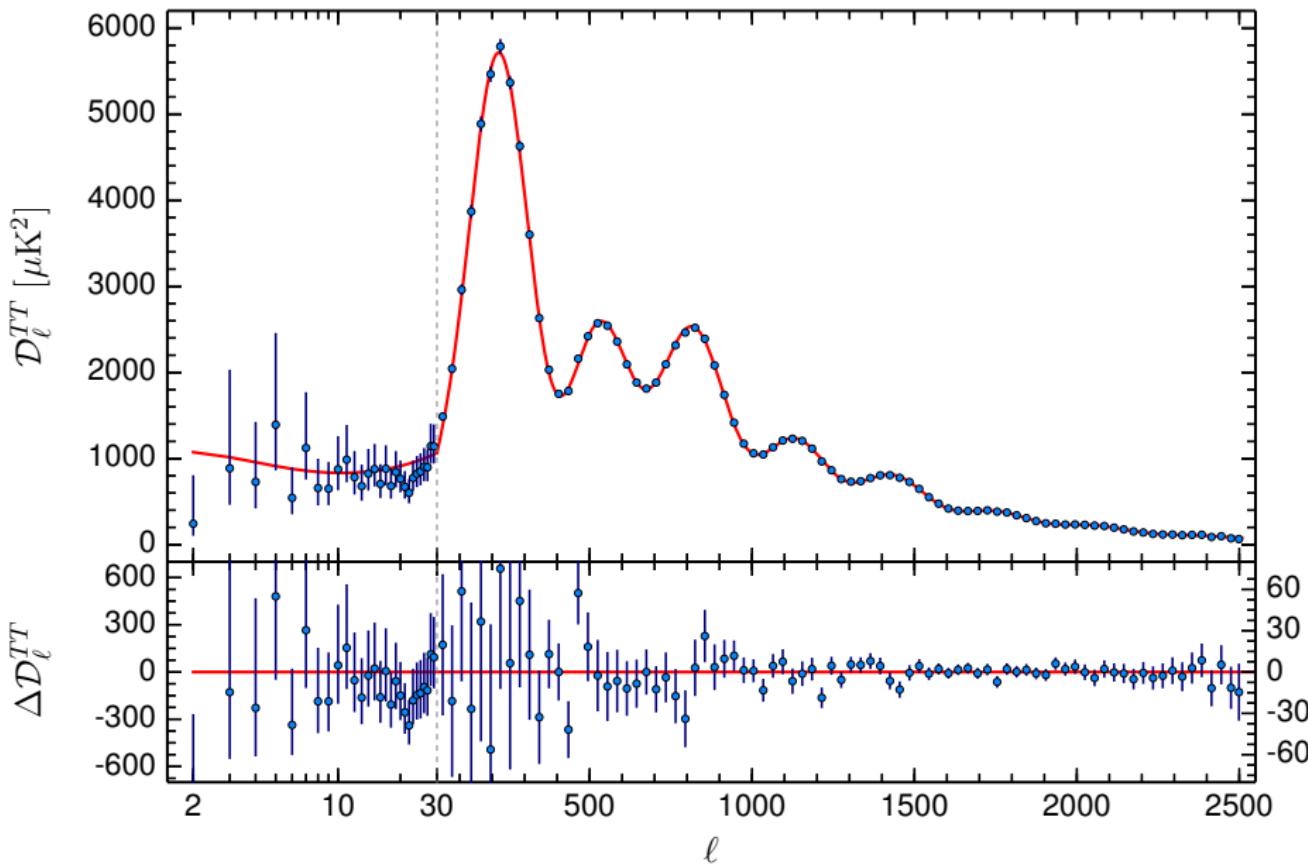
Flat Λ CDM

Case	Cosmological data set	Σ (at 2σ)
1	CMB	< 1.19 eV
2	CMB + LSS	< 0.71 eV
3	CMB + HST + SN-Ia	< 0.75 eV
4	CMB + HST + SN-Ia + BAO	< 0.60 eV
5	CMB + HST + SN-Ia + BAO + Ly α	< 0.19 eV

