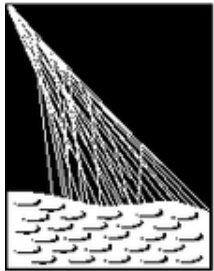


# Ultra-high energy neutrinos at the Pierre Auger Observatory



**PIERRE  
AUGER**  
OBSERVATORY

Inés Valiño Rielo  
Univ. Santiago de Compostela, Spain  
for the Pierre Auger Collaboration



XVII International Workshop on Neutrino Telescopes  
Venice, Italy 13-17 March 2017

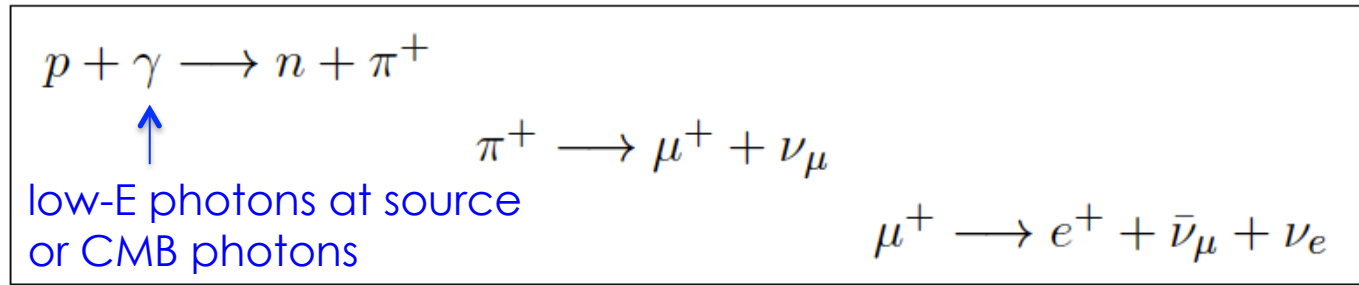
# Neutrinos above 0.1 EeV

- If protons and/or heavier nuclei :
  - are accelerated to energies above EeV in Galactic and/or extragalactic sources
  - interact within sources and/or in propagation through Universe

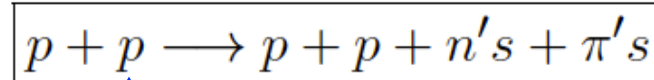
then we expect ~ **EeV neutrinos**

## Photo-production:

$$E_\nu \sim 0.05 E_{\text{proton}}$$



## Nuclear interactions



$\uparrow$   
Matter at source



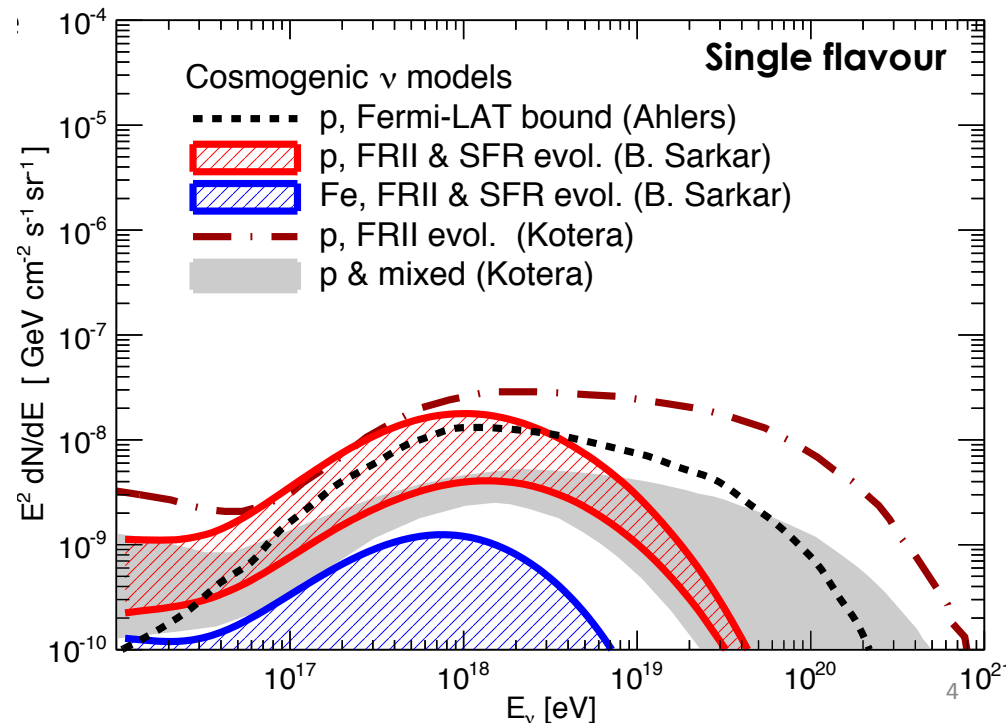
# Cosmogenic neutrinos

come from interaction of UHECR protons above 50 EeV with CMB photons via GZK (Greisen-Zatsepin-Kuzmin) mechanism:



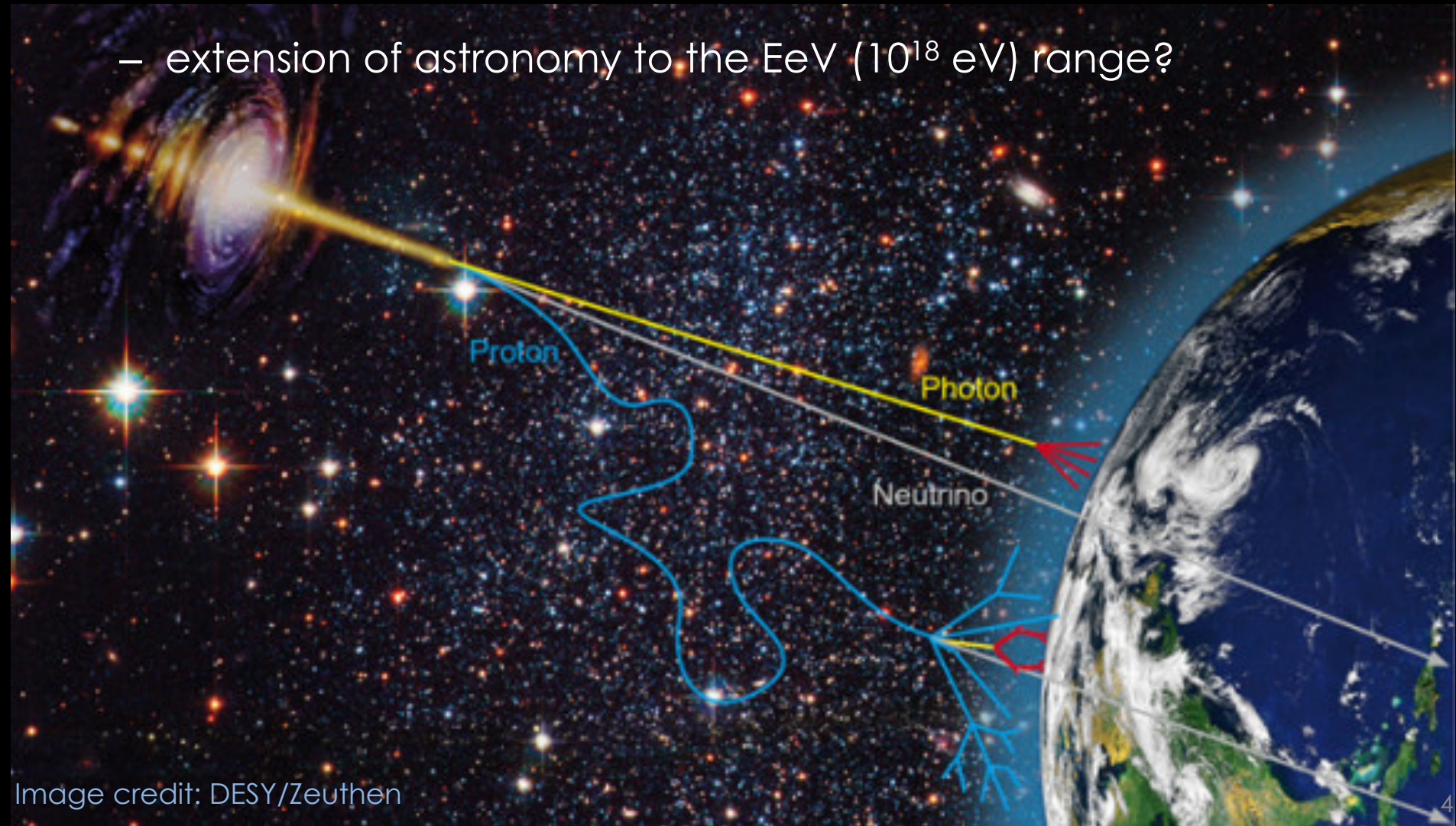
**Detection in EeV range** may provide complementary **information** on:

- **UHECR nature** :
  - p or Fe dominated?
  - mixed composition?
- **UHECR origin** :
  - evolution of sources with redshift
  - max. energy attainable in sources



Unlike charged UHECR, neutrinos travel undeflected by magnetic fields and essentially unattenuated :

- reveal the sources of UHECRs at cosmological distances
- extension of astronomy to the EeV ( $10^{18}$  eV) range?



# Search for neutrinos with the Pierre Auger Observatory

Pierre Auger Collaboration, Phys. Rev. D 9, 092008 (2015)

Pierre Auger Collaboration, Phys. Rev. D 94, 122007 (2016)

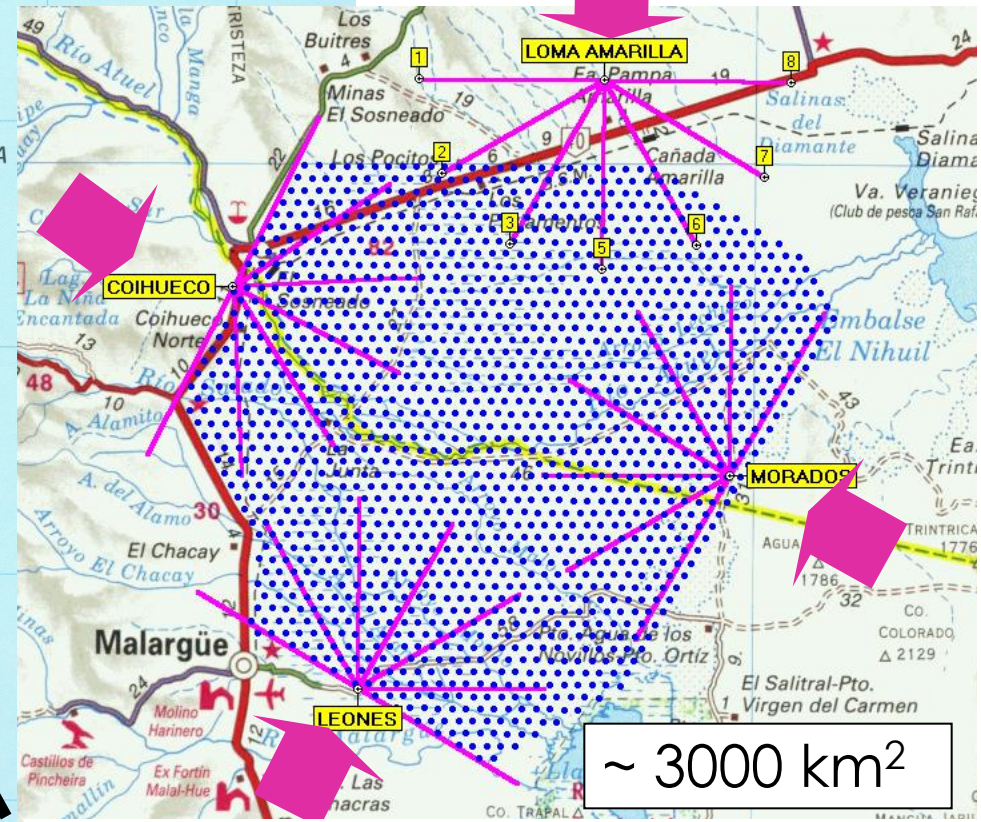


# The Pierre Auger Observatory Malargüe, Mendoza (Argentina)

**SD 1500 = Surface Detector array of 1600 water Cherenkov stations (3000 km<sup>2</sup>)**  
**FD = 4 Fluorescence buildings (24 + 3) detectors**



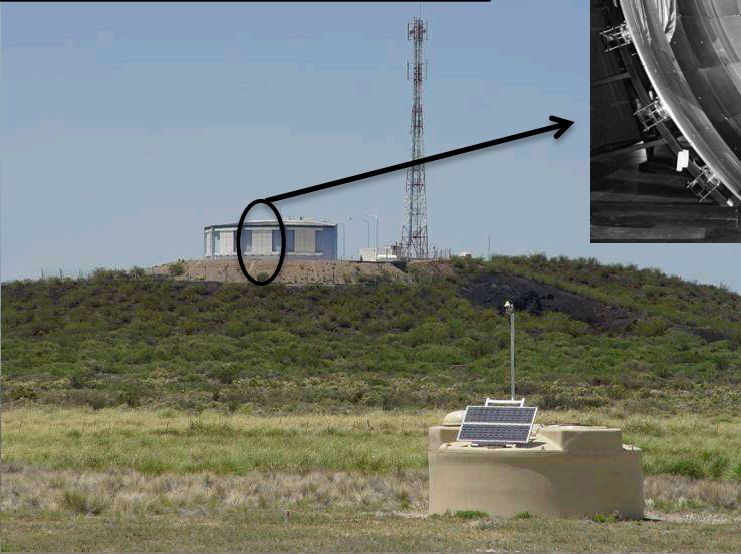
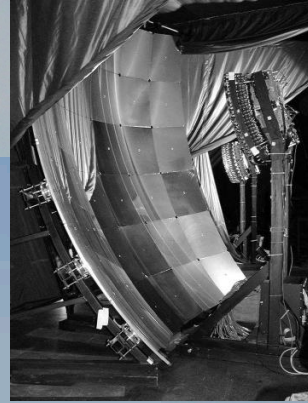
35.5° S, 69.3° W  
 1400 m a.s.l. (880 g cm<sup>-2</sup>)



- + SD 750 = 61 water Cherenkov stations (25 km<sup>2</sup>)**
- + Array of 153 antennas (17 km<sup>2</sup>)**
- + Array of 7 buried muon detectors**

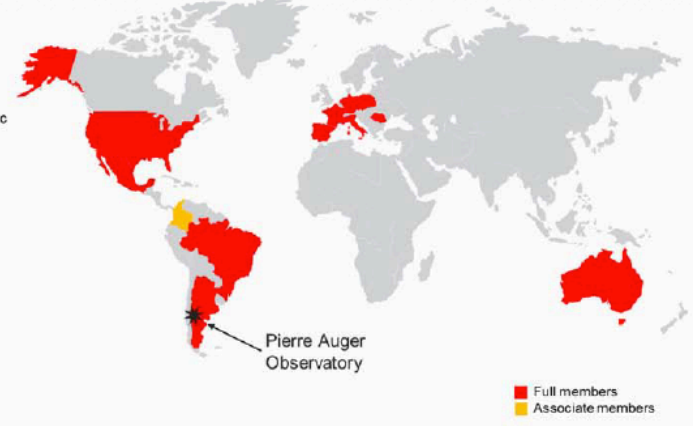


Fluorescence telescopes

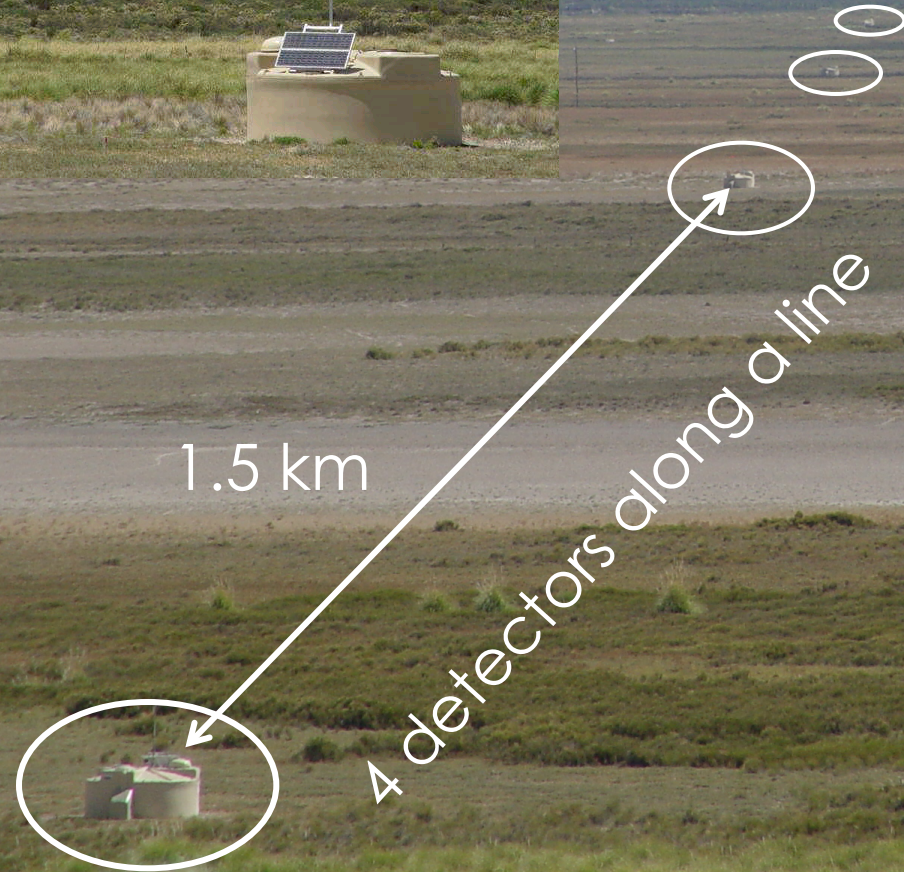


- Argentina
- Australia
- Brasil
- Colombia\*
- Czech Republic
- France
- Germany
- Italy
- Mexico
- Netherlands
- Poland
- Portugal
- Romania
- Slovenia
- Spain
- USA

