

# Characterization and implementation of Pencil Beam Scanning proton therapy techniques: from spot scanning to continuous scanning

**Supervisors**  
Prof. V. Patera  
PhD R. Van Roermund

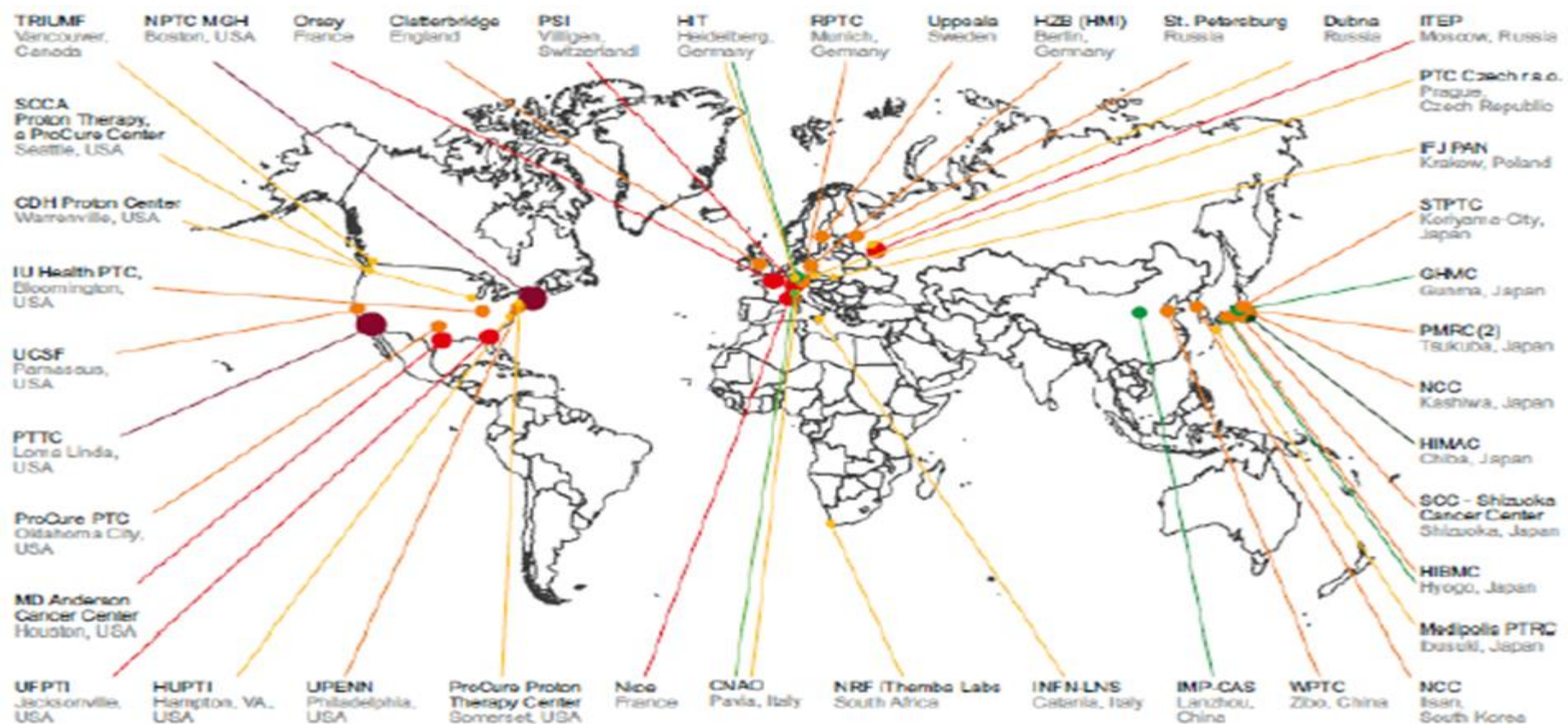
**Candidate**  
Annalisa Patriarca

# Outline

- Introduction
  - Physics and biology principles of protontherapy
  - Medical accelerators and delivery systems
  - Context and motivations of the PhD
- Spot vs continuous scanning
  - Dose calculations models and measurements comparisons
  - Treatment time evaluation
- Future applications of continuous scanning
- Conclusions



# Introduction



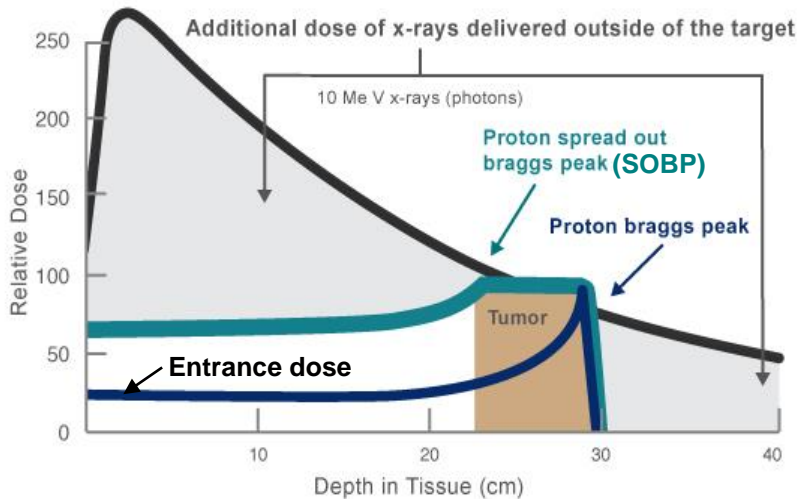
66 particle therapy facilities in operation

More than 100 expected by the end of 2020

~131000 treated patients with protons (~19000 with C-ions)

# Introduction

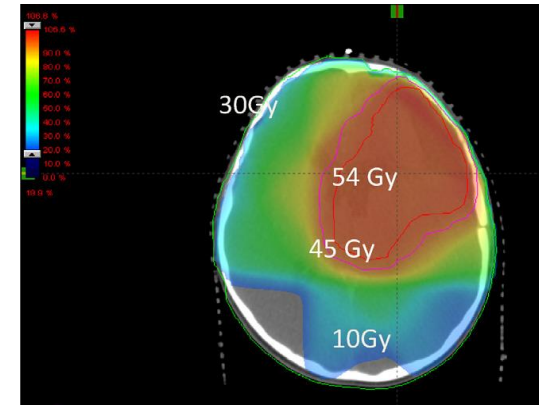
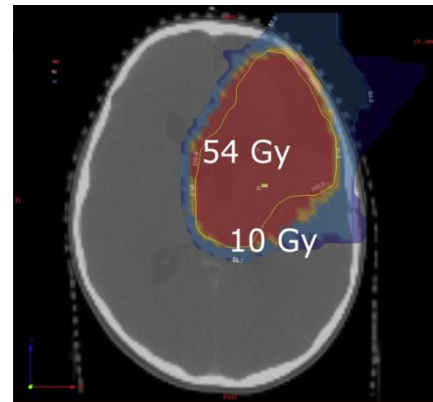
## ○ Why protontherapy?



$$D = E_{vol} / m_{vol} \quad [Gy = J/kg]$$

Protons dose distribution

Photons dose distribution



Max dose to the tumour



effectiveness of the treatment

Less dose to the nearby healthy tissues



toxicity limitations

Reduction of the integral doses



second cancers reduction

Higher Radiobiological Effectiveness



$$RBE = \left( \frac{D_{photons}}{D_{protons}} \right)_{Survival} \cong 1.1$$



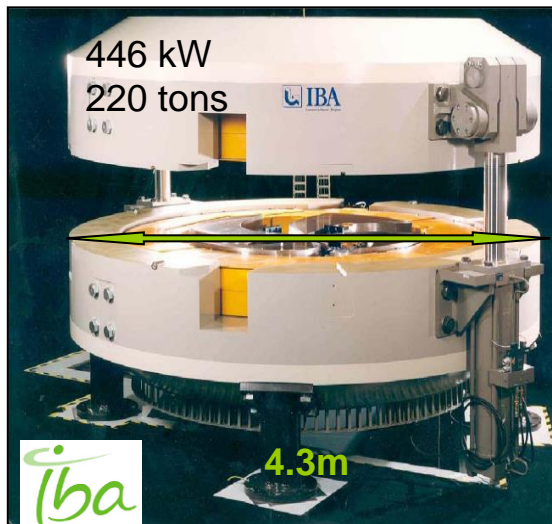
## ○ Hadrontherapy accelerators : protontherapy solutions

