

ISAPP 2017, Arenzano, Italy, 13–24 June 2017

# Supernova Neutrinos

Georg G. Raffelt

Max-Planck-Institut für Physik, München, Germany



Max-Planck-Institut für Physik  
(Werner-Heisenberg-Institut)

elusives

neutrinos, dark matter & dark energy physics

SFB 1258

Neutrinos  
Dark Matter  
Messengers



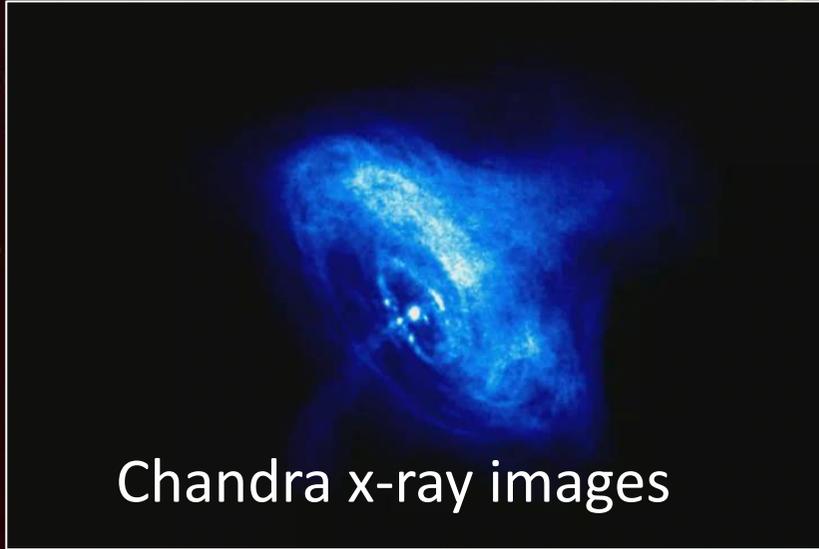
# Crab Nebula – Remnant of SN 1054



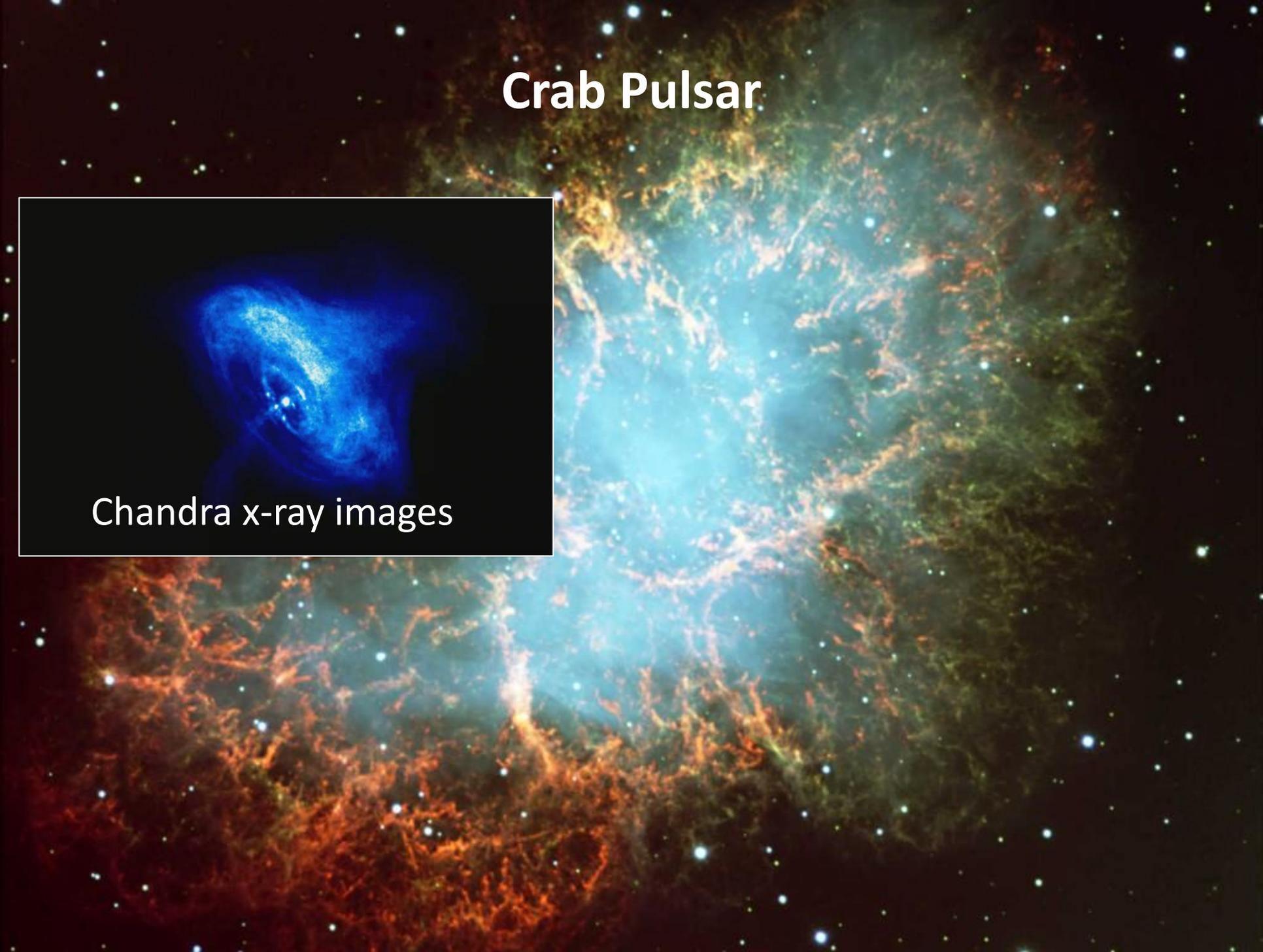
凡十一日没三年三月乙巳出東南方大中祥符四年正月丁丑見南斗魁前天禧五年四月丙辰出軒轅前星西北大如桃速行經軒轅太星入太微垣掩右執法犯次將歷屏星西北凡七十五日入濁没明道元年六月乙巳出東北方近濁有芒彗至丁巳凡十三日没至和元年五月己丑出天關東南可數寸歲餘稍没熙寧二年六月丙辰出箕度中至七月丁卯犯箕乃散三年十一月丁未出天因元祐六年十一月辛亥出參度中犯掩側星壬子犯九游星十二月癸酉入奎至七年三月辛亥乃散紹興八年五月守婁

宋史志卷九

# Crab Pulsar

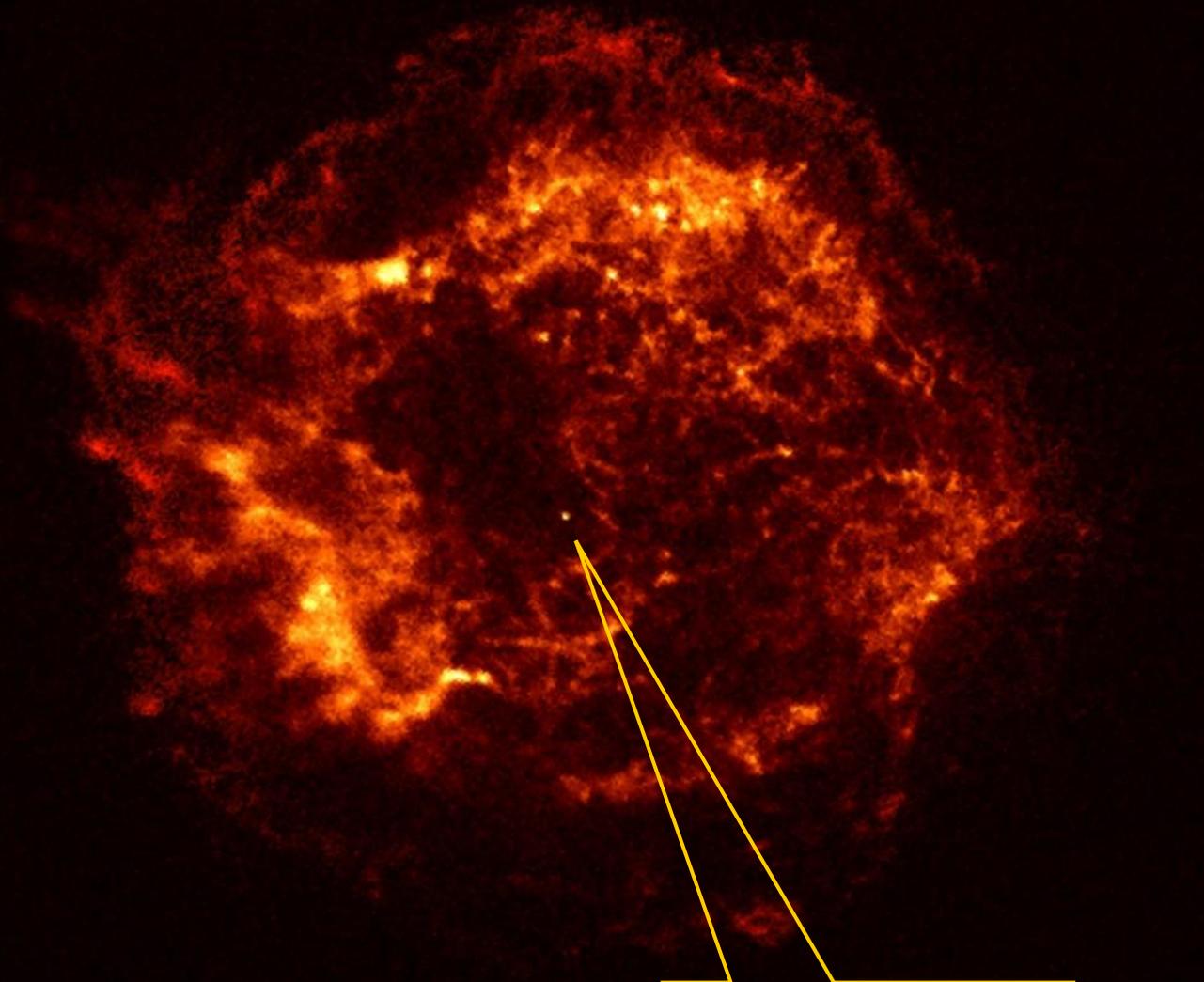


Chandra x-ray images



# Supernova Remnant in Cas A (SN 1680?)

Chandra  
x-ray image



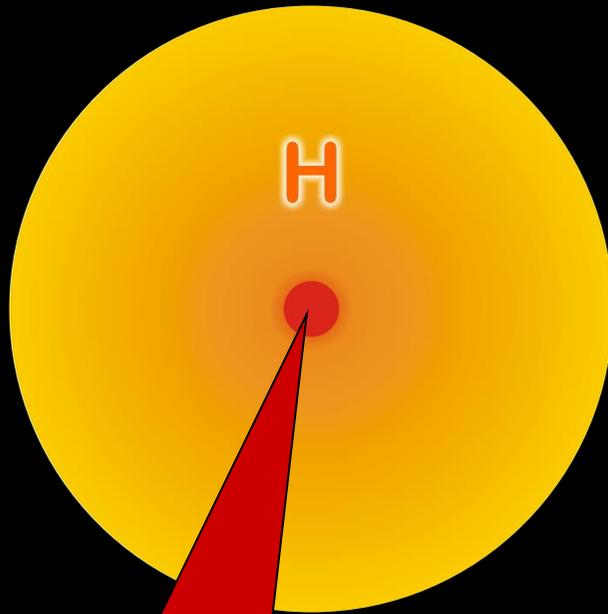
Non-pulsar  
compact remnant



# Supernova Explosions

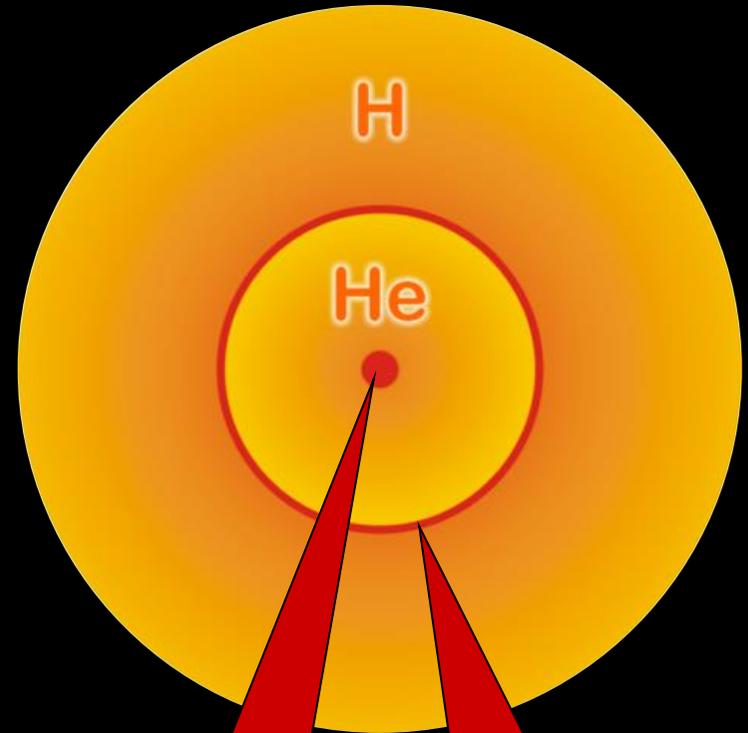
# Stellar Collapse and Supernova Explosion

Main-sequence star



Hydrogen Burning

Helium-burning star

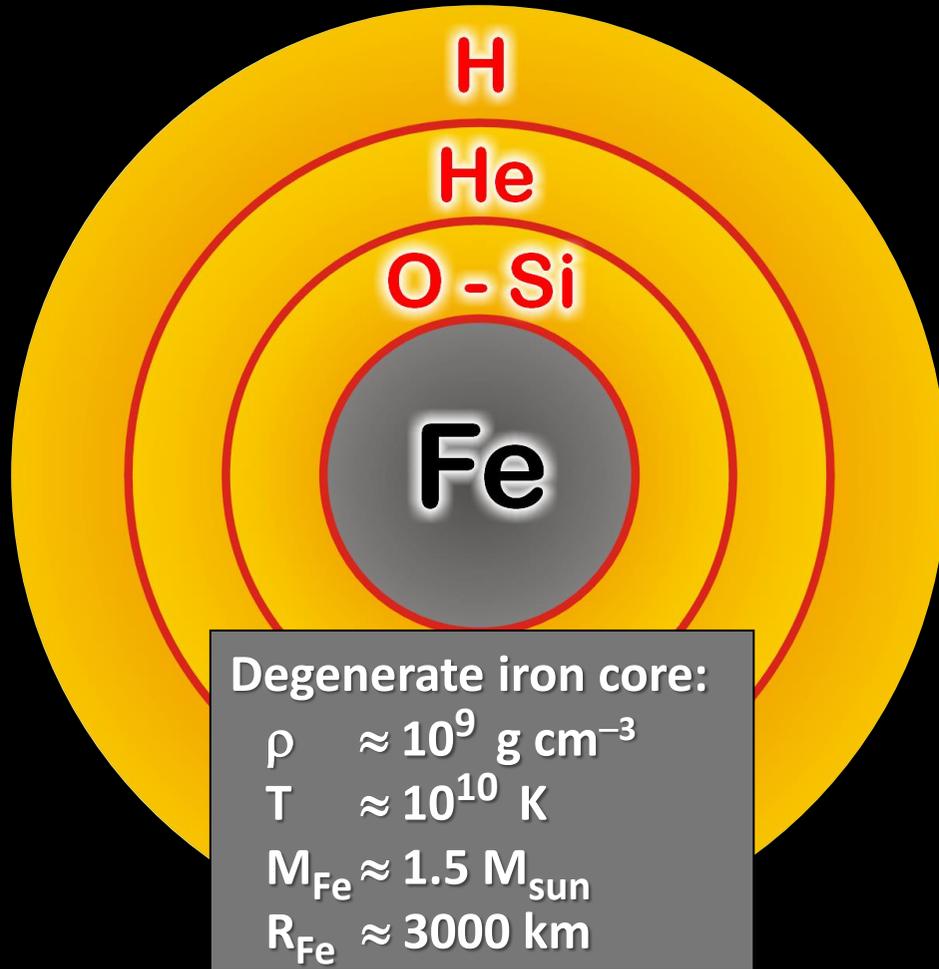


Helium  
Burning

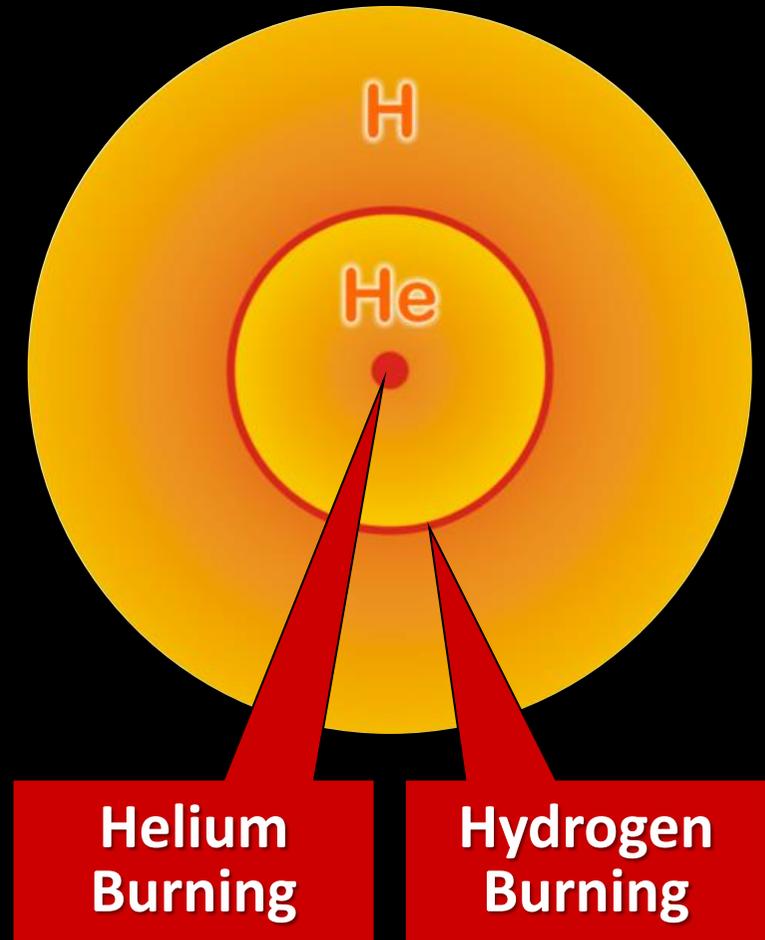
Hydrogen  
Burning

# Stellar Collapse and Supernova Explosion

## Onion structure

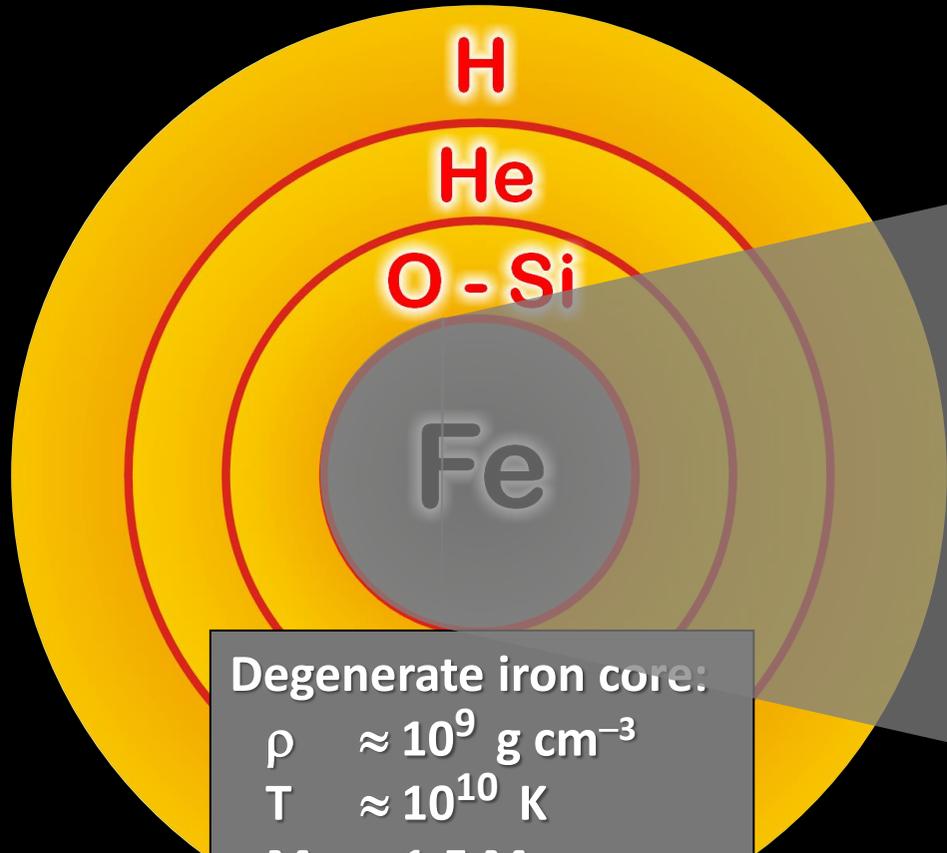


## Helium-burning star

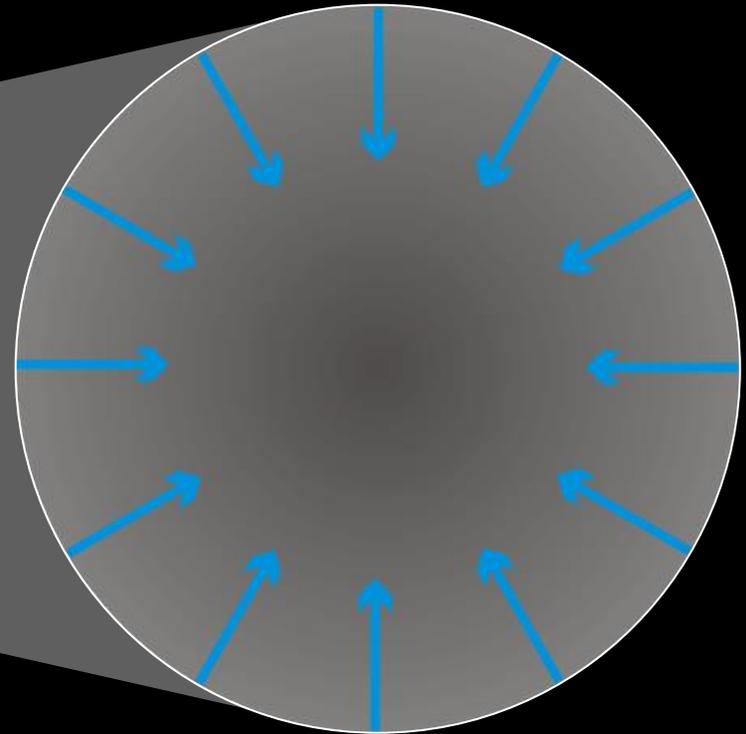


# Stellar Collapse and Supernova Explosion

Onion structure



Collapse (implosion)



Degenerate iron core:

$$\rho \approx 10^9 \text{ g cm}^{-3}$$

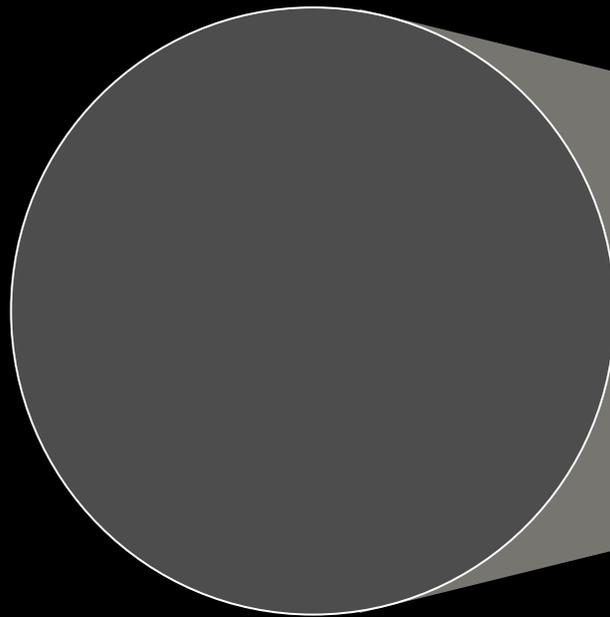
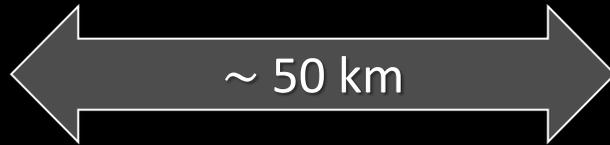
$$T \approx 10^{10} \text{ K}$$

$$M_{\text{Fe}} \approx 1.5 M_{\text{sun}}$$

$$R_{\text{Fe}} \approx 3000 \text{ km}$$

# Stellar Collapse and Supernova Explosion

**Newborn Neutron Star**

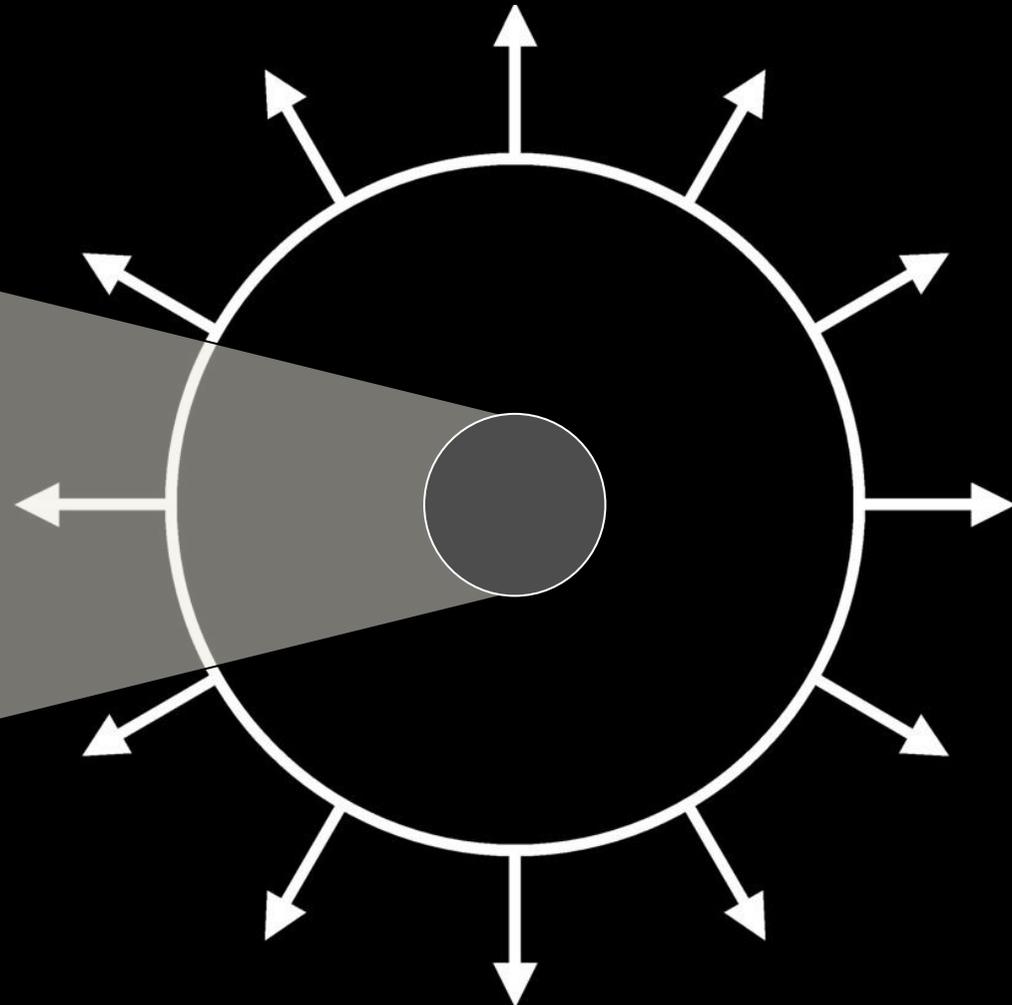


**Proto-Neutron Star**

$$\rho \sim \rho_{\text{nuc}} = 3 \times 10^{14} \text{ g cm}^{-3}$$

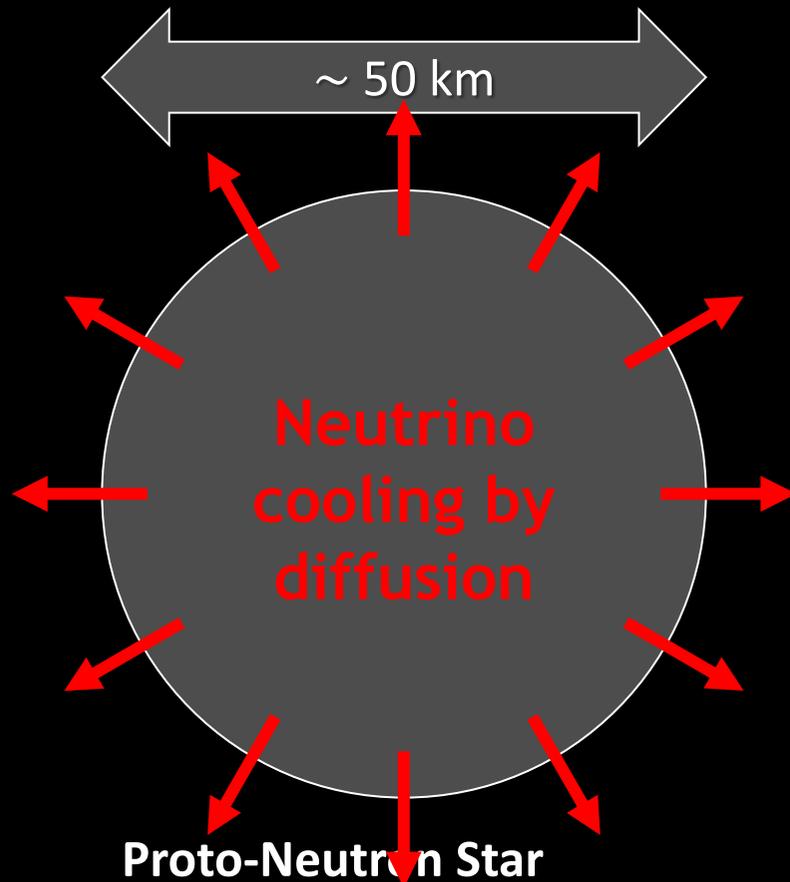
$$T \sim 10 \text{ MeV}$$

**Explosion**



# Stellar Collapse and Supernova Explosion

## Newborn Neutron Star



$\rho \sim \rho_{\text{nuc}} = 3 \times 10^{14} \text{ g cm}^{-3}$   
 $T \sim 10 \text{ MeV}$

Gravitational binding energy

$$E_b \approx 3 \times 10^{53} \text{ erg} \approx 17\% M_{\text{SUN}} c^2$$

This shows up as

99% Neutrinos

1% Kinetic energy of explosion

0.01% Photons, outshine host galaxy

Neutrino luminosity

$$L_\nu \sim 3 \times 10^{53} \text{ erg} / 3 \text{ sec}$$

$$\sim 3 \times 10^{19} L_{\text{SUN}}$$

While it lasts, outshines the entire visible universe

# Thermonuclear vs. Core-Collapse Supernovae

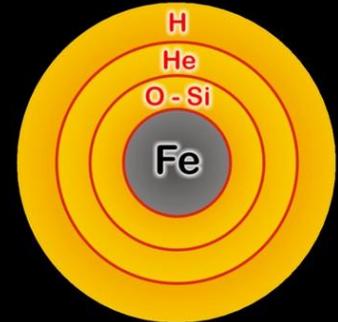
## Thermonuclear (Spectral type Ia)

- Carbon-oxygen white dwarf (remnant of low-mass star)
- Accretes matter from companion



## Core collapse (Spectral type II, Ib/c)

- Degenerate iron core of evolved massive star
- Accretes matter by nuclear burning at its surface



Chandrasekhar limit is reached —  $M_{\text{Ch}} \approx 1.5 M_{\text{sun}} (2Y_e)^2$   
**COLLAPSE SETS IN**

Nuclear burning of C and O ignites  
→ Nuclear deflagration  
("Fusion bomb" triggered by collapse)