\*associated



Water Cherenkov detectors



Pampa Amarilla, Mendoza, Argentina



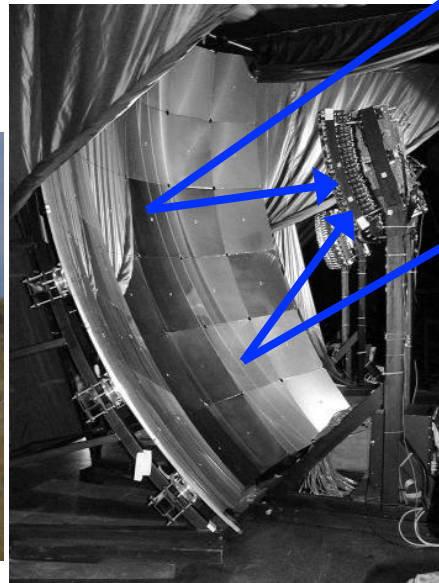
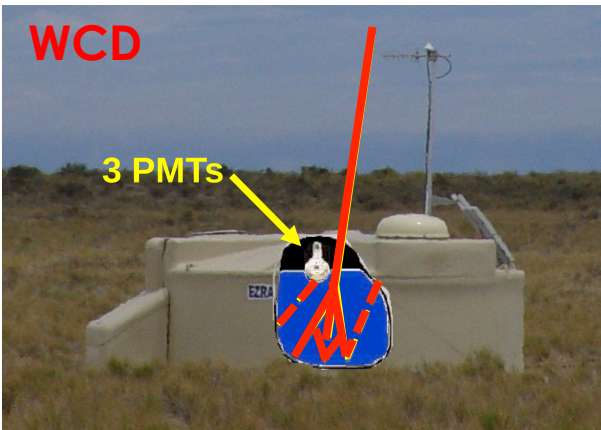
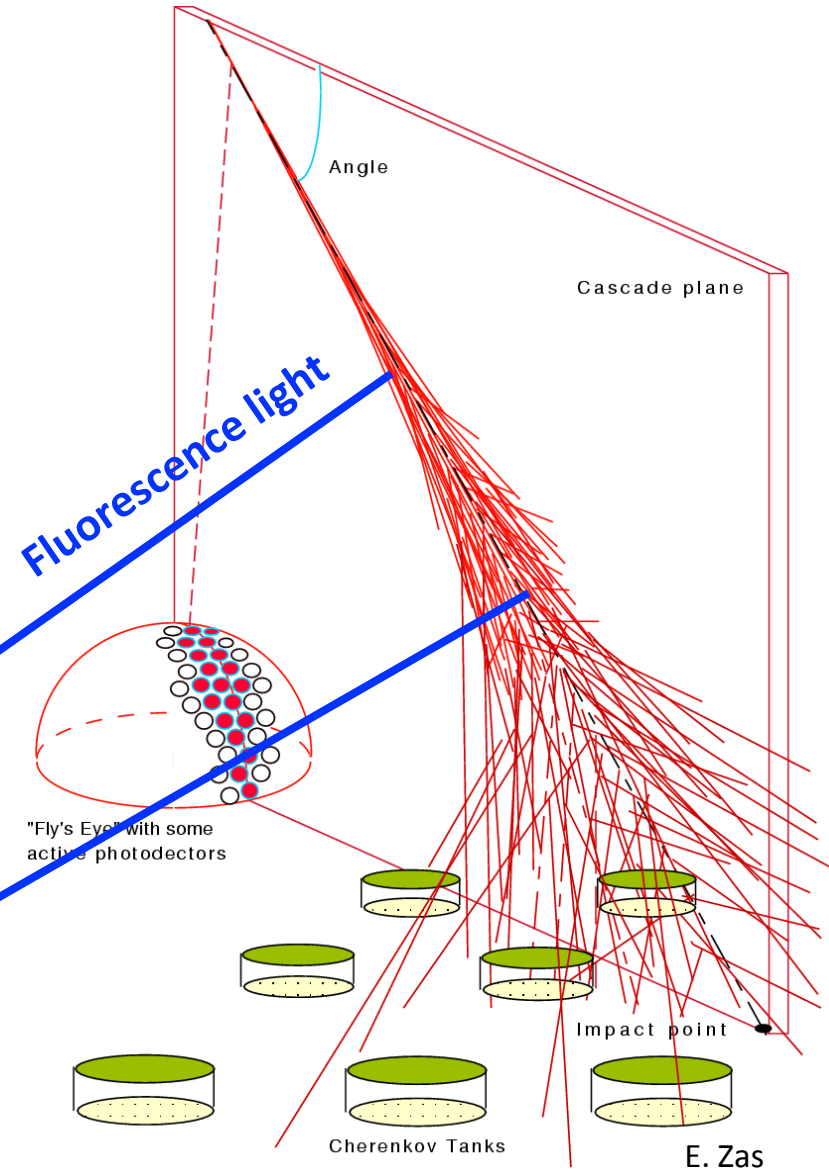
# Detection technique

Auger combines 2 different techniques (hybrid mode):

Fluorescence telescopes

## Water-Cherenkov detectors

~ 10% of events are observed with both techniques: wealth of information about shower development.



# Search for neutrinos with the Pierre Auger Observatory

- **Pierre Auger is not a dedicated neutrino observatory**

(main aim is characterizing the properties UHECR) but ...

- **UHE neutrinos** induce showers that **can be distinguished** from background charged CR showers:

## **Signature:**

deeply penetrating showers that can start development very close to ground.

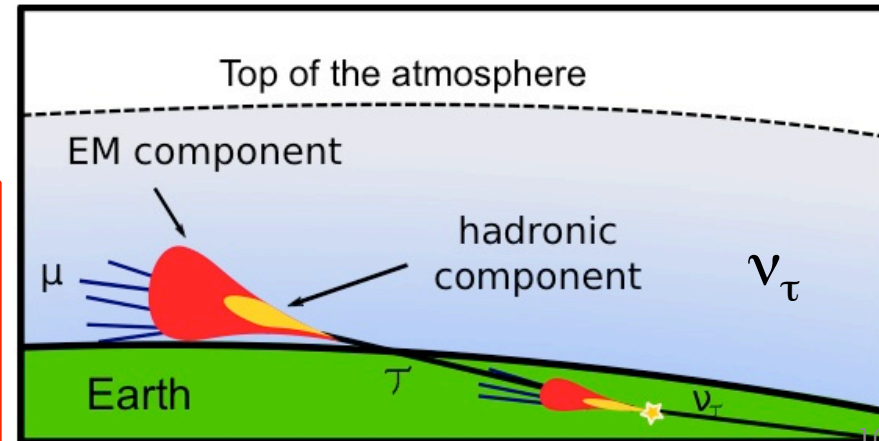
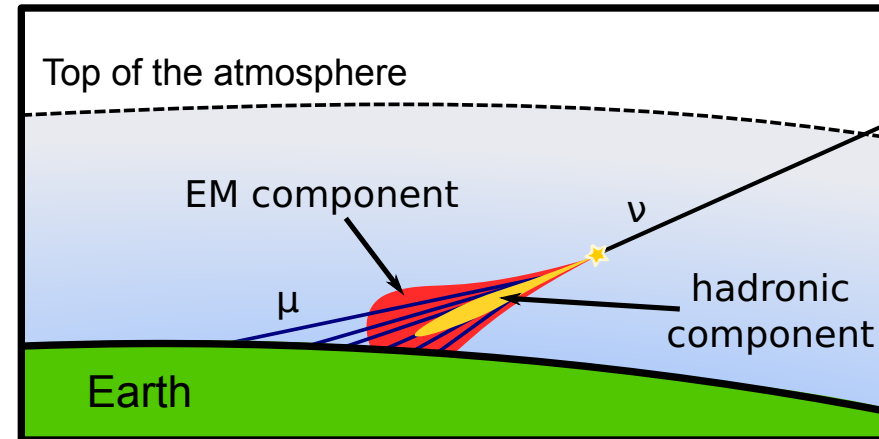
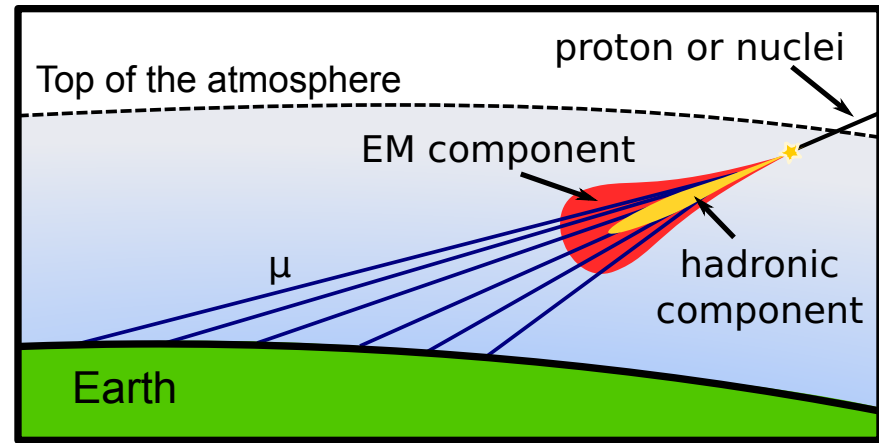
- With the **surface detector** (SD) we have a good sensitivity to UHE neutrinos at  $\gtrsim 100$  PeV energies.

(details in next slides)<sub>9</sub>

# Inclined showers & UHE neutrinos

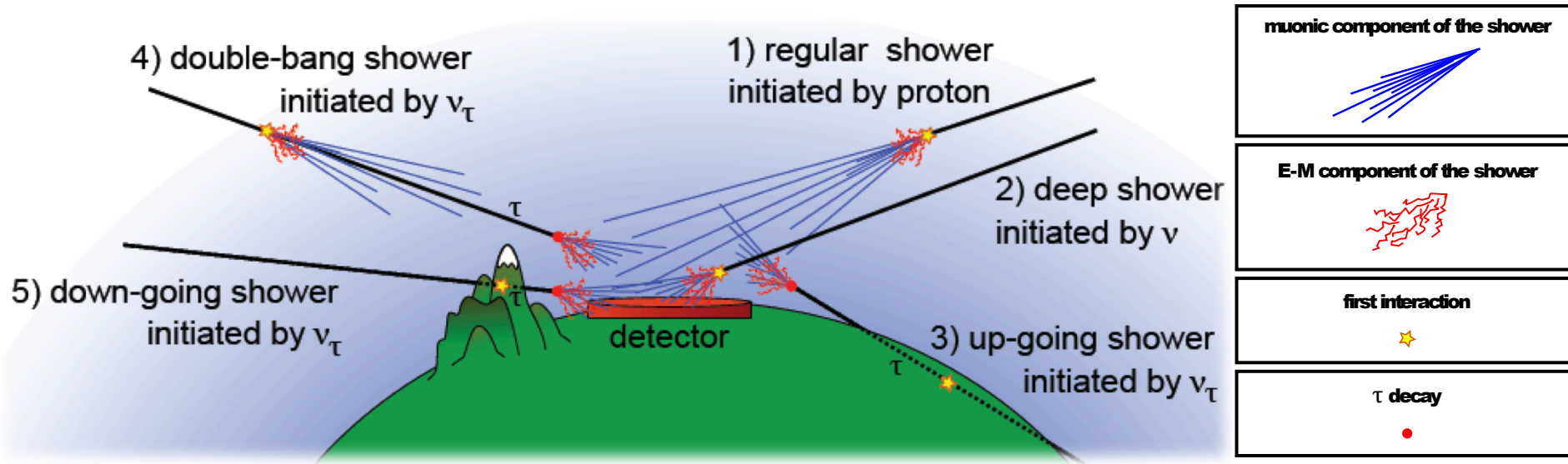
- **Protons & nuclei** initiate showers high in the atmosphere.  
⇒ Shower front at ground:
  - mainly composed of muons
  - electromagnetic component absorbed in atmosphere
- **Neutrinos** can initiate “deep” showers close to ground.  
⇒ Shower front at ground:
  - EM + muon component

**Searching for neutrinos** ⇒  
inclined showers  
with electromagnetic component  
(“young showers”)





# Sensitivity to all flavours and channels



Three selection criteria

Downward-going low angle (2 and 4)  $\Rightarrow$  DGL ( $60^\circ$ - $75^\circ$ )

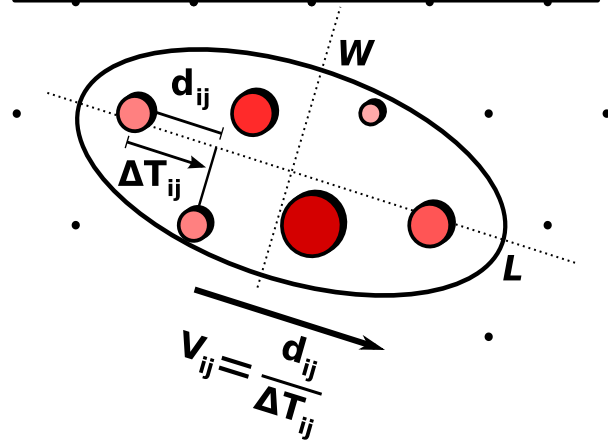
Downward-going high angle (2, 4 and 5)  $\Rightarrow$  DGH ( $75^\circ$ - $90^\circ$ )

Earth-skimming (3)  $\Rightarrow$  ES ( $90^\circ$ - $95^\circ$ )

} all flavours  
 $\nu_\tau$

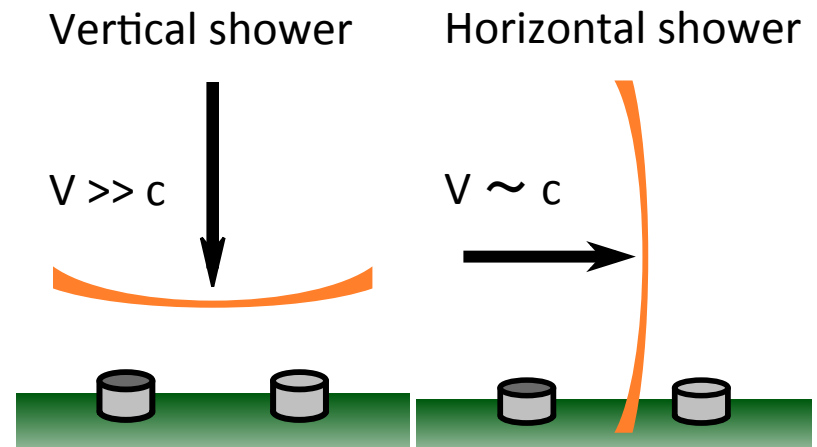
# Selection of inclined showers collected at SD

(1) Elongated footprint



(3) Reconstructed  $\theta$

(2) Apparent velocity  $V$  of propagation of shower front at ground along major axis  $L$

