

# T2K NEUTRINO EXPERIMENT RESULTS AND FUTURE PERSPECTIVES

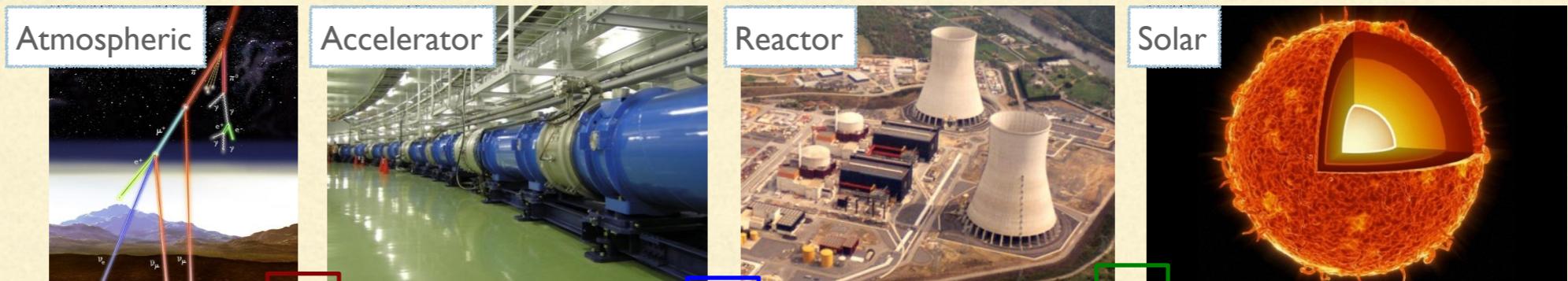


Alexander Izmaylov on behalf of the T2K Collaboration  
Instituto de Fisica Corpuscular (UV and CSIC), Valencia, Spain

XVII International Workshop on Neutrino Telescopes, March 12-17,  
Venice, Italy

# NEUTRINO OSCILLATIONS

Neutrino mixing:  
Pontecorvo-Maki-  
Nakagawa-Sakata  
(PMNS) matrix



$$\begin{aligned} c_{ij} &= \cos \theta_{ij} \\ s_{ij} &= \sin \theta_{ij} \\ \Delta m_{ij}^2 &= m_i^2 - m_j^2 \end{aligned}$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta_{CP}} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Oscillations governed by

- three mixing angles:
  - $\theta_{12} \approx 33^\circ$ ,  $\theta_{13} \approx 9^\circ$ ,  $\theta_{23} \approx 45^\circ$
- two mass squared differences:
  - $\Delta m_{21}^2 \approx 7.5 \times 10^{-5} \text{ eV}^2$  and  $|\Delta m_{32}^2| \approx 2.5 \times 10^{-3} \text{ eV}^2$
- source-detector baseline and neutrino energy

Open questions:

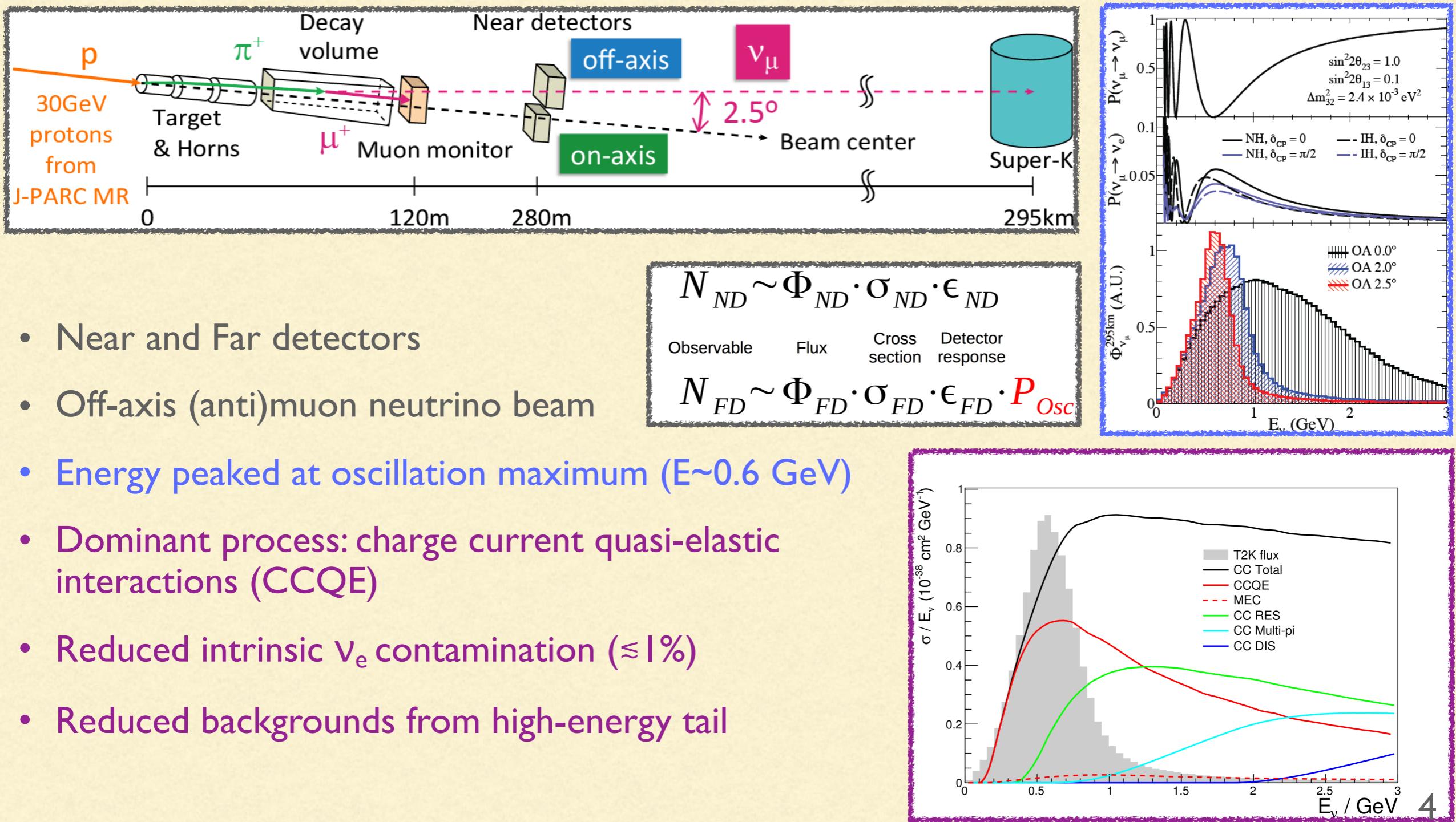
- CP-violation in lepton sector?  $\delta_{CP}$  value?
- Mass hierarchy(MH), “normal” (NH) or “inverted”(IH):
  - $m_1 < m_2 \ll m_3$  or  $m_3 \ll m_1 < m_2$ ?
- Octant of  $\theta_{23}$ :  $<$ ,  $>$  or  $= 45^\circ$ ?
- Dirac/Majorana, steriles, CPT...

# T2K (TOKAI-TO-KAMIOKA) EXPERIMENT

- Long-baseline neutrino oscillation experiment in Japan
- International collaboration:
  - ~500 members, 63 institutes, 11 countries



# T2K DESIGN



# NEUTRINO OSCILLATIONS IN T2K

$$P(\nu_\mu \rightarrow \nu_\mu) \simeq 1 - (\cos^4 \theta_{13} \sin^2 2\theta_{23}) \sin^2 \left( \Delta m_{31}^2 \frac{L}{4E} \right)$$

- Precise measurement of  $\sin^2 2\theta_{23}$  and CPT test via ν vs anti-ν disappearance analysis

From Phys. Rev. D64 (2001) 053003

$$P(\nu_\mu \rightarrow \nu_e) \simeq \sin^2 2\theta_{13} \sin^2 \theta_{23} \times \frac{\sin^2[(1-x)\Delta]}{(1-x)^2}$$

Leading term

**CP-violating**  $-\alpha \sin \delta_{CP} \times \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \times \sin \Delta \frac{\sin[x\Delta]}{x} \frac{\sin[(1-x)\Delta]}{(1-x)}$   
 “+” sign for anti-ν

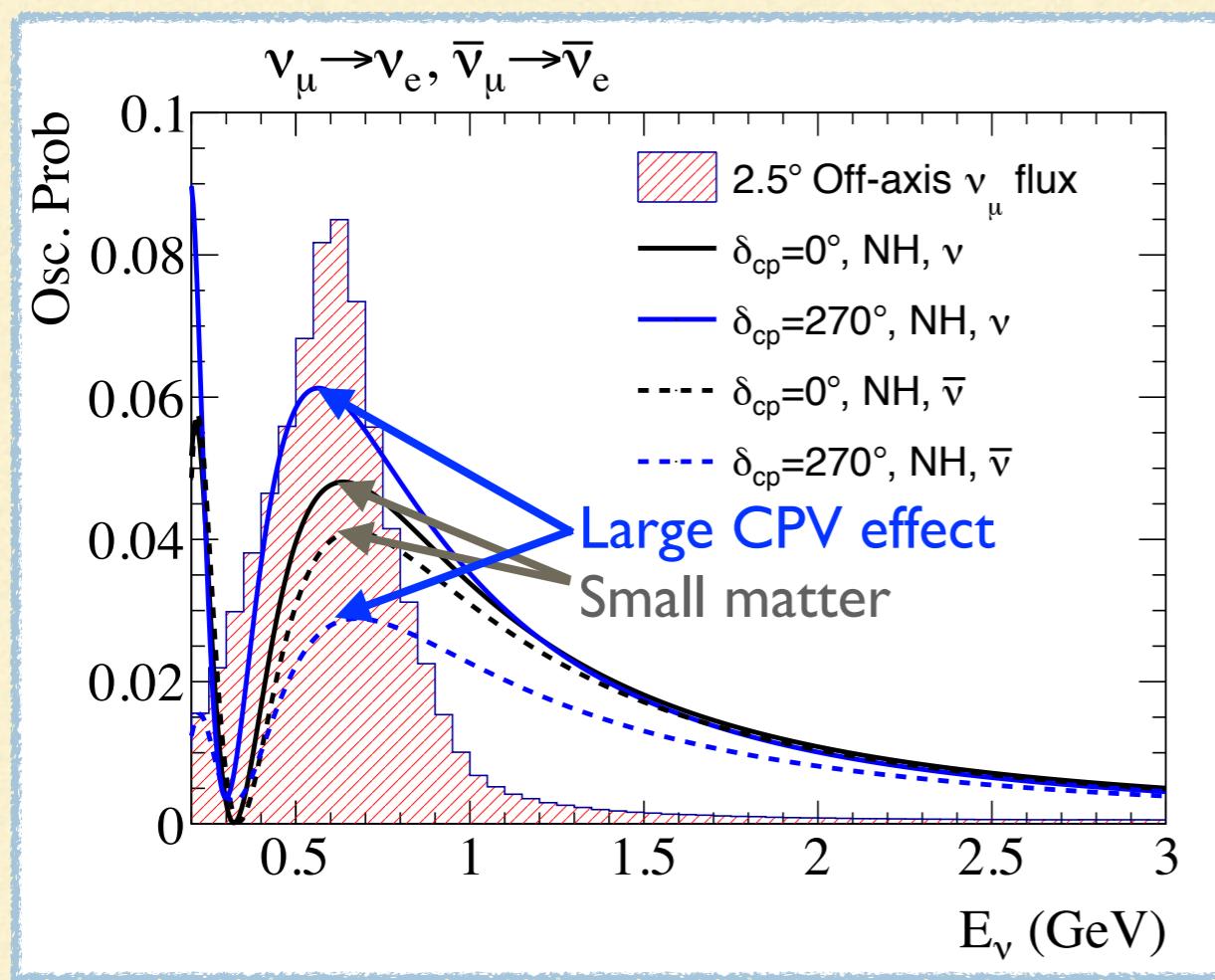
**CP-conserving**  $+\alpha \cos \delta_{CP} \times \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \times \cos \Delta \frac{\sin[x\Delta]}{x} \frac{\sin[(1-x)\Delta]}{(1-x)}$

$+O(\alpha^2)$        $\alpha = \left| \frac{\Delta m_{21}^2}{\Delta m_{31}^2} \right| \sim \frac{1}{30}$      $\Delta = \frac{\Delta m_{31}^2 L}{4E}$      $x = \frac{2\sqrt{2}G_F N_e E}{\Delta m_{31}^2}$

- Leading term defines the octant of  $\theta_{23}$ : <, > or  $= 45^\circ$
- Sub-leading term accounts for CPV: enhanced effect when comparing neutrino and antineutrino data

# NEUTRINO OSCILLATIONS IN T2K

$\delta_{CP}$  and MH affect differently neutrino and antineutrino oscillations



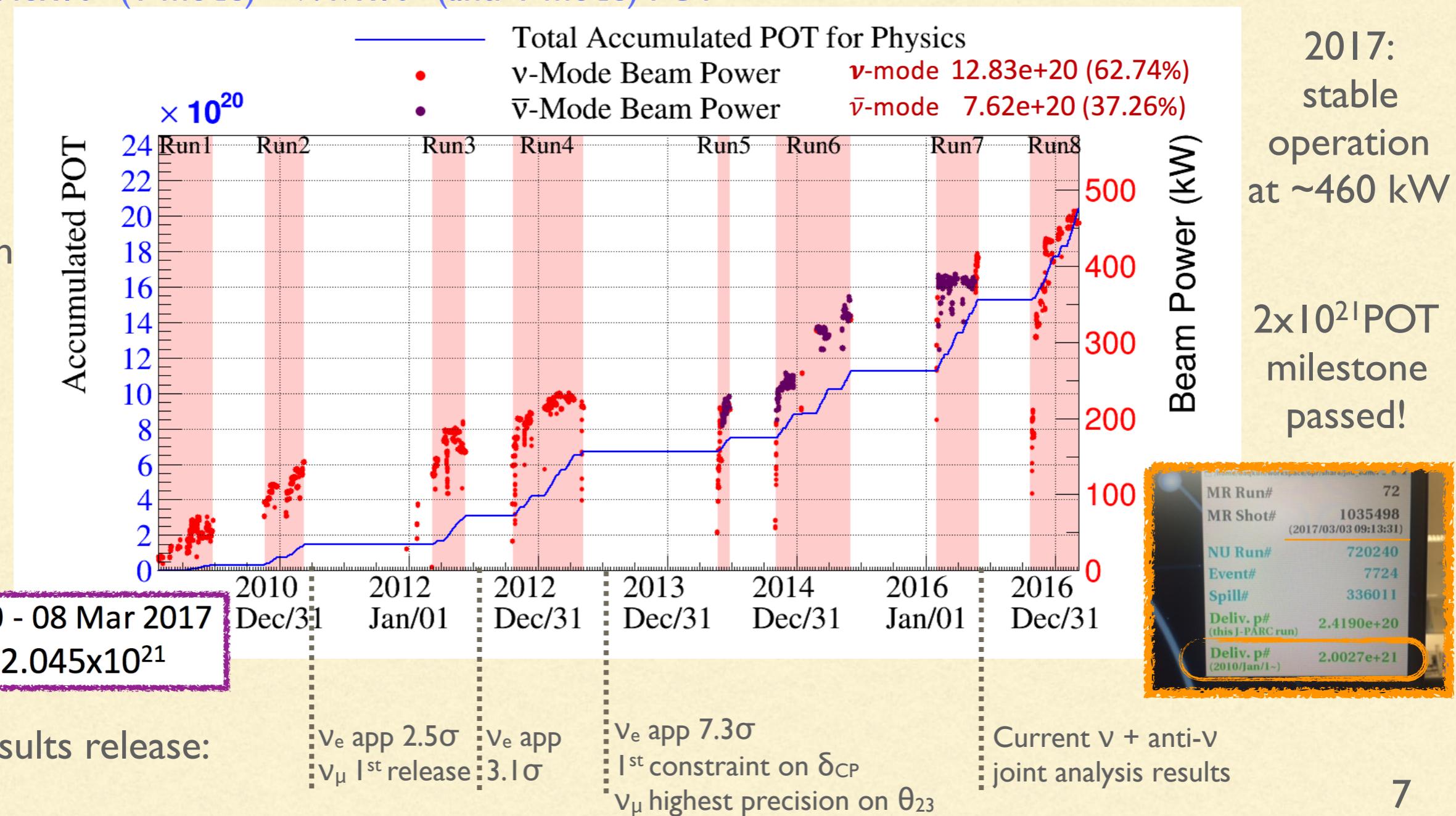
CP PHASE/ CHANNEL	$P(\nu_\mu \rightarrow \nu_e)$	$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$
$\delta_{CP}=-\pi/2$	Enhance	Suppress
$\delta_{CP}=\pi/2$	Suppress	Enhance

At T2K baseline ( $L \sim 295\text{km}$ ,  $E \sim 0.6\text{GeV}$ ):

- CPV:  $\approx \pm 30\%$  effect
- Mass hierarchy:  $\approx \pm 10\%$  effect

# T2K DATA TAKING

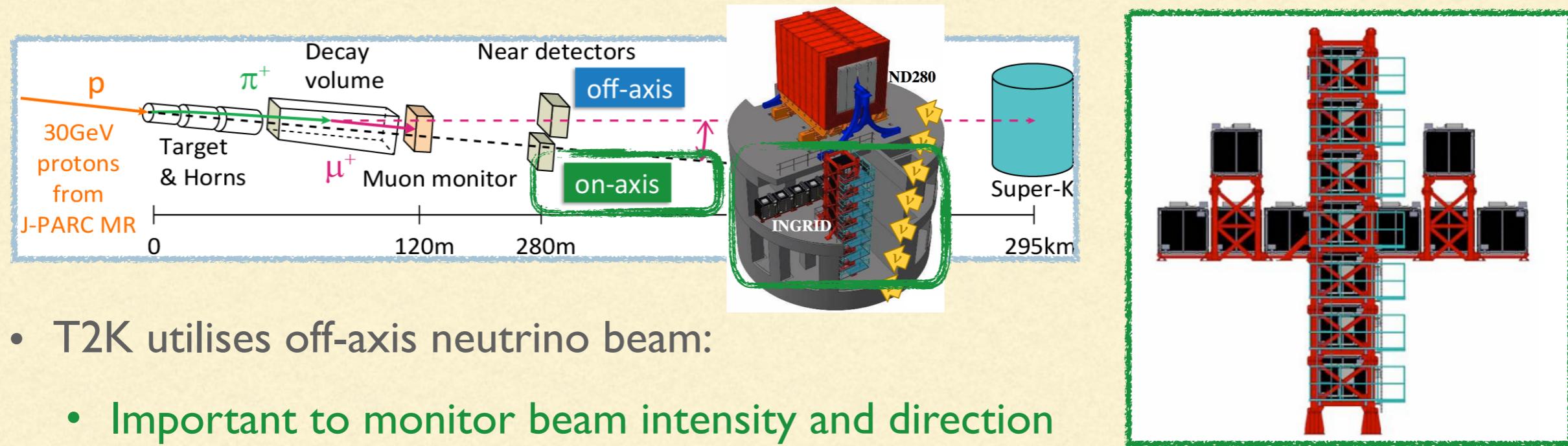
- POT (Protons on Target) for present results (Jan 2010 - May 2016)
    - $7.48 \times 10^{20}$ ( $\nu$  mode) +  $7.47 \times 10^{20}$ (anti- $\nu$  mode) POT