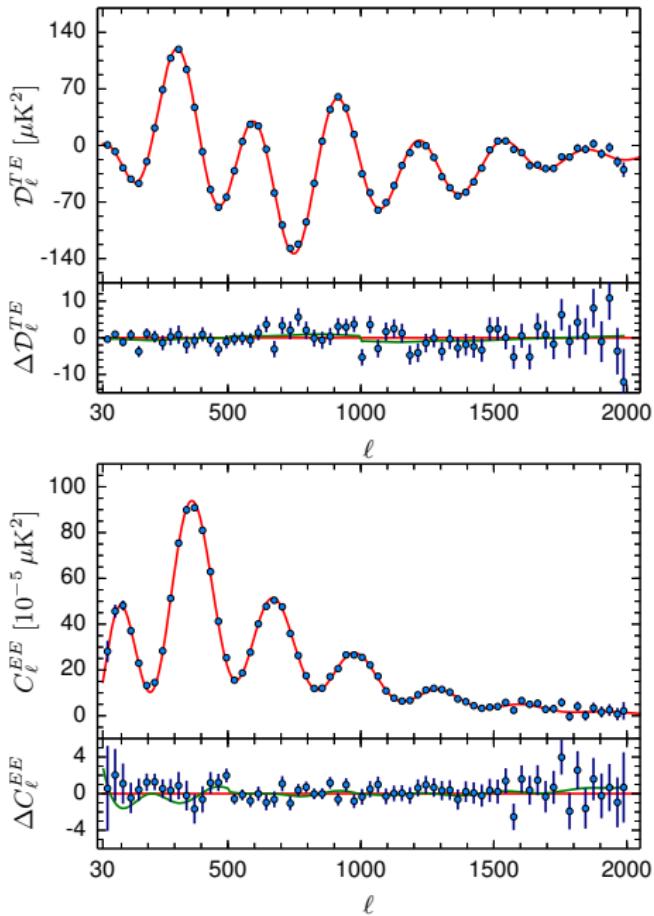
2σ (95% C.L.) constraints on the sum of ν masses Σ .

Planck

[Astron. Astrophys. 594 (2016) A13 (arXiv:1502.01589)]

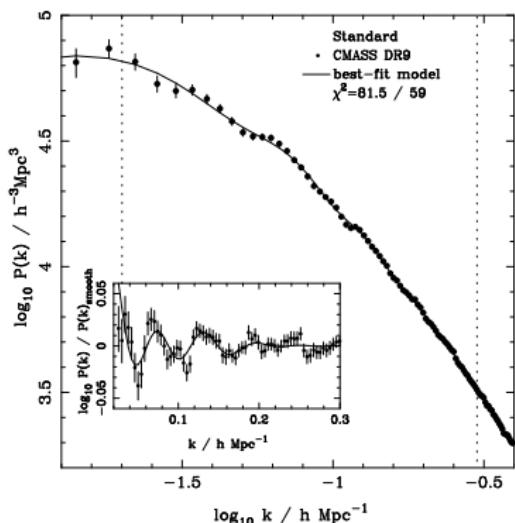


Planck Polarization Data



Planck Terminology

- ▶ TT denotes the Planck TT data (low- ℓ for $\ell < 30$ and high- ℓ for $\ell \geq 30$).
- ▶ lowP denotes the Planck polarization data at multipoles $\ell < 30$ (low- ℓ).
- ▶ TE denotes the Planck TE data at $\ell \geq 30$.
- ▶ EE denotes the Planck EE data at $\ell \geq 30$.
- ▶ Lensing denotes the Planck weak lensing data.
- ▶ BAO denotes the Baryon Acoustic Oscillation data.



