## **Cosmic-rays**



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## Physics landscape: http://paperscape.org/

Each point represents a paper from arXiv, size is prop. to citations

ape Clustered according to how they reference each other A map of 1,266,270 scientific papers from the arXiv. Last updated: 8 June 2017 Colouring: category **(i)** high energy experiment (hep-ex) high energy phenomenology hep-ph) nuclear experiment (nucl-ex) high energy lattice (hep-lat) high energy theory (hep-th)

#### **Cosmic rays – astroparticles physics**



### **Purpose and Goals of the lecture**

To present you:

- Short overview of CR discovery
- What are the main observables used to characterize the CRs (different particles, distribution of energy/Fluxes, anisotropy)
- What are the mains physical processes: Acceleration/ Propagation of cosmic-rays.
- What is the current knowledge on CRs
- What are the open questions related to CRs
- Different strategies used to measure CRs for the different types of CRs/Energy ranges
- How instruments are built to detect/characterize the CRs.
  Some basic concepts for CRs detections : Acceptance/Trigger/ Monte Carlo simulations

### **Summary**



Acknowledgment: Large part of the material used for this presentation come from lectures given by

- Fernando Barao (LIP)
- David Maurin (LPSC)

### **Summary**



Please don't hesitate to interrupt me to ask questions. If something is not clear, it is better to take time to explain it again.

# I-Cosmic Rays: historical background

- Before 1900 : the spontaneously ionization of air
- Crookes (1879) : showed that an electrically charged object in a sealed container air-filled gradually looses its charge ! charge is retained if no air exists (vacuum) → *Ionization of air is the direct cause*
- 1896 : the discovery of the radioactivity by Becquerel →
  This could explain the spontaneous discharge... !
- 1900-1910 : many observations were made ; among them Pacini, an Italian meteorologist, point evidences that ionization could have an origin independent of the direct action of radioactive substances contained in the upper layers of the earth crust.
- Pacini's estimate of the excess ionization : 2 ion pairs / cm<sup>3</sup>/s corresponds to the ionization of cosmic rays at sea level !





Fig. 6. Schematic view of the Wulf electrometer

# I-Cosmic Rays: historical background

- The hypothesis of the earth surface radioactivity as ionization source suggested that measurements could be made in altitude.
- One should detect a decrease in the intensity on this radiation and therefore an ionization decrease would be expected...
- Jesuit priest Theodor Wulf (1910) : improved the electroscope replacing the gold leaves with two slender metal wires whose separation was measured with a microscope and carried it to the top of the Eiffel Tower (330)

meters)

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Daraus ergeben sich als Mittelwerte für die drei Orte

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## I-Cosmic Rays: Hess discovery

Gockel, 1909-1911: Swiss physicist flew electrometers on balloon flights to 2500 and 2800m no significant variation of the ionization rate recorded Hess, 1911-1912: Austrian physicist made several balloon flights

last flight took place on 7 August 1912 in a hydrogen-filled balloon. It lasted 6 hours and reached the altitude of 5350m.

Three Wulf electrometers carried, 2 at atmospheric pressure and one opened

Balloon "Böhmen" (1680 cbm hydrogen)  Leade    Meteorological observer: E. Wolf.  Elect										
No.	Time	Maar	haisht		Observe					
		Mean neight		- Inst.	Inst.	In	st. 3		Rel.	
		abs. m	rel. m	1 91	2 92	93	red. <i>q</i> <sub>3</sub>	Temp.	humidity %	
1	15 <sup>h</sup> 15-16 <sup>h</sup> 15	156	0	17.3	12.9			11 days before		
2	16h 15-17h 15	156	0	15.9	11.0	18.4	18.4 >	the ascent		
3	17h 15-18h 15	156	0	15.8	11.2	17.5	17.5 )	(in Vienna)		
4	6h 45- 7h 45	1700	1400	15.8	14.4	21.1	25.3	+ 6.4"	60	
5	7h 45- 8h 45	2750	2500	17.3	12.3	22.5	31.2	+ 1.4°	41	
6	8h 45- 9h 45	3850	3600	19.8	16.5	21.8	35.2	- 6.8°	64	
7	9h45-10h45	4800	4700	40.7	31.8	(ended by accident)		- 9.8°	40	
8	10h 45-11h 15	4400	4200	28.1	22.7					
9	11h 15-11h 45	1300	1200	(9.7)	11.5					
10	11h 45-12h 10	250	150	11.9	10.7			+ 16.0°	68	
11	12h 25-13h 12	140	0	15.0	11.6	(After landing at Pieskow, Brandenburg)				





### I-Discovery of extended air showers

average, the critical energy :  $\sim 10^{15}$  eV.



### I-Before space age...

In the first decades after CR discovery by Hess, balloon flights at increasingly altitude with more and more complex payloads:

- Allowed the understanding of interaction of particles in the atmosphere.
- Gave birth to particle physics.
- → Until WWII: Study of atmospheric secondary particles. No direct detection of CR.
- → Nature of cosmic rays determined by the properties of atmospheric secondary particles (Latitude effect, East-West effect).