~ 60% cyclotrons wrt synchrotrons (~ 20% C-ions)

### Cyclotron:

- the most compact solution
- **Drawback**
- fixed energy/energy degrader

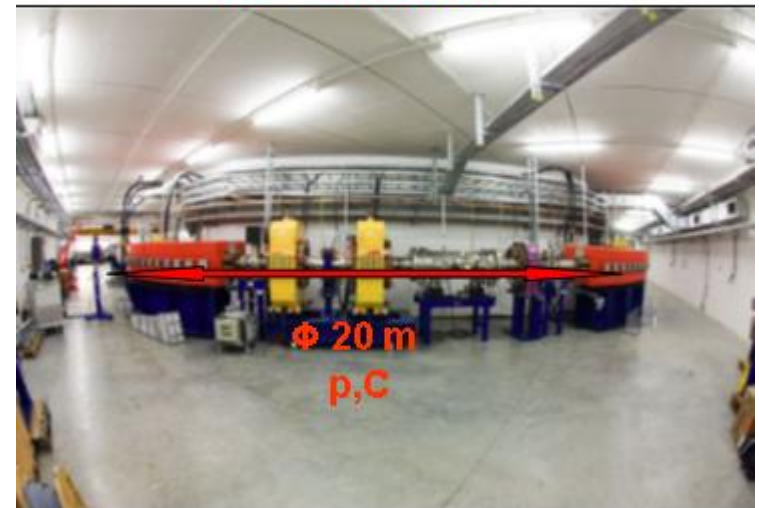
Conventional cyclotron (isochronous)



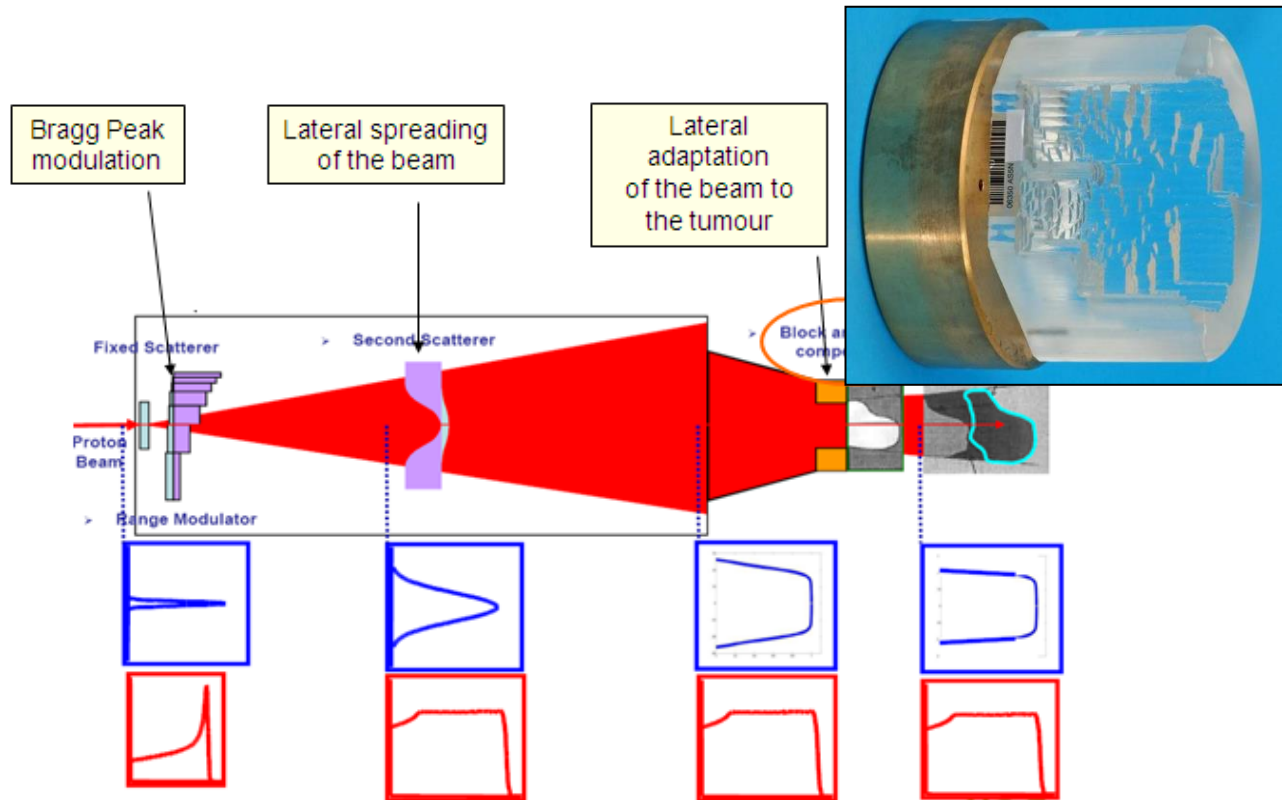
### Synchrotron:

- Different particles
- **Drawback**
- Size/cost

HIT- Siemens



## ○ Delivery systems: passive spreading

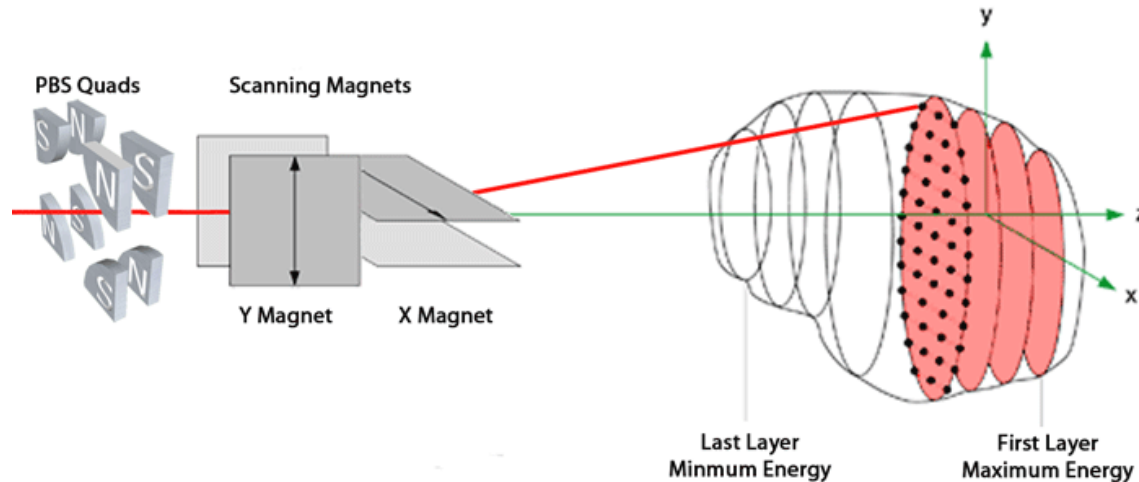


- **Need for beam spreading elements to laterally and in-depth shape the beam**
- **Need for specific patient devices to conform the beam to the tumor**

## ○ Delivery systems: pencil beam scanning

### Optimized dose distribution

- Better beam conformation
- Increase clinical indications
- The tumour is scanned through iso-energy layers



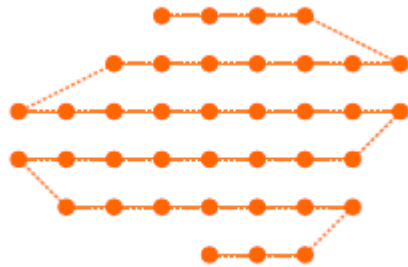
### Absence of personalized accessories

- faster beam set-up
- neutron reduction at the patient level
- less radioprotection issues