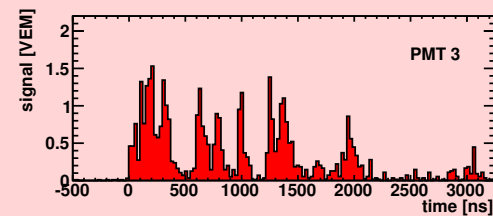
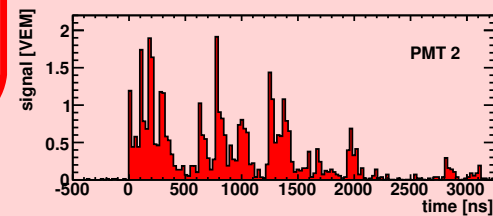
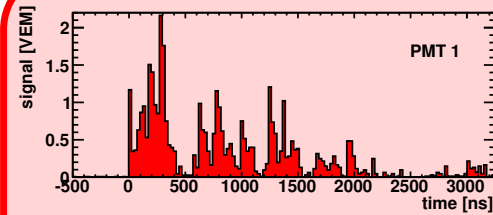
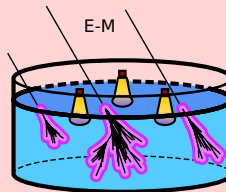
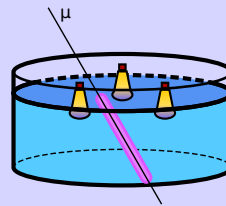
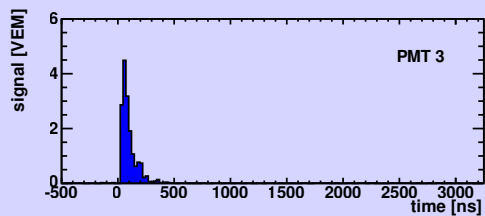
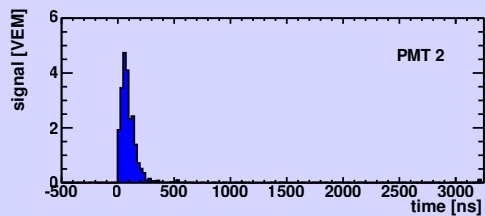
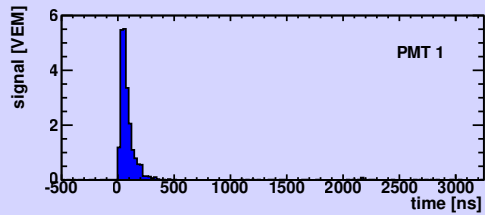


	Earth-Skimming ( $90^\circ, 95^\circ$ )	Down-going High ( $75^\circ, 90^\circ$ )	Down-going Low ( $65^\circ, 75^\circ$ )
(1)	$L/W > 5$	$L/W > 3$	—
(2)	$\langle V \rangle \in (0.29, 0.31) \text{ m ns}^{-1}$ $\text{RMS}(V) < 0.08 \text{ m ns}^{-1}$	$\langle V \rangle < 0.313 \text{ m ns}^{-1}$ $\text{RMS}(V)/\langle V \rangle < 0.08$	—
(3)	—	$\theta_{\text{rec}} > 75^\circ$	$\theta_{\text{rec}} \in (58.5^\circ, 76.5^\circ)$

# Identifying electromagnetic shower fronts

- Water Cherenkov detectors:
  - ✓ Sensitive to inclined showers
  - ✗ No directly sensitive to electromagnetic and muonic components
  - ✓ Can measure the time structure of signals induced by muons and EM

Muonic shower front: narrow signals



EM shower front: broad signals

# Identifying $\nu$ in data collected at SD

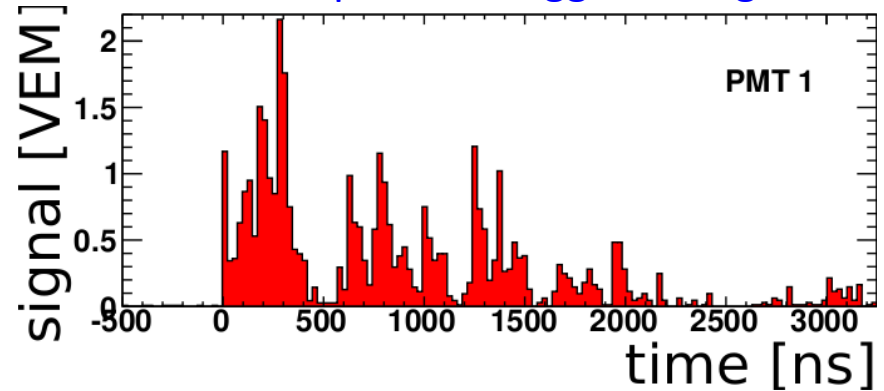
From the observational point of view, **signals extended in time**:

- Induce Time-over-Threshold (ToT) triggers in the SD stations

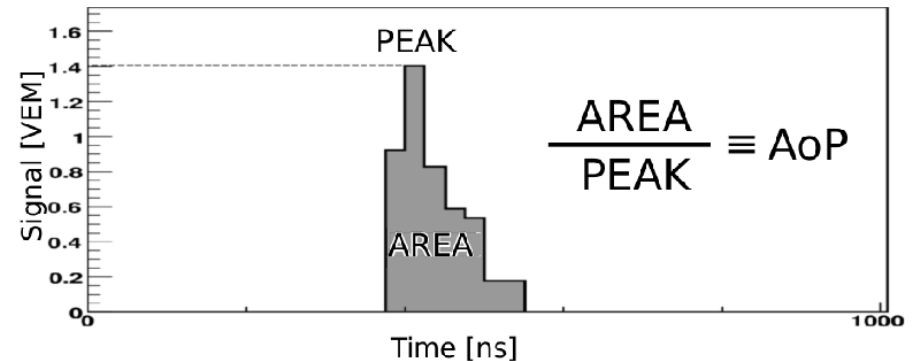
and/or

- Have large Area-over-Peak value (AoP  $\sim 1$  muonic front)

Trace example: ToT trigger & large AoP



Definition of Area-over-Peak (AoP)



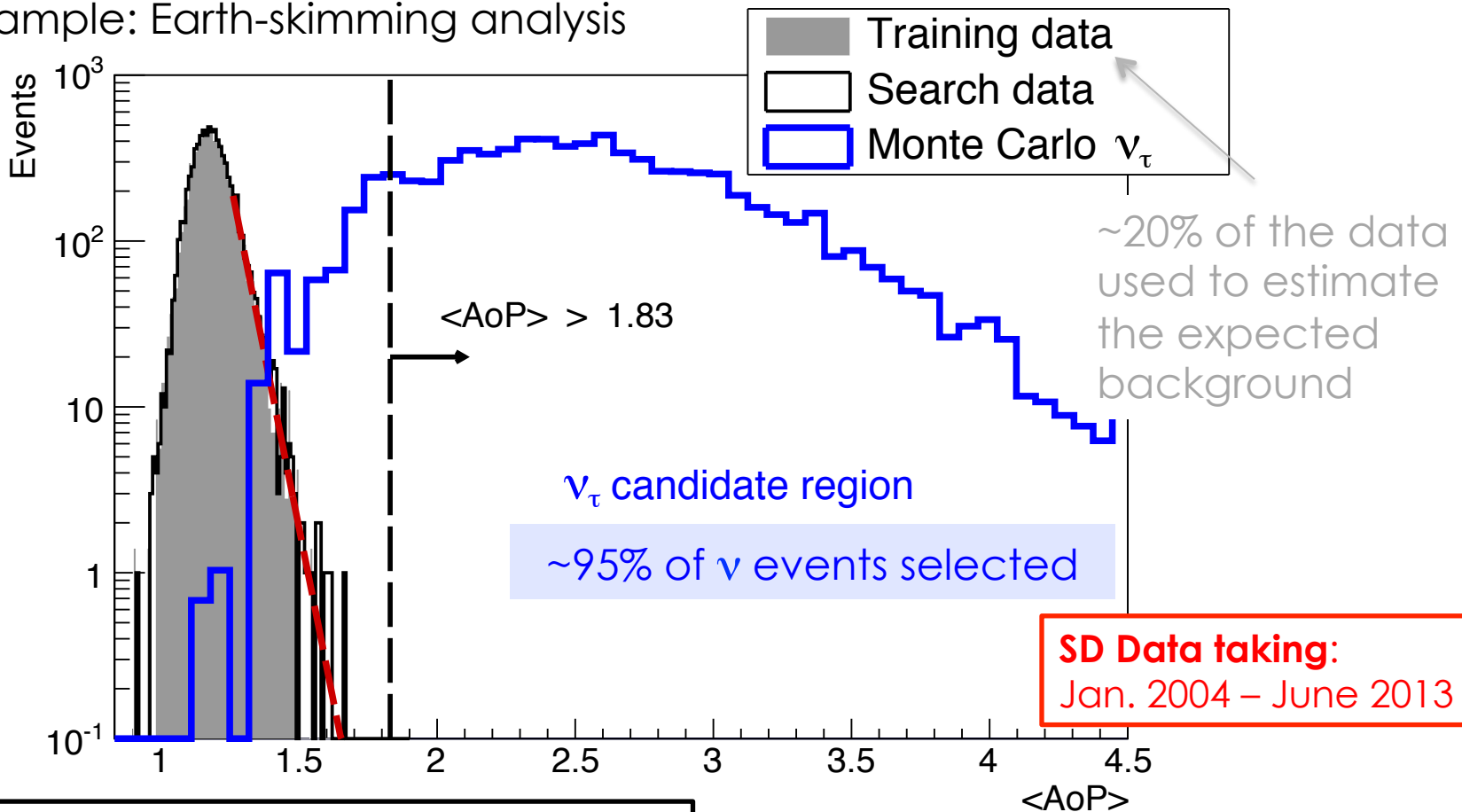
**Searching for neutrinos  $\Rightarrow$**   
Searching for inclined showers with WCDs  
with ToT triggers and/or large AoP

# $\nu$ search results

Identification criteria applied “blindy” to the search data :

No  $\nu$  candidate events found in any of the analyses

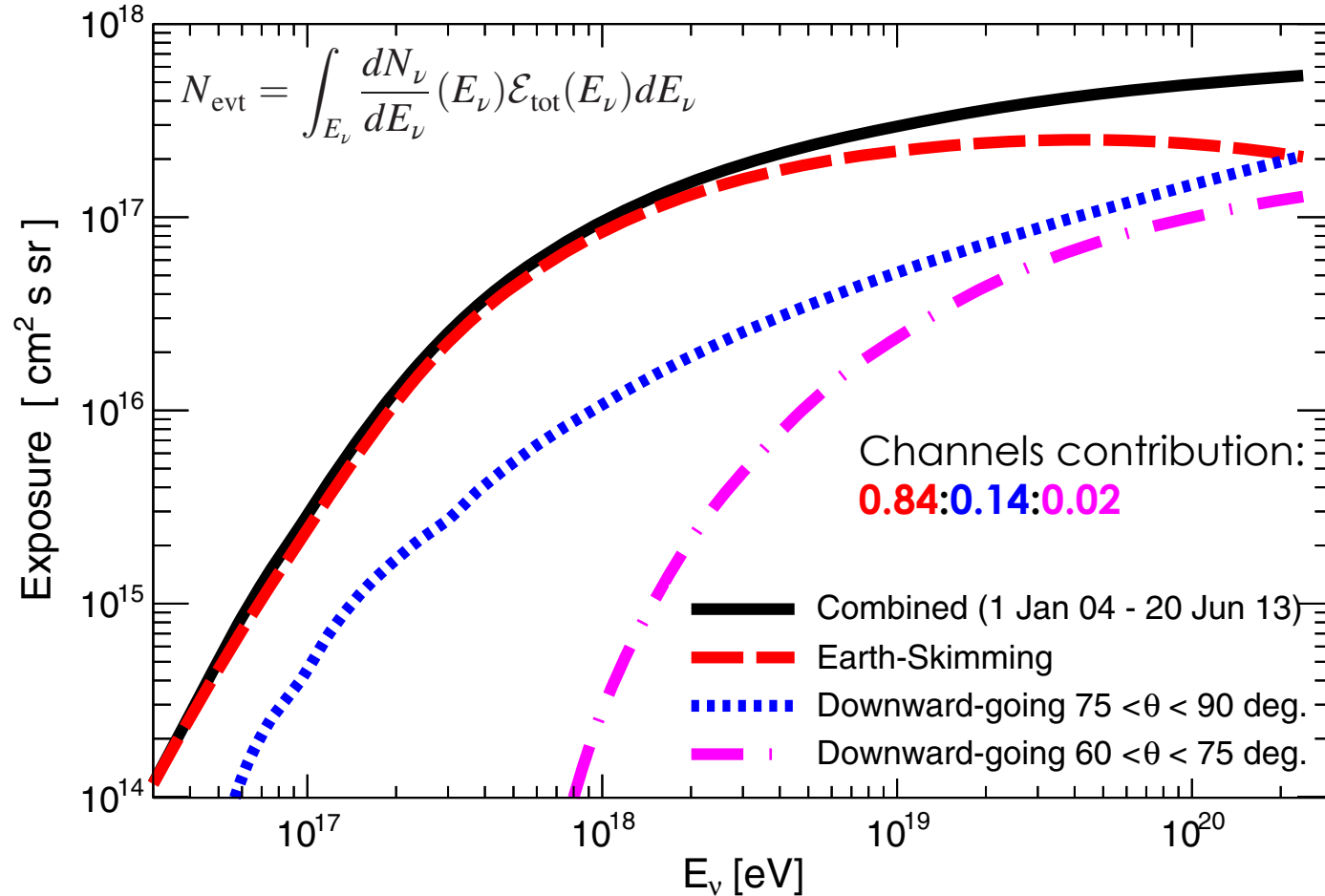
Example: Earth-skimming analysis



<AoP> = mean value of Area-over-Peak in event

# Neutrino exposure

Training data periods excluded



Systematic  
uncertainties

Simulations	$\sim +4\%, -3\%$
$\nu$ cross section and $\tau$ E-loss	$\sim +34\%, -28\%$
Topography	$\sim +15\%, 0\%$
<b>Total</b>	<b><math>\sim +37\%, -28\%</math></b>

# Upper limits on the neutrino flux

- ✓ combined exposure
- ✓ differential neutrino flux:  $k E_\nu^{-2}$
- ✓ flavour ratio  $\nu_e:\nu_\mu:\nu_\tau = 1:1:1$

$$k = \frac{N_{\text{up}}}{\int_{E_\nu} E_\nu^{-2} \mathcal{E}_{\text{tot}}(E_\nu) dE_\nu}$$

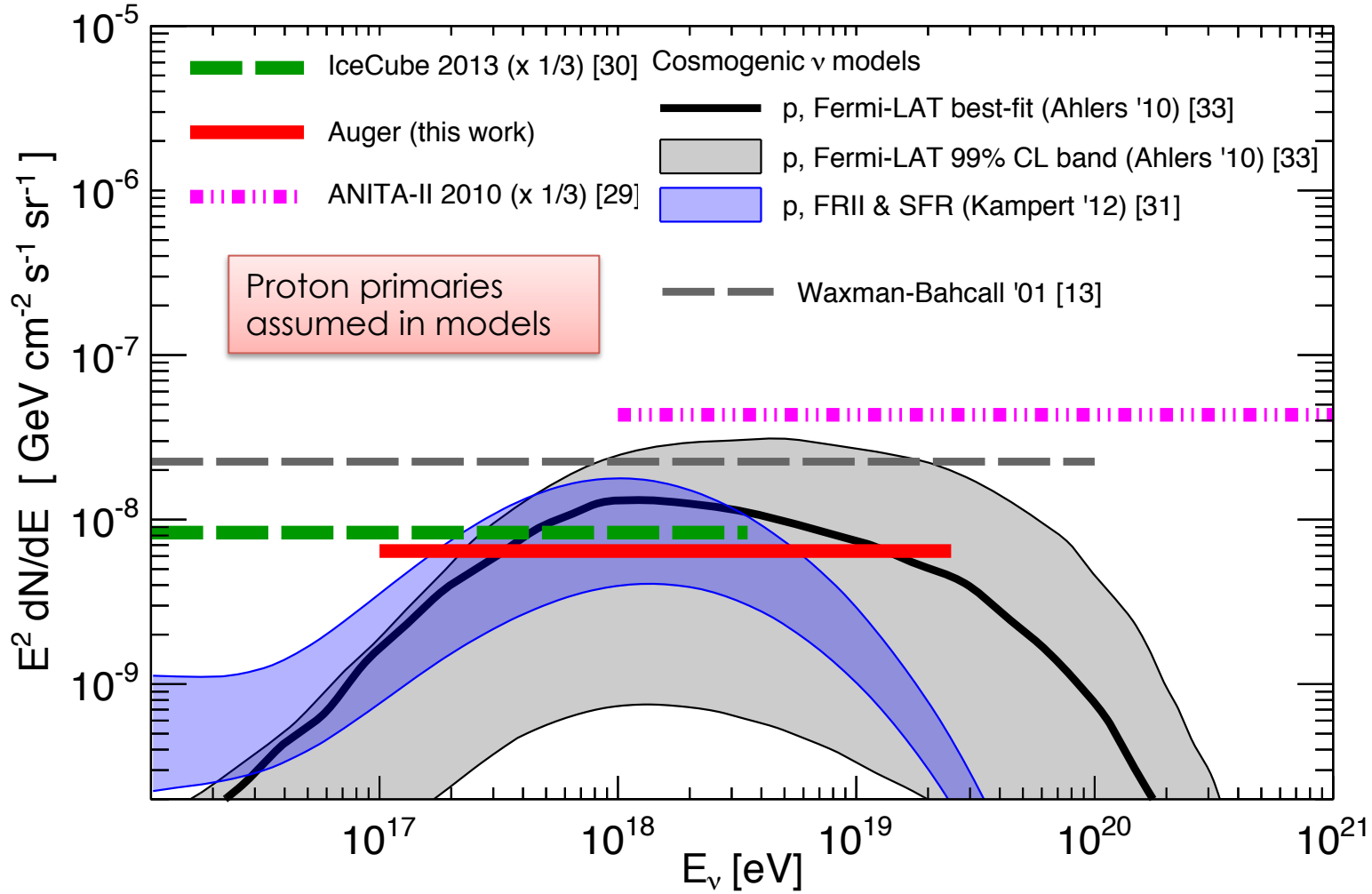
- 0 events observed
- 0 expected background events (conservative assumption)
- 90% C.L. required

$$\left. \begin{array}{l} \bullet \\ \bullet \\ \bullet \end{array} \right\} N_{\text{up}} = 2.39$$

# Limits to the diffuse flux of UHE $\nu$

Single flavour, 90% C.L.