## I- First Direct detections

- Just after WWII, US scientists equipped German V-2 rockets with Geiger counters to measure radiation up to 161 km.
- PHYSICAL REVIEW VOLUME 73, NUMBER 3 FEBRUARY 1, 1948

#### The Cosmic-Ray Counting Rate of a Single Geiger Counter from Ground Level to 161 Kilometers Altitude

J. A. VAN ALLEN AND H. E. TATEL\* Applied Physics Laboratory, Johns Hopkins University, Silver Spring, Maryland (Received October 16, 1947)



- Plateau above 50 km → First direct measurement of CR out of the atmosphere.
- In the same years more large and complex apparatus flying on balloons up to 30 km were able to study CR composition.

(Freier, Ney, Oppenheimer, Peters, Lofgren, Bradt (1948)

#### I- Space age...

- From the beginning of space age, cosmic-ray physics naturally went hand in hand with the development of space exploration:
  - Vernov et al (URSS) arranged the first
    CR space experiment on Sputnik II.
  - Van Allen et al. used the Explorer I satellite to discover the Van Allen belts.
- Starting from the mid 60's, possibility to launch satellites with equipment weighting several tons.
- Large sets of CR experiments onboard satellites and on high altitude balloons allowed to study the cosmic ray spectra and composition up to the knee region...



Sputnik II (1957)



Proton 4 Satellite (1968), 16 tons payload

## II- What we know about CR today

- 1 Composition
  - ~90 % proton
  - ~9 % helium
  - ~1% heavier elements
  - But also e-, e+, anti-p
  - Similar features on solar and cosmic rays abundances
    - $\rightarrow$  CR Sources: similar nucleosynthesis
    - + Acceleration
  - Some elements result from CRs interactions with the interstellar medium (spallation)
    - $\rightarrow$  Confinement of CRs in the Galaxy.



- Primary species: accelerated at the source (e.g., H, He, C, O)
- Secondary species: absent of source → fragmentation of heavier primary nuclei (e.g., →B)
- Mixed species (e.g., N): both contributions

## II- What we know about CR today

- 2 Energy spectra :
- Featureless and universal power-law energy spectra above 2 GeV/n

Flux  $\approx E^{-\gamma}$  with  $\gamma = 2.7-3$ 

- Extend over 12 orders of magnitude on Energy, 30 orders of magnitudes in fluxes.
- → evidence of very powerful astrophysical accelerators



## II- What we know about CR today

- 2 Isotropy
- At low energy, the flux looks isotropic :
  - Sources uniformly distributed (not so simple)
  - Propagation of GCRs →
    Confinement and
    isotropisation of CRs.
- More anisotropy with energy : less confinement and extragalactic cosmic-rays





Energy:  $E = \gamma Mc^2$  [eV]  $\rightarrow$  Calorimeter (Atmosphere) Energy per nucleon:  $E_{/n} = \gamma Mc^2/A = \gamma uc^2$  [eV/n] Kinetic energy:  $T = (\gamma - 1) Mc^2$  [eV] Kinetic energy per nucleon:  $T_{/n} = (\gamma - 1) uc^2$  [eV/n]  $\rightarrow$  Velocity (Cherenkov, TOF) Momentum:  $P = \gamma \beta Mc$  [eV/c]  $E^2 = P^2 + M^2$ Rigidity: R = (Pc)/(Ze) [V]  $\rightarrow$  Curvature in magnetic field (tracker)

Note: With e = c = 1, at high energy  $E = AE_{/n} \approx P = ZR$ 

## II- Useful Units/Definitions

#### Flux (CR intensity):

• Detector Rate : R = (# particles < E) /s





- Intensity:  $I = (\# \text{ particles} < E) [m^{-2} \text{ sr}^{-1} \text{ s}^{-1}]$
- Differential Intensity: *dI/dE* = #particles [m<sup>-2</sup> sr<sup>-1</sup> s<sup>-1</sup> GeV<sup>-1</sup>]
- Very usual to display :  $E^{\alpha} \times dI/dE$  with a = 2.7-3 :  $[m^{-2} \text{ sr}^{-1} \text{ s}^{-1} \text{ GeV}^{-1+a}]$
- For a 1 m<sup>2</sup> and  $2\pi$  sr ideal detector : expected number of particles between  $E_1$ and  $E_2$  for a duration T:

$$N = 2\pi T \int_{E_1}^{L_2} \frac{dI}{dE} dE$$

- Be cautious:
  - $dI/dP = E/P dI/dE [m^{-2} sr^{-1} s^{-1} (GeV/c)^{-1}]$
  - $dI/dR = Z dI/dP \ [m^{-2} sr^{-1} s^{-1} GV^{-1}]$
  - $dI/dE_{/n} = A dI/dE = (PZ)/(EA) dI/dR [m^{-2} sr^{-1} s^{-1} (GeV/n)^{-1}]$

### **II- Detection of Cosmic rays**

- E < 10<sup>15</sup> ev : Cosmic rays are absorbed in the upper layer of atmosphere but the flux is large
  - → Direct detection above atmosphere.
  - → Identification of CR/Energy measurement with particle physics detectors
- E > 10<sup>15</sup> ev : Shower produced by CRs is detectable from ground
  - → Atmosphere as a
    « calorimeter » : Measurement
    of the shower from ground.