## ○ Delivery systems: pencil beam scanning strategies

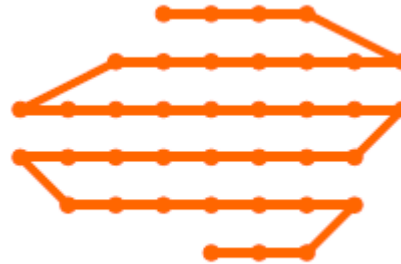
✓ Discrete dose delivery

✓ Continuous dose delivery



(a) spot scanning

✓ Typical of cyclotrons and synchrotrons



(b) raster scanning

✓ Typical of synchrotrons  
✓ Possible with cyclotrons



(c) line scanning

✓ Proposed for cyclotron in this PhD work  
✓ Possible with synchrotrons

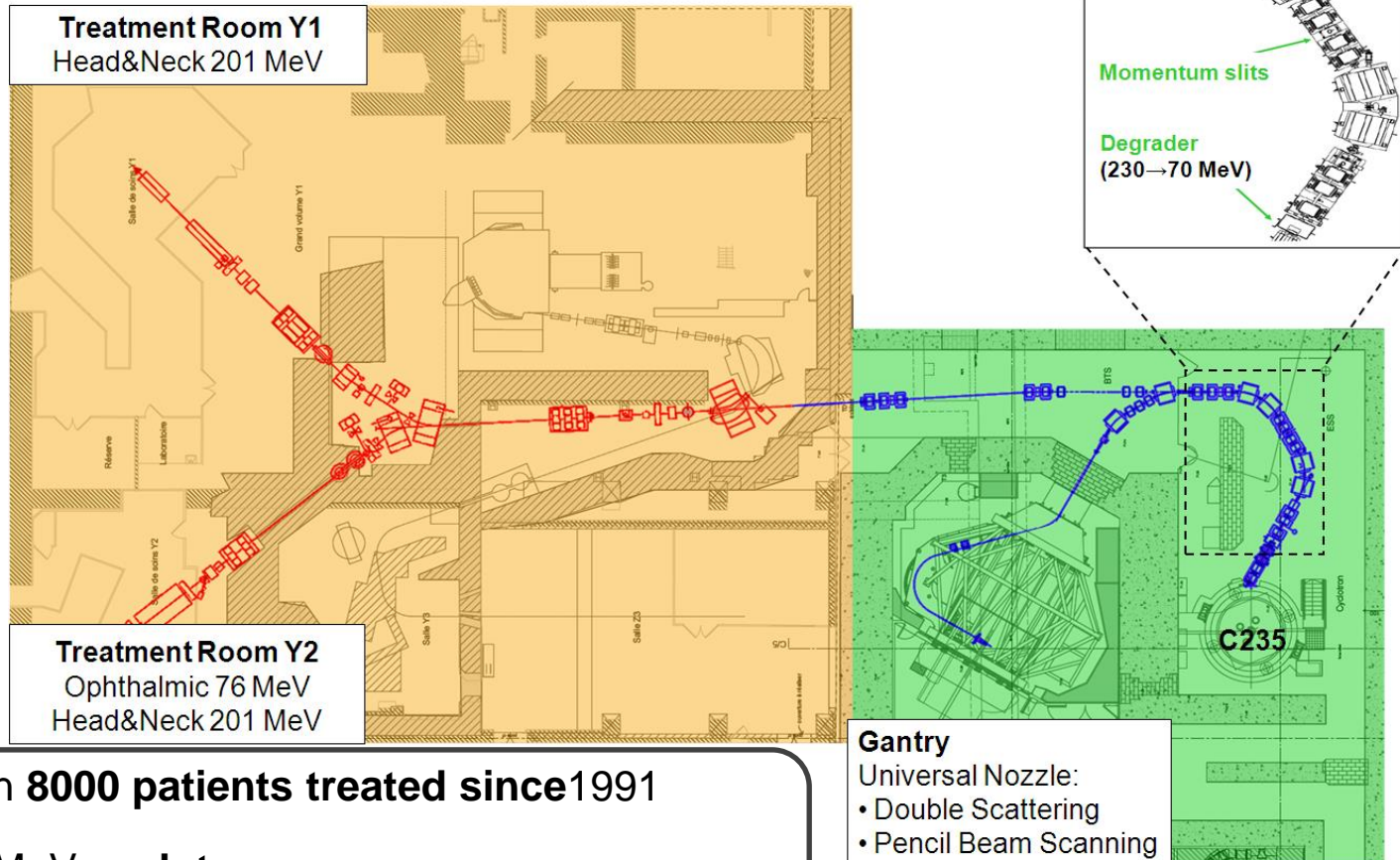


# Outline

- Introduction
  - Physics and biology principles of protontherapy
  - Medical accelerators and delivery systems
  - **Context and motivations of the PhD**
- Spot vs continuous scanning
  - Dose calculations models and measurements comparisons
  - Treatment time evaluation
- Future applications of continuous scanning
- Conclusions

## ○ Context of the PhD :

**Characterization and implementation of PBS proton therapy techniques at Institut Curie – Centre de Protonthérapie d'Orsay**



- ✓ More than **8000 patients treated since 1991**
- ✓ **IBA 230 MeV cyclotron**
- ✓ **3 treatment rooms: 2 fixed horizontal and 1 gantry**

## ○ Motivations of the PhD :

- Implementation of the **Pencil Beam Scanning** at ICPO for clinical use : data acquisition and dose modeling.
- Exploring the potential use of active scanning with the IBA system :
  - **Mobile tumors treatments** with pencil beam scanning presents the inconvenience of interplay effects due to the similar time scale between the beam delivery and the breathing cycle periodicity.
  - A **continuous irradiation** technique could be a valid solution to deliver a homogeneous dose distribution in mobile tumors cases (by speeding up the treatment time and by using repainting in a reasonable treatment duration).
  - What are the capabilities of the IBA system to perform continuous scanning irradiation pointing out what is achievable and what still need to be done.
- **High dose rate** and hypo-fractionation for **radiobiological studies**.

# Outline

- Introduction
  - Physics and biology principles of protontherapy
  - Medical accelerators and delivery systems
  - Context and motivations of the PhD
- Spot vs continuous scanning
  - **Dose calculations models/measurements comparisons**
  - Treatment time evaluation
- Future applications of continuous scanning
- Conclusions



## ○ Dose calculations models and measurements comparisons

- ✓ Dose planning in protontherapy :  
deliver Bragg peaks to cover a target volume homogeneously

Delivered dose  $D(x,y,z)$

$$D(x, y, z) = D_{depth}(z) \times T_x D(z, \sigma_x(z)) \times T_y D(z, \sigma_y(z))$$

Depth dose (Bragg peak)

- ✓ Measurements to validate
- ✓ Analytical models
- ✓ Monte Carlo simulations

Transverse dose distributions

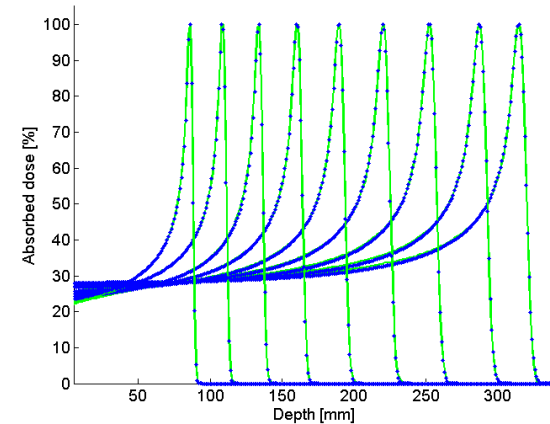
- ✓ Beam size ( $E$ ) and divergence dependence
- ✓ Analytical models
- ✓ Monte Carlo simulations
- ✓ Measurements to characterize the beam broadening in the patient

## ○ Dose calculations measurements

### ✓ Measurements device



Ionization chambers (PTW, IBA)

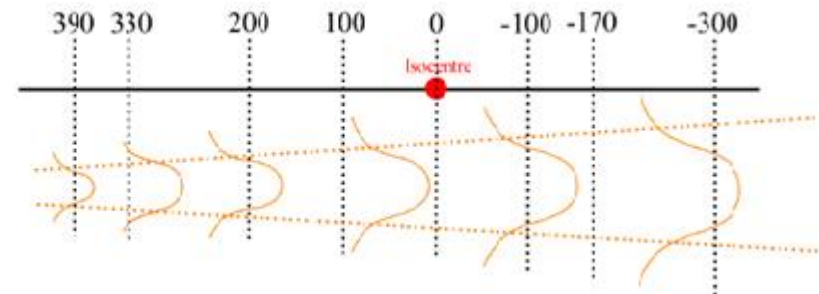


In water **depth-dose measurements** (green) and analytical model (blue)