Collapse to nuclear density  
Bounce & shock  
Implosion → Explosion

Powered by nuclear binding energy

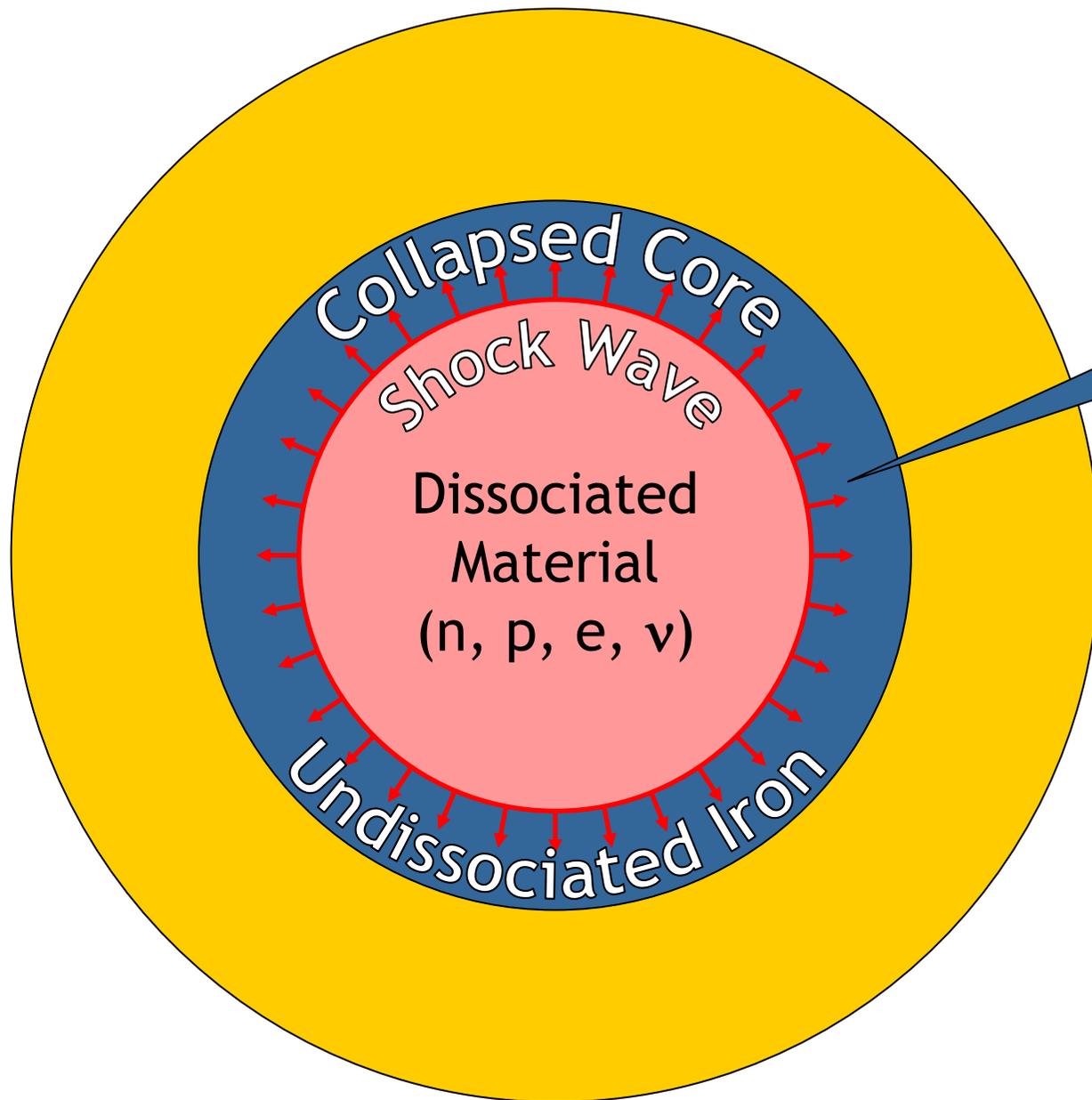
Powered by gravity

Gain of nuclear binding energy  
~ 1 MeV per nucleon

Gain of gravitational binding energy  
~ 100 MeV per nucleon  
99% into neutrinos

Comparable "visible" energy release of  $\sim 3 \times 10^{51} \text{erg}$

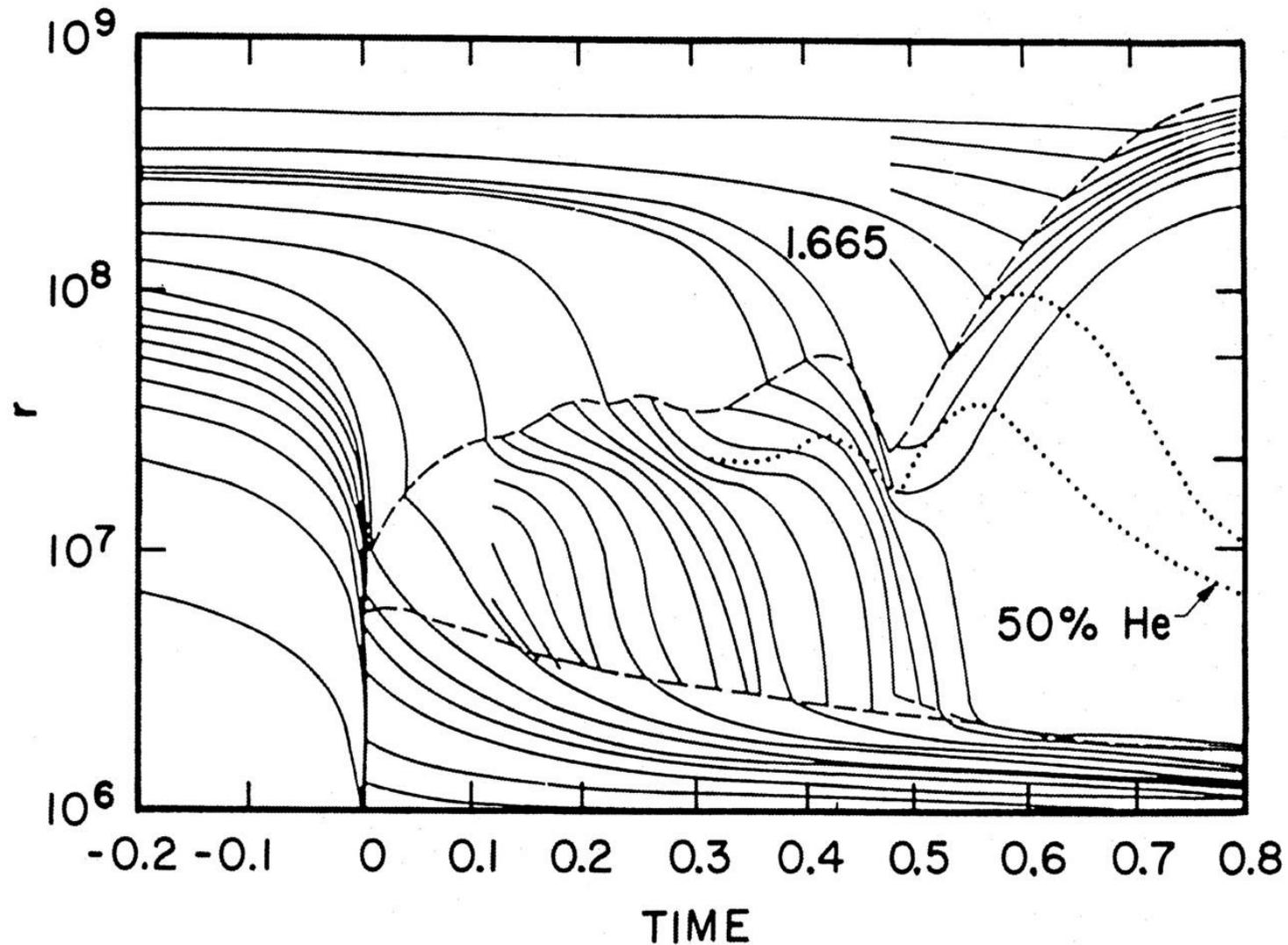
# Why No Prompt Explosion?



- $0.1 M_{\text{sun}}$  of iron has a nuclear binding energy  $\approx 1.7 \times 10^{51}$  erg
- Comparable to explosion energy

- **Shock wave forms within the iron core**
- **Dissipates its energy by dissociating the remaining layer of iron**

# Delayed (Neutrino-Driven) Explosion

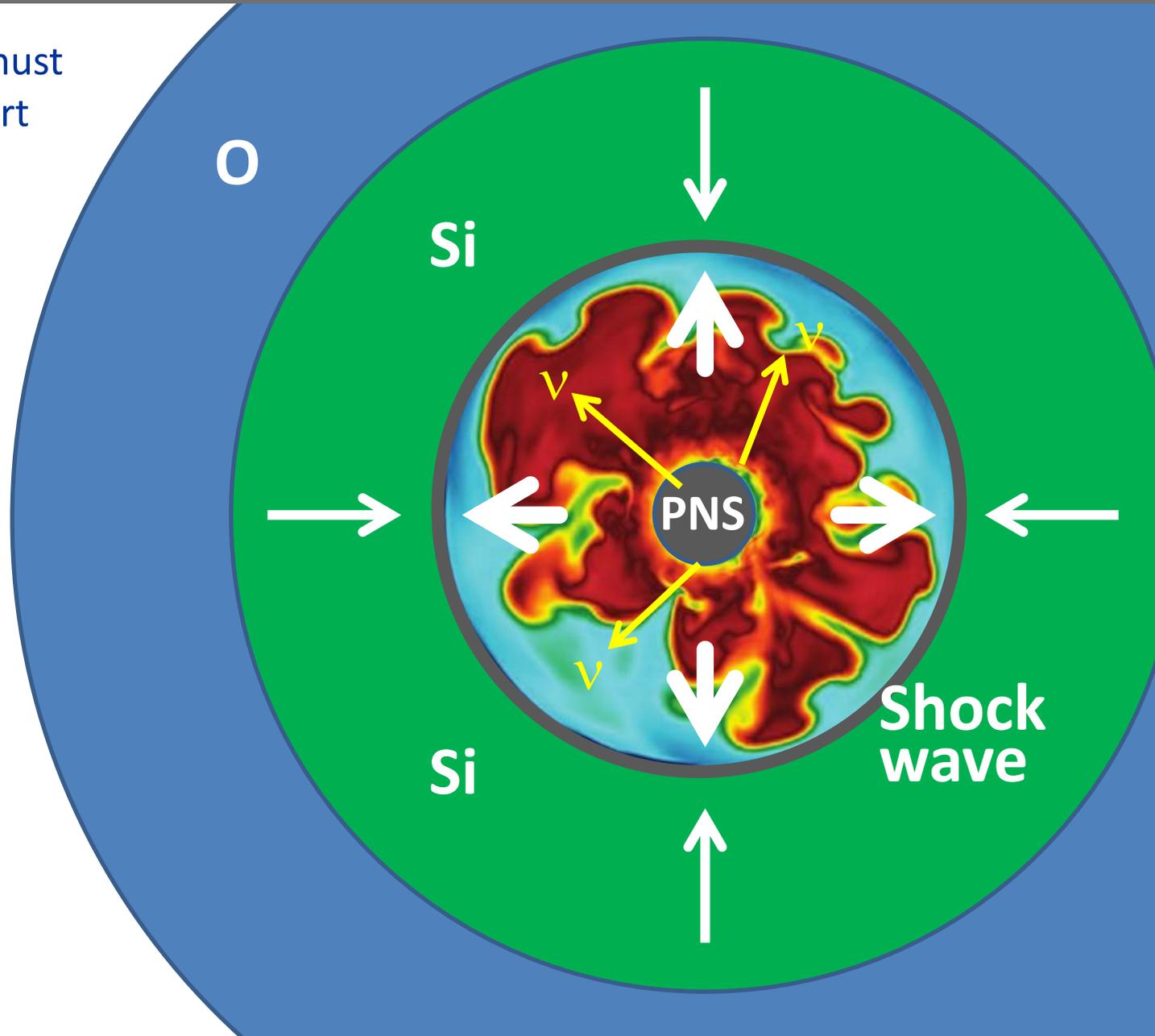


Wilson, Proc. Univ. Illinois Meeting on Num. Astrophys. (1982)  
Bethe & Wilson, ApJ 295 (1985) 14

# Shock Revival by Neutrinos

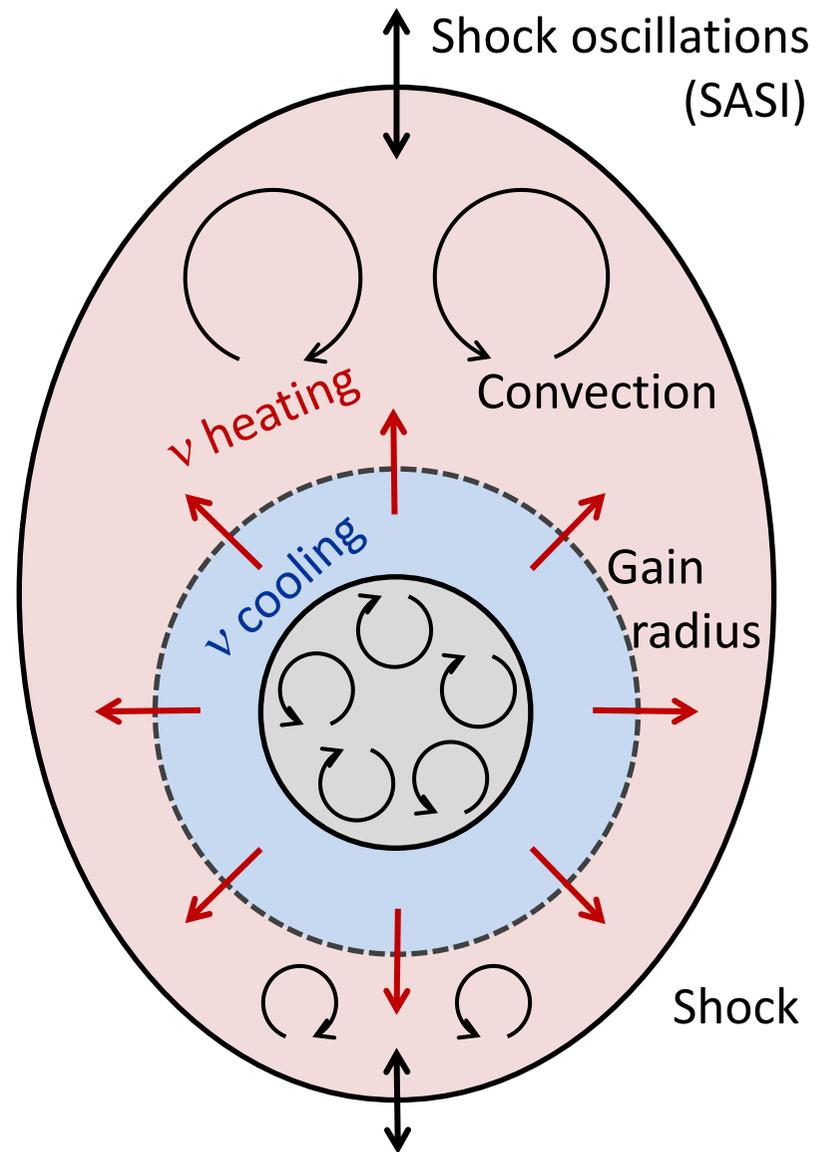
Stalled shock wave must receive energy to start re-expansion against ram pressure of infalling stellar core

**Shock can receive fresh energy from neutrinos!**



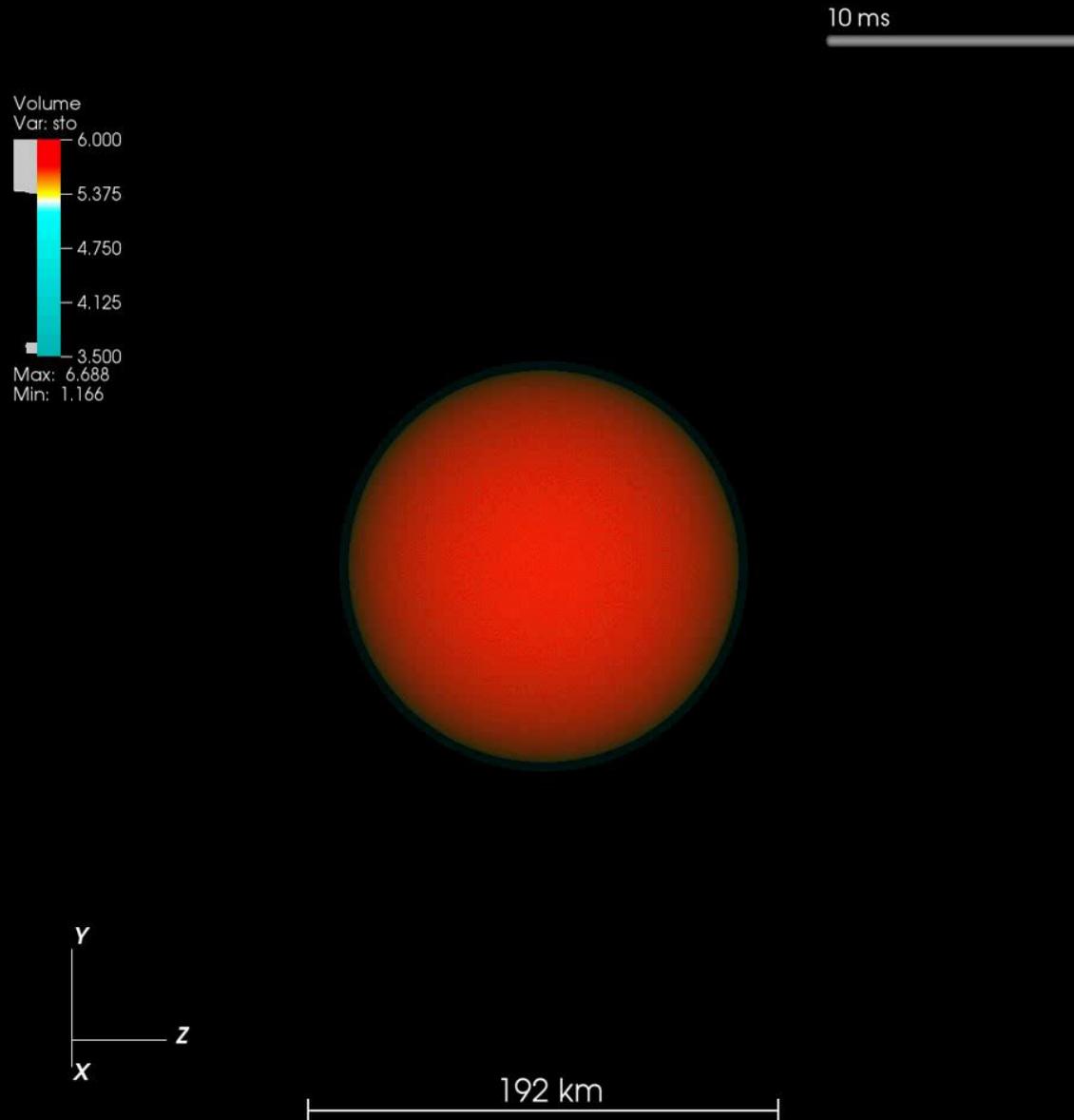
# Neutrino-Driven Mechanism – Modern Version

- Stalled accretion shock pushed out to  $\sim 150$  km as matter piles up on the PNS
- Heating (gain) region develops within some tens of ms after bounce
- Convective overturn & shock oscillations (SASI) enhance efficiency of  $\nu$ -heating, finally revives shock
- Successful explosions in 1D and 2D for different progenitor masses (e.g. Garching group)
- Details important (treatment of GR,  $\nu$  interaction rates, etc.)
- First self-consistent 3D studies being performed, sometimes successful explosions

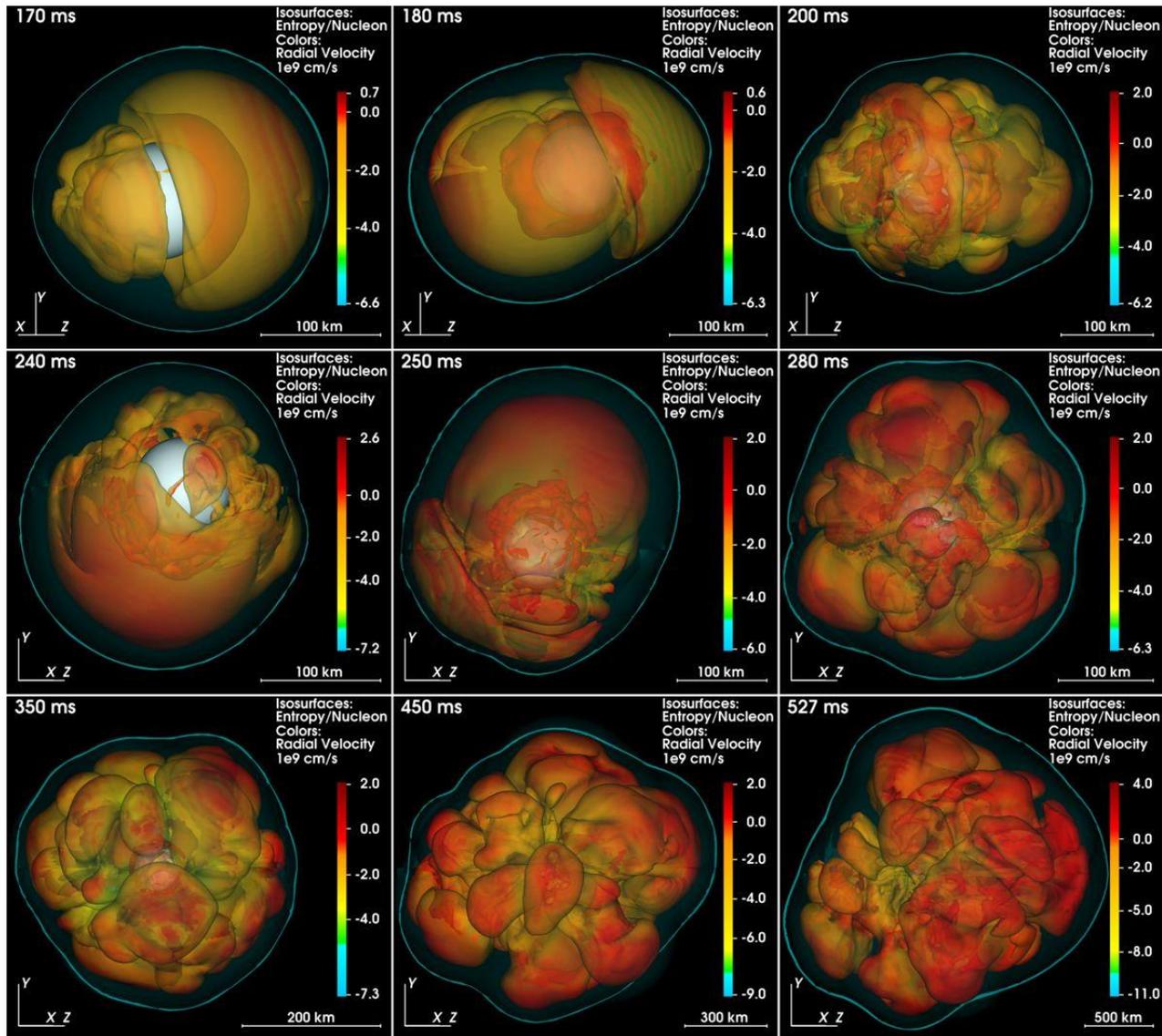


Adapted from B. Müller

# Exploding 3D Simulation (20 M<sub>⊙</sub> Garching Group)



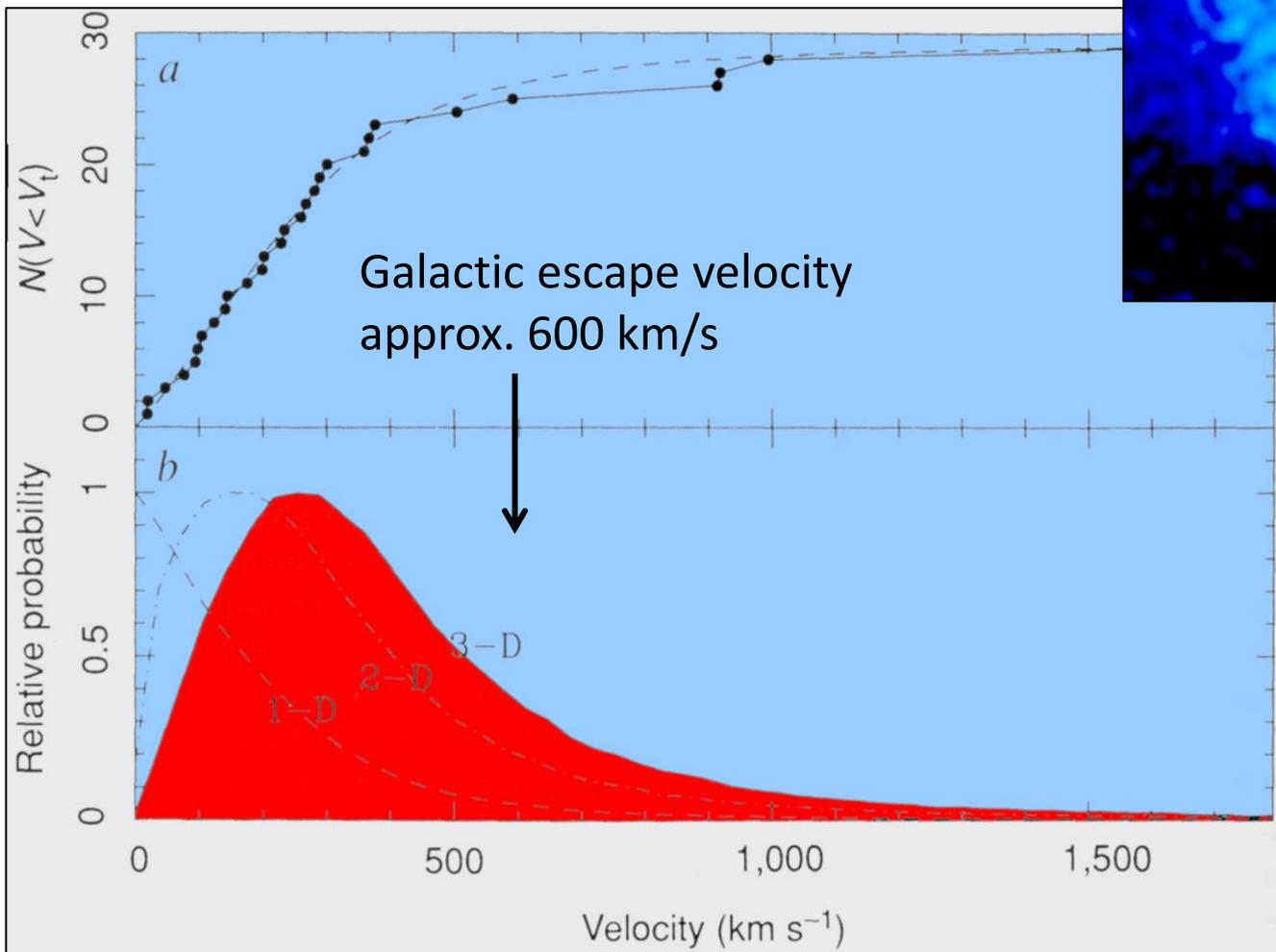
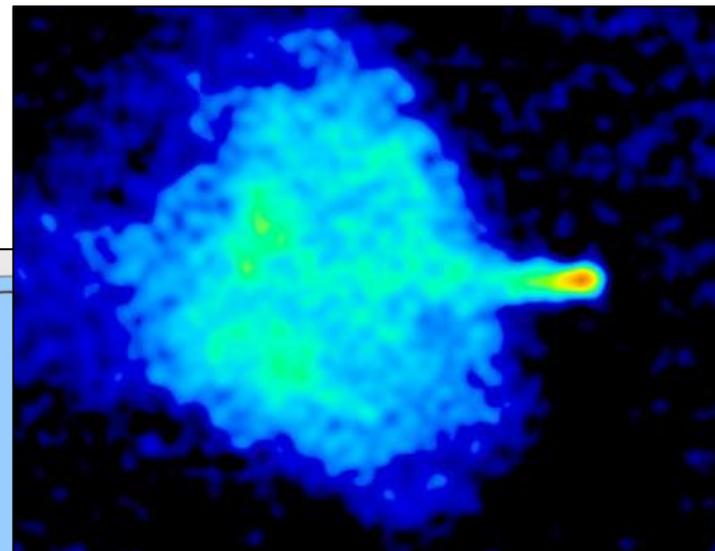
# Exploding 3D Garching Model (20 $M_{\text{SUN}}$ )



Melson, Janka, Bollig, Hanke, Marek & Müller, arXiv:1504.07631

# High-Velocity Pulsars

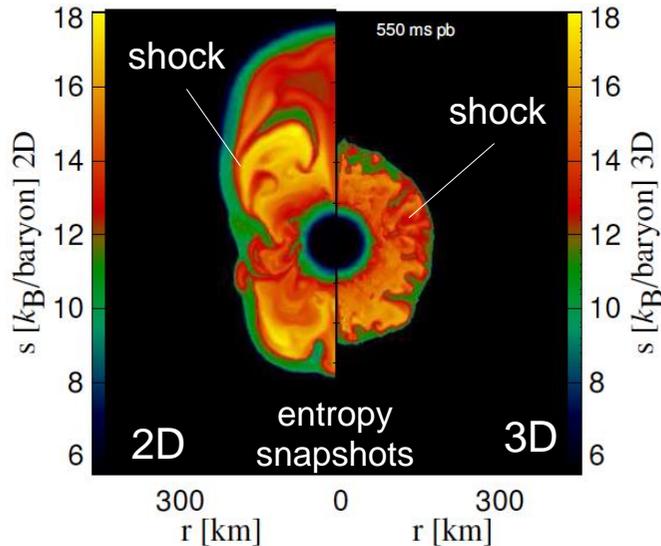
False-color radio image of the SNR G5.4-1.2 and the young radio pulsar PSR 1757-24 ( $v = 1300\text{--}1700$  km/s away from the galactic plane)



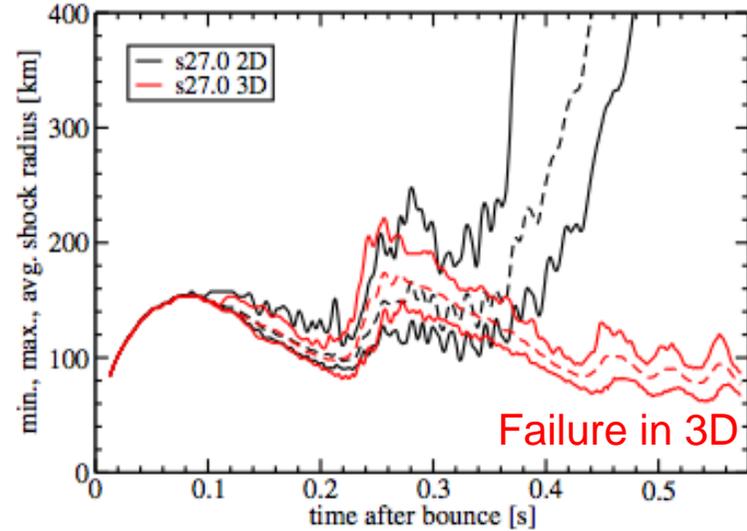
Pulsar velocity distribution

Lyne & Lorimer, Nature 369 (1994) 127

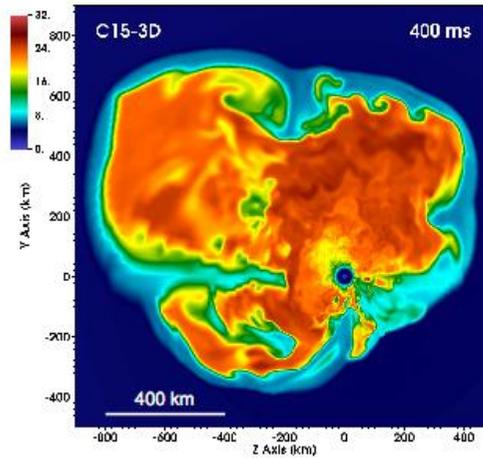
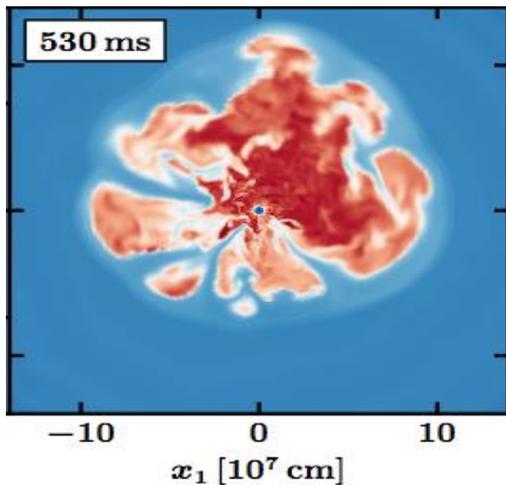
# Problems with Shock Revival in 3D Simulations



Simplified “light-bulb” model (Hanke et al. 2012)  
Turbulent convection in 2D and 3D



27  $M_{\odot}$  Hanke et al. (2013)



15  $M_{\odot}$  Lentz et al. (2015)

## First-principle 3D models:

- Mixed record: failures or **explosions delayed vs. 2D**
- Close to threshold: ok, we expect failures in nature!
- No proof that  $\nu$ -mechanism is *robust*

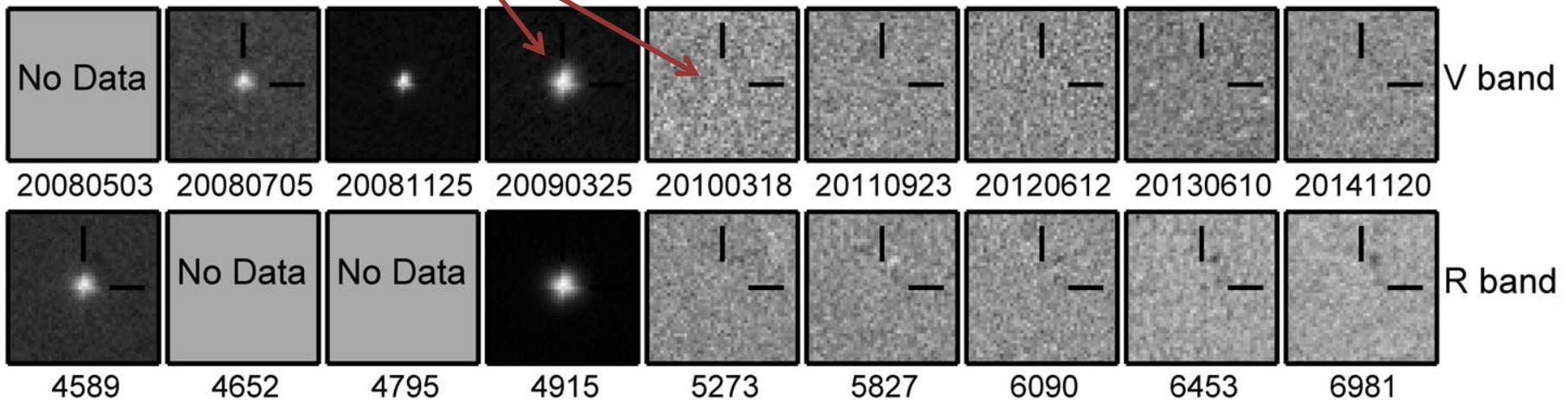
Or with simpler schemes: e.g. IDSA+leakage Takiwaki et al. (2014)