## II- Cosmic Rays : open questions

CRs are measured since more than one century. Even a lot has been learned on the nature and the properties of CRs, central questions remain:

- What are the sources of CRs
- What are the acceleration processes
- Propagation of CRs in the Galaxy
- Origin of the knee?
- Where is the transition from Galactic to extragalactic ?
- What are the sources of extragalactic CRS ?
- Do we see the end point (GZK cutoff) ?

+ What could be the contribution from Dark Matter annihilation (positron, antiproton,...)



#### III- Galactic Cosmic Rays (GCR)

1. GCR journey: From source to the detector

#### Charged cosmic rays in the Galaxy



David Maurin (LPSC)

#### Charged cosmic rays in the Galaxy: sources



David Maurin (LPSC)

#### Charged cosmic rays in the Galaxy: diffusion

#### 1. Source injection

- spectrum ~ R<sup>-2</sup>
- abundances



David Maurin (LPSC)

#### Charged cosmic rays in the Galaxy: convection

1. Source injection

- spectrum ~ R<sup>-2</sup>
- abundances
- abundances



David Maurin (LPSC)

#### Charged cosmic rays in the Galaxy: interactions

1. Source injection

- spectrum ~ R<sup>-2</sup>
- abundances



@ Mark A. Gartick / space-art.co.uk

David Maurin (LPSC)

#### Charged cosmic rays in the Galaxy: all together

#### 1. Source injection

- spectrum ~ R<sup>-2</sup>
- abundances
- 2. Transport in the Galaxy
- diffusion: R<sup>-δ</sup>
  - convection
- energy gains/losses
- n fragmentation/decay



#### Charged cosmic rays in the Galaxy: phenomenology

#### 1. Source injection

- 2. Transport in the Galaxy
- spectrum ~ R<sup>-2</sup>
- abundances
- diffusion: R<sup>-δ</sup>
- convection
- energy gains/losses
- fragmentation/decay



#### Particles reaching Earth come from:

- whole diffusive volume for stable species
- small volume (~ 100 pc) for radioactive nuclei and high energy electrons
- $\rightarrow$  different species sample different regions of the Galaxy

#### Charged cosmic rays in the Galaxy: DM indirect detection



David Maurin (LPSC)

#### An unexpected journey: processes and typical scales

#### 1. Cosmic rays in the Galaxy

→ Spectra and abundances (acceleration and transport)



David Maurin (LPSC)

#### An unexpected journey: across the Solar cavity

#### 1. Cosmic rays in the Galaxy

→ Spectra and abundances (acceleration and transport)



- $\rightarrow$  flux modulation < 10 GeV/n
- $\rightarrow$  time dependence

#### An unexpected journey: across the Earth magnetosphere

3. Earth magnetic shield

 $\rightarrow$  Cut-off rigidity for detectors

#### 1. Cosmic rays in the Galaxy

 $\rightarrow$  Spectra and abundances (acceleration and transport)



 $\rightarrow$  time dependence

#### An unexpected journey: across the Earth atmosphere



#### **Timeline: CR identification**



## III- GCR detection: 2-Earth Environmenti- Earth atmosphere

- Earth atmosphere represents a thickness of 1000 g.cm<sup>-2</sup>:
  - 11.5 nuclear interactions lengths
  - 28 radiation lengths
- primary cosmic rays will undergo nuclear and electromagnetic interactions, producing a lot of secondary particles : pions, kaons, neutrons, electrons, muons, photons, neutrinos,...



PHYSICAL REVIEW

#### The Cosmic-Ray Counting Rate of a Single Geiger Counter from Ground Level to 161 Kilometers Altitude

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# III- GCR detection: 2- Earth Environmenti- Earth atmosphere

- Direct detection of primary cosmic rays implies the transport of detectors to at least 30 km from earth
- "Zero-Pressure" balloons developed in the late 1940's could carry several tons detectors to altitudes of 30 to 45 Km
  - few days flight
  - LDB: long-duration balloon flights several weeks flight
- Space : Rockets / Satellite / Space station





The earth has a magnetic field geomagnetic field the zone of influence is called the **magnetosphere** 

- Main source : inside earth, there are electrical currents related to the rotating metallic nucleus
- outer sources : ionosphere currents, ring current (charged particles trapped in radiation belts)
- As a first approximation it can be represented by a magnetic dipole
- The geomagnetic equator is tilted 11.5° wrt. geographic equator
- not centered with earth *(eccentric dipole)*
- more complete model : IGRF + external field model





In the equatorial plane : circular trajectory of radius  $r_E$  corresponds to the rigidity:

$$R = \frac{P}{eZ} = \frac{\mu_0}{4\pi} \frac{M}{r_E^2} = 57 \text{ GV}$$





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m GV}$$





- East-West effect: Cutoff effect → More proton from West vs East
- Equatorial corresponds to the extreme case: perp. To the field lines
  - → Decrease of the cutoff with increasing latitude

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• Störmer Formula:

$$P_{c} = P_{E} \left(\frac{R_{E}}{r}\right)^{2} \frac{\cos^{4} \lambda}{\left[1 + \left(1 - \frac{z}{|z|} \cos \alpha \cos^{3} \lambda\right)^{1/2}\right]^{2}}$$

 Geomagnetic transmission for a given position and direction:





- With the real geomagnetic field, the transmission function is more complex. The penumbra region includes allowed and forbiden trajectories.
- Its size, structure depends on position and can evolve with time (solar flare)
- In practice, smoothing of the penumbra region due to the finite energy and direction resolution of the detector.