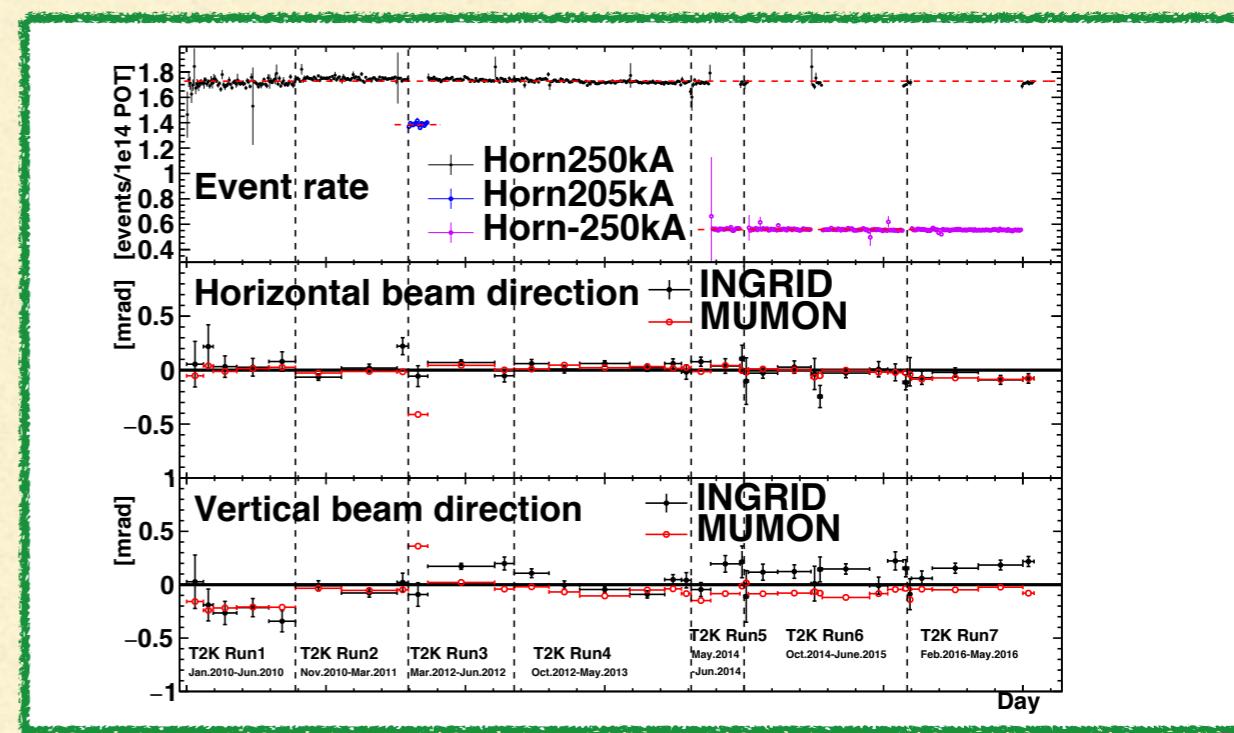
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# PRE-OSCILLATION MEASUREMENTS

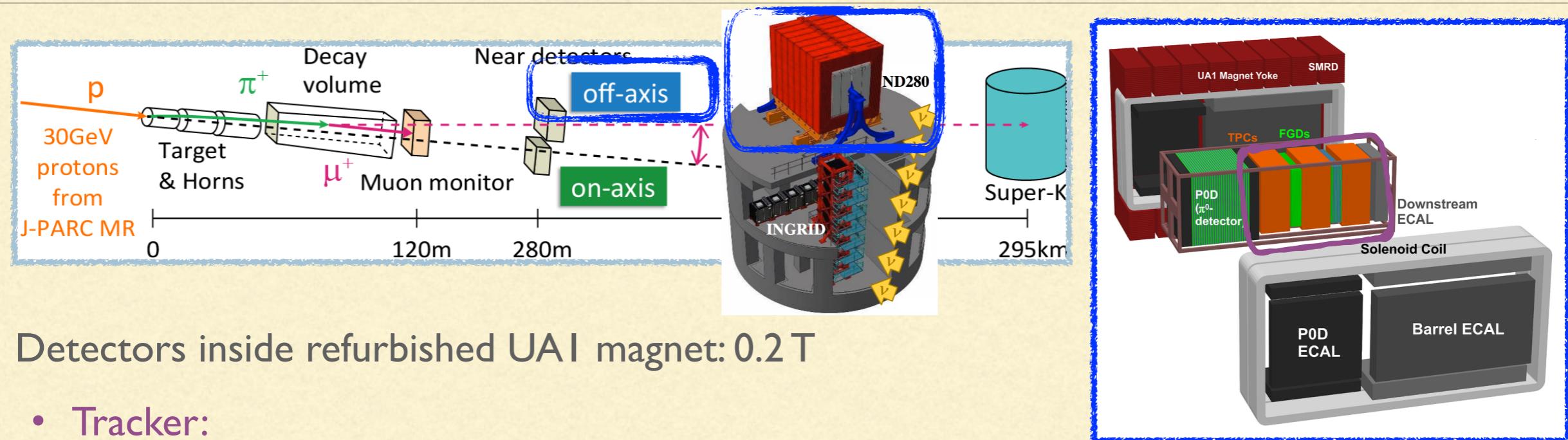
# ON-AXIS NEAR DETECTOR INGRID



- T2K utilises off-axis neutrino beam:
  - **Important to monitor beam intensity and direction**
- Iron/scintillator detector to measure beam profile and rate
- Day-by-day monitoring
- Direction stable within 1 mrad (~2% shift in peak energy)

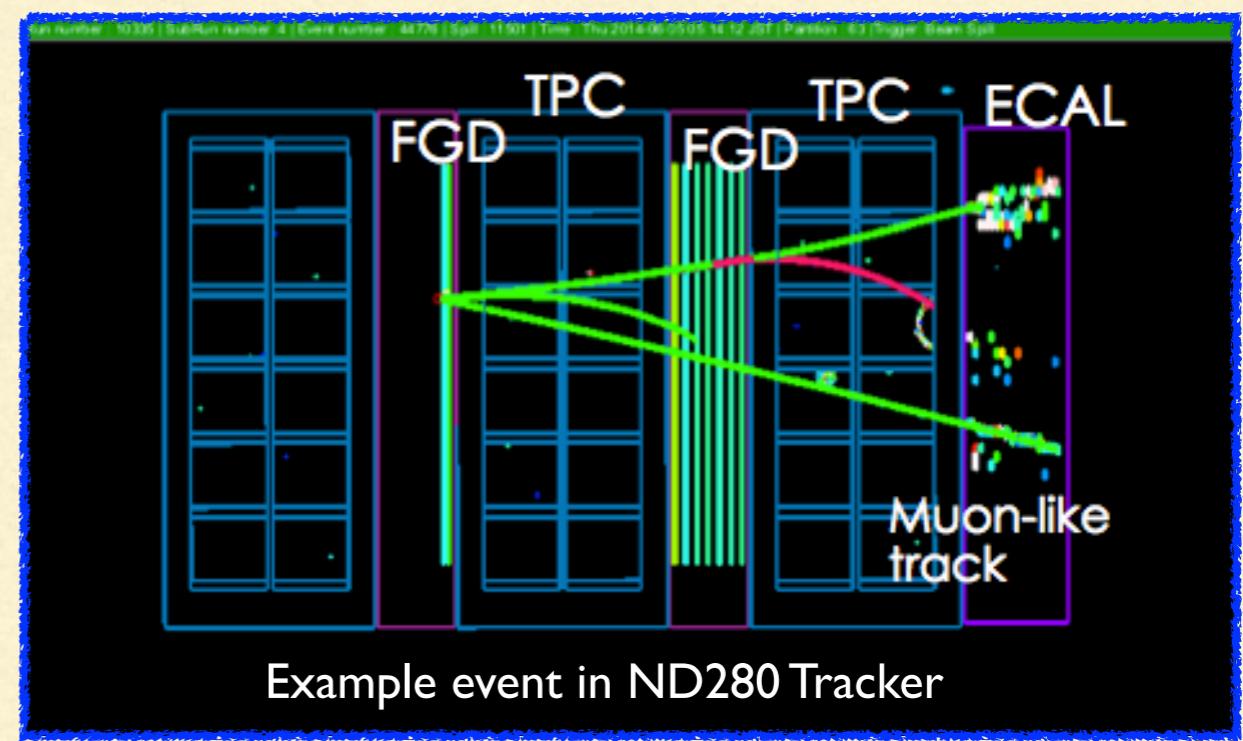


# OFF-AXIS NEAR DETECTOR ND280



- Detectors inside refurbished UA1 magnet: 0.2 T
  - Tracker:
    - 3 Time-Projection Chambers (TPCs)
    - 2 Fine-Grained Detectors (FGDs):
      - scintillator (FGD1)
      - scintillator/water (FGD2)
    - Calorimeters and muon range detectors

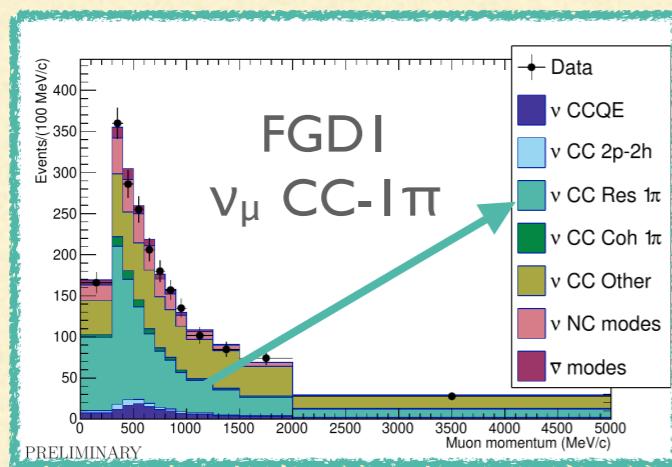
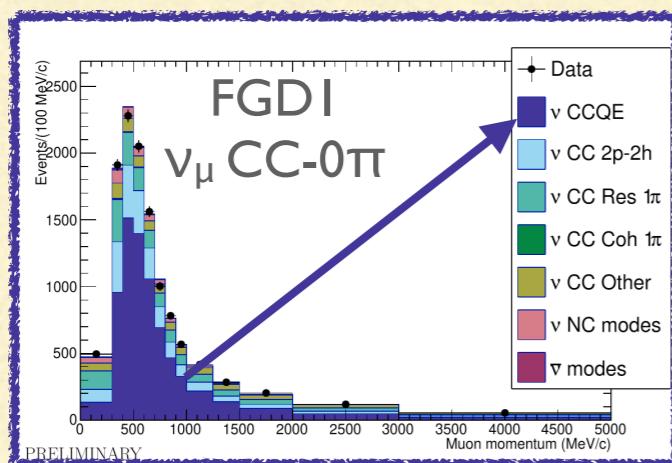
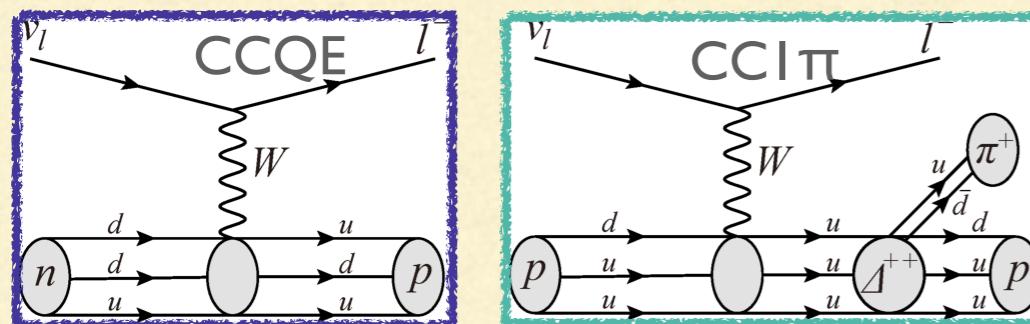
Many studies on neutrino interactions:  
talk by M.Malek on March 16



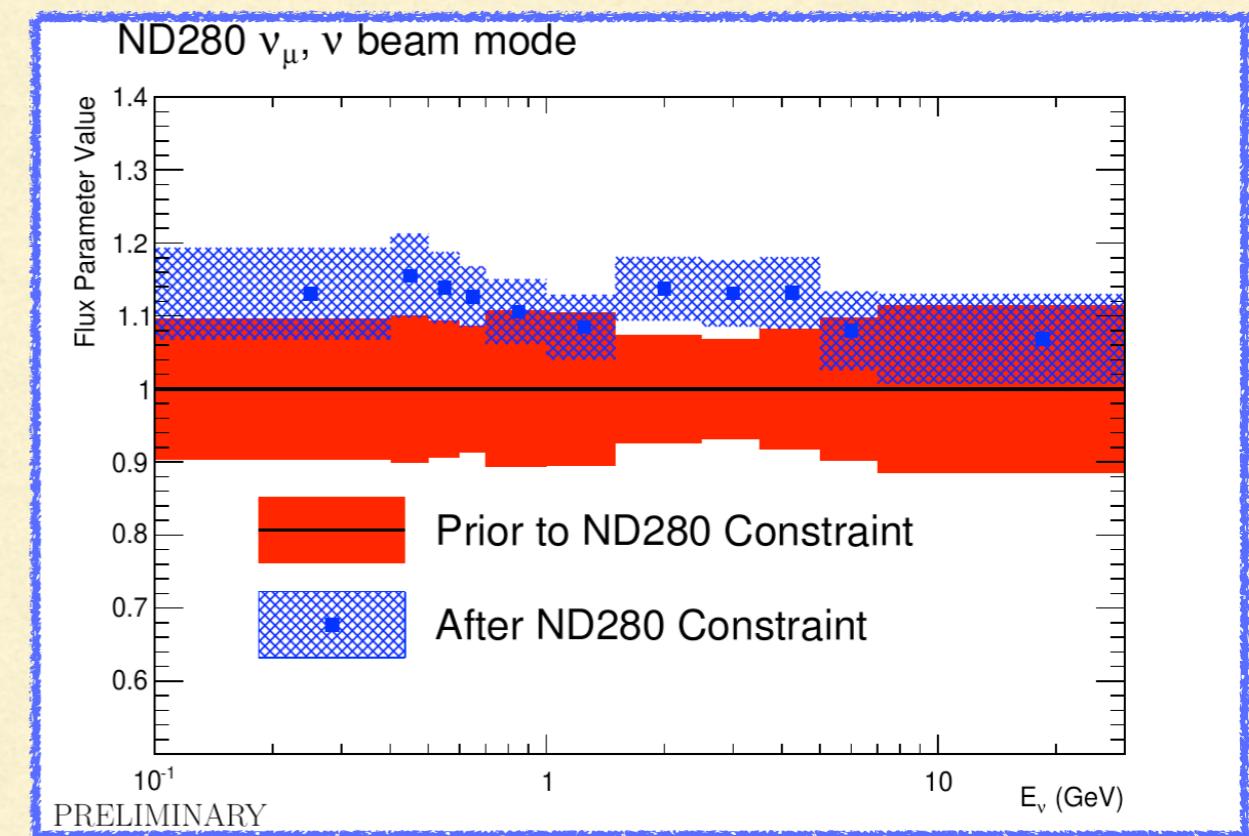
Example event in ND280 Tracker

# ND280 ANALYSIS

## Example samples



- Fit to ND280 samples constrains neutrino flux and cross-section model
- Reduce systematic uncertainty for oscillation analysis:  $\approx 12\% \rightarrow \approx 6\%$

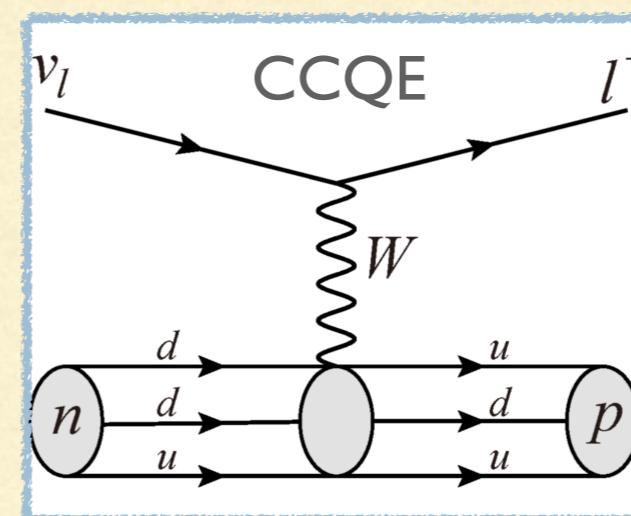
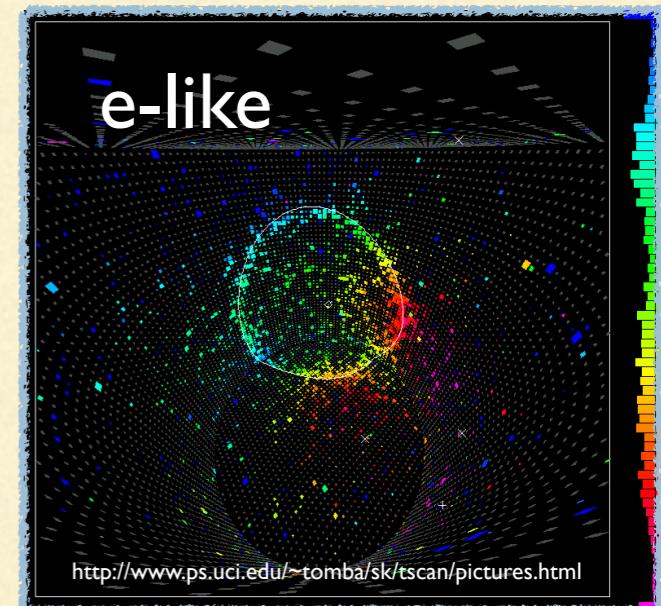
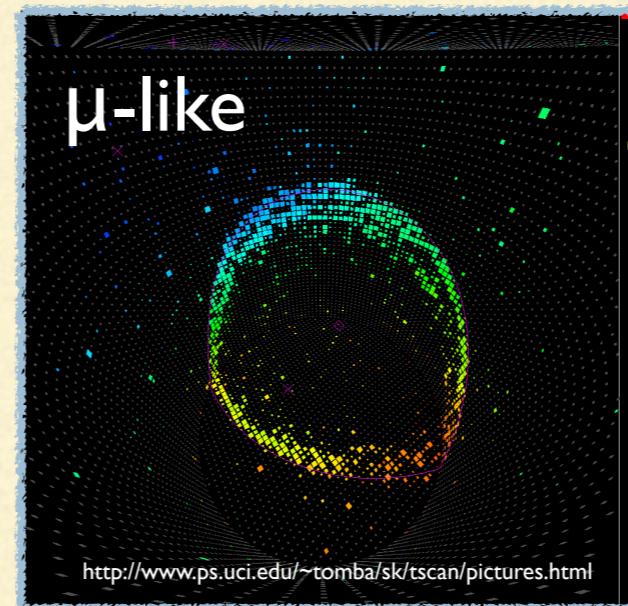