# Death Watch for a Million Supergiants

- Monitoring 27 galaxies within 10 Mpc for many years
- Visit typically twice per year
- $10^6$  supergiants (lifetime  $10^6$  years)
- Combined SN rate: about 1 per year

## First 7 years of survey:

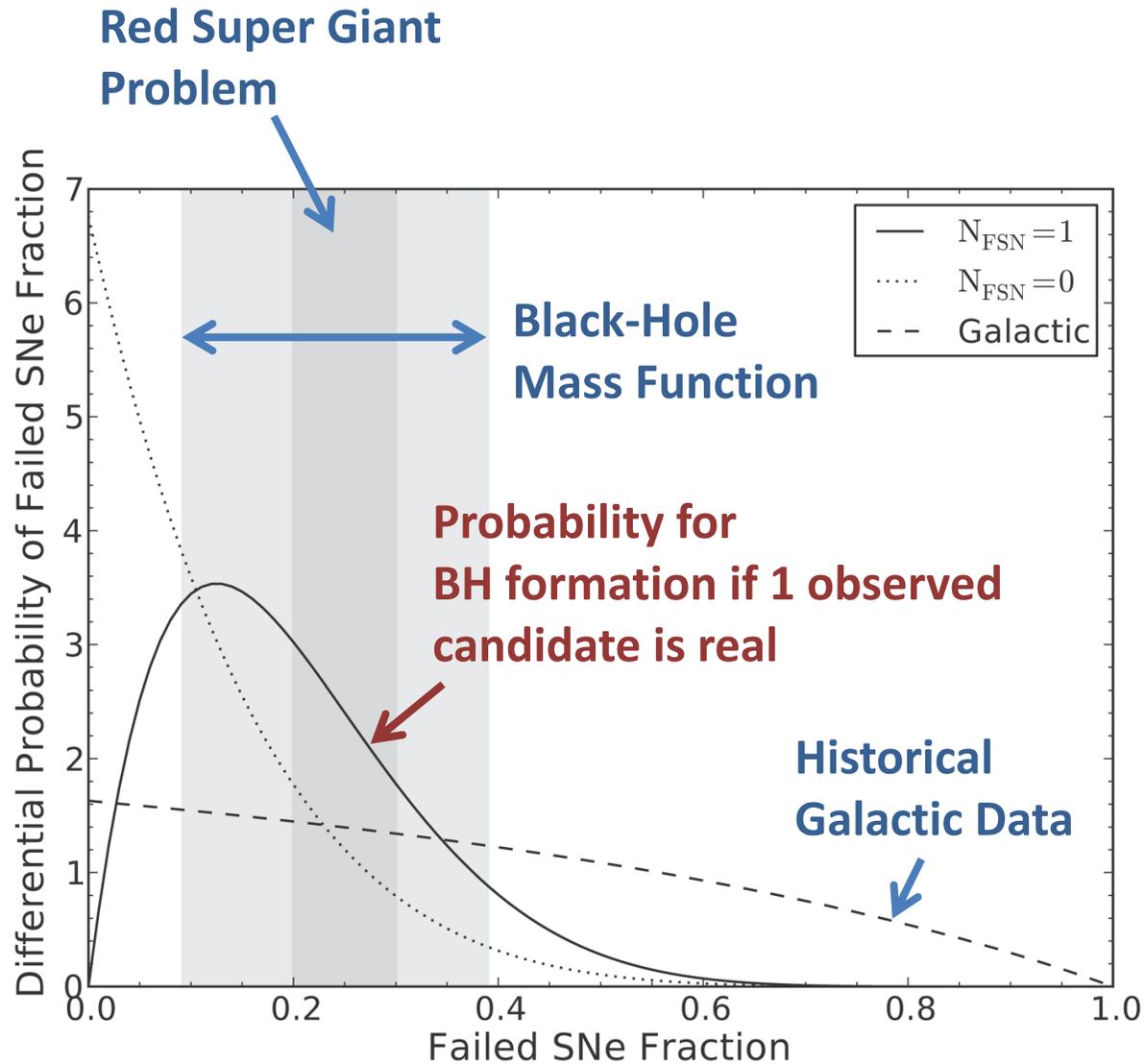
- 6 successful core-collapse SNe
- 1 candidate failed SN



Gerke, Kochanek & Stanek, arXiv:1411.1761

Adams, Kochanek, Gerke, Stanek (& Dai), arXiv:1610.02402 (1609.01283)

# Empirical Fraction of Black-Hole Formation



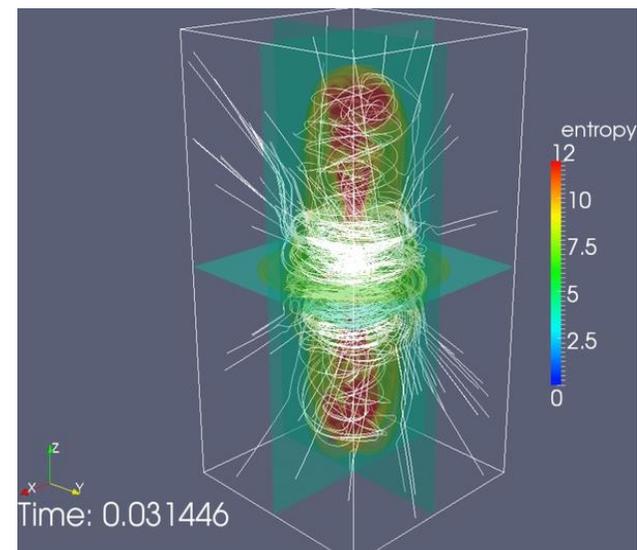
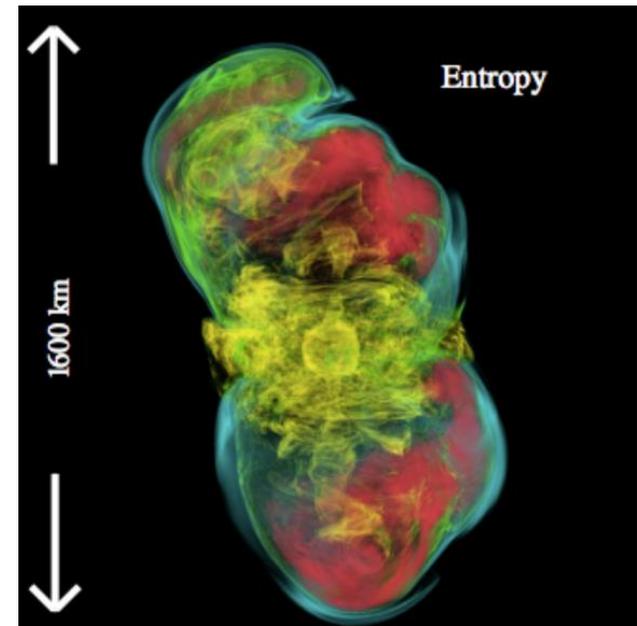
Adams, Kochanek, Gerke & Stanek, arXiv:1610.02402

# Modelling Neutrino-Driven Mechanism: Summary

- 3D simulations on the verge between explosion and failure (which is ok ... )
- Several promising ideas to make neutrino-driven explosions robust, solution likely a combination plus improved modeling (nu transport, resolution, ... )
  - Improved microphysics (e.g. Melson et al. 2015, Burrows et al. 2016)
  - Convective seed perturbations for “perturbation-aided” mechanism (Couch et al. 2015, Müller 2016)
  - Rotation (Janka et al. 2016, Takiwaki et al. 2016)
  - Lower explosion threshold in SASI-dominated regime (Fernandez 2015)?
- Perspectives for confronting simulations with observations:
  - First-principle simulations somewhat premature, encouraging trends from recent 3D models – energies of  $10^{51}$  erg in reach?
  - Soon to become a predictive tool for exploring explosion energies, nickel masses, kicks, spins, nucleosynthesis (Meanwhile parametric simulations to bridge the gap to observations)
  - **High-statistics neutrino observations from next nearby supernova**

# MHD Driven Supernovae

- Inherent limit of  $\sim 2 \times 10^{51}$  erg for explosion energy in  $\nu$ -driven mechanism  
→ cannot explain hypernovae
- Tapping rotational energy of “millisecond magnetar” or BH/torus-system (collapsar scenario) is viable
- Many Challenges for simulations
  - Operation & saturation of MRI (recent work by Guilet, Obergaulinger, Rembiasz, Moesta ...)  
with non-ideal MHD effects
  - Seed fields & high initial rotation rate  
(special stellar evolution channels needed)
  - Timescales: Engine operates for seconds, but simulations of Moesta et al. (2014)  $< 200$  ms, Winteler et al. (2012)  $< 50$  ms
- For slower rotation/smaller B-fields: Energetics dominated by  $\nu$ -heating, MHD subdominant role (Obergaulinger, Janka & Aloy 2014)



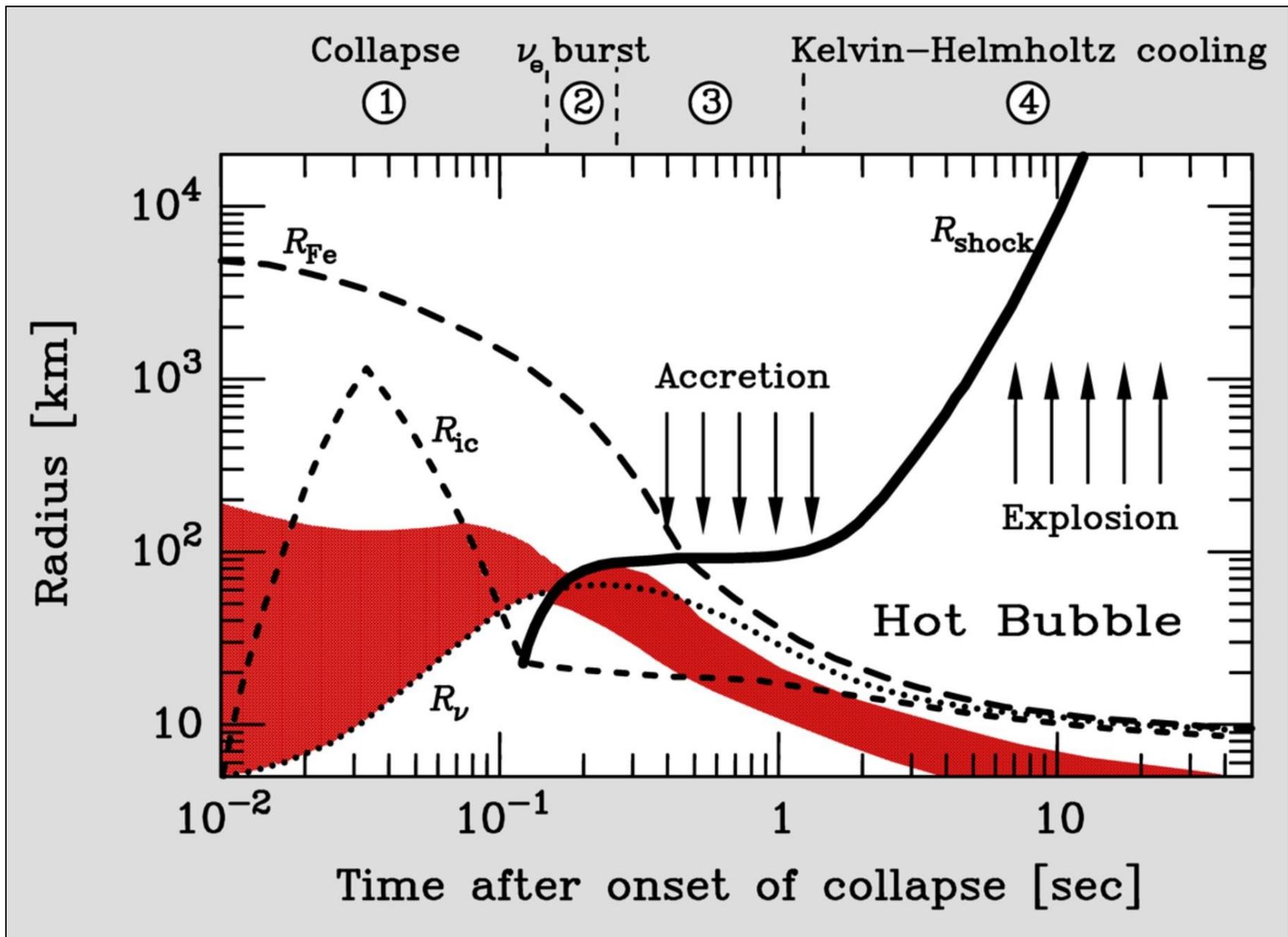
# Diversity



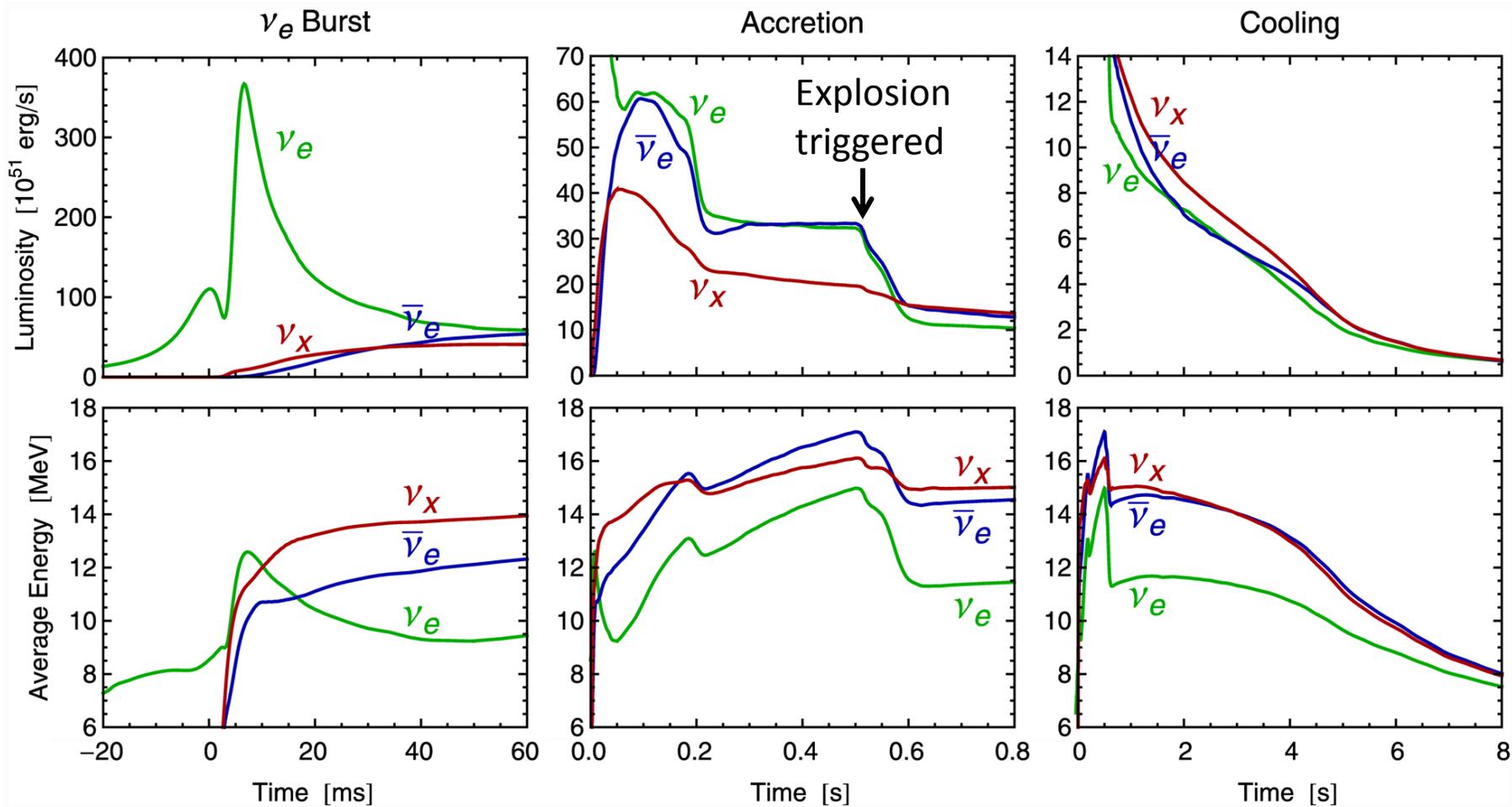


# Characteristics of Neutrino Signal

# Supernova Delayed Explosion Scenario



# Three Phases of Neutrino Emission



- Shock breakout
- De-leptonization of outer core layers

- Shock stalls  $\sim 150$  km
- Neutrinos powered by infalling matter

Cooling on neutrino diffusion time scale

**Spherically symmetric Garching model ( $25 M_{\odot}$ ) with Boltzmann neutrino transport**

# What determines the time scale?

## Main neutrino reactions

$$\nu_e + n \rightarrow p + e^-, \quad \bar{\nu}_e + p \rightarrow n + e^+, \quad \nu + N \rightarrow N + \nu$$

## Neutral-current scattering cross section

$$\sigma(\nu N \rightarrow N\nu) = \frac{C_V^2 + 3C_A^2}{\pi} G_F^2 E_\nu^2 \approx 2 \times 10^{-40} \text{cm}^2 \left( \frac{E_\nu}{100 \text{ MeV}} \right)^2$$

## Nucleon density

$$n_B = \frac{\rho_{\text{nuc}}}{m_N} \approx 1.8 \times 10^{38} \text{cm}^{-3}$$

## Scattering rate

$$\Gamma = \sigma n_B \approx 1.1 \times 10^9 \text{s}^{-1} \left( \frac{E_\nu}{100 \text{ MeV}} \right)^2$$

## Mean free path

$$\lambda = (\sigma n_B)^{-1} \approx 28 \text{cm} \left( \frac{100 \text{ MeV}}{E_\nu} \right)^2$$

## Diffusion time

$$t_{\text{diff}} \approx \frac{R^2}{\lambda} \approx 1.2 \text{s} \left( \frac{R}{10 \text{ km}} \right)^2 \left( \frac{E_\nu}{100 \text{ MeV}} \right)^2$$

# What Determines the Neutrino Energies?

Hydrostatic equilibrium (virial equilibrium)

$$-\frac{1}{2}\langle\Phi_{\text{grav}}\rangle = \langle E_{\text{kin}}\rangle = \frac{3}{2}k_{\text{B}}T$$

Assume SN core is homogeneous sphere with

$$M = 1.5 M_{\odot}, \quad \rho = \rho_{\text{nuc}} = 3 \times 10^{14} \text{ g/cm}^3, \quad R = 13.4 \text{ km}$$

Gravitational potential of nucleon at center

$$\Phi_{\text{grav}} = -\frac{3}{2} \frac{G_{\text{N}} M_{\text{core}} m_{\text{p}}}{R} \sim -234 \text{ MeV}$$

For non-interacting and non-degenerate nucleons implies

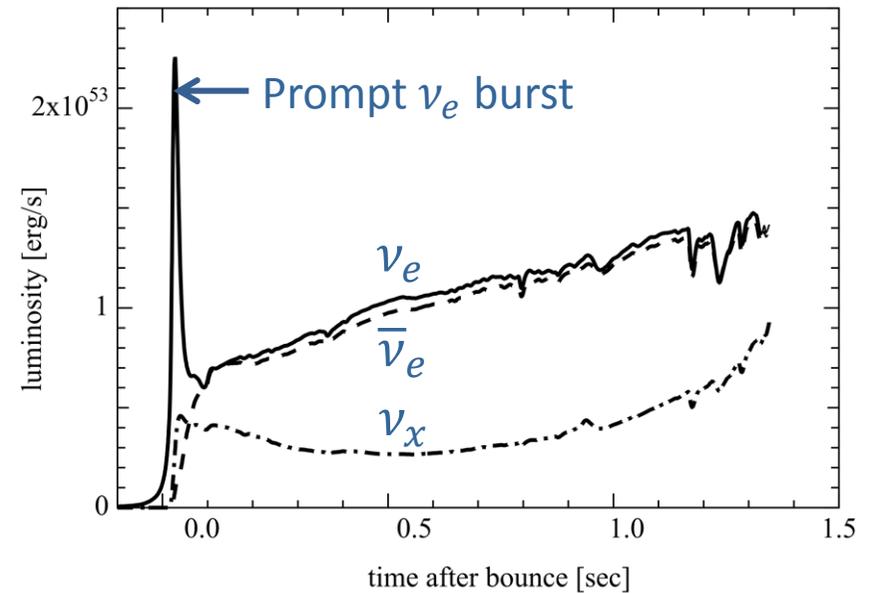
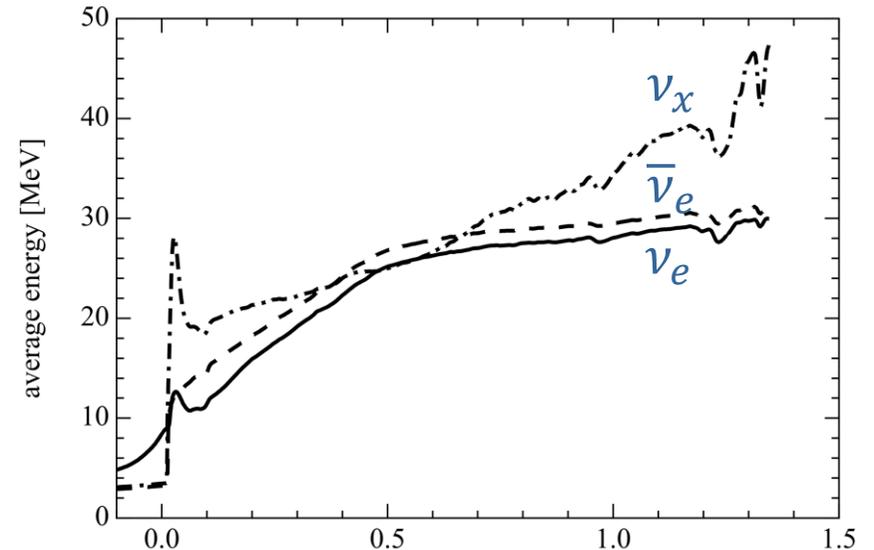
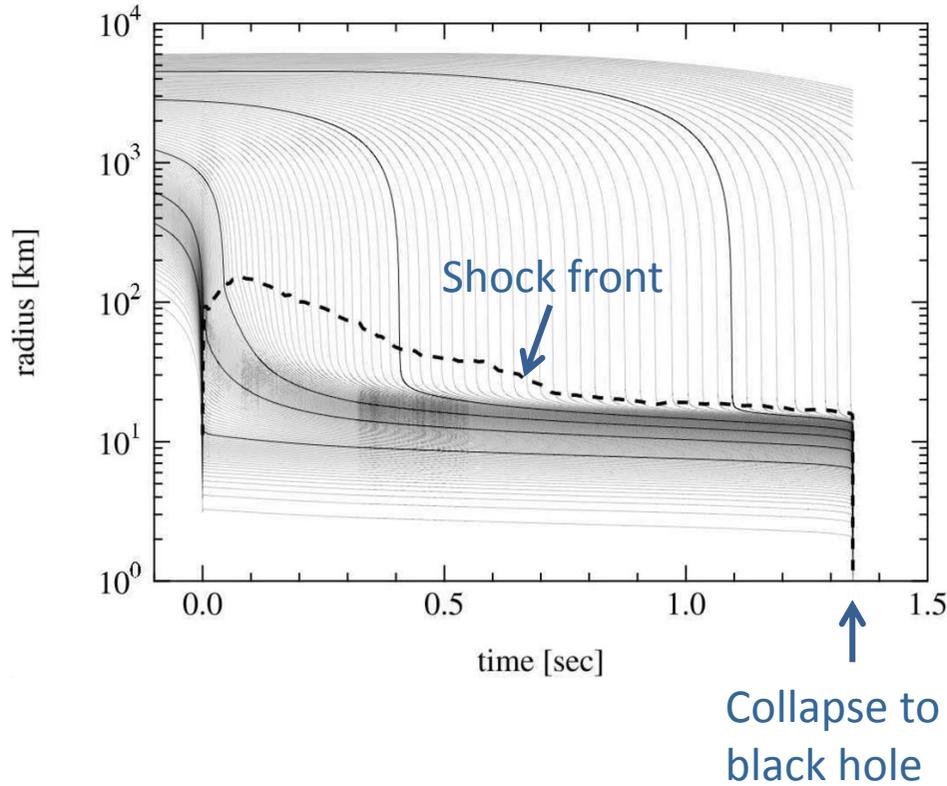
$$T \sim 80 \text{ MeV}$$

More realistic, nuclear equation-of-state dependent values

$$T \sim 20\text{--}40 \text{ MeV}$$

Energy scale in the multi-10 MeV range set by gravitational potential

# Neutrino Signal of a Failed Supernova ( $40 M_{\text{SUN}}$ )



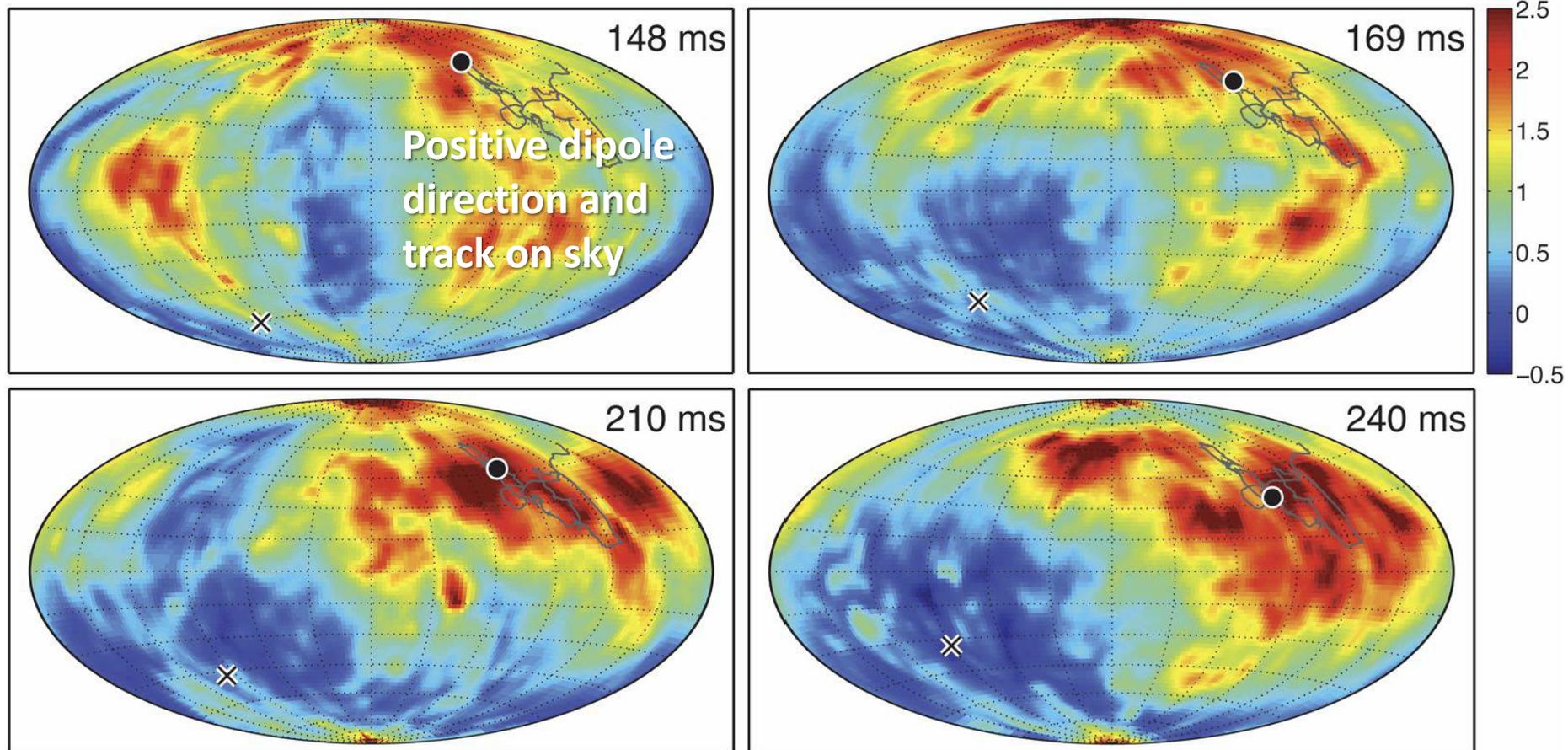
Sumiyoshi, Yamada & Suzuki, arXiv:0706.3762



# Lepton Emission Asymmetry

# Sky Map of Lepton-Number Flux (11.2 M<sub>SUN</sub> Model)

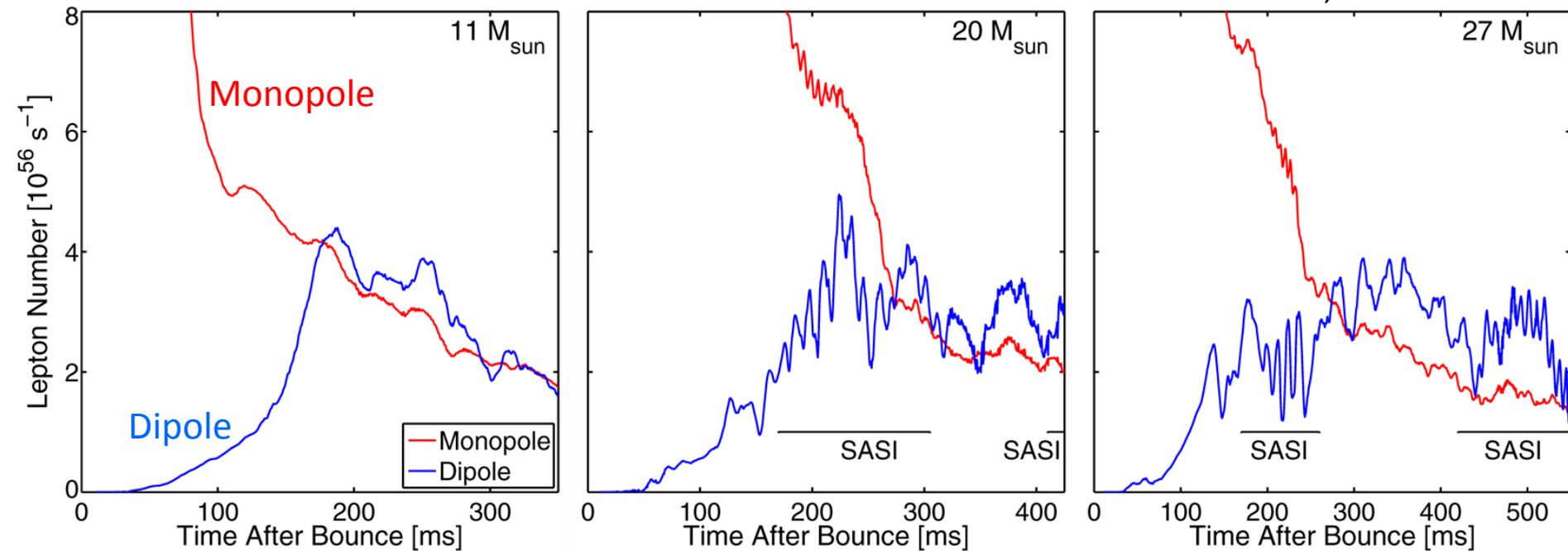
Lepton-number flux ( $\nu_e - \bar{\nu}_e$ ) relative to  $4\pi$  average  
Deleptonization flux into one hemisphere, roughly dipole distribution  
**(LESA — Lepton Emission Self-Sustained Asymmetry)**