Until the end of 1920s was not clear if CRs were charged or neutral ( $\gamma$  rays) particles  $\rightarrow$  Millikan and Compton dispute !

- The geomagnetic effect in cosmic rays was observed in 1927 by J. Clay, using an ionization chamber when travelling from Java island to Holland (Slamat ship)
- In 1932, Compton organized eight expeditions for cosmic ray intensity measurements at several locations (latitude and longitude different)
  - → a clear dependence with the latitude was observed providing the charged nature of the CRs !
- West–East CR asymmetry (Johnson, Seidl, Burbury, Fenton)
   → the largest part of primary CR are
  - positively charged particles



# **Recent GCR experiments**

#### balloons

- MASS (1989-1991)
- IMAX (1992)
- CAPRICE (1994-1998)
- HEAT (1994-1995)
- BESS (1994-2000)
- ATIC (2000-2007)
- TRACER (2006)

**Spectrometer** 

Calorimeter

• CREAM (2004-2010)

space

• HEAO3 (1979-1981)

• AMS01 (1998)

- PAMELA (2006-2016)
- FERMI (2008-?)
- AMS02 (2011-?)

In the following, I will use AMS02 to illustrate the detection of GCRs

# **PAMELA Mission**

- PAMELA installed on Russian satellite Resurs-DK1, inside a pressurized container.
- Launch in June 2006, continuously taking data up to last year.
- Circular orbit (70.0°, 600 km).



#### **PAMELA Detector**







#### **AMS**



## In flight operations



- AMS installed on ISS in May 2011
- Circular orbit, 400 km, 51.6°, 90 mn
- Continuous operation 24/7
- Average rate ~700 Hz
- 60 millions particles/day
- 39 TB raw data/yr
- 200 TB rec. data/yr
- More than 100 milliards of events collected so far

# **CR** Analyses

• Combination of measurement from sub-detectors to identify particles and to measure their Energy/Rigidity



# **CR** Analyses

• Combination of measurement from sub-detectors to identify particles and to measure their Energy/Rigidity



# **CR** Analyses

- Combination of measurement from sub-detectors to identify particles and to measure their Energy/Rigidity
- Redundancy of AMS measurements allows:
  - To estimate the efficiencies
  - To build reference distributions (templates)
  - To control systematics
- Each analysis is specific:
  - Observable: Flux / ratio of fluxes flux

Ex: Flux  $e^+$ ,  $e^- - - e^+/(e^++e^-) - - Flux (e^+ + e^-)$ 

- Background from other particles
- Background from interaction in the detector
- Energy/Rigidity measurement

# **AMS Trigger**

- As a particle detector, the instruments should acquire a signal only if a particle is passing trough: Trigger system
- Should be fast enough to trigger readout system of the other sub-detectors.
- Should have the largest efficiency but should also keep the detector rate < 2kHz (Bandwidth limitation)
- AMS: Combination of signals from TOF, de l'ACC et du ECAL.
- Global Trigger = OR between following triggers:
  - « unbias » : TOF 3/4 , scaled by 100

→ Efficiency close to 100%, no energy depandence, use to estimate the efficiency of other triggers

- « proton » : TOF 4/4,  $N_{acc} = 0$
- « ion » : TOF 4/4 Signal>(Z=1),  $N_{acc}$  < 3
- « electron » : TOF 3/4 HT, ECAL shower



# Trigger



III- GCR detection: 4- Estimation of proton Flux with AMS

- Proton: dominant component in CR
  - No contamination
  - Not limited by statistics
  - $\rightarrow$  High precision measurement
  - →Need for precise estimation and control of the systematics



- Rigidity measurement with the tracker
- Flux Estimation
  - Exposure time / Lifetime
  - Detector acceptance / Selection cuts efficiency
  - Trigger efficiency



### **Detector Acceptance**

 $d\bar{s}$ 

- Flux of particles [(m<sup>2</sup>sr s GeV)<sup>-1</sup>]: $\phi(E) = {dN\over d\Omega dS dt dE}$
- In practice in on bin on energy, the expected number of events is given by

$$N(E) = \int_{S} \int_{\Omega} \int_{t} \int_{E-\frac{\Delta E}{2}}^{E+\frac{\Delta E}{2}} \phi(E') \epsilon(E', x, y, \theta, \phi) d\vec{\Omega} d\vec{S} dt dE'$$

where  $\varepsilon$  is the detector effeciency for the corresponding direction and the integration is over the full detector surface and accecible directions.

