

# "3D Parton Distributions: path to the LHC" INFN Frascati: 29/11 - 2/12/2016.

## "POLARIZATION EFFECTS IN HADRONIZATION"

Collaborators: A. Kotzinian and A.W. Thomas.

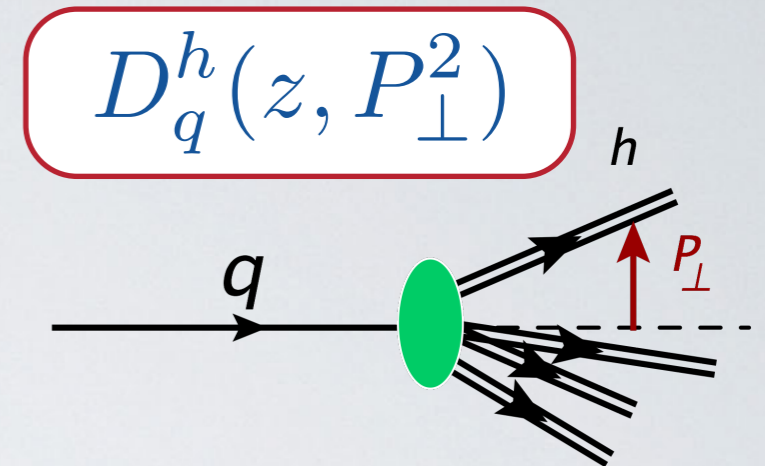
Hrayr Matevosyan

# Outlook

- ❖ *Introduction and Motivation.*
- ❖ *Short Overview of models for polarized fragmentation functions.*
- ❖ *Quark-jet model.*
- ❖ *Recent Results from Monte Carlo Simulations: both single and dihadron FFs. Can we learn about hadronization mechanisms from polarized FFs?*
- ❖ *Conclusions.*

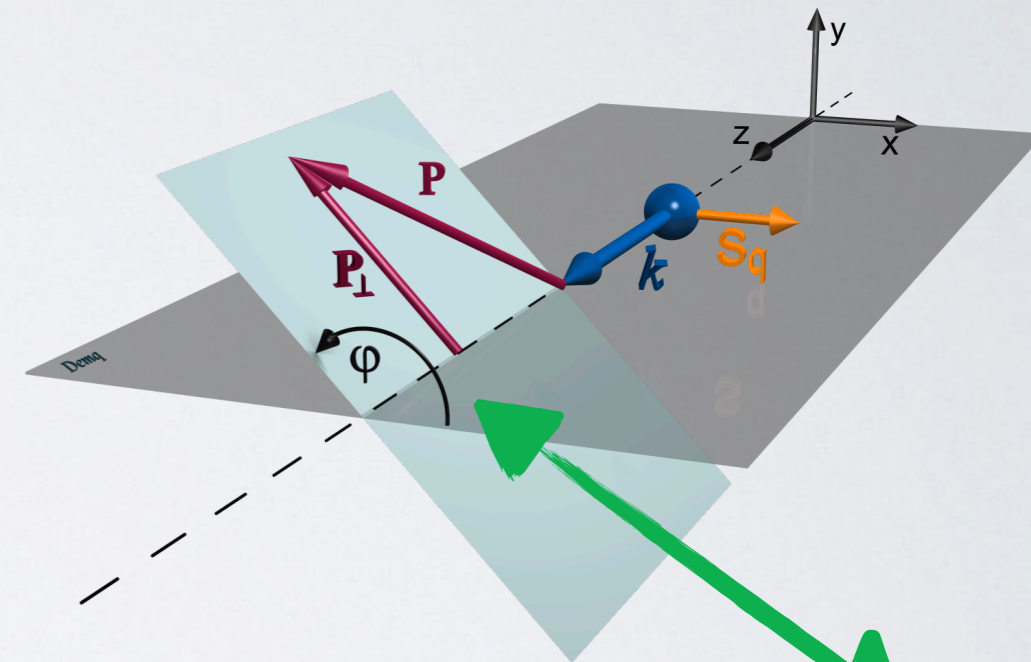
# TMD FFs and Collins Fragmentation Function

- ▶ **Unpolarized TMD FF:** number density for quark  $q$  to produce unpolarized hadron  $h$  carrying LC fraction  $z$  and TM  $P_{\perp}$ .



$$D_q^h(z, P_{\perp}^2)$$

- ▶ **Collins Effect:** Azimuthal Modulation of Transversely Polarized Quark' FF. Fragmenting quark's transverse spin couples with produced hadron's TM!



$$D_{h/q\uparrow}(z, P_{\perp}^2, \varphi) = D_1^{h/q}(z, P_{\perp}^2) - H_1^{\perp h/q}(z, P_{\perp}^2) \frac{P_{\perp} S_q}{zm_h} \sin(\varphi)$$

Unpolarized

Collins

- ▶ **Collin FF** is **Chiral-ODD**: Should to be coupled with another chiral-odd PDF/FF in observables.

# TMD FFs for Spin-0 and Spin-1/2 Hadrons

- ❖ **The transverse momentum (TM) of the hadron can couple with both its own spin and the spin of the quark!**

$$F^{q \rightarrow \pi}(z, \mathbf{p}_{\perp}; \mathbf{s})$$

$\pi/q$	U	L	T
U	$D_1$		$H_1^{\perp}$

$$F^{q \rightarrow h^{\uparrow}}(z, \mathbf{p}_{\perp}; \mathbf{s}, \mathbf{S})$$

h/q	U	L	T
U	$D_1$		$H_1^{\perp}$
L		$G_{1L}$	$H_{1L}^{\perp}$
T	$D_{1T}^{\perp}$	$G_{1T}$	$H_{1T}^{\perp} H_{1T}^{\perp}$

## ◆ TMD Polarized Fragmentation Functions at LO.

- ▶ Only **two** for unpolarised final state hadrons.
- ▶ **8** for spin 1/2 final state (including quark). Similar to TMD PDFs.

# Field-Theoretical Definitions

- **The quark-quark correlator.**

$$\begin{aligned}\Delta^{[\Gamma]}(z, \vec{p}_T) &\equiv \frac{1}{4} \int \frac{dp^+}{(2\pi)^4} \text{Tr}[\Delta\Gamma] |_{p^- = zk^-} \\ &= \frac{1}{4z} \sum_X \int \frac{d\xi^+ d^2\vec{\xi}_T}{2(2\pi)^3} e^{i(p^- \xi^+ / z - \vec{\xi}_T \cdot \vec{p}_T)} \langle 0 | \psi(\xi^+, 0, \vec{\xi}_T) | p, S_h, X \rangle \langle p, S_h, X | \bar{\psi}(0) \Gamma | 0 \rangle\end{aligned}$$

- **The definitions of FFs from the quark correlator**

$$\Delta^{[\gamma^+]} = D(z, p_\perp^2) - \frac{1}{M} \epsilon^{ij} k_{Ti} S_{Tj} D_T^\perp(z, p_\perp^2)$$

$$\Delta^{[\gamma^+ \gamma_5]} = S_L G_L(z, p_\perp^2) + \frac{\mathbf{k}_T \cdot \mathbf{S}_T}{M} G_T(z, p_\perp^2)$$

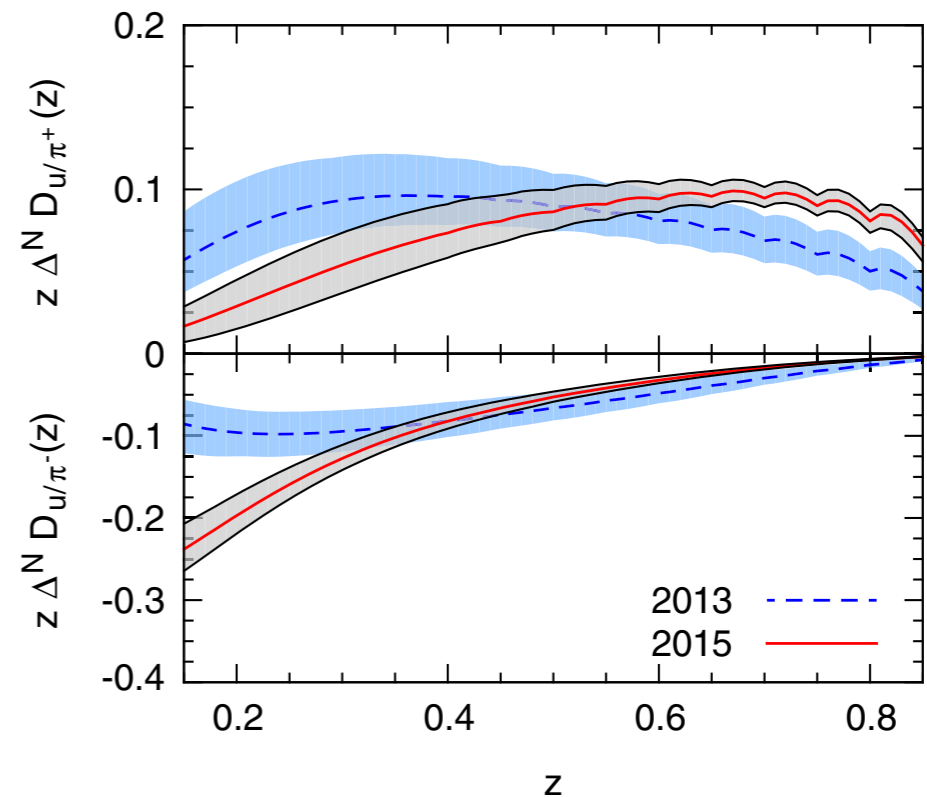
$$\begin{aligned}\Delta^{[i\sigma^{i+} \gamma_5]} &= S_T^i H_T(z, p_\perp^2) + \frac{S_L}{M} k_T^i H_L^\perp(z, p_\perp^2) \\ &\quad + \frac{k_T^i (\mathbf{k}_T \cdot \mathbf{S}_T)}{M^2} H_T^\perp(z, p_\perp^2) - \frac{\epsilon^{ij} k_{Tj}}{M} H^\perp(z, p_\perp^2)\end{aligned}$$

# Current Challenges

## 1) Phenomenological Extractions of TMD FFs.

- ▶ Still Large Uncertainties.
- ▶ Simplistic Approximations.
- ▶ Limited kinematic region.

Anselmino et al: PRD 92, 114023 (2015).



## 2) Full Event Generators:

- ▶ **No** Mainstream MC generator ***includes spin*** in Full Hadronization: ***PYTHIA, HERWIG, SHERPA...***
- ▶ MC generators are needed to support mapping of the 3D structure of nucleon at ***JLab 12, BELLE II, EIC.***

# Modelling Hadronization with Spin: The Objectives.

## 1) Phenomenological Extractions of TMD FFs.

- ▶ **Quantitative** extract. of fav. and unfav. polarised TMD FF.  
Provide guidance for empirical fits to data.
- ▶ Both single and dihadron FFs in the same framework!

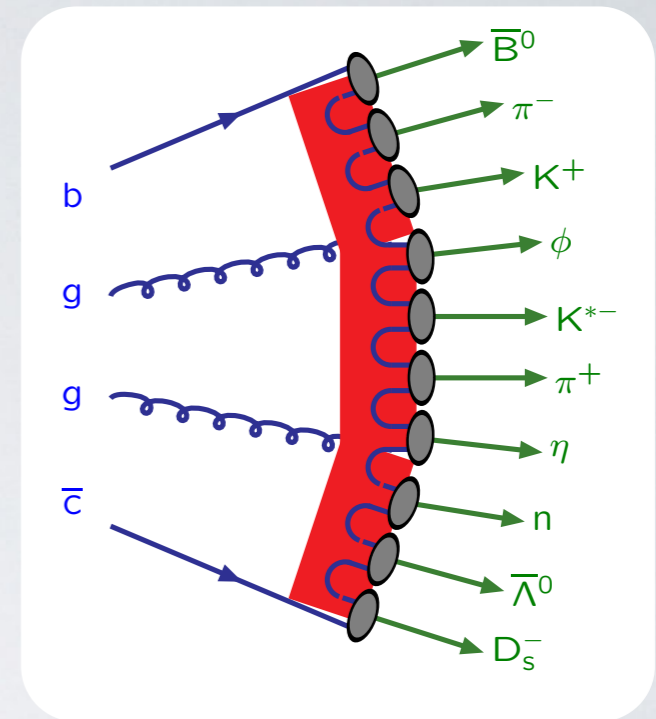
## 2) Interpretation in Full Event Generators:

- ▶ Probabilistic Mechanism for Full Hadronization.
- ▶ Iterative picture for MC framework: spin transfer!
- ▶ ***Should not break any of the unpolarised observables! (PYTHIA fits to existing data, etc.)***

# (SOME of the) MODELS FOR FRAGMENTATION

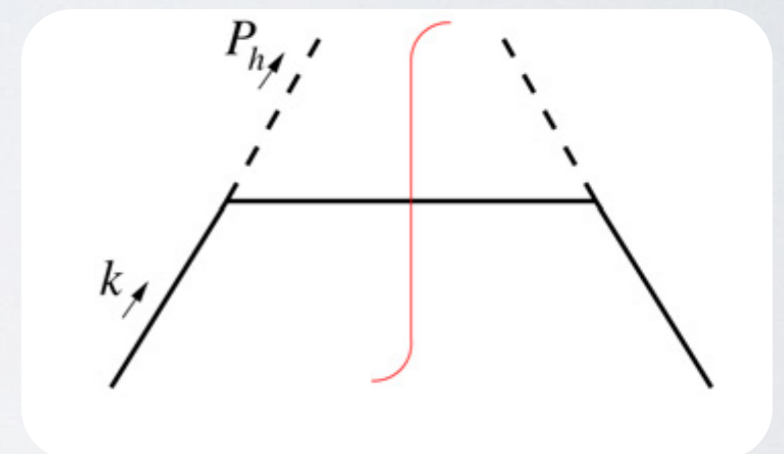
- *Lund String Model*

- Very Successful implementation in *JETSET, PYTHIA*.
- Highly Tunable.
- Spin Effects - see *X.Artru's talk*.



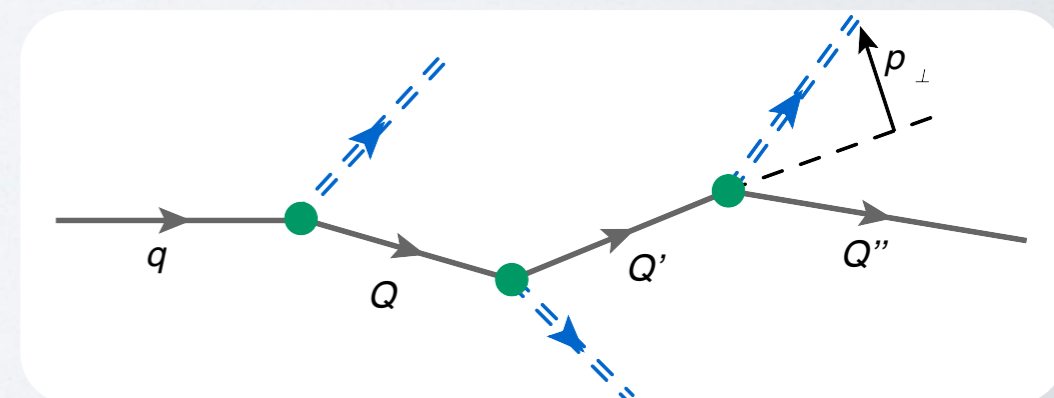
- *Spectator Model*

- Quark model calculations with empirical form factors.
- **No unfavored fragmentations.**
- Need to tune parameters for small  $z$  dependence.



- *NJL-jet Model*

- Multi-hadron emission framework with effective quark model input.
- Monte-Carlo framework allows flexibility in including the transverse momentum, spin effects, two-hadron correlations, etc.