Tamborra, Hanke, Janka, Müller, Raffelt & Marek, arXiv:1402.5418

# Growth of Lepton-Number Flux Dipole

Tamborra et al., arXiv:1402.5418

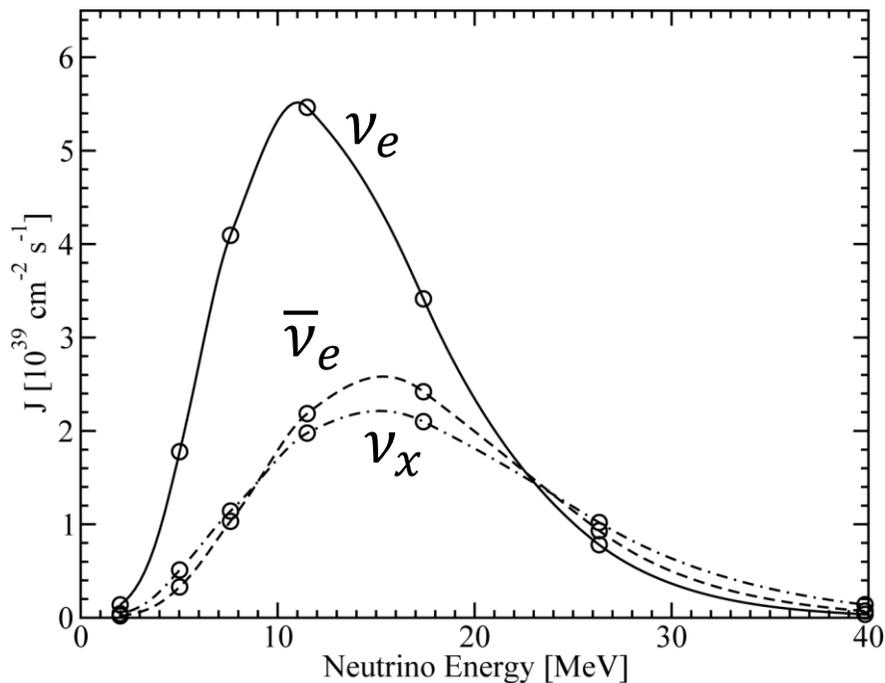


- Overall lepton-number flux (monopole) depends on accretion rate, varies between models
- Maximum dipole similar for different models
- Dipole persists (and even grows) during SASI activity
- SASI and LESA dipoles uncorrelated

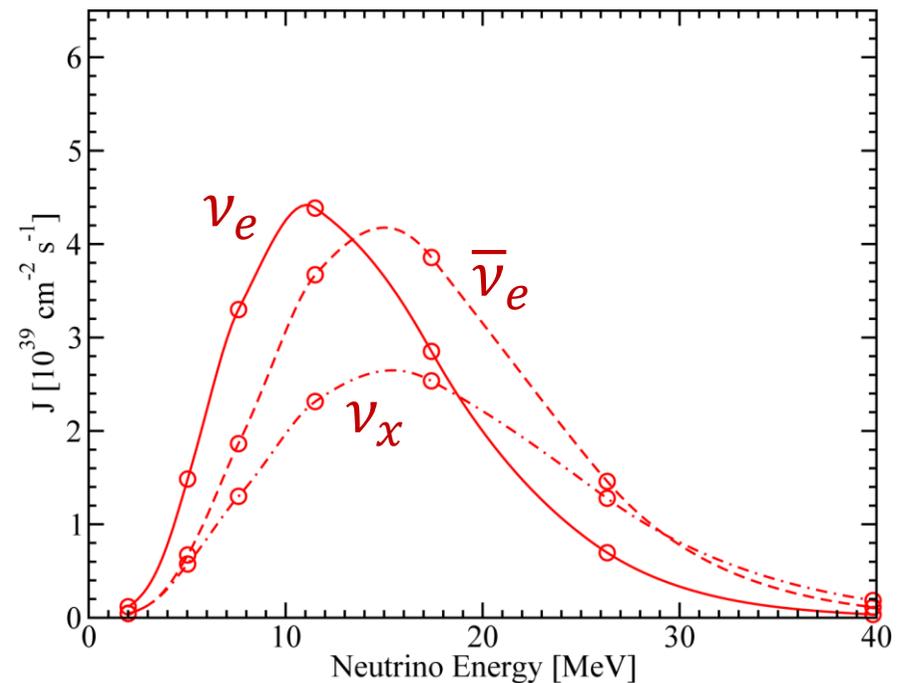
# Spectra in the two Hemispheres

Neutrino flux spectra (11.2  $M_{\text{SUN}}$  model at 210 ms) in opposite LESA directions

Direction of  
**maximum** lepton-number flux



Direction of  
**minimum** lepton-number flux



**During accretion phase, flavor-dependent fluxes  
vary strongly with observer direction!**



# Neutrinos from Supernova 1987A

**Sanduleak -69 202**



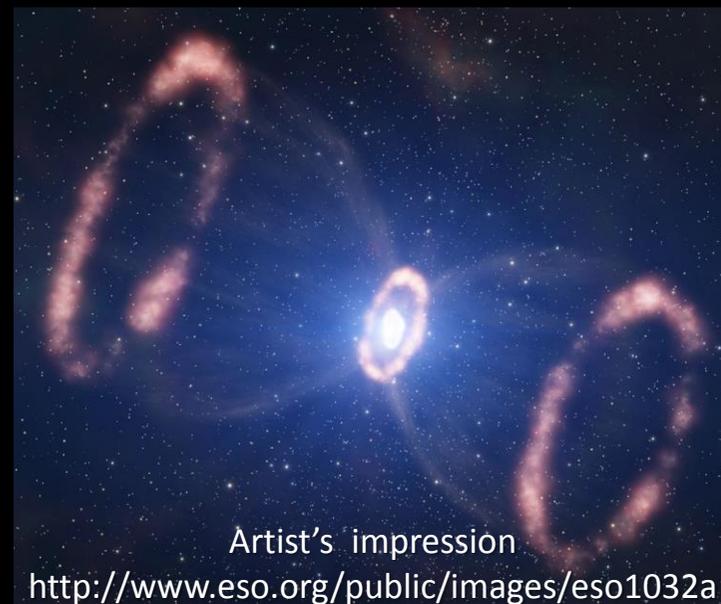
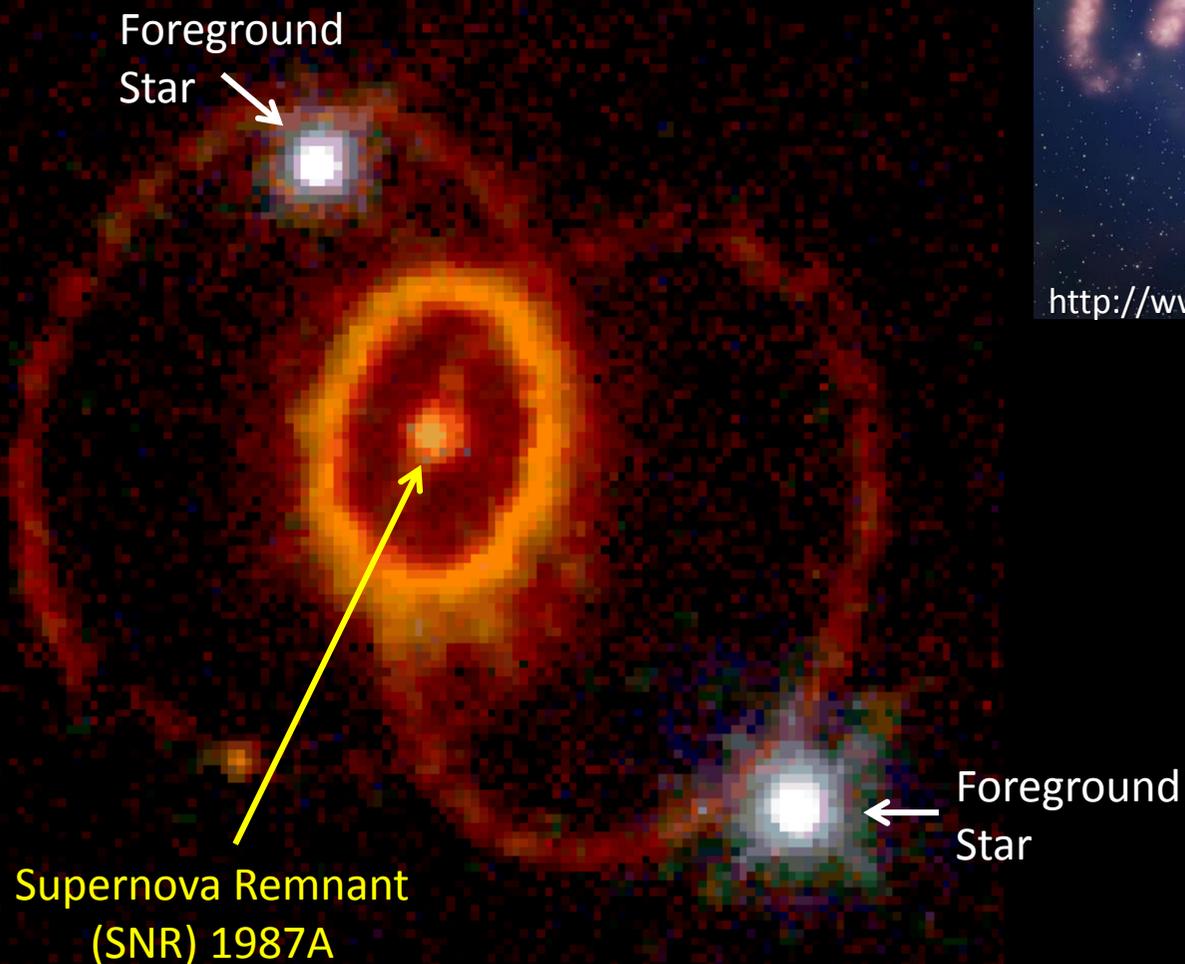
**Supernova 1987A**

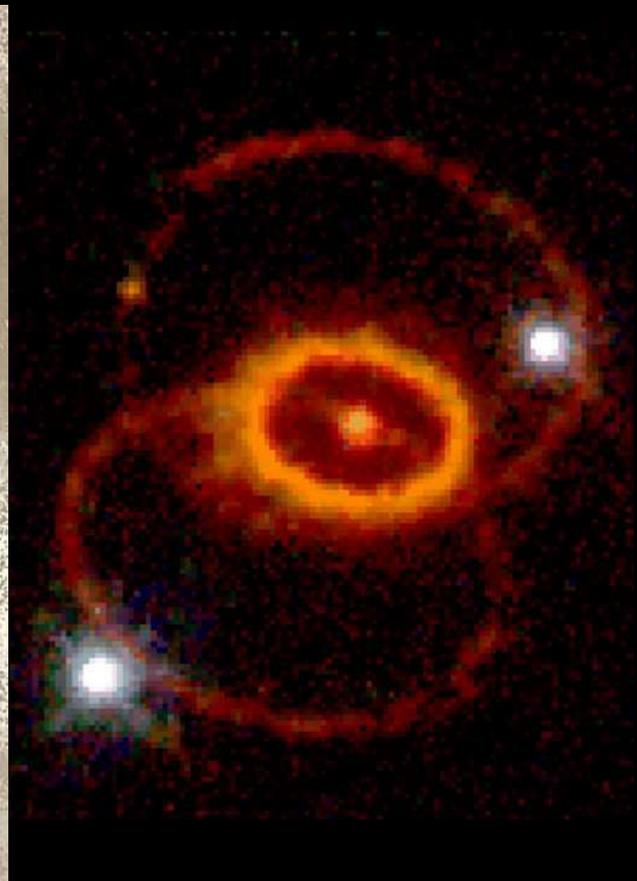
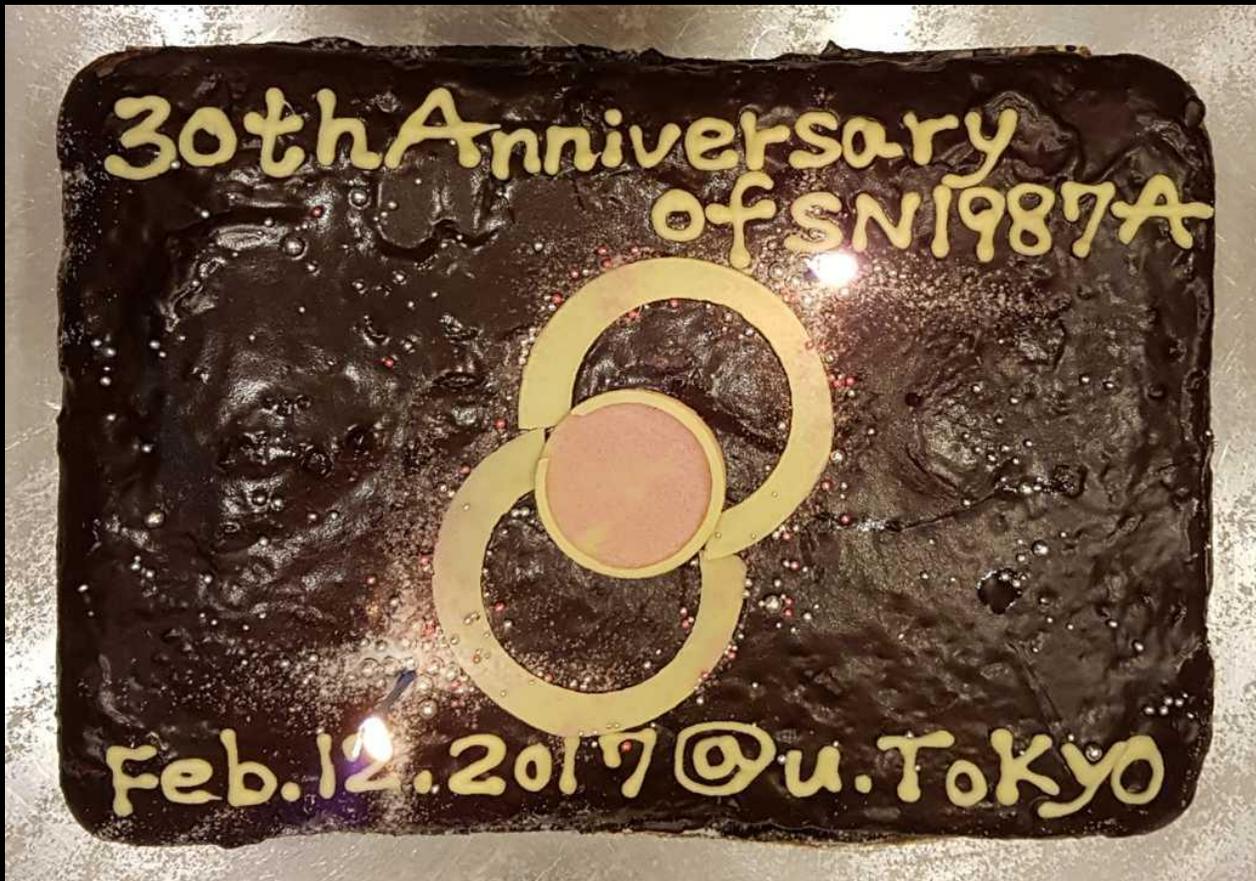
23 February 1987



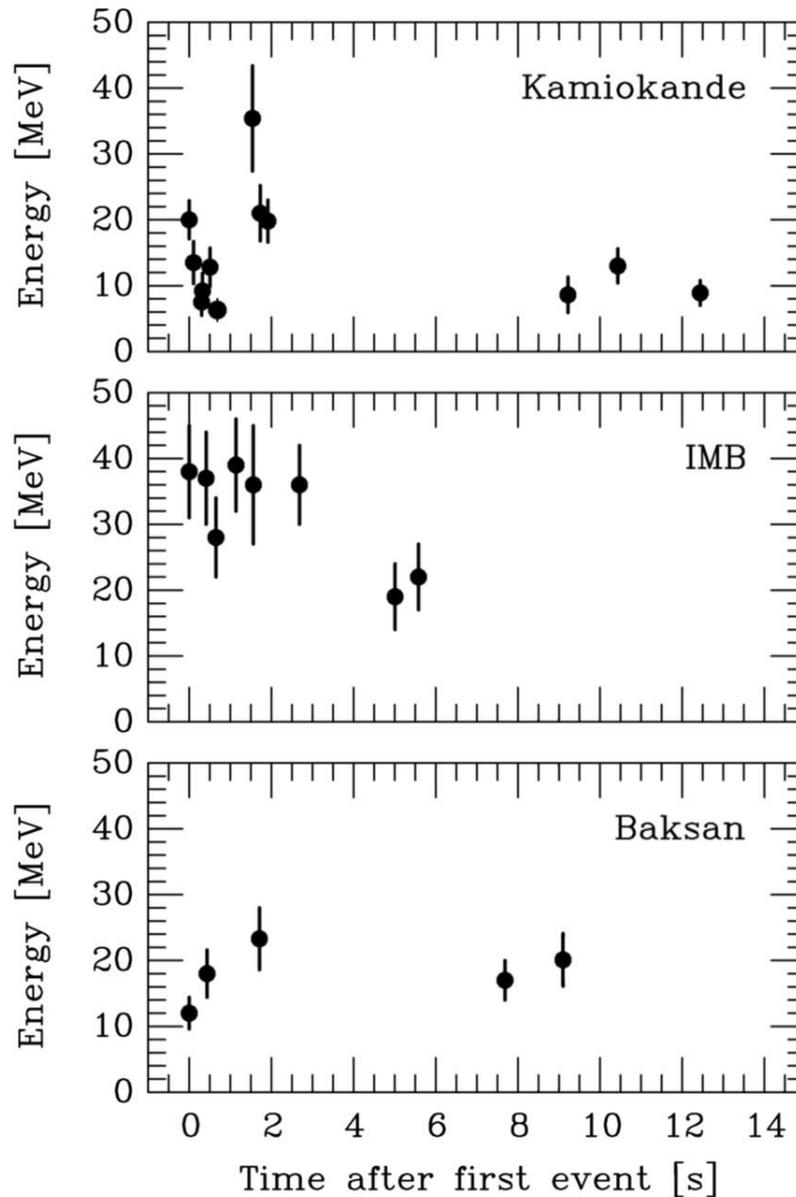


# SN 1987A Rings (Hubble Space Telescope 4/1994)





# Neutrino Signal of Supernova 1987A



Kamiokande-II (Japan)  
Water Cherenkov detector  
2140 tons  
Clock uncertainty  $\pm 1$  min

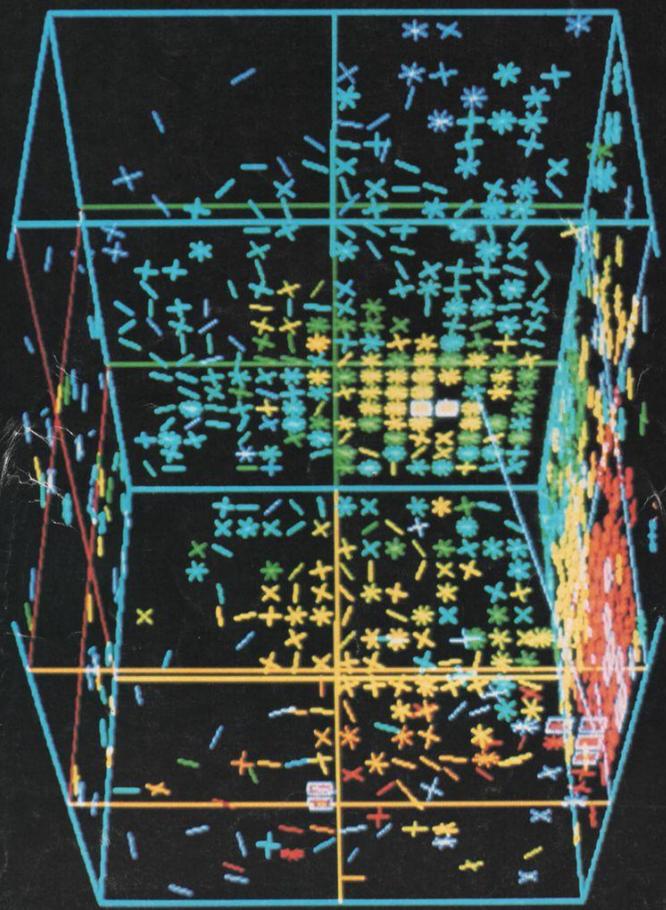
Irvine-Michigan-Brookhaven (US)  
Water Cherenkov detector  
6800 tons  
Clock uncertainty  $\pm 50$  ms

Baksan Scintillator Telescope  
(Soviet Union), 200 tons  
Random event cluster  $\sim 0.7/\text{day}$   
Clock uncertainty  $+2/-54$  s

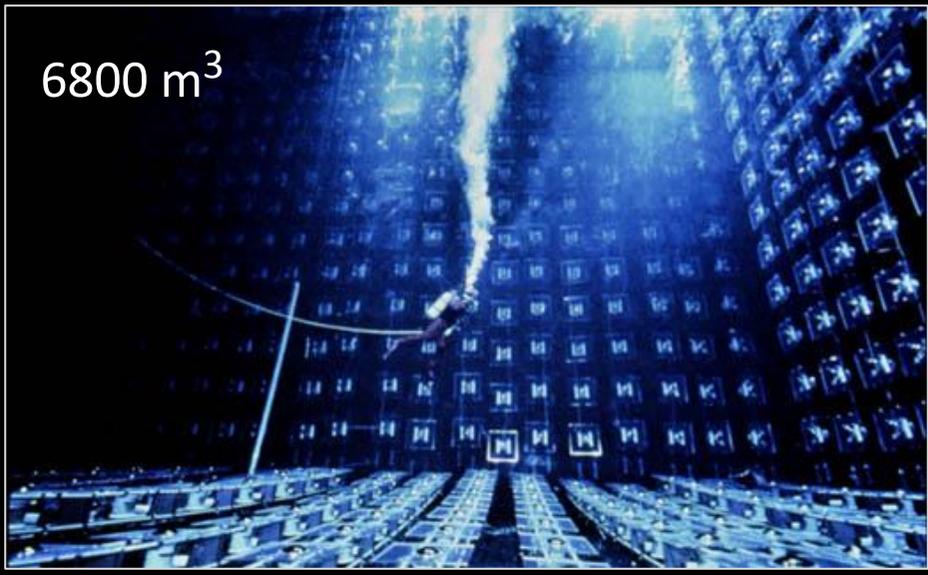
**Within clock uncertainties,  
all signals are contemporaneous**

# Irvine-Michigan-Brookhaven (IMB) Detector

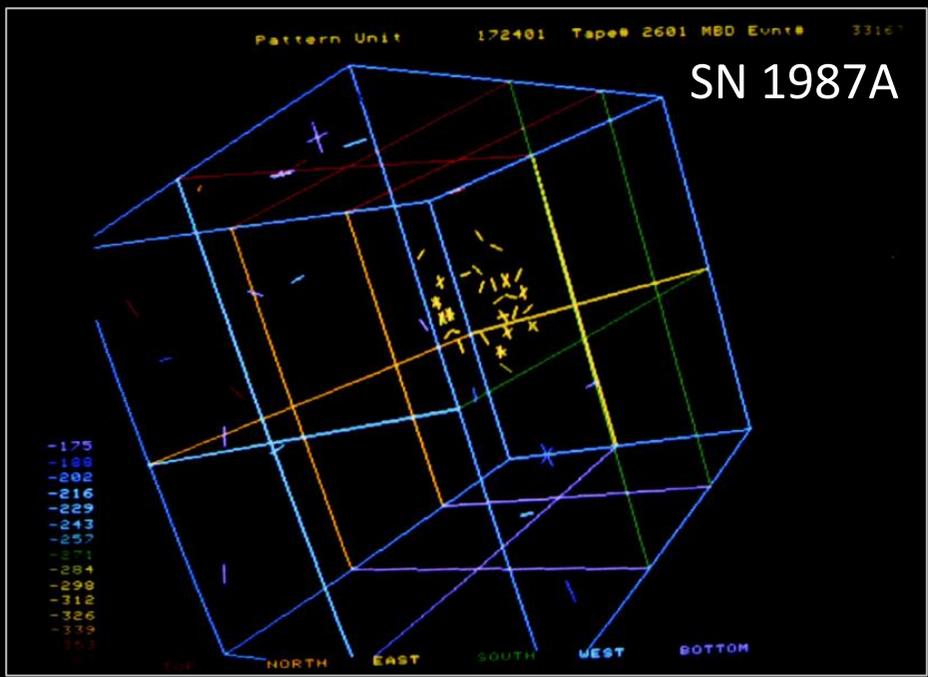
**physics today**  
APRIL 1983



LOOKING FOR PROTON DECAY

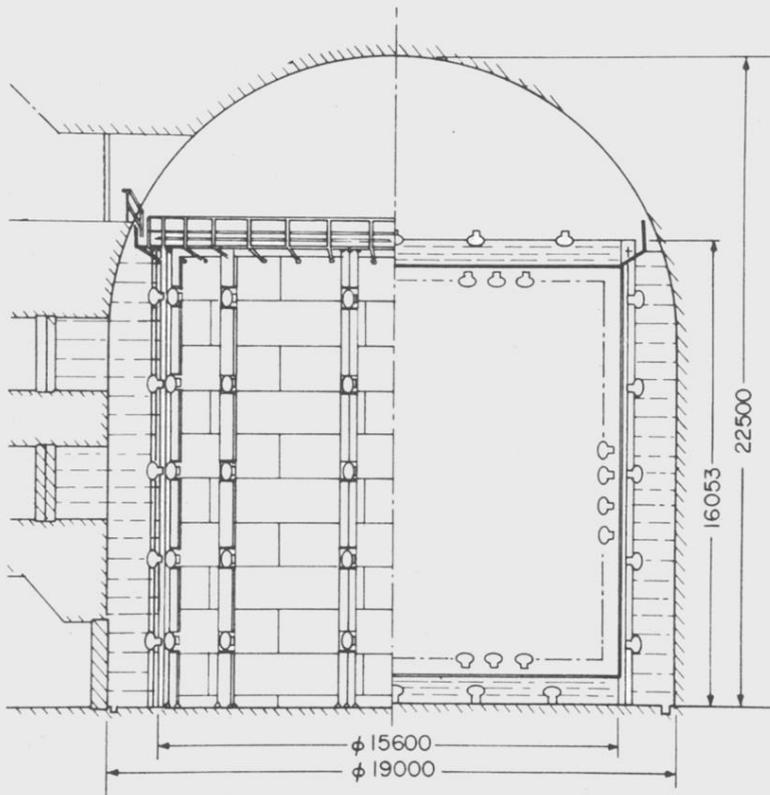


6800 m<sup>3</sup>

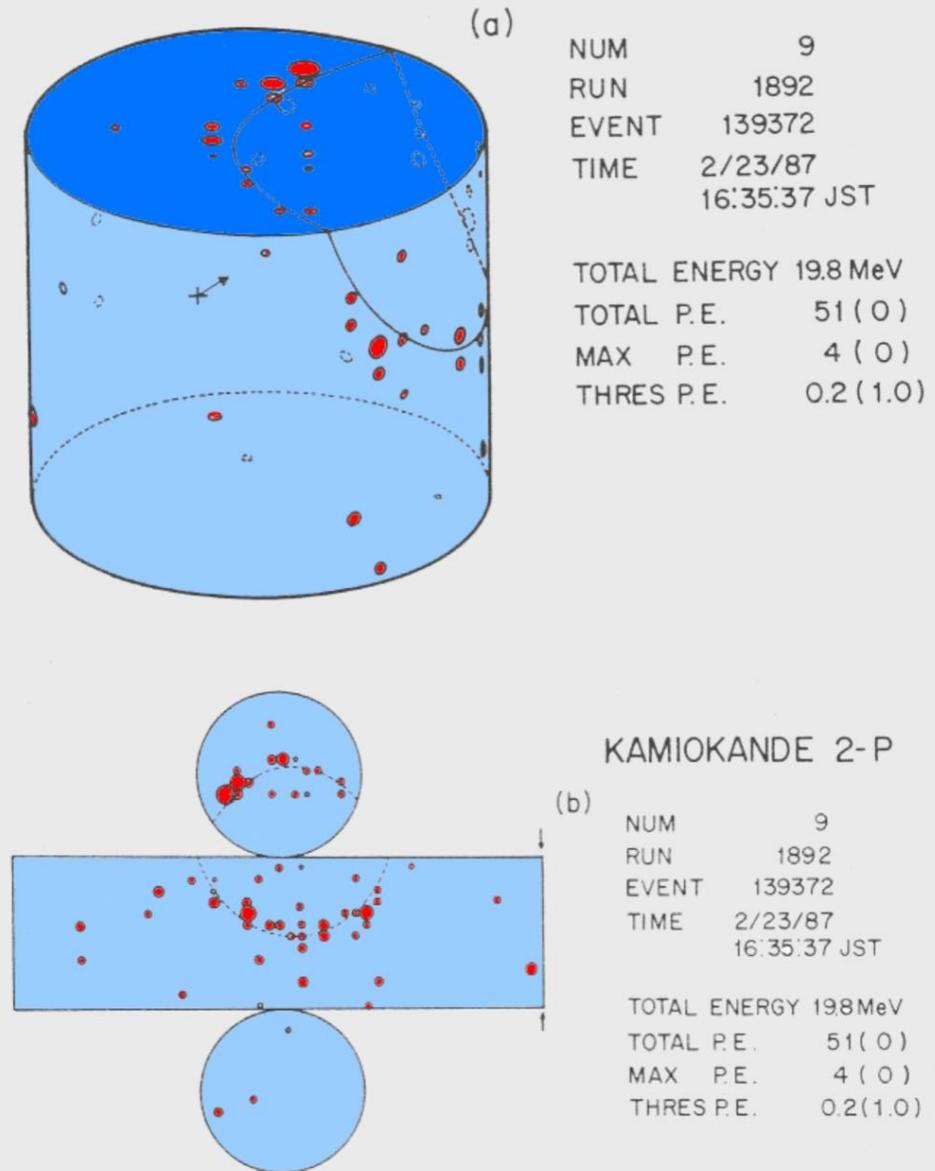


# SN 1987A Event No.9 in Kamiokande

## Kamiokande-II Detector (2140 tons of water)

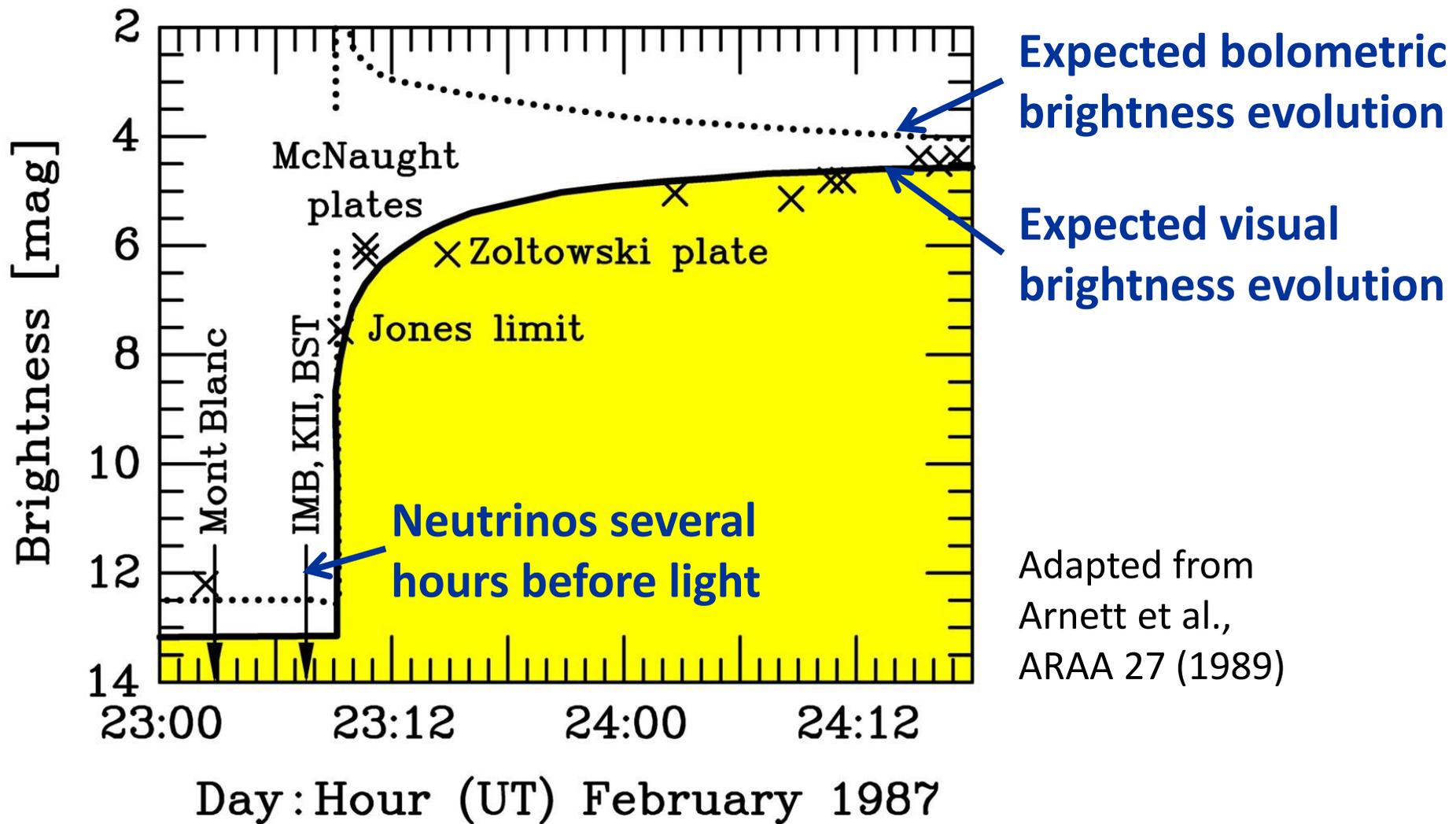


Hirata et al., PRD 38 (1988) 448



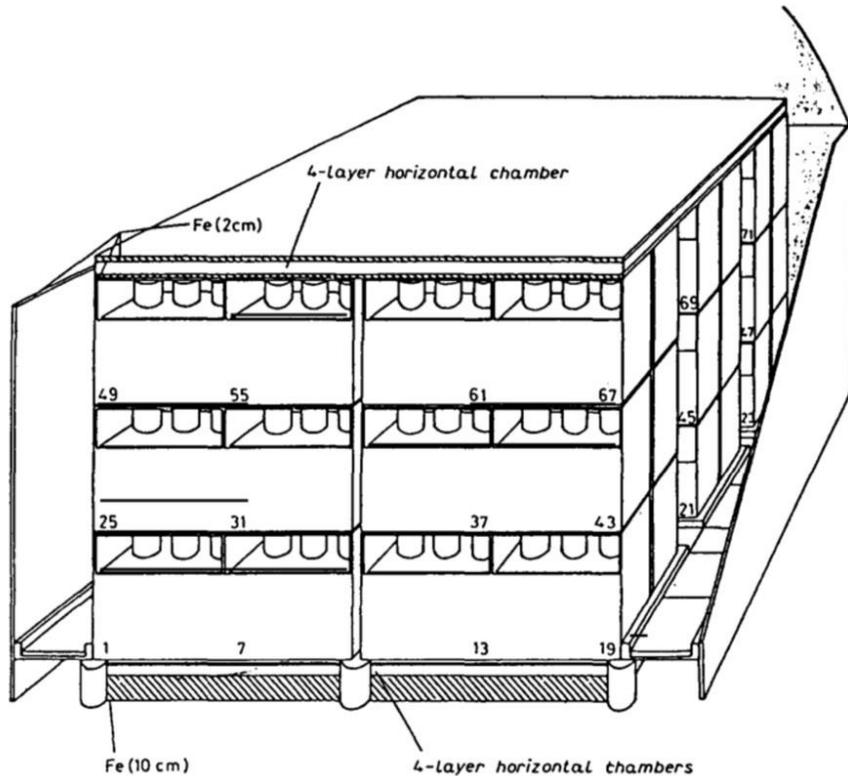


# Early Lightcurve of SN 1987A



Adapted from  
Arnett et al.,  
ARAA 27 (1989)