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# POST-OSCILLATION MEASUREMENTS

# POST-OSCILLATION MEASUREMENTS: SUPER-KAMIOKANDE

- 50(22.5 FV) kton water-Cherenkov tank
- Separate e/μ-like rings:
  - <1% misidentified  $\mu$  as e
- $\pi^0$  rejection
- No magnetic field

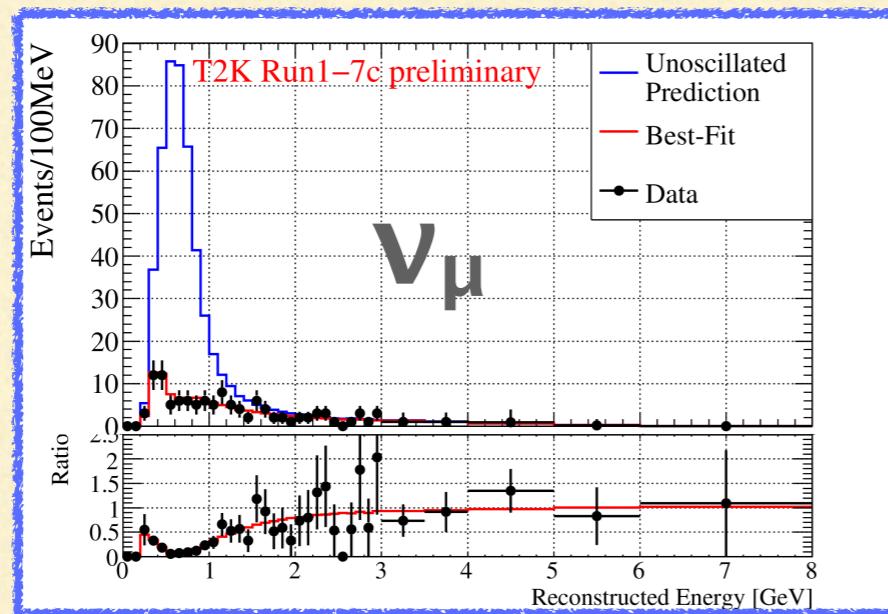


- T2K signal: single ring events
- CCQE kinematics for energy reconstruction
- Neutral current (NC)  $\pi^0$  background for appearance search

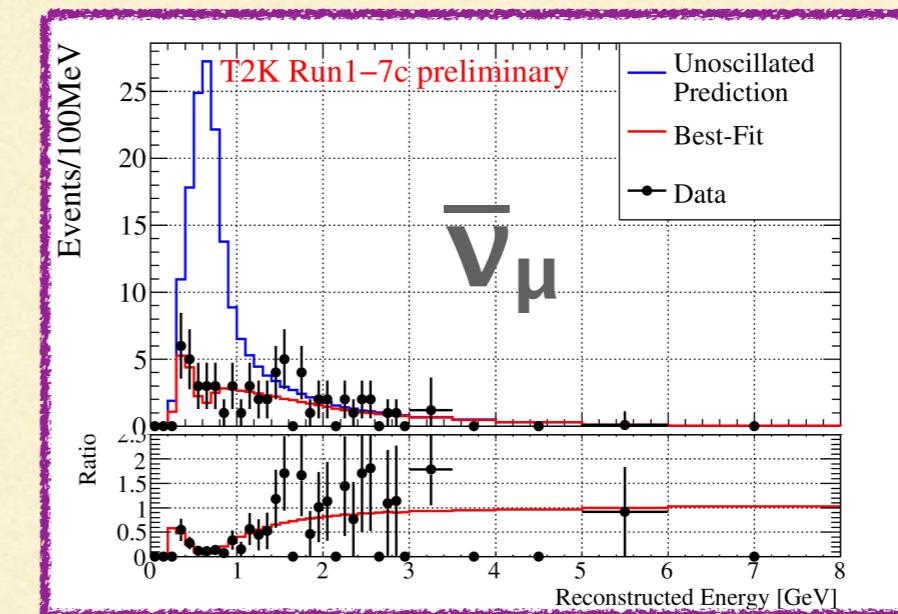
$$E_{CCQE} = \frac{m_p^2 - m_n'^2 + 2m'_n E_l - m_l^2}{2(m'_n - E_\mu + p \cos \theta_\mu)}$$

# SUPER-KAMIOKANDE SAMPLES

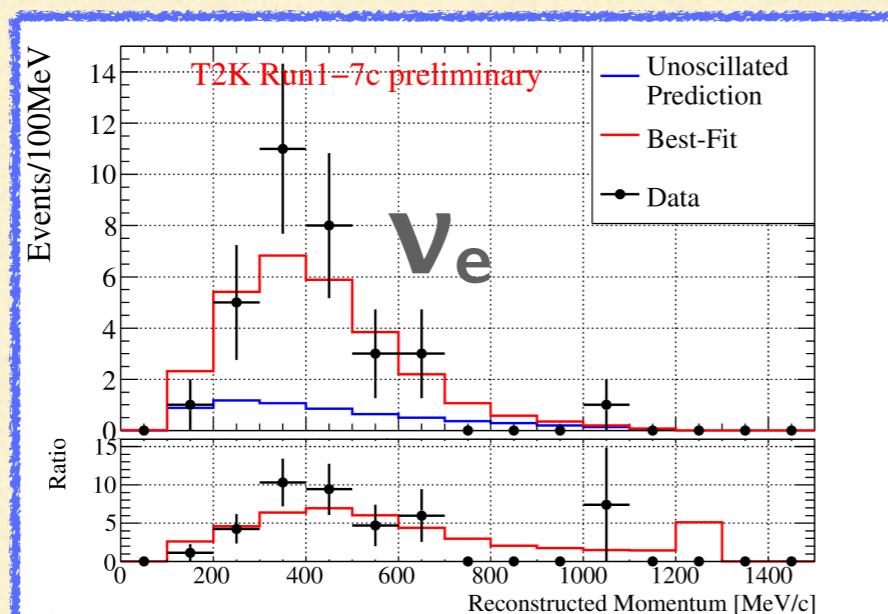
135 events



66 events

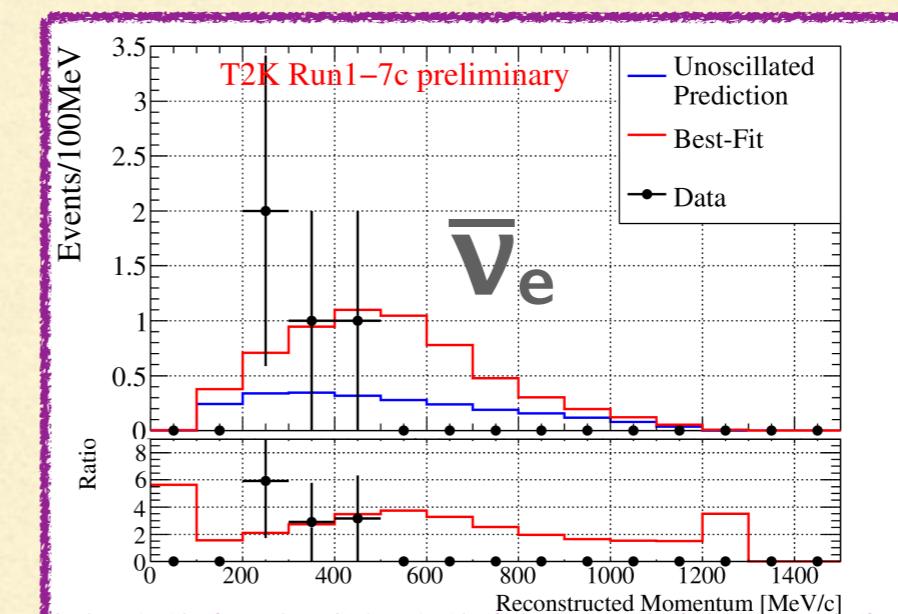


32 events



$\nu$ -mode

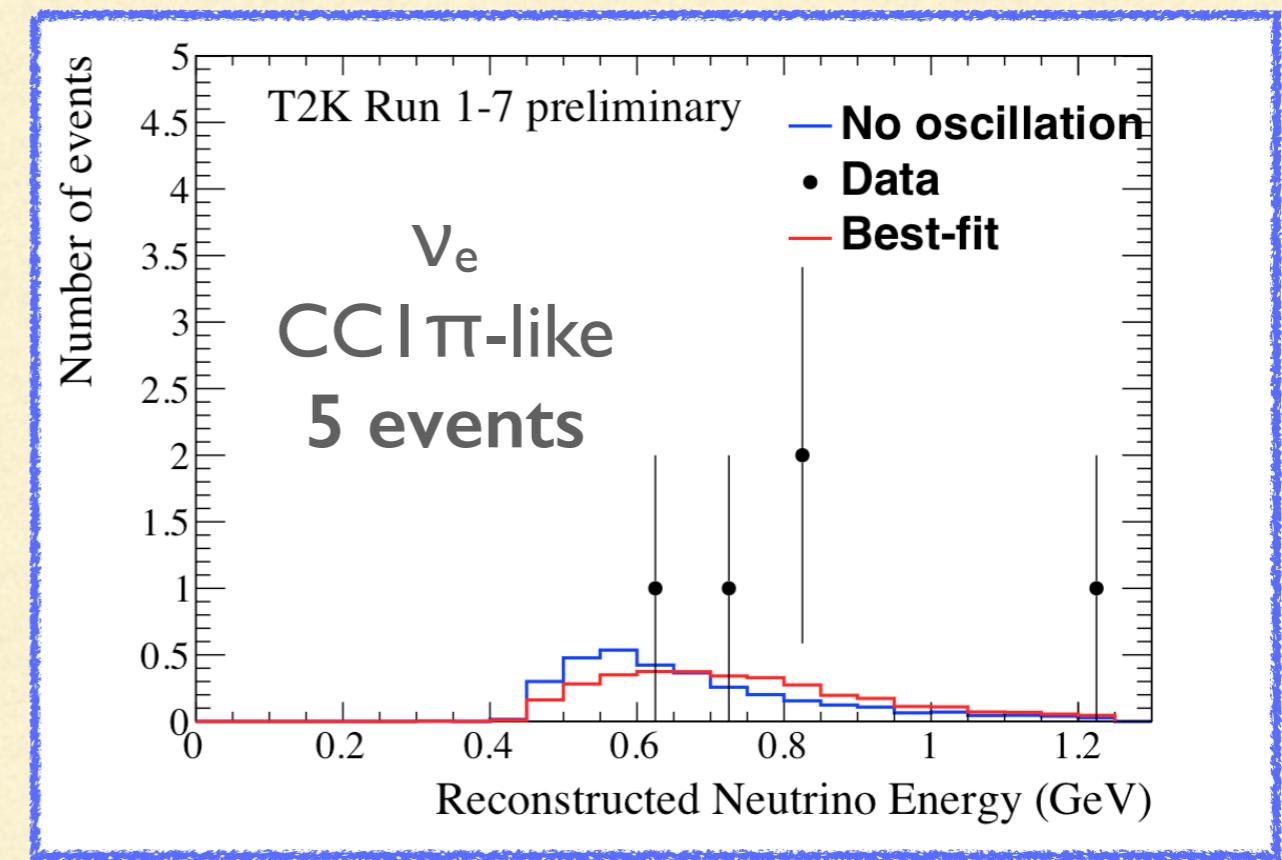
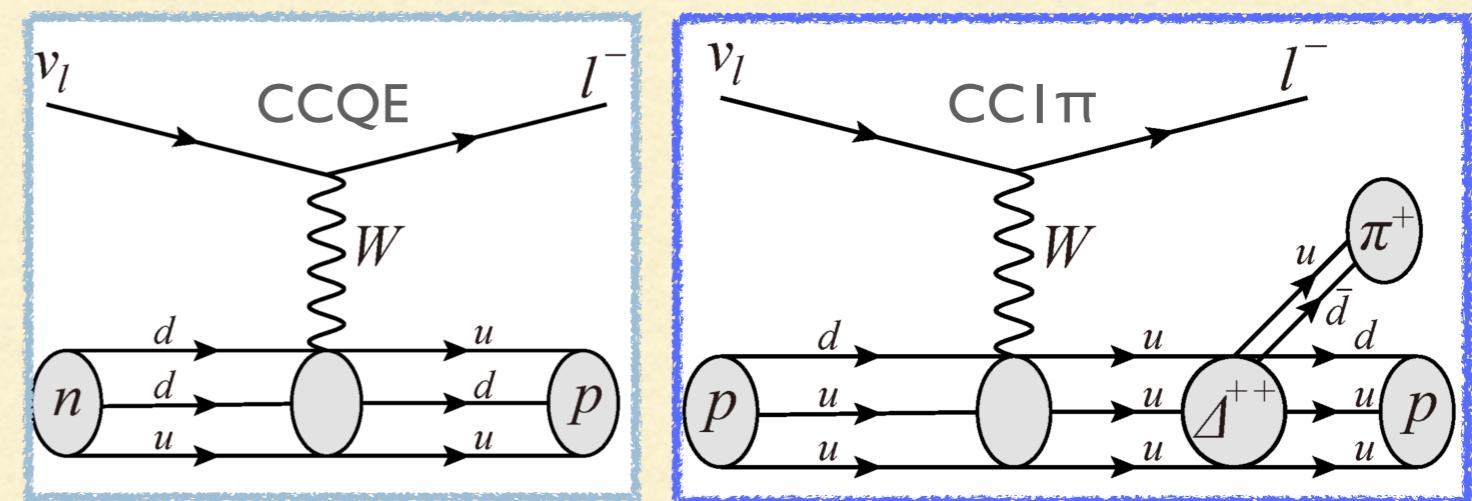
4 events



anti- $\nu$ -mode

# NEW SAMPLE: CC $\mid\pi$

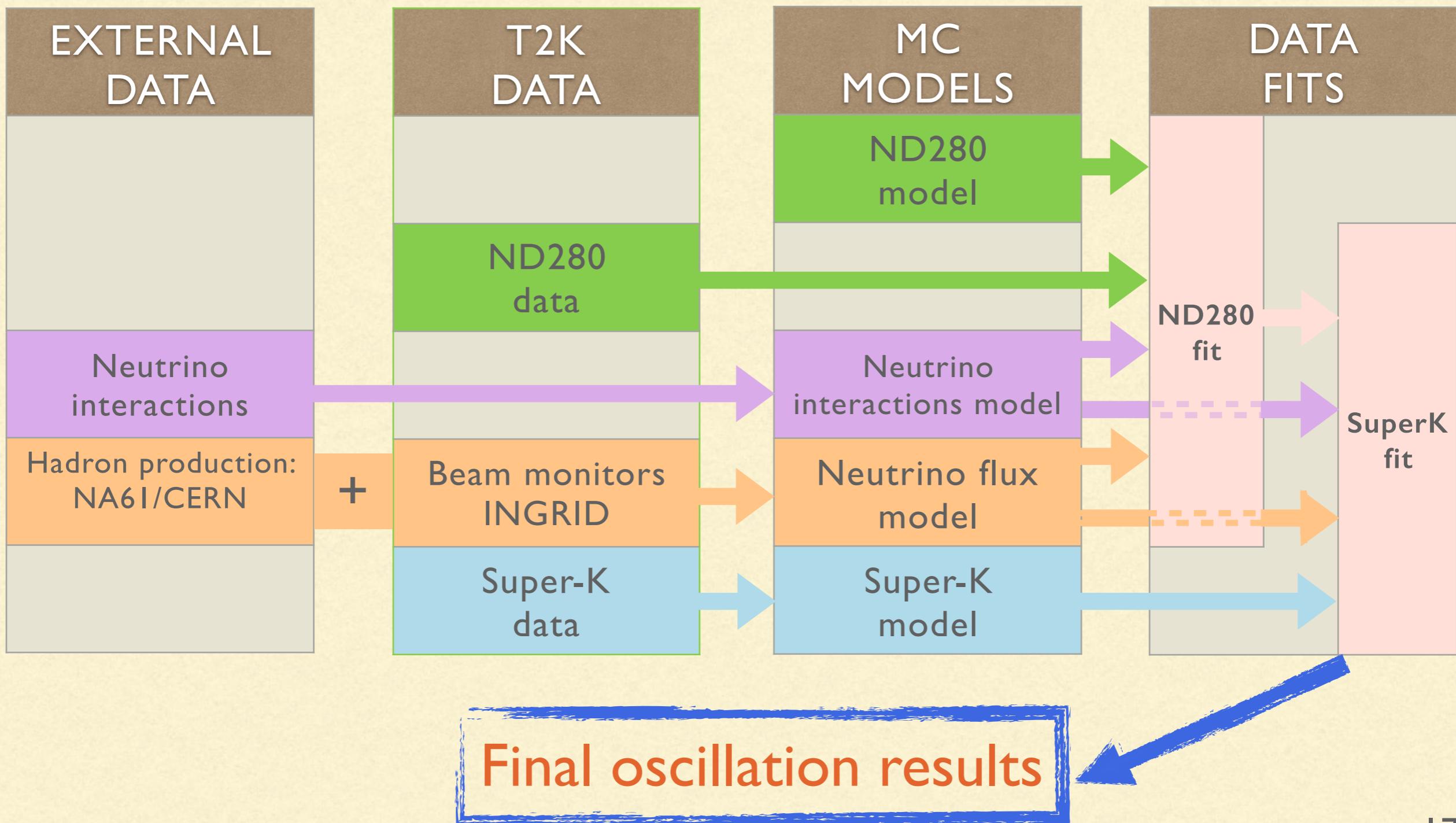
- $\nu_e$  e-like single ring events
- Require additional ring from Michel electron
- Energy with 2-body kinematics with  $\Delta$  baryon
- Applied only for  $\nu$ -mode running
  - Gain  $\sim 10\%$  more statistics
  - 5 events observed
- Total 5 samples available for T2K analysis