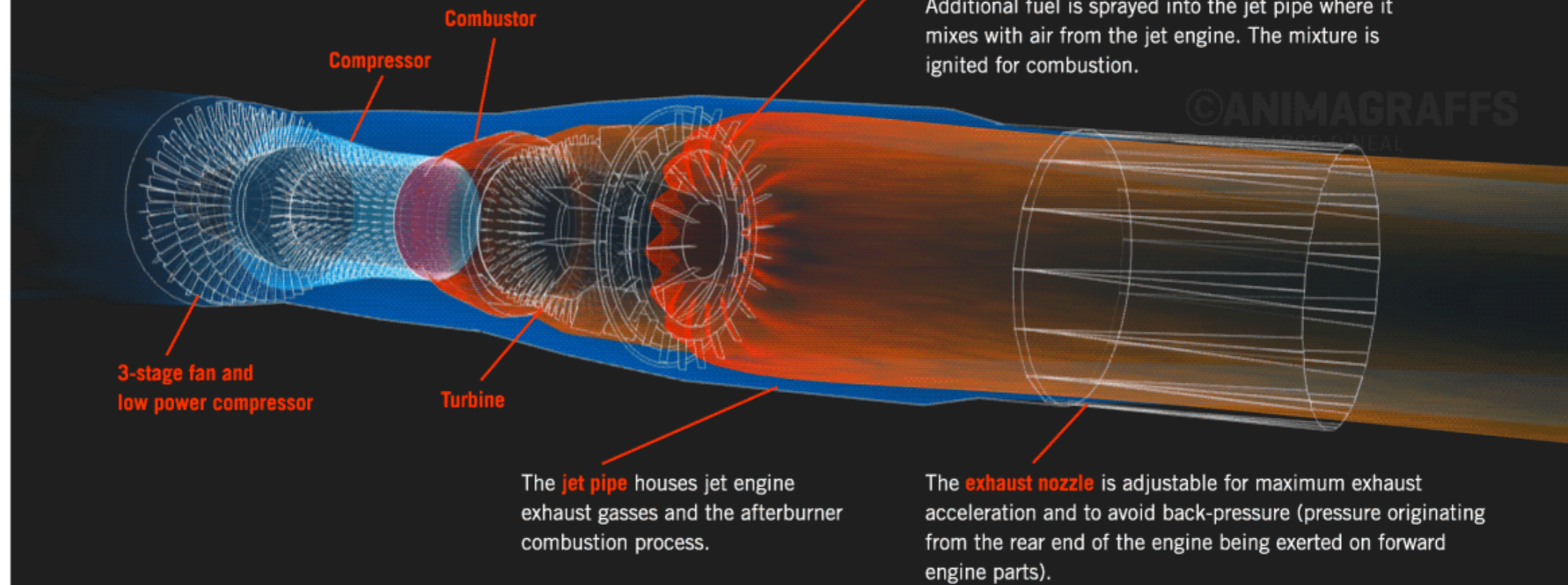


# AFTERBURNER

Jet exhaust is ignited at the afterburner, producing a second stage of combustion and a stream of powerful yet fuel inefficient thrust. Military combat aircraft use afterburner in short bursts during takeoff, climb, or combat maneuvers.

The **afterburner** assembly is placed behind the core of the jet engine, at the front of the jet pipe.

Additional fuel is sprayed into the jet pipe where it mixes with air from the jet engine. The mixture is ignited for combustion.



3-stage fan and low power compressor

Compressor

Combustor

Turbine

The **jet pipe** houses jet engine exhaust gases and the afterburner combustion process.

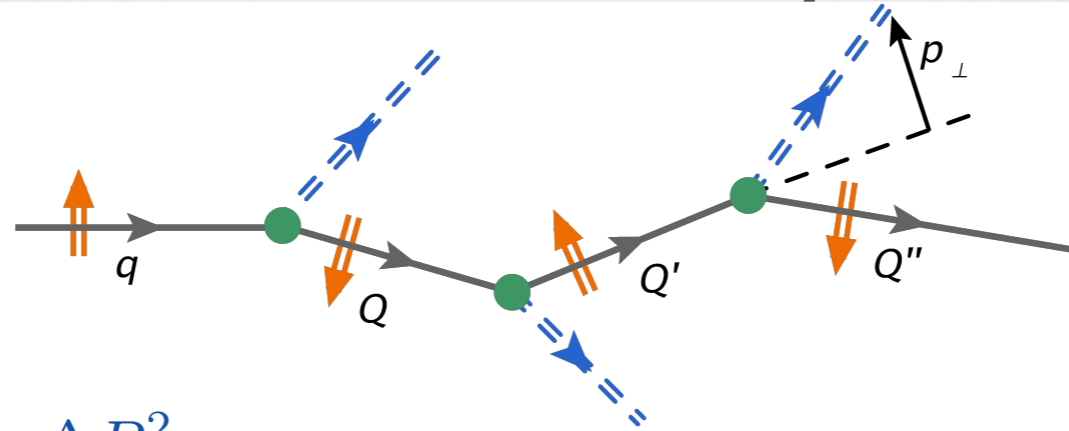
The **exhaust nozzle** is adjustable for maximum exhaust acceleration and to avoid back-pressure (pressure originating from the rear end of the engine being exerted on forward engine parts).

## POLARISATION IN QUARK-JET FRAMEWORK

# COLLINS FRAGMENTATION FUNCTION IN QUARK-JET

H.M.,Bentz, Thomas, PRD.86:034025, (2012). H.M., Kotzinian, Thomas, PLB731 208-216 (2014).

- Extend Quark-jet Model to include Spin.

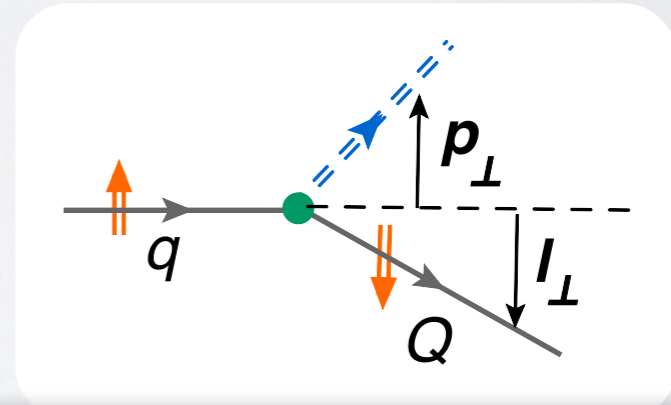


$$D_{h/q\uparrow}(z, P_{\perp}^2, \varphi) \Delta z \frac{\Delta P_{\perp}^2}{2} \Delta\varphi = \left\langle N_{q\uparrow}^h(z, z + \Delta z; P_{\perp}^2, P_{\perp}^2 + \Delta P_{\perp}^2; \varphi, \varphi + \Delta\varphi) \right\rangle$$

- Input Elementary Collins Function: *Model or Parametrization*

- Calc. Spin of the remnant quark:  $S'$

Previously: constant values for spin flip probability:  $\mathcal{P}_{SF}$



- ◆ Use fit form to extract unpol. and Collins FFs from  $D_{h/q\uparrow}$ .

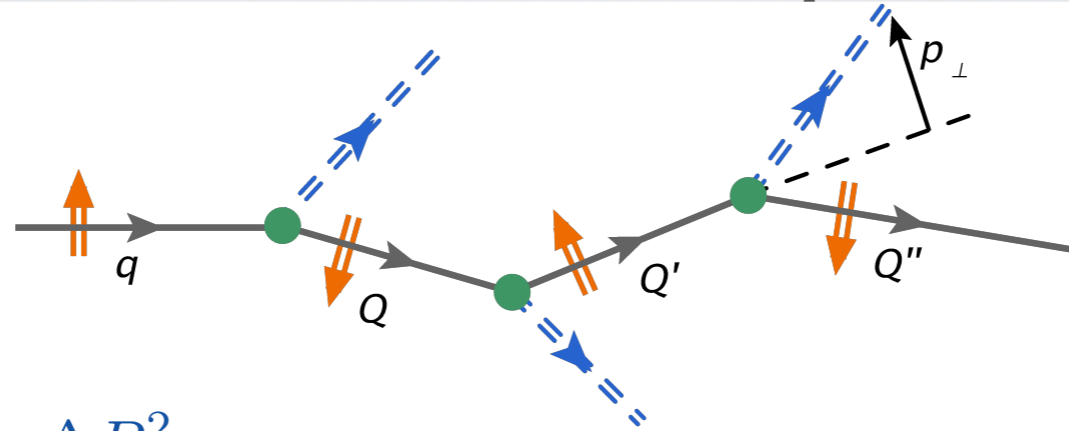
$$F(c_0, c_1) \equiv c_0 - c_1 \sin(\varphi_C)$$

$$D_{h/q\uparrow}(z, p_{\perp}^2, \varphi) = D^{h/q}(z, p_{\perp}^2) - H^{\perp h/q}(z, p_{\perp}^2) \frac{p_{\perp} s_T}{z m_h} \sin(\varphi_C)$$

# COLLINS FRAGMENTATION FUNCTION IN QUARK-JET

H.M.,Bentz, Thomas, PRD.86:034025, (2012). H.M., Kotzinian, Thomas, PLB731 208-216 (2014).

- Extend Quark-jet Model to include Spin.

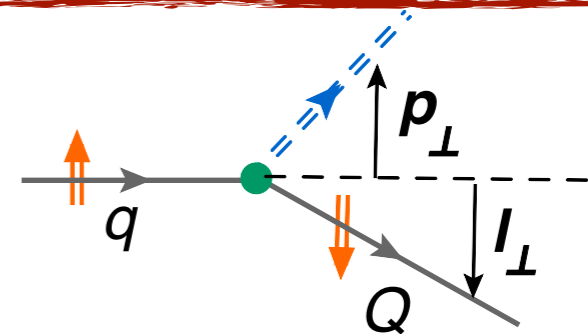


$$D_{h/q\uparrow}(z, P_{\perp}^2, \varphi) \Delta z \frac{\Delta P_{\perp}^2}{2} \Delta\varphi = \left\langle N_{q\uparrow}^h(z, z + \Delta z; P_{\perp}^2, P_{\perp}^2 + \Delta P^2; \varphi, \varphi + \Delta\varphi) \right\rangle$$

- Input Elementary Collins Function: *Model or Parametrization*

- Calc. Spin of the remnant quark:  $S'$

Previously: constant values for spin flip probability:  $\mathcal{P}_{SF}$



- ◆ Use fit form to extract unpol. and Collins FFs from  $D_{h/q\uparrow}$ .

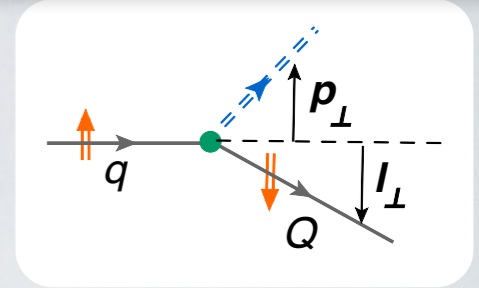
$$F(c_0, c_1) \equiv c_0 - c_1 \sin(\varphi_C)$$

$$D_{h/q\uparrow}(z, p_{\perp}^2, \varphi) = D^{h/q}(z, p_{\perp}^2) - H^{\perp h/q}(z, p_{\perp}^2) \frac{p_{\perp} s_T}{z m_h} \sin(\varphi_C)$$

# COLLINS EFFECT - NJL-jet MKII

## MKII Model Assumptions:

H.M., Kotzinian, Thomas, PLB731 208-216 (2014).

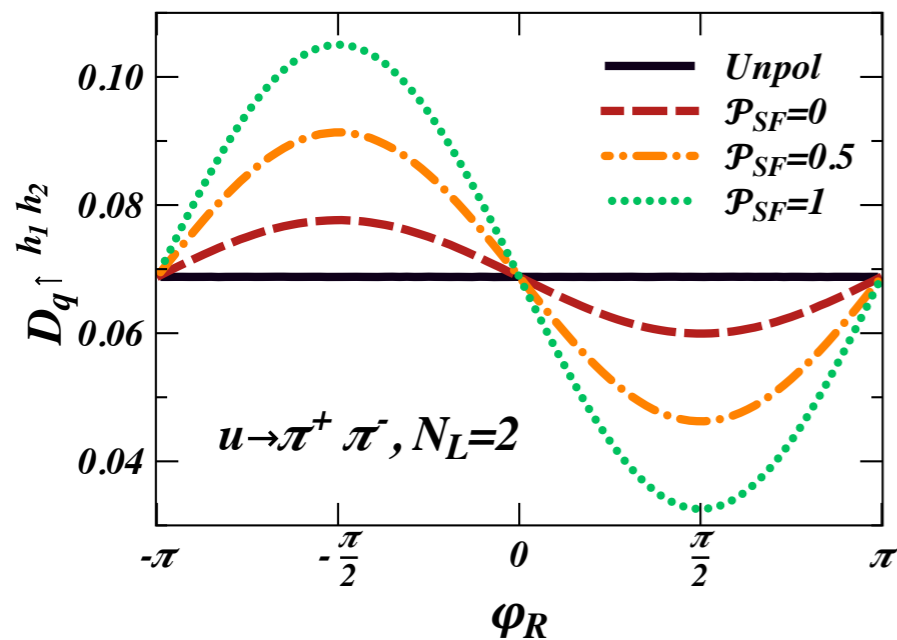


1. Allow for Collins Effect only in a SINGLE emission vertex ( $N_L^{-1}$  scaling of the resulting Collins function).

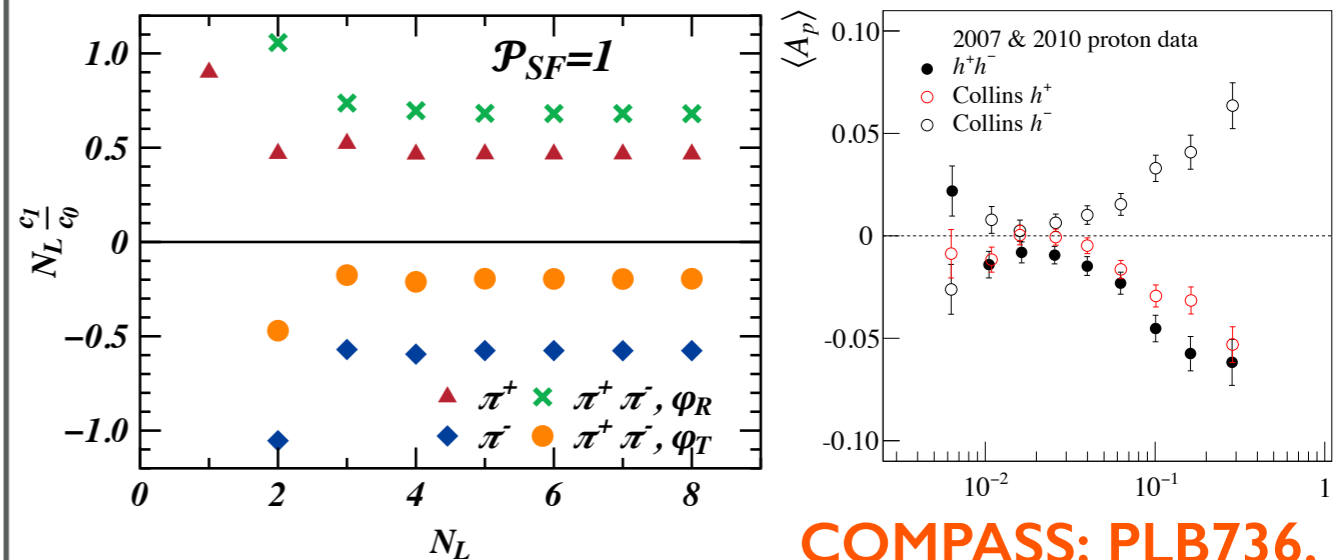
2. Use constant values for spin flip probability:  $\mathcal{P}_{SF}$ .

3. Extreme ansatz for the elem. Collins function:  $d_{h/q\uparrow}(z, \mathbf{p}_\perp) = d_1^{h/q}(z, p_\perp^2)(1 - 0.9 \sin \varphi)$

✓ **First-ever** model calc. for two-hadron modulations induced by Collins effect!



✓ **NJL-jet** model results are **consistent** with **COMPASS** data on interplay between one- and two-hadron SSAs.



COMPASS: PLB736, 124-131 (2014).

✗ A **self-consistent** model is needed that naturally avoids complications with higher-order modulations: **the need for  $N_L^{-1}$  scaling, etc.**

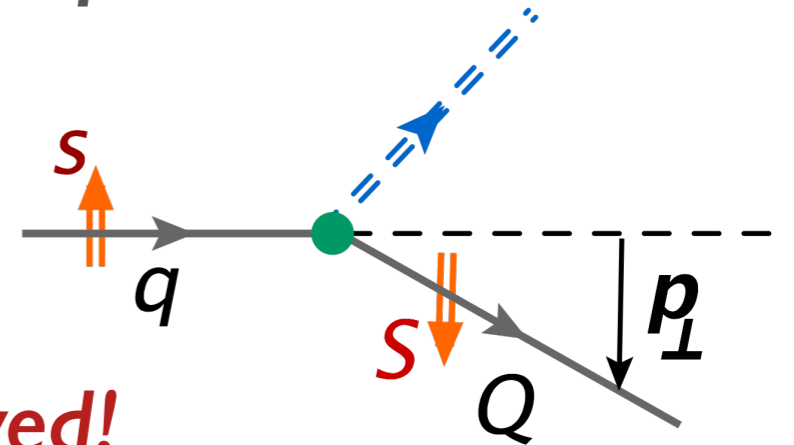
# Spin Transfer in quark-jet Framework.

## ◆ NJL-jet MKIII:

Bentz et al, Phys.Rev. D94 034004 (2016).

- ▶ The probability for the process  $q \rightarrow Q$ , initial spin  $\mathbf{s}$  to  $\mathbf{S}$

$$F^{q \rightarrow Q}(z, \mathbf{p}_\perp; \mathbf{s}, \mathbf{S}) = \alpha_s + \beta_s \cdot \mathbf{S}$$

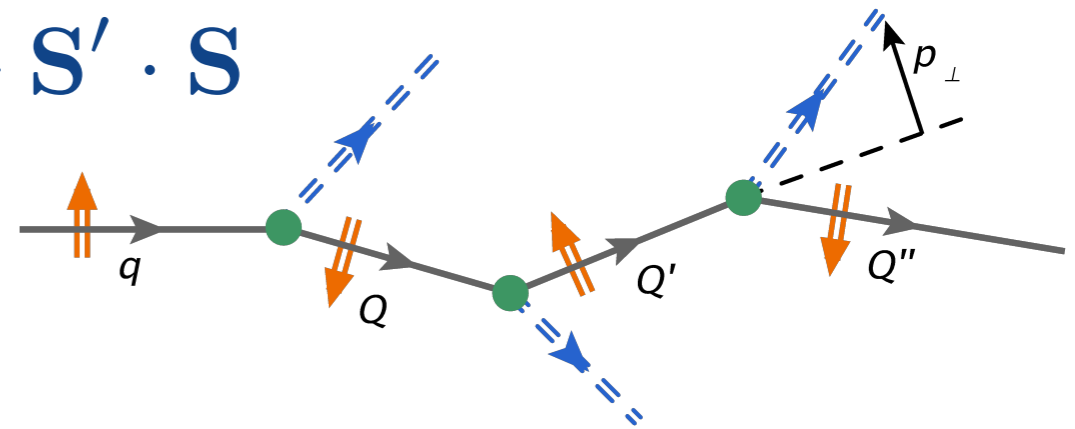


- ▶ **Intermediate** quarks in quark-jet are unobserved!

Berestetskii, E. M. Lifshitz, and L. P. Pitaevskii: **QUANTUM ELECTRODYNAMICS (1982).**

$$F^{q \rightarrow Q}(z, \mathbf{p}_\perp; \mathbf{s}, \mathbf{S}) \sim \text{Tr}[\rho^{\mathbf{S}'} \rho^{\mathbf{S}}] \sim 1 + \mathbf{S}' \cdot \mathbf{S}$$

$$\mathbf{S}' = \frac{\beta_s}{\alpha_s}$$



- ▶ Remnant quark's  $\mathbf{S}'$  uniquely determined by  $z, \mathbf{p}_\perp$  and  $\mathbf{s}$  !

- ▶ Process probability is **the same** as transition to **unpolarized state.**

$$F^{q \rightarrow Q}(z, \mathbf{p}_\perp; \mathbf{s}, \mathbf{0}) = \alpha_s$$

# Example: Pion production.

- ◆ We can express the spin of the remnant quark  $S' = \frac{\beta_s}{\alpha_s}$  in terms of *quark-to-quark TMD FFs*.

$$\alpha_q \equiv D(z, \mathbf{p}_\perp^2) + (\mathbf{p}_\perp \times \mathbf{s}_T) \cdot \hat{\mathbf{z}} \frac{1}{z\mathcal{M}} H^\perp(z, \mathbf{p}_\perp^2)$$

$$\beta_{q\parallel} \equiv s_L G_L(z, \mathbf{p}_\perp^2) - (\mathbf{p}_\perp \cdot \mathbf{s}_T) \frac{1}{z\mathcal{M}} H_L^\perp(z, \mathbf{p}_\perp^2)$$

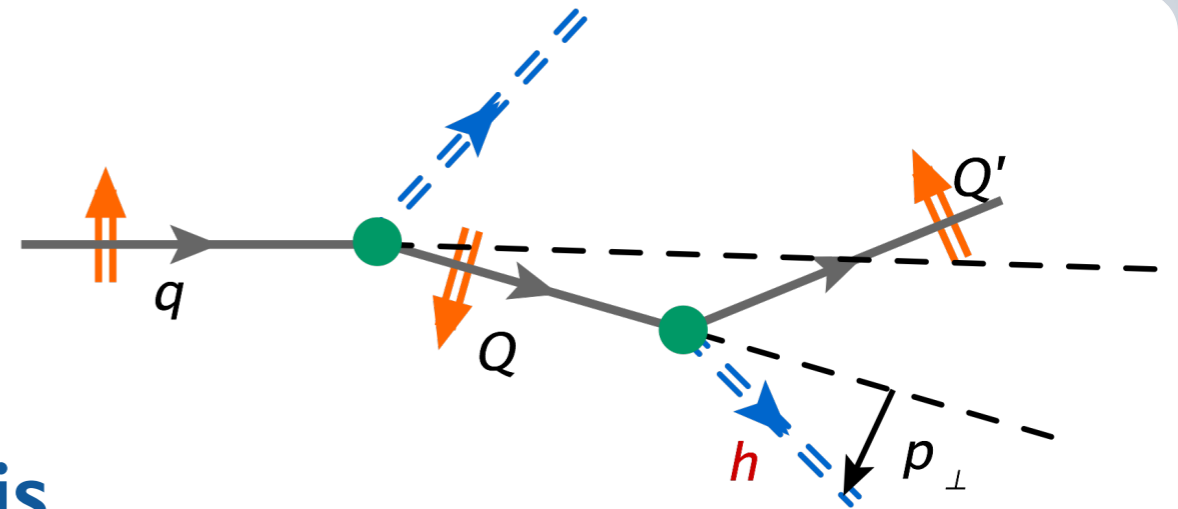
$$\beta_{q\perp} \equiv \mathbf{p}'_\perp \frac{1}{z\mathcal{M}} D_T^\perp(z, \mathbf{p}_\perp^2) - \mathbf{p}_\perp \frac{1}{z\mathcal{M}} s_L G_T(z, \mathbf{p}_\perp^2) + \mathbf{s}_T H_T(z, \mathbf{p}_\perp^2) + \mathbf{p}_\perp (\mathbf{p}_\perp \cdot \mathbf{s}_T) \frac{1}{z^2 \mathcal{M}^2} H_T^\perp(z, \mathbf{p}_\perp^2)$$

$$F^{q \rightarrow Q}(z, \mathbf{p}_\perp; \mathbf{s}, \mathbf{S})$$

Q/q	U	L	T
U	$D_1$		$H_1^\perp$
L		$G_{1L}$	$H_{1L}^\perp$
T	$D_{1T}^\perp$	$G_{1T}$	$H_{1T} H_{1T}^\perp$

# Example: Pion prod. up to Rank 2

◆ Only consider pion produced in the first two emission steps!



◆ Then the polarised number density is

$$F^{(2)q \rightarrow \pi} = \boxed{f^{q \rightarrow \pi}}_{\text{1st rank}} + \boxed{f^{q \rightarrow Q} \otimes f^{Q \rightarrow \pi}}_{\text{2nd rank}}$$

◆ “Elementary” number densities: *only favoured types are non-zero.*

$$f^{q \rightarrow \pi} = d^{q \rightarrow \pi} - \frac{p_{\perp}}{zM_h} s_T h_1^{\perp q \rightarrow \pi}$$

$$f^{u \rightarrow \pi^-} = 0$$

◆ *It is shown analytically that only Collins modulations appear!*

$$F^{(2)q \rightarrow \pi}(z, p_{\perp}^2, \varphi_C) = F_0^{(2)}(z, p_{\perp}^2) - \sin(\varphi_C) F_1^{(2)}(z, p_{\perp}^2)$$

# Example: Pion prod. up to Rank 2

◆ It is shown analytically that only Collins modulations appear!

$$F^{(2)q \rightarrow \pi}(z, p_{\perp}^2, \varphi_C) = F_0^{(2)}(z, p_{\perp}^2) - \sin(\varphi_C) F_1^{(2)}(z, p_{\perp}^2)$$

◆ Up to unspecified coefficients, using.

Unpolarised term:

From TM-induced Spin of intermediate quark

$$F_0^{(2)q \rightarrow \pi} = d^{q \rightarrow \pi} + (d^{q \rightarrow Q} \otimes d^{Q \rightarrow \pi} + d_T^{\perp q \rightarrow Q} \otimes h^{\perp Q \rightarrow \pi})$$

Collins term:

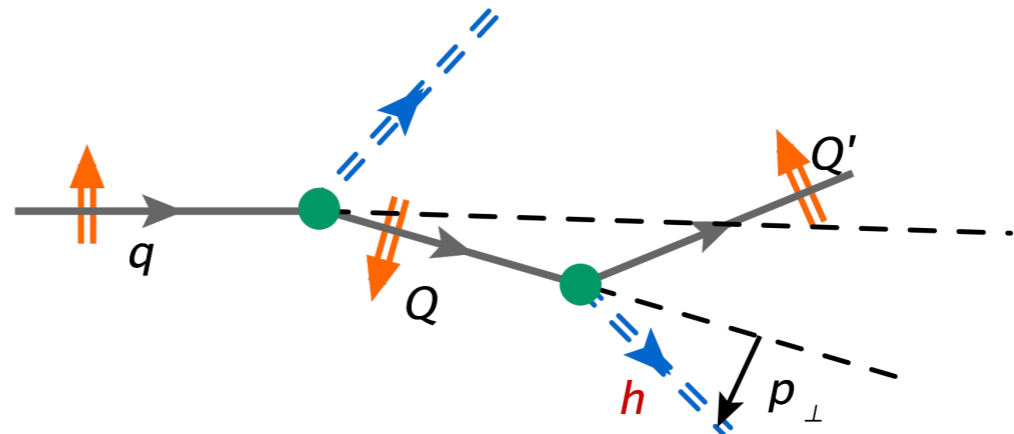
“Recoil” TM contribution

$$F_1^{(2)q \rightarrow \pi} \sim h^{\perp q \rightarrow \pi} + [h^{\perp q \rightarrow Q} \otimes d^{Q \rightarrow \pi} + (h_T^{q \rightarrow Q} + h_T^{\perp q \rightarrow Q}) \otimes h^{\perp Q \rightarrow \pi}]$$

Transferred Spin of intermediate quark

◆ *Reminder*

Q/q	U	L	T
U	$D_1$		$H_1^{\perp}$
L		$G_{1L}$	$H_{1L}^{\perp}$
T	$D_{1T}^{\perp}$	$G_{1T}$	$H_{1T}^{\perp} H_{1T}^{\perp}$





# Integral Equations

◆ In the limit of infinite produced hadrons, we can derive integral equations for the FFs within quark-jet framework.

## ◆ Unpolarized FF

$$D^{(q \rightarrow \pi)}(z, \mathbf{p}_{\perp}^2) = \hat{d}^{(q \rightarrow \pi)}(z, \mathbf{p}_{\perp}^2) + 2 \int \mathcal{D}^2 \eta \int \mathcal{D}^4 p_{\perp} \delta(z - \eta_1 \eta_2) \delta^{(2)}(\mathbf{p}_{\perp} - \mathbf{p}_{2\perp} - \eta_2 \mathbf{p}_{1\perp}) \\ \times \left[ \hat{d}^{(q \rightarrow Q)}(\eta_1, \mathbf{p}_{1\perp}^2) D^{(Q \rightarrow \pi)}(\eta_2, \mathbf{p}_{2\perp}^2) + \frac{1}{M m_{\pi} z} (\mathbf{p}_{1\perp} \cdot \mathbf{p}_{2\perp}) \hat{d}_T^{\perp(q \rightarrow Q)}(\eta_1, \mathbf{p}_{1\perp}^2) H^{\perp(Q \rightarrow \pi)}(\eta_2, \mathbf{p}_{2\perp}^2) \right]$$

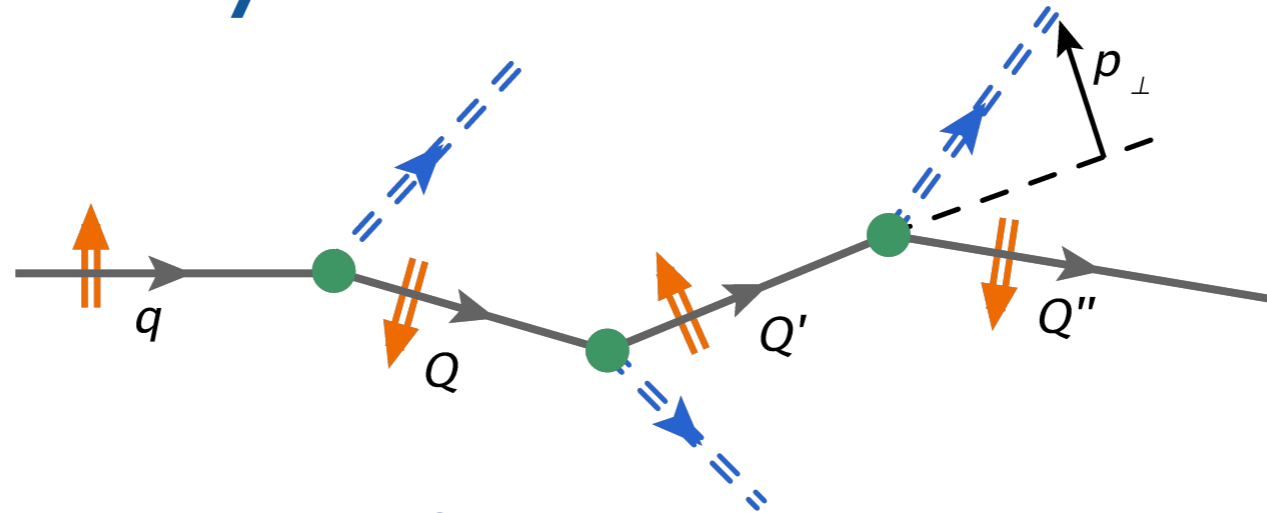
## ◆ Collins FF

$$(\mathbf{p}_{\perp} \times \mathbf{s}_T)^3 H^{\perp(q \rightarrow \pi)}(z, \mathbf{p}_{\perp}^2) = (\mathbf{p}_{\perp} \times \mathbf{s}_T)^3 \hat{h}^{\perp(q \rightarrow \pi)}(z, \mathbf{p}_{\perp}^2) + 2 \int \mathcal{D}^2 \eta \int \mathcal{D}^4 p_{\perp} \delta(z - \eta_1 \eta_2) \delta^{(2)}(\mathbf{p}_{\perp} - \mathbf{p}_{2\perp} - \eta_2 \mathbf{p}_{1\perp}) \\ \times \left[ \frac{m_{\pi}}{M} \eta_2 (\mathbf{p}_{1\perp} \times \mathbf{s}_T)^3 \hat{h}^{\perp(q \rightarrow Q)}(\eta_1, \mathbf{p}_{1\perp}^2) D^{(Q \rightarrow \pi)}(\eta_2, \mathbf{p}_{2\perp}^2) \right. \\ \left. + (\eta_1 (\mathbf{p}_{2\perp} \times \mathbf{s}_T)^3 \hat{h}_T^{\perp(q \rightarrow Q)}(\eta_1, \mathbf{p}_{1\perp}^2) - \frac{1}{M^2 \eta_1} (\mathbf{s}_T \cdot \mathbf{p}_{1\perp}) \right. \\ \left. \times (\mathbf{p}_{1\perp} \times \mathbf{p}_{2\perp})^3 \hat{h}_T^{\perp(q \rightarrow Q)}(\eta_1, \mathbf{p}_{1\perp}^2) \right] H^{\perp(Q \rightarrow \pi)}(\eta_2, \mathbf{p}_{2\perp}^2) \Big].$$

# MC Simulation of Full Hadronization

HM et al, arXiv:1610.05624

- ◆ We can consider many hadron emissions.



- ◆ We can sample the  $h, z, p_{\perp}^2, \varphi_h$  using

$$f^{q \rightarrow h}(z, p_{\perp}^2, \varphi_h; \mathbf{S}_T)$$

- ◆ Determine the momenta in the initial frame and calculate

$$D_{h/q^{\uparrow}}(z, P_{\perp}^2, \varphi) \Delta z \frac{\Delta P_{\perp}^2}{2} \Delta \varphi = \left\langle N_{q^{\uparrow}}^h(z, z + \Delta z; P_{\perp}^2, P_{\perp}^2 + \Delta P^2; \varphi, \varphi + \Delta \varphi) \right\rangle$$

- ◆ Calculate the remnant quark's spin:  $\mathbf{S}' = \frac{\beta_s}{\alpha_s}$

- ◆ We only need the “elementary” splittings.

$$f^{q \rightarrow h}$$

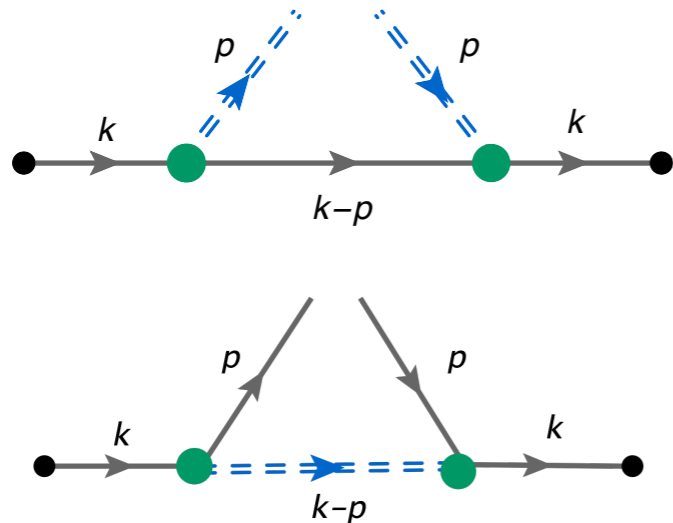
$$f^{q \rightarrow Q}$$

# Model Calculations of $q \rightarrow Q$ Splittings

E.G. - Meissner et al, PLB 690, 296 (2010).

◆ We can use the same “spectator” type calculations as for pion.

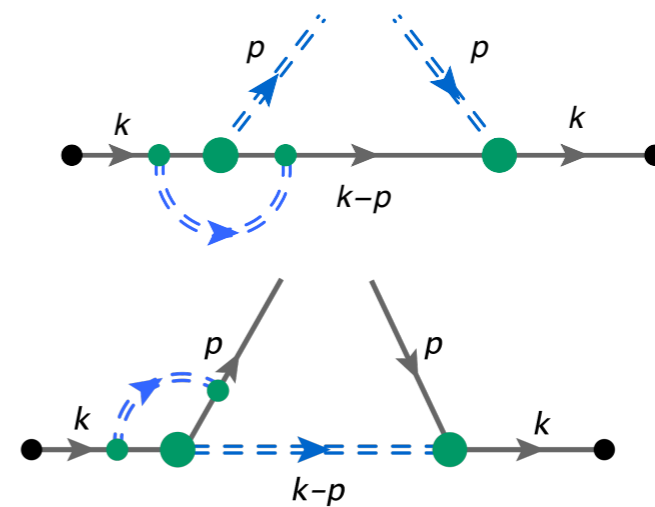
**T-even**



$q \rightarrow h$

$q \rightarrow Q$

**T-odd**



◆ Positivity Constraints on TMD FFs:

Bacchetta et al, P.R.L. 85, 712 (2000).

$$(H_L^{\perp[1]})^2 + (D_T^{\perp[1]})^2 \leq \frac{p_{\perp}^2}{4z^2 M^2} (D + G_L)(D - G_L) \leq \frac{p_{\perp}^2}{4z^2 M^2} D^2$$

$$(G_T^{[1]})^2 + (H^{\perp[1]})^2 \leq \frac{p_{\perp}^2}{4z^2 M^2} (D + G_L)(D - G_L) \leq \frac{p_{\perp}^2}{4z^2 M^2} D^2$$

◆ T-odd parts from previous models violate positivity!

$$(\hat{G}_T^{[1]})^2 = (\hat{H}_L^{\perp[1]})^2 = \frac{p_{\perp}^2}{4z^2 M^2} (\hat{D} + \hat{G}_L)(\hat{D} - \hat{G}_L) \leq \frac{p_{\perp}^2}{4z^2 M^2} \hat{D}^2$$

$$\hat{H}^{\perp}(z, p_{\perp}^2) = 0, \quad \hat{D}_T^{\perp}(z, p_{\perp}^2) = 0.$$

# Model Calculations of $q \rightarrow Q$ Splittings

- ◆ Simple Model that is positive-definite:

$$\hat{d}(z, p_{\perp}^2) = \overset{\cdot}{\underset{\cdot}{\cdot}} \overset{\cdot}{\underset{\cdot}{\cdot}} \hat{d}_{tree}(z, p_{\perp}^2),$$

- ◆ Use Collins-ansatz for T-odd

J. C. Collins, NPB 396, 161 (1993)

$$\frac{p_{\perp}}{zM} \frac{\hat{h}^{\perp(q \rightarrow h)}(z, p_{\perp}^2)}{\hat{d}^{(q \rightarrow h)}(z, p_{\perp}^2)} = \overset{\cdot}{\underset{\cdot}{\cdot}} \overset{\cdot}{\underset{\cdot}{\cdot}} \frac{2 p_{\perp} M_Q}{p_{\perp}^2 + M_Q^2}$$

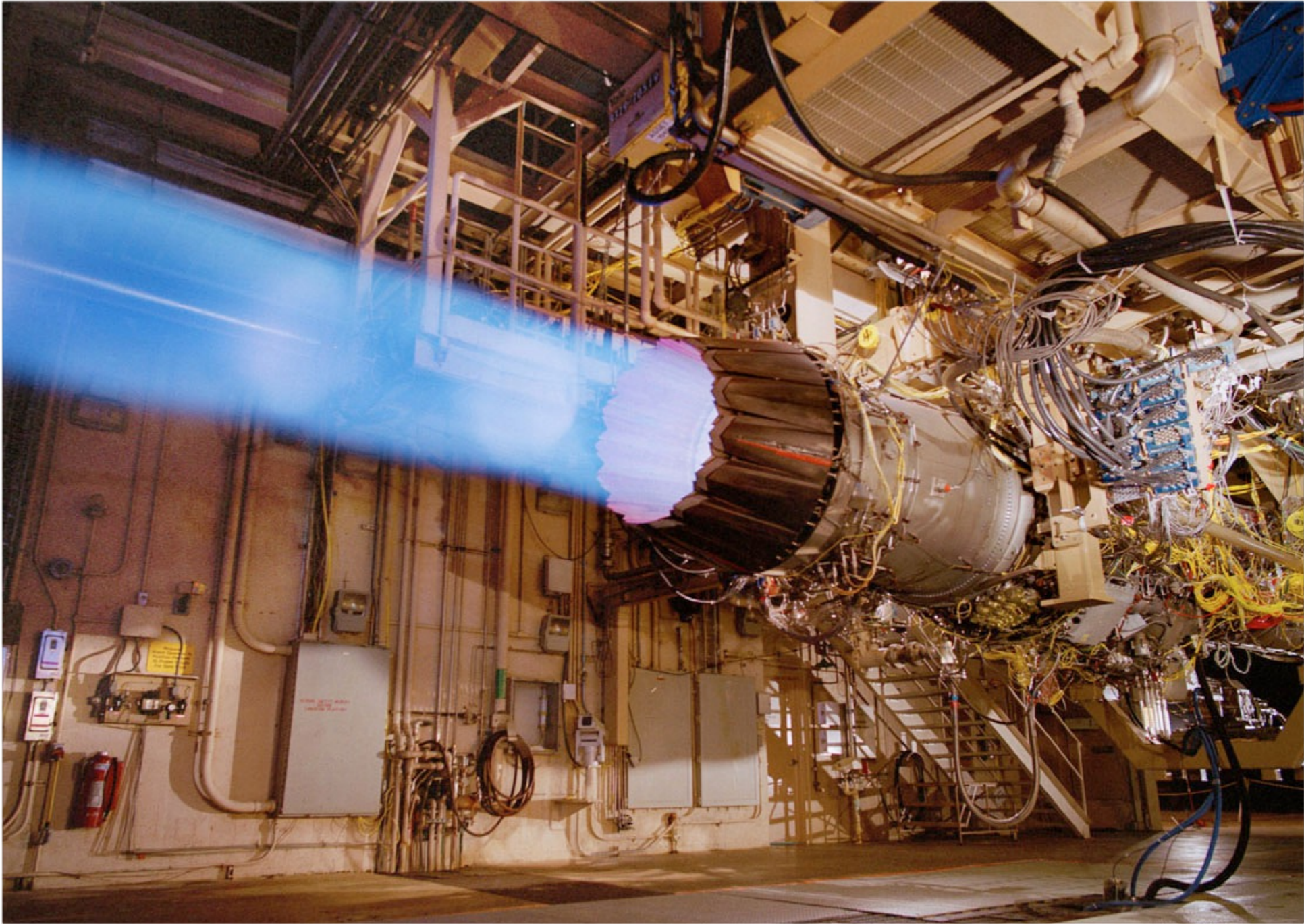
$$d_T^{\perp} = -h^{\perp}$$

- ◆ Ensures the inequalities

$$(H_L^{\perp[1]})^2 + (D_T^{\perp[1]})^2 \leq \frac{p_{\perp}^2}{4z^2 M^2} (D + G_L)(D - G_L) \leq \frac{p_{\perp}^2}{4z^2 M^2} D^2$$
$$(G_T^{[1]})^2 + (H^{\perp[1]})^2 \leq \frac{p_{\perp}^2}{4z^2 M^2} (D + G_L)(D - G_L) \leq \frac{p_{\perp}^2}{4z^2 M^2} D^2$$

- \* Also: **Evolution - mimicking ansatz**

$$\hat{d}'(z, p_{\perp}^2) = (1 - z)^4 \hat{d}(z, p_{\perp}^2)$$

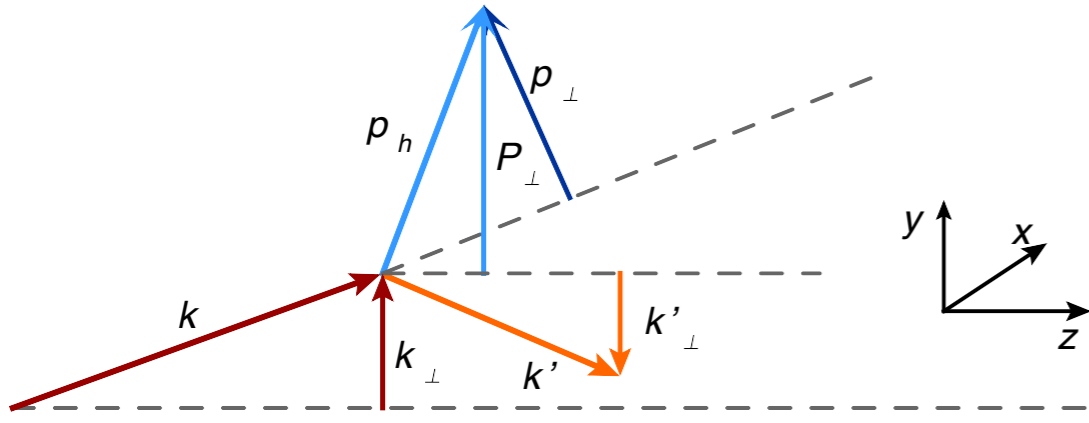


## ***VALIDATION TESTS***

# Recoil TM Contribution: Rank 2 Hadron

## ◆ Full vs “Recoil TM” contributions:

▶ Simulate by depolarizing quark after the first emission  $S' = 0$ .



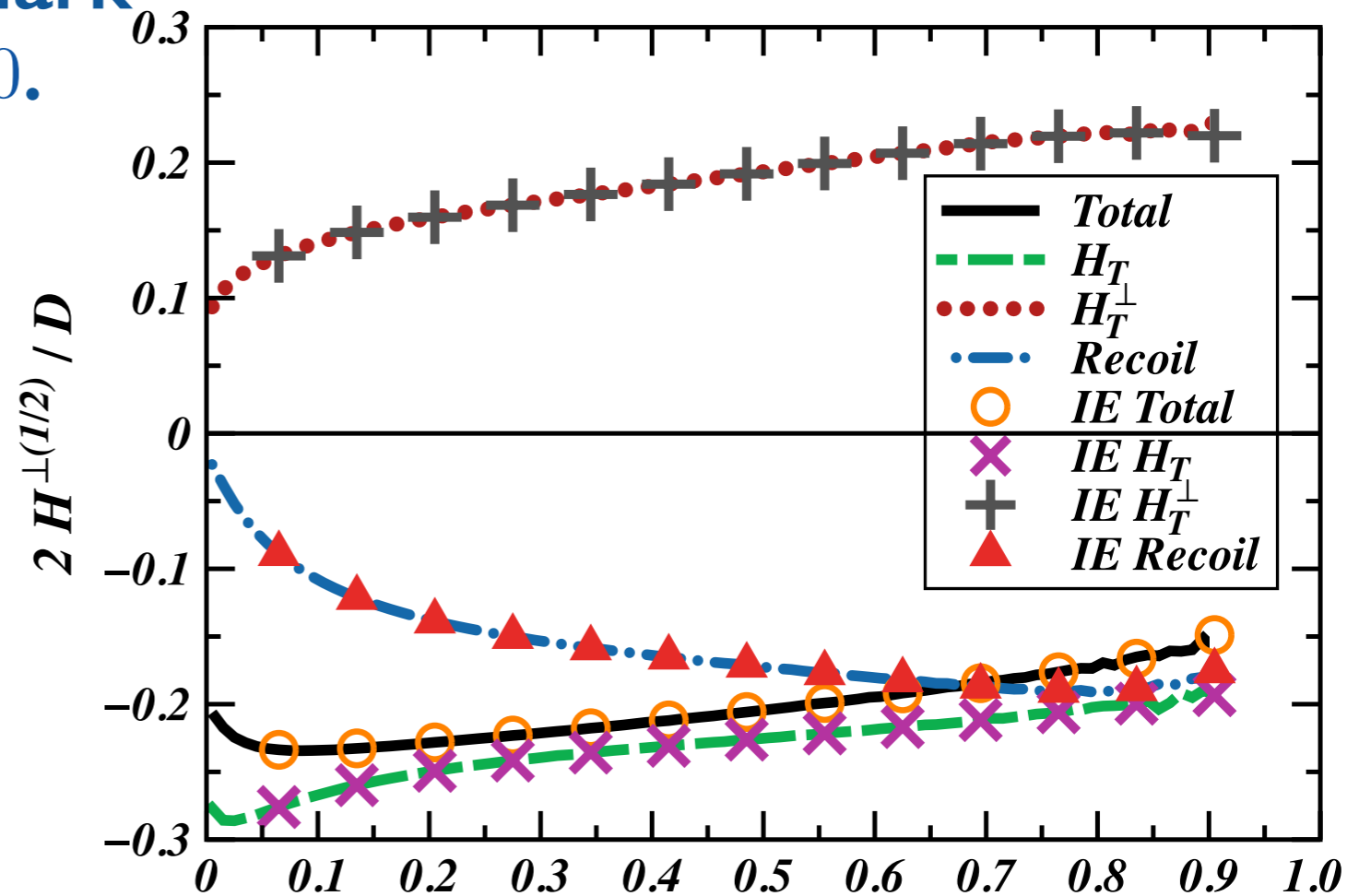
$$\mathbf{P}_\perp = \mathbf{p}_\perp + z\mathbf{k}_\perp$$

$$\mathbf{k}_\perp = \mathbf{P}_\perp + \mathbf{k}'_\perp$$

$$F_1^{(2)q \rightarrow \pi} \sim h^\perp q \rightarrow \pi + [h^\perp q \rightarrow Q \otimes d^{Q \rightarrow \pi} + (h_T^{q \rightarrow Q} + h_T^{\perp q \rightarrow Q}) \otimes h^\perp Q \rightarrow \pi]$$

“Recoil” TM contribution

Transferred Spin of intermediate quark



✓ Recoil TM contribution has distinct z dependence!

# Higher Order Modulations

- ◆ The FFs should be linear functions of  $S$ ! This means linear dependence on sine of Collins angle  $\varphi_C$ .

$$F(c_0, c_1) \equiv c_0 - c_1 \sin(\varphi_C)$$

- ▶ Also test a simple ansatz: spin *Flip*

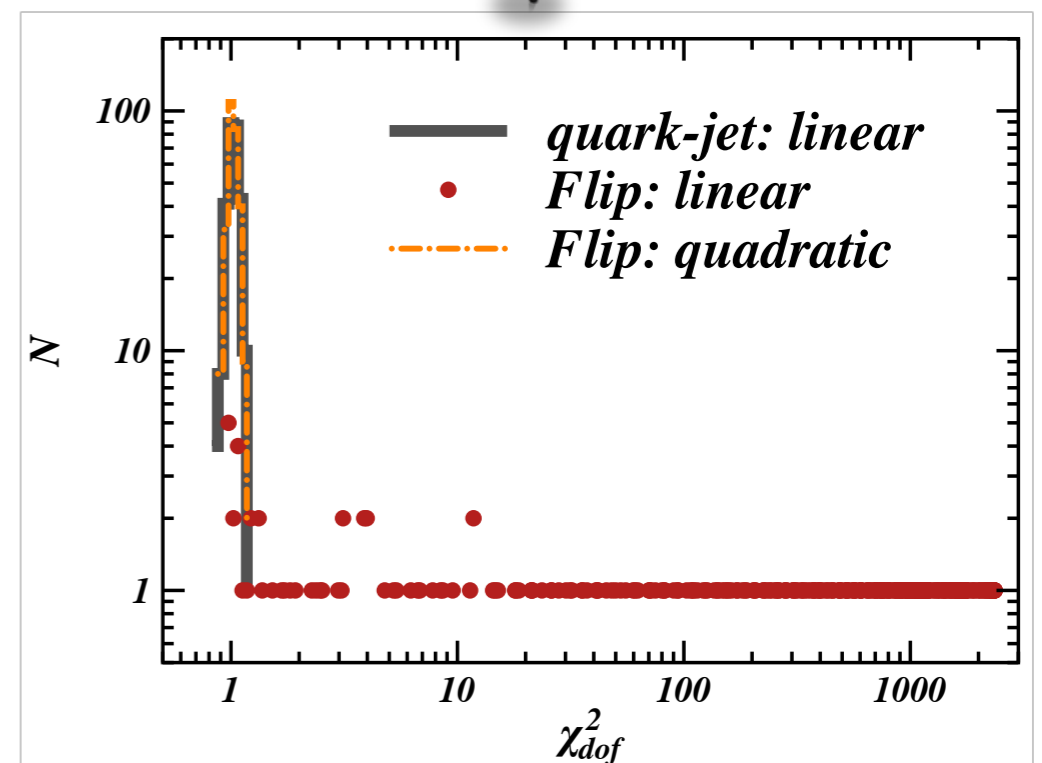
$$\mathcal{P}_{SF} = 1 \quad S'_T = -S_T$$

- ▶ High precision tests:  **$10^{12}$  events** for 2 hadron emissions!

- ▶ Fit polarized FF for each  $z$ :  $\sim 300$  fits.

✓ Linearity on the transverse spin is confirmed at high precision!

✗ Simplistic spin flip ansatz results in unphysical results!





## ***RESULTS***

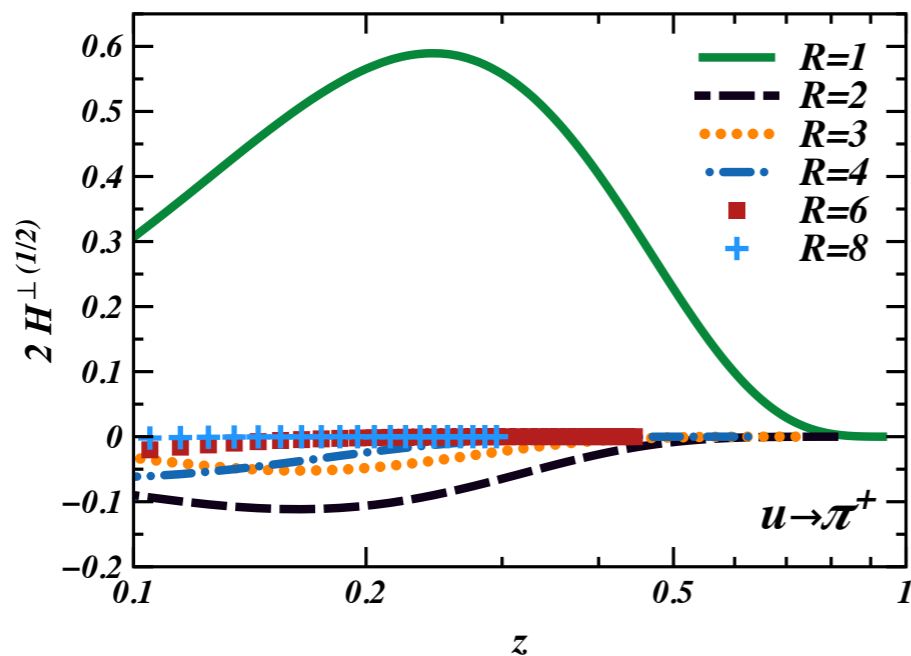
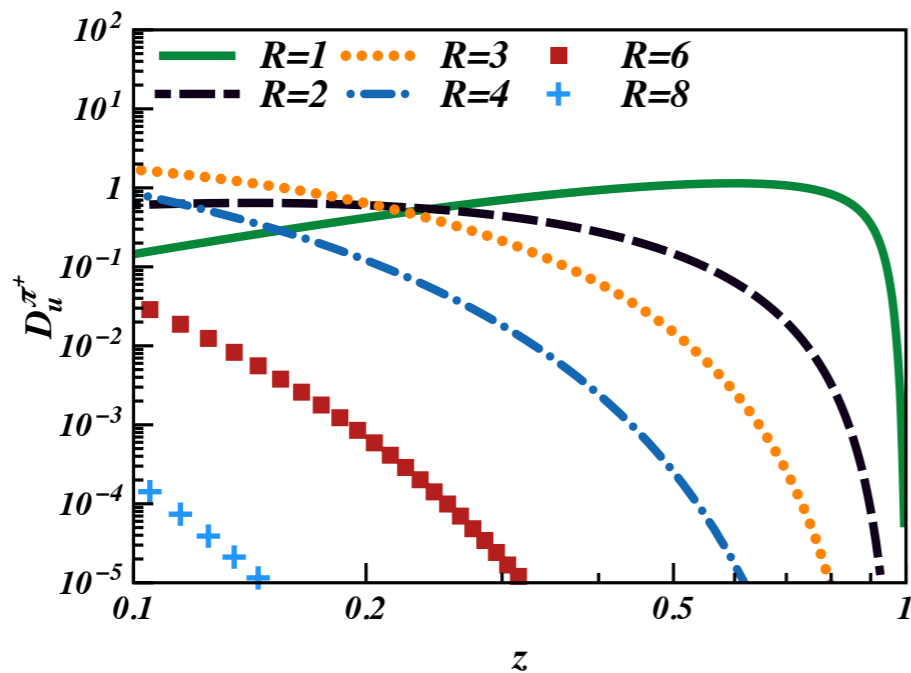
***COLLINS EFFECT IN QUARK-JET MODEL***



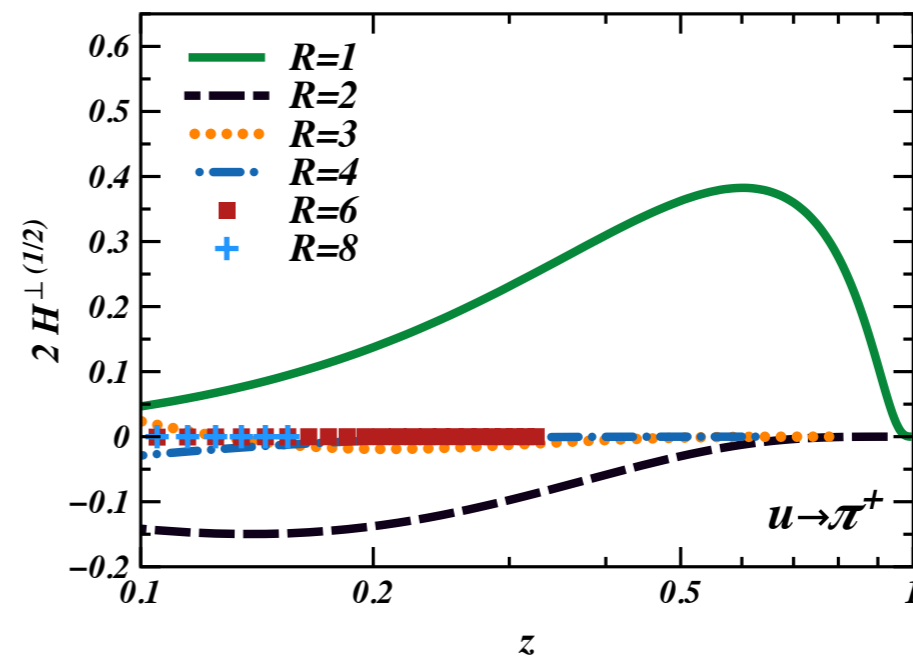
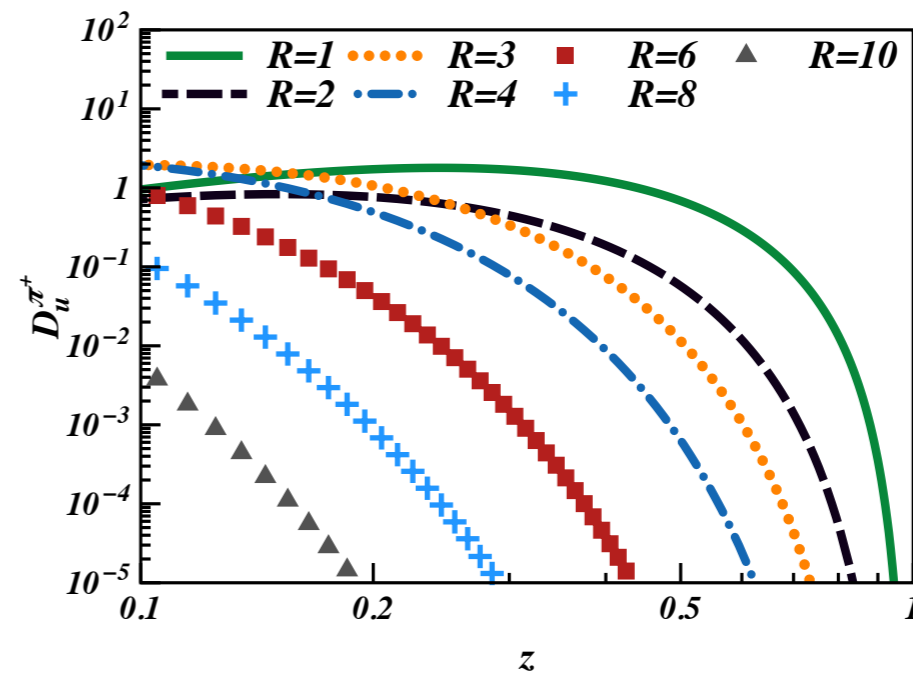
# Saturations of FFs with h Rank

## ◆ FFs vs Rank of produced hadron.

### ▶ NJL Model



### ▶ Evolution-mimicking Ansatz.

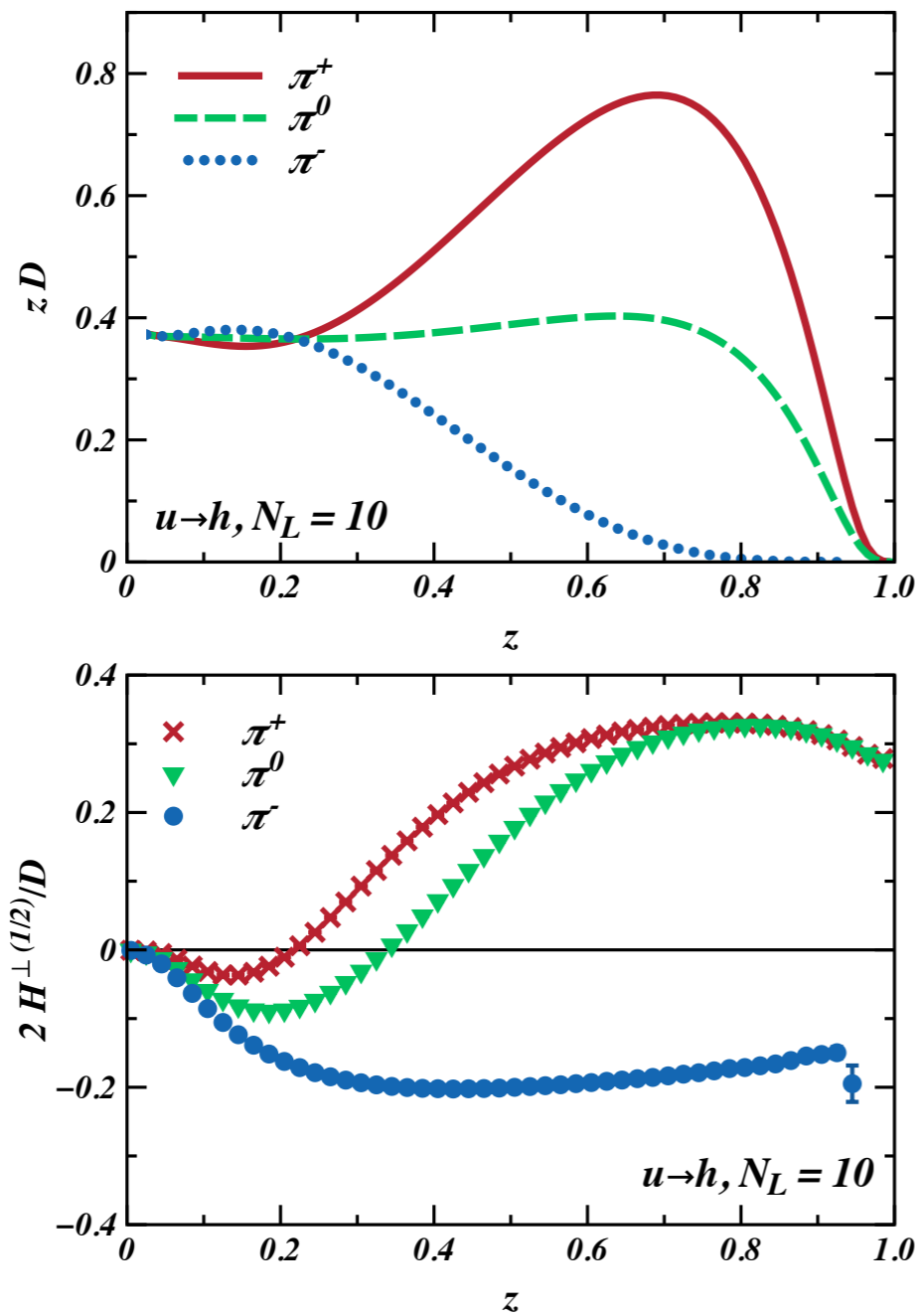


✓ Hadrons of Rank  $> 4$  are negligible for FFs at  $z > 0.1$

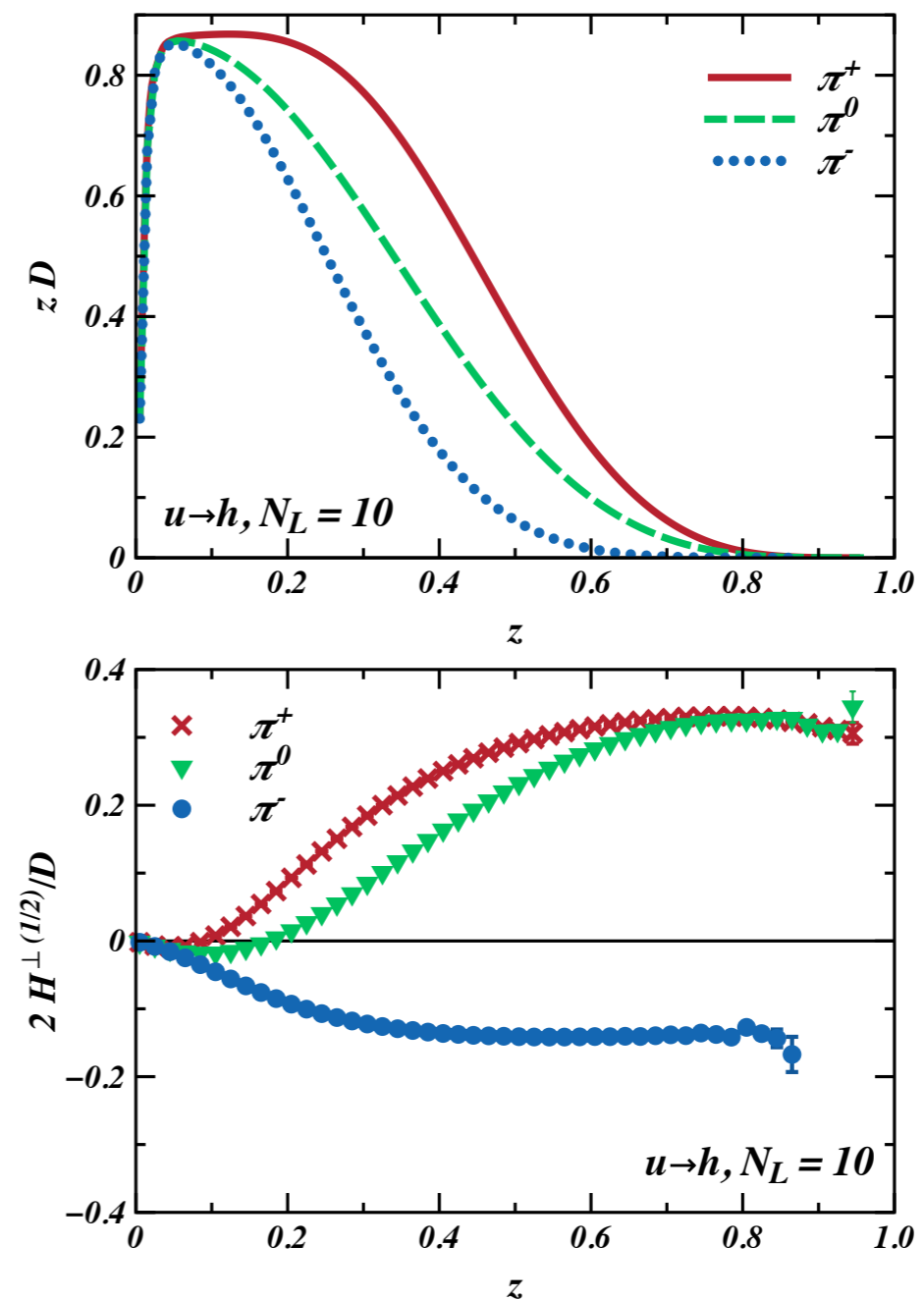
# MC Simulation in Toy Model

HM et al, arXiv:1610.05624

## ► NJL Model



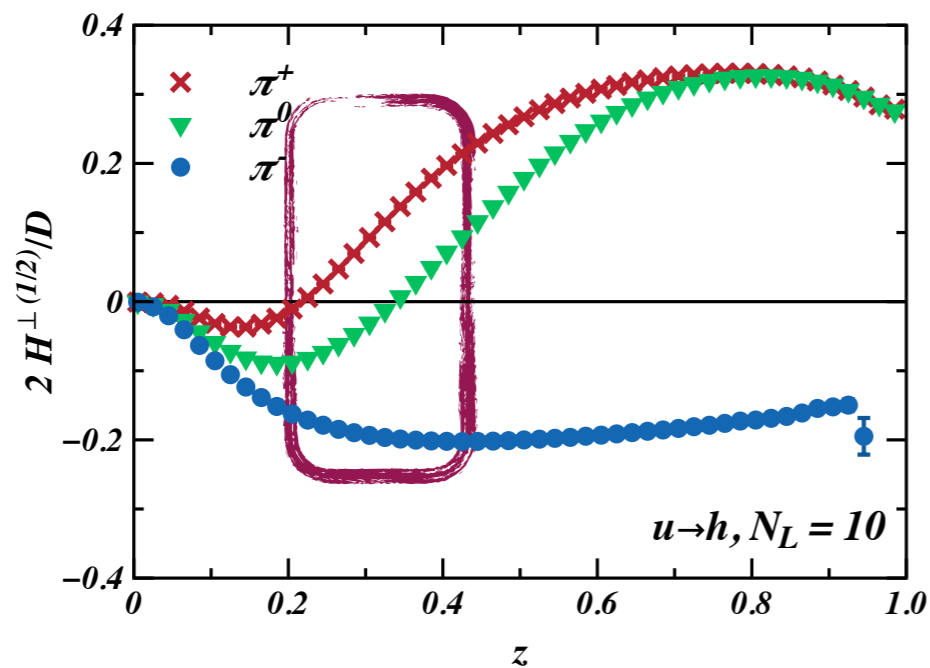
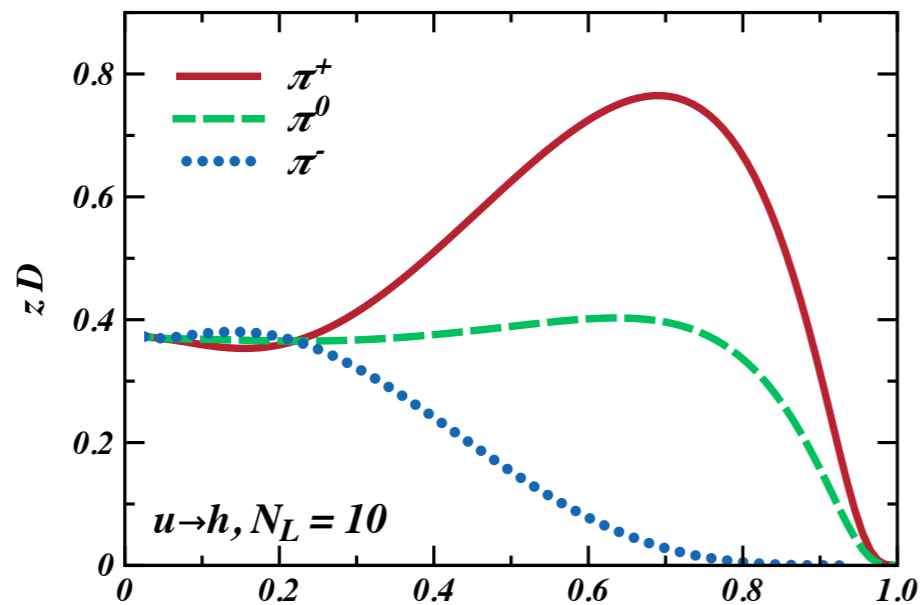
## ► Evolution-mimicking Ansatz.



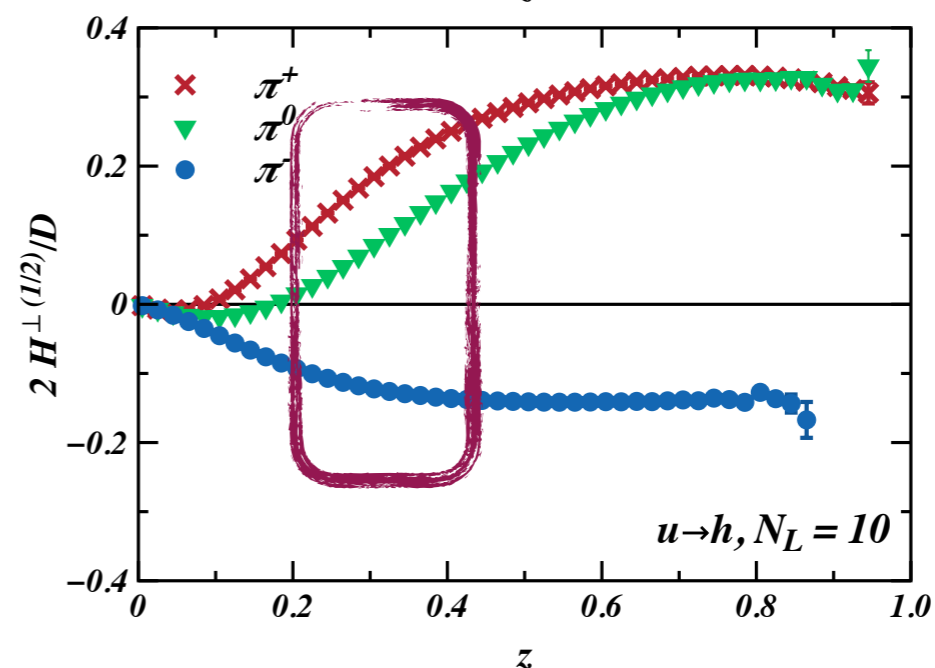
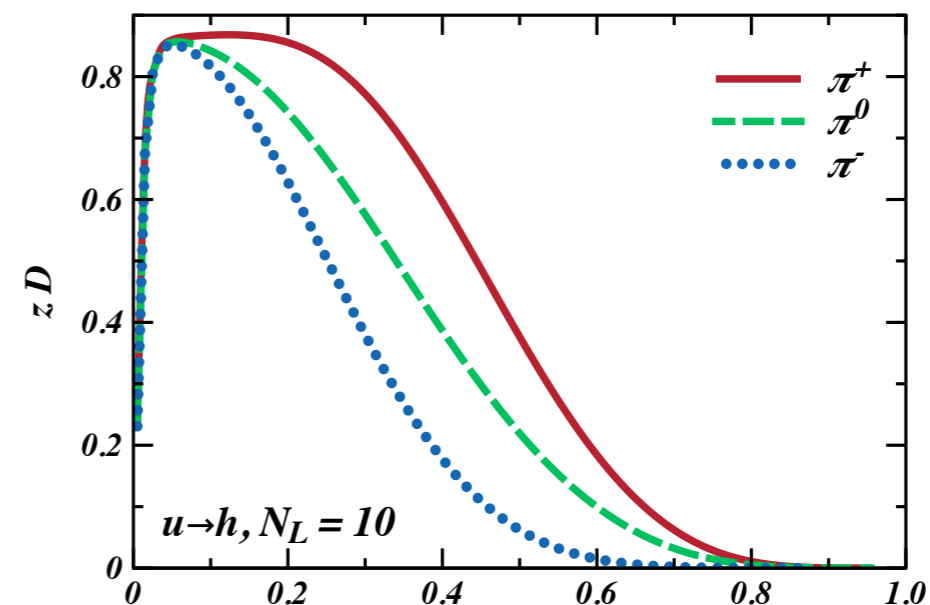
# MC Simulation in Toy Model

HM et al, arXiv:1610.05624

## ► *NJL* Model



## ► Evolution-mimicking *Ansatz*.



◆ **Opposite sign and similar size** in mid- $z$  range for charged pions. (Similar to empirical extractions).

◆ **Dependence on model inputs:** can be tuned to data.



***TWO HADRON CORRELATIONS:  
DIHADRON FRAGMENTATION FUNCTIONS***

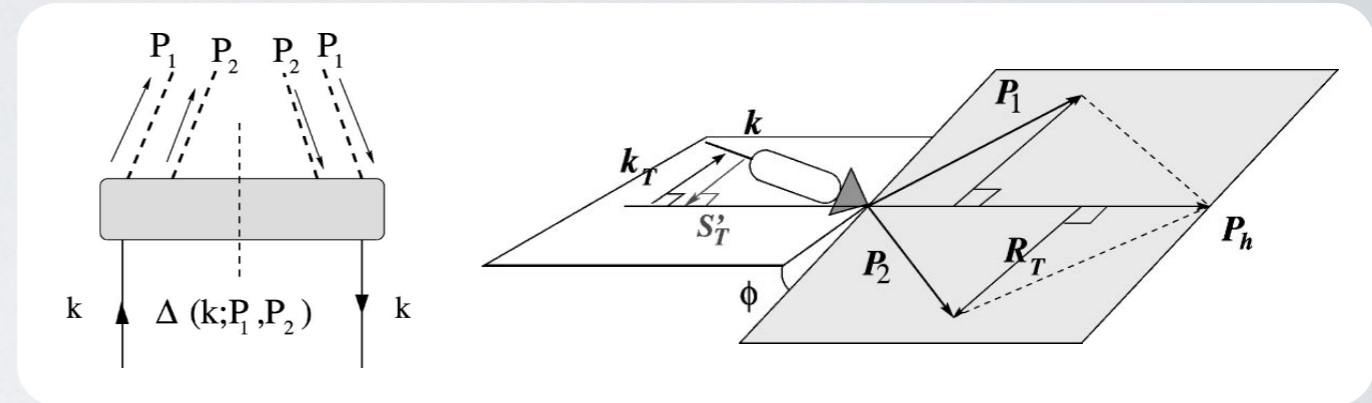
# TWO-HADRON FRAGMENTATION

A. Bacchetta, M. Radici: PRD 69, 074026 (2004).

- ◆ **Total and Relative TM of hadron pair.**

$$\mathbf{P}_T = \mathbf{P}_{h_1}^\perp + \mathbf{P}_{h_2}^\perp$$

$$\mathbf{R} = (\mathbf{P}_{h_1}^\perp - \mathbf{P}_{h_2}^\perp)/2$$



- ◆ Correlation of the transverse polarisation of quark and one of the momenta:

$$D_{q^\uparrow}^{h_1 h_2}(\varphi_R) = D_q^{h_1 h_2} + s_T \sin(\varphi_R - \varphi_S) \mathcal{F}[H^\triangleleft, H^\perp]$$

$$D_{q^\uparrow}^{h_1 h_2}(\varphi_T) = D_q^{h_1 h_2} + s_T \sin(\varphi_T - \varphi_S) \mathcal{F}'[H^\triangleleft, H^\perp]$$

- ◆ Correlation of the longitudinal polarisation of quark and both momenta:

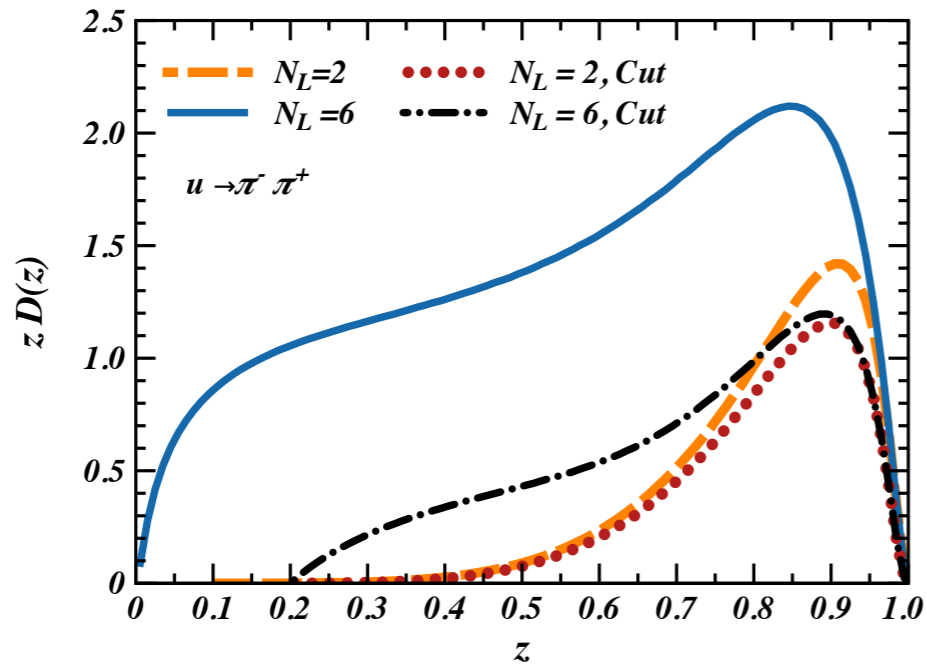
$$D_{q^\rightarrow}^{h_1 h_2}(\varphi_{R-T}) = D_q^{h_1 h_2} [\cos(\varphi_{R-T})] + s_L \sin(\varphi_{R-T}) \mathcal{G}[\cos(\varphi_{R-T})]$$

$$\varphi_{R-T} \equiv \varphi_R - \varphi_T$$

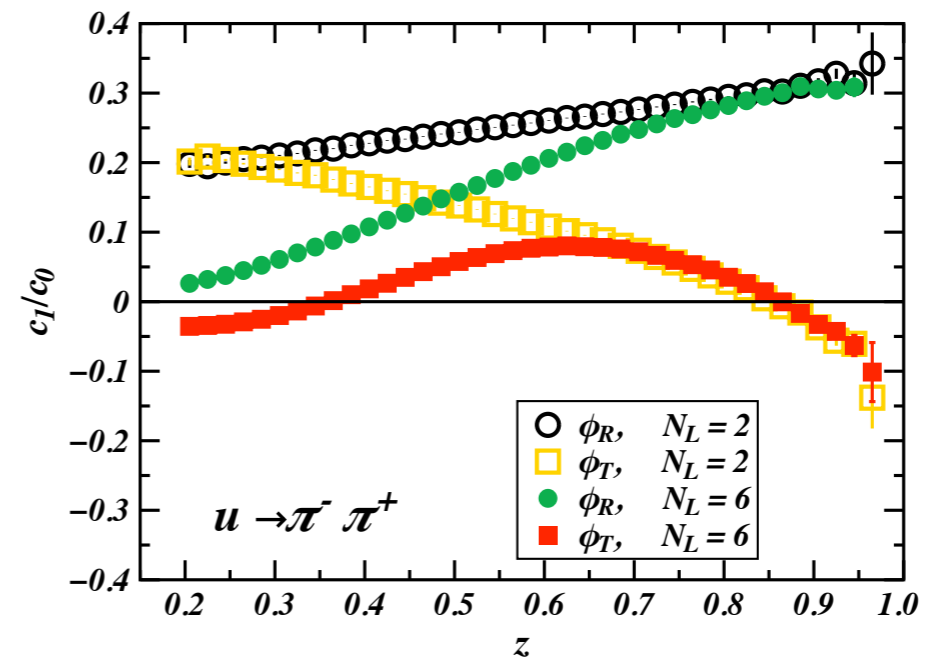
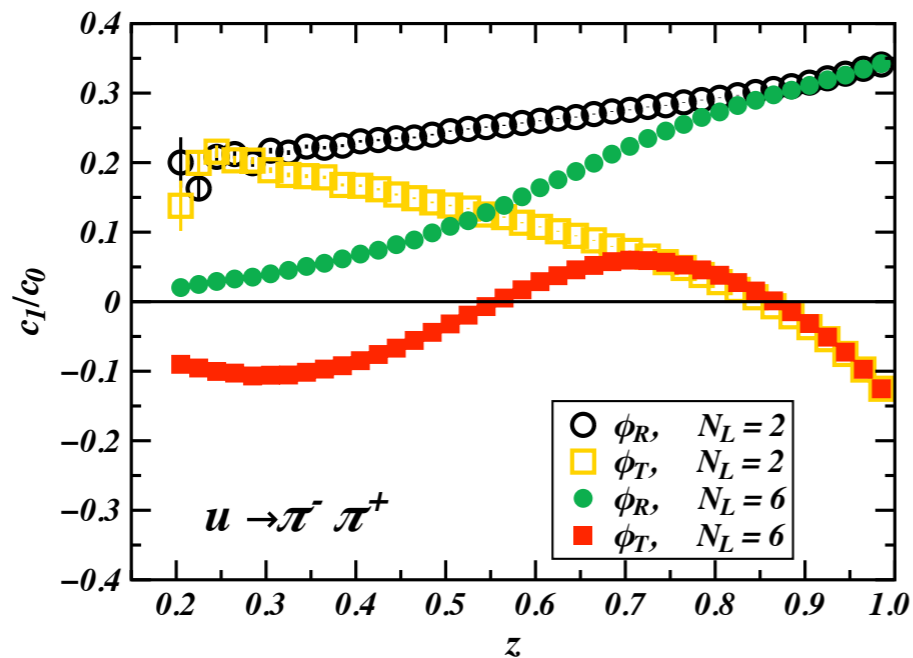
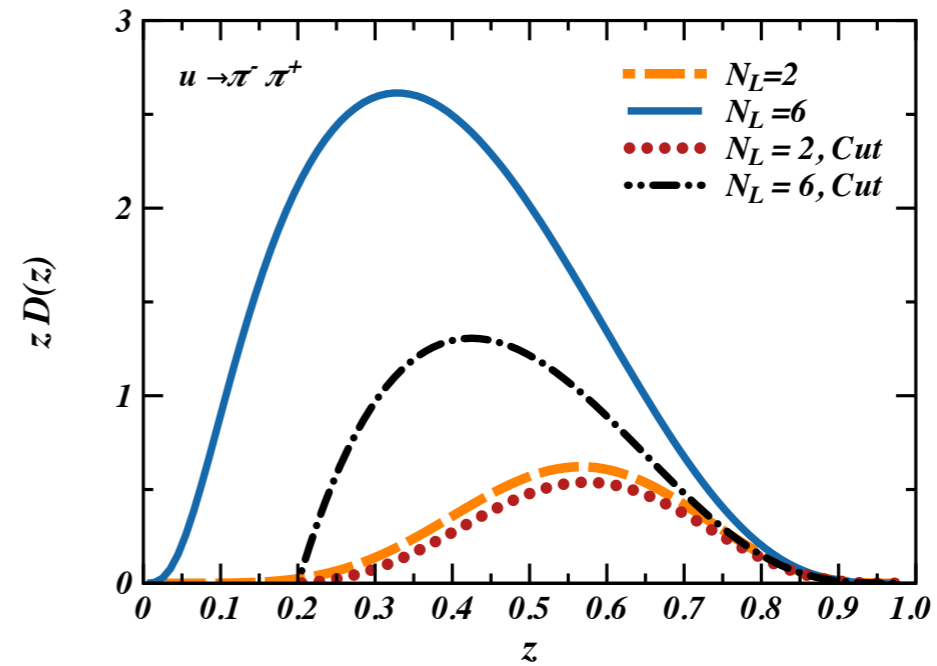
# Transverse Spin

◆ Results for unpolarized DiFF and analysing power, impose cut  $z_{1,2} \geq 0.1$

## ► NJL Model



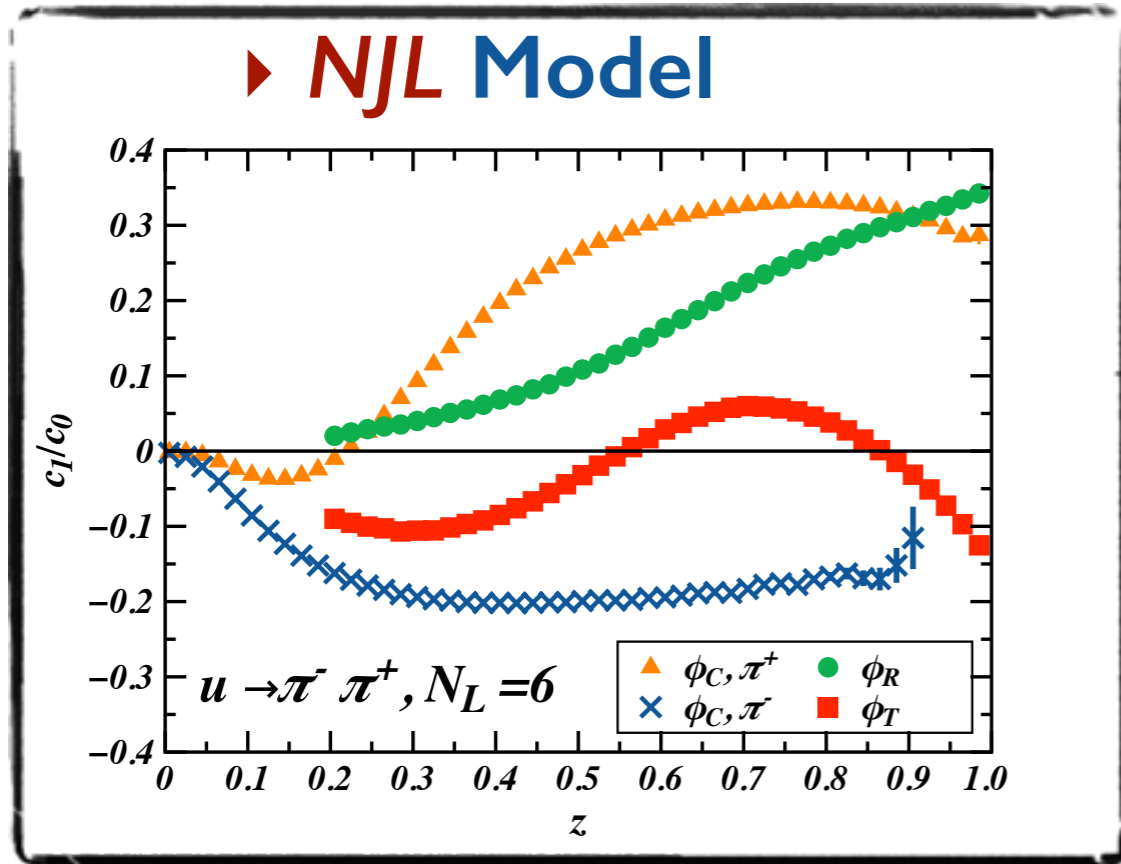
## ► Evolution-mimicking Ansatz.



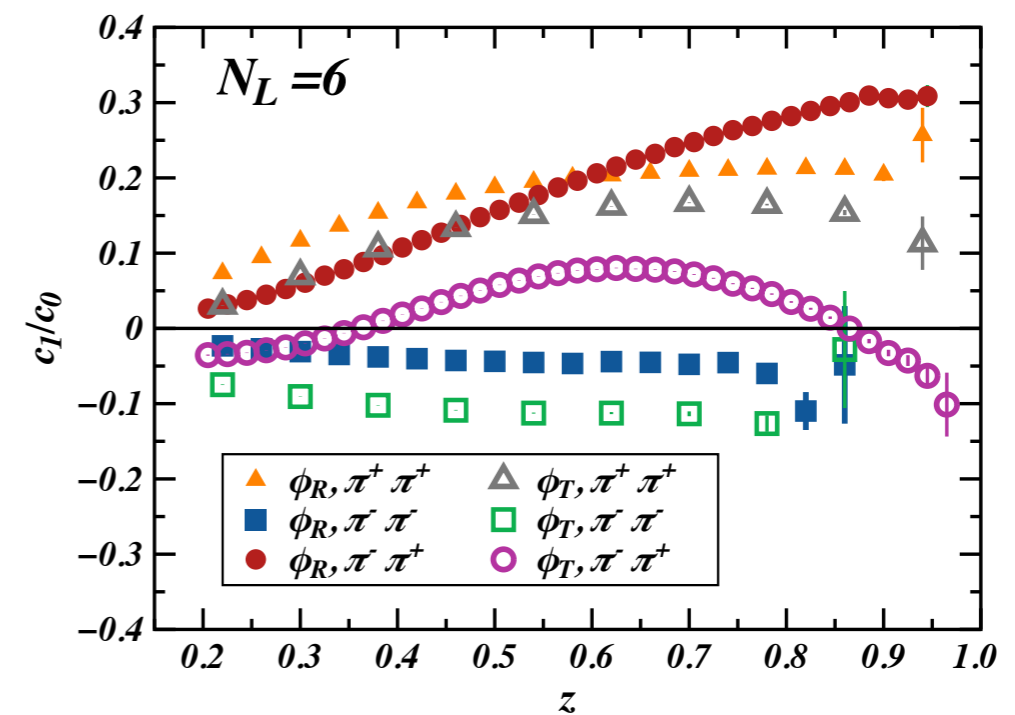
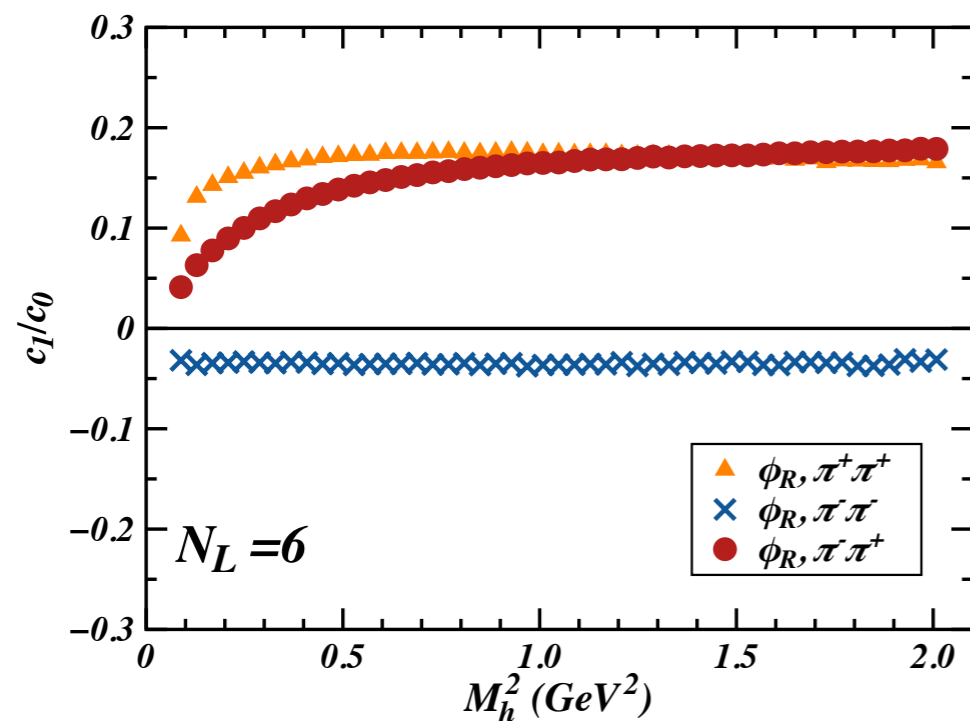
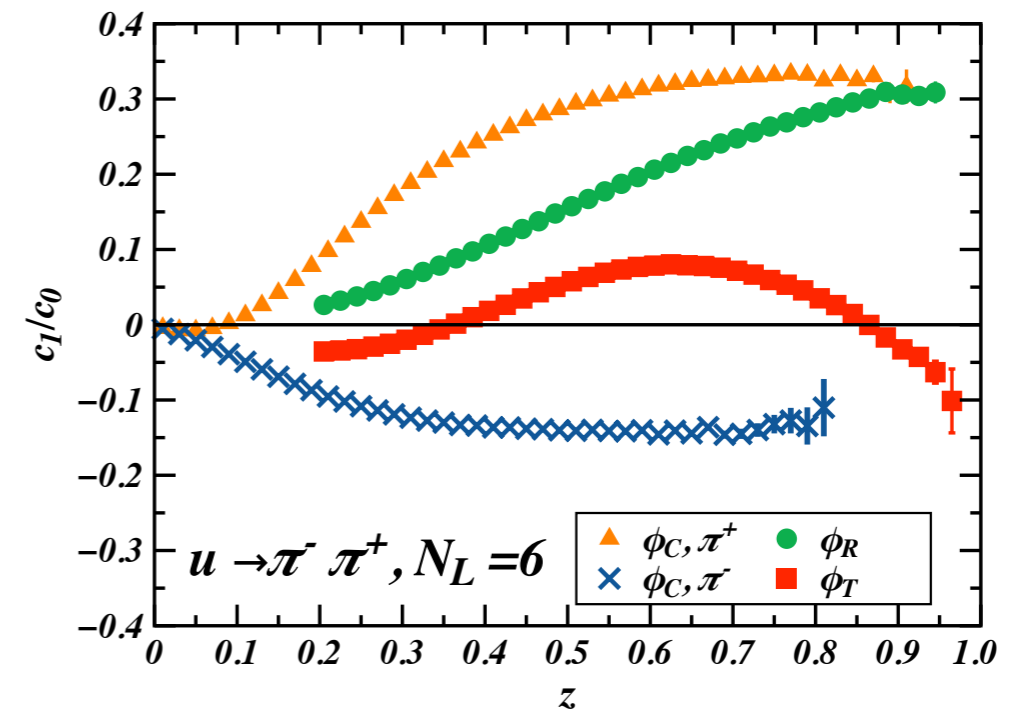
◆ **Destructive interference with increasing  $N_L$ !**

# Collins and IFF

## ◆ Comparing the analysing powers for Collins effect and IFFs.



## ► Evolution-mimicking *Ansatz*.





***Longitudinal Polarisation  
in DiHadron FFs***

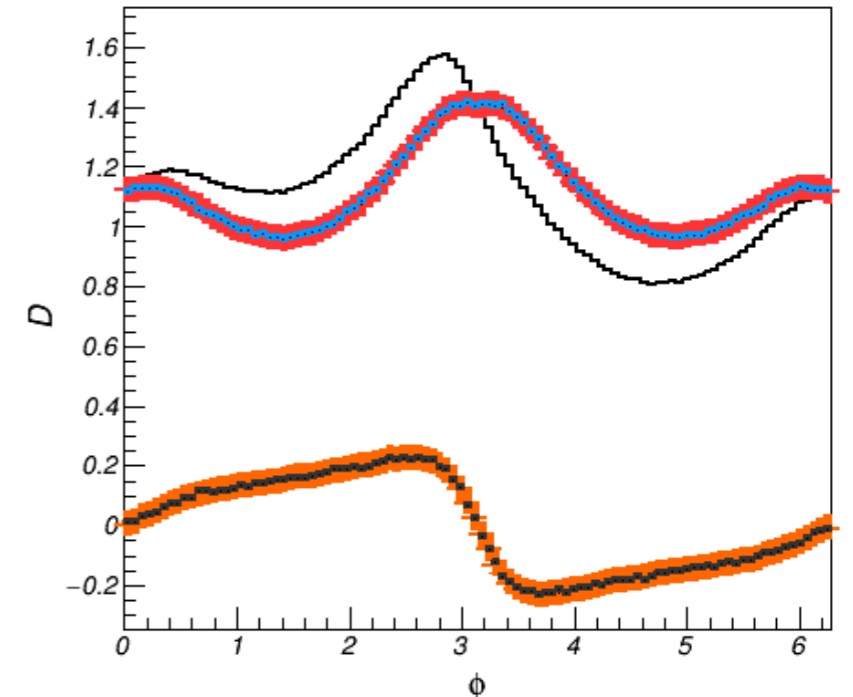


# Longitudinal Spin

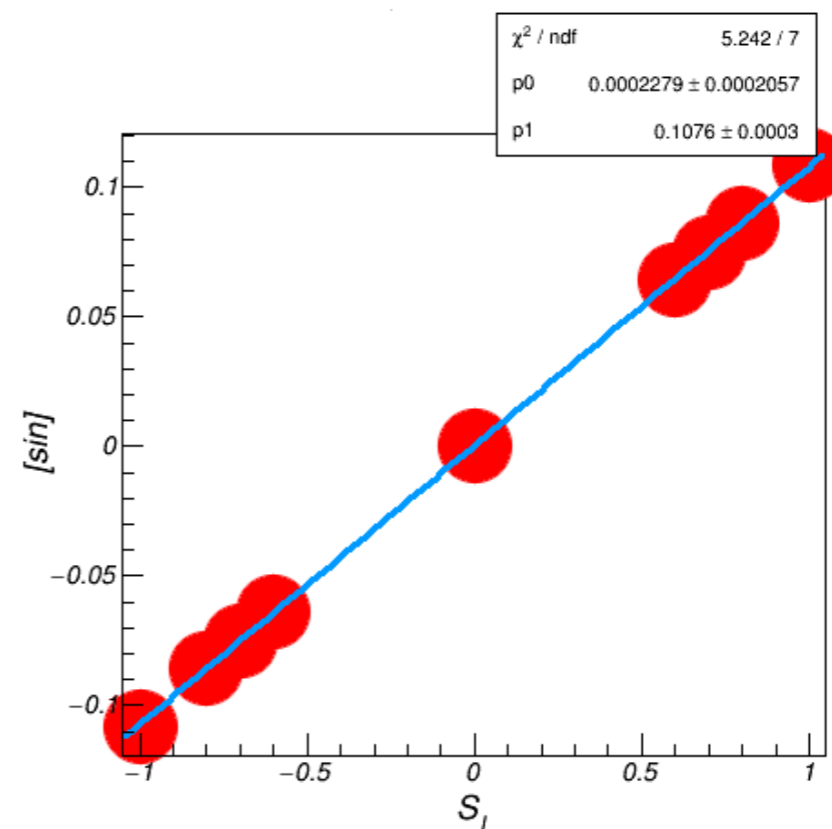
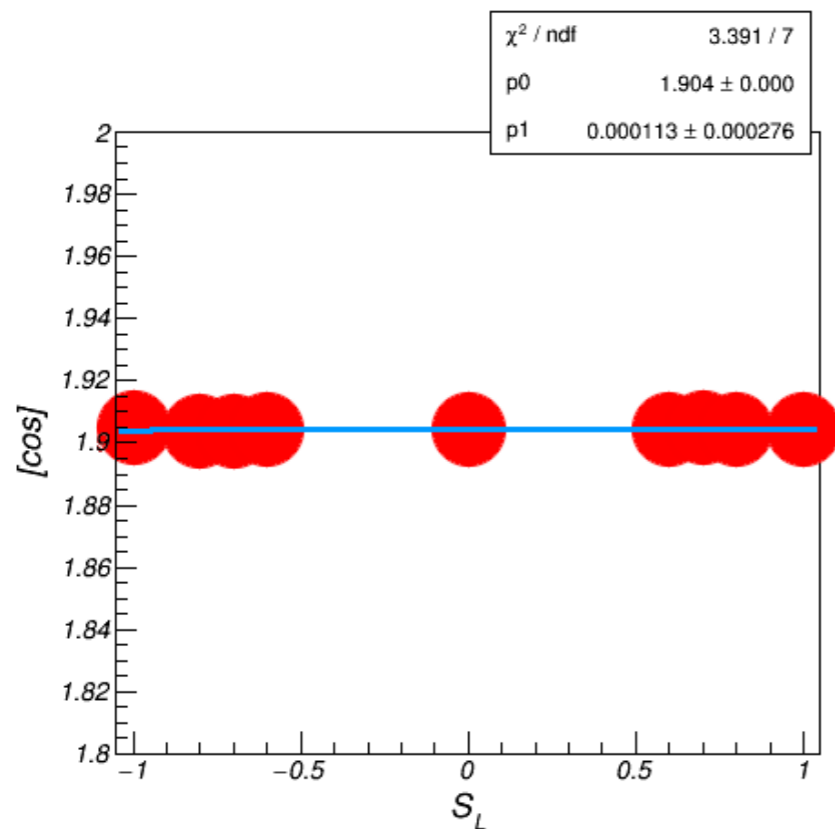
◆ FF for longitudinally polarized quark:  $(\mathbf{R} \times \mathbf{T}) \cdot \mathbf{s}_L$

$$D_{q \rightarrow}^{h_1 h_2}(\varphi_{R-T}) = D_q^{h_1 h_2}[\cos(\varphi_{R-T})] + s_L \sin(\varphi_{R-T}) \mathcal{G}[\cos(\varphi_{R-T})]$$

$$\varphi_{R-T} \equiv \varphi_R - \varphi_T$$



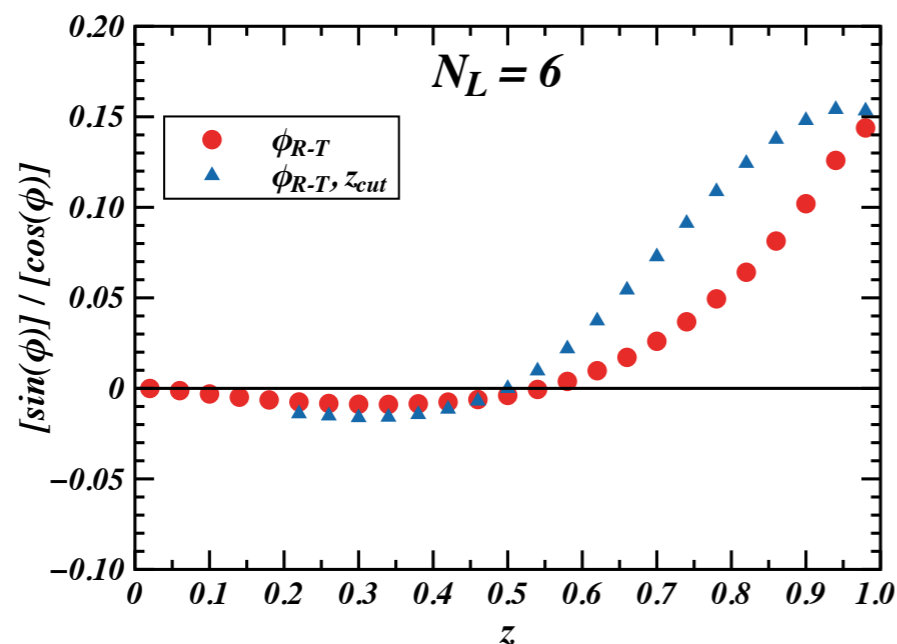
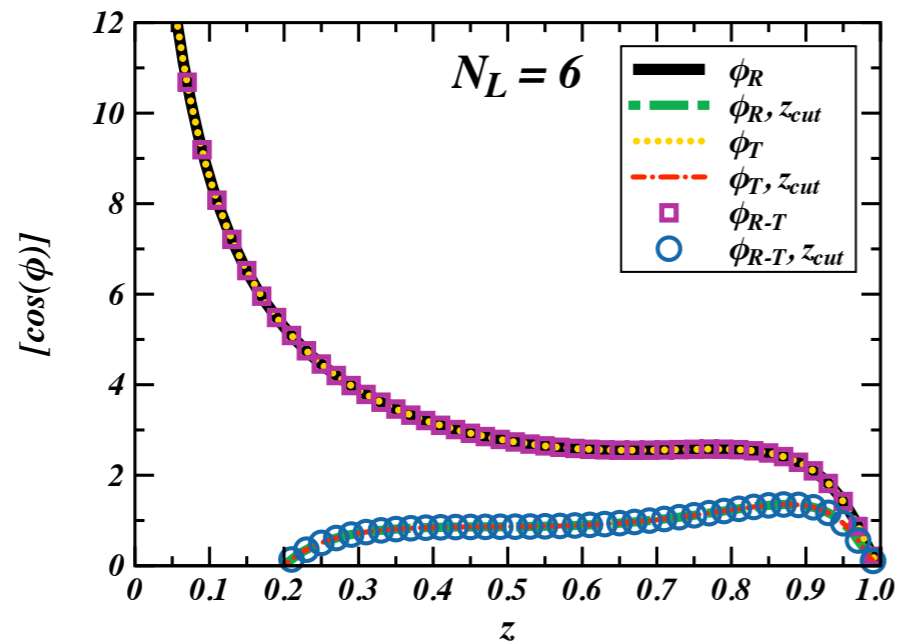
◆ Proof of linear dependence on  $s_L$ : 9 values of  $(s_L, s_T)$  for  $N_L = 6$ .



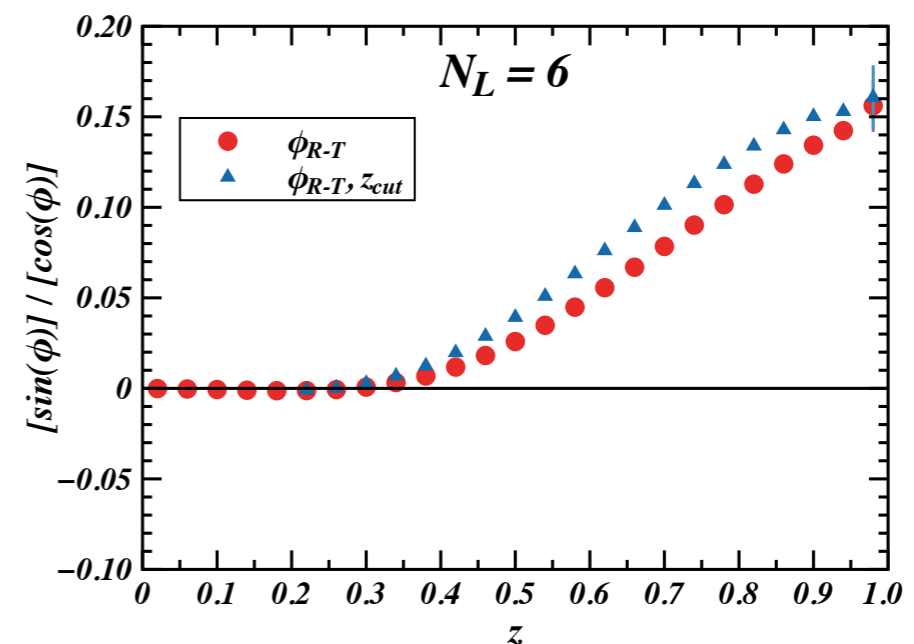
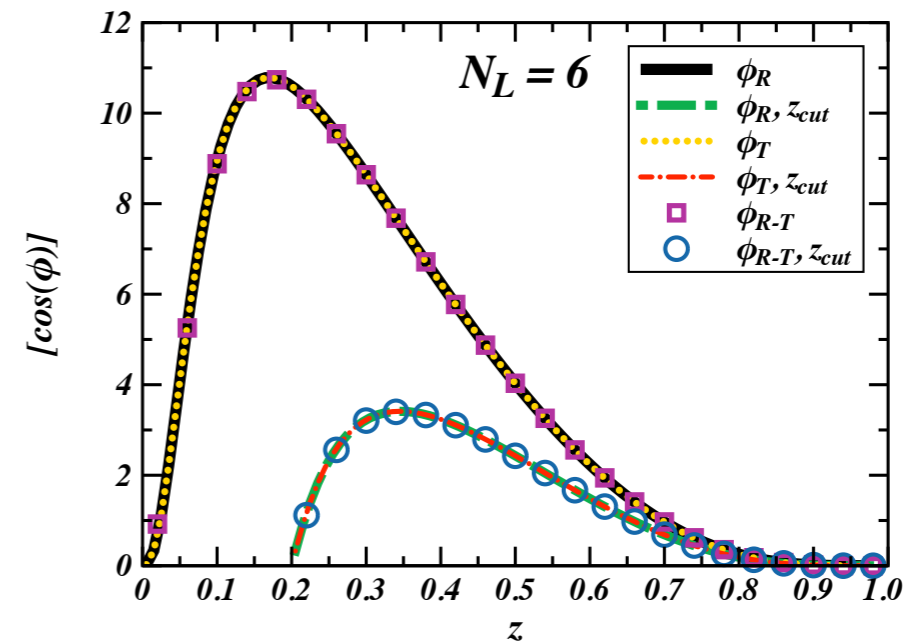
# Cross-check for unpolarized DiFF

◆ Results for unpolarized DiFF and analysing power, impose cut  $z_{1,2} \geq 0.1$

► **NJL Model**



► **Evolution-mimicking Ansatz.**