# SN 1987A Signal in LSD (Mont Blanc)?



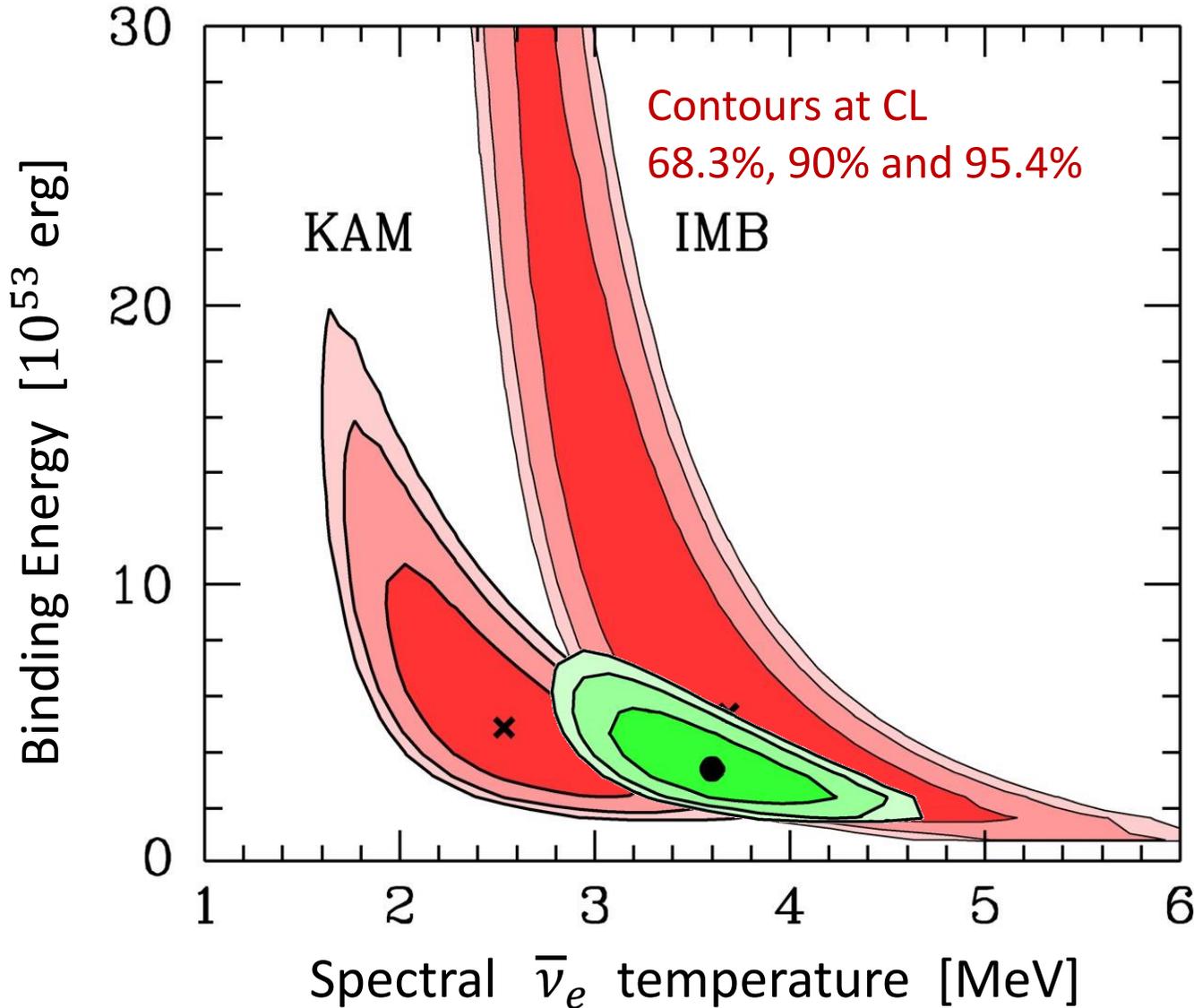
LSD (Liquid Scintillator Detector)  
in the Mont Blanc Tunnel  
(Oct. 1984 – March 1999)  
Supernova monitor for our galaxy  
90 tons scintillator  
200 tons iron (support structure)

- Observed a 5-event cluster  
4.72 hours before IMB/Kam-II
- Triggered automatic SN alert
- Statistical fluctuation very unlikely
- No significant signal in IMB/Kam-II  
at LSD time
- No significant LSD signal at IMB time

- One interpretation as “double bang”:  
Huge  $\nu_e$  flux ( $\sim 40$  MeV) at LSD time
- LSD signal caused by interactions  
in iron of support structure
- Second bang ordinary multi-flavor  
signal

(Imshennik & Ryazhskaya,  
“A rotating collapsar and possible  
interpretation of the LSD neutrino  
signal from SN 1987A”, astro-ph/0401613)

# Interpreting SN 1987A Neutrinos

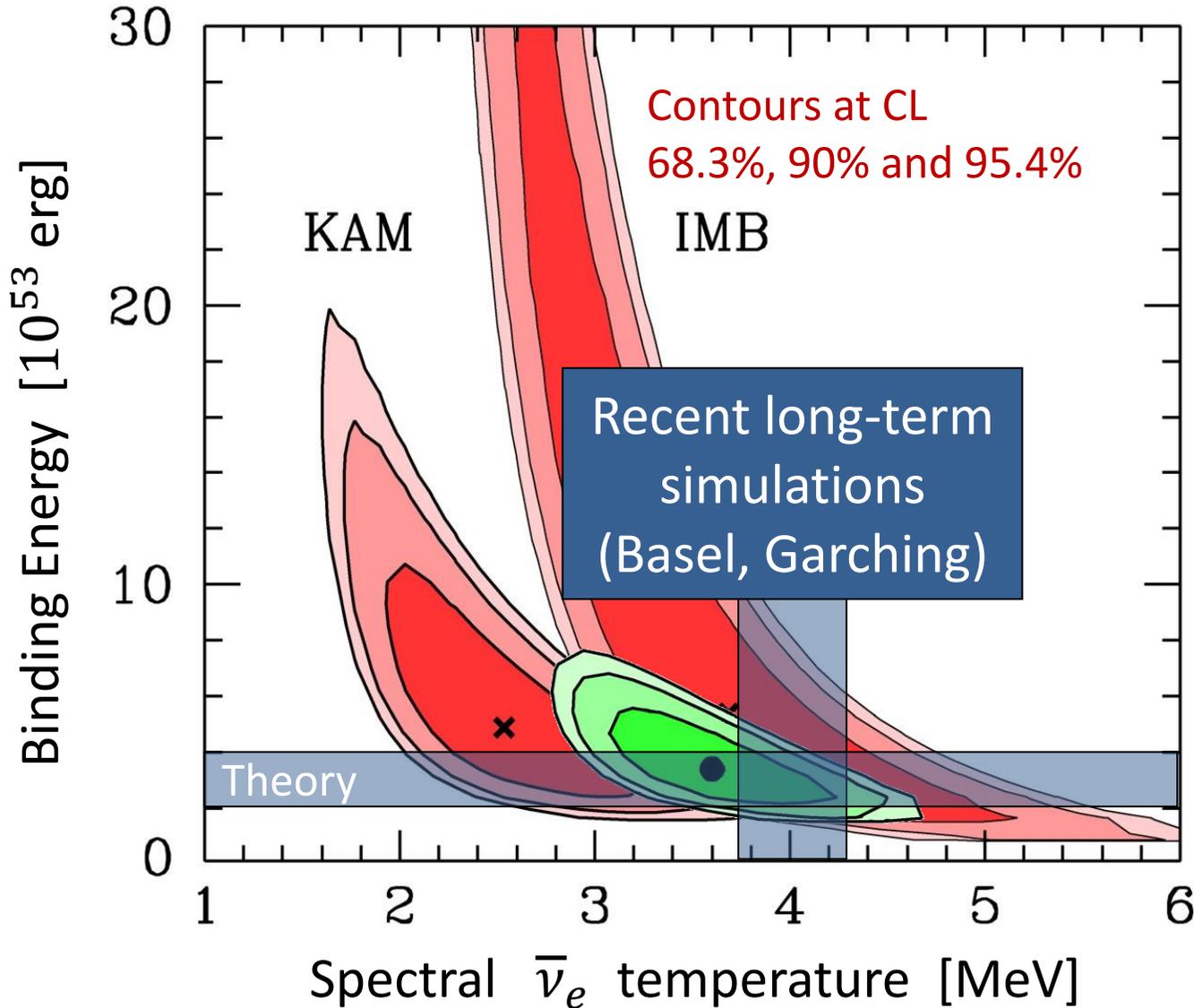


Assume

- Thermal spectra
- Equipartition of energy between  $\nu_e, \bar{\nu}_e, \nu_\mu, \bar{\nu}_\mu, \nu_\tau$  and  $\bar{\nu}_\tau$

Jegerlehner,  
Neubig & Raffelt,  
PRD 54 (1996) 1194

# Interpreting SN 1987A Neutrinos



Assume

- Thermal spectra
- Equipartition of energy between  $\nu_e, \bar{\nu}_e, \nu_\mu, \bar{\nu}_\mu, \nu_\tau$  and  $\bar{\nu}_\tau$

Jegerlehner,  
Neubig & Raffelt,  
PRD 54 (1996) 1194



# Particle-Physics Constraints

# Neutrino Limits by Intrinsic Signal Dispersion

## Time of flight delay by neutrino mass

G. Zatsepin, JETP Lett. 8:205, 1968

$$\Delta t = 2.57s \frac{D}{50 \text{ kpc}} \left( \frac{10 \text{ MeV}}{E_\nu} \right)^2 \left( \frac{m_\nu}{10 \text{ eV}} \right)^2$$

SN 1987A signal duration implies

$$m_{\nu_e} \lesssim 20 \text{ eV}$$

Loredo & Lamb

Ann N.Y. Acad. Sci. 571 (1989) 601

find 23 eV (95% CL limit) from detailed maximum-likelihood analysis

- At the time of SN 1987A competitive with tritium end-point
- Today  $m_\nu < 2.2 \text{ eV}$  from tritium
- Cosmological limit today  $m_\nu \lesssim 0.1 \text{ eV}$

## “Milli charged” neutrinos

Path bent by galactic magnetic field, inducing a time delay

$$\frac{\Delta t}{t} = \frac{e_\nu^2 (B_\perp d_B)^2}{6E_\nu^2} < 3 \times 10^{-12}$$

SN 1987A signal duration implies

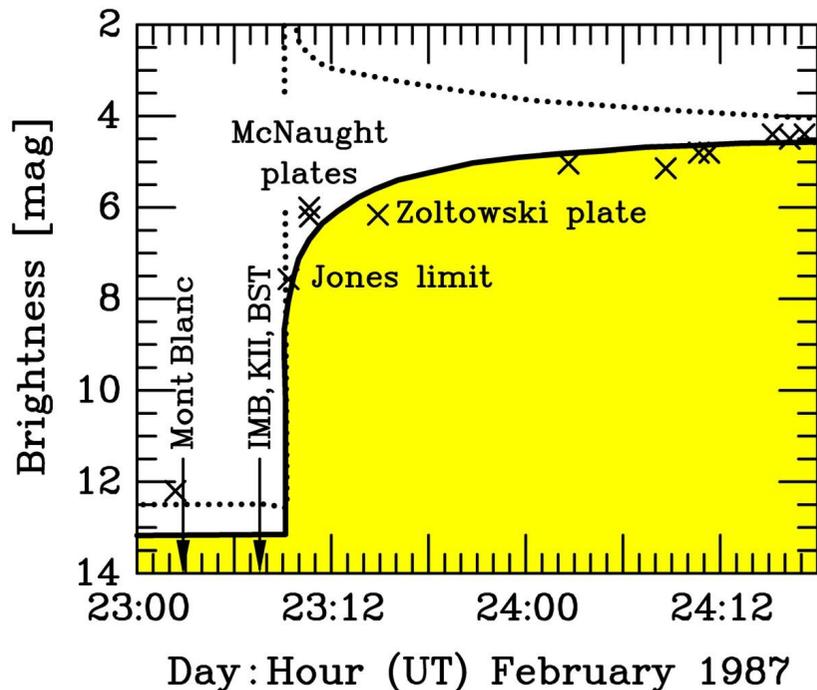
$$\frac{e_\nu}{e} < 3 \times 10^{-17} \frac{1 \mu\text{G}}{B_\perp} \frac{1 \text{ kpc}}{d_B}$$

- Barbiellini & Cocconi, Nature 329 (1987) 21
- Bahcall, Neutrino Astrophysics (1989)

Assuming charge conservation in neutron decay yields a more restrictive limit of about  $3 \times 10^{-21} e$

# Do Neutrinos Gravitrate?

## Early light curve of SN 1987A



- Neutrinos arrived several hours before photons as expected
- Transit time for  $\nu$  and  $\gamma$  same (160,000 yr) within a few hours

Shapiro time delay for particles moving in a gravitational potential

$$\Delta t = -2 \int_A^B dt \Phi[r(t)]$$

For trip from LMC to us, depending on galactic model,

$$\Delta t \approx 1-5 \text{ months}$$

Neutrinos and photons respond to gravity the same to within

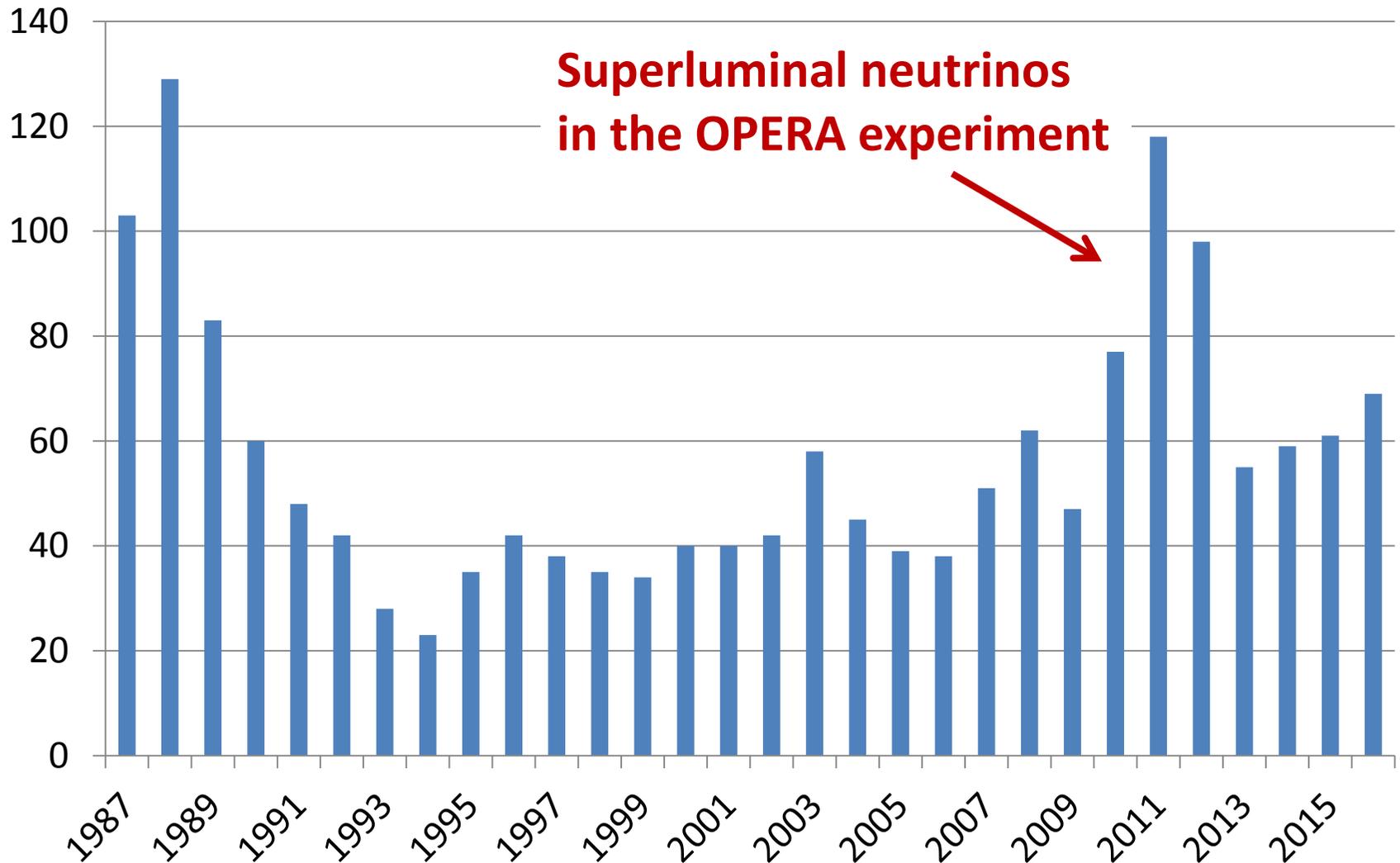
$$1-4 \times 10^{-3}$$

Longo, PRL 60:173, 1988

Krauss & Tremaine, PRL 60:176, 1988

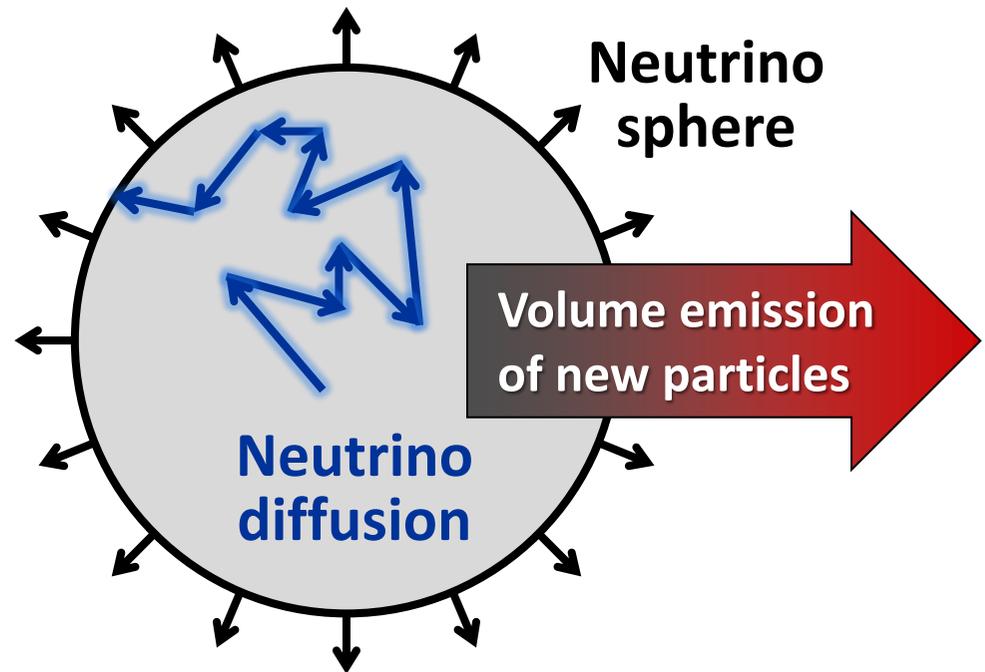
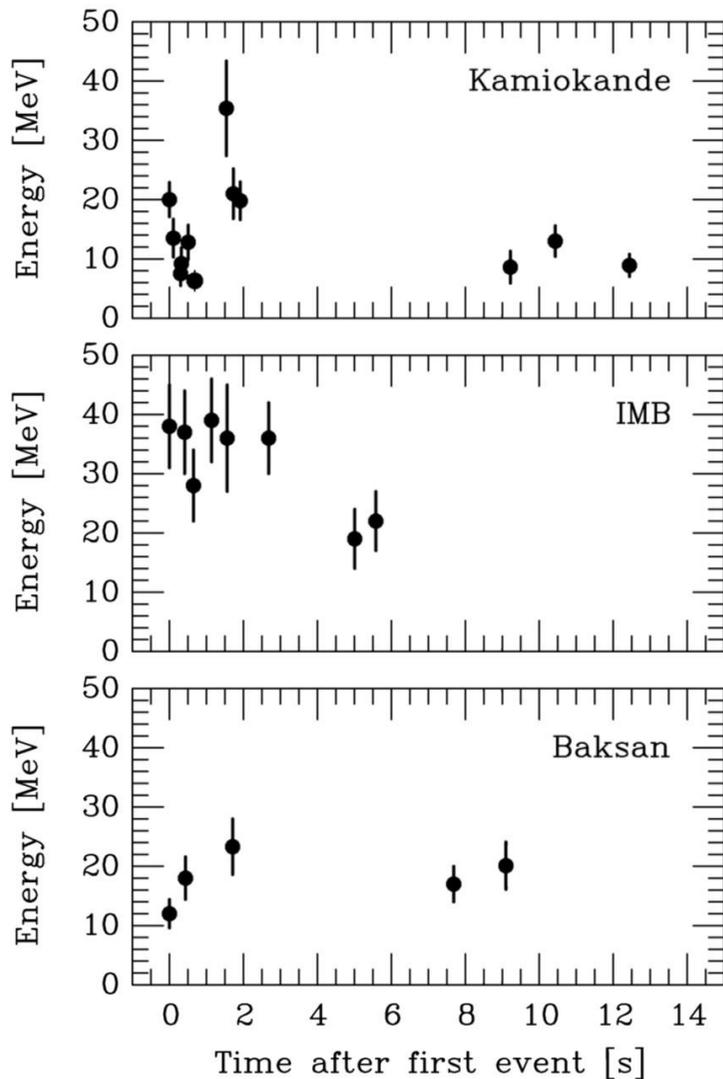
# SN 1987A Burst of Neutrino Papers

inSPIRE: Citations of the papers reporting the neutrino burst



# Supernova 1987A Energy-Loss Argument

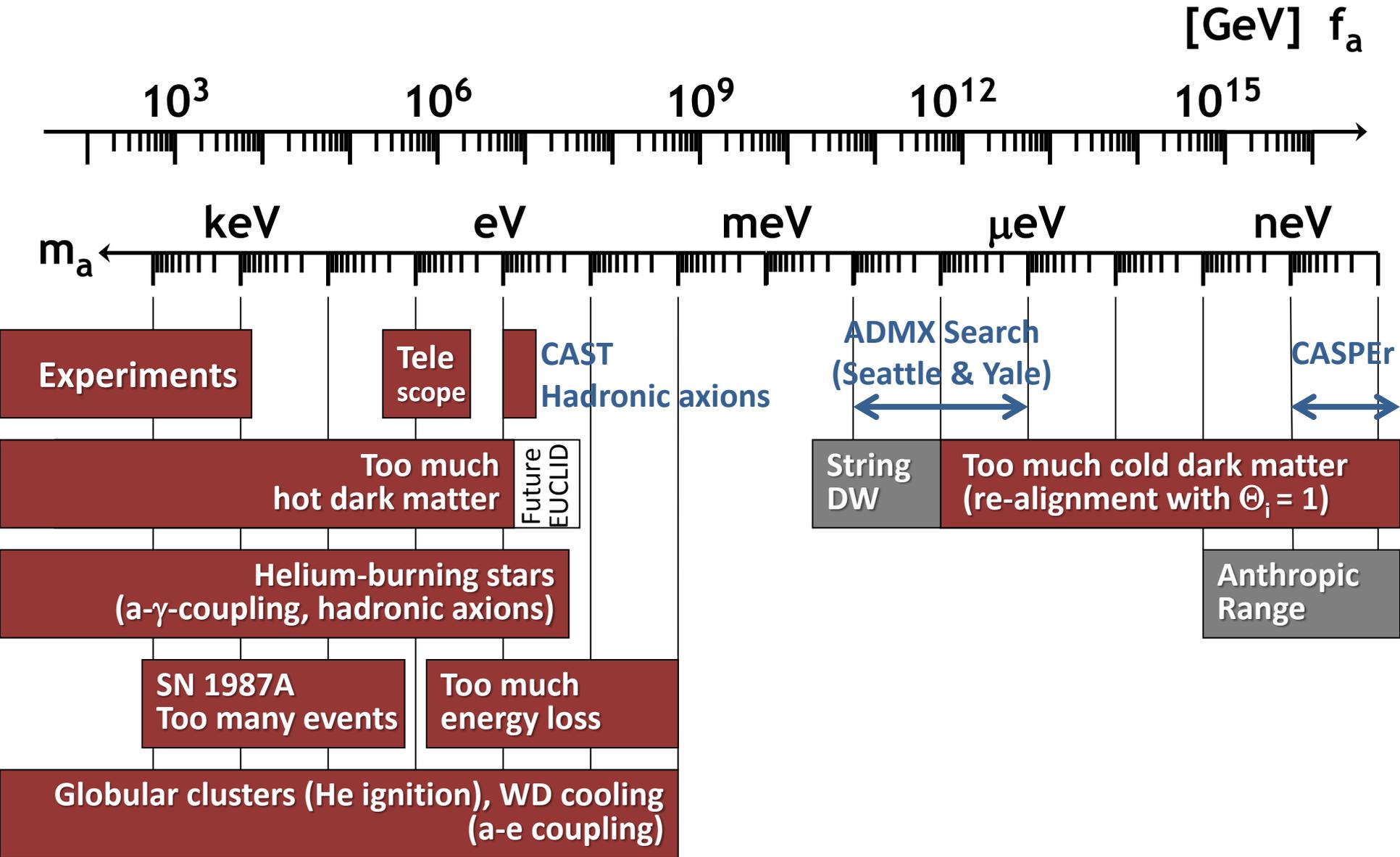
## SN 1987A neutrino signal



Emission of very weakly interacting particles would “steal” energy from the neutrino burst and shorten it.  
(Early neutrino burst powered by accretion, not sensitive to volume energy loss.)

**Late-time signal most sensitive observable**

# Axion Bounds and Searches



# Supernova 1987A Limit on Large Extra Dimensions

Cullen & Perelstein, hep-ph/9904422, Hanhart et al., nucl-th/0007016

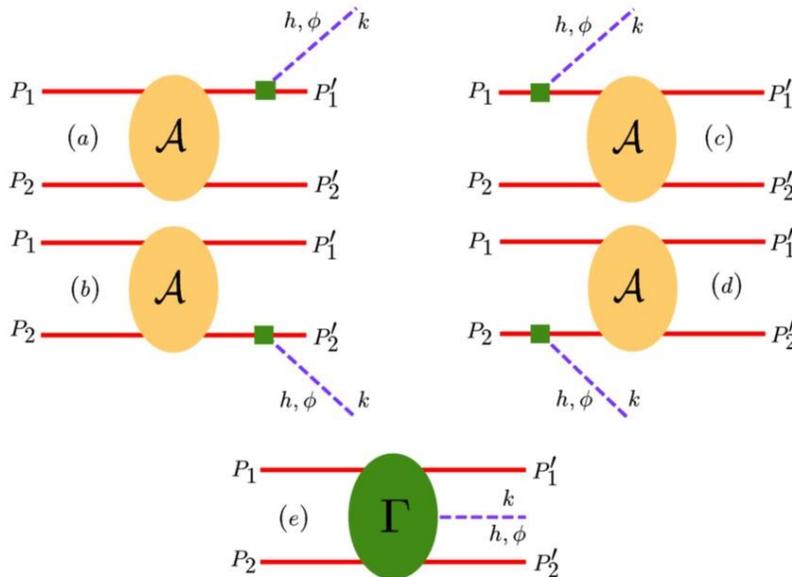


FIG. 1. The leading diagrams contributing to processes  $NN \rightarrow NNh$  and  $NN \rightarrow NN\phi$ . Nucleons are denoted by solid lines and the KK-modes  $h$  or  $\phi$  are denoted by dashed lines. Solid squares denote an insertion of the single-nucleon energy-momentum tensor, while solid ovals containing  $\mathcal{A}$  denote an insertion of the full  $NN$  scattering amplitude. The solid oval containing  $\Gamma$  denotes the non-pole vertex required for the sum of diagrams to satisfy  $\partial_\mu M^{\mu\nu} = 0$ .

## SN 1987A energy-loss argument:

$$R < 1 \text{ mm}, \quad M > 9 \text{ TeV} \quad (n = 2)$$

$$R < 1 \text{ nm}, \quad M > 0.7 \text{ TeV} \quad (n = 3)$$

SN core emits large flux of KK gravity modes by nucleon-nucleon bremsstrahlung

$$\text{Rate} \propto M_{\text{Pl}}^{-2}$$

Large multiplicity of modes

$$RT \sim 10^{11}$$

for  $R \sim 1 \text{ mm}$ ,  $T \sim 30 \text{ MeV}$

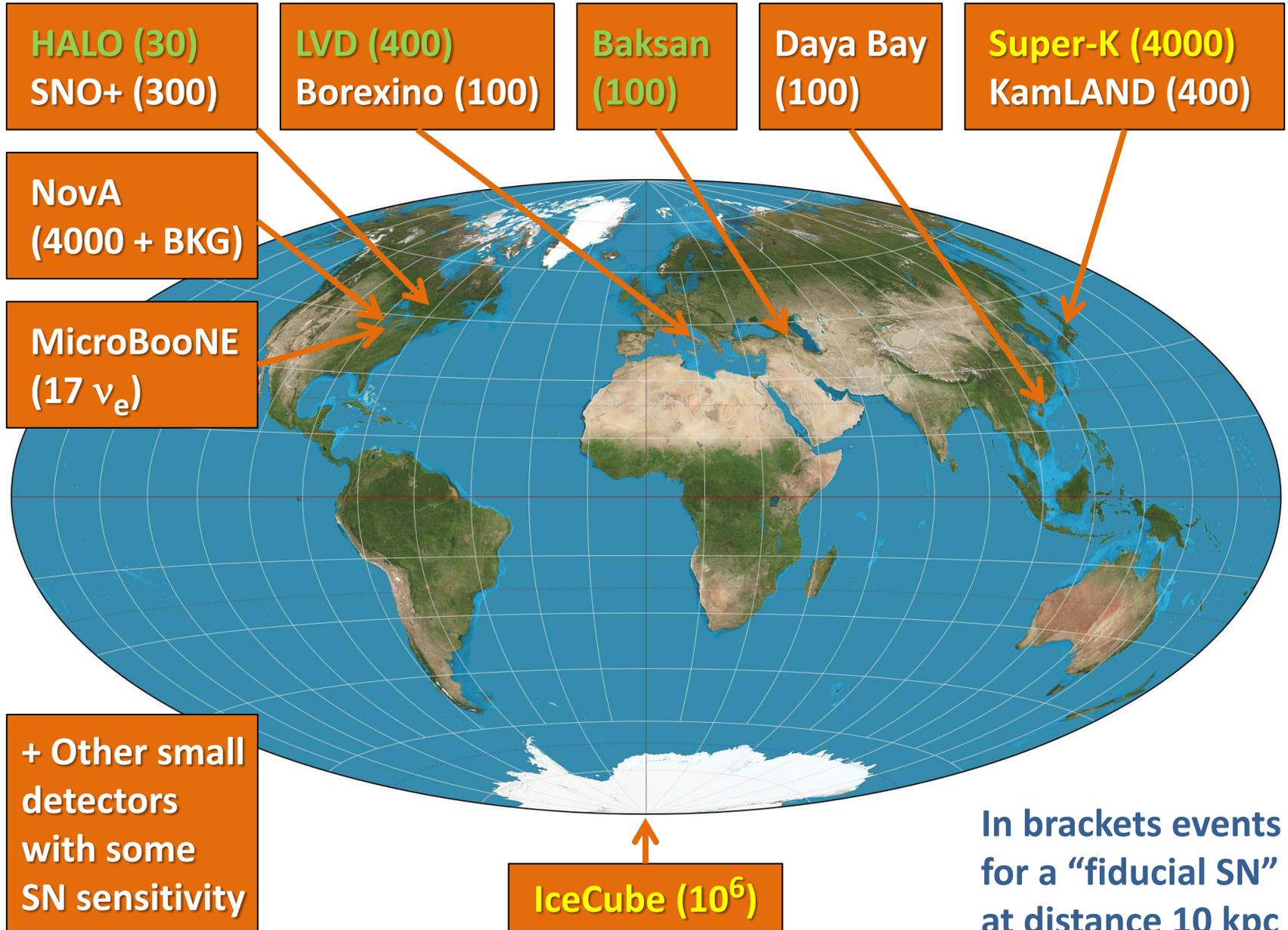
$$\text{Rate} \propto \frac{(RT)^n}{M_{\text{Pl}}^2} \propto \frac{T^n}{M_{\text{Pl}}^{2+n}}$$

Originally the most restrictive limit on such theories, except for cosmological arguments. Other restrictive limits from neutron stars.