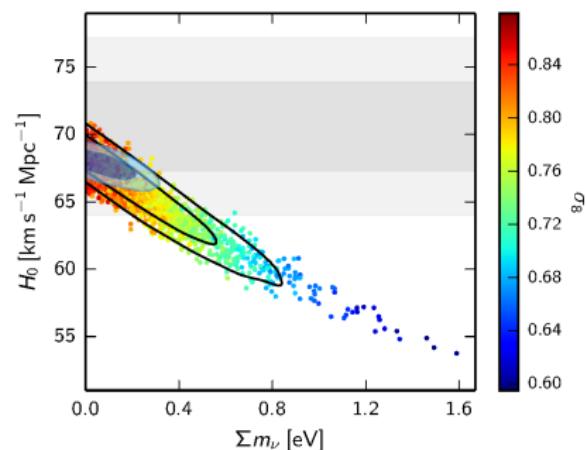
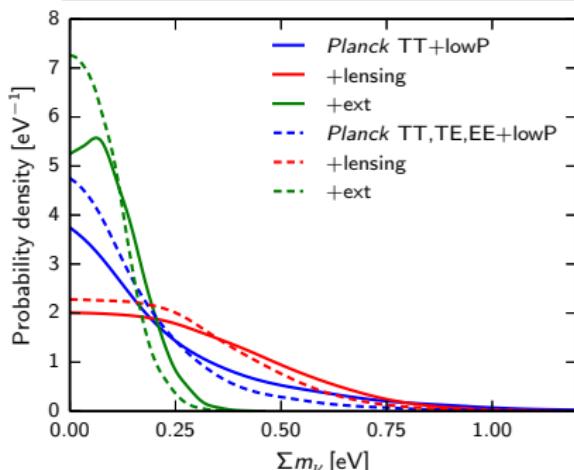
Baryon Oscillation Spectroscopic Survey
(BOSS)
part of the Sloan Digital Sky Survey III
(SDSS-III)
Data Release 9 (DR9) CMASS sample

[MNRAS, 427 (2013) 3435 (arXiv:1203.6594)]

Sum of Standard Light Neutrino Masses

[Planck, Astron. Astrophys. 594 (2016) A13 (arXiv:1502.01589)]

Cosmological data set	Σ (at 95% C.L.)
Planck TT + lowP	< 0.72 eV
Planck TT + lowP + BAO	< 0.21 eV
Planck TT,TE,EE + lowP	< 0.49 eV
Planck TT,TE,EE + lowP + BAO	< 0.17 eV
Planck TT + lowP + lensing	< 0.68 eV
Planck TT,TE,EE + lowP + lensing	< 0.59 eV
Planck TT + lowP + lensing + BAO + H_0	< 0.23 eV



Light Sterile Neutrinos in Cosmology

- sterile neutrinos are produced by $\nu_{e,\mu,\tau} \rightarrow \nu_s$ oscillations before active neutrino decoupling ($t_{\nu\text{-dec}} \sim 1\text{ s}$)

[Dolgov, Villante, NPB 679 (2004) 261]

- energy density of radiation before matter-radiation equality:

$$\rho_R = \left[1 + \frac{7}{8} \left(\frac{4}{11} \right)^{4/3} N_{\text{eff}} \right] \rho_\gamma \quad (t < t_{\text{eq}} \sim 6 \times 10^4 \text{ y})$$

$$N_{\text{eff}}^{\text{SM}} = 3.046 \quad [\text{Mangano et al, NPB 729 (2005) 221}] \quad \Delta N_{\text{eff}} = N_{\text{eff}} - N_{\text{eff}}^{\text{SM}}$$

- sterile neutrino contribution:

$$\rho_s = (T_s/T_\nu)^4 \rho_\nu \implies \Delta N_{\text{eff}} = (T_s/T_\nu)^4$$