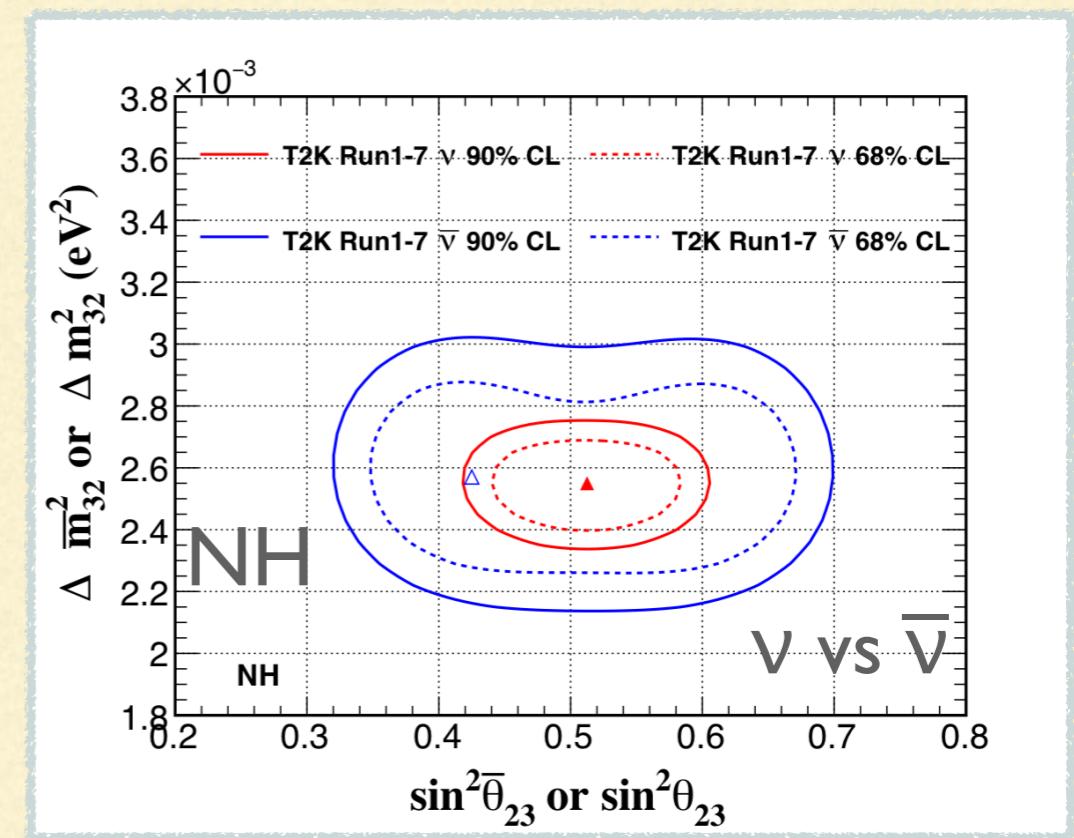
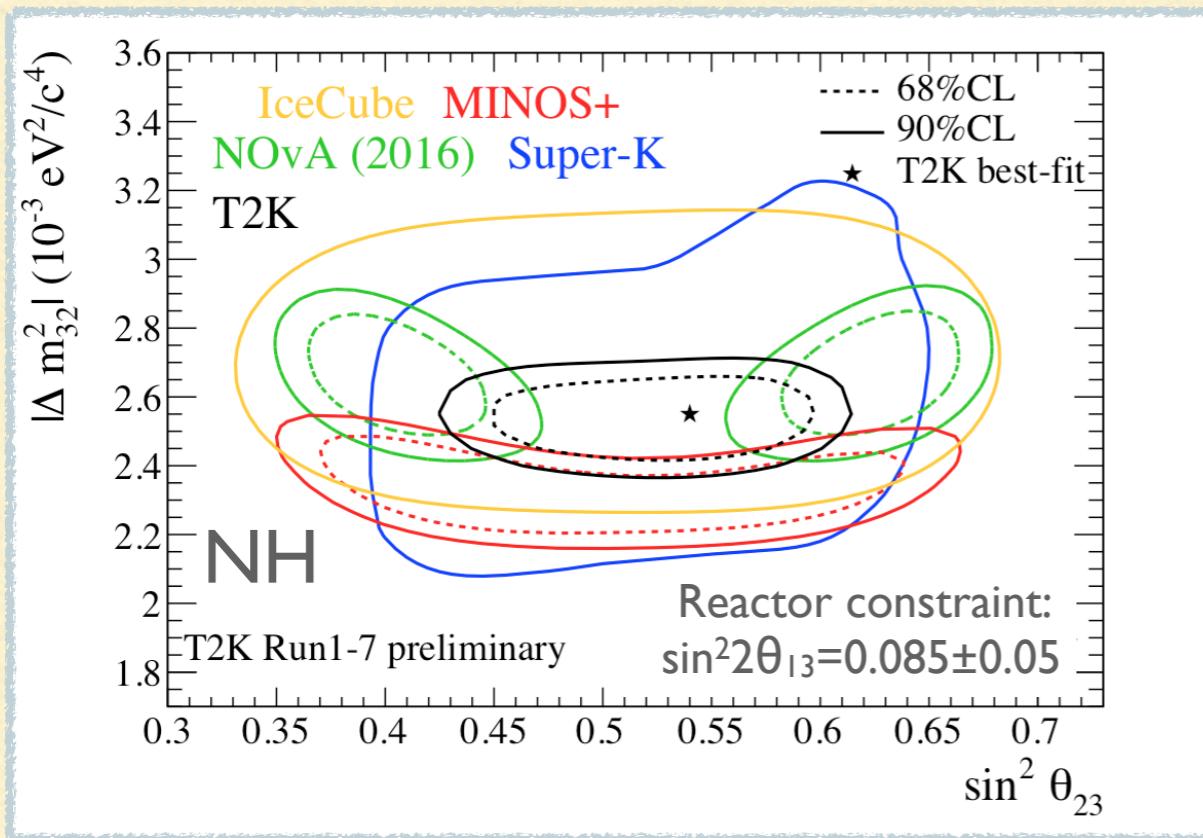
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# T2K ANALYSIS

# T2K ANALYSIS STRATEGY



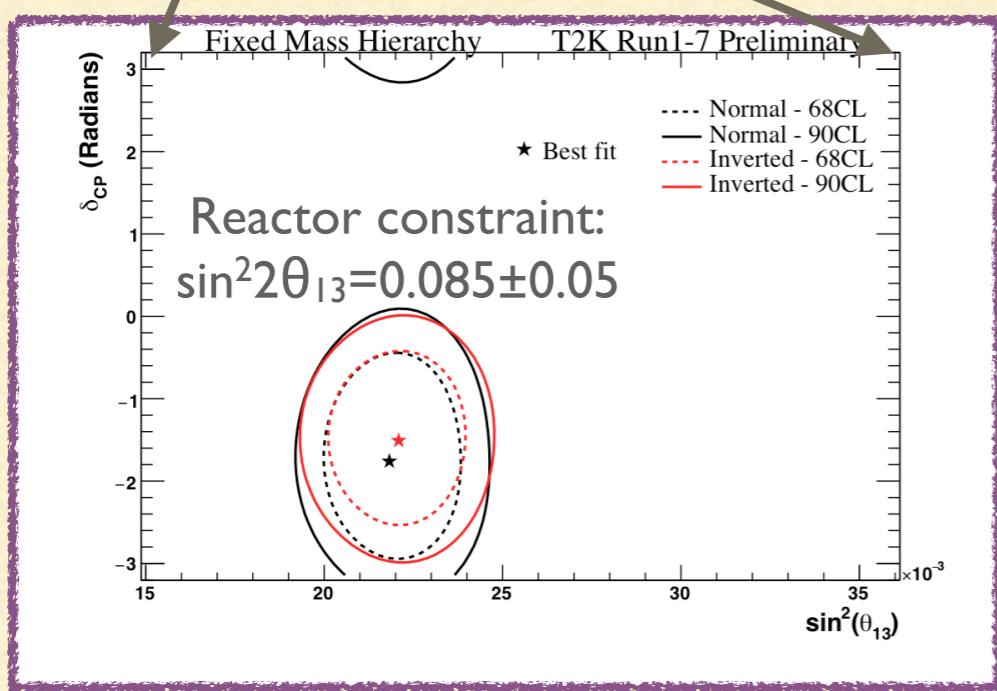
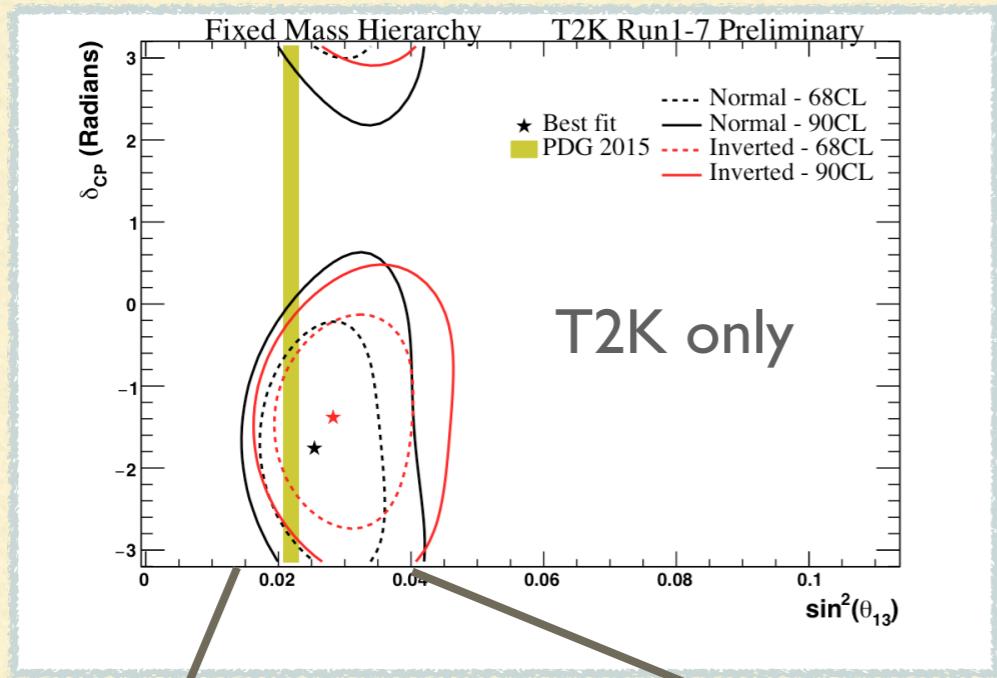
# ANALYSIS RESULTS: $\theta_{23}$ AND $\Delta m^2_{32}$



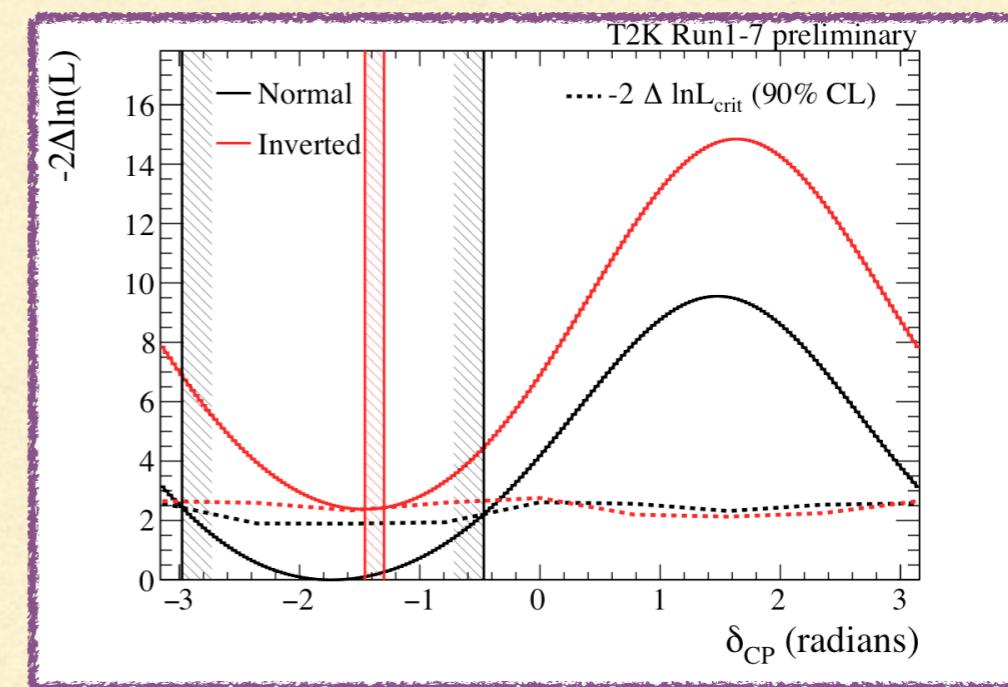
- Joint analysis with reactor constraint
- T2K data consistent with maximal mixing
- Compatible with other experiments
- Consistent results for V and anti-V: CPT conserved

PARAMETER/MASS HIERARCHY	NORMAL MASS HIERARCHY	INVERTED MASS HIERARCHY
$\sin^2 \theta_{32}$	$0.532^{+0.046}_{-0.068}$	$0.534^{+0.043}_{-0.07}$
$ \Delta m^2_{32}  (\times 10^{-3} \text{ eV}^2)$	$2.545^{+0.081}_{-0.084}$	$2.51^{+0.081}_{-0.083}$

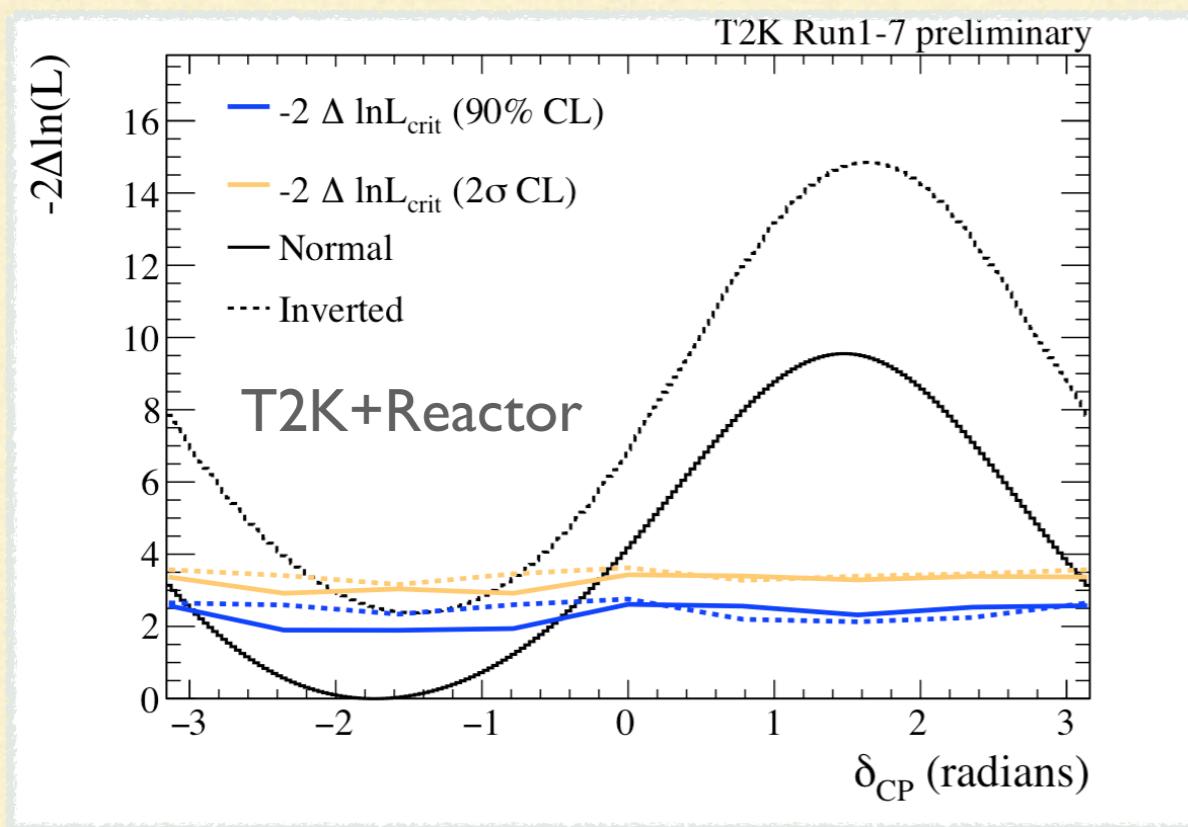
# ANALYSIS RESULTS: $\theta_{13}$ AND $\delta_{CP}$



- Sensitivity to  $\delta_{CP}$  with T2K data only data
- Good agreement with reactor experiments
- 90% C.L. for  $\delta_{CP}$  (radians):
  - Normal mass hierarchy: [-2.948, -0.467]
  - Inverted mass hierarchy: [-1.466, -1.272]



# ANALYSIS RESULTS: $\theta_{13}$ AND $\delta_{CP}$

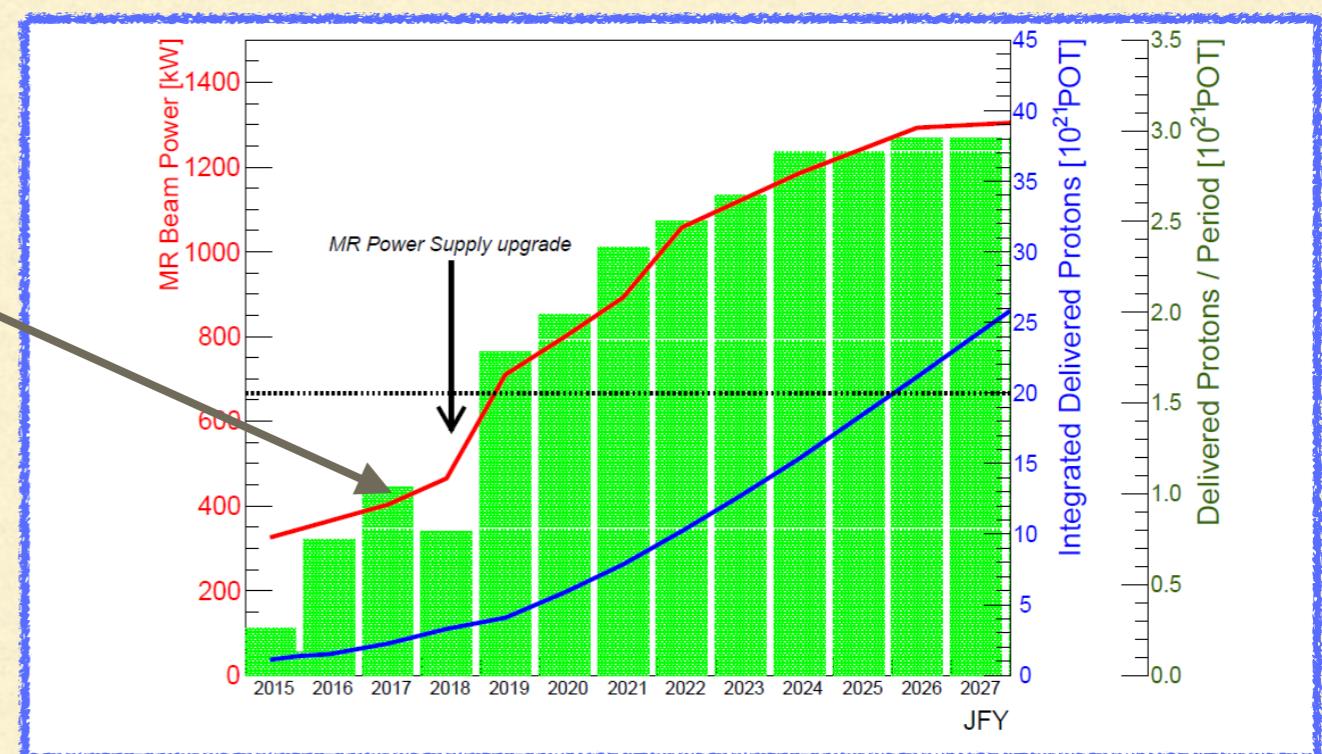
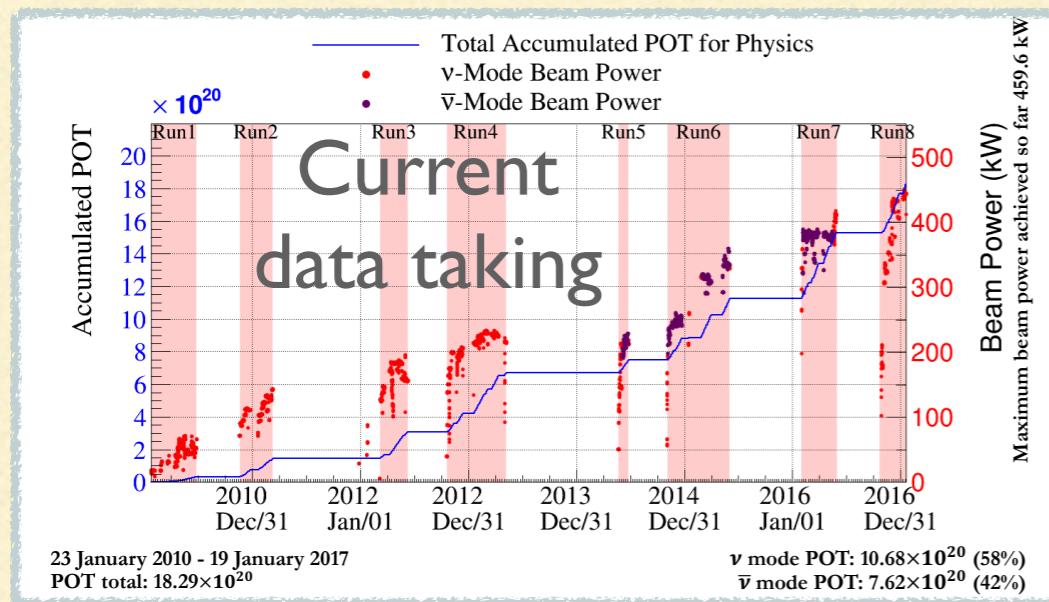