Lynx : Scintillator + CCD camera

Proton source  
→

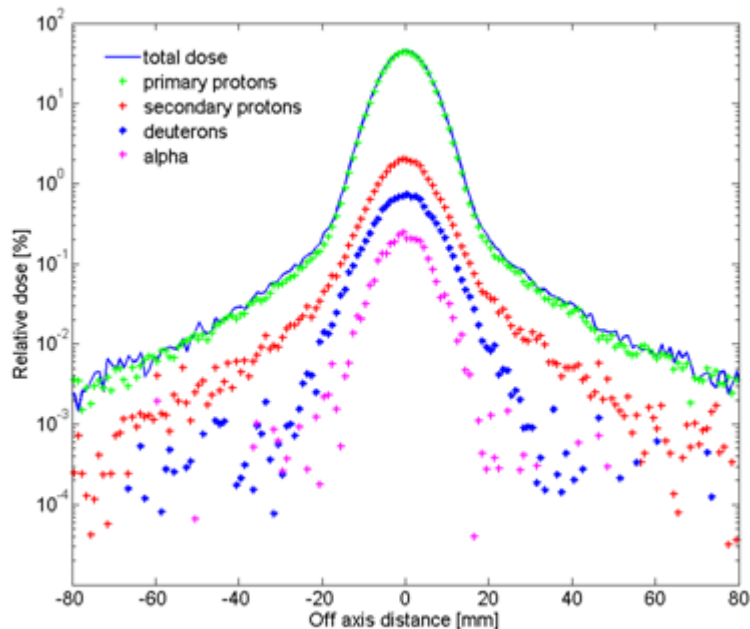


In air **spot profile measurements** set-up

## ○ Dose calculations models and measurements comparisons

### ✓ Lateral dose : spot scanning model

$$D(x, y, z) = D_{depth}(z) \times T_x D(z, \sigma_x(z)) \times T_y D(z, \sigma_y(z))$$



### Sum of Gaussian functions

$$D(x, y, z) \sim \frac{1}{2\pi} \left( \frac{D(z)}{\sigma(z)^2} - W_2(z) \right) e^{-\frac{(x-x_0)^2 - (y-y_0)^2}{2\sigma(z)^2}} + \frac{1}{2\pi} (W_2(z) - W_3(z)) e^{-\frac{(x-x_0)^2 - (y-y_0)^2}{2\sigma_2(z)^2}} + \frac{1}{2\pi} W_3(z) e^{-\frac{(x-x_0)^2 - (y-y_0)^2}{2\sigma_3(z)^2}}$$

Lateral dose profile of 160 MeV proton beam (sigma in air of 5 mm) in water at depth 8.5 cm. Transverse dose distribution for different secondary components are also shown. Data are from GEANT4.9.3/GATE6.2 simulations.

## ○ Dose calculations models and measurements comparisons

### ✓ Lateral dose : continuous scanning model

#### Integration of a 2D Gaussian along a straight line

$$D(x, y) = \frac{1}{2\pi\sigma_x\sigma_y} \int_A^B dx' \int_{-\infty}^{+\infty} dy' \delta(y' - mx' - p) \exp\left[-\frac{1}{2} \left(\frac{x - x'}{\sigma_x}\right)^2\right] \exp\left[-\frac{1}{2} \left(\frac{y - y'}{\sigma_y}\right)^2\right]$$

$$= \frac{1}{2\pi\sigma_x\sigma_y} \int_A^B dx' \exp\left[-\frac{1}{2} \left(\frac{x - x'}{\sigma_x}\right)^2\right] \exp\left[-\frac{1}{2} \left(\frac{y - mx' - p}{\sigma_y}\right)^2\right]$$

assuming  $\sigma_x = \sigma_y$

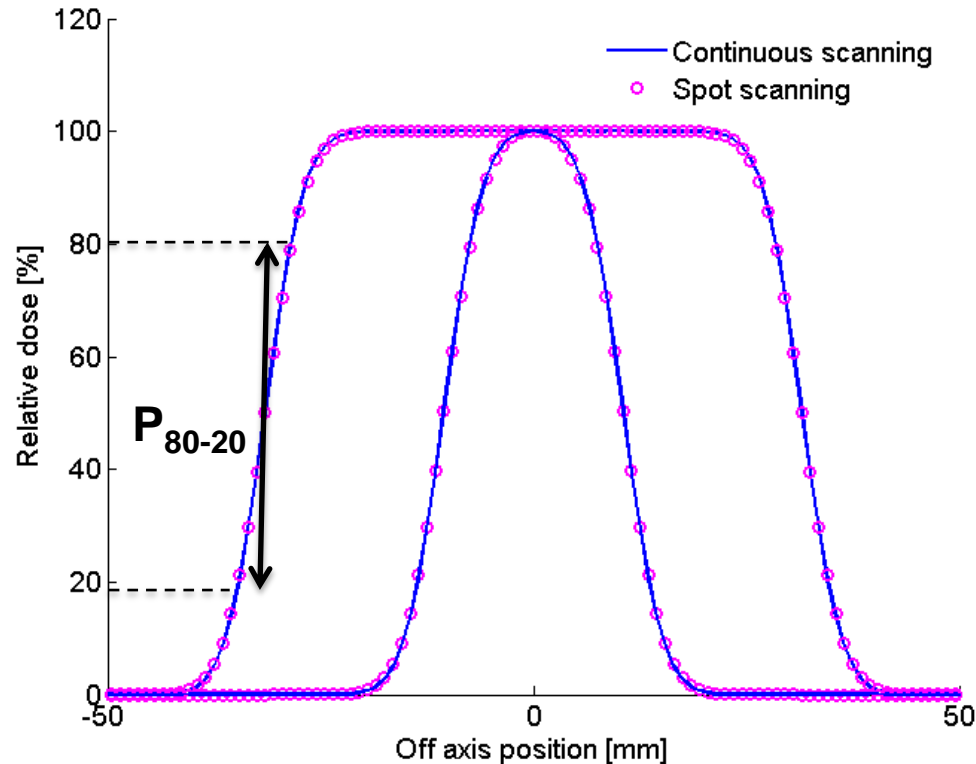
$$D(x, y) = \frac{1}{2\pi\sigma^2} \exp\left[-\frac{1}{2} \left(\frac{(mx - (y - p))^2}{(1 + m^2)\sigma^2}\right)\right] \left[ \operatorname{erf}\left(\frac{1}{\sqrt{2}} \frac{B - m'}{\sigma'}\right) - \operatorname{erf}\left(\frac{1}{\sqrt{2}} \frac{A - m'}{\sigma'}\right) \right]$$

$$\sigma'^2 = \frac{\sigma^2}{1 + m^2} \quad m'^2 = \frac{x + m(y - p)}{1 + m^2}$$