Pierre Auger Collaboration, Phys. Rev. D **91**, 092008 (2015)



**SD Data:**

Jan. 2004 –  
June 2013

~ 6.4 yrs of  
full Auger SD

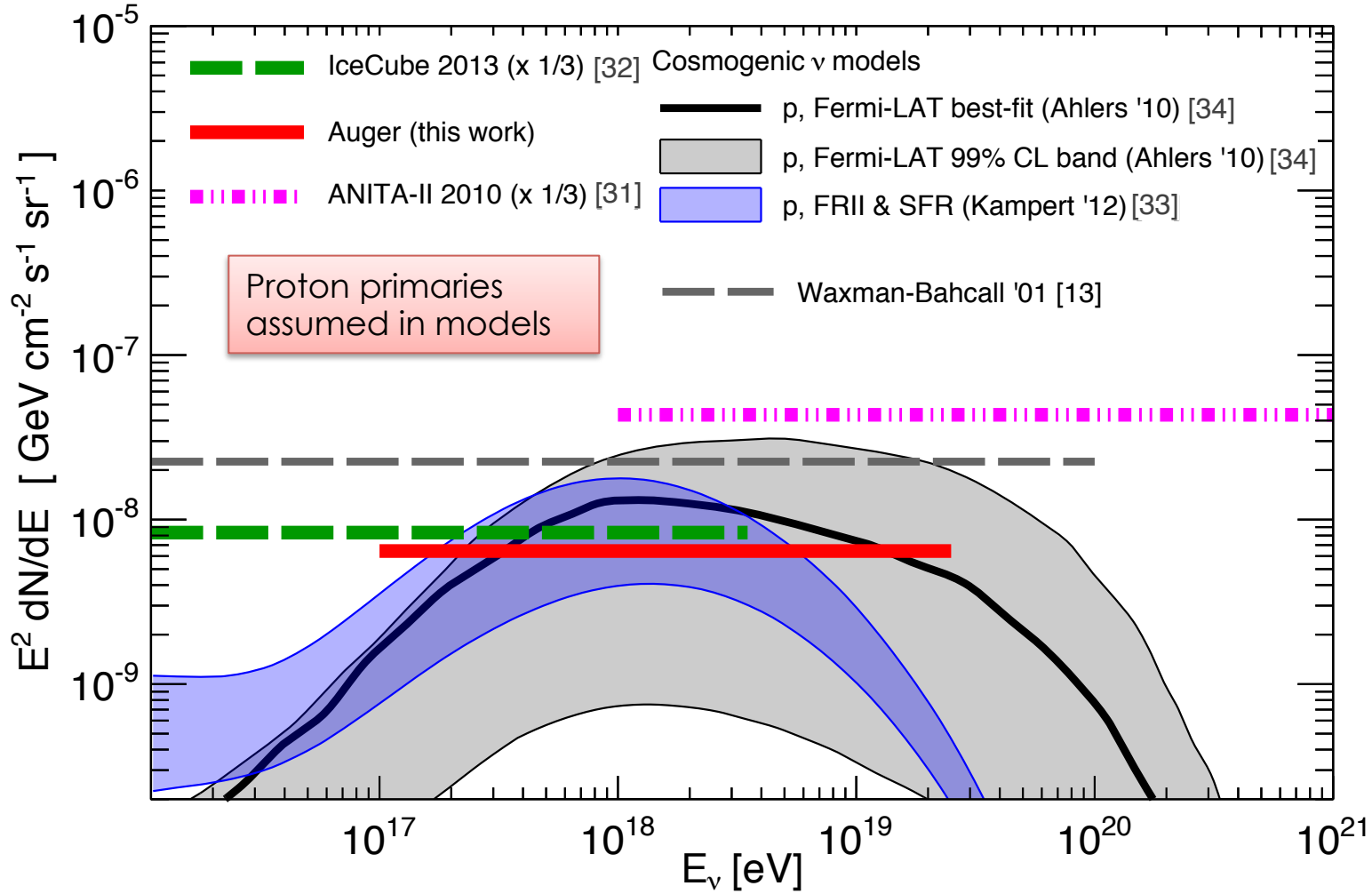
Auger LIMIT:  $dN/dE = k E^{-2} \rightarrow k \sim 6.4 \times 10^{-9} \text{ GeV cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$   
@ 90% C.L. in  $10^{17} \text{ eV} < E_\nu < 2.5 \times 10^{19} \text{ eV}$



# Limits to the diffuse flux of UHE $\nu$

Single flavour, 90% C.L.

Pierre Auger Collaboration, Phys. Rev. D **91**, 092008 (2015)



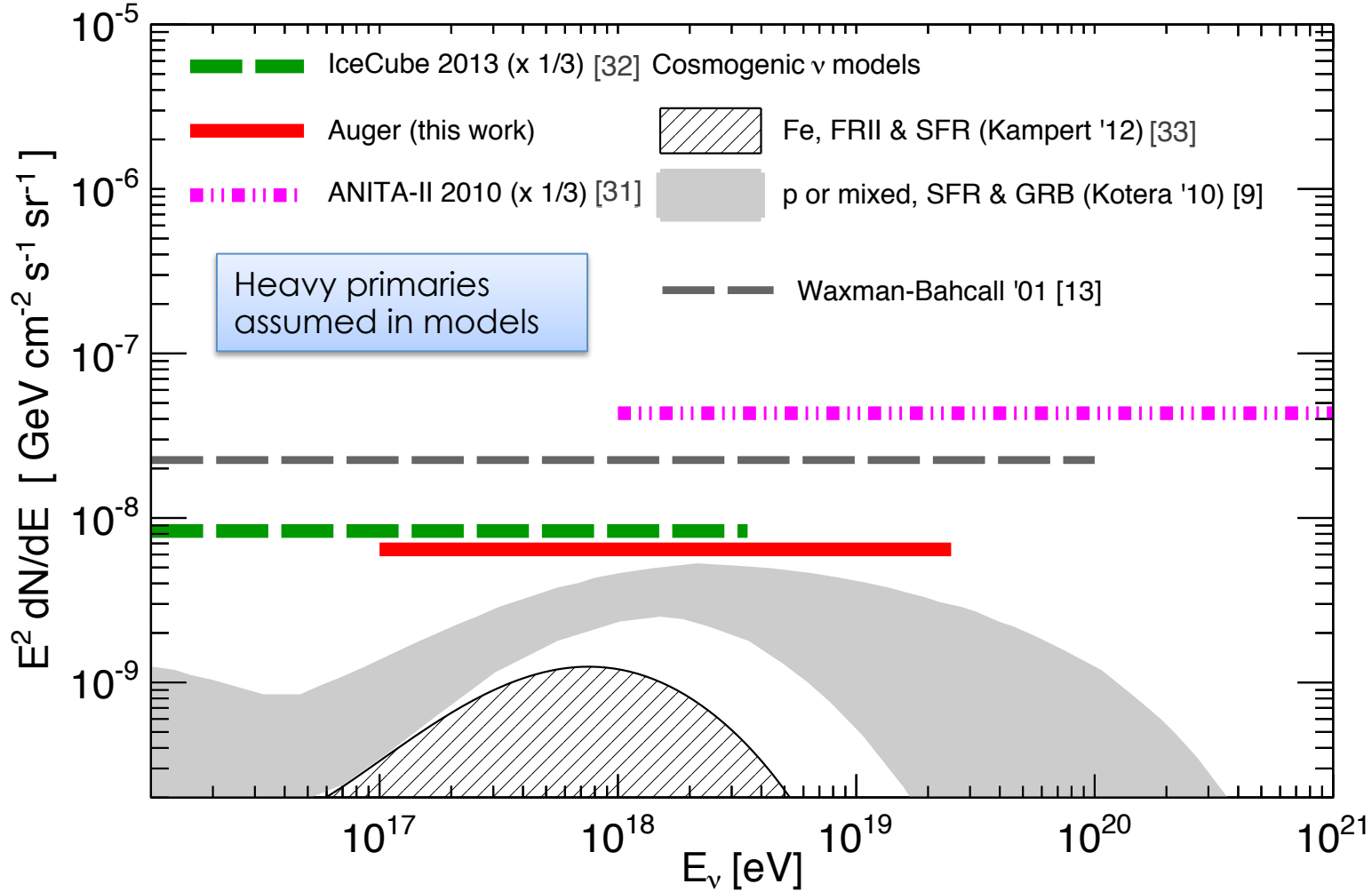
**SD Data:**  
Jan. 2004 –  
June 2013

Auger limit constrains cosmogenic neutrino models with proton primaries & strong evolution of sources with redshift

# Limits to the diffuse flux of UHE $\nu$

Single flavour, 90% C.L.

Pierre Auger Collaboration, Phys. Rev. D **91**, 092008 (2015)



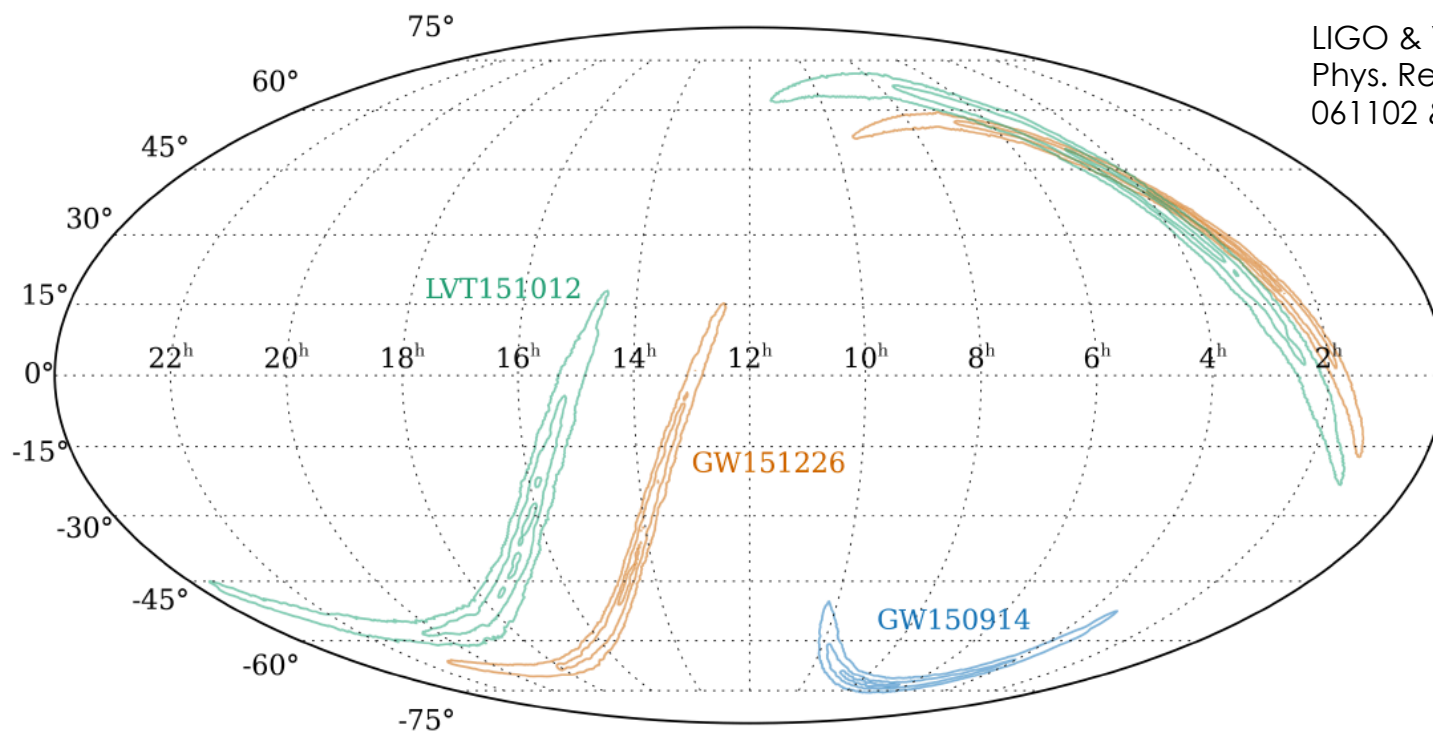
**SD Data:**  
Jan. 2004 –  
June 2013

Auger limit does not yet constrain models with heavier primaries

# The 2015 LIGO gravitational waves events

**Gravitational Wave events** (GW150914 & GW151226) detected by Advanced-LIGO detectors (also LVT151012 candidate):

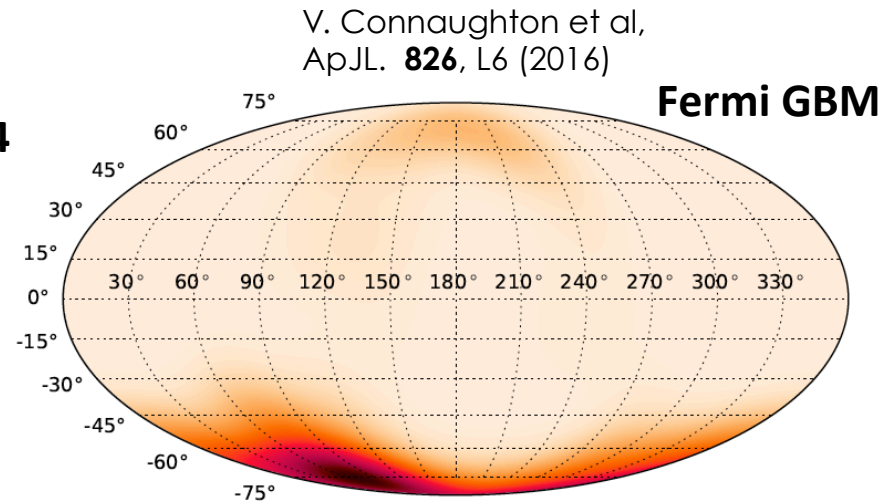
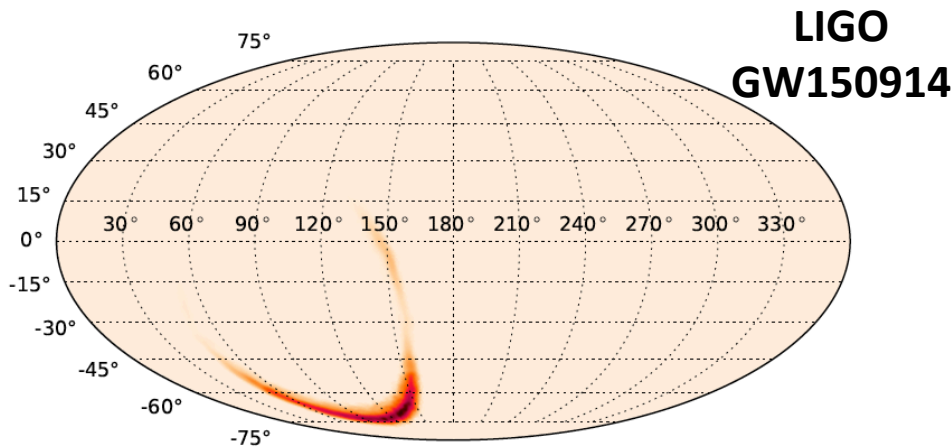
- Inferred source: **merger of binary black-hole** at  $D = 410$  &  $440$  Mpc
- $\sim 3$  &  $1$  solar masses energy released in the form of GW
- Position in the sky uncertain: few  $100 \text{ deg}^2$



LIGO & Virgo Collab.  
Phys. Rev. Lett. **116**,  
061102 & 241103 (2016)

# UHE $\nu$ 's from binary-BH mergers?

- **General consensus:** Binary BH merger does not produce electrom./neutrino counterpart, however:
  - **Signal reported by Fermi GBM:** transient source @ 50 keV, 0.4 s after GW150914 at consistent position