• With a constant and isotropic flux

$$N(E) = T\phi(E)\Delta E \int_{S} \int_{\Omega} \epsilon(E, x, y, \theta, \phi) d\vec{\Omega} d\vec{S}$$

Acc(E) : Acceptance [m<sup>2</sup>sr]

### **Detector Acceptance**

For a simple telescope with  $\varepsilon = 1$ 

$$Acc(E) = \int_{S_2} \int_{\Omega_2} d\vec{\Omega}.d$$
$$Acc(E) \approx \frac{S_1 S_2}{l^2}$$

For real detectors, full MC simulation (Geant4) are used:

$$Acc(E) = Acc_{gen} \frac{N_{sel}}{N_{gen}}$$

Where:

$$Acc_{gen} = \pi \ 3.9^2 \ \mathrm{m^2 sr}$$



# Proton flux analysis in AMS-02 $F(R) = \frac{N_{obs.}(R)}{T_{exp.}(R) A_{eff.}(R) \varepsilon_{trig.}(R) dR} \text{(For isotropic flux with } \theta_{zen} < 20^{\circ})$

- F : Absolute differential flux (m<sup>-2</sup>sr<sup>-1</sup>s<sup>-1</sup>GV<sup>-1</sup>)
- *R* : Measured rigidity (GV)
- $N_{obs.}$ : Number of events after proton selection
- $T_{exp.}$  : Exposure life time (s)
- $A_{\text{eff.}}$  : Effective acceptance (m<sup>2</sup> sr)
- $\varepsilon_{trg.}$  : Trigger efficiency
- d*R* : Rigidity bin (GV)

# Proton flux analysis in AMS-02 $F(R) = \frac{N_{obs.}(R)}{T_{exp.}(R) A_{eff.}(R) \varepsilon_{trig.}(R) dR}$

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### Rigidity measurement



### Rigidity measurement



# Proton flux analysis in AMS-02 $F(R) = \frac{N_{obs.}(R)}{T_{exp.}(R) A_{eff.}(R) \varepsilon_{trig.}(R) dR}$

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### Pre selection

- Velocity measured by at least 3 TOF counters (out of 4)
- TOF track pass both Tracker L1 and L9



### Full span track selection

- Events with at least one track' with measured hit points both in Layer 1 and 9
- Normalized  $\chi^2$ of the track fitting :  $\chi^2 < 10$
- Final selected events :  $N_{obs.} (R > 1 \text{ GV}) = 3.03 \times 10^8$



# Proton flux analysis in AMS-02 $F(R) = \frac{N_{obs.}(R)}{T_{exp.}(R) A_{eff.}(R) \varepsilon_{trig.}(R) dR}$

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- d*R* : Rigidity bin (GV)

# **Exposure time**

Exposure time is rigidity dependent: For a given rigidity, it corresponds to the time for which the detector is in a position where all the direction within the AMS acceptance are allowed (above geomagnetic cutoff)



# Proton flux analysis in AMS-02 $N_{\rm obs.}(R)$ $F(R) = \frac{1}{T_{\text{exp.}}(R) A_{\text{eff.}}(R) \varepsilon_{\text{trig.}}(R) dR}$

- *F* : Absolute differential flux (m<sup>-2</sup>sr<sup>-1</sup>s<sup>-1</sup>GV<sup>-1</sup>)
- *R* : Measured rigidity (GV)
- $N_{obs}$ : Number of events after proton selection
- $T_{\text{exp.}}$  : Exposure life time (s)
- $\begin{array}{l} A_{\rm eff.} & : {\rm Effective\ acceptance\ (m^2\ sr)} \\ \varepsilon_{\rm trg.} & : {\rm Trigger\ efficiency} \end{array}$
- d*R* : Rigidity bin (GV)



### Acceptance

Computed from MC simulation of the detector and corrected for Data/MC differences:



But should also take into account the finite rigidity resolution of the detector

## Spectrum unfolding


#### **Proton Flux Measurement**

 Proton flux from AMS based on 300 million events (PRL 114 (2015)) compared to PAMELA and recent measurements:



→ Hardening of the proton flux around 300 GeV.
 → Precision of AMS data allowed to characterize the shape of the transition.

#### **AMS: Proton Flux Measurement**

• Fit of the AMS flux with a double power model with smooth transition:



#### **AMS: Positron Fraction**

- Conclusive evidence of positron excess published by PAMELA in 2009 and then by Fermi.
- Confirmed with improved precision and extended energy range by AMS:
- $\rightarrow$  Positron fraction:
  - reaches its minimum at 8 GeV,
  - steadily increases from 10 to ~250 GeV, no fine structures.
  - At 275±32 GeV the fraction reaches its max.



75

#### **Electron and Positron Fluxes from AMS**



 $\rightarrow$  Shows that the rise of positron fraction is due to positron excess.

 $\rightarrow$  Nerby sources (pulsar) ? Or Dark-matter annihilation ?

### **Antiproton/Proton**



→ Flat Antiproton/proton ratio at high energy not expected from standard secondary production

### **Antiproton/Proton and modeling**

Secondary production of CR antiproton: [G. Giesen, et al. arXiv:1504.04276]



- Large uncertainty in the estimation of secondary antiproton.
- Data from AMS should help to reduce the propagation uncertainty.
- More statistics and work needed on models to know if extra sources (DM annihilation) are needed to reproduce the flat pbar/p ratio at high energy

#### CR "anomalies" from recent Data

- Questions triggered by recent CR measurements:
  - What is the origin of the spectral hardening?
  - What is the origin of the slopes difference between protons and heliums (and heaviest nuclei)?
  - Flattening of pbar/p at high energy?
  - Positron fraction excess.
- Large classes of models to explain the "anomalies":
  - Propagation mechanism (space and energy-dependent diffusion coefficient, nonlinear coupling of CRs with diffusion coefficient,...)
  - CR sources:
    - Acceleration process (non-linear DSA, distributed acceleration on multiple sources).
    - Nearby sources contribution.
    - Secondary production in the source.
  - Dark Matter contributions

 $\rightarrow$  Lot of modeling activity but no consensus yet. Difficult to reproduce all features with a single explanation.