## ○ Dose calculations models comparisons : spot vs continuous

- ✓ Penumbra evaluation : study on the ballistic properties of the irradiation



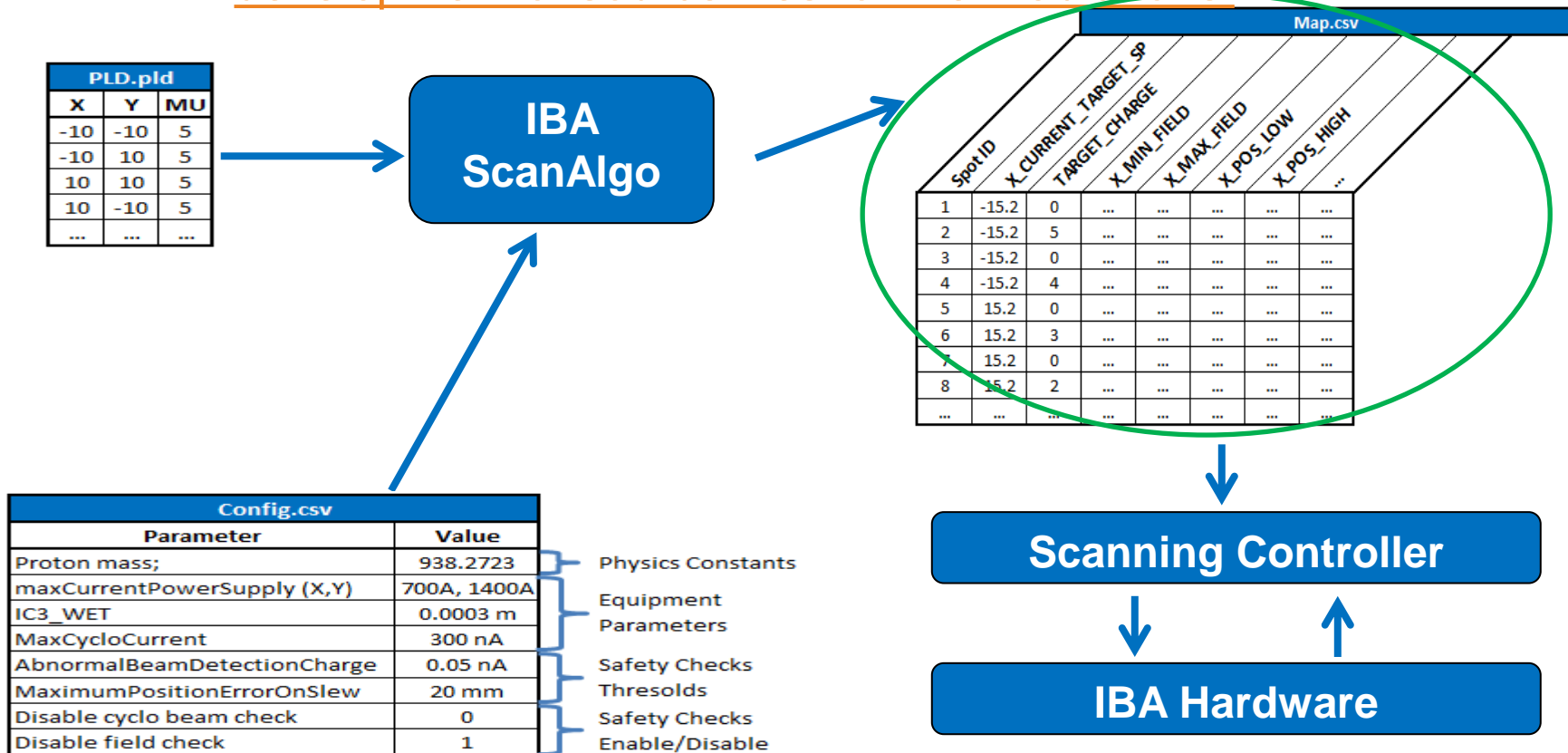
- ✓ Energy dependent
- ✓ Indication of the beam enlargement due to scattering in air and patient
- ✓ Important to control the dose distribution around critical organs

## ○ Dose calculations measurements comparisons

✓ How do we perform continuous measurement?

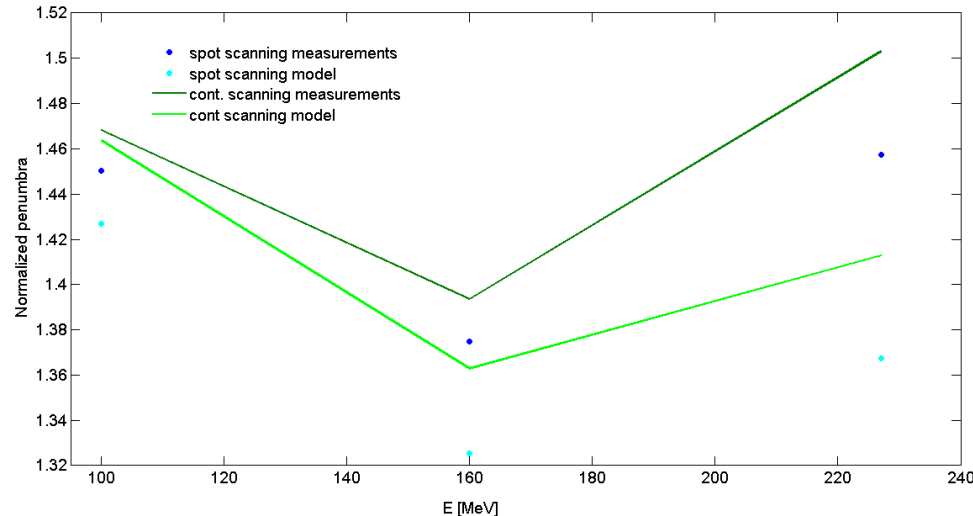
- study of the scanning architecture

- development of source files for the irradiations



## ○ Dose calculations models vs measurements comparisons

### ✓ Penumbra evaluation : simple line



Normalized penumbras (with respect to the penumbra of a single spot) evolution with energy.

	Measurements [mm]		Models [mm]		Difference [%]	
	Spot	Cont.	Spot	Cont.	Spot	Cont.
<b>Energy 100 MeV</b>						
Field Size_5050	101,24	97,09				
Penumbra_8020	12,18	12,33	11,98	12,29	1,63	0,31
<b>Energy 160 MeV</b>						
Field Size_5050	98,81	95,76				
Penumbra_8020	8,33	8,44	8,03	8,25	3,59	2,20
<b>Energy 227 MeV</b>						
Field Size_5050	103,41	101,32				
Penumbra_8020	6,25	6,45	5,86	6,06	6,17	5,99

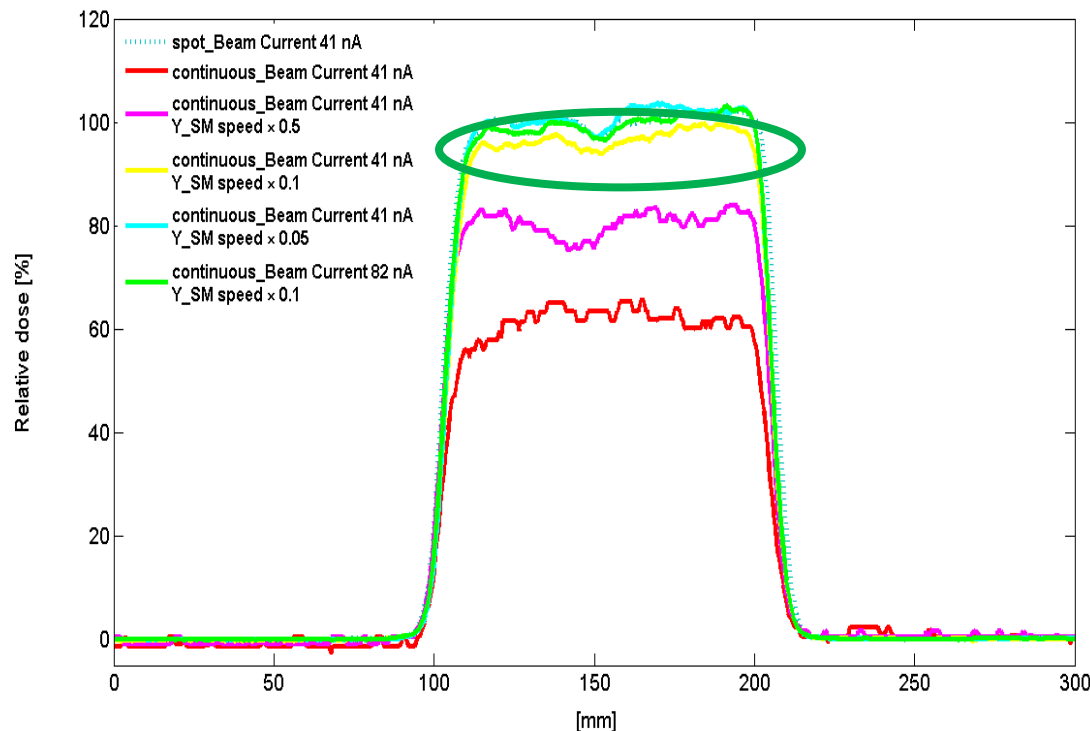
✓ ~6% is the maximum difference observed