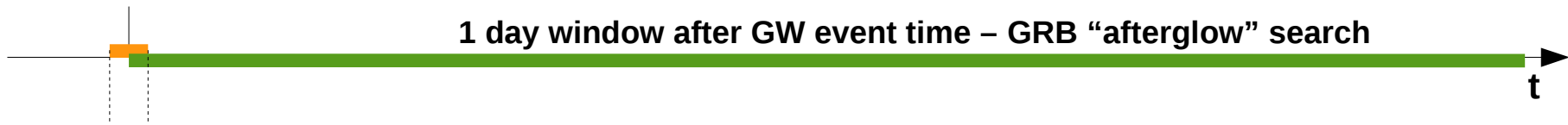


- There are indeed **models predicting UHE neutrinos:**
  - UHECR accelerated by Fermi mechanism if relic B-fields & debris from BH formation of BHs  $\Rightarrow$  emission of UHE  $\nu$ 's &  $\gamma$ 's *K. Kotera, J. Silk, ApJL **823**, L29 (2016)*
  - If accretion disk present, UHECR can be accelerated by electric fields in disk dynamo  $\Rightarrow$  UHE  $\nu$ 's from interaction with photon backgrounds and gas around BH *L. Anchordoqui, Phys. Rev. D **94**, 023010, 2016*

# Searching for $\nu$ in coincidence with the GW events

- Energy range:  $E > 100$  PeV – complementary to IceCube-Antares follow up  
*LIGO&VIRGO, Icecube, ANTARES coll. PRD 93, 122010 (2016)*
- We applied Auger Earth-Skimming and Downward-going neutrino selection to data in spatial and temporal proximity to GW150914, GW151226 (and LVT151012):

GW



$\pm 500$  s window  
around GW event time  
“coincidence” search

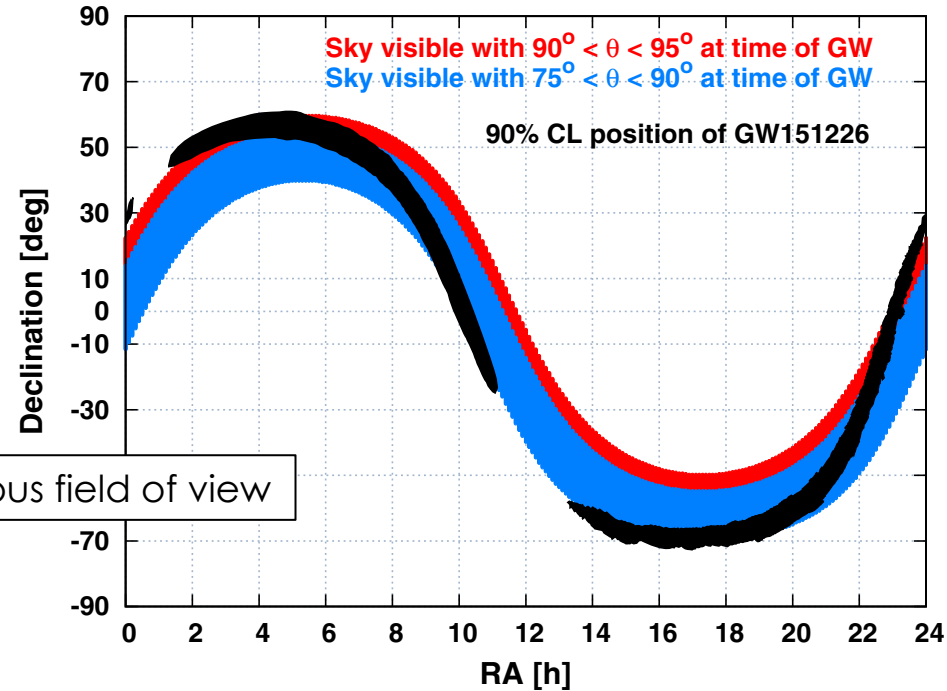
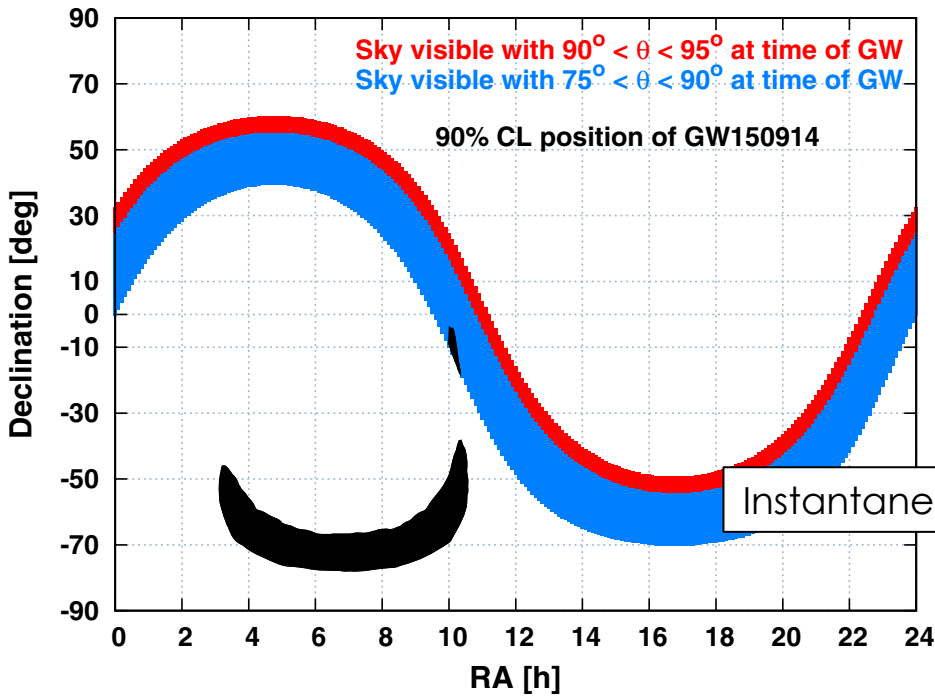
for each GW, data unblinded over an observations  
window  $T_{\text{search}}$  of 1d + 500s

# Auger sensitivity to UHE $\nu$ 's from the GW events

- Auger sensitivity limited to large zenith angles : at each instant in time, neutrinos can be detected efficiently only from a specific portion of sky

Auger Latitude:  $\lambda = -35.2^\circ$

Pierre Auger Collaboration, Phys. Rev. D 94, 122007 (2016)



No  $\nu$  candidate events found in the time window  $\pm 500$  s around the GW events

# Sky map of visibility time fraction in 1 sidereal day

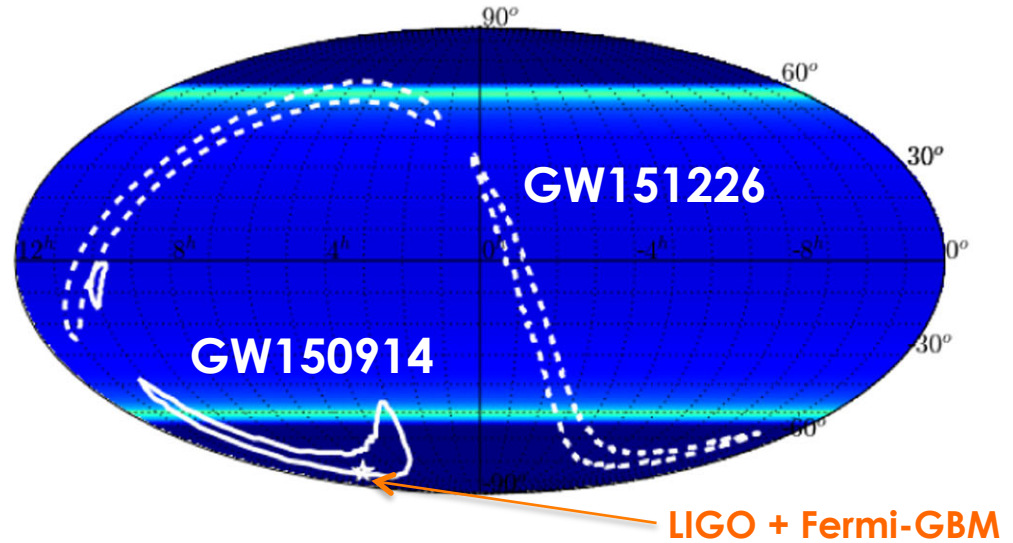
No  $\nu$  candidate events found in 1 day after the GW events



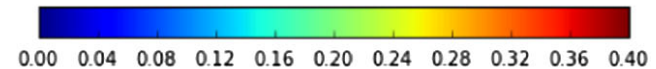
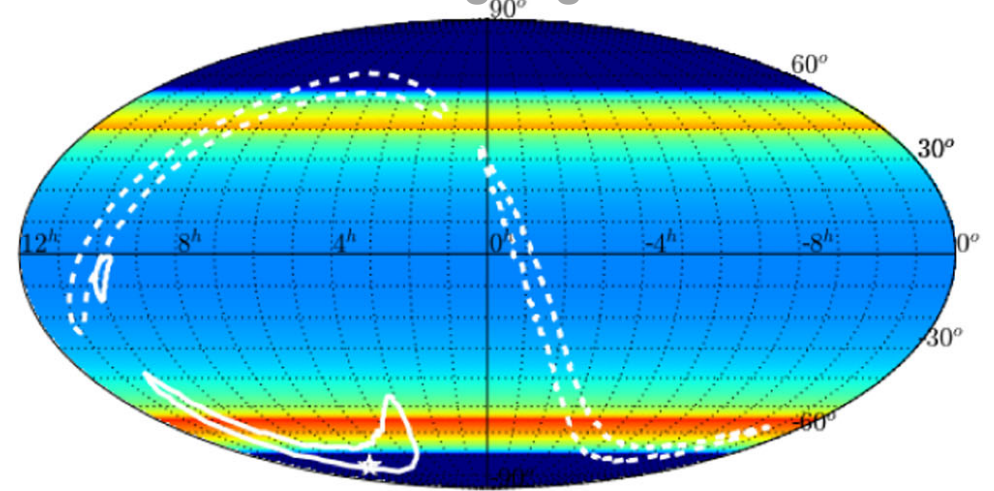
**Constraints are declination-dependent**

$$k^{\text{GW}}(\delta) = \frac{2.39}{\int_{E_\nu} E_\nu^{-2} \mathcal{E}_{\text{GW}}(E_\nu, \delta) dE_\nu}$$

Earth-skimming channel

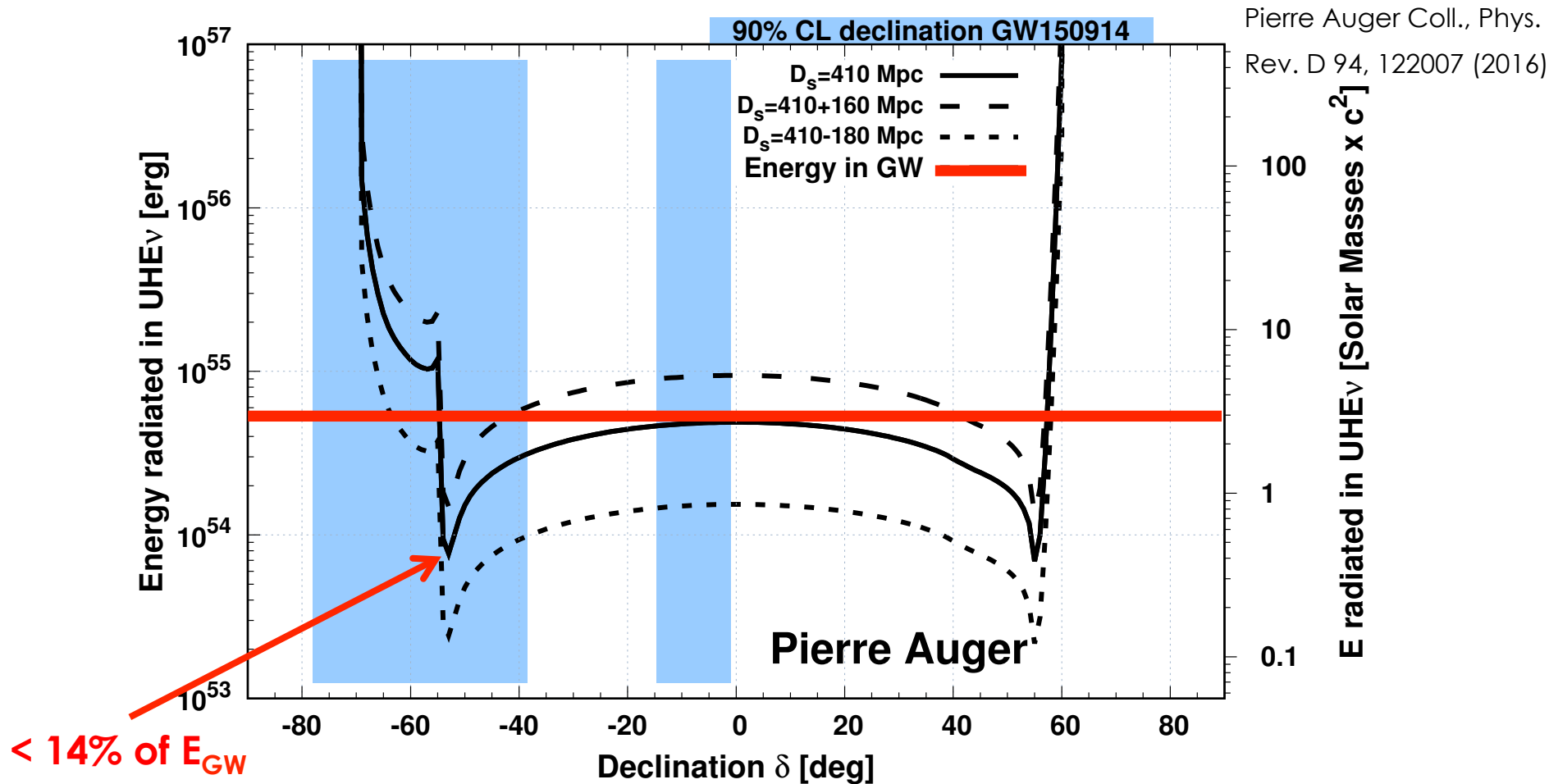


Downward-going channel



Fraction of 1 sidereal day

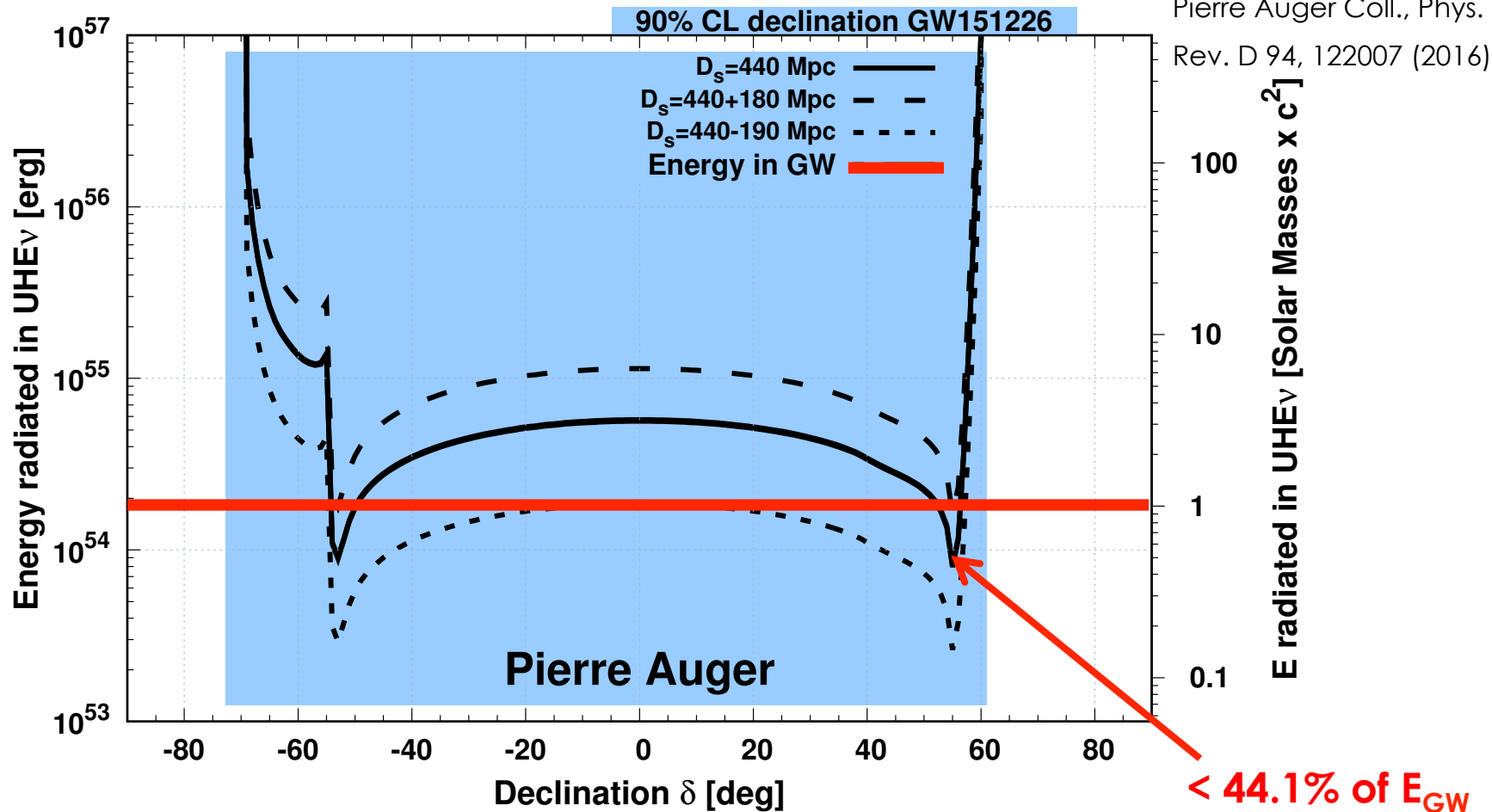
# Constraints on energy radiated from GW150914 in UHE $\nu$ ( $E_\nu > 10^{17}$ eV)