**Neutrinos from Next Nearby SN**

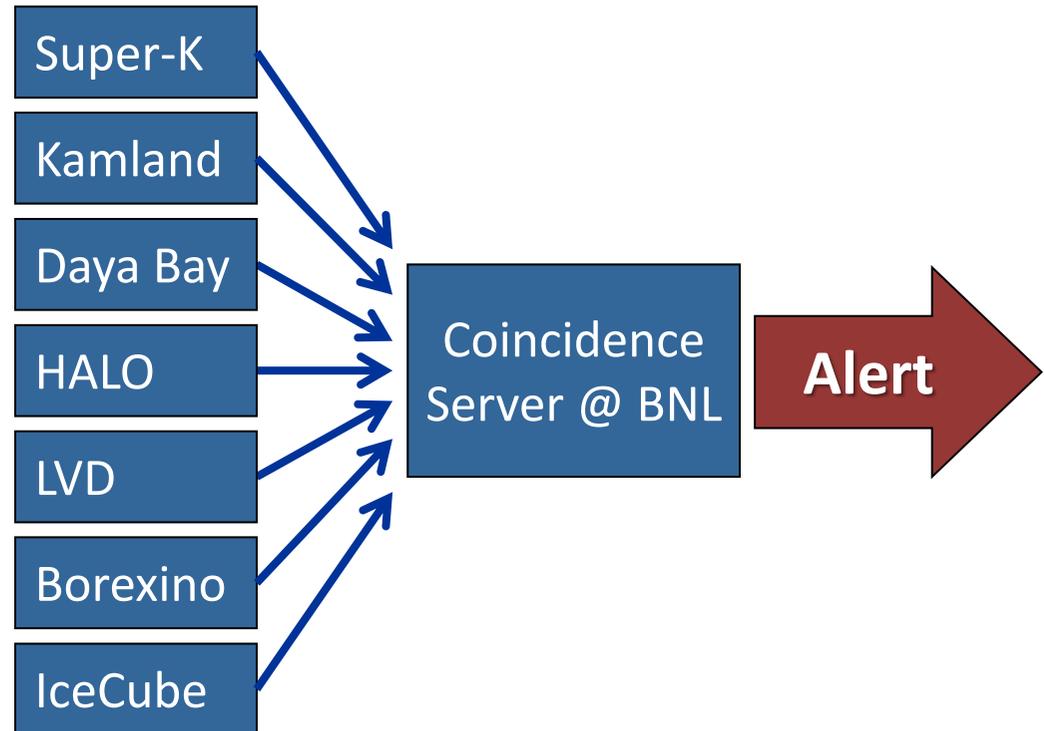
# Operational Detectors for Supernova Neutrinos



# SuperNova Early Warning System (SNEWS)

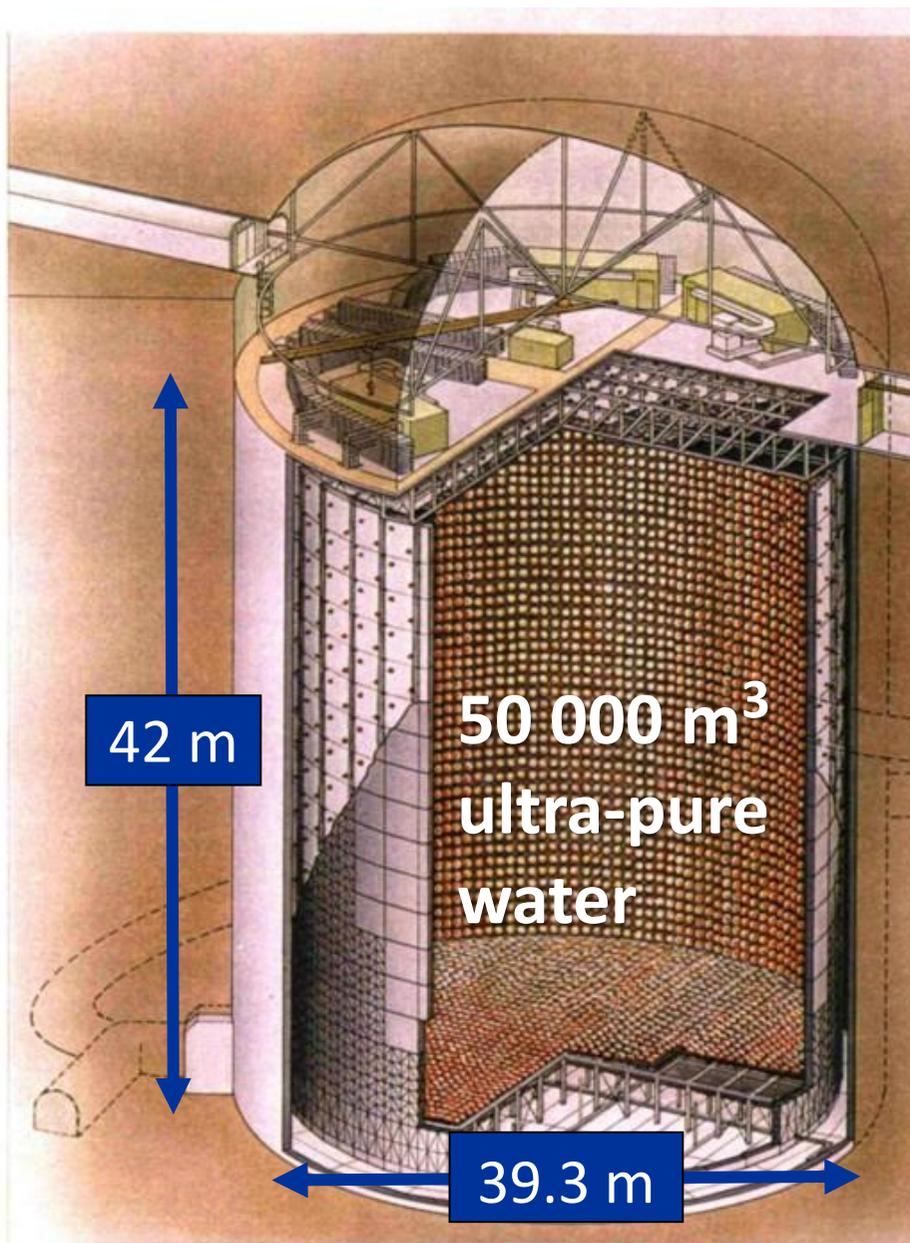


<http://snews.bnl.gov>

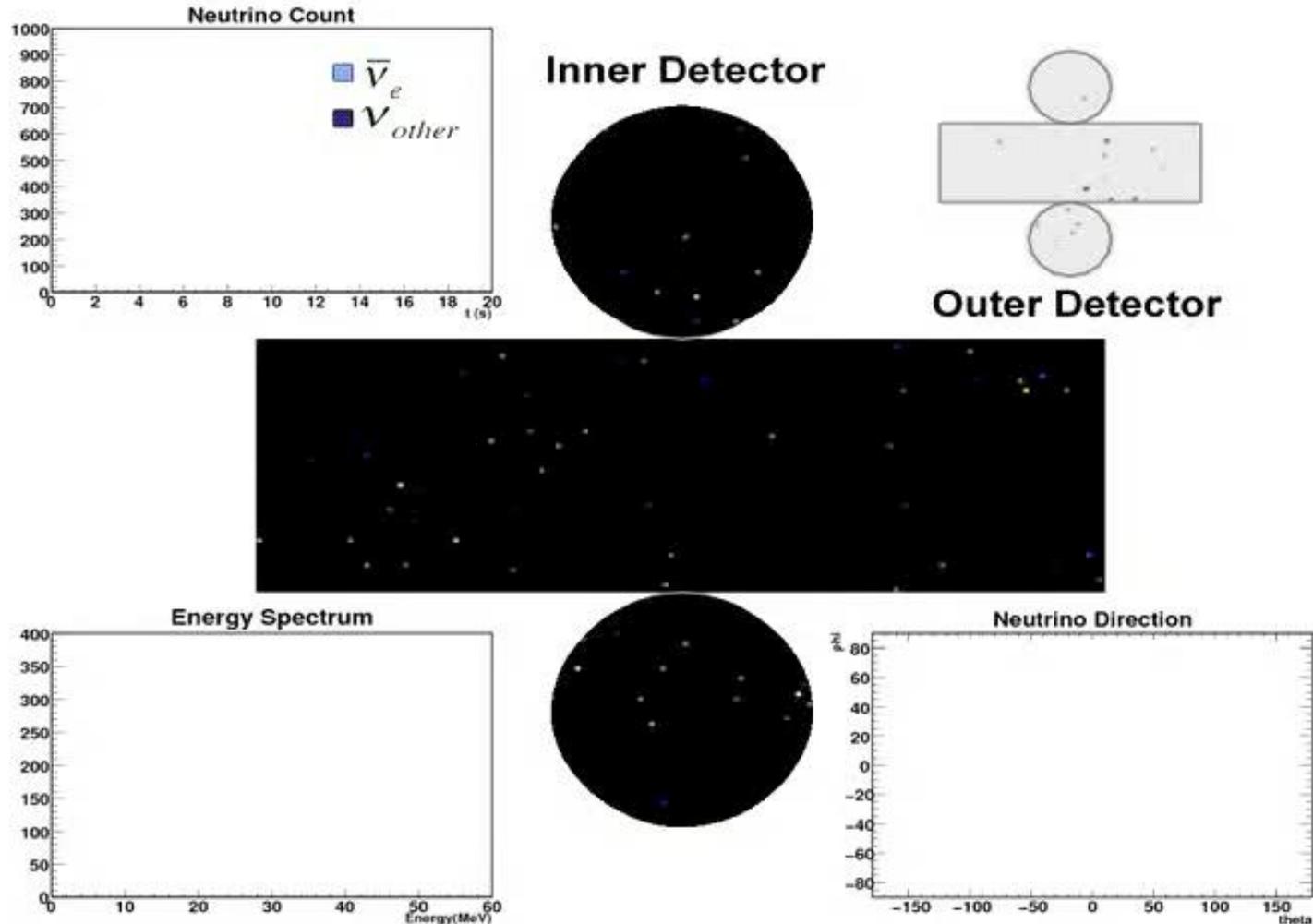


- Neutrinos arrive several hours before optical outburst
- Issue alert to astronomical community
- Trigger to LIGO, NOvA, GCN

# Super-Kamiokande Detector (Since 1996)



# Simulated Supernova Burst in Super-Kamiokande



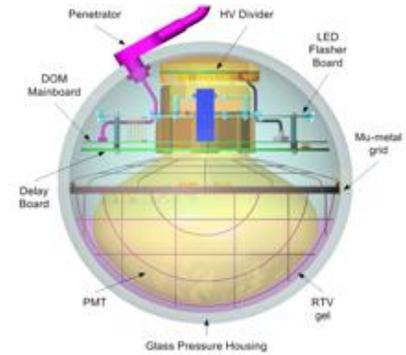
Movie by C. Little, including work by S. Farrell & B. Reed,  
(Kate Scholberg's group at Duke University)  
<http://snews.bnl.gov/snmovie.html>

# IceCube Neutrino Telescope at the South Pole

IceCube Lab

50 meters

Digital  
Optical  
Module



IceCube Array  
86 strings, 60 sensors each  
5,160 optical sensors

1,450 meters

DeepCore  
6 strings optimized  
for low energies

Eiffel Tower  
324 meters

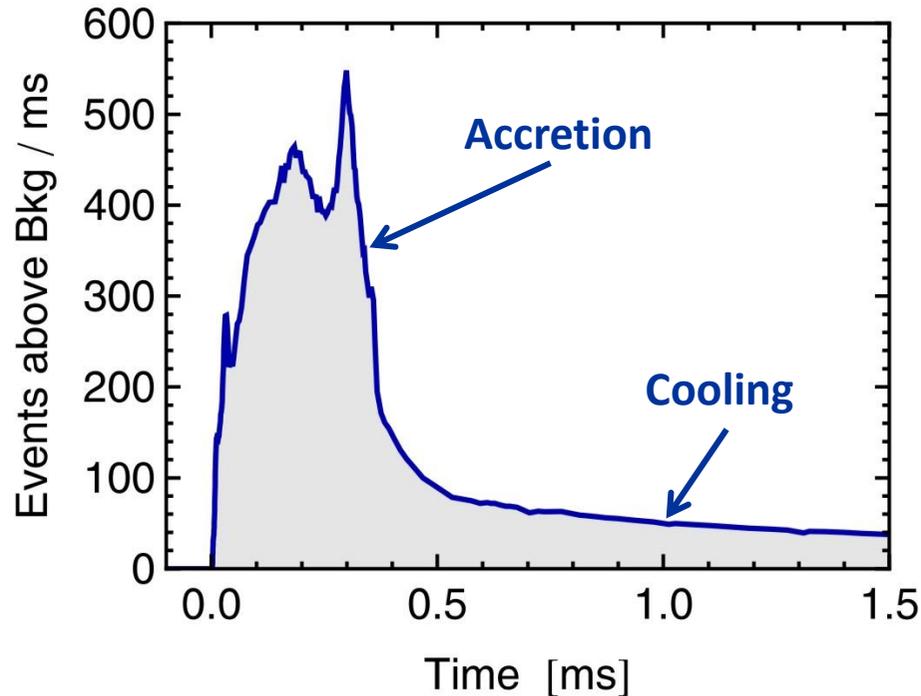
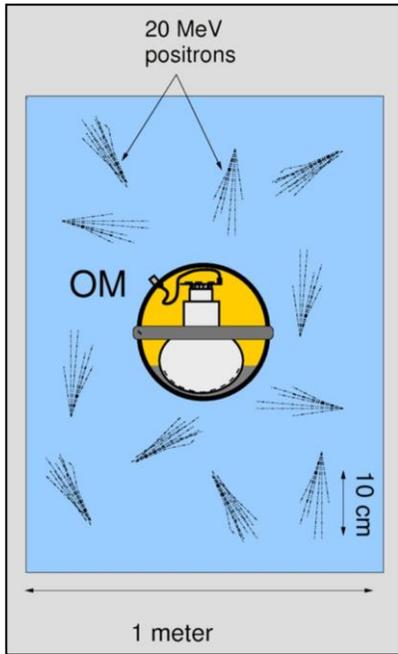


2,450 meters

2,820 meters

bedrock

# IceCube as a Supernova Neutrino Detector

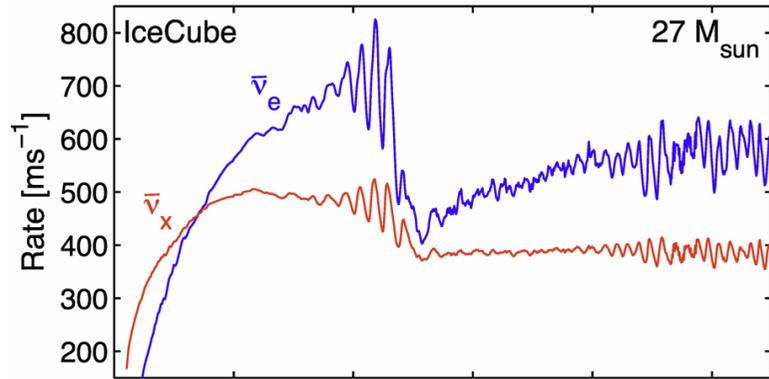


SN signal at 10 kpc  
10.8  $M_{\text{sun}}$  simulation  
of Basel group  
[arXiv:0908.1871]

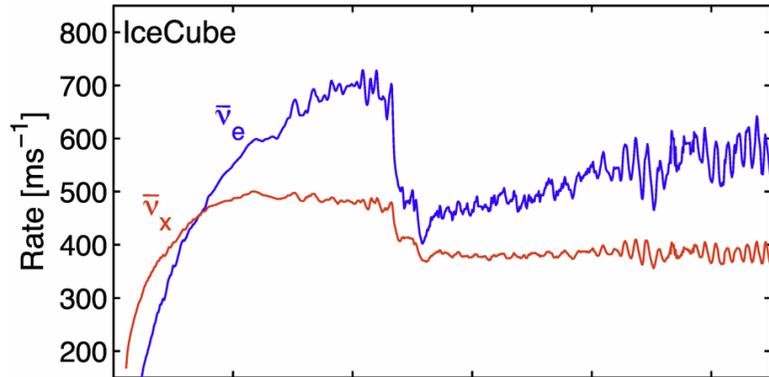
- Each optical module (OM) picks up Cherenkov light from its neighborhood
- $\sim 300$  Cherenkov photons per OM from SN at 10 kpc, bkgd rate in one OM  $< 300$  Hz
- SN appears as “correlated noise” in  $\sim 5000$  OMs
- Significant energy information from time-correlated hits

Pryor, Roos & Webster, ApJ 329:355, 1988. Halzen, Jacobsen & Zas, astro-ph/9512080.  
Demirörs, Ribordy & Salathe, arXiv:1106.1937.

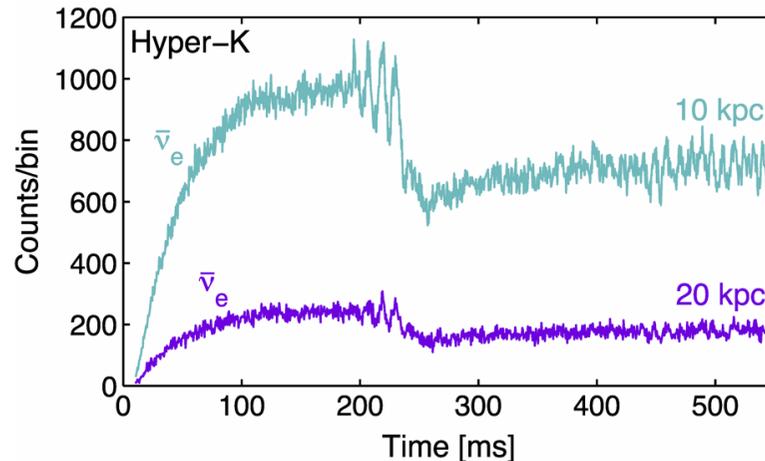
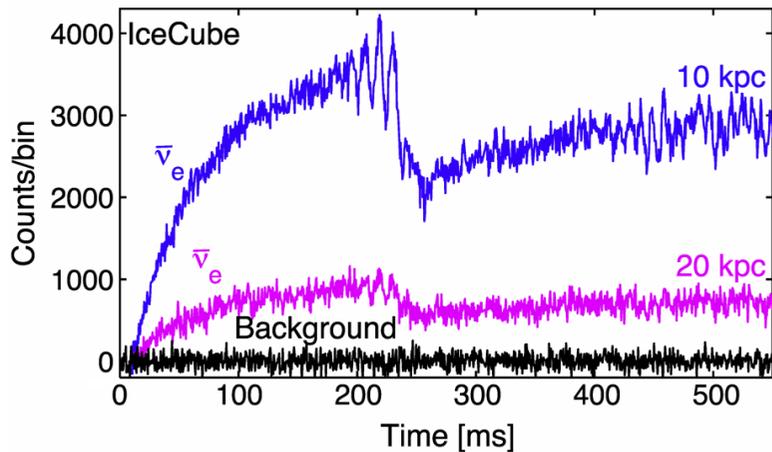
# SASI Detection Perspectives (27 M<sub>SUN</sub> Model)



Optimistic Observer  
Direction  
(along SASI dipole)



Pessimistic Observer  
Direction



With  
shot  
noise

# Neutrino Mass and Resolving Time Variations

## Time-of-flight signal dispersion for next nearby supernova

$$\Delta t = 51 \mu\text{s} \left( \frac{D}{10 \text{ kpc}} \right) \left( \frac{10 \text{ MeV}}{E_\nu} \right)^2 \left( \frac{m_\nu}{100 \text{ meV}} \right)^2$$

- Laboratory:  $m_\nu < 2.2 \text{ eV}$
- Cosmological limit  $\sum m_\nu < 0.23 \text{ eV}$ , so that  $m_\nu < 0.1 \text{ eV}$
- KATRIN sensitivity roughly  $0.2 \text{ eV}$

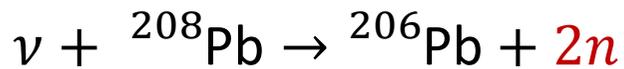
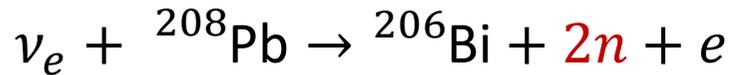
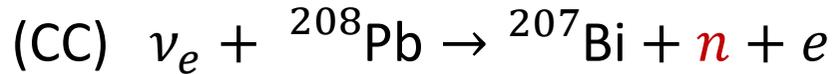
**To measure fast SN signal variations, cosmological limit and future KATRIN measurement/limit very important!**



Time-of-flight measurement of  
nu mass hopeless with SN nus.

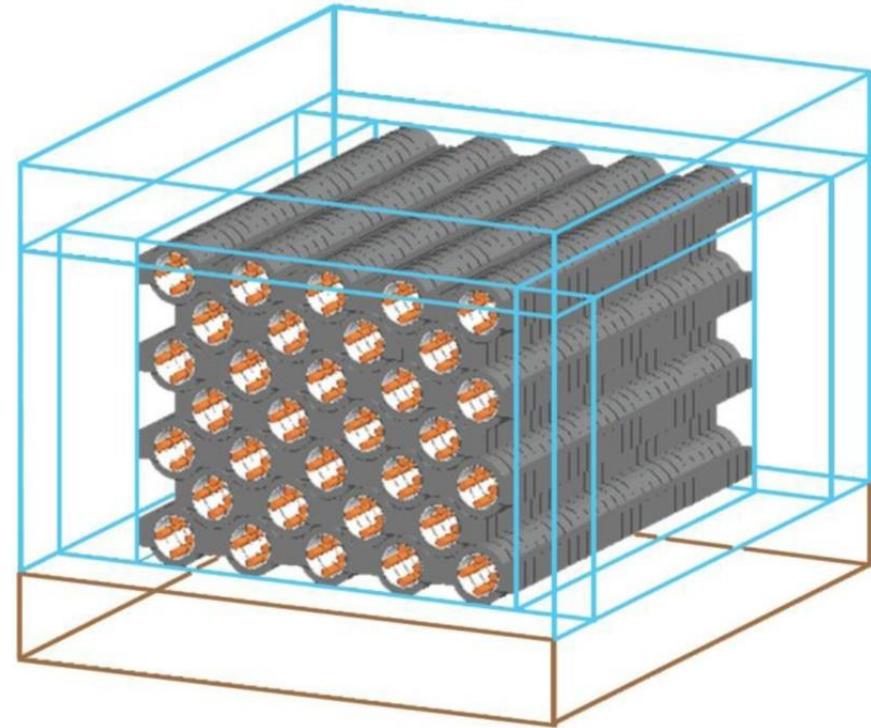
# HALO Lead Neutrino Detector in SNO Lab

Neutrino detection in lead using liberated neutrons



High threshold

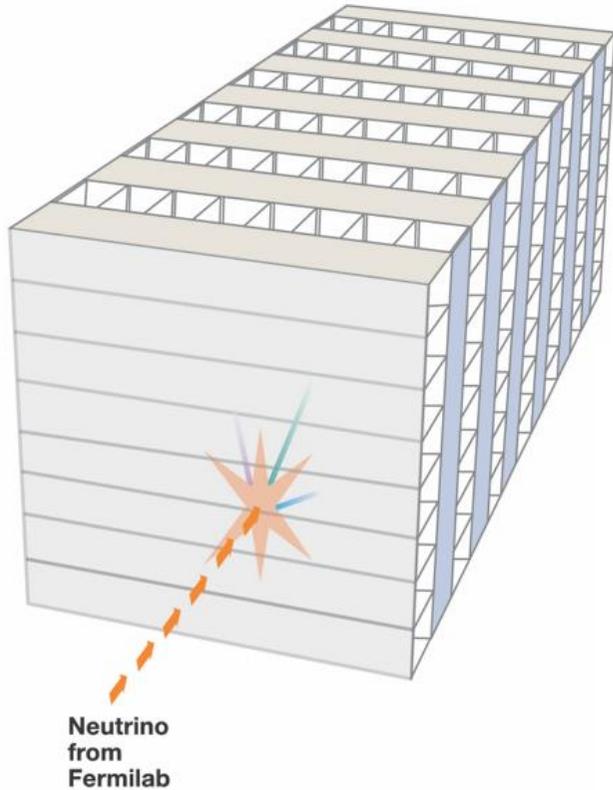
→ Sensitive to high-E tail of distribution



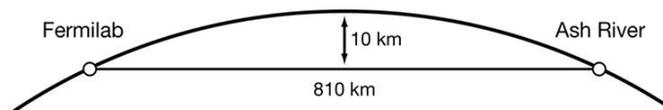
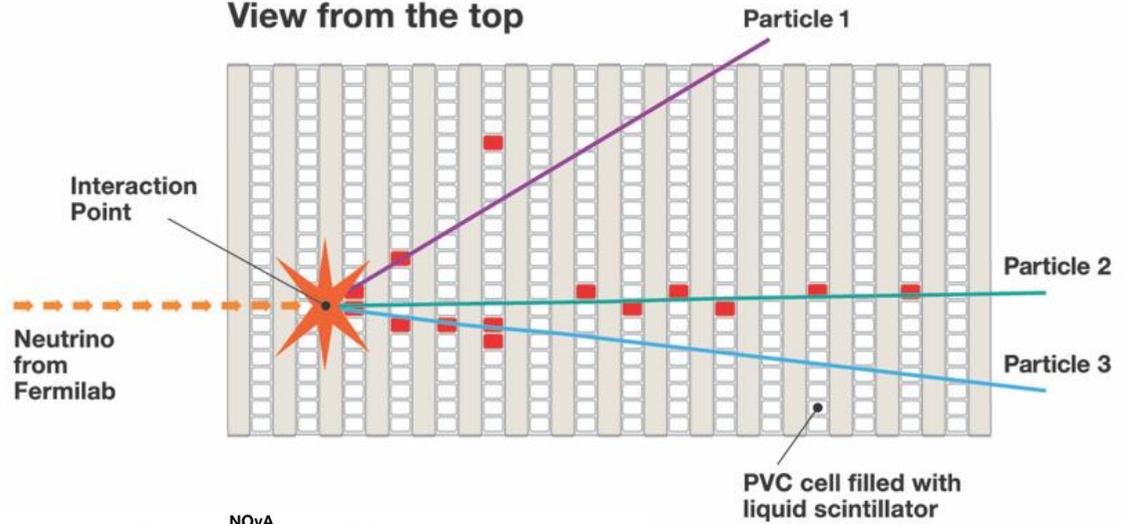
- Lead array (79 tonnes) of 32 annular columns (3 m long)
- Neutron detectors He-3 from SNO experiment
- tens of events for 10 kpc SN

# Nova 15 kt Liquid Scintillator Detector

## 3D schematic of NOvA particle detector



## View from the top



Canonical SN at 10 kpc

- 4000 IBD events
- Shallow location:  
Large backgrounds
- Triggered by SNEWS

# SN Neutrino Detection Channels

Channel	Observable(s) <sup>a</sup>	Interactions <sup>b</sup>
$\nu_x + e^- \rightarrow \nu_x + e^-$	C	17/10
$\bar{\nu}_e + p \rightarrow e^+ + n$	C, N, A	278/165
$\nu_x + p \rightarrow \nu_x + p$	C	682/351
$\nu_e + {}^{12}\text{C} \rightarrow e^- + {}^{12}\text{N}^{(*)}$	C, N, G	3/9
$\bar{\nu}_e + {}^{12}\text{C} \rightarrow e^+ + {}^{12}\text{B}^{(*)}$	C, N, G, A	6/8
$\nu_x + {}^{12}\text{C} \rightarrow \nu_x + {}^{12}\text{C}^*$	G, N	68/25
$\nu_e + {}^{16}\text{O} \rightarrow e^- + {}^{16}\text{F}^{(*)}$	C, N, G	1/4
$\bar{\nu}_e + {}^{16}\text{O} \rightarrow e^+ + {}^{16}\text{N}^{(*)}$	C, N, G	7/5
$\nu_x + {}^{16}\text{O} \rightarrow \nu_x + {}^{16}\text{O}^*$	G, N	50/12
$\nu_e + {}^{40}\text{Ar} \rightarrow e^- + {}^{40}\text{K}^*$	C, G	67/83
$\bar{\nu}_e + {}^{40}\text{Ar} \rightarrow e^+ + {}^{40}\text{Cl}^*$	C, A, G	5/4
$\nu_e + {}^{208}\text{Pb} \rightarrow e^- + {}^{208}\text{Bi}^*$	N	144/228
$\nu_x + {}^{208}\text{Pb} \rightarrow \nu_x + {}^{208}\text{Pb}^*$	N	150/55
$\nu_x + A \rightarrow \nu_x + A$	C	9,408/4,974

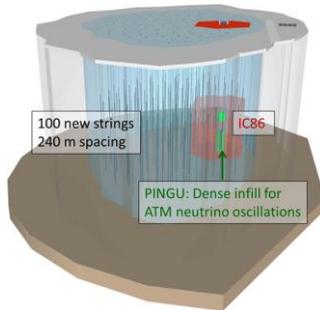
<sup>a</sup>The observables column lists primary observable products relevant for interactions in current detectors. Abbreviations: C, energy loss of a charged particle; N, produced neutrons; G, deexcitation  $\gamma$ s; A, positron annihilation  $\gamma$ s. Note there may, in principle, be other signatures for future detector technologies or detector upgrades.

<sup>b</sup>The interactions column gives interactions per kilotonne at 10 kpc for two different neutrino flux models for neutrino energies greater than 5 MeV, computed according to <http://www.phy.duke.edu/~schol/snowglobes>. No detector response is taken into account here, and actual detected events may be significantly fewer. For elastic scattering and inverse  $\beta$  decay, the numbers per kilotonne refer to water; for other detector materials, the numbers need to be scaled by the relative fraction of electrons or protons, respectively. For neutrino-proton elastic scattering, the numbers per kilotonne refer to scintillators.