✓ ~ 3% when only measurements are considered

✓ Studies on more complex cases should confirm these preliminary optimistic results on the ballistic properties of a continuous irradiation

## Dose calculations measurements comparisons

✓ **Dosimetry evaluation : defining the continuous scanning irradiation strategy to obtain the same dose for the two irradiations modalities**



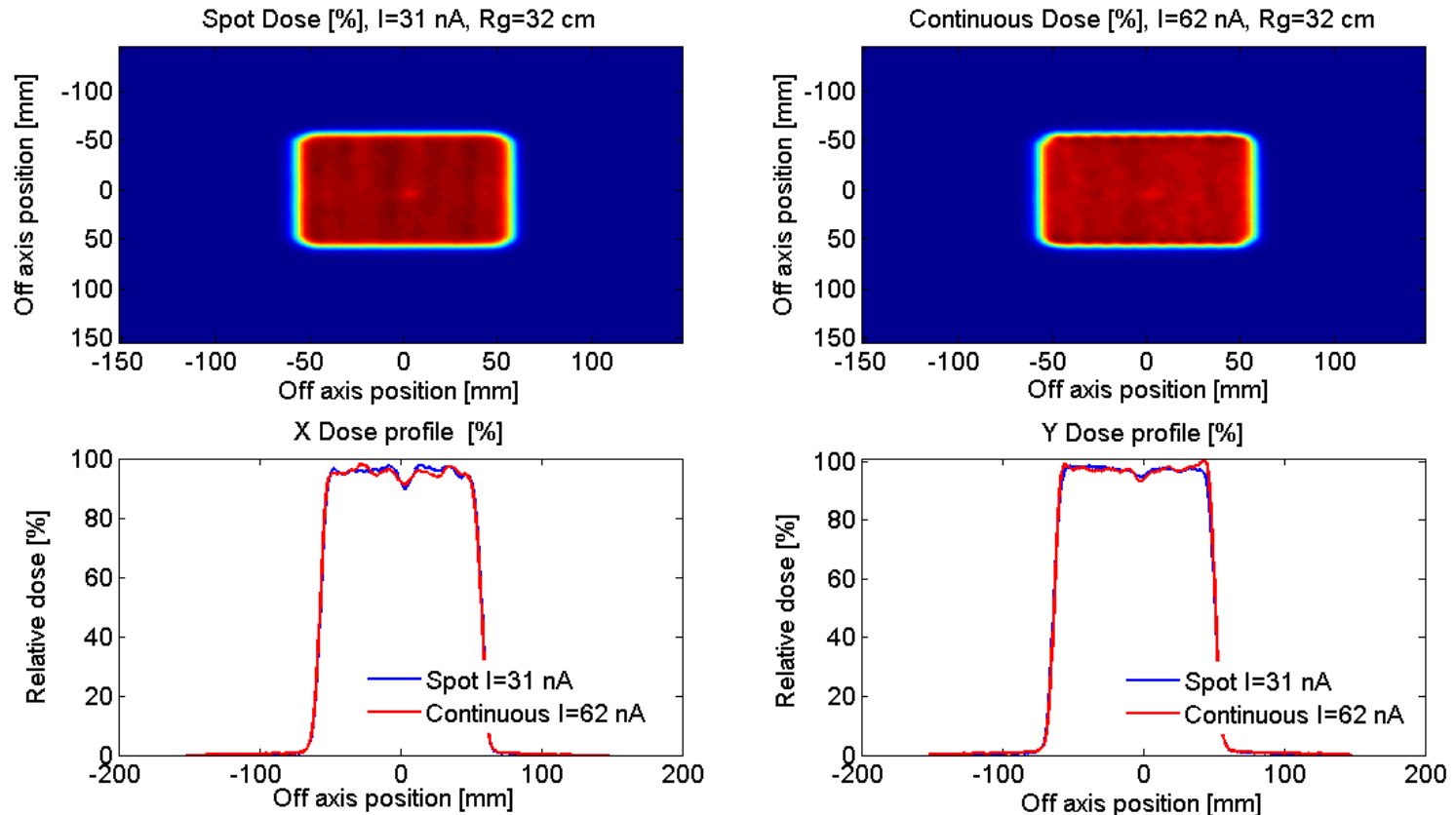
✓ **Reduce scanning magnet speed  
~ 80% less**

✓ **Increase the beam current  
~50% more**

Continuous irradiation strategy could be the combination of **decreasing scanning magnets speed** and **increasing current**

## Dose calculations measurements comparisons

- ✓ Continuous irradiation with beam current doubled wrt spot scanning case

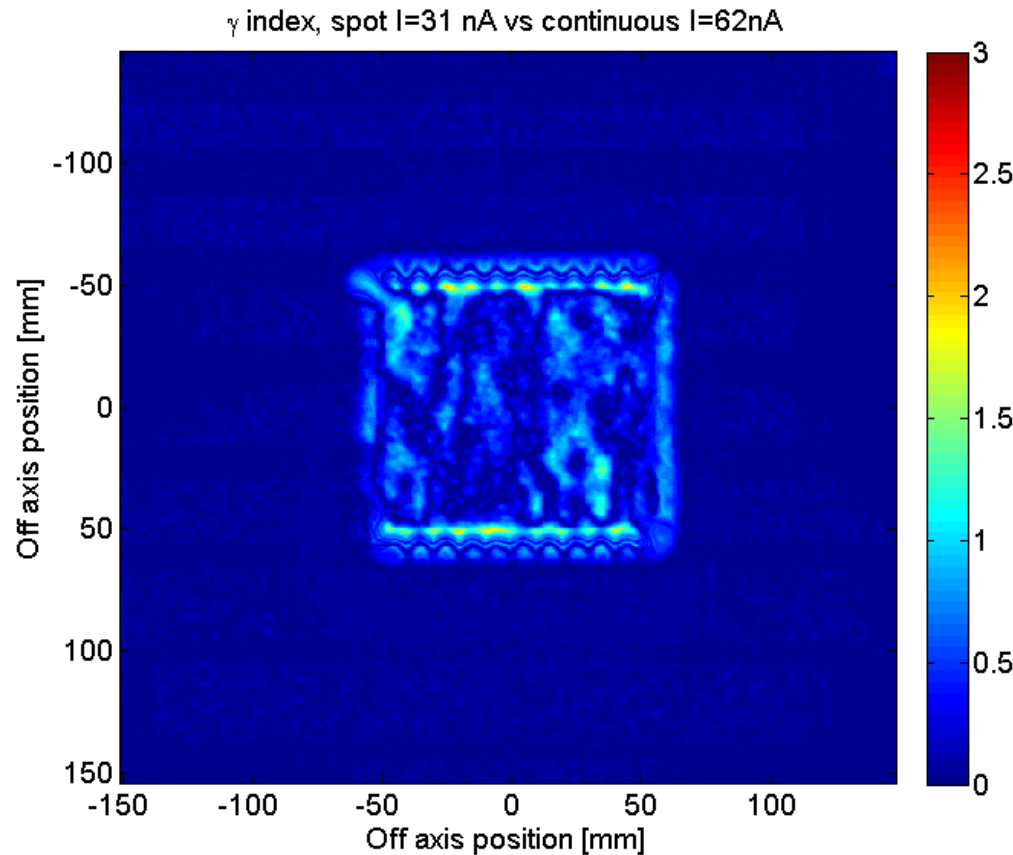


**Dose comparison between spot and continuous irradiation at the same scanning speed and modified beam current ( $I_{cont} = 2 \cdot I_{spot}$ ).**

**Upper plots shows the fluence maps and lower plots are the correspondent dose profiles.**

## ○ Dose calculations measurements comparisons

### ✓ Gamma index (3% - 3 mm) analysis on 2D square surface



✓ Dose levels differences included in the gamma index interval

✓ Dose rate measured on the ionization chamber exceed the tolerances ( $> 3.5 \times 10^{-7}$  C/s)