less than (0.5, 3) solar masses depending on source declination



# Constraints on energy radiated from GW151226 in UHE $\nu$ ( $E_\nu > 10^{17}$ eV)



less than (0.5, 3) solar masses depending on source declination

# Conclusions I

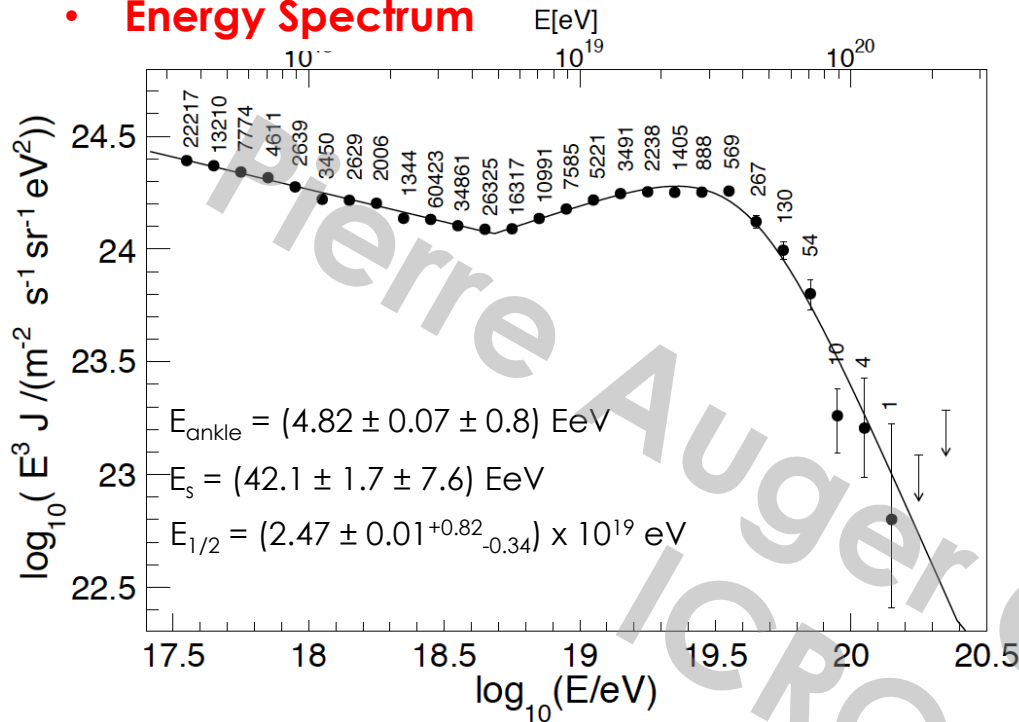
- Surface Detector of the Auger Observatory sensitivity to UHE neutrinos
  - easy to identify: inclined showers with broad time fronts
  - search not limited by background but by exposure
  - sensitivity peaks at  $\sim$  EeV (peak of cosmogenic neutrinos)
- No  $\nu$  candidates (1 Jan 2004 – 20 June 2013):
  - Stringent limit to diffuse flux:  
 $dN/dE = k E^{-2} \rightarrow k \sim 6.4 \times 10^{-9} \text{ GeV cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} @ 90\% \text{ C.L.}$   
in  $10^{17} \text{ eV} < E_n < 2 \times 10^{19} \text{ eV}$
  - Limit below the WB bound :
    - First shower array to achieve this benchmark
  - Top-down (exotic) models strongly constrained (many rule-out)
  - Cosmogenic model with pure proton composition at the source and strong FRII evolution disfavoured

# Conclusions II

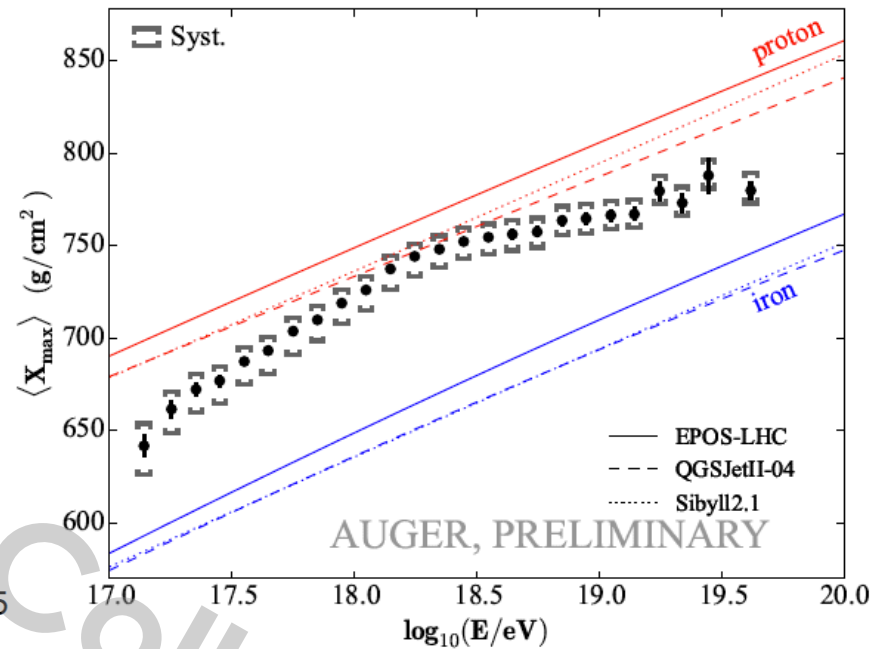
- Follow-up of 2015 LIGO Gravitational-Wave events:
  - no neutrinos found
  - upper limits on UHE neutrinos in temporal & spatial correlation with LIGO GW events: first limits above  $10^{17}$  eV (complementary to IceCube limits)
- More on neutrino searches with the Auger Observatory:
  - Search for point-like sources of UHE neutrinos  
*Pierre Auger Collaboration, ApJL 755 (2012) L4*
  - Correlation between IceCube neutrino events and UHECRs detected by Pierre Auger Observatory and Telescope Array  
*IceCube, Auger, Telescope Array, JCAP 01 (2016) 37*

Backup

• **Energy Spectrum**

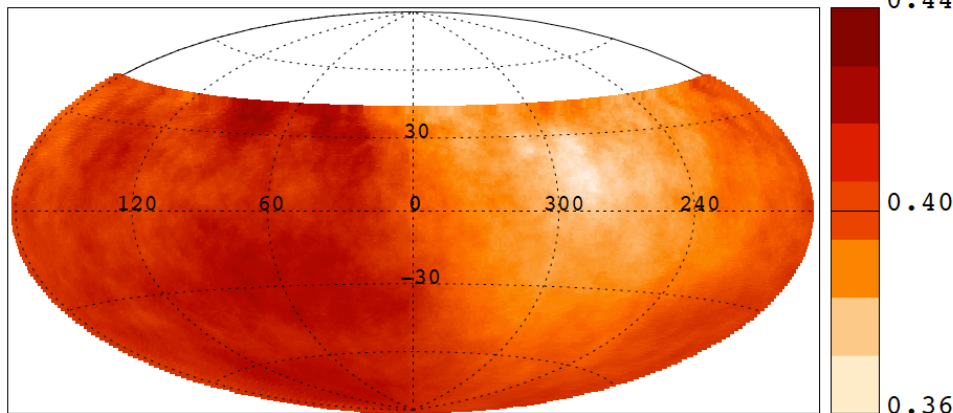


• **Mass composition**

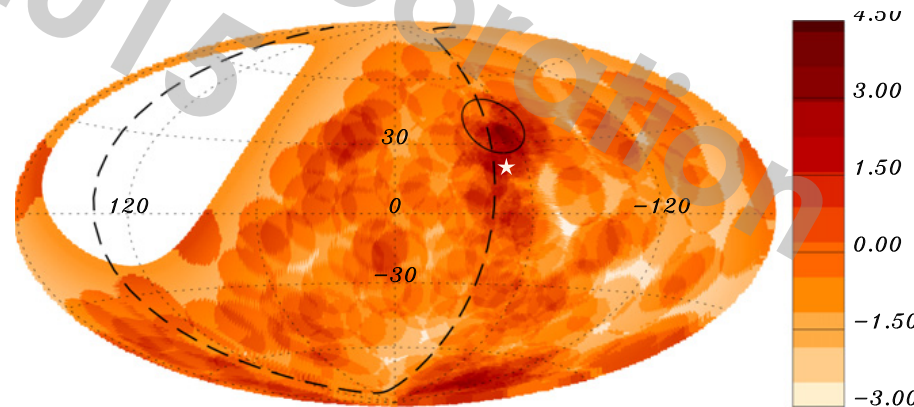


• **Large Scale anisotropies**

Flux  
[ $\text{km}^{-2} \text{yr}^{-1} \text{sr}^{-1}$ ]



• **Correlation with nearby extra-galactic objects**

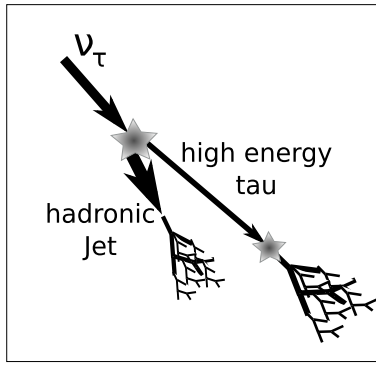
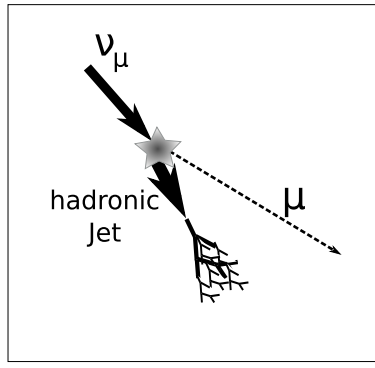
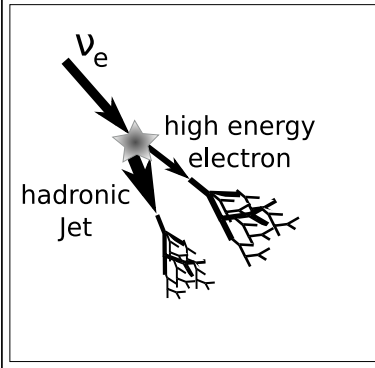


$E > 8 \text{ EeV}$ : Dipole of amplitude  $7.3\% \pm 1.5\%$   
 Pointing to  $(\alpha, \delta) = (95^\circ \pm 13^\circ, -39^\circ \pm 13^\circ)$

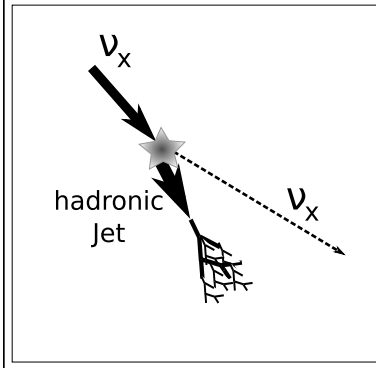
Events above 40 EeV in windows from  $1^\circ$  to  $30^\circ$   
 No significant excess found

# $\nu$ flavours and channels

## Charged Current



## Neutral Current



# Sets of selection criteria

Auger Collaboration Phys. Rev. D 91, 092008 (2015)

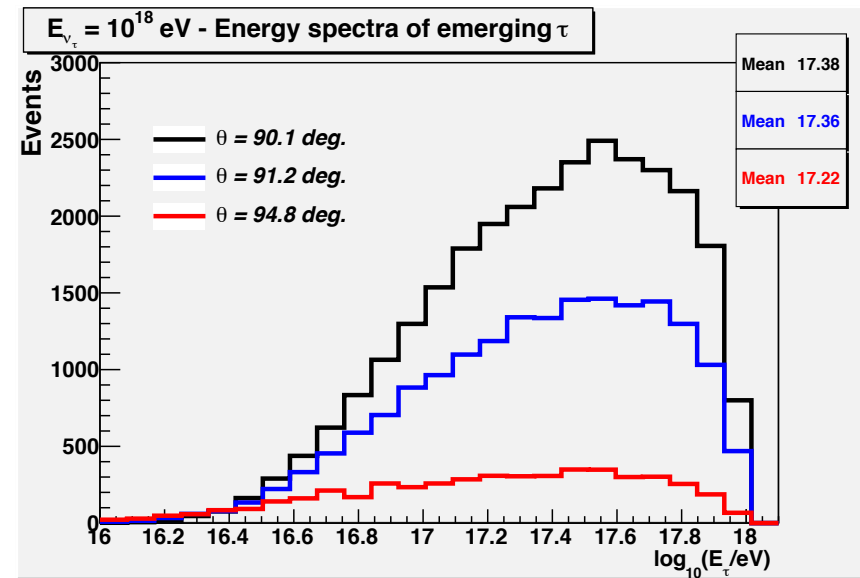
Selection	Earth-skimming (ES)	Downward-going high angle (DGH)	Downward-going low angle (DGL)
Flavours and interactions	$\nu_\tau$ CC	$\nu_e, \nu_\mu, \nu_\tau$ CC & NC	$\nu_e, \nu_\mu, \nu_\tau$ CC & NC
Angular range	$\theta > 90^\circ$	$\theta \in (75^\circ, 90^\circ)$	$\theta \in (60^\circ, 75^\circ)$
N <sup>o</sup> of stations ( $N_{st}$ )	$N_{st} \geq 3$	$N_{st} \geq 4$	$N_{st} \geq 4$
Inclined showers	$L/W > 5$ $\langle V \rangle \in (0.29, 0.31) \text{ m ns}^{-1}$ $\text{rms}(V) < 0.08 \text{ m ns}^{-1}$	$\theta_{rec} > 75^\circ$ $L/W > 3$ $\langle V \rangle < 0.313 \text{ m ns}^{-1}$ $\text{rms}(V)/\langle V \rangle < 0.08$	$\theta_{rec} \in (58.5^\circ, 76.5^\circ)$
Young showers	Data: 1 January 2004–31 May 2010 $\geq 60\%$ of stations with ToT trigger and AoP > 1.4 Data: 1 June 2010–20 June 2013 $\langle \text{AoP} \rangle > 1.83$ $\text{AoP}_{\min} > 1.4$ if $N_{st} = 3$	Fisher discriminant based on AoP of <i>early</i> stations	$\geq 75\%$ of stations close to shower core with ToT trigger and Fisher discriminant based on AoP of <i>early</i> stations close to shower core

# Exposure:

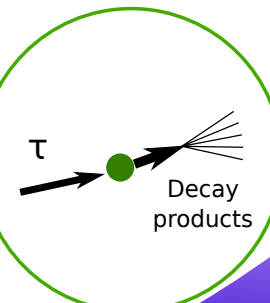
## Earth-skimming $\nu_\tau$

1. Tau neutrino propagation and tau production:
  - Dedicated MC for propagation of  $\nu_\tau$  through the Earth producing emerging tau leptons.
  - Convolute with probability of tau decay in the atmos. (exponential)
2. Tau decay products obtained with TAUOLA generator:
  - All decays included
  - 17% BR to muons which are not detected
3. Shower induced by tau decay products simulated with AIRES
4. Detector simulation performed with Auger Offline package