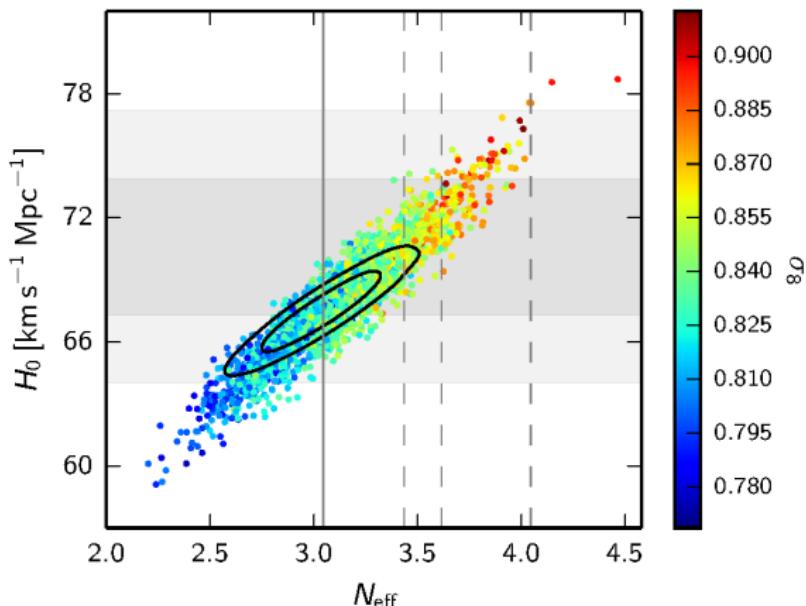
- sterile neutrino $\nu_s \simeq \nu_4$ with mass $m_s = m_4 \simeq \sqrt{\Delta m_{41}^2} \sim 1 \text{ eV}$ becomes non-relativistic at $T_\nu \sim m_s/3$, that is at $t_{\nu_s\text{-nr}} \sim 2.0 \times 10^5 \text{ y}$, before recombination at $t_{\text{rec}} \sim 3.8 \times 10^5 \text{ y}$
- current energy density of sterile neutrinos:

$$\Omega_s = \frac{n_s m_s}{\rho_c} \simeq \frac{1}{h^2} \frac{(T_s/T_\nu)^3 m_s}{94.1 \text{ eV}} = \frac{1}{h^2} \frac{\Delta N_{\text{eff}}^{3/4} m_s}{94.1 \text{ eV}} = \frac{1}{h^2} \frac{m_s^{\text{eff}}}{94.1 \text{ eV}}$$
$$m_s^{\text{eff}} = \Delta N_{\text{eff}}^{3/4} m_s = (T_s/T_\nu)^3 m_s$$

Limits on Dark Radiation

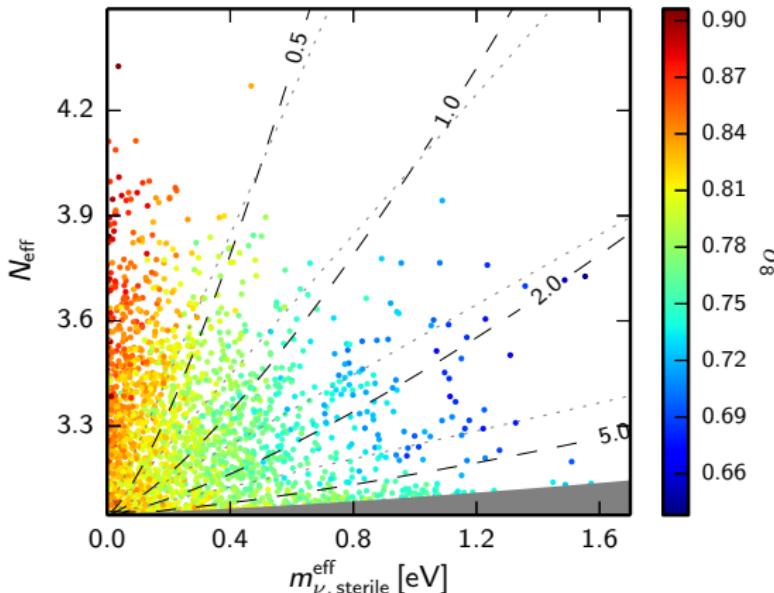
[Planck, Astron. Astrophys. 594 (2016) A13 (arXiv:1502.01589)]

Cosmological data set	N_{eff}
Planck TT + lowP	3.13 ± 0.32
Planck TT + lowP + BAO	3.15 ± 0.23
Planck TT,TE,EE + lowP	2.99 ± 0.20
Planck TT,TE,EE + lowP + BAO	3.04 ± 0.18



Limits on Light Sterile Neutrinos

$N_{\text{eff}} < 3.7$, $m_s^{\text{eff}} < 0.52$ (95%; Planck TT + lowP + lensing + BAO)



m_4 is constant along the gray dashed (dotted) lines in the thermal (Dodelson-Widrow) scenario. The gray region is excluded by the prior $m_4^{\text{thermal}} < 10 \text{ eV}$, which excludes most of the area where the neutrinos behave nearly like dark matter.