#### **Cosmic ray modulation**

- At low Energy (<20GV) cosmic ray spectrum affected by its propagation in the Solar cavity and the interaction with the plasma emitted by the sun.
- This produces a modulation of the spectrum which follows 11-year cycle in antiphase with Solar activity (Sunspot number)
- Simplest model for Solar Modulation: Force-Field approximation:

$$J(E,t) = \frac{E^2 - M^2}{(E + \Phi(t))^2 - M^2} J^{IS}(E + \Phi(t))$$



 $\rightarrow$  Precise measurement of the different components of CR over long period of time with the same detector needed to understand the solar modulation process.

### Time dependence of the proton flux

Measured by PAMELA during the July 2006 - December 2009 solar minimum:



### AMS02 - Daily normalized flux

Time fluctuation of proton rate for different rigidities from AMS02 data:

Solar modulation



 $R < \sim 3 \text{ GV}$  : Peaks associated with Solar flares (SEP)



- Voyager 1&2 launched in 1977
- Used the gravity slingshot method to catapult itself to the furthest planets and eventually beyond the Heliosphere.
- Payloads still active...cosmic ray telescopes (CRS) measure the Low energy CR intensity for almost 40 years.

### Voyager 1

#### Measures CR intensity as it travels to the interstellar space:

Variation due to:

- 11-years cycles due to solar activity
- Global rise as Voyager go out from the sun.





CRS

On August 2012, Voyager 1 reached interstellar space at 121 AU.



#### Voyager 1

- Voyager1 measured for the first time the Inerstellar (unmodulated) CR spectra.
- Also measured electron and higher charge nuclei (not shown)



#### **Future experiments**

CALET: Launched in HTV-5 Aug. 19 and docked at the ISS Aug. 25. Sending its first signals from

ISS. [P.S. Marrocchesi, HE-CR session Sept/8]

- Charge Detector (CHD) Z=1-40
- Imaging Calorimeter (IMC)
- Total Absorption Calorimeter (TASC)

**ISS-CREAM**: Launch (Space X Dragon cargo) in 2016, to be installed on JEM-EF (ISS):

- 4 layers of Silicon Charge Detector
- Tungsten Calorimeter
- Neutron detector for e/h separation

DAMPE: Satellite (launch Dec 2015)

- Silicon-Tungsten Tracker
- BGO Calorimeter
- Neutron detector





- → Detailed shape of the electron spectrum above 1 TeV to test the presence of nearby astronomical sources
- → Extend the present data to higher energies and measure accurately the curvature of the spectrum for individual nuclear species. Extend Secondary/Primary ratios up to high energy.

### Conclusions: results from the past years

- Modulation of CRs by Solar activity:
  - High accuracy monthly/daily proton over long periods (PAMELA, AMS)
  - Measurement of IS fluxes from Voyager
- Propagation of cosmic rays:
  - Precise B/C ratio, approching TeV/n, Light isotopes ratio (AMS, PAMELA, BESS)
- Source/Acceleration of cosmic rays:
  - Precise measument up to TeV region p & He (PAMELA, AMS, CREAM)
- Indirect search of DM
  - Positron fraction, pbar/p up to 450 GV (PAMELA, AMS)

# Conclusions: prospects

- Modulation of CRs by Solar activity:
  - High accuracy monthly/daily proton over long periods (PAMELA, AMS)
  - Measurement of IS fluxes from Voyager
    - + other species: He,  $e^-$  and  $e^+ \rightarrow$  charge sign dependence (PAMELA, AMS)
- Propagation of cosmic rays:
  - Precise B/C ratio, approching TeV/n, Light isotopes ratio (AMS, PAMELA, BESS)
    - + Li, B, Be, C, N, O (AMS) + higher energy (CALET, ISS-CREAM,...)
- Source/Acceleration of cosmic rays:
  - Precise measument up to TeV region p & He (PAMELA, AMS, CREAM)
    - + High energy measurement (CALET, ISS-CREAM,..)
- Indirect search of DM
  - Positron fraction, pbar/p up to 450 GV (PAMELA, AMS)
    - + up to higher energies, more stat, pbar flux, Dbar (AMS)

#### IV- From Galactic to Extra-Galactic CRs

### **Dectection of Cosmic rays**

- E < 10<sup>15</sup> ev : Cosmic rays are absorbed in the upper layer of atmosphere but the flux is large
  - → Direct detection above atmosphere.
  - → Identification of CR/Energy measurement with particle physics detectors
- E > 10<sup>15</sup> ev : Shower produced by CRs is detectable from ground
  - → Atmosphere as a
     « calorimeter » : Measurement
     of the shower from ground.



#### IV- Definition of energy regions.

The terms HE, VHE, UHE, EHE are highly relative and are changed in time. At present, the following definition can be suggested.