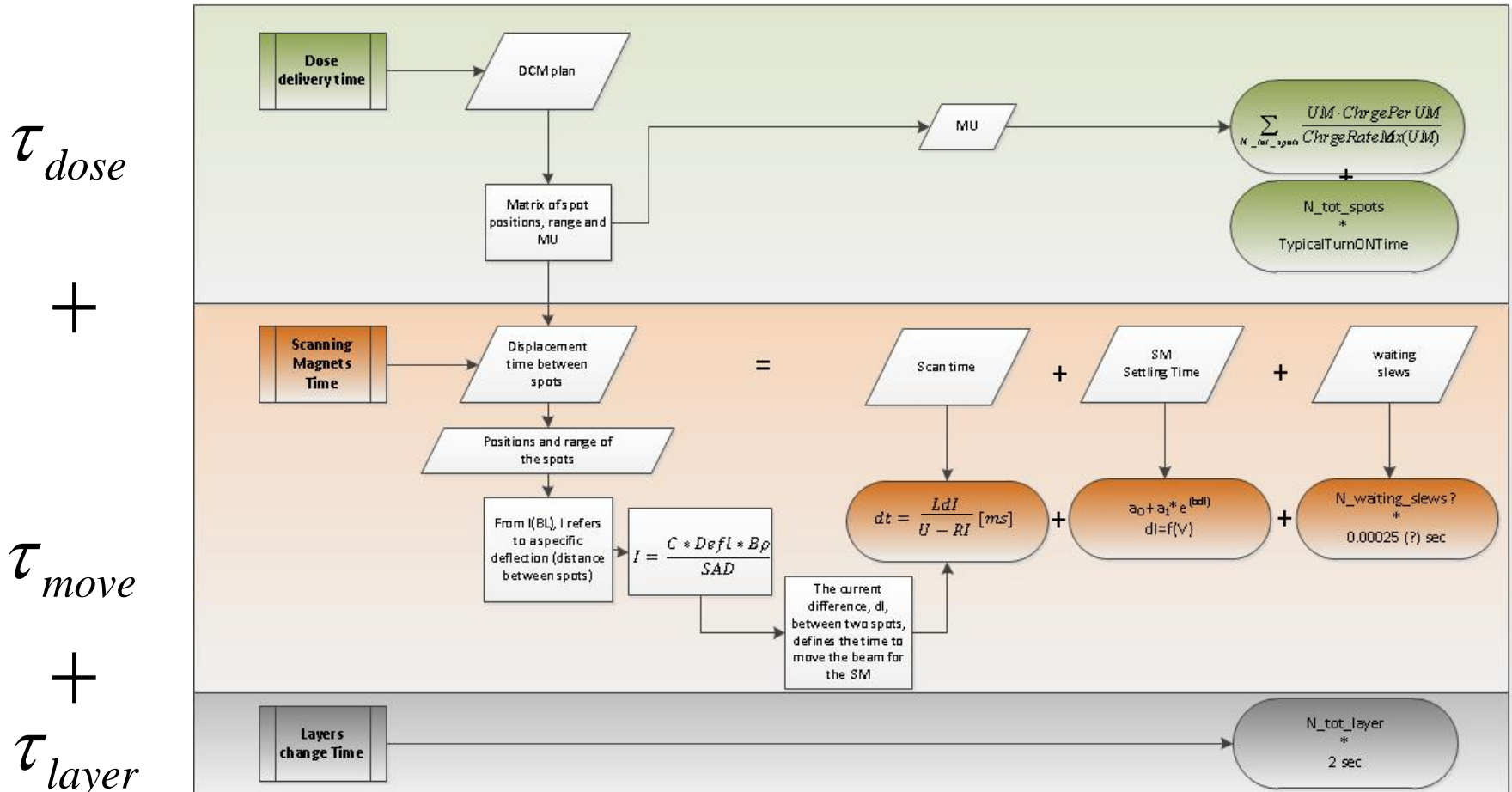
# Outline

- Introduction
  - Physics and biology principles of protontherapy
  - Medical accelerators and delivery systems
  - Context and motivations of the PhD
- Spot vs continuous scanning
  - Dose calculations models and measurements comparisons
  - **Treatment time evaluation**
- Future applications of continuous scanning
- Conclusions



## ○ Treatment time evaluation

✓ Development of a code to compute the total irradiation time in spot scanning

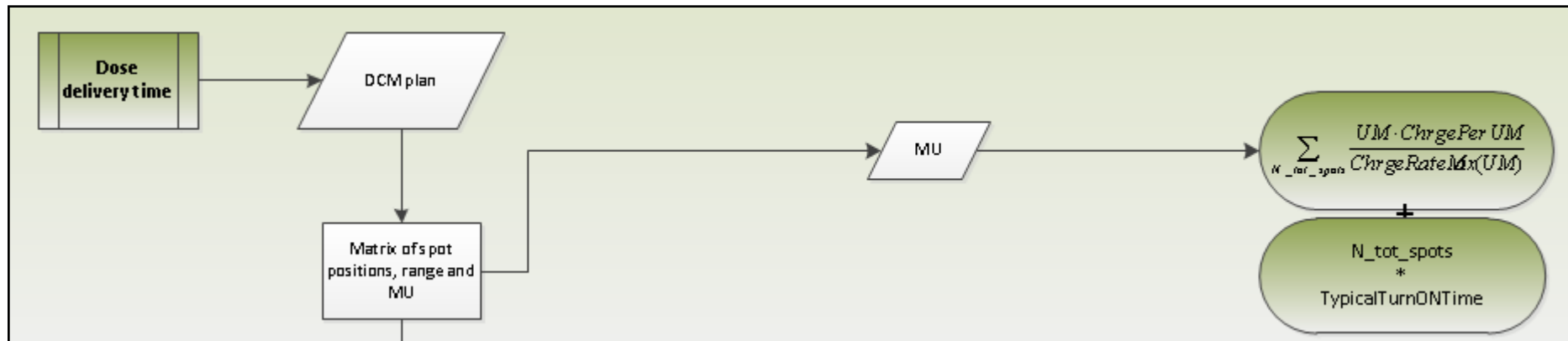




## ○ Treatment time evaluation

✓ Time to deliver the dose

$$\tau_{dose} = \frac{Q_{IC_{23}}}{\dot{Q}_{IC_{23}}}$$



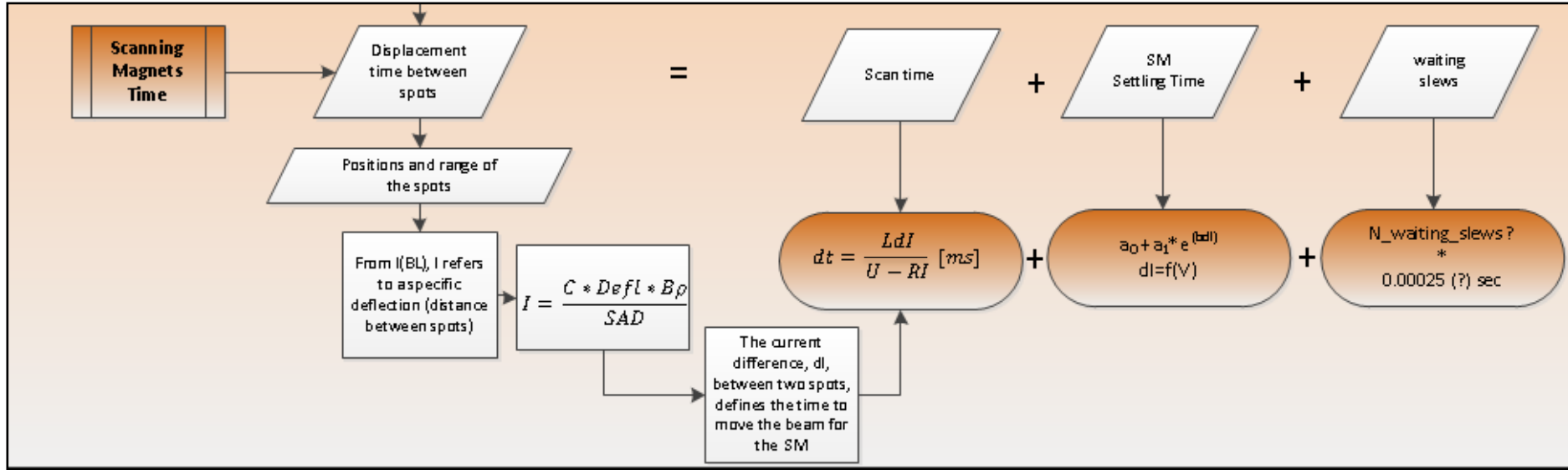
Dependence on :