- ▶ $m_s^{\text{eff}} \equiv 94.1 \Omega_s h^2 \text{ eV}$
- ▶ Thermally distributed:

$$f_s(E) = \frac{1}{e^{E/T_s} + 1}$$

$$\begin{aligned} m_s^{\text{eff}} &= \left(\frac{T_s}{T_\nu} \right)^3 m_4 \\ &= (\Delta N_{\text{eff}})^{3/4} m_4 \end{aligned}$$

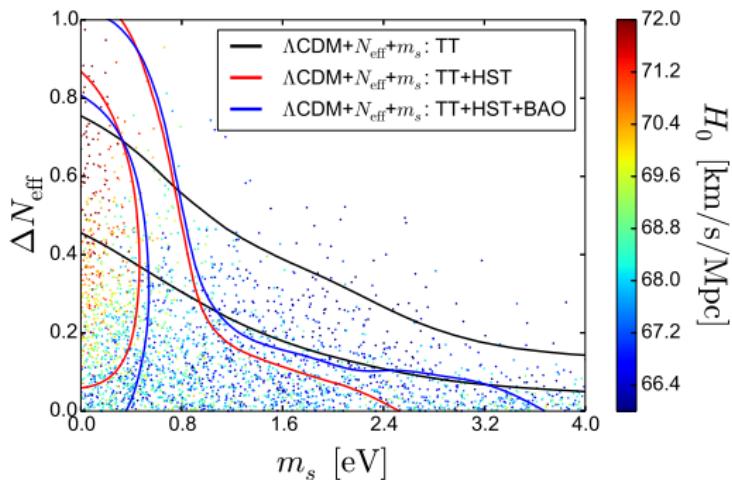
- ▶ Dodelson-Widrow:

$$f_s(E) = \frac{\chi}{e^{E/T_\nu} + 1}$$

$$m_s^{\text{eff}} = \chi_s m_4$$

Parameter	TT	TT+HST	TT+BAO	TT+HST+BAO	TTTEEE
H_0 [km/s/Mpc]	$68.0^{+1.0}_{-1.5}$	$70.7^{+1.7}_{-2.0}$	$68.3^{+0.6}_{-1.0}$	$69.8^{+1.2}_{-1.5}$	$67.0^{+0.7}_{-0.8}$
N_{eff}	< 3.53	< 3.88	< 3.49	< 3.84	< 3.36

Marginalised constraints are given at 1σ , while upper bounds are given at 2σ .



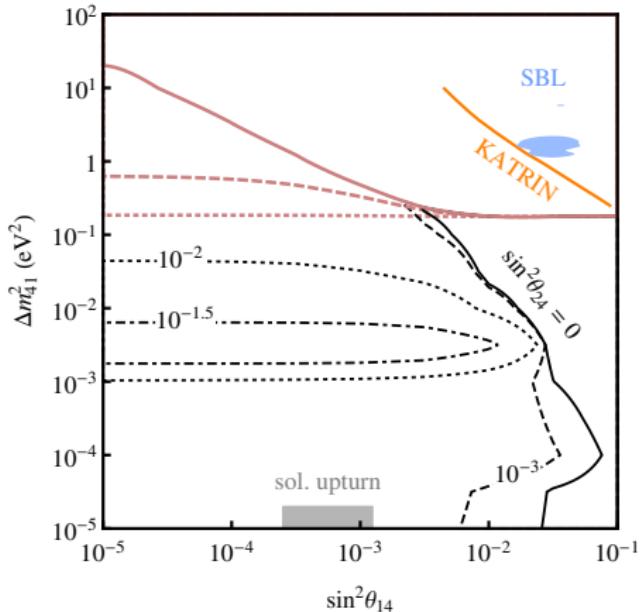
The excluded regions are on the right of each line, at 1σ and 2σ confidence level.