Term	Energy		Domarka
	In center-of-mass system	In cosmic rays	Remarks
HE	< 2 TeV	< 2·10 <sup>15</sup> eV	Existing accelerators
VHE	(2 – 14) TeV	(2·10 <sup>15</sup> – 10 <sup>17</sup> ) eV	LHC; the knee
UHE	(14 – 140) TeV	(10 <sup>17</sup> – 10 <sup>19</sup> ) eV	The ankle
EHE	>140 TeV	>10 <sup>19</sup> eV	Cut-off

### **IV- Extensive Air Showers**



Ralph Engel, 13 March 2005

## **IV- Extensive Air Showers**



Atmospheric depth:

$$\int_{h}^{\circ} \rho(l) \, dl = X(h)$$

- Shower particles: mainly e<sup>±</sup>,γ
- 80 95% of primary energy converted to ionization energy
- Up to 10<sup>11</sup> charged particles

Ralph Engel, 13 March 2005

# **IV- Air Shower Detection**

Main methods:

- 1. Air shower arrays
  - Observe shower structure from single observation level.
  - Timing information can be used to reconstruct arrival direction.
  - Particle density, profile  $\rightarrow$  Energy
  - Particle identification:  $N_u/N_e$
- 2. Fluorescent light detectors: İsotropic emission. 4 photons/m.
  - Run only on cloudless, moonless nights
  - Detect full longitudinal development of shower : direction and energy, X<sub>max</sub> (particle identification)
- 3. Radio detectors
  - Important R&D effort still ongoing.
  - radio footprint of the shower can be used to measure the energy and the X<sub>max</sub>

Key points: Duty cicle, Energy resolution, Energy Calibration, Xmax resolution, Detector density, cost.





Top: the Tibet III air shower array at an altitude of 4,300 m above sea level.

Right: map of the Auger Southern Observatory in Argentina. The enclosed are of the experiment is 3,000 sq.km. 

 Sources
 Interest
 EDS'09, CERN, July 2009

- Acceleration, propagation
  - depend on B:  $r_{gyro} = R/B$
  - Rigidity, R = E/Ze
  - E<sub>c</sub>(Z) ~ Z R<sub>c</sub>
- r<sub>SNR</sub> ~ parsec
  - $\rightarrow E_{max} \sim Z * 10^{15} \text{ eV}$
  - $1 \le Z \le 30$  (p to Fe)
- Slope change should occur within factor of 30 in energy
- Problem: continuation of smooth spectrum to EeV : Models of galactic particles E >> knee:
  - reacceleration by multiple SNR
  - reacceleration by shocks in galactic wind
  - Local source at knee on top of smooth galactic spectrum



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- Problem: continuation of smooth spectrum to EeV : Models of galactic particles E >> knee:
  - reacceleration by multiple SNR
  - reacceleration by shocks in galactic wind
  - Local source at knee on top of smooth galactic spectrum
- + Extragalactic sources



# IV- GZK cutoff

 The CMB has a blackbody spectrum with T=2.7 K corresponding to mean energy of 6.34x10<sup>-4</sup> eV. A proton of high enough energy (> 7x10<sup>19</sup> eV) will interact inelastically with CMB photons producing pions via

$$\gamma + p \rightarrow n\pi^+$$
$$\gamma + p \rightarrow p\pi^0$$

- With each collision, the proton would lose roughly 20% of its energy
- Similar behaviors for other particles:
  - nuclei photo-dissociate

 $- \gamma \gamma_{CMB} \rightarrow e^+ e^-$ 

• This only happens for cosmic rays that have at least 6 x 10<sup>19</sup> eV of energy, and this is the predicted GZK cutoff.



# **GZK Cut-off or Source Effect?**

- Progress in observation: the CR spectrum does not extend beyond 10<sup>20</sup>eV First reported by HiRes, and confirmed by Auger and TA.
- Interpretation: the GZK effect, or the acceleration limit, or ?



## **IV-EAS Mass composition**

Identification of incident CR :

- Critical observable to understand the transition from galactic to extra-galactic
- Limited precision from EAS measurement.
- Large statistical fluctuation
- Only averaged quantities (<X<sub>max</sub>> or <ln A> vs Energy
- Model dependent
- Statistically limited at high energy



#### **Cosmic Ray Observatory on the ISS**

to solve the Mysteries of

**Dark Matter &** 

**Origin of Cosmic Rays** 



AMS Launch May 16, 2011





ISS-CREAM Sp-X Launch 2016

JEM-EUSO Launch Tentatively planned for >2018

From E.U Seo AMSdays@CERN Cosmic Rays

#### **Purpose and Goals of the lecture**

To present you:

- Short overview of CR discovery
- What are the main observables used to characterize the CRs (different particles, distribution of energy/Fluxes, anisotropy)
- What are the mains physical processes for the propagation of cosmic-rays.
- What is the current knowledge on CRs
- What are the many open questions related to CRs
- Different strategies used to measure CRs for the different type of CRs/Energy range
- How instruments are built to detect/characterize the CRs / some basic concepts for CRs detections : Acceptance/Trigger/ Monte Carlo simulations
#### p/He ratio anomaly as signature of a nearby source



The idea. Two different classes of sources contribute to the CR flux. Each class has different spectra and composition.