Mass hierarchy	$\nu_e$ -like		$\bar{\nu}_e$ -like	
	Normal	Inverted	Normal	Inverted
$\delta_{CP} = -\pi/2$	28.8	25.5	6.0	6.5
$\delta_{CP} = 0$	24.2	21.2	6.9	7.4
$\delta_{CP} = \pi/2$	19.7	17.2	7.7	8.4
$\delta_{CP} = \pi$	24.2	21.6	6.8	7.4
Data	32		4	

- Exclude  $\sin(\delta_{CP})=0$  at 90% C.L.
- Observed events favour large CPV ( $\delta_{CP} \approx -\pi/2$ ) and normal mass hierarchy
- Data implies more CPV → stronger limits than expected
  - “Statistical fluctuation”? → need further data

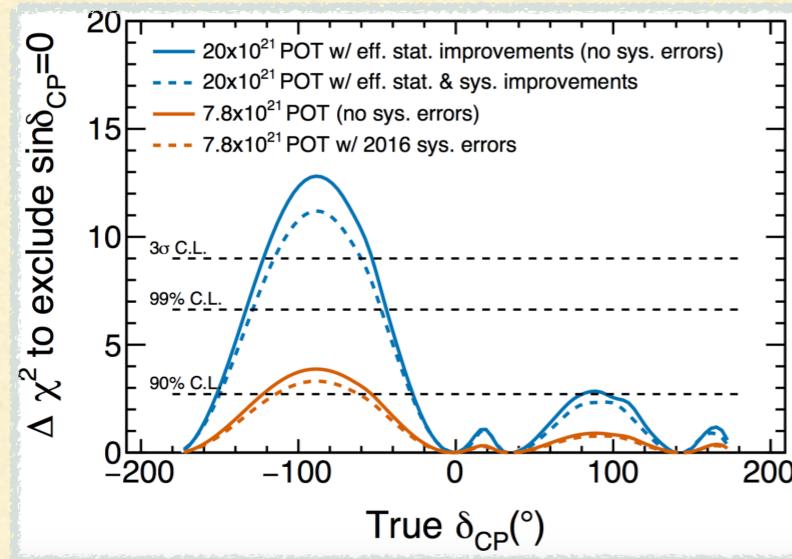
# T2K FUTURE PROSPECTS

- $7.8 \times 10^{21}$  POT approved (expected by ~2021)
  - Gain “effective” statistics: new CC-nonQE, multi-ring samples, expand Super-K FV
  - Decrease systematic uncertainties: new ND280 samples + analysis improvements
  - T2K phase-II proposal to start in ~2021 and run till 2026 (Hyper-Kamiokande time?):
    - Collect  $\sim 20 \times 10^{21}$  POT
    - Increase beam power: 450 kW → 750kW → 1.3 MW
    - Horn current: 250 kA → 320 kA

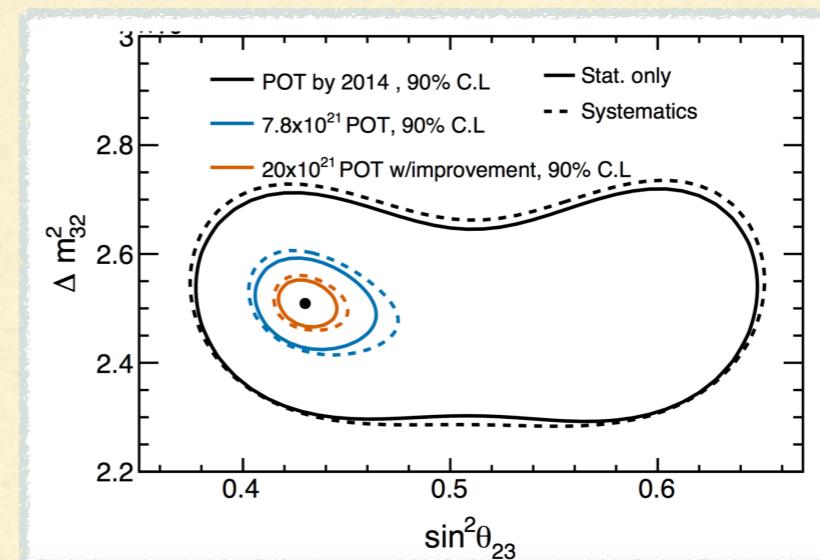
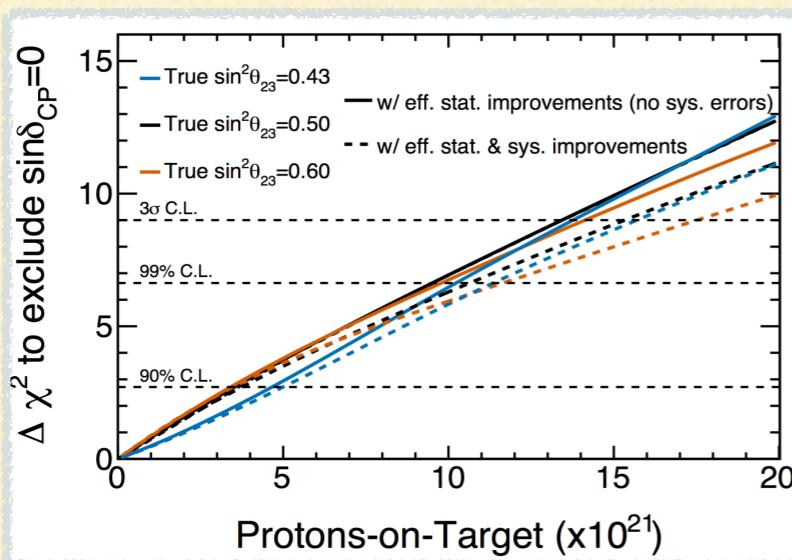
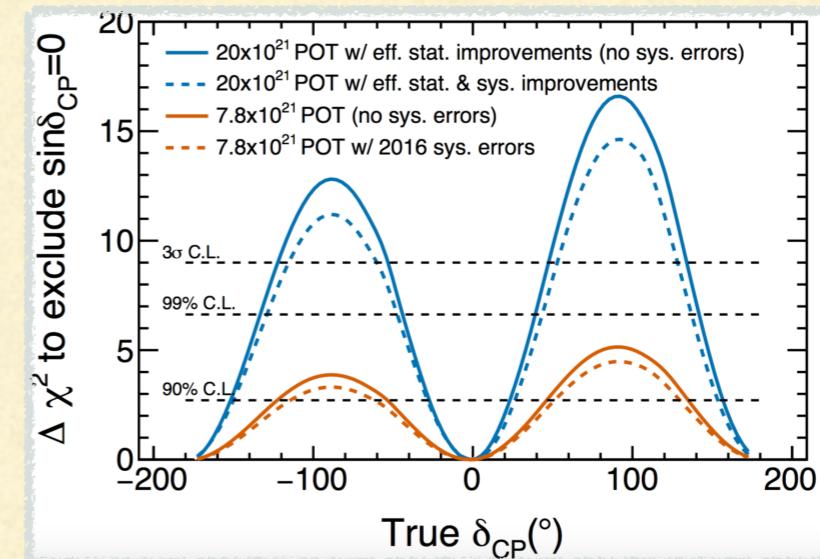


# T2K FUTURE PROSPECTS: SENSITIVITY

Unknown  
MH



Known  
MH

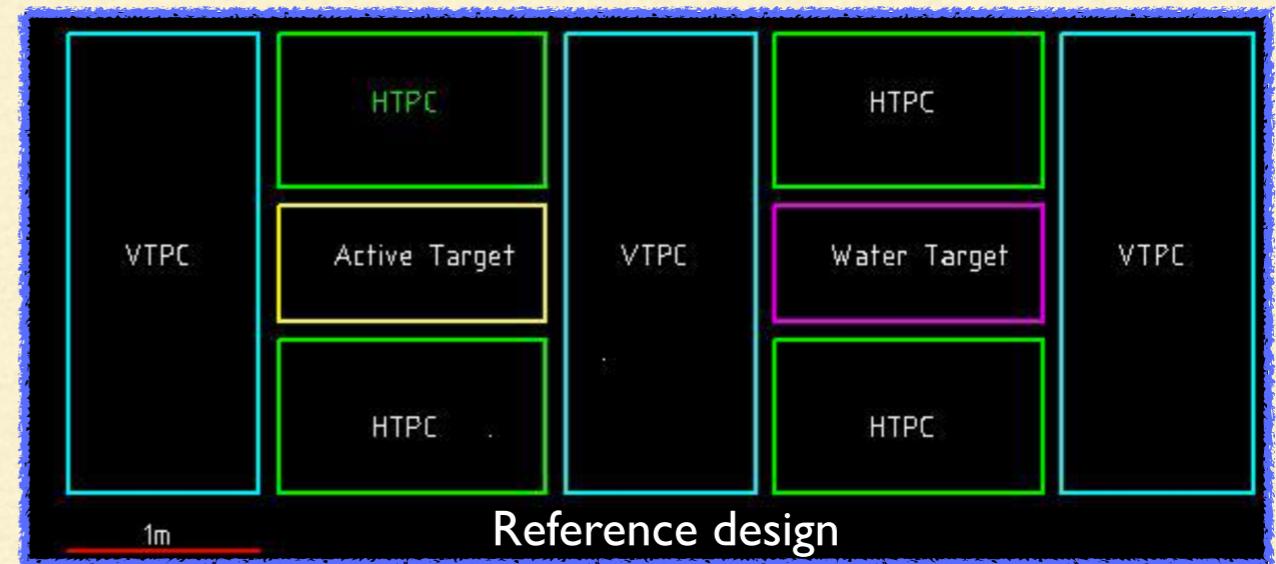
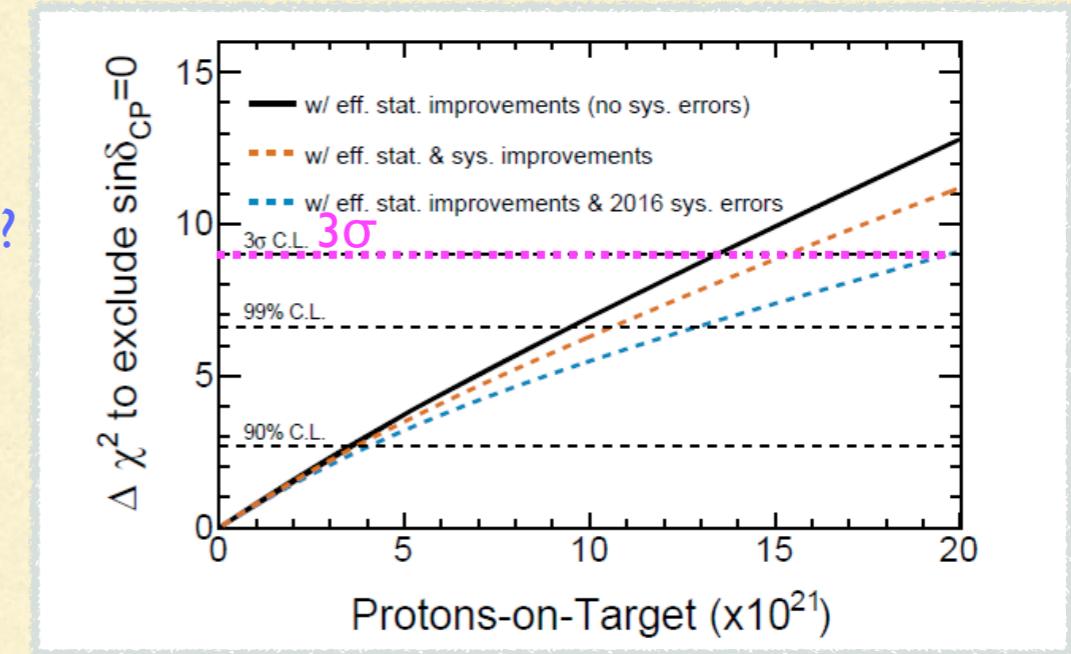


- Exclude no-CPV at more than  $3\sigma$  level for  $\delta_{CP} = -\pi/2$  and NH.
- Measure  $\theta_{23}$  with resolution of  $\lesssim 1.7^\circ$

# T2K FUTURE PROSPECTS: NEAR DETECTOR UPGRADE

- Reduction of systematic uncertainties is crucial
  - $\sim 18\% \text{ (2011)} \rightarrow \sim 9\% \text{ (2014)} \rightarrow \sim 6\% \text{ (2016)} \rightarrow 4\% \text{ (2020..)?}$
- ND280 measurements are important

- Possible upgrade of T2K near detector: target date  $\sim 2020$
- New design (work on-going):
  - improve acceptance
  - increase mass of water target
- Test detectors in J-PARC for target design:
  - INGRID water module (2016)
  - WAGASCI/Baby-MIND (from 2017)



Existing TPCs, New TPCs, Targets (Water) +  
surrounding calorimeters

# SUMMARY

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- T2K is working steadily on its quest on filling neutrino puzzle
- With  $\simeq 15 \times 10^{20}$  POT ( $\sim 20\%$  expected statistics):
  - Very precise measurements of  $\sin^2 2\theta_{23}$  and  $\Delta m^2_{32}$
  - Consistent disappearance results for neutrino and antineutrino: no CPTV
  - Measurement of  $\sin^2 \theta_{13}$  in agreement with reactor data
  - First! exclusion of CP-conservation at 90% C.L.
- Short term plans:
  - Continuous data taking with beam power increase to 750 kW
  - New analysis samples in far and near detectors to increase statistics and improve systematics
- T2K Phase-II proposed, extended run for  $\sim 2020-2026$ . Smooth transition to Hyper-K time
  - Accumulate  $20 \times 10^{21}$  POT to reach  $3\sigma$  exclusion of non-CPV for certain  $\delta_{CP}$  and MH
  - Accelerator upgrade to reach 1.3 MW
  - Possible near detector upgrade to further reduce systematic uncertainties



Thank You

~500 members, 63 Institutes, 11 countries



## Italy

## T2K Experiment International Collaboration

### Canada

TRIUMF

U. B. Columbia

U. Regina

U. Toronto

U. Victoria

U. Winnipeg

York U.

### France

CEA Saclay

IPN Lyon

LLR E. Poly.

LPNHE Paris

### Germany

Aachen

INFN, U. Bari

INFN, U. Napoli

INFN, U. Padova

INFN, U. Roma

### Japan

ICRR Kamioka

ICRR RCCN

Kavli IPMU

KEK

Kobe U.

Kyoto U.

Miyagi U. Edu.

Okayama U.

Osaka City U.

Tokyo Institute of Tech

Tokyo Metropolitan U.

U. Tokyo

Tokyo U. of Science

Yokohama National U.

### Poland

IFJ PAN, Cracow

NCBJ, Warsaw

U. Silesia, Katowice

U. Warsaw

Warsaw U. T.

Wroclaw U.

### Russia

INR

### Spain

IFAE, Barcelona

IFIC, Valencia

U. Autonoma Madrid

### Switzerland

U. Bern

U. Geneva

### United Kingdom

Imperial C. London

Lancaster U.

Oxford U.

Queen Mary U. L.

Royal Holloway U.L.

STFC/Daresbury

STFC/RAL

U. Liverpool

U. Sheffield

U. Warwick

### USA

Boston U.

Colorado S. U.

Duke U.

Louisiana State U.

Michigan S.U.

Stony Brook U.