[Archidiacono, Gariazzo, CG, Hannestad, Hansen, Laveder, Tram, JCAP 1608 (2016) 067]

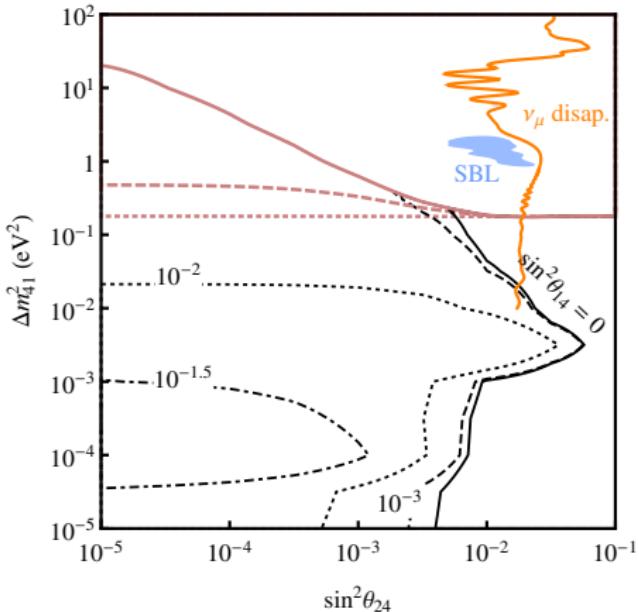
Standard Cosmological Scenario Mixing Bounds

[Mirizzi, Mangano, Saviano, Borriello, CG, Miele, Pisanti, PLB 726 (2013) 8 (arXiv:1303.5368)]

a) $\Delta m_{41}^2 > 0, \sin^2 \theta_{34} = 0$



b) $\Delta m_{41}^2 > 0, \sin^2 \theta_{34} = 0$



Non-standard mechanism for partial thermalization of ν_s is needed

Tension between $\Delta N_{\text{eff}} = 1$ and $m_s \approx 1 \text{ eV}$

Sterile neutrinos are thermalized ($\Delta N_{\text{eff}} = 1$) by active-sterile oscillations before neutrino decoupling

[Dolgov, Villante, NPB 679 (2004) 261]

Proposed mechanisms to avoid the tension:

- ▶ Large lepton asymmetry [Hannestad, Tamborra, Tram, JCAP 1207 (2012) 025; Mirizzi, Saviano, Miele, Serpico, PRD 86 (2012) 053009; Saviano et al., PRD 87 (2013) 073006; Hannestad, Hansen, Tram, JCAP 1304 (2013) 032]
- ▶ Interactions in the sterile sector [Hannestad, Hansen, Tram, PRL 112 (2014) 031802; Dasgupta, Kopp et al, PRL 112 (2014) 031803, JCAP 1510 (2015) 011; Bringmann, Hasenkamp, Kersten, JCAP 1407 (2014) 042; Ko, Tang, PLB 739 (2014) 62; Archidiacono, Hannestad et al, PRD 91 (2015) 065021, PRD 93 (2016) 045004, JCAP 1608 (2016) 067; Mirizzi, Mangano, Pisanti, Saviano, PRD 90 (2014) 113009, PRD 91 (2015) 025019; Tang, PLB 750 (2015) 201; Cherry, Friedland, Shoemaker, arXiv:1411.1071, arXiv:1605.06506]
- ▶ A larger cosmic expansion rate at the time of sterile neutrino production [Rehagen, Gelmini JCAP 1406 (2014) 044]
- ▶ MeV dark matter annihilation [Ho, Scherrer, PRD 87 (2013) 065016]
- ▶ Invisible decay [Gariazzo, CG, Laveder, arXiv:1404.6160]
- ▶ Free primordial power spectrum of scalar fluctuations (Inflationary Freedom) [Gariazzo, CG, Laveder, JCAP 1504 (2015) 023]