#### Low-energy flux (GeV-TeV energies)

Nearby old SNRs. The shock is weaker, the B-field are damping, the DSA is not efficient
→ The injection spectra may be steeper
Higher background density for p+p interactions (to explain the e+ excess), e.g. due a molecular cloud
→ It may be well a hidrogen-rich source

#### High-energy flux (TeV-PeV energies)

Galactic SNR ensemble. Younger SNRs, with stronger shock and B-field amplification
→ Efficient DSA woring up to PV rigidities.
→ Hard acceleration spectra: slope ~2-2.1.
Composition = average Galactic SNRs properties

→ p & He hardening: Transition from different DSA spectra
→ pHe ratio: signature of transition to different composition of H & He in the medium

### CALET (2015-...)

- Detailed shape of the electron spectrum above 1 TeV to test the presence of nearby astronomical sources
- CALET will be able to extend the present data to higher energies and measure accurately the curvature of the spectrum and the position of the spectral break-point for individual nuclear species



### **Future experiments: ISS-CREAM**

- Launch (Space X Dragon cargo) in 2016
- To be installed on JEM-EF for a period of at least three year
- The elemental spectra for Z = 1– 26 nuclei over the energy range 10<sup>11</sup> to 10<sup>14</sup> eV
- Additional capability for electron separation from protons.



### Modulation of CR spectra

Comparison between Neutron Monitor and Direct measurements:



 $\rightarrow$  High statistics of AMS: possibility to reconstruct a flux for each day and then to study the time fluctuation.





Daily proton rate reconstructed from AMS02 data:

Bunch of low energy particle produced by the flare



Bunch of low energy particle produced by the flare



Forbush decrease (due to the large magnetic disturbance) lasting ~20 days



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# Cavité solaire – l'héliosphère

A region of space influenced by the sun and its expanding **Corona** : solar wind *size* : 100-150 AU

- Solar wind: a continuous flow of charged particles from SUN with velocities around 400 Km/s
  - mainly composed of electrons and protons
  - flux ~10<sup>12</sup> particles/m<sup>2</sup>.s
- Solar magnetic field





# Champ magnétique solaire

- Le soleil tourne sur lui-même avec une période de ≃ 27.27 days (Carrington rotation)
- Le champ magnétique est proche d'un champ dipolaire près des pôles.
- Le vent solaire transporte le champ dans l'espace interplanétaire.
- Offset entre l'axe du champ et l'axe de rotation qui augmente quand on passe du minimum au maximum solaire.
  - $\rightarrow$  Spirale de Parker
- Structure du champ plus complexe quand on approche du maximum
  - → Renversement de la polarité





# Modulation solaire

Une particule entrant dans l'héliosphère va interagir avec :

- Le vent solaire
- Les irrégularités du champ magnétiques
- Le champ magnétique à plus échelle (Spirale de Parker)

Simplifications (Gleeson et Axford 1968):

- Vent solaire radial et de vitesse constante
- Symétrie sphérique de l'héliosphère.
- Pas de dérive Pure diffusion
- Système à l'équilibre

Trajectory Shock Current Sheet Drifts Solar Wind Random Walk

### **Approximation Force field**

• Modèle le plus simple : Force-Field

$$J(E, t) = \frac{E^2 - M^2}{(E + \Phi(t))^2 - M^2} J^{IS}(E + \Phi(t))$$

- Où  $\Phi(t)$  est la paramètre de modulation  $\rightarrow$  Toute la dépendance en temps est contenue dans  $\Phi(t)$
- eZ x  $\Phi(t)$  : Energie moyenne perdue par le RC lors du transport dans la cavité solaire.



# **Ground-based Neutron Monitor**

- ~30 active stations all over the world (different latitudes and altitudes)
- Some in operation for decades
- Cannot measure the energy spectrum or the composition of cosmic-rays
- Energy integrating device: Monitor the Neutron rate ∝ Integral of fluxes folded with atmosphere and detector response.
- NM counts can be used to compute the modulation parameter  $\phi(t)$ :





### Galactic CRs status ~10 years ago

Main properties:

- Featureless and universal powerlaw energy spectra above 2 GeV/n
- Abundances for primary species related to Solar system ones.
- Abundances of secondary species explained by nuclear interaction on ISM.

→ Global features could be well describe by diffusion model with universal power-law injection in the sources

→ Recent data, reaching an unprecedented precision are challenging this "simple" picture.





Figure 1.7: Hillas diagram indicating different classes of astrophysical objects capable of accelerating cosmic rays up to very high energies. The maximum energy that can be achieved while containing particles in the acceleration region depends on the size of the source and its magnetic field strength. (Adapted from [27].)



Each cosmic-ray nucleus provides specific information:

- Protons and Helium are the most abundant charged particles in cosmic rays. Knowledge of the precise behavior of the spectrum is important to understand the origin, acceleration, and propagation of cosmic rays.
- Li, Be, B, ... are produced by the spallation of cosmic rays in the interstellar medium: The flux of these secondaries or secondary/primary ratios (like B/C) are key measurements to understand propagation.
- Other primary (C,N,O,..) can be used to test the universality of propagation/acceleration.
- Precise knowledge of both primary fluxes and propagation mechanisms is mandatory to • assess background (e<sup>+</sup>,pbar,...) and expected signal for DM searches. 130