- ✓ Treatment plan  $\longrightarrow$  Monitor Units (UM), Number of spots
- ✓ Ionization chambers charge rate reading (ChargeperUM = 1.5 nC for 1MU, ChargeRateMax =  $3.5 \times 10^{-7}$  C/s )
- ✓ Time to have beam from the ion source (TypicalTurnONtime =  $1.5e-3$  s)

## ○ Treatment time evaluation

### ✓ Time to move the beam

$$\tau_{move} = \tau_{scan} + \tau_{settling}$$



Dependence on :

- ✓ Energy, spots spacing
- ✓ Technical specifications of the magnets (L = (0.04752,0.00474) [H], R = (0.31389,0.03658) [ $\Omega$ ], frequency: 30Hz e 3Hz)
- ✓ Feedbacks and settling times

## ○ Treatment time evaluation

- ✓ Time to change a energy layer



Dependence on

- ✓ Settling times of the beamline magnets
- ✓ Speed of degrader positioning



## ○ Treatment time evaluation

### ✓ Continuous scanning irradiation time

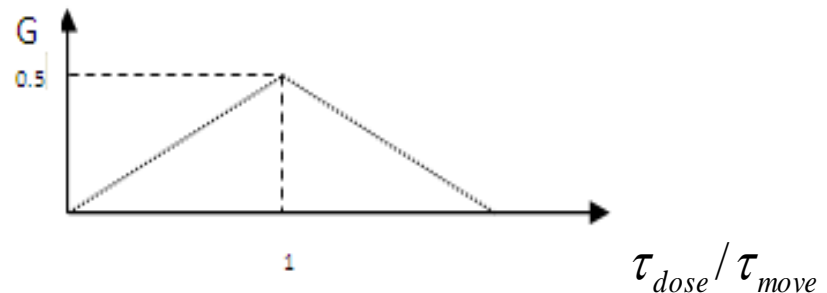
- From the spot scanning time irradiation definition

$$\tau_{total} = \tau_{dose} + \tau_{move} + \tau_{layer}$$

- Treatment time gain G factor for the continuous scanning irradiation

$$G = 1 - \max(\tau_{dose}, \tau_{move}) / (\tau_{dose} + \tau_{move})$$

$$G = \max, \text{ if } \tau_{dose} = \tau_{move}$$



In continuous scanning the dose is delivered while moving the beam

## ○ Treatment time evaluation : spot vs continuous scanning

### ✓ Irradiation of 10 cm line

In spot scanning,  $T_{\text{dose}} \sim 3 T_{\text{move}}$ , means decreasing the scanning speed and/or increasing the beam current to obtain,  $T_{\text{dose}} \sim T_{\text{move}}$  and a maximum gain in continuous scanning (50%).

	Scanning conditions	Irradiation time [ms]	Gain [%]
<i>Energy 100 MeV</i>	Spot	155.75	
	Continuous - SM speed $\times 0.05$ - Beam current $\times 1.5$	89.5	42.53
<i>Energy 160 MeV</i>	Spot	216.5	
	Continuous - SM speed $\times 0.05$ - Beam current $\times 1.07$	132.5	38.8
<i>Energy 227 MeV</i>	Spot	313.25	
	Continuous - SM speed $\times 0.05$ - Beam current $\times 1.22$	185.75	40.7

✓ Measurements agree within 10% with respect to the model

## ○ Treatment time evaluation : spot vs continuous scanning

- ✓ **Spot scanning: comparison between our code and the reference from IBA on clinical cases**
- ✓ **Continuous scanning model estimations**
  - **Dose to deliver : 2 Gy**

Irradiation phases	Medulloblastoma		Sacrum	
	ICPO program	IBA system	ICPO program	IBA system
$\tau_{dose}$ [s]	5,3	5,2	20,8	20,5
$\tau_{layer}$ [s]	78	78	92	92
$\tau_{move}$ [s]	3,1	3,4	23	24,7
$\tau_{total}$ [s]	86,5	86,6	135,8	137,3

- ✓ **Differences in the two computing approaches is < 1%**
- ✓ **Most of the irradiation time is used to change energy layers (~67% to 90%)**
- ✓ **According to the model, a continuous irradiation should be around 50% faster**

# Outline

- Introduction
  - Physics and biology principles of protontherapy
  - Medical accelerators and delivery systems
  - Context and motivations of the PhD
- Spot vs continuous scanning
  - Dose calculations models and measurements comparisons
  - Treatment time evaluation
- **Future applications of continuous scanning**
- Conclusions



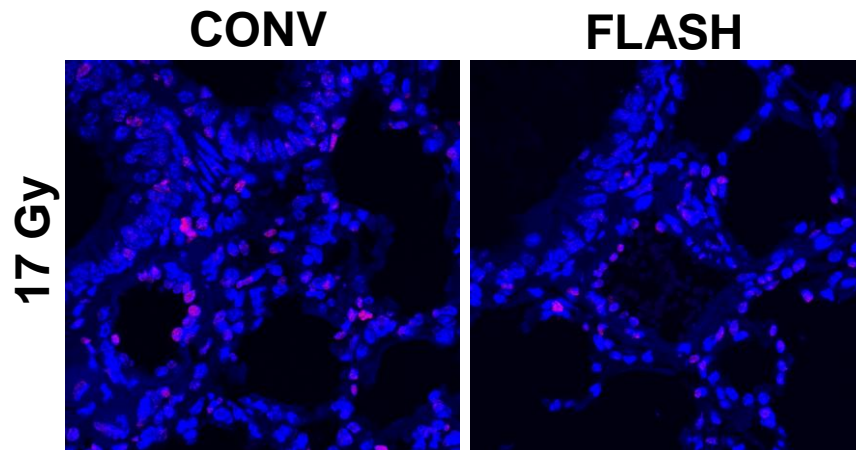
## ○ Future applications of continuous scanning

- ✓ **FLASH radiotherapy : dose delivery time < 500 ms and dose rate > 40 Gy/s**

Tests on mice lung tumors with a 4.5 MeV electron linac have shown:

- ✓ Same anti-tumoral efficiency and enhancement of the differential responses between normal and tumor tissues wrt CONV (dose rate < 0.03 Gy/s) radiotherapy

- Potential benefits on malignancies requiring improved tolerability of radiation:



↓

Uveal melanoma : toxicity reduction

Gliomas : increased dose at the tumor for better local control

Increase in the cell proliferation index 3 hours after thoracic irradiation with a 200 MeV proton beam at ICPO.



# Outline

- Introduction
  - Physics and biology principles of protontherapy
  - Medical accelerators and delivery systems
  - Context and motivations of the PhD
- Spot vs continuous scanning
  - Dose calculations models and measurements comparisons
  - Treatment time evaluation
- Future applications of continuous scanning
- **Conclusions**



- ✓ Company – Hospital – University collaboration
- ✓ Implementation of Pencil Beam Scanning :
  - characterization of the established spot scanning technique for clinical use
  - dose models for spot and continuous scanning
  - continuous scanning irradiation strategy definition
  - comparison between models and measurements : **penumbra evaluations tend to confirm a viable utilisation of the continuous approach**
- ✓ Treatment time in Pencil Beam Scanning :
  - calculation algorithm for spot scanning : comparison with the IBA software on clinical cases : results agree within 1%
  - model to evaluate the treatment time in continuous scanning
  - measurements of treatment time for spot and continuous scanning : **time reduction of around 40%**

- ✓ Complete the evaluation of continuous scanning for more complex case
  - Dose models implementation in a Treatment Planning System
  
- ✓ Robustness of the technique towards mobile tumors treatments
  - Industrial work on energy layer time reduction
  - Dose monitoring improvement to allow a safe continuous irradiation
  
- ✓ Biological characterization of high dose rate irradiation
  - if a constraint on irradiation time is assessed to be beneficial on healthy tissues while treating cancer, continuous irradiation could be a solution to treat a large volume in shorter time compared to spot scanning (e.g. in FLASH radiotherapy)

# GRAZIE