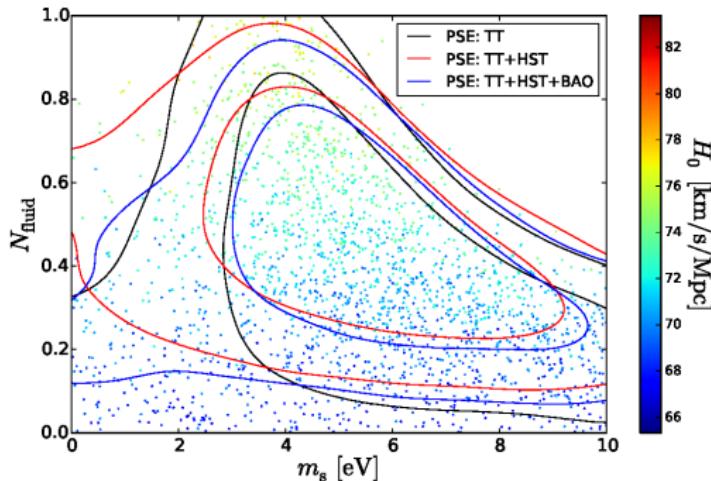
Pseudoscalar–Sterile Neutrino Interactions

[Archidiacono, Gariazzo, CG, Hannestad, Hansen, Laveder, Tram, JCAP 1608 (2016) 067]

$$\mathcal{L} \sim g_s \phi \bar{\nu}_4 \gamma_5 \nu_4 \quad 10^{-6} \lesssim g_s \lesssim 10^{-5}$$

Parameter	TT	TT+HST	TT+BAO	TT+HST+BAO	TTTEEE
H_0 [km/s/Mpc]	$71.4^{+1.8}_{-3.0}$	72.4 ± 2.5	69.8 ± 1.4	71.1 ± 1.2	70.9 ± 1.8
N_{eff}	< 3.94	3.53 ± 0.18	< 3.67	3.49 ± 0.18	< 3.69

Marginalised constraints are given at 1σ , while upper bounds are given at 2σ .

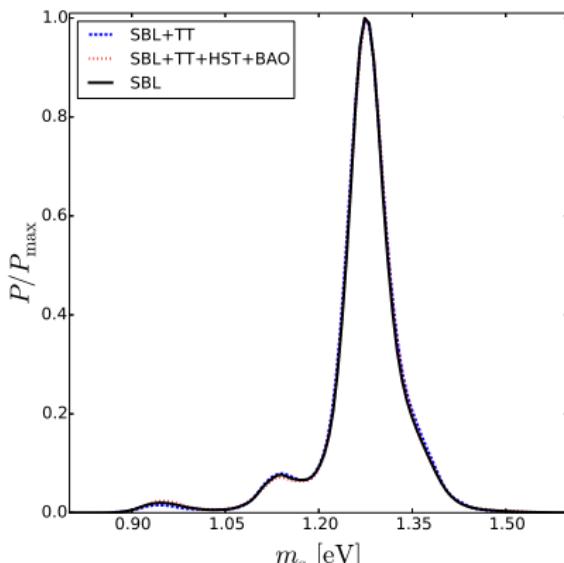


The excluded regions are on the right of each line, at 1σ and 2σ confidence level.