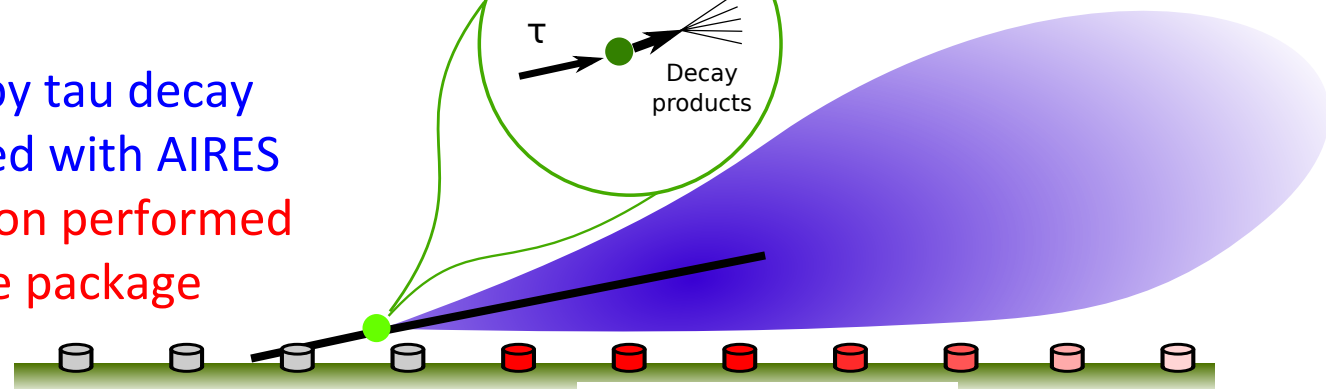
## 1. $\nu_\tau$ PROPAGATION



## 2. TAUOLA

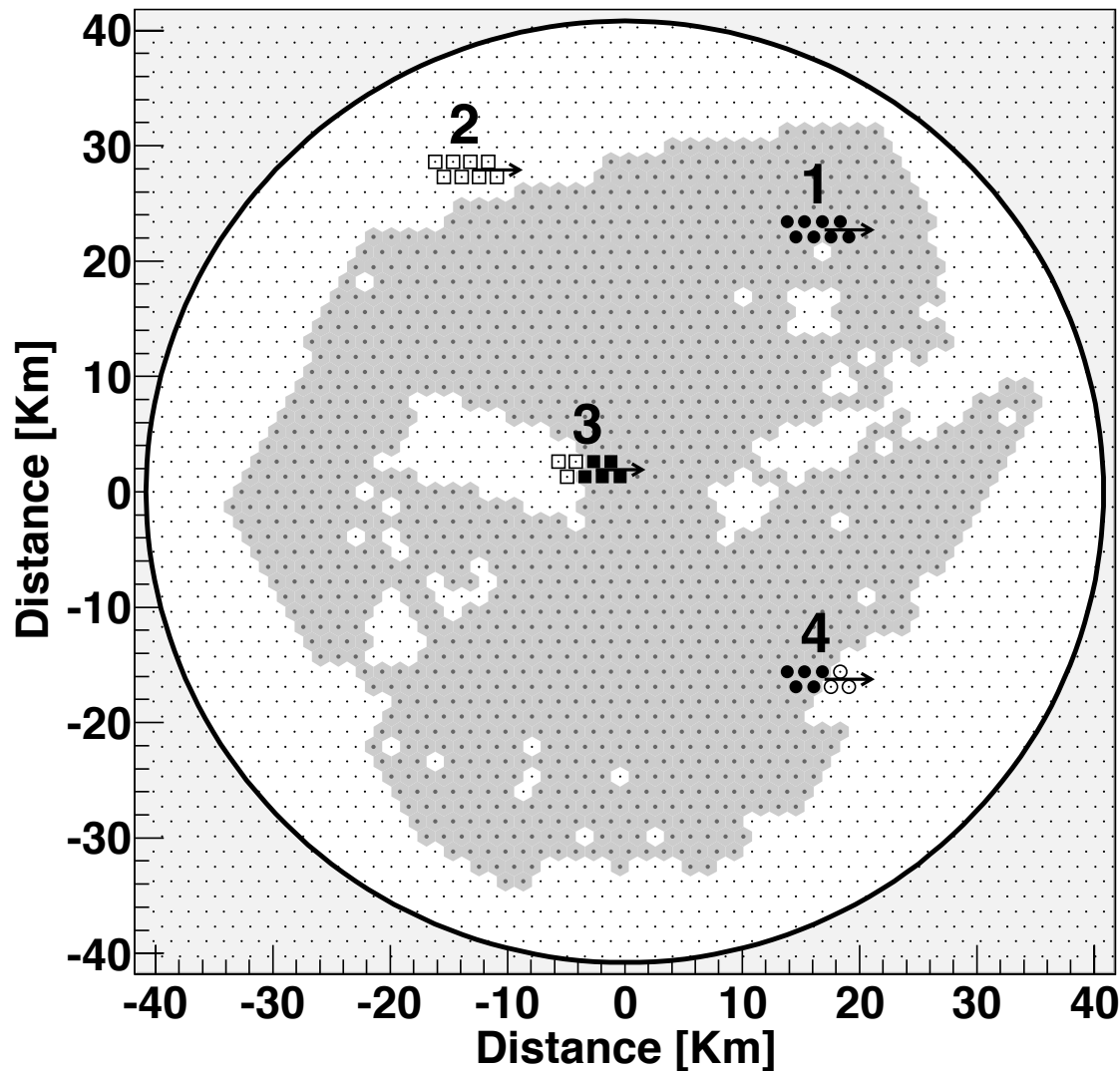


## 3. AIRES



## 4. OFFLINE

# Exposure calculation: accounting for a “dynamical” array



Array status in Nov. 2007 before construction ended (2008).

Neutrino simulated showers are “thrown” over a changing array

- ① Shower fully contained, triggering and identified as a neutrino.
- ② Shower outside array not triggering.
- ③ Shower not fully contained, triggering but not identified as a neutrino.
- ④ Shower not fully contained, but triggering and identified as a neutrino



# Systematic uncertainties: $\nu$ limits

Source of systematic	Combined uncertainty band
Simulations	$\sim +4\%, -3\%$
$\nu$ cross section and $\tau$ E-loss	$\sim +34\%, -28\%$
Topography	$\sim +15\%, 0\%$
Total	$\sim +37\%, -28\%$

Uncertainties incorporated in the limit following the well-known Conrad approach.

# Expected number of events

$$N_{\text{events}} = \int_{E_\nu} \Phi(E_\nu) \varepsilon_{\text{tot}}(E_\nu) dE_\nu$$

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	Expected number of events (1 January 2004–20 June 2013)
Diffuse flux Neutrino model	
Cosmogenic—proton, FRII [33]	~4.0
Cosmogenic—proton, SFR [33]	~0.9
Cosmogenic—proton, Fermi-LAT, $E_{\text{min}} = 10^{19}$ eV [34]	~3.2
Cosmogenic—proton, Fermi-LAT, $E_{\text{min}} = 10^{17.5}$ eV [34]	~1.6
Cosmogenic—proton or mixed, SFR & GRB [9]	~0.5–1.4
Cosmogenic—iron, FRII [33]	~0.3
Astrophysical $\nu$ (AGN) [35]	~7.2
Exotic [36]	~31.5

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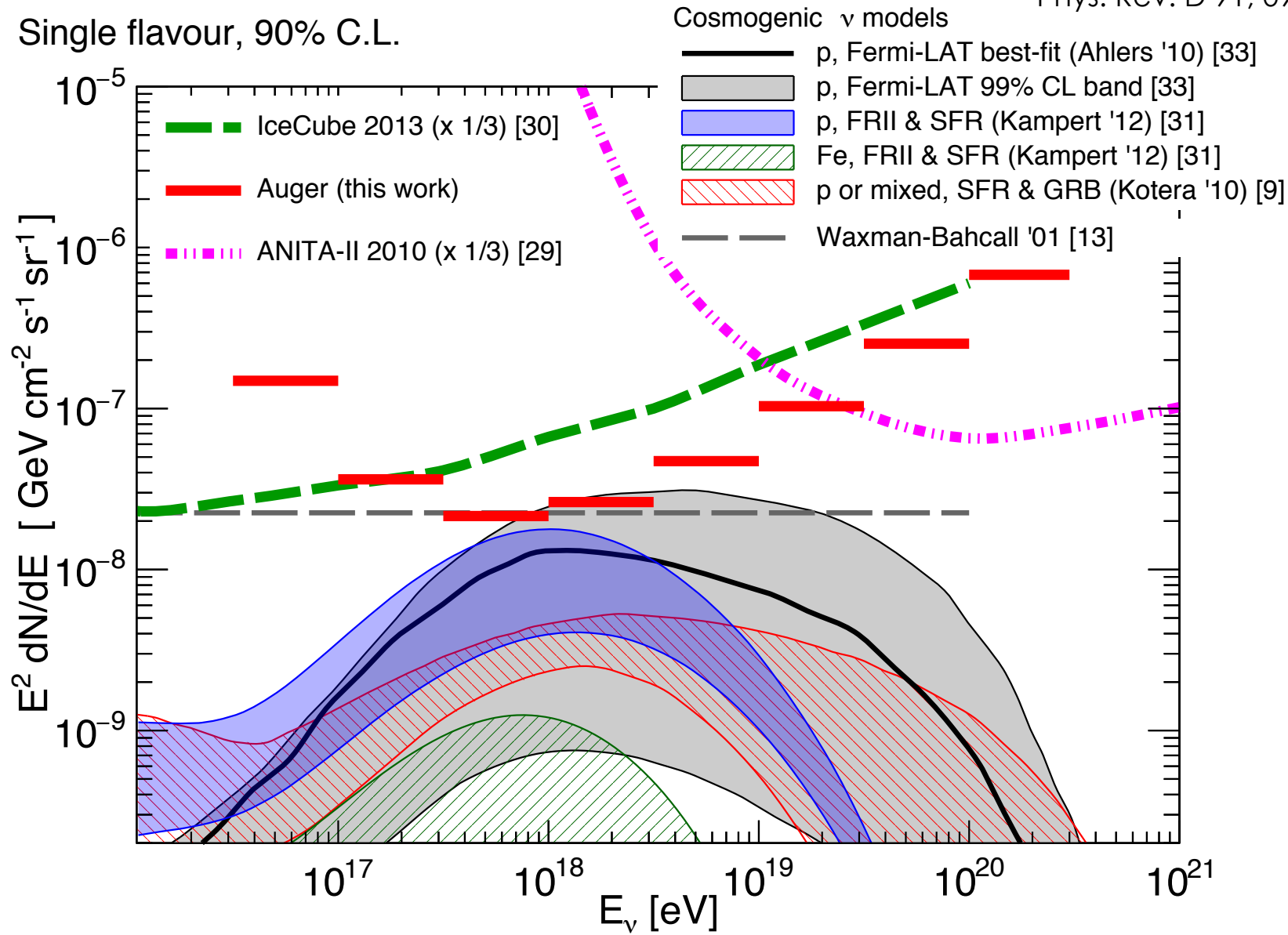
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Auger Collaboration  
Phys. Rev. D 91, 092008 (2015)

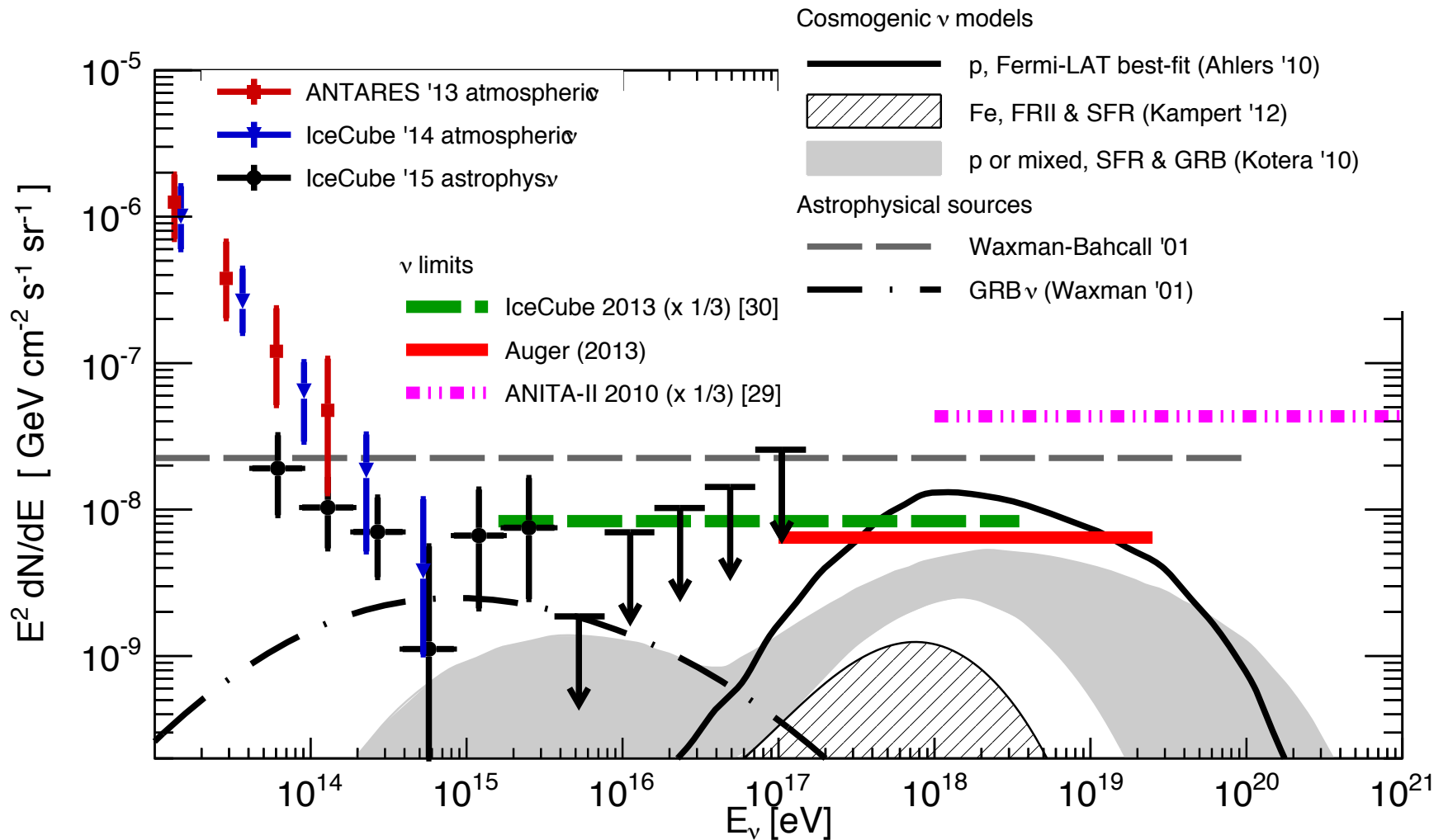
# Differential limits to diffuse flux of UHE $\nu$

Auger Collaboration  
Phys. Rev. D 91, 092008 (2015)

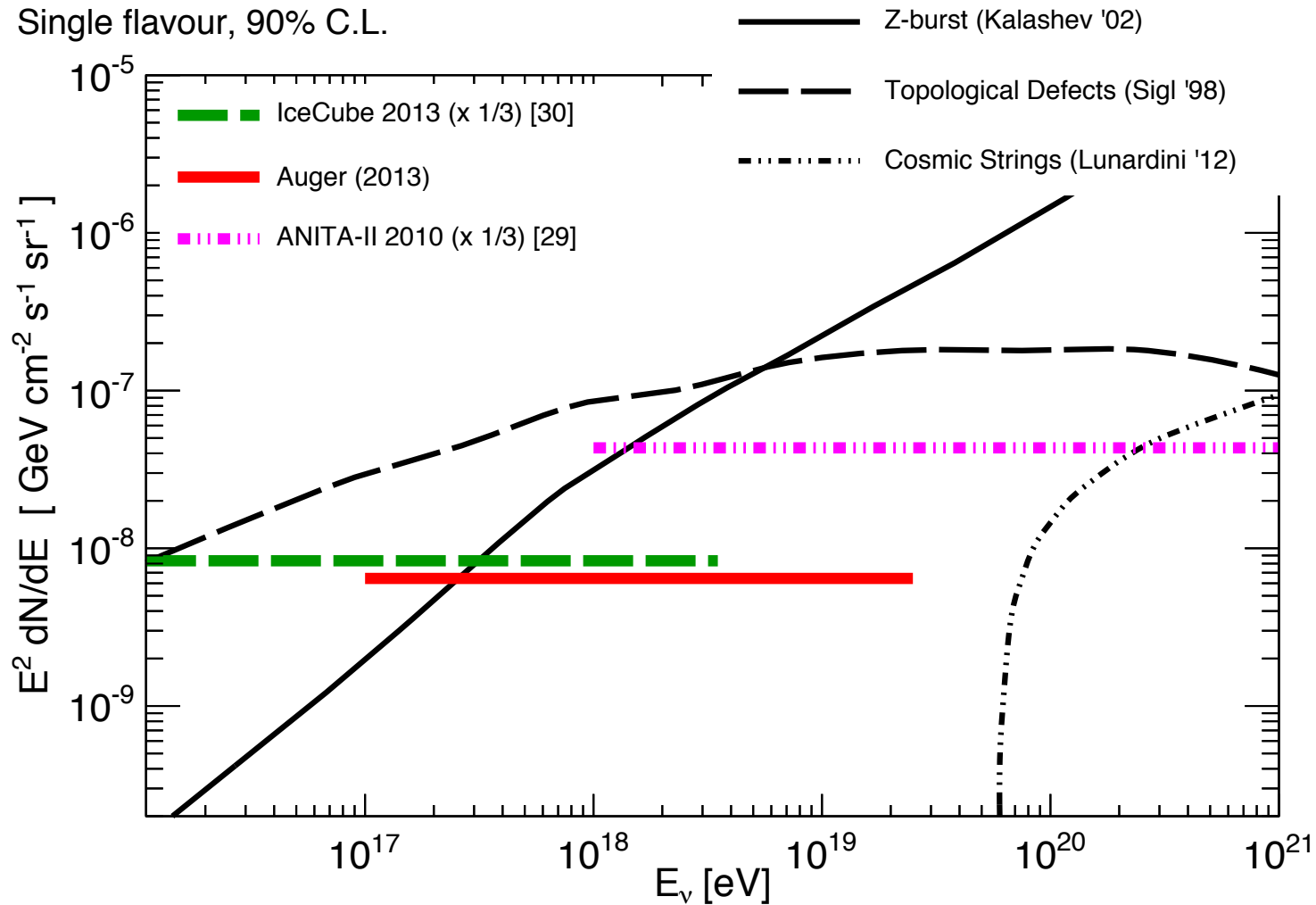
Single flavour, 90% C.L.