U. C. Irvine

U. Colorado

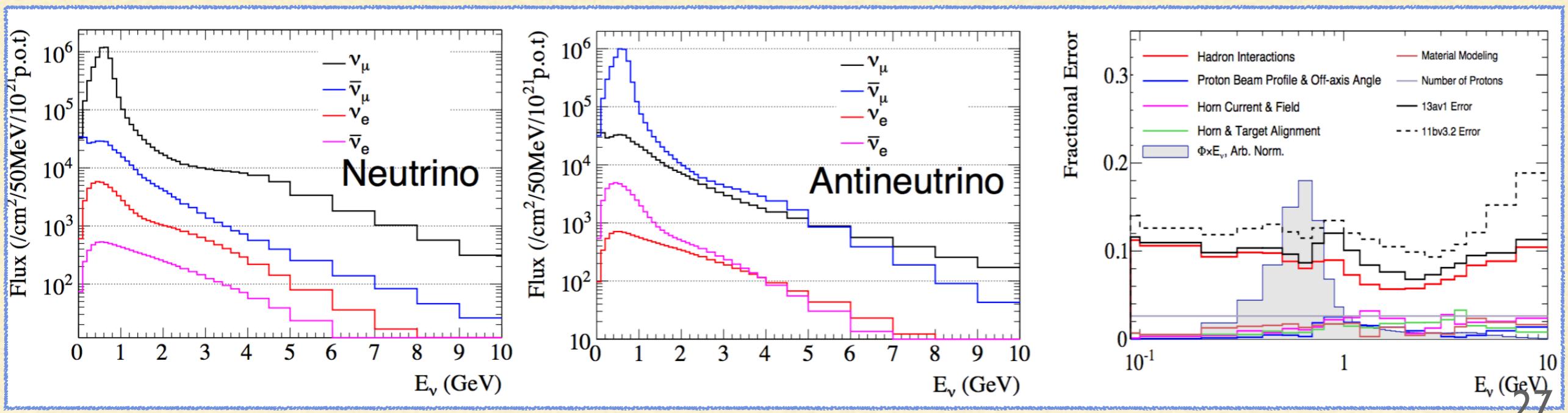
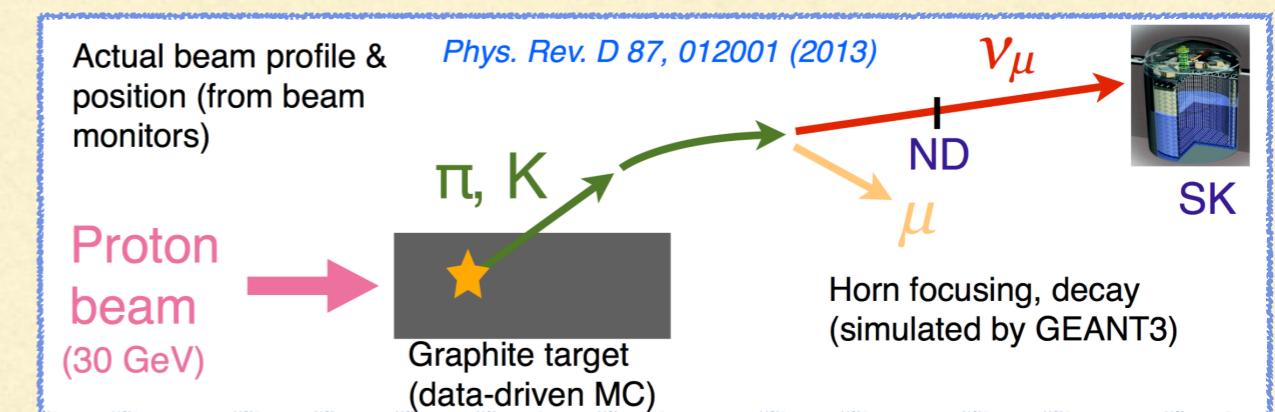
U. Pittsburgh

U. Rochester

U. Washington

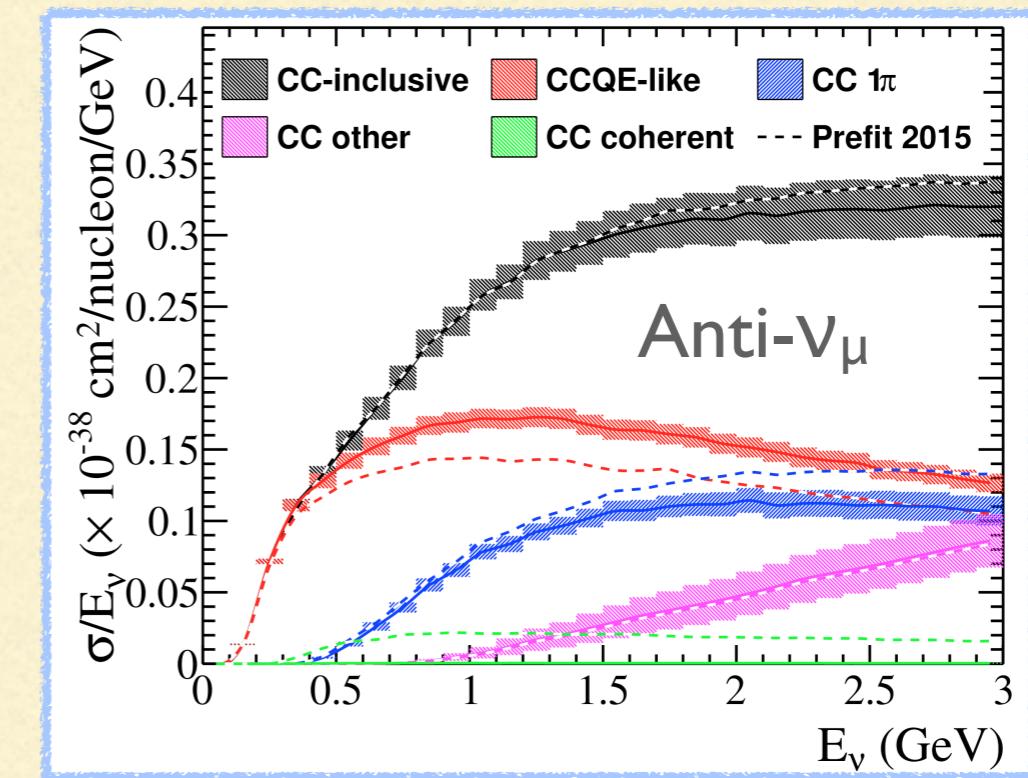
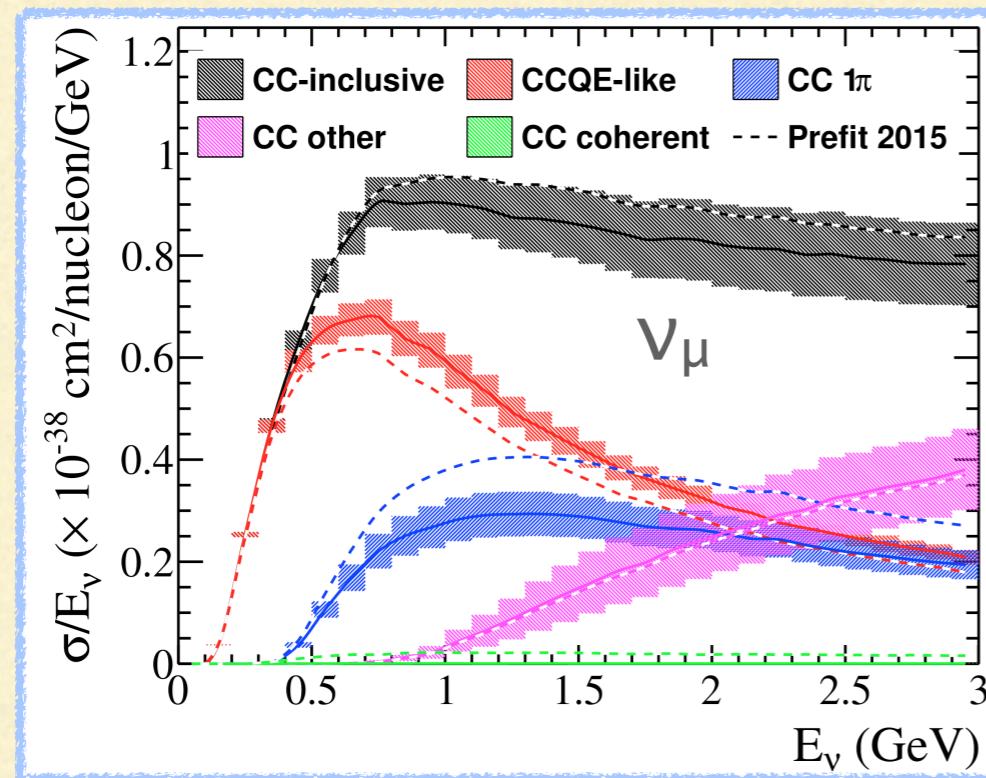
# T2K NEUTRINO FLUX PREDICTION

- Simulation: FLUKA, GCALOR and GEANT3
- Tuned to external data: NA61/SHINE (CERN)
  - Measurement of pion/kaon production of 31 GeV/c proton beam with carbon target
  - Thin target ( $4\%\lambda$ ) and T2K replica target
  - Reduction of uncertainties:  $\sim 30\%$  to  $\sim 10\%$
- Intrinsic  $\nu_e$  background at  $\sim 0.5\%$  level



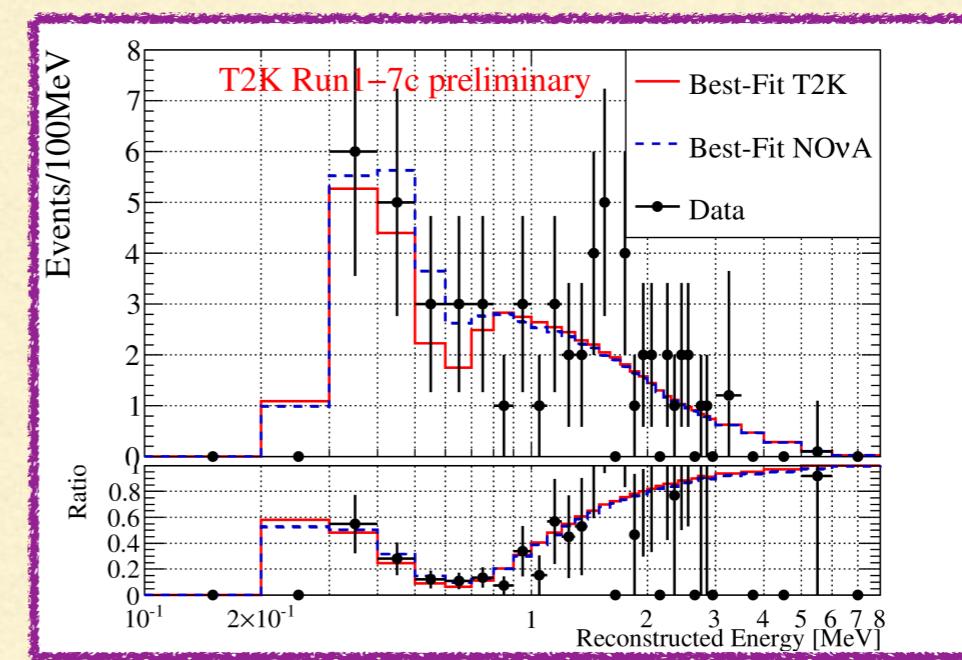
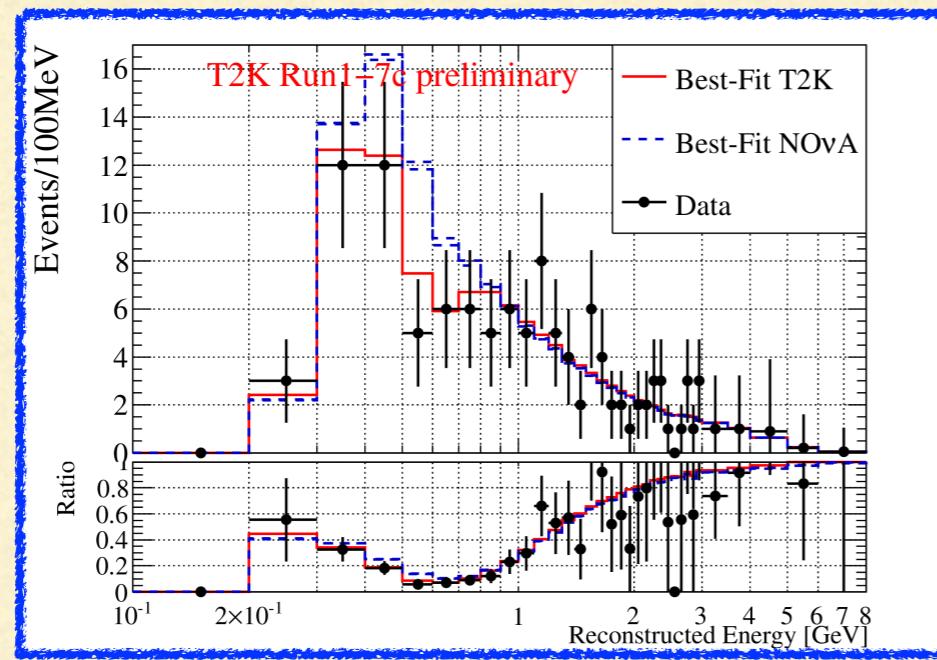
# T2K NEUTRINO INTERACTION MODEL

- NEUT neutrino generator
- Model tuned to external data: MiniBooNE, MINERvA, bubble chambers`
- CCQE: Relativistic Fermi Gas + relativistic Random Phase Approximation for nuclear system
- Multinucleon processes (2p2h) included based on J.Nieves model, Phys. Lett. B 707, 72 (2012)
- Pre-(ND280)fit uncertainty for Super-K: ~7.5%

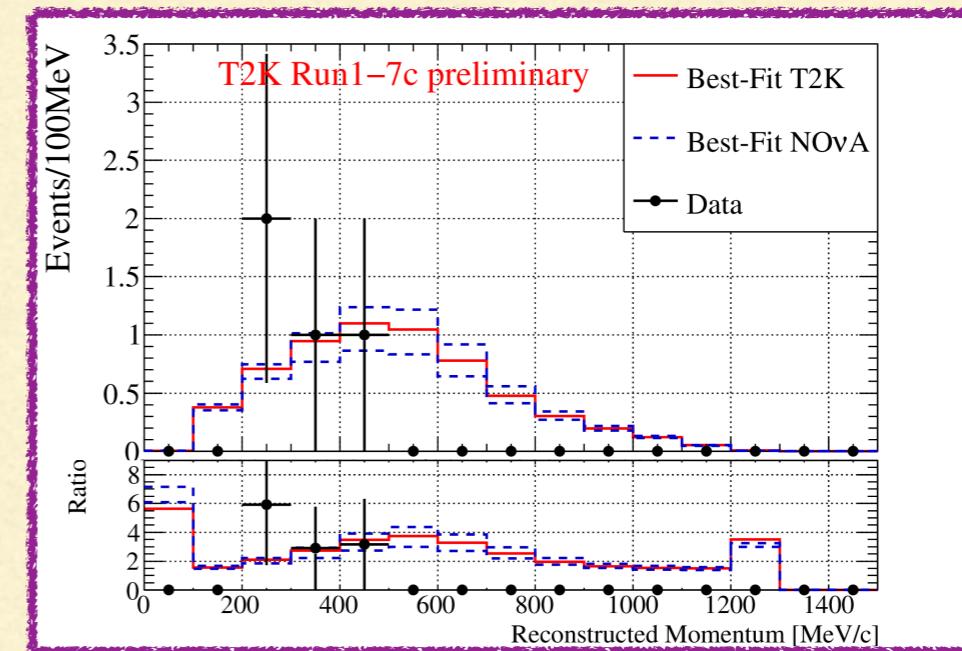
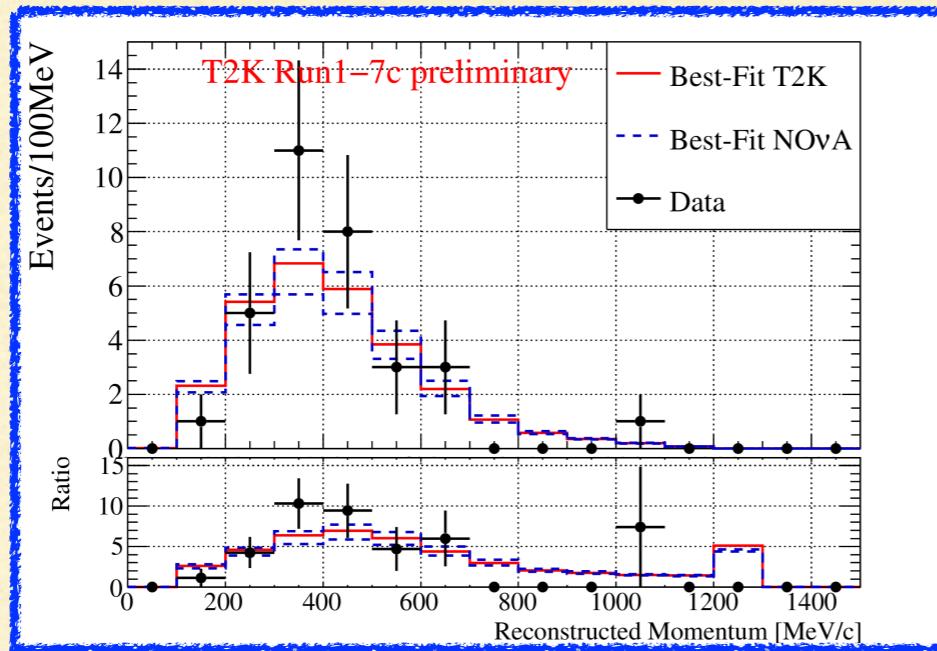