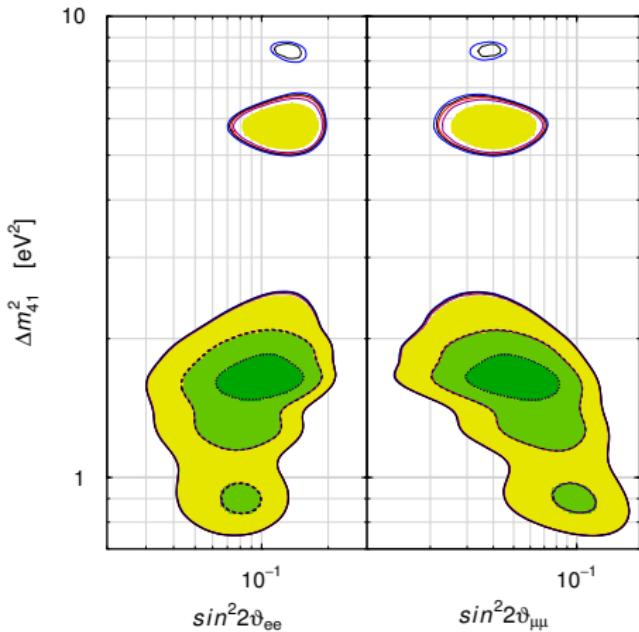
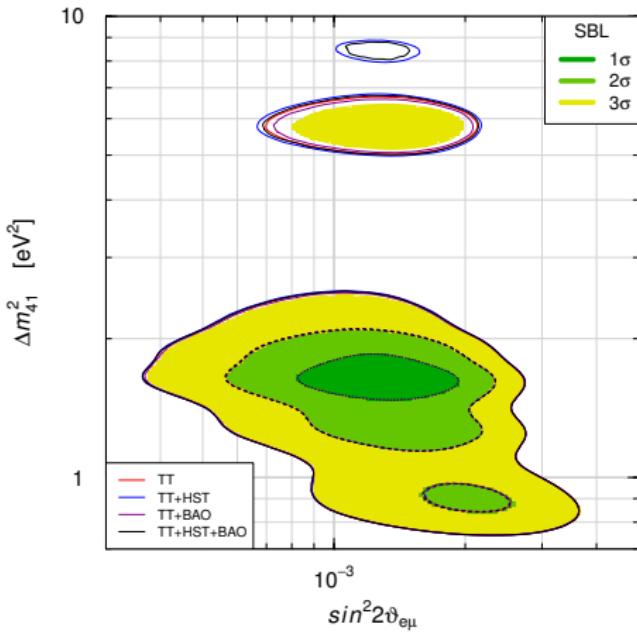
Analysis of Cosmological Data with SBL Prior

Parameter	SBL+TT	SBL+TT+HST	SBL+TT+BAO	SBL+TT+HST+BAO
H_0 [km/s/Mpc]	$70.9^{+1.6}_{-3.3}$	72.2 ± 1.7	$69.19^{+0.83}_{-1.4}$	$70.6^{+1.1}_{-1.4}$
N_{eff}	< 3.97	3.51 ± 0.20	< 3.57	$3.43^{+0.18}_{-0.22}$
m_s [eV]	$1.272^{+0.052}_{-0.038}$	$1.274^{+0.050}_{-0.038}$	$1.270^{+0.055}_{-0.035}$	$1.270^{+0.055}_{-0.035}$

Marginalised constraints are given at 1σ , while upper bounds are given at 2σ .



Analysis of SBL Data with Cosmological Prior



Conclusions

- ▶ Exciting indications of light sterile neutrinos at the eV scale:
 - ▶ LSND $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ signal.
 - ▶ Gallium ν_e disappearance.
 - ▶ Reactor $\bar{\nu}_e$ disappearance.
- ▶ Vigorous experimental program to check conclusively in a few years:
 - ▶ ν_e and $\bar{\nu}_e$ disappearance with reactors and radioactive sources.
 - ▶ $\nu_\mu \rightarrow \nu_e$ transitions with accelerator neutrinos.
 - ▶ ν_μ disappearance with accelerator neutrinos.
- ▶ Independent tests through effect of m_4 in β -decay and $\beta\beta_{0\nu}$ -decay.
- ▶ Cosmology: tension between $\Delta N_{\text{eff}} = 1$ and $m_s \approx 1 \text{ eV}$. It may be due to a non-standard cosmological mechanism.
- ▶ Possibilities for the next years:
 - ▶ Reactor and source experiments ν_e and $\bar{\nu}_e$ observe SBL oscillations: big excitement and explosion of the field.
 - ▶ Otherwise: still marginal interest to check the LSND appearance signal.
 - ▶ In any case the possibility of the existence of sterile neutrinos related to New Physics beyond the Standard Model will continue to be studied (e.g keV sterile neutrinos).