# Status of neutrino searches

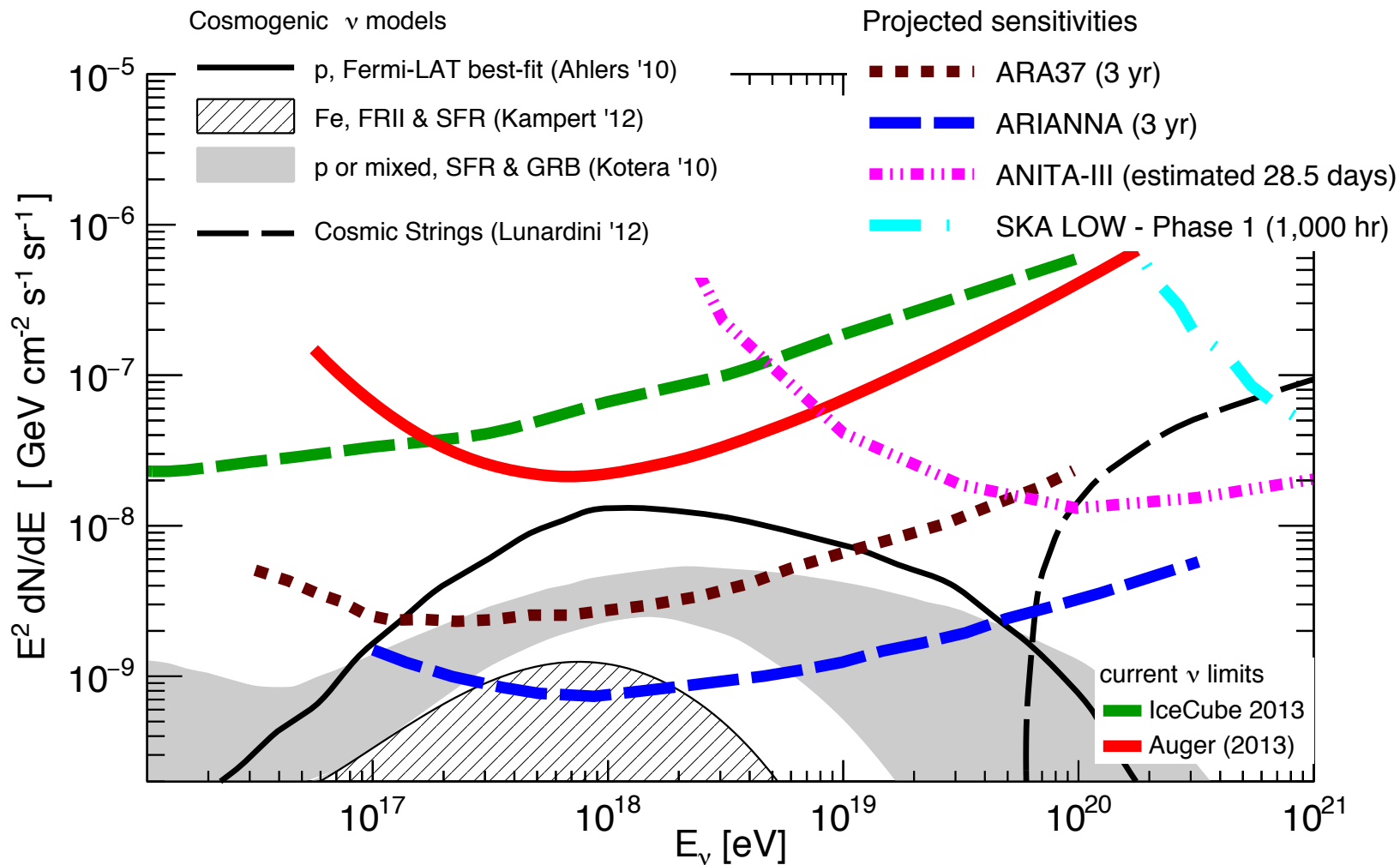


# Limits to exotic models of $\nu$ production



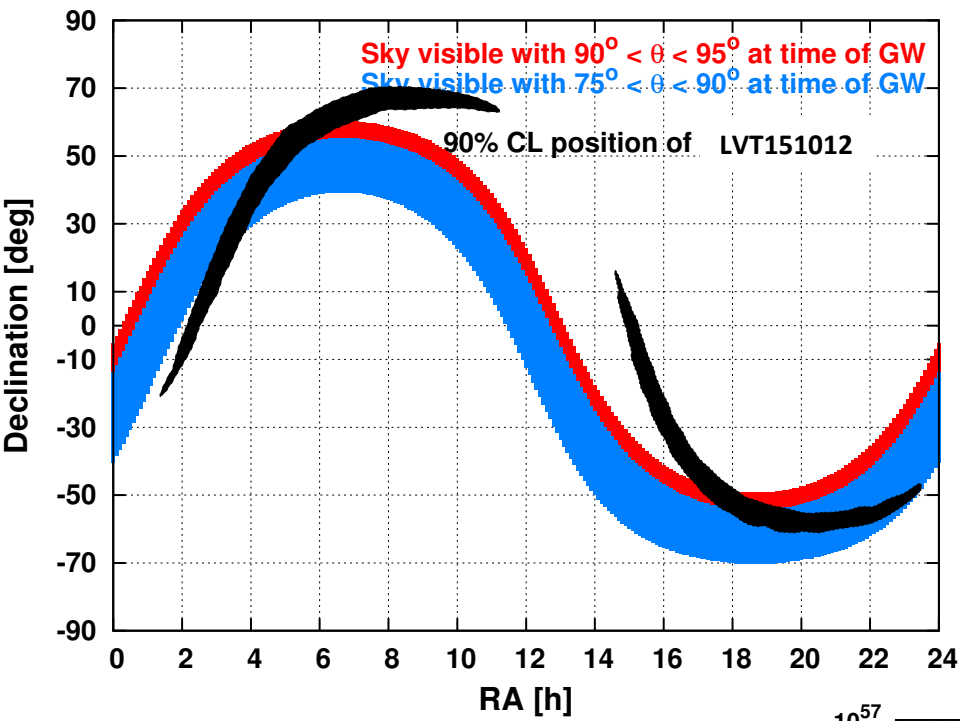
Auger limit rules out many exotic models of UHE $\nu$  production

# UHE Neutrino Sensitivity with radio

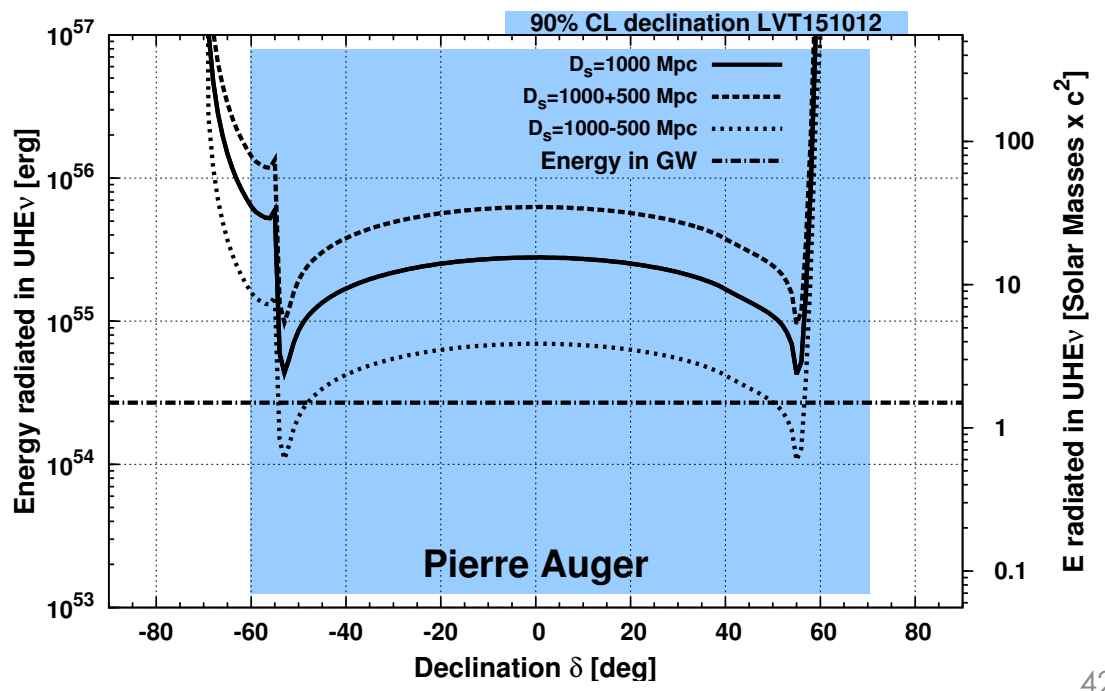


# What if a $\nu$ candidate appears?

- **Energy estimate of a  $\nu$  candidate :**
  - Only the energy of the  $\nu$ -induced shower ( $E_{\text{shower}}$ ) can be reconstructed
  - $\nu$  flavour cannot be determined &  $E_{\text{shower}}$  depends on flavour
  - At best a lower bound to  $E_\nu$  because  $E_\nu > E_{\text{shower}}$
  - $\nu$  can interact anywhere in atmosphere:  $E_{\text{shower}}$  estimation should include shower age – No algorithm including age exists so far.
- **Angular reconstruction of quasi-horizontal events :**
  - Not optimized for deeply penetrating & very inclined showers ( $> 80^\circ$ )  
Angular resolution  $\sim 1-2^\circ$
  - Identification of upgoing shower would indicate  $\nu_\tau$  primary
- **Auger SD = discovery experiment (a “counter” of UHE neutrinos)**



The GW candidate event LVT151012





# Auger constraints on models

Pierre Auger Coll., Phys.  
Rev. D 94, 122007 (2016)

- Kotera & Silk (ApJLett 823, L29, 2016): events such as GW1501914 can account for UHECR above  $10^{19}$  eV
  - sufficient power to accelerate CR up to  $10^{20}$  eV (if  $B_{\text{field}} > 10^{11}$  G)
  - with  $< 3\%$  of energy released in GW: UHECR energy budget achieved
- UHEv if BHs surrounded by debris where  $p\gamma$  interactions occur.

Upper limit to **diffuse** UHE neutrino flux from BH mergers:

$$E^2 dN/dE \sim 1.5 - 6.9 \times 10^{-8} \text{ GeV cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \text{ (theory)}$$

above Auger limit

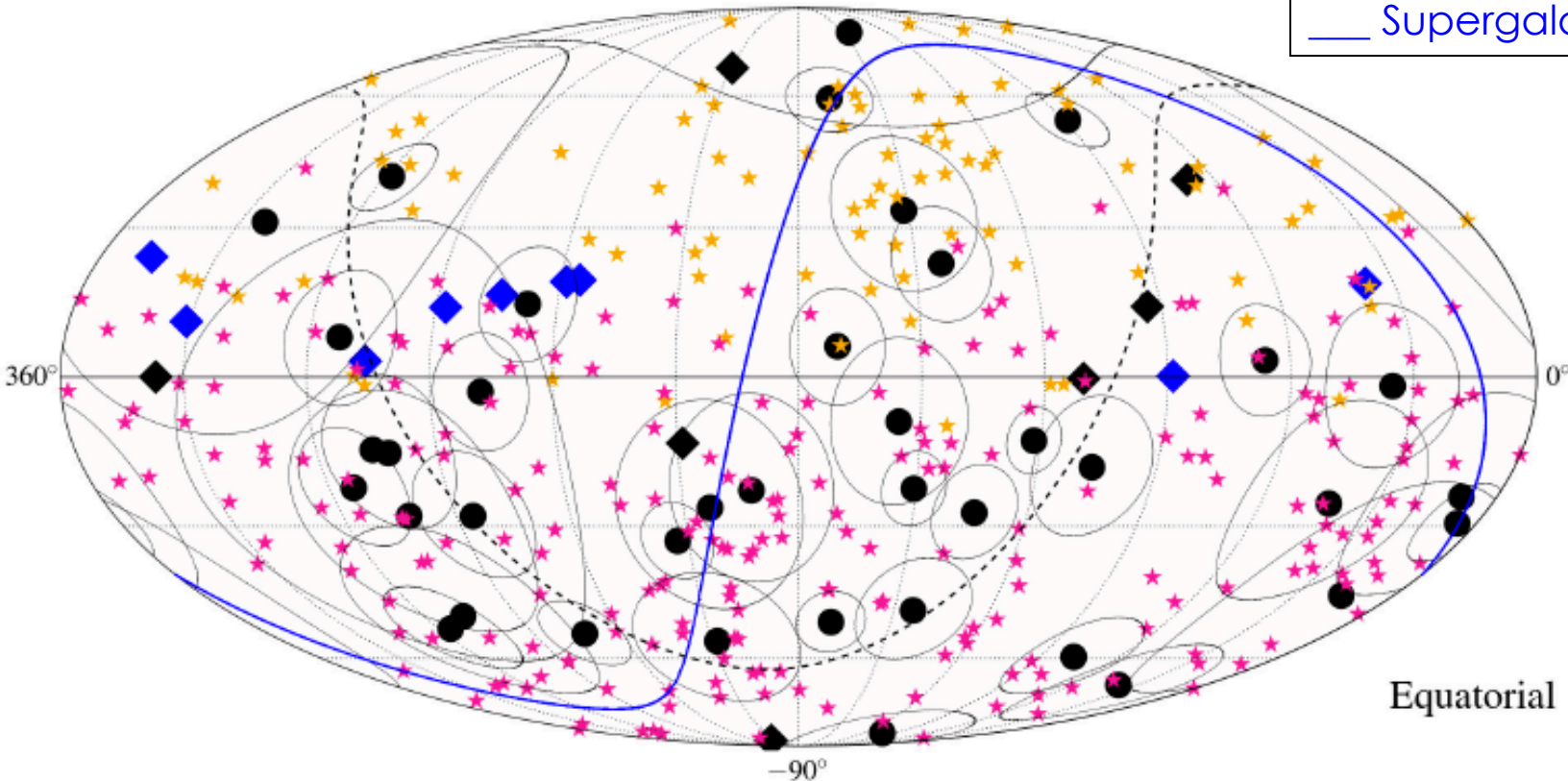
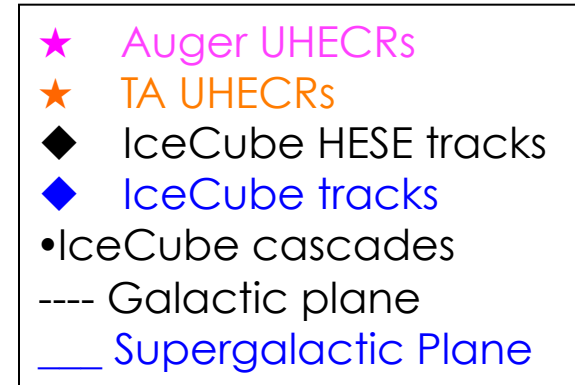
$$E^2 dN/dE \sim 6.4 \times 10^{-9} \text{ GeV cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \text{ (Auger)}$$

- **Implications:**

- optical depth to  $p\gamma$  SMALLER than 1
- ONLY a fraction of energy in protons goes into charged pions  $\rightarrow$  neutrinos
- ONLY a fraction of luminosity extracted from BH goes into UHECR acceleration

# Correlation between Auger + Telescope Array UHECRs & IceCube $\nu$

Three analyses to investigate correlations between 318 UHECRs in Auger + Telescope Array with samples of IceCube neutrino events.



No indications of correlations above  $3.3 \sigma$

IceCube, Auger, Telescope Array  
JCAP **01** (2016) 37