# COMPARISON WITH NOVA BEST-FIT

$\nu_\mu$   
 $\mu$ -like



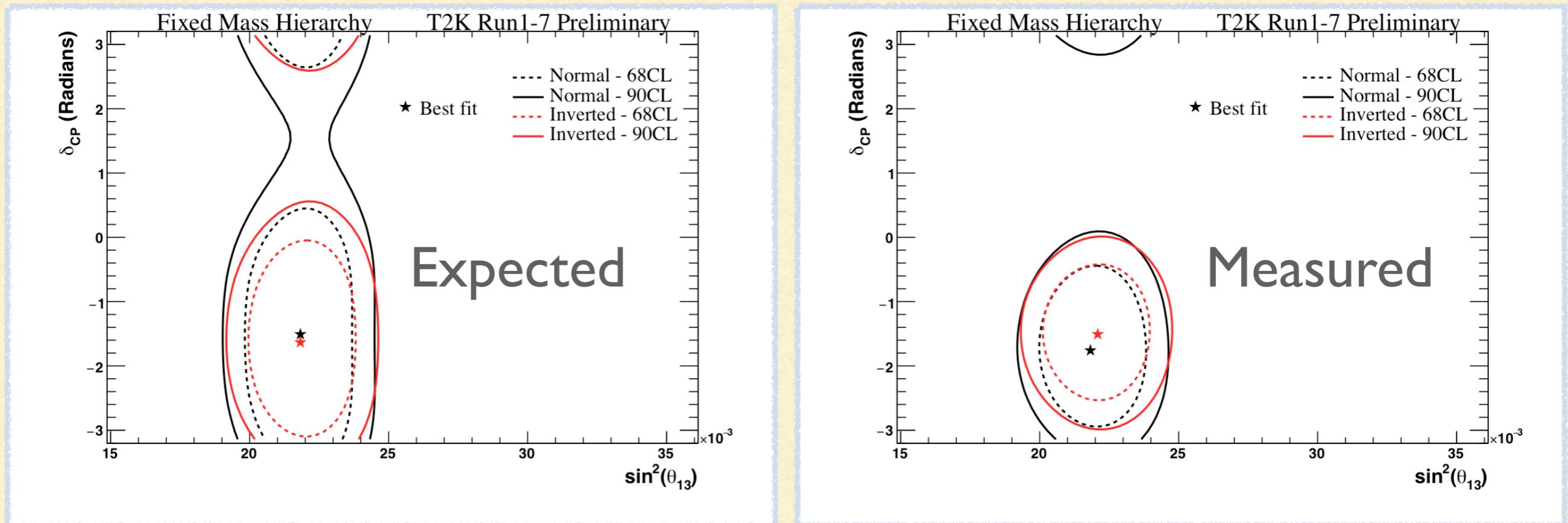
$\nu_e$   
e-like



$\nu$ -mode

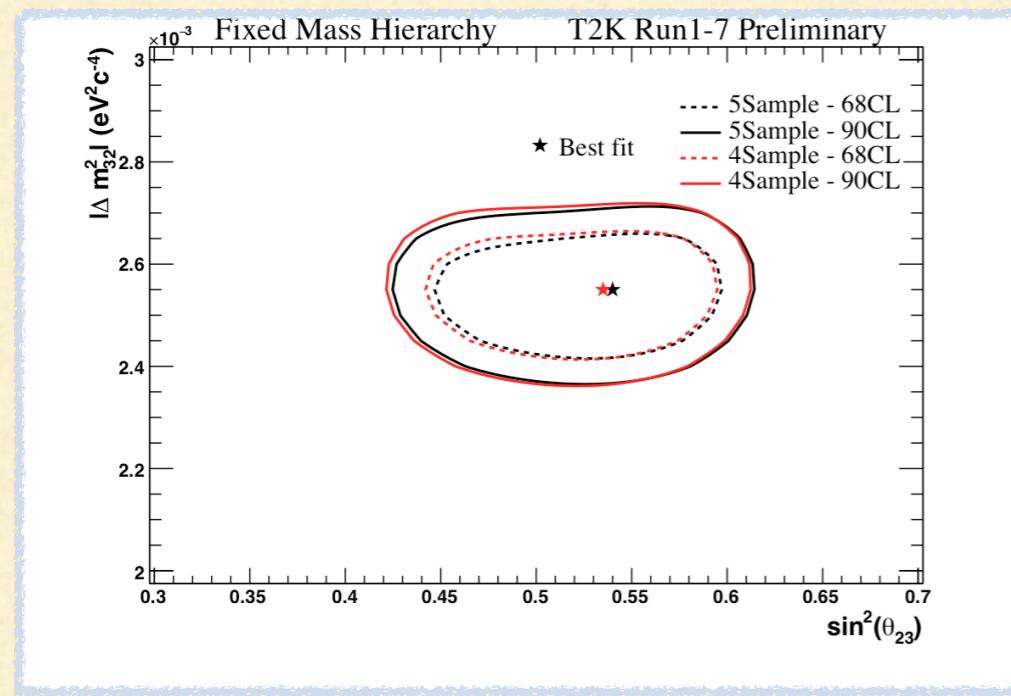
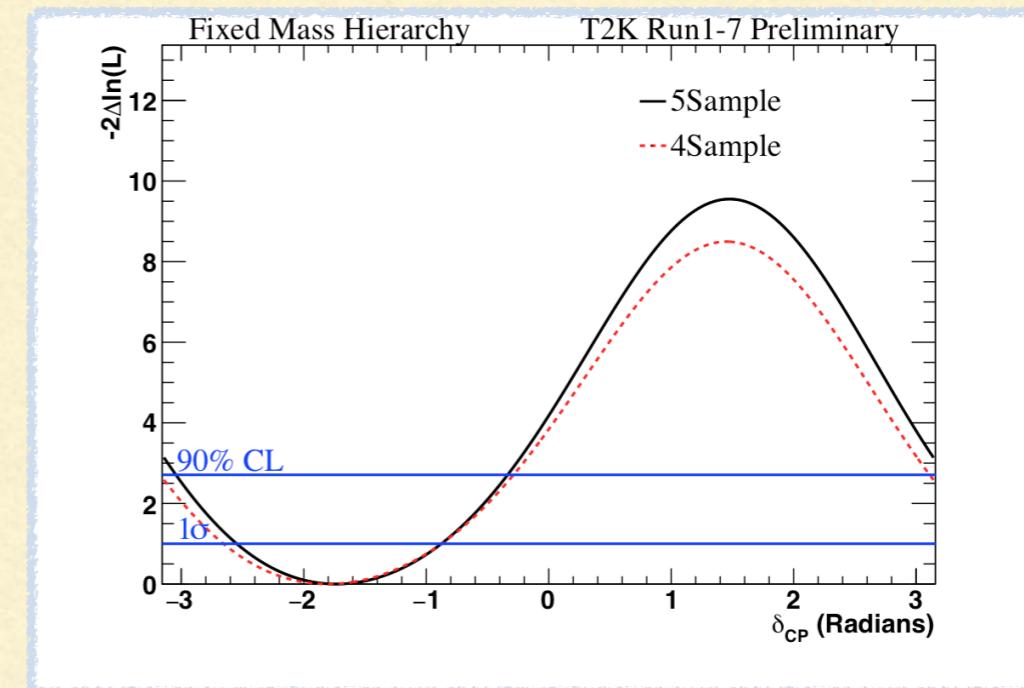
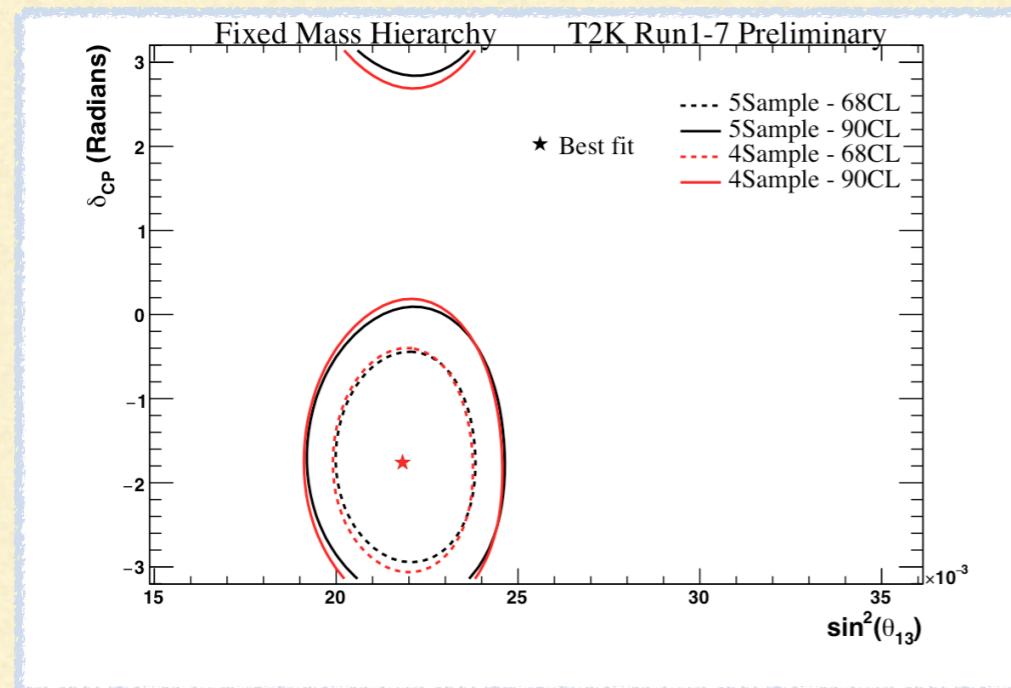
anti- $\nu$ -mode

# ANALYSIS RESULTS: $\theta_{13}$ AND $\delta_{CP}$ EXPECTED SENSITIVITY



- Expected sensitivity for  $\delta_{CP} = -1.601$  and  $\sin^2\theta_{13} = 0.0217$  Asimov dataset
- Reactor constrain applied

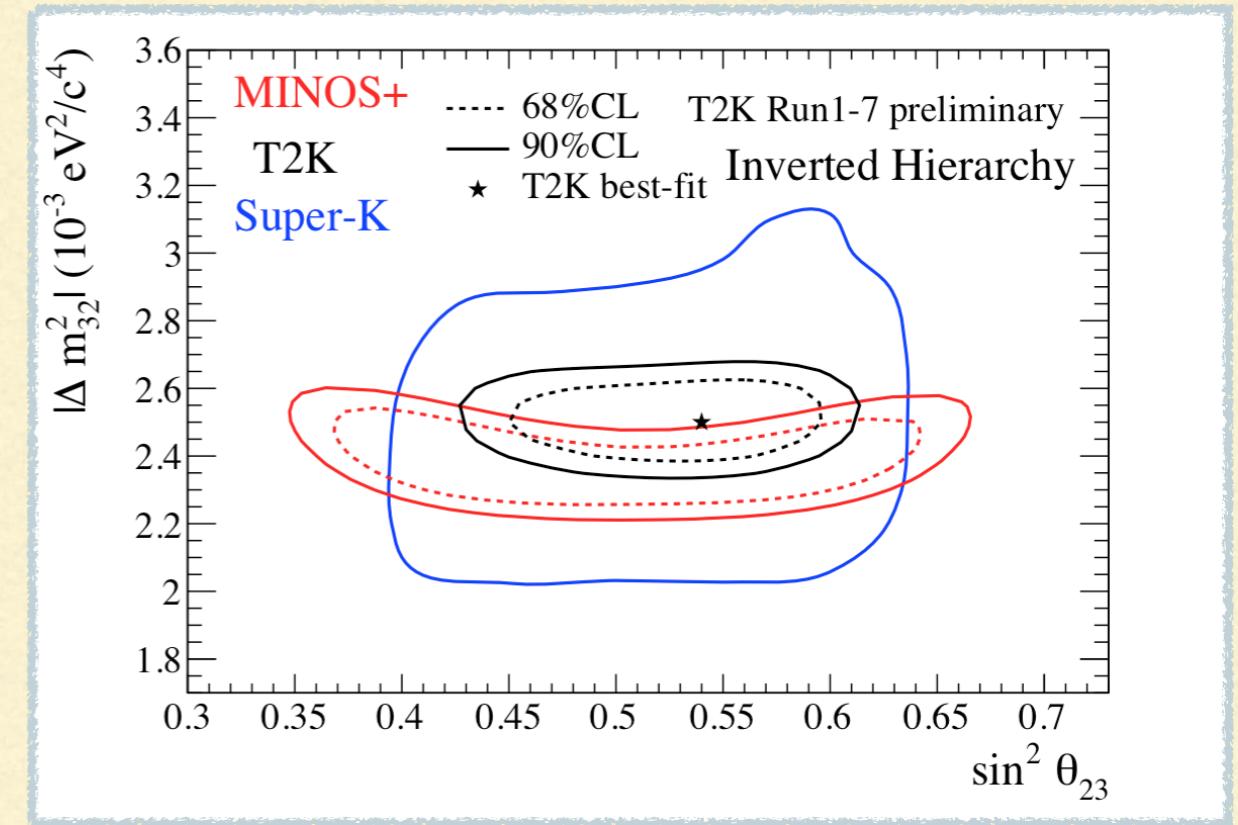
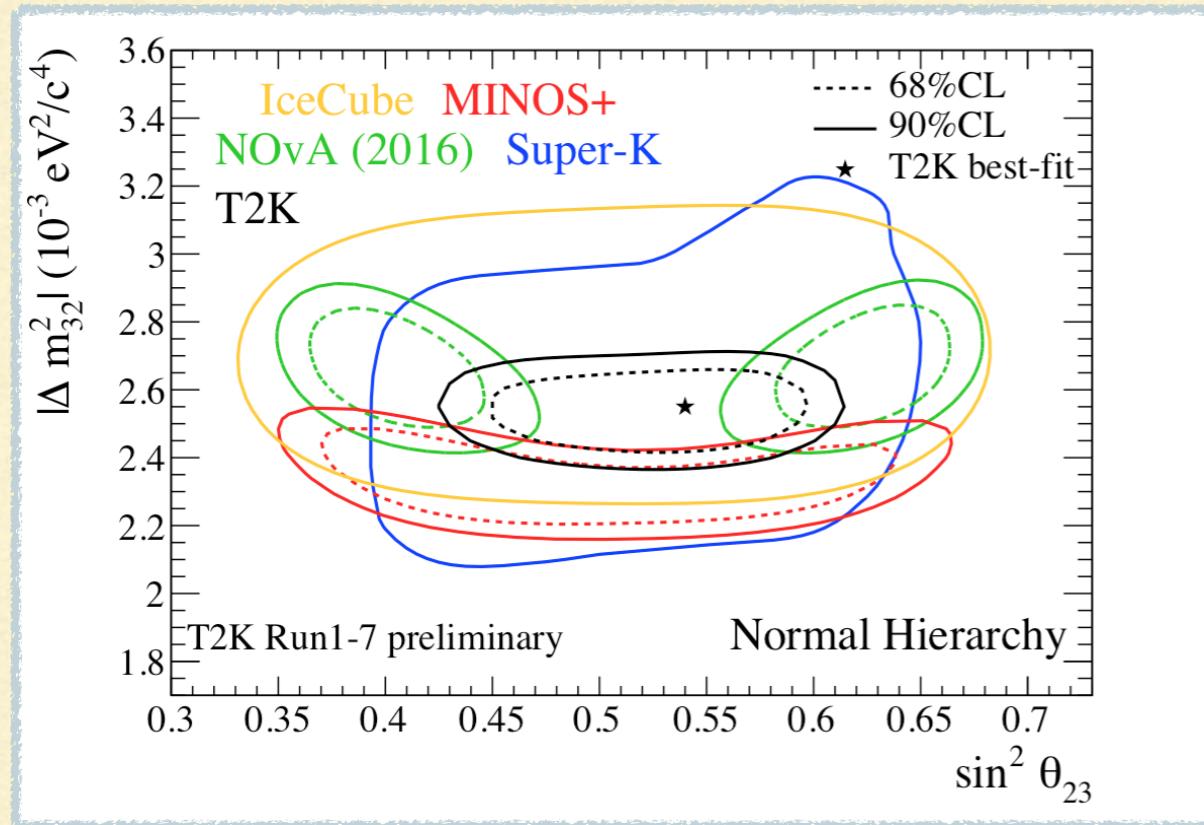
# EFFECT OF CC $\pi$ SAMPLE



Normal mass hierarchy  
Reactor constrain applied

	$\delta_{cp} = -\pi/2$ (NH)	$\delta_{cp} = 0$ (NH)	$\delta_{cp} = +\pi/2$ (NH)	$\delta_{cp} = \pi$ (NH)	Observed
$\nu_e$ CCQE	28.7	24.2	19.6	24.1	32
$\nu_e$ CC1 $\pi^+$	3.1	2.8	2.3	2.7	5

# ANALYSIS RESULTS: $\theta_{23}$ AND $\Delta m^2_{32}$

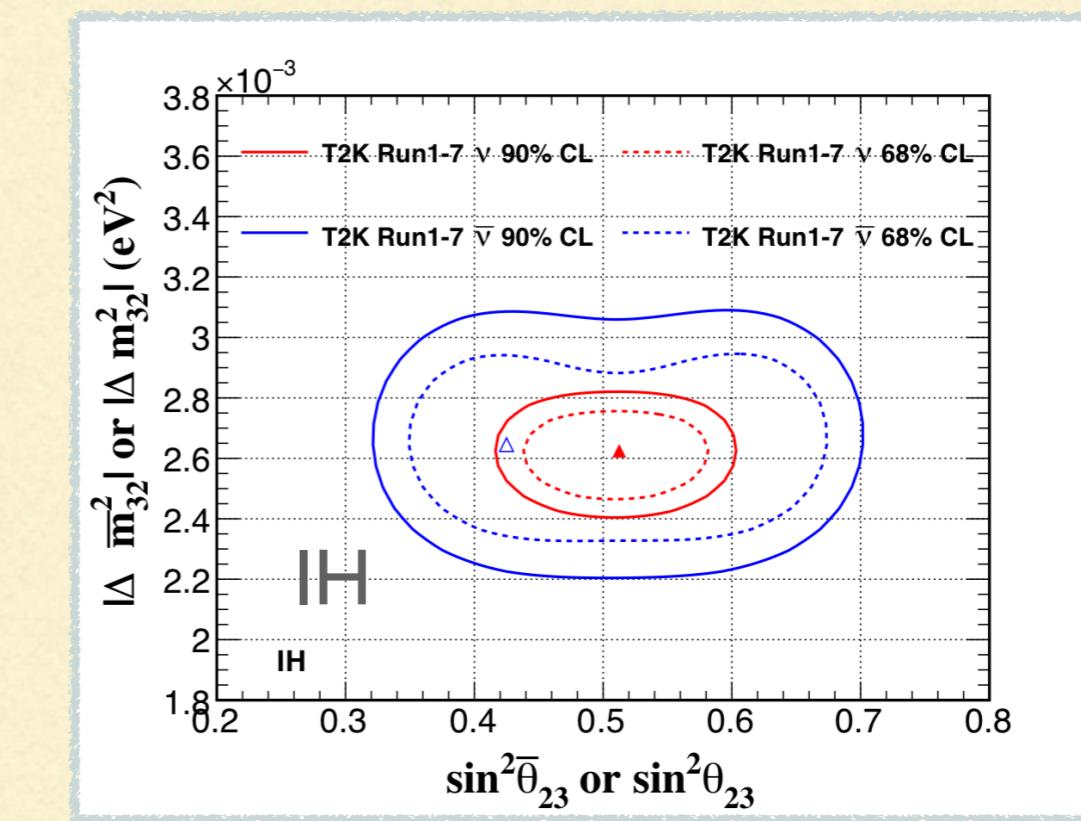
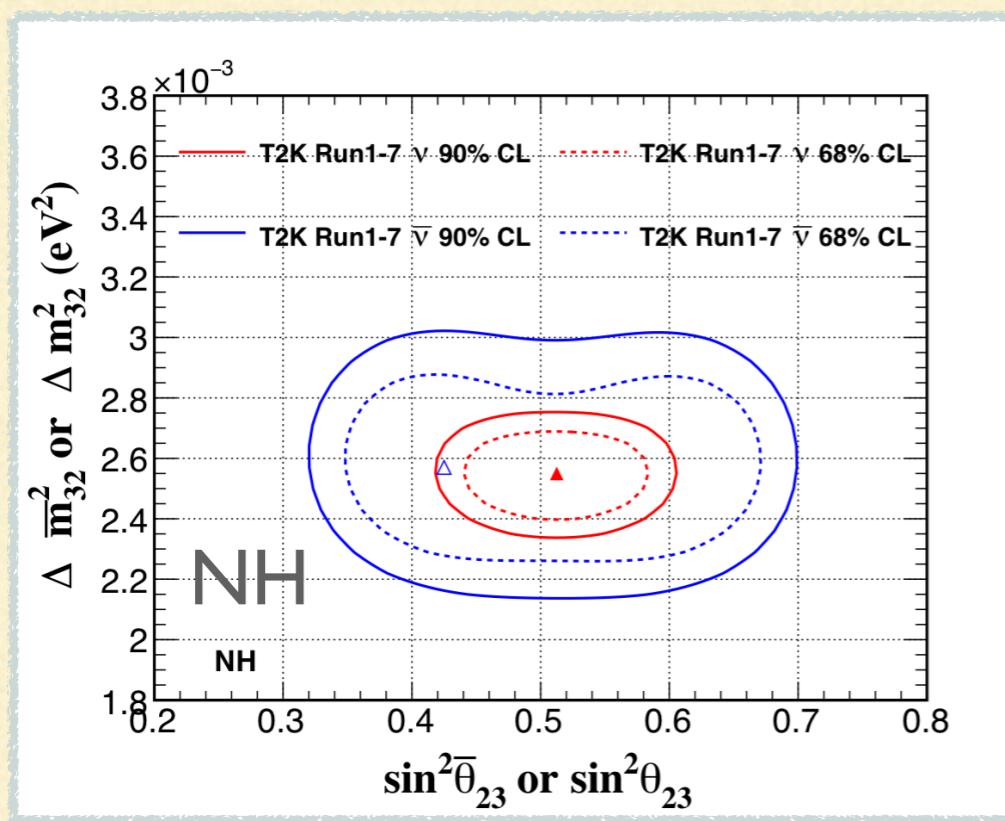


- Joint analysis with reactor constraint:  
 $\sin^2 2\theta_{13} = 0.085 \pm 0.05$
- T2K data consistent with maximal mixing
- Compatible with other experiments  
(intervals for fixed mass hierarchy)

PARAMETER/MASS HIERARCHY	NORMAL MASS HIERARCHY	INVERTED MASS HIERARCHY
$\sin^2 2\theta_{32}$	$0.532^{+0.046}_{-0.068}$	$0.534^{+0.043}_{-0.07}$
$ \Delta m^2_{32} $ ( $\times 10^{-3} \text{ eV}^2/\text{c}^4$ )	$2.545^{+0.081}_{-0.084}$	$2.51^{+0.081}_{-0.083}$