# Current and Near-Future SN Neutrino Detectors

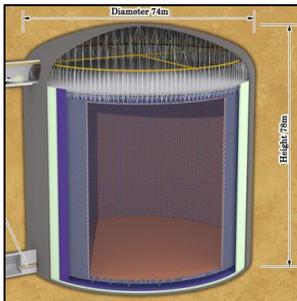
Detector	Type	Mass (kt)	Location	Events	Flavors	Status
Super-Kamiokande	H <sub>2</sub> O	32	Japan	7,000	$\bar{\nu}_e$	Running
LVD	C <sub>n</sub> H <sub>2n</sub>	1	Italy	300	$\bar{\nu}_e$	Running
KamLAND	C <sub>n</sub> H <sub>2n</sub>	1	Japan	300	$\bar{\nu}_e$	Running
Borexino	C <sub>n</sub> H <sub>2n</sub>	0.3	Italy	100	$\bar{\nu}_e$	Running
IceCube	Long string	(600)	South Pole	(10 <sup>6</sup> )	$\bar{\nu}_e$	Running
Baksan	C <sub>n</sub> H <sub>2n</sub>	0.33	Russia	50	$\bar{\nu}_e$	Running
MiniBooNE*	C <sub>n</sub> H <sub>2n</sub>	0.7	USA	200	$\bar{\nu}_e$	(Running)
HALO	Pb	0.08	Canada	30	$\nu_e, \nu_x$	Running
Daya Bay	C <sub>n</sub> H <sub>2n</sub>	0.33	China	100	$\bar{\nu}_e$	Running
NO $\nu$ A*	C <sub>n</sub> H <sub>2n</sub>	15	USA	4,000	$\bar{\nu}_e$	Turning on
SNO+	C <sub>n</sub> H <sub>2n</sub>	0.8	Canada	300	$\bar{\nu}_e$	Near future
MicroBooNE*	Ar	0.17	USA	17	$\nu_e$	Near future
DUNE	Ar	34	USA	3,000	$\nu_e$	Proposed
Hyper-Kamiokande	H <sub>2</sub> O	560	Japan	110,000	$\bar{\nu}_e$	Proposed
JUNO	C <sub>n</sub> H <sub>2n</sub>	20	China	6000	$\bar{\nu}_e$	Proposed
RENO-50	C <sub>n</sub> H <sub>2n</sub>	18	Korea	5400	$\bar{\nu}_e$	Proposed
LENA	C <sub>n</sub> H <sub>2n</sub>	50	Europe	15,000	$\bar{\nu}_e$	Proposed
PINGU	Long string	(600)	South Pole	(10 <sup>6</sup> )	$\bar{\nu}_e$	Proposed

# Next Generation Very-Large-Scale Detectors (2020+)



## IceCube Gen-2

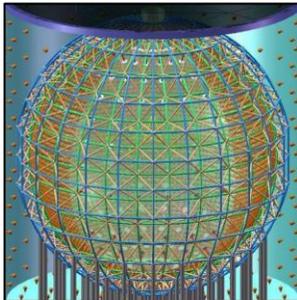
- Dense infill (PINGU)
  - Larger volume (statistics for high-E events)
- Doubling the number of optical modules



## Megaton-class water Cherenkov detector

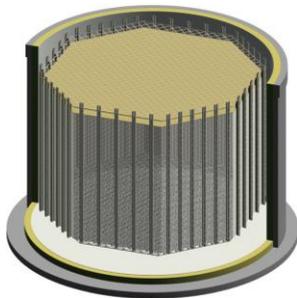
Notably Hyper-Kamiokande

SN neutrino statistics comparable to IceCube,  
but with event-by-event energy information



## Scintillator detectors (20 kilotons)

- JUNO in China for reactor nus (construction)
- RENO-50 in Korea for reactor nus (plans)
- Baksan Large Volume Scintillator Detector (discussions in Russia)

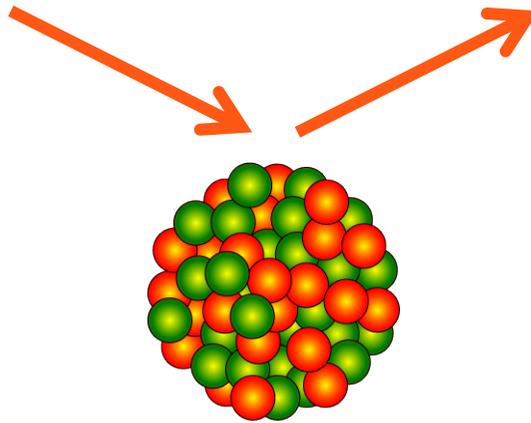
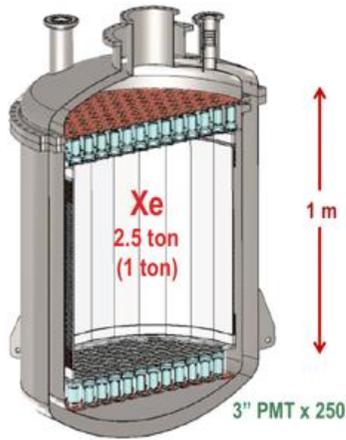


## Liquid argon time projection chamber

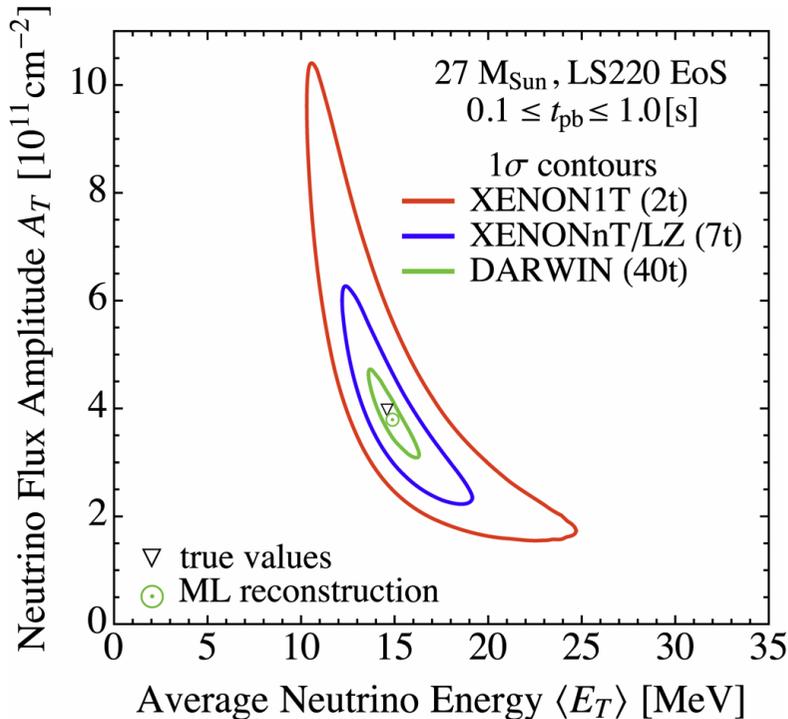
For long-baseline oscillation experiment DUNE

- Unique SN capabilities (CC  $\nu_e$  signal)
- But cross sections poorly known

# Xenon Dark Matter Detectors



- Coherent scattering of low-E nus on Xe (77 neutrons)
- All 6 nu species contribute



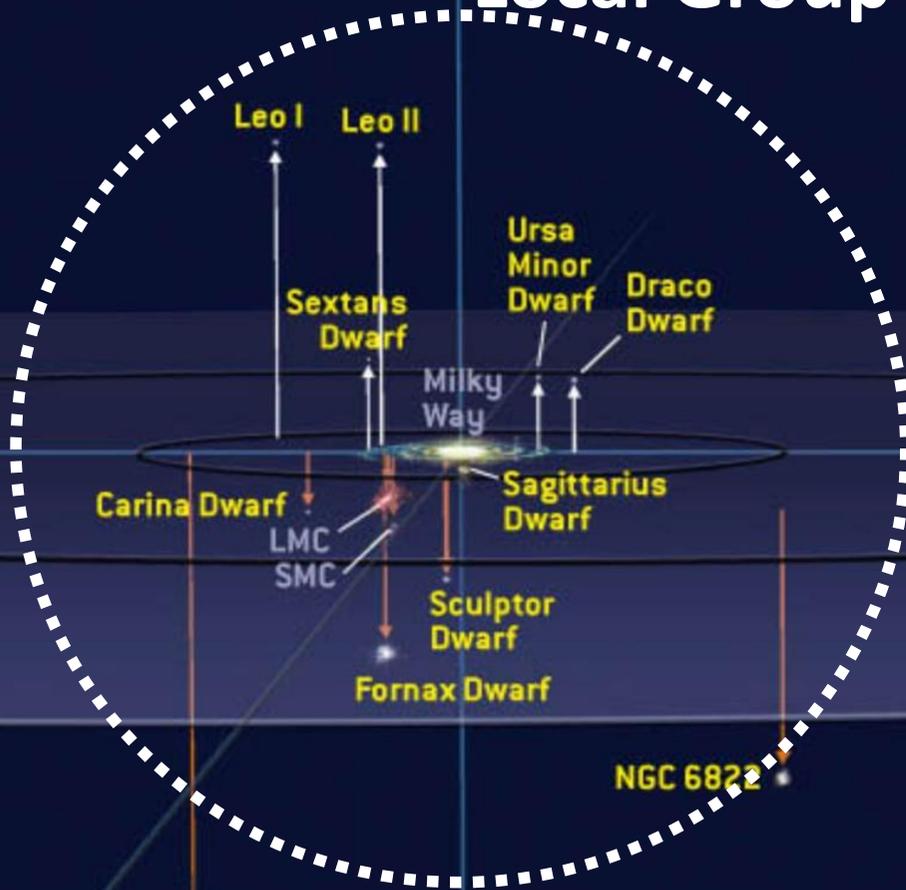
Pinning down SN neutrino flux and average energy

See for example  
 Horowitz et al. (astro-ph/0302071)  
 Chakraborty et al. (arXiv:1309.4492)  
 XMASS Collaboration (arXiv:1604.01218)  
 Lang et al. (arXiv:1606.09243)

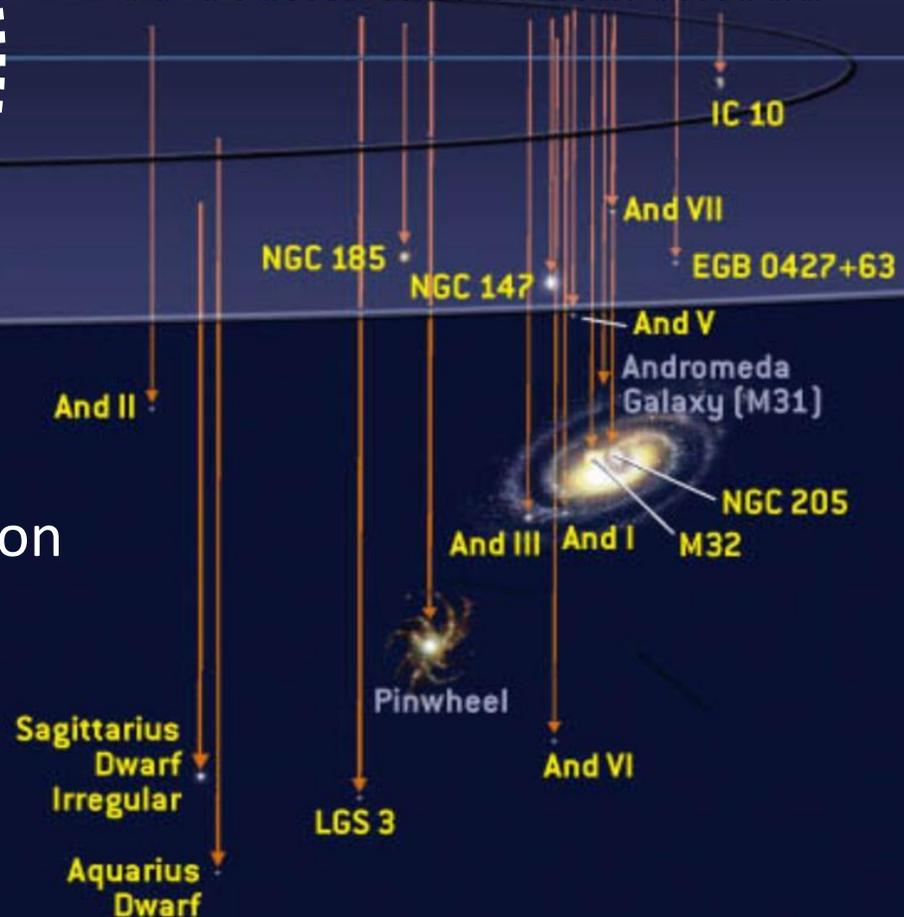


# Supernova Rate

# Local Group of Galaxies



With megatonne class (30 x SK)  
60 events from Andromeda



Current and most next-generation  
neutrino detectors  
sensitive out to few 100 kpc

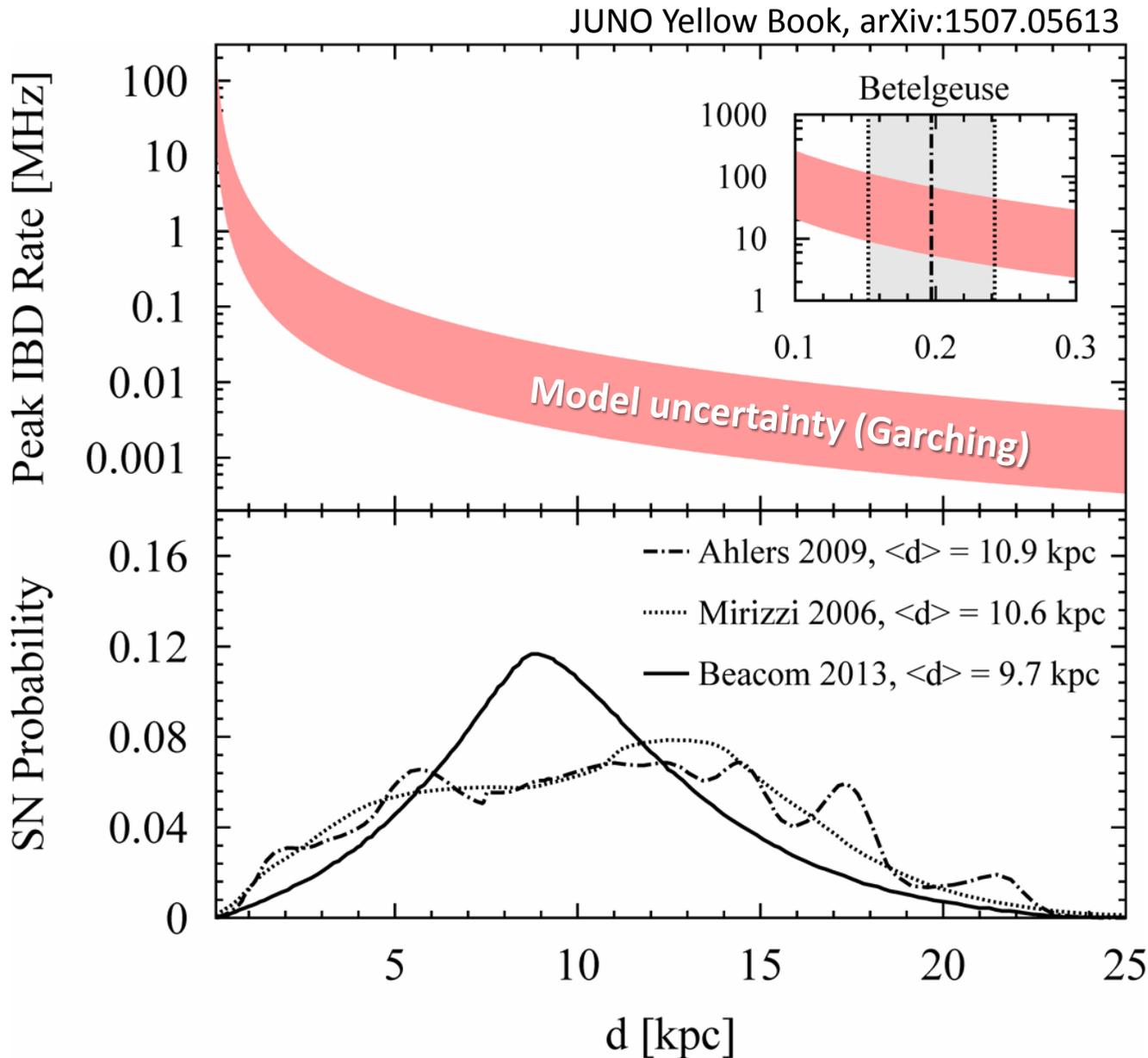
Phoenix  
Dwarf

# SN Distance Distribution and Peak Count Rate

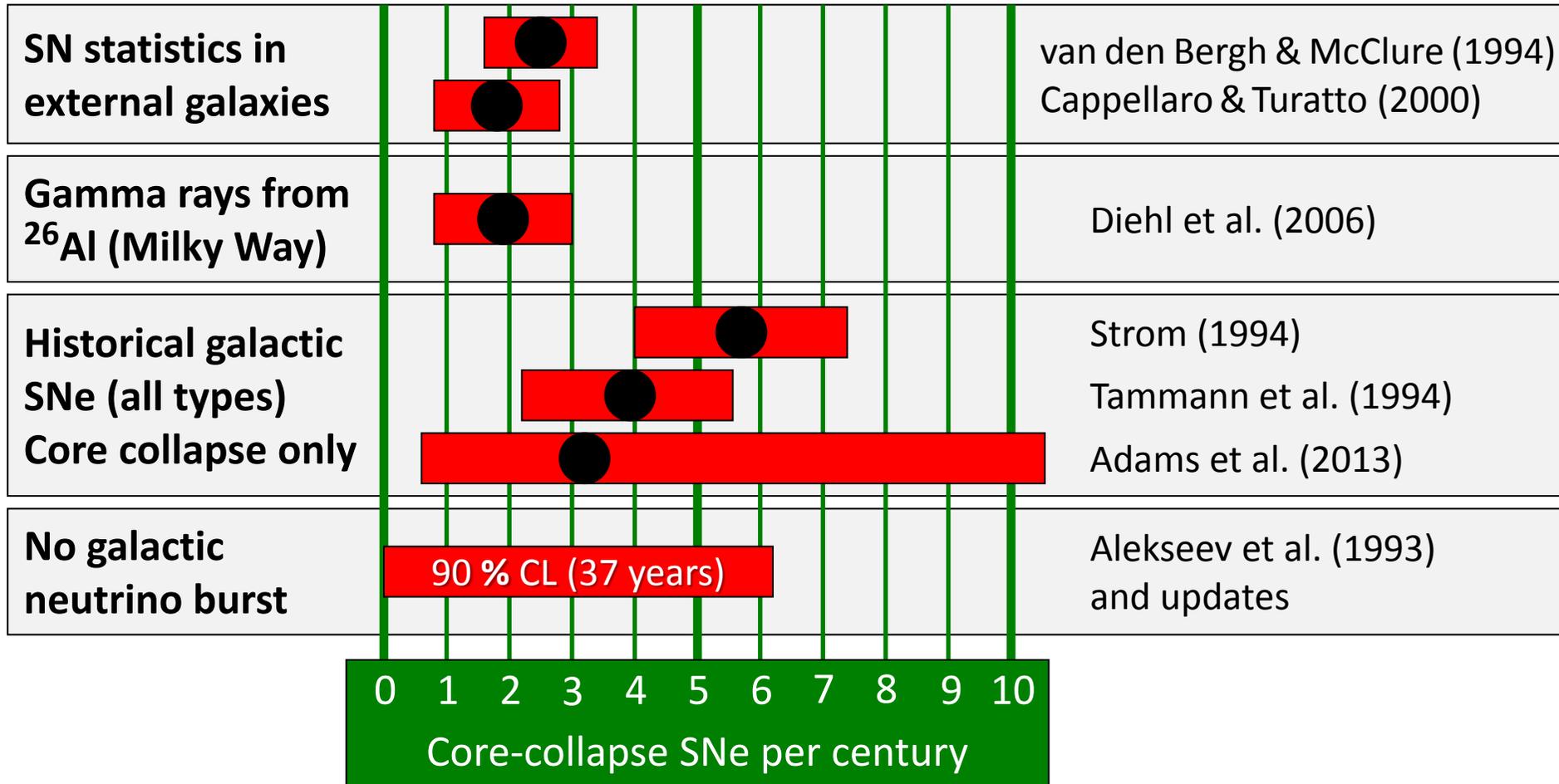
Peak count rate  
in JUNO (20 kt)  
depending on  
SN distance

× 10 in 1 tank  
Hyper-K

SN distance  
probability  
in Milky Way



# Core-Collapse SN Rate in the Milky Way



van den Bergh & McClure, ApJ 425 (1994) 205. Cappellaro & Turatto, astro-ph/0012455.

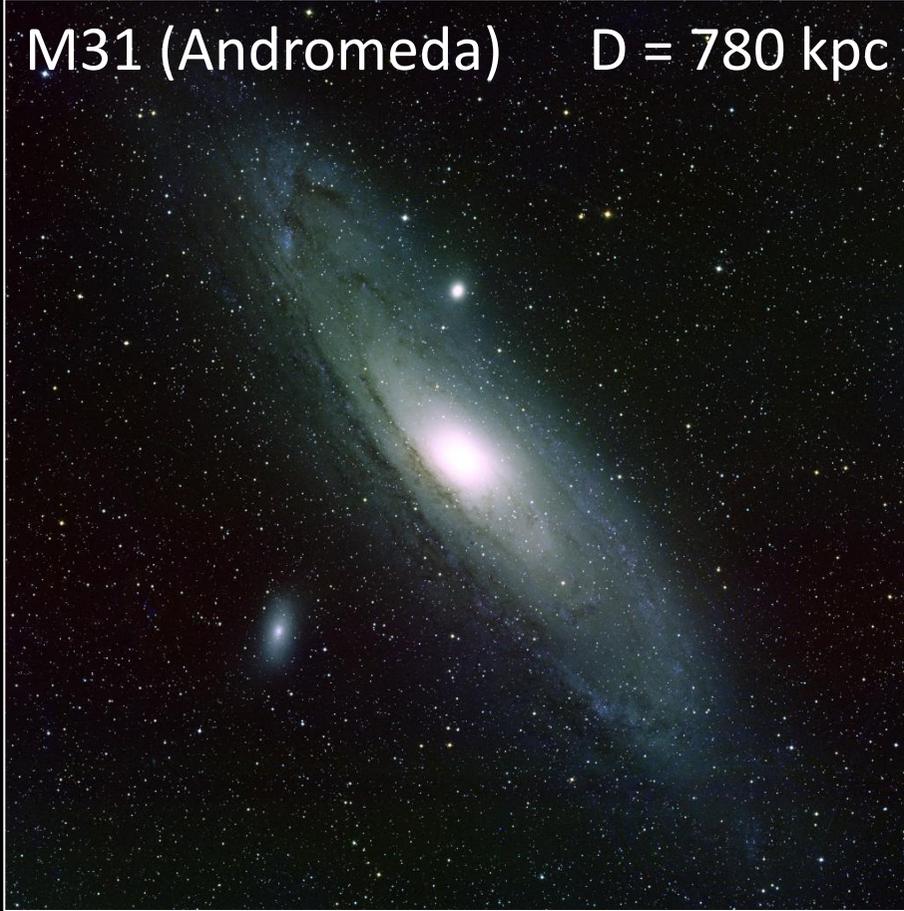
Diehl et al., Nature 439 (2006) 45. Strom, A&A 288 (1994) L1.

Tammann et al., ApJ 92 (1994) 487. Adams et al., ApJ 778 (2013) 164.

Alekseev et al., JETP 77 (1993) 339.

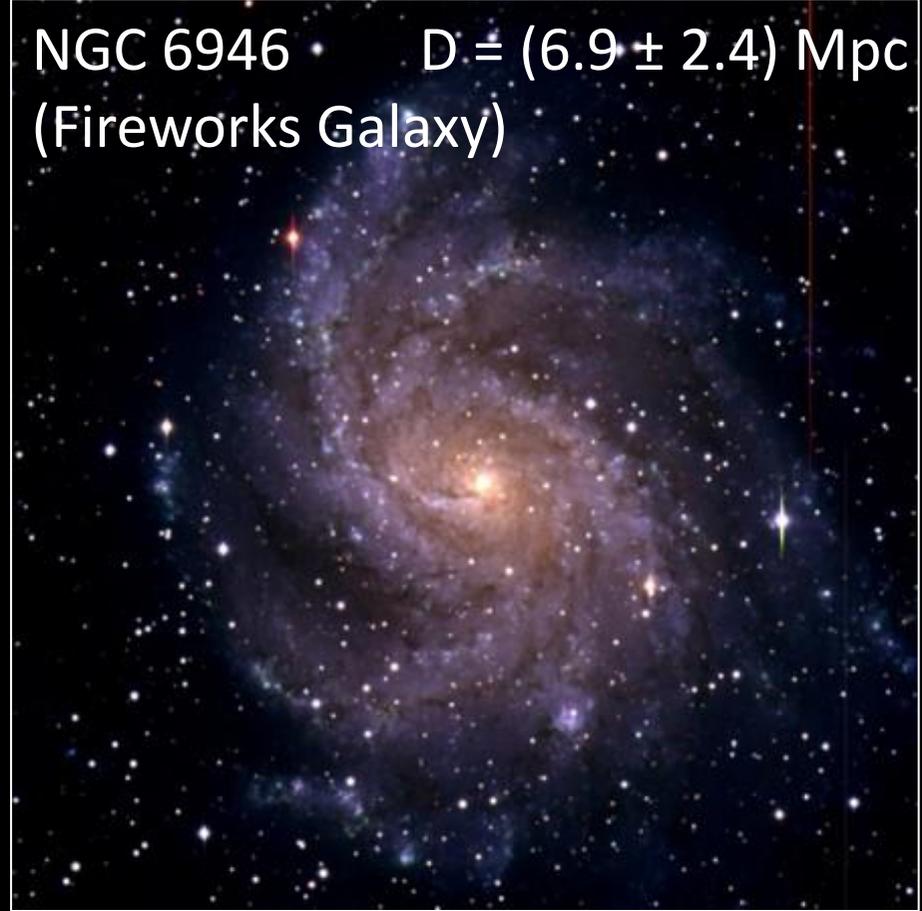
# High and Low Supernova Rates in Nearby Galaxies

M31 (Andromeda)      $D = 780 \text{ kpc}$



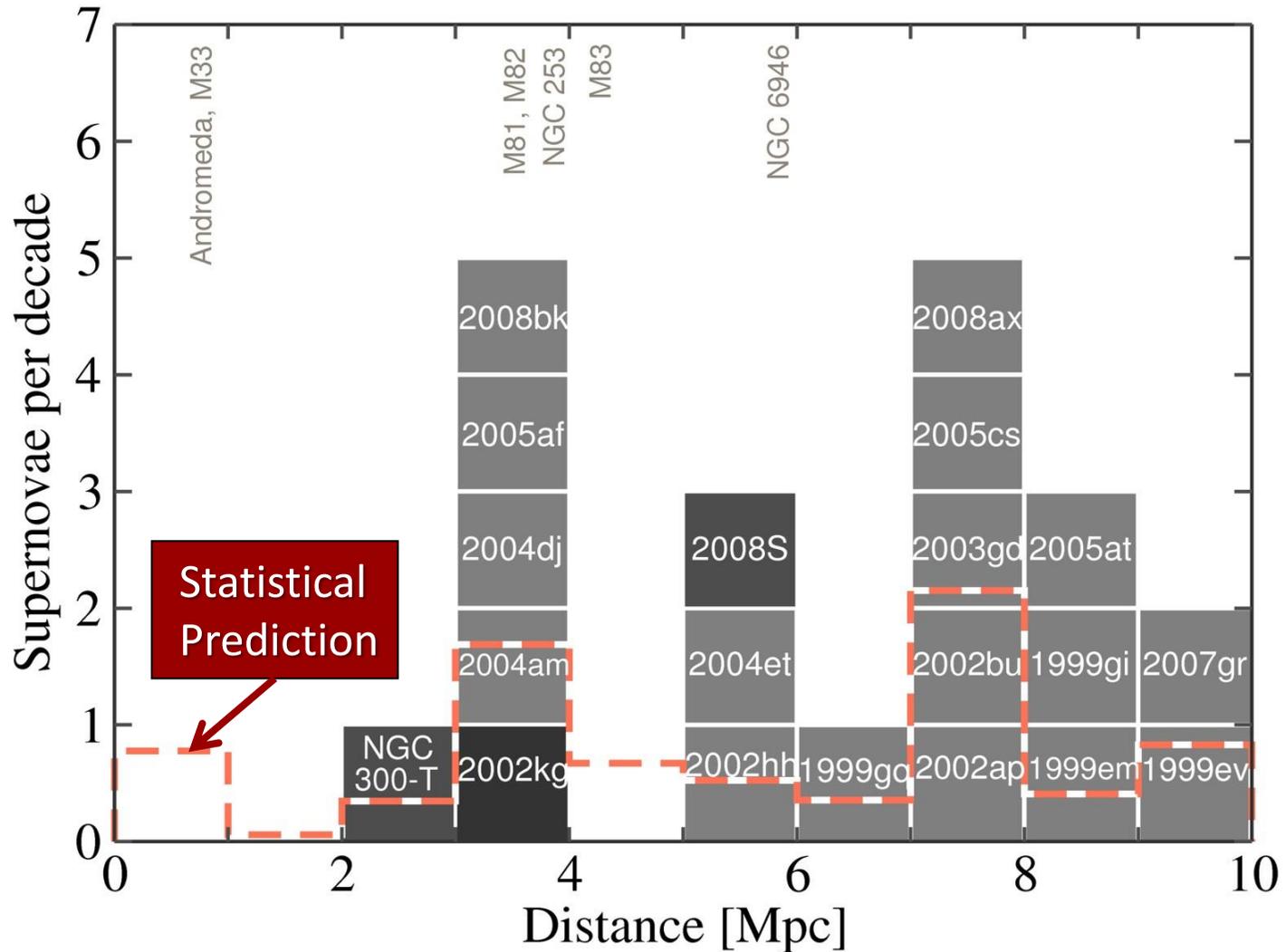
Last Observed Supernova: 1885A

NGC 6946      $D = (6.9 \pm 2.4) \text{ Mpc}$   
(Fireworks Galaxy)



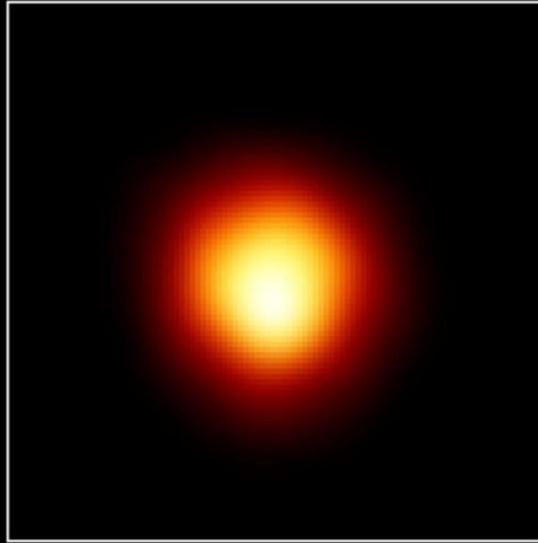
Observed Supernovae:  
1917A, 1939C, 1948B, 1968D, 1969P,  
1980K, 2002hh, 2004et, 2008S,  
**N6946-BH1 (failed SN 2009/10)**

# Supernova Rate in the Local Universe (Past Decade)



Kistler, Yüksel, Ando, Beacom & Suzuki, arXiv:0810.1959

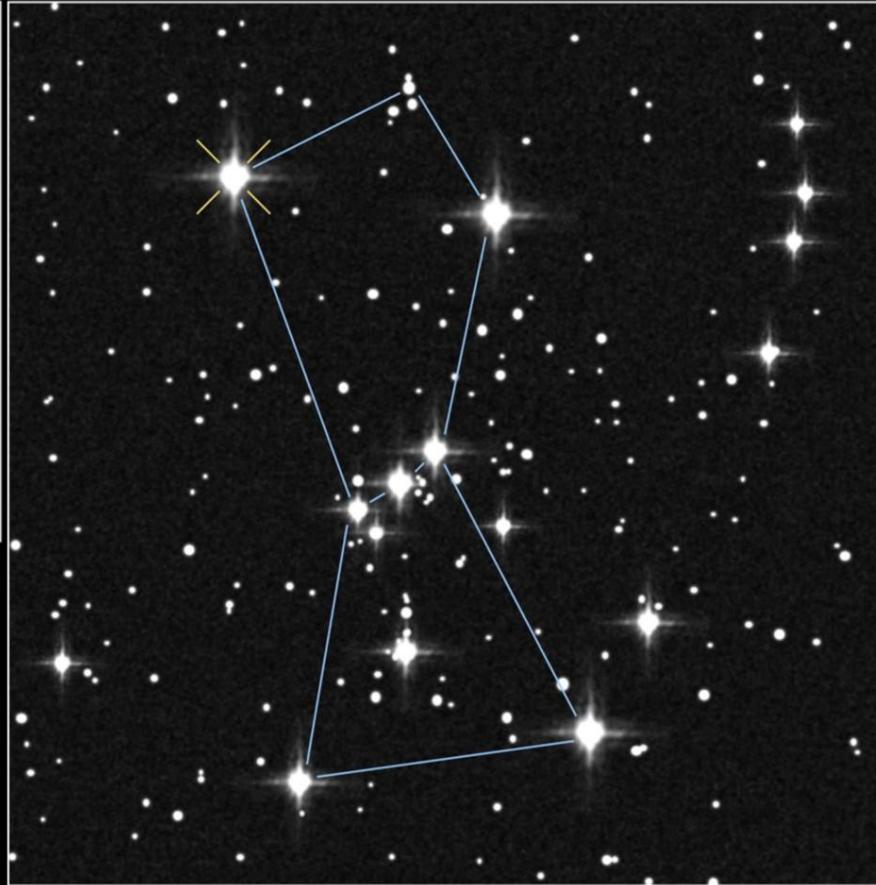
# The Red Supergiant Betelgeuse (Alpha Orionis)



Size of Star

Size of Earth's Orbit

Size of Jupiter's Orbit



First resolved image of a star other than Sun

Distance  
(Hipparcos)  
130 pc (425 lyr)

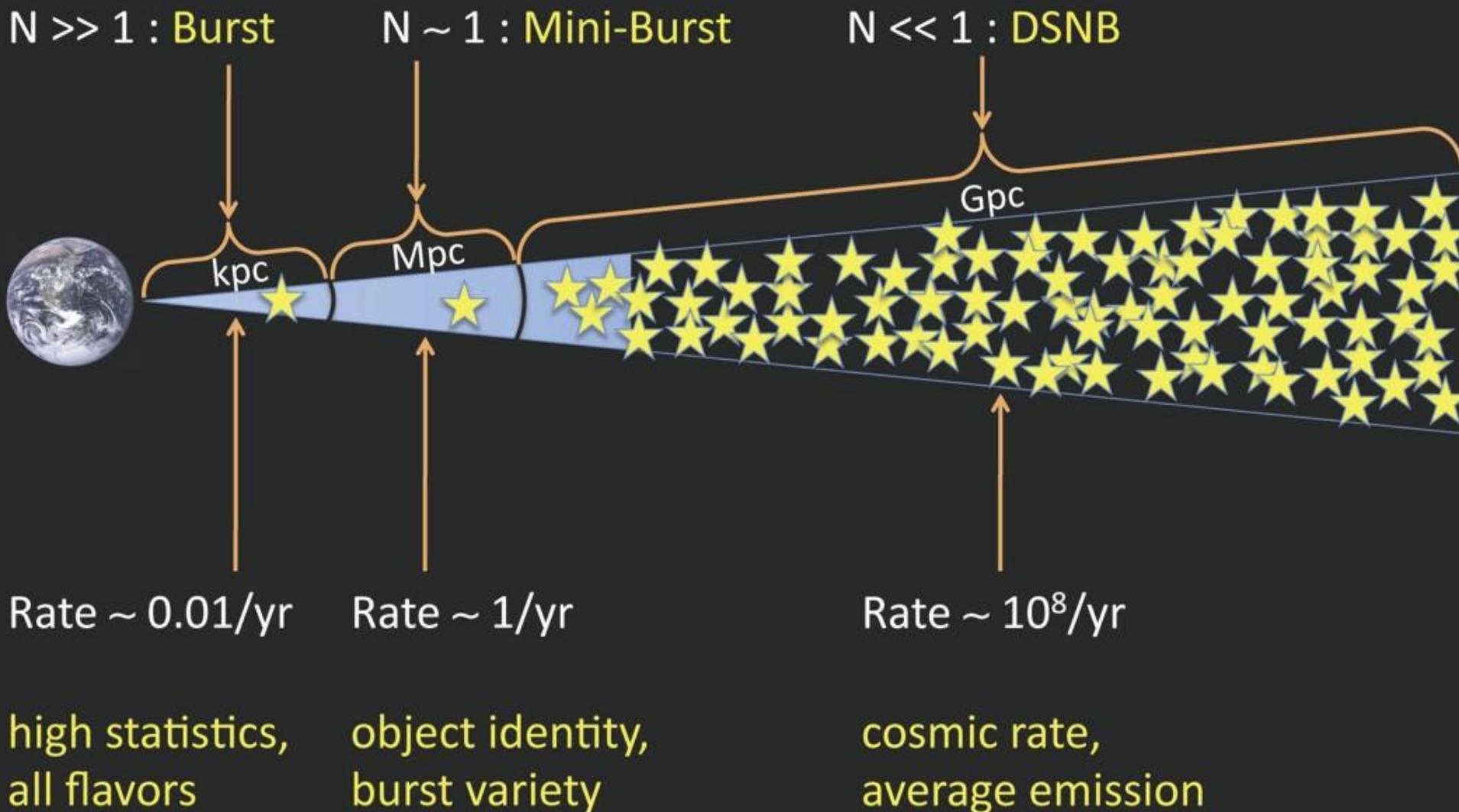
If Betelgeuse goes Supernova:

- $6 \times 10^7$  neutrino events in Super-Kamiokande
- $2.4 \times 10^3$  neutrons /day from Si burning phase (few days warning!), need neutron tagging  
[Odrzywolek, Misiaszek & Kutschera, astro-ph/0311012]



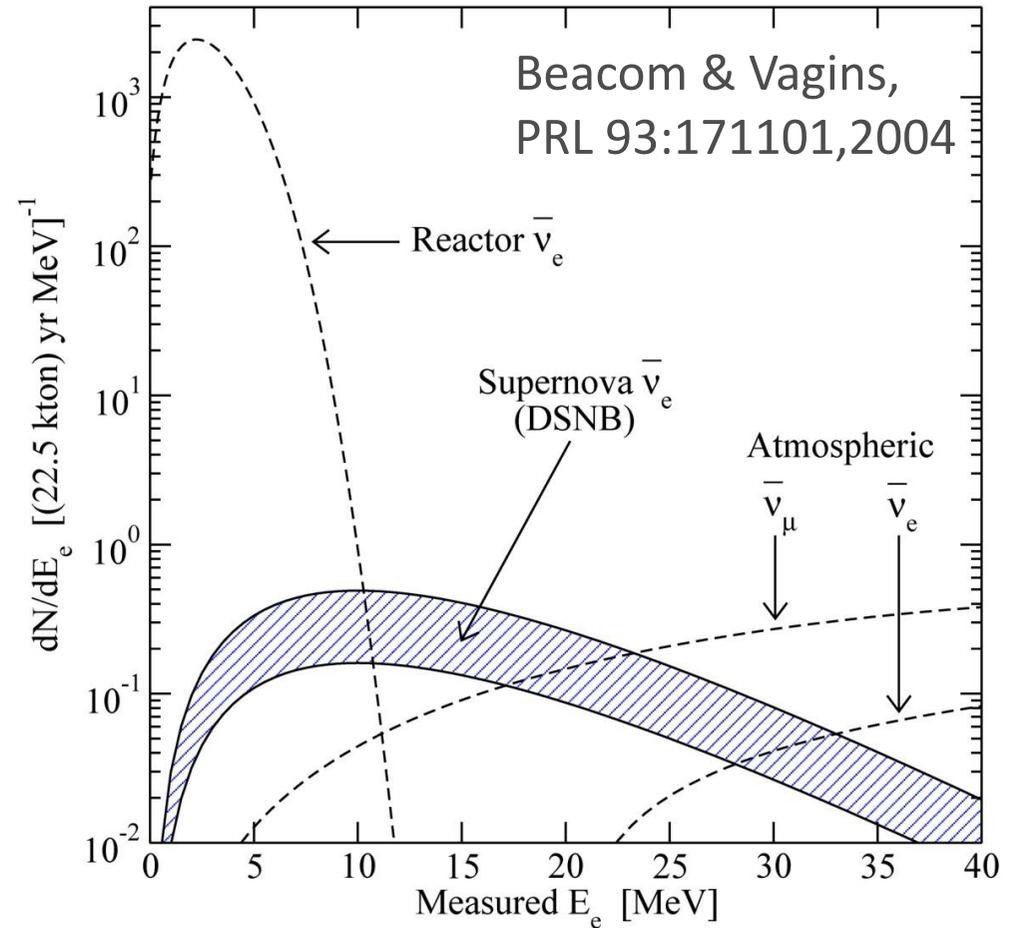
**Diffuse SN Neutrino Background**

# Distance Scales and Detection Strategies



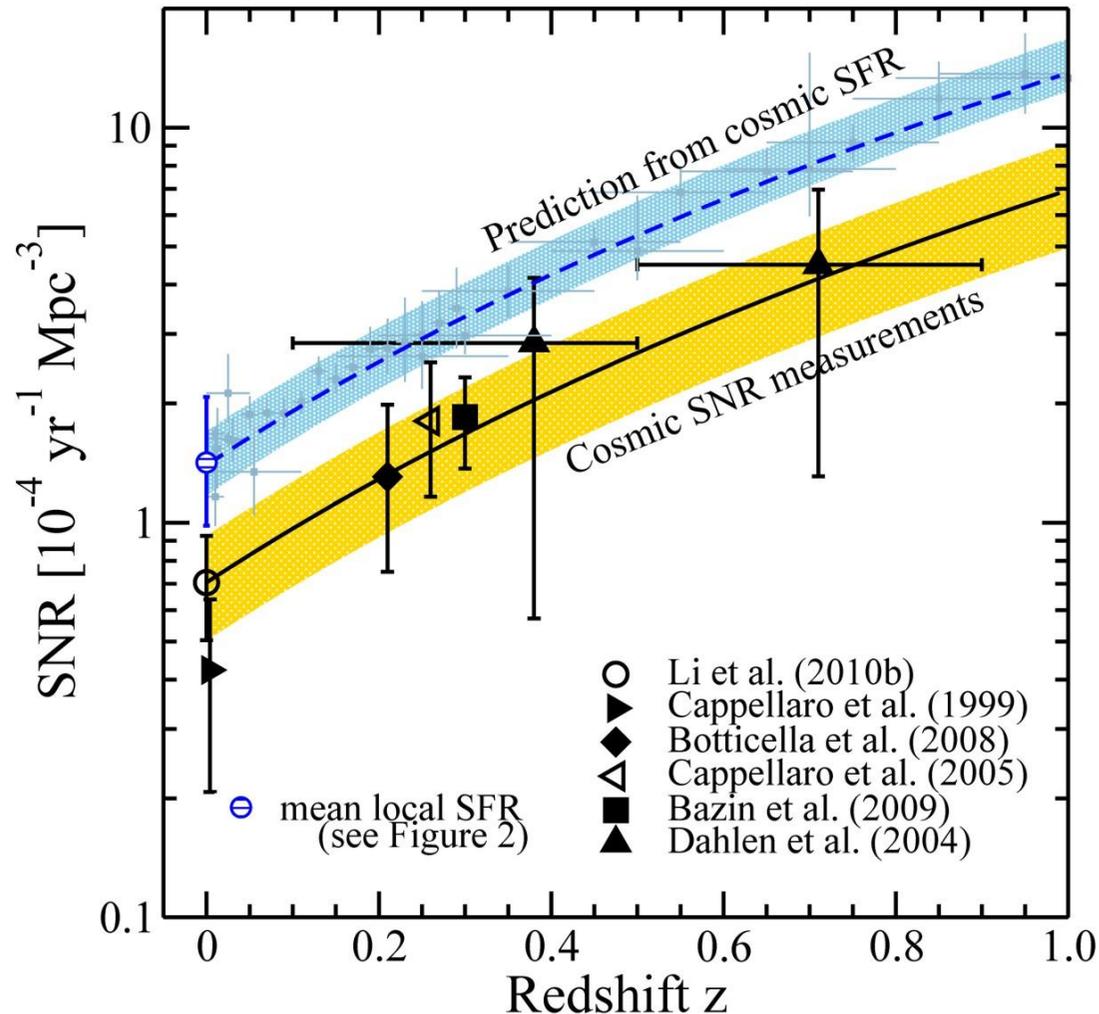
# Diffuse Supernova Neutrino Background (DSNB)

- A few core collapses/sec in the visible universe
- Emitted  $\nu$  energy density  
~ extra galactic background light  
~ 10% of CMB density
- Detectable  $\bar{\nu}_e$  flux at Earth  
 $\sim 10 \text{ cm}^{-2} \text{ s}^{-1}$   
mostly from redshift  $z \sim 1$
- Confirm star-formation rate
- Nu emission from average core collapse & black-hole formation
- Pushing frontiers of neutrino astronomy to cosmic distances!



Window of opportunity between  
reactor  $\bar{\nu}_e$  and atmospheric  $\nu$  bkg

# Supernova vs. Star Formation Rate in the Universe

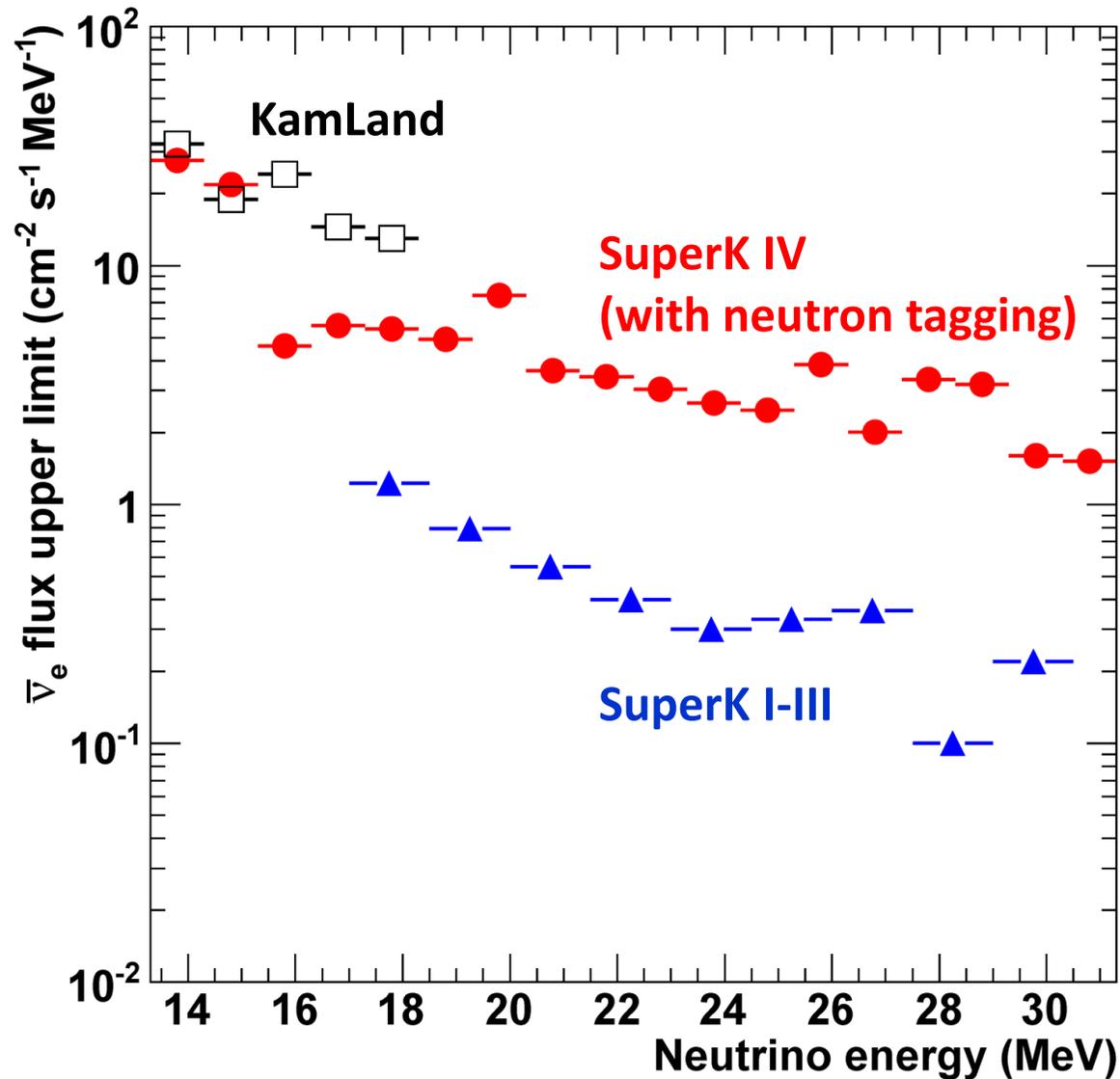


Measured SN rate about half the prediction from star formation rate

Many “dark SNe” ?

Horiuchi, Beacom, Kochanek, Prieto, Stanek & Thompson  
arXiv:1102.1977

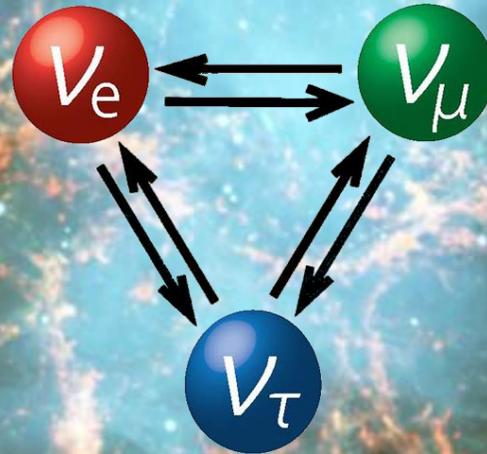
# Experimental DSNB Limits



Super-K with Gadolinium enhancement (neutron tagging) will strongly improve sensitivity

Super-Kamiokande Collaboration, arXiv:1311.3738

# Supernova Neutrino Flavor Conversion



# Flavor Oscillations in Core-Collapse Supernovae

- **Adiabatic flavor conversion**



Flavor eigenstates are propagation eigenstates

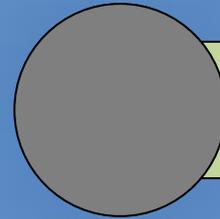
- **Slow self-induced flavor conversion?**  
(Spectral splits ...)



- **Fast self-induced flavor conversion?**  
(Flavor equilibration?)



Neutrino sphere



Neutrino flux

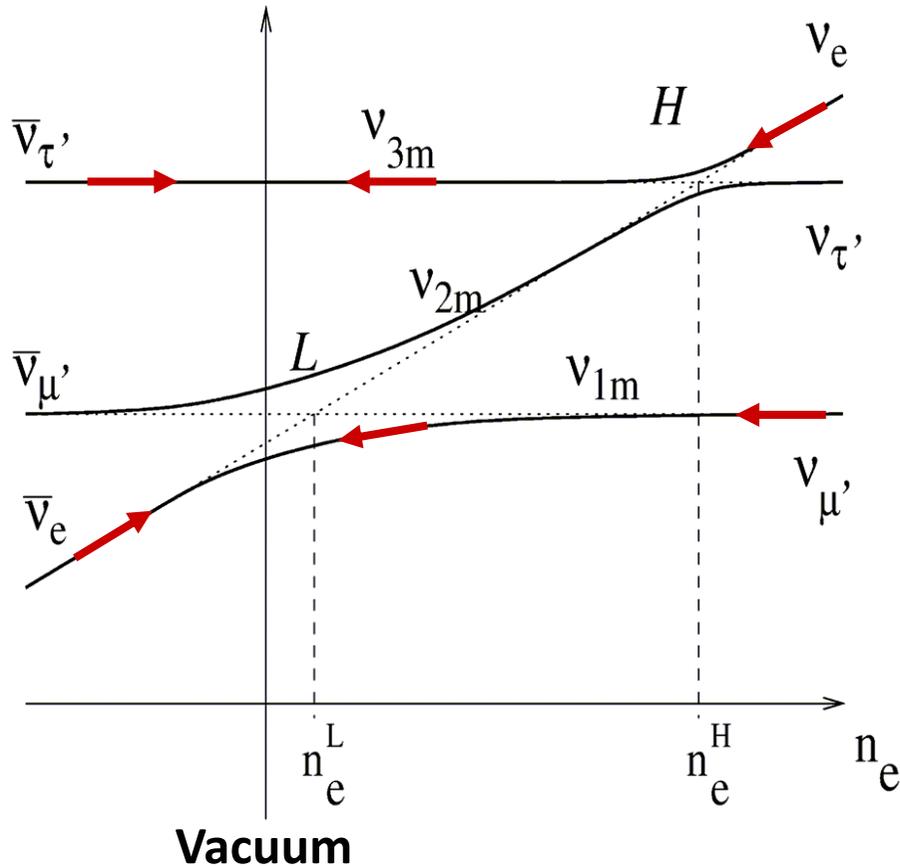


Shock wave

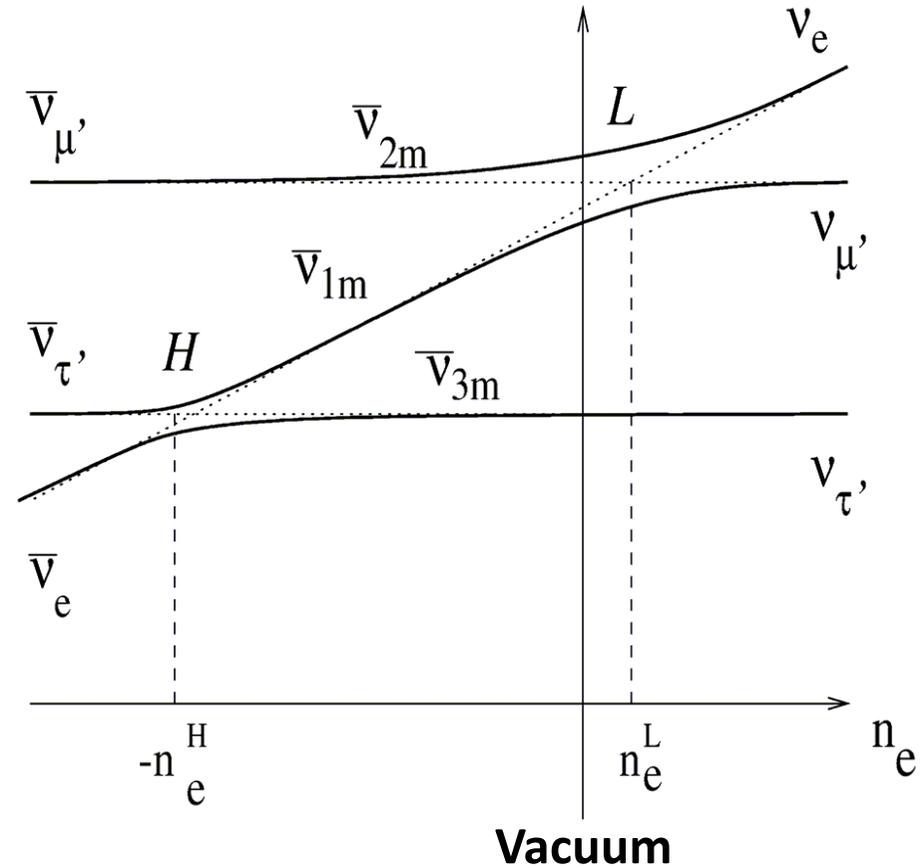
MSW region

# Level-Crossing Diagram in a Supernova Envelope

Normal mass hierarchy



Inverted mass hierarchy



Dighe & Smirnov, Identifying the neutrino mass spectrum from a supernova neutrino burst, astro-ph/9907423

# SN Flavor Oscillations and Mass Hierarchy

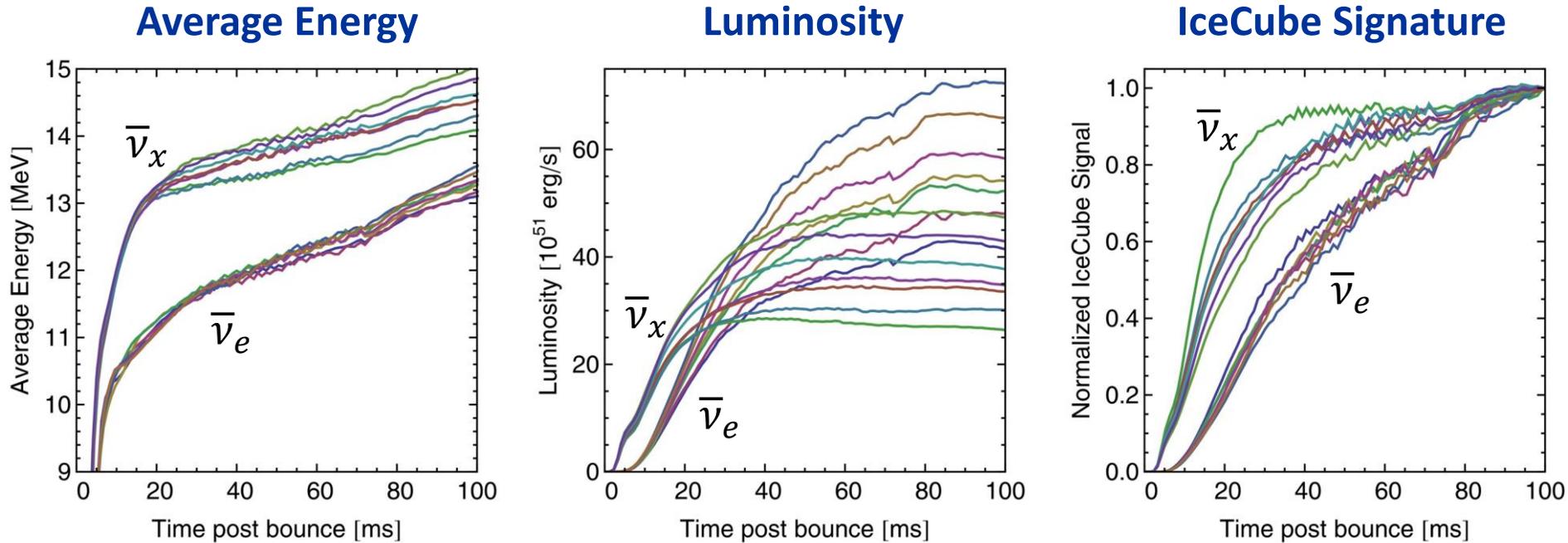
- Mixing angle  $\Theta_{13}$  has been measured to be “large”
- MSW conversion in SN envelope adiabatic
- Assume that collective flavor oscillations are not important

	Mass ordering	
	Normal (NH)	Inverted (IH)
$\nu_e$ survival prob.	0	$\sin^2 \theta_{12} \approx 0.3$
$\bar{\nu}_e$ survival prob.	$\cos^2 \theta_{12} \approx 0.7$	0
$\bar{\nu}_e$ Earth effects	Yes	No

- When are collective oscillations important?
- How to detect signatures of hierarchy?

# Early-Phase Signal in Anti-Neutrino Sector

## Garching Models with $M = 12\text{--}40 M_{\odot}$



- In principle very sensitive to hierarchy, notably IceCube or HK
- “Standard candle” to be confirmed by other than Garching models

Abbasi et al. (IceCube Collaboration) A&A 535 (2011) A109

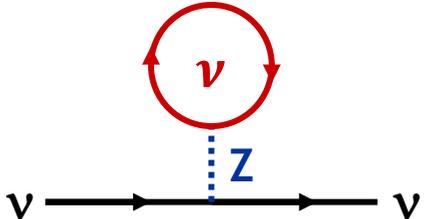
Serpico, Chakraborty, Fischer, Hüdepohl, Janka & Mirizzi, arXiv:1111.4483

# Flavor-Off-Diagonal Refractive Index

2-flavor neutrino evolution as an effective 2-level problem

$$i \frac{\partial}{\partial t} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = H \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

Effective mixing Hamiltonian

$$H = \frac{M^2}{2E} + \sqrt{2}G_F \begin{pmatrix} N_e - \frac{N_n}{2} & 0 \\ 0 & -\frac{N_n}{2} \end{pmatrix} + \sqrt{2}G_F \begin{pmatrix} N_{\nu_e} & N_{\langle \nu_e | \nu_\mu \rangle} \\ N_{\langle \nu_\mu | \nu_e \rangle} & N_{\nu_\mu} \end{pmatrix}$$


Mass term in flavor basis: causes vacuum oscillations

Wolfenstein's weak potential, causes MSW "resonant" conversion together with vacuum term

Flavor-off-diagonal potential, caused by flavor oscillations. (J.Pantaleone, PLB 287:128,1992)

**Flavor oscillations feed back on the Hamiltonian: Nonlinear effects!**

# Self-Induced Flavor Conversion

Flavor conversion (vacuum or MSW)  
for a neutrino of given momentum  $p$

- Requires lepton flavor violation  
by masses and mixing

Pair-wise flavor exchange  
by  $\nu$ - $\nu$  refraction (forward scattering)

- No net flavor change of pair
- Requires dense neutrino medium  
(collective effect of interacting neutrinos)
- **Can occur without masses/mixing  
(and then does not depend on  $\Delta m^2/2E$ )**
- Familiar as neutrino pair process  $\mathcal{O}(G_F^2)$   
Here as coherent refractive effect  $\mathcal{O}(G_F)$

$$\nu_e(p) \rightarrow \nu_\mu(p)$$

$$\frac{\Delta m_{\text{atm}}^2}{2E} = 10^{-10} \text{eV} = 0.5 \text{ km}^{-1}$$

$$\nu_e(p) + \bar{\nu}_e(k) \rightarrow \nu_\mu(p) + \bar{\nu}_\mu(k)$$

$$\nu_e(p) + \nu_\mu(k) \rightarrow \nu_\mu(p) + \nu_e(k)$$

$$\sqrt{2}G_F n_\nu = 10^{-5} \text{eV} = 0.5 \text{ cm}^{-1}$$

$$E = 12.5 \text{ MeV}$$

$$R = 80 \text{ km}$$

$$L_\nu = 40 \times 10^{51} \text{ erg/s}$$

# Fast Pairwise Conversion of Supernova Neutrinos: A Dispersion Relation Approach

Ignacio Izaguirre,<sup>1</sup> Georg Raffelt,<sup>1</sup> and Irene Tamborra<sup>2</sup>

<sup>1</sup>Max-Planck-Institut für Physik (Werner-Heisenberg-Institut), Föhringer Ring 6, 80805 München, Germany

<sup>2</sup>Niels Bohr International Academy, Niels Bohr Institute, Blegdamsvej 17, 2100 Copenhagen, Denmark

(Received 10 October 2016; published 10 January 2017)

## Classification of instabilities of “flavor waves”

## Classification of instabilities of plasma waves

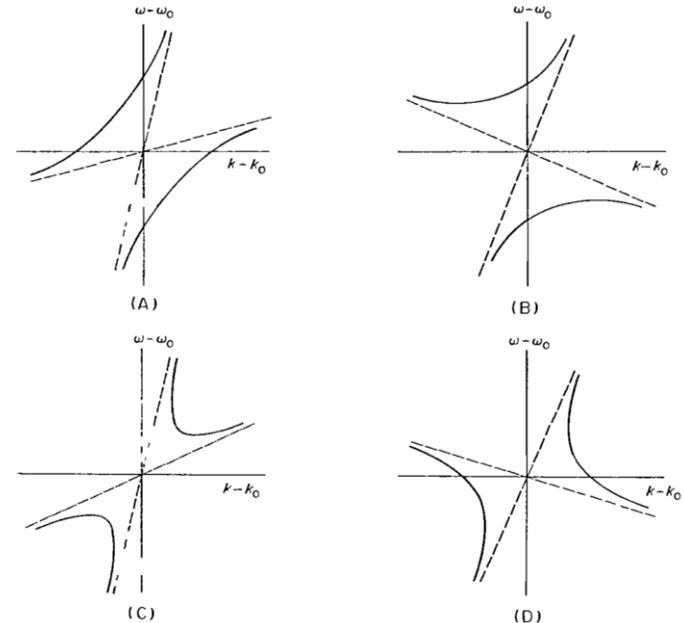
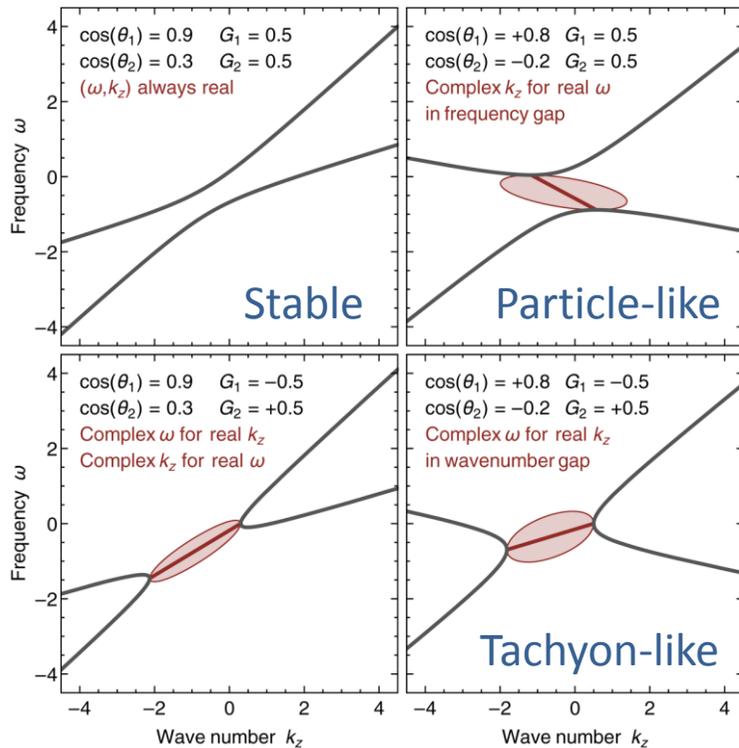
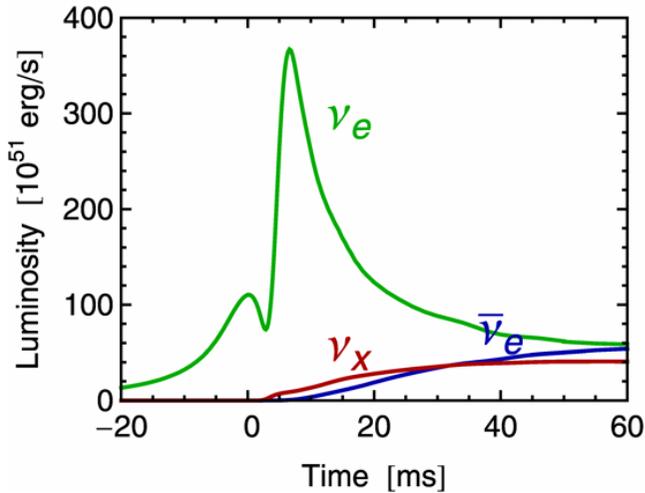


FIG. 23.

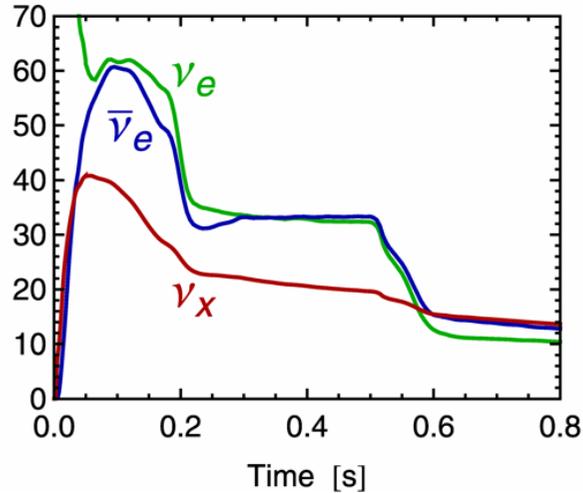
Landau & Lifshitz, Vol.10, Physical Kinetics  
Chapter VI, Instability Theory

# Three Phases – Three Opportunities

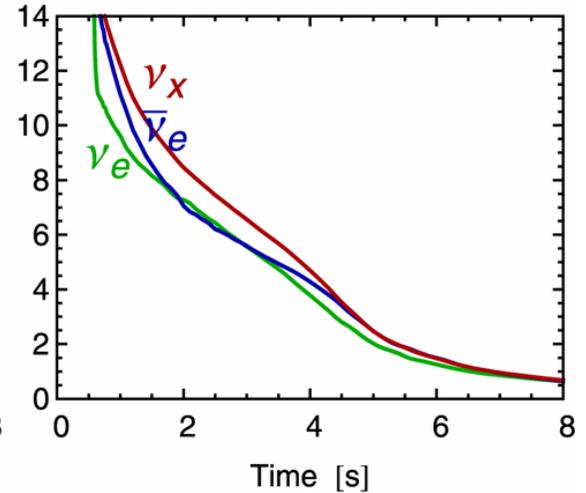
## Prompt $\nu_e$ burst



## Accretion



## Cooling



## “Standard Candle”

- SN theory
- Distance
- Flavor conversions
- Multi-messenger time of flight

## Strong variations

- (progenitor, 3D effects, black hole formation, ...)
- Testing astrophysics of core collapse
  - Flavor conversion has strong impact on signal

## EoS & mass dependence

- Testing Nuclear Physics
- Nucleosynthesis in neutrino-driven wind
- Particle bounds from cooling speed (axions ...)



Many large detectors online for next decades

**Every year a 3% chance**

**I am optimistic to see more supernova neutrinos!**