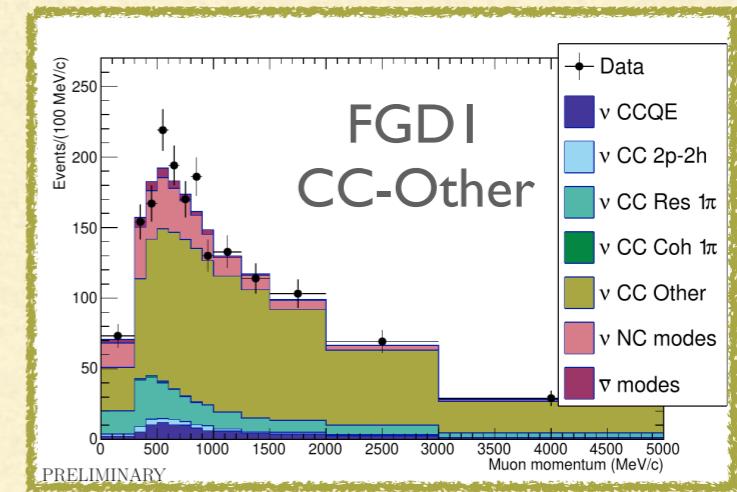
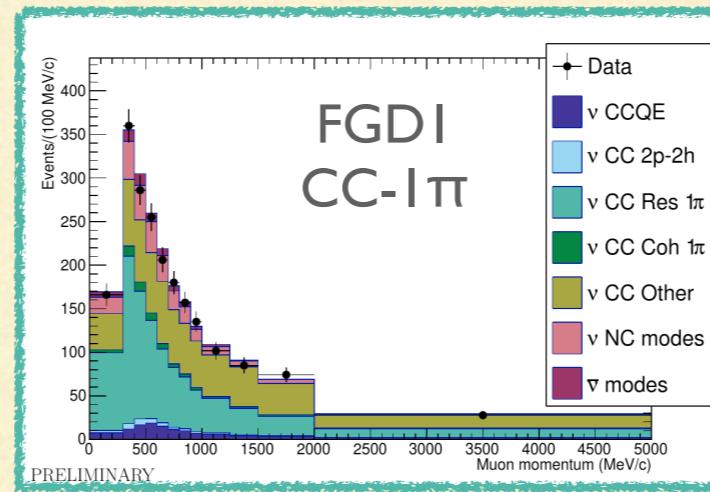
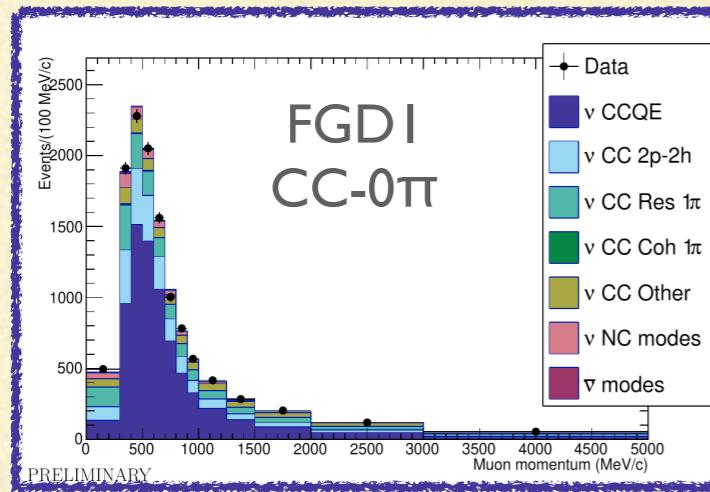
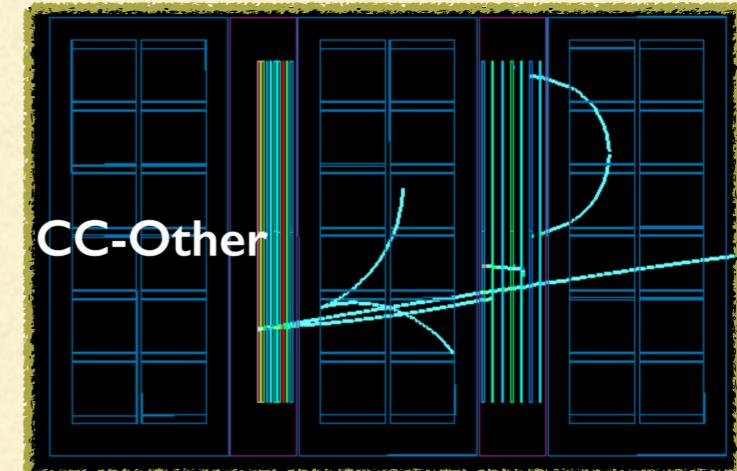
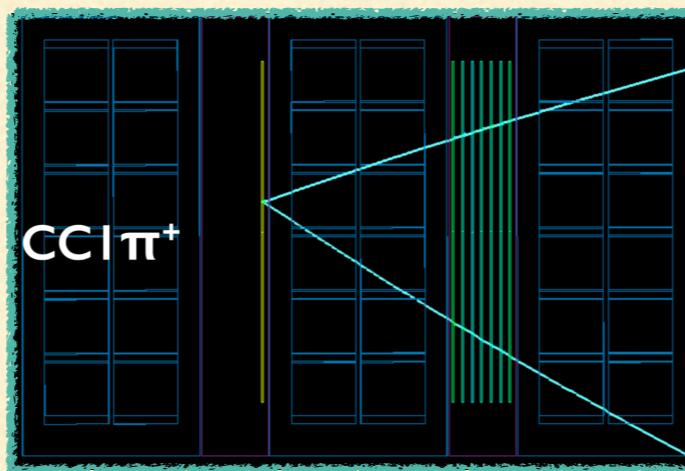
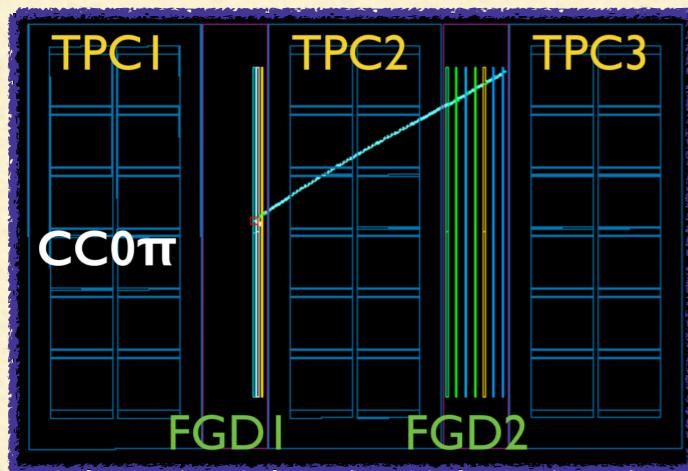
# CPT INVARIANCE TEST: $\nu_\mu$ AND anti- $\nu_\mu$ DISAPPEARANCE

- Strategy
  - Assign independent oscillation parameters for antineutrinos
  - Neutrino samples constrain “wrong-sign” background
  - Test CPT:  $\theta_{23} \neq \bar{\theta}_{23}$  and  $\Delta m^2_{32} \neq \Delta \bar{m}^2_{32}$
- Consistent results for  $P(\nu_\mu \rightarrow \nu_\mu)$  and  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu)$ : CPT conserved



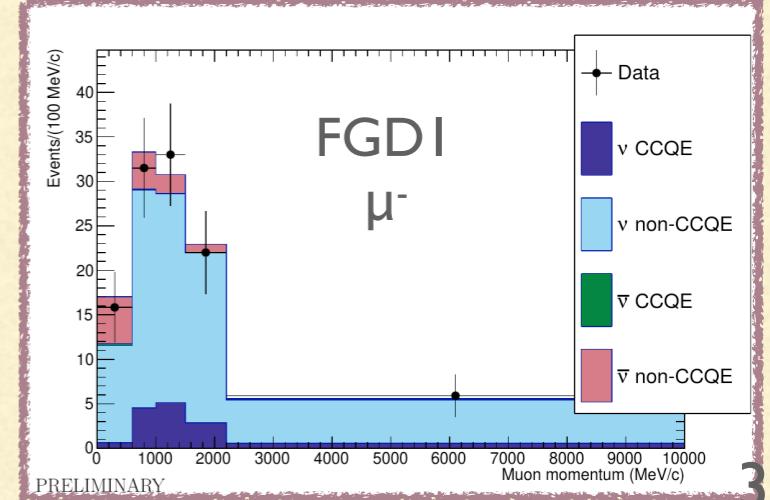
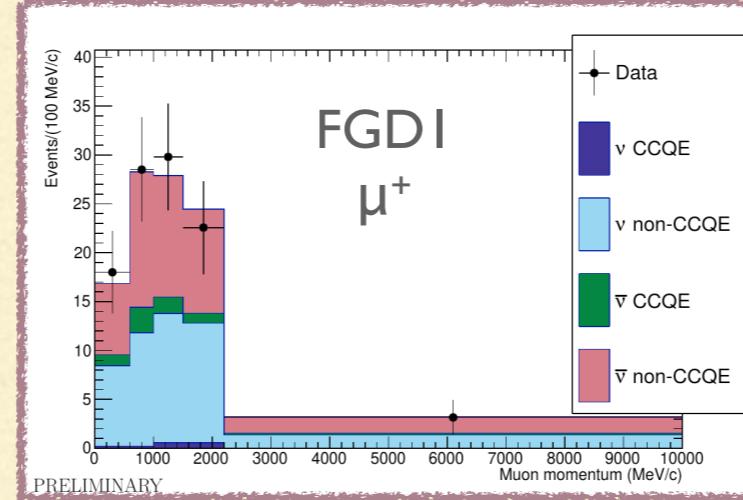
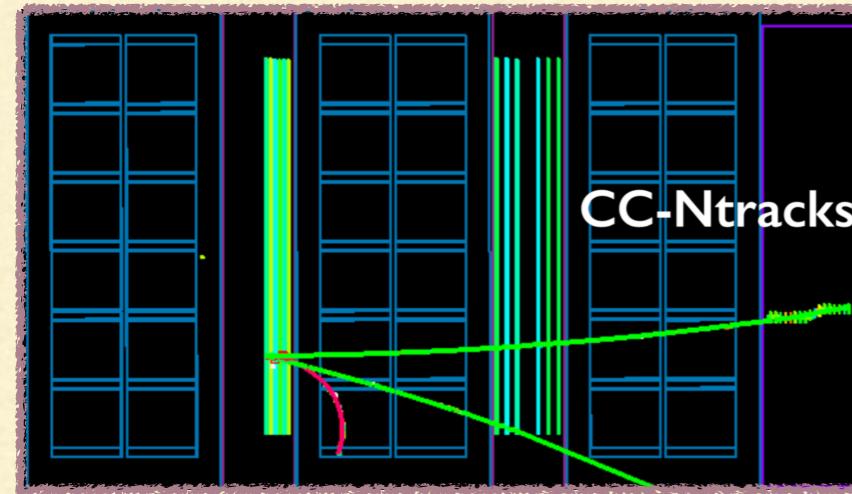
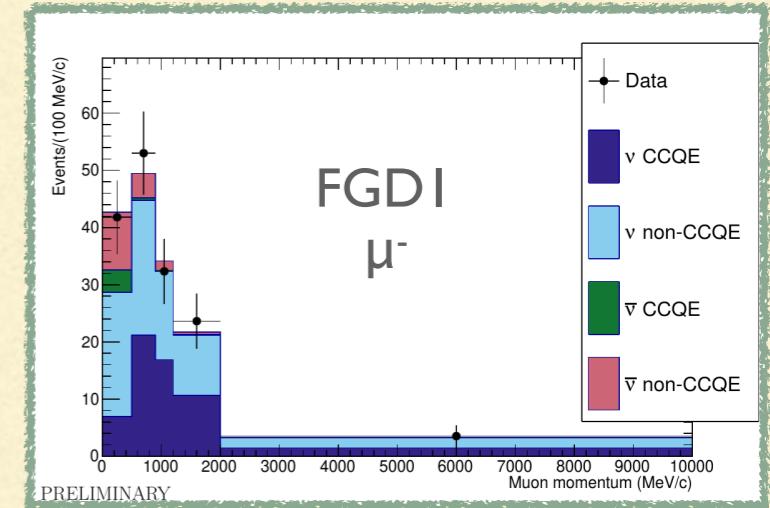
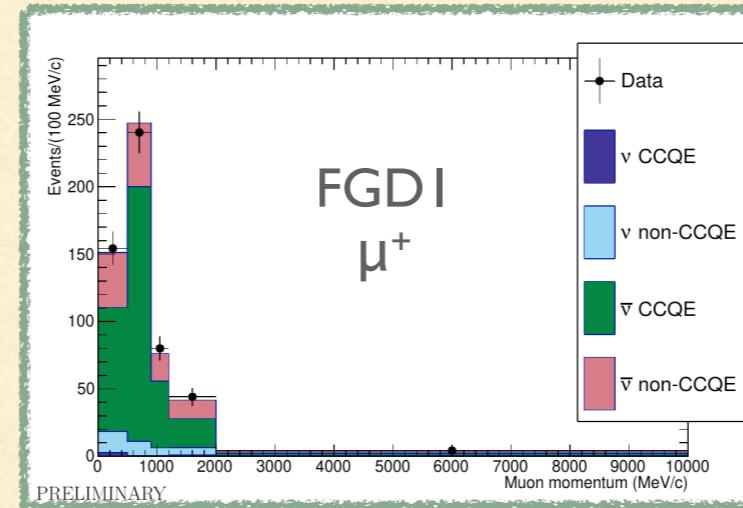
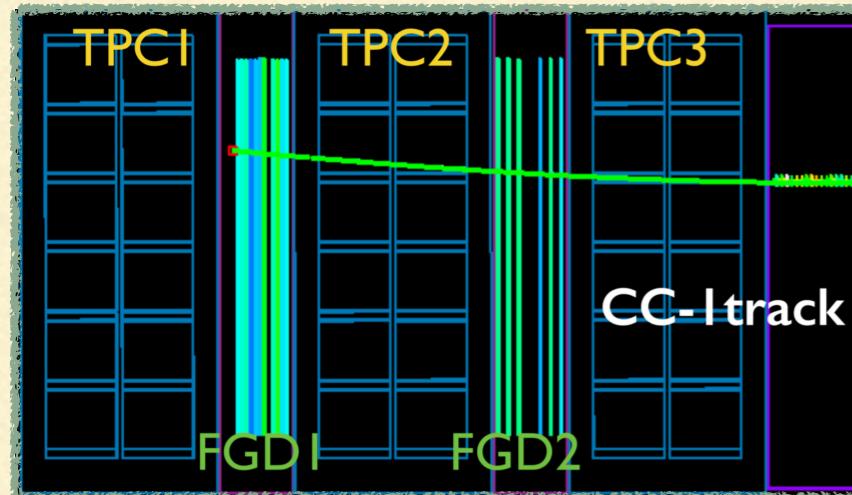
# ND280 ANALYSIS: $\nu$ -MODE

- ND280 samples in FGD1 and FGD2:  $\nu_\mu$  interactions in  $\nu$  mode running
  - CC-0 $\pi$ : muon and no pions in the final state
  - CC-1 $\pi^+$ : muon and  $\pi^+$  in the final state
  - CC-Other: all other CC interactions (DIS dominated)



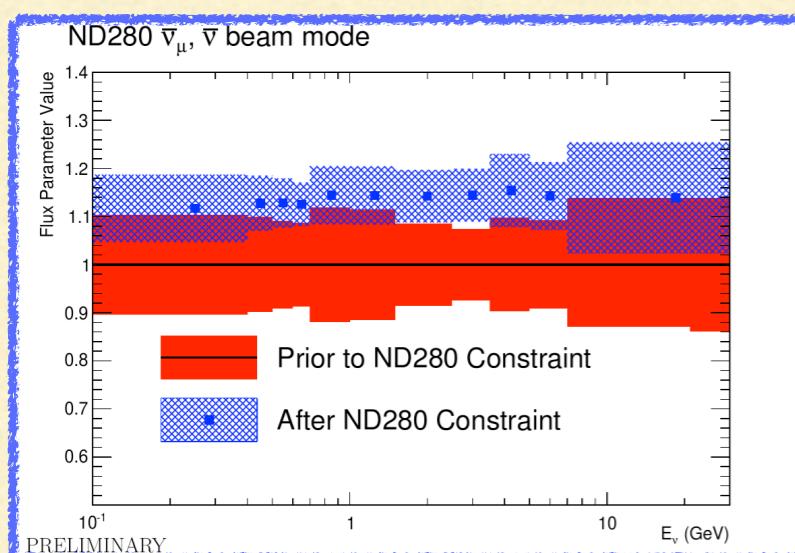
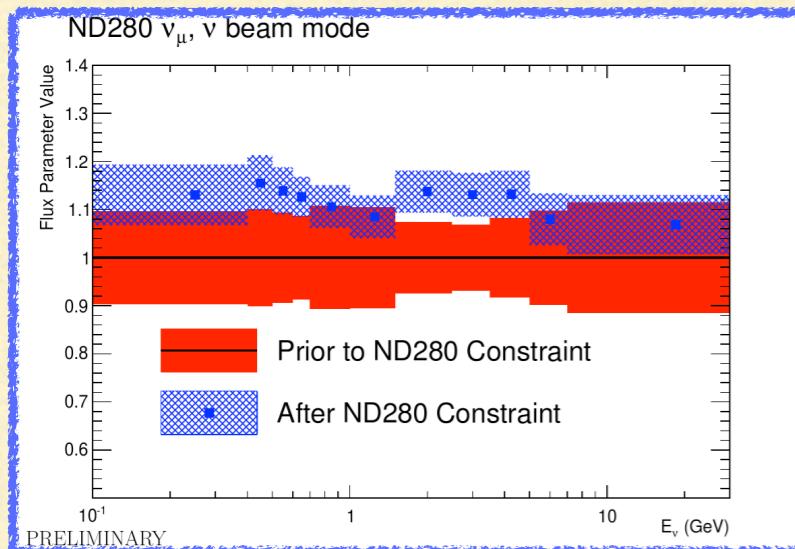
# ND280 ANALYSIS: ANTI- $\nu$ -MODE

- ND280 samples in FGD1 and FGD2
- CC-I tracks and CC-Ntrack samples
- $\mu^+$  samples and  $\mu^-$  to constrain “wrong-sign” background



# ND280 INPUTS TO OSCILLATION ANALYSIS

- Each model parameter (flux + neutrino interactions) has its uncertainty
- Fit to ND280 data constrains flux and cross-section model, uncertainties propagated to SK as covariance
  - Significant reduction of systematic uncertainties



	Total $N_{SK}$ Fraction Uncertainty, %	$\nu_\mu$	$\nu_e$	anti- $\nu_\mu$	anti- $\nu_e$
Flux	W/O ND280	7.6	8.9	7.1	8.0
Cross section	W/O ND280	7.7	7.2	9.3	10.1
Flux and cross section	W/ ND280	<b>2.9</b>	<b>4.2</b>	<b>3.4</b>	<b>4.6</b>
Final/sec. hadronic interactions	—	1.5	2.5	2.1	2.5
Far detector	—	3.9	2.4	3.3	3.1
Total	W/O ND280	12.0	11.9	12.5	13.7
<b>Total</b>	<b>W/ ND280</b>	<b>5.0</b>	<b>5.4</b>	<b>5.2</b>	<b>6.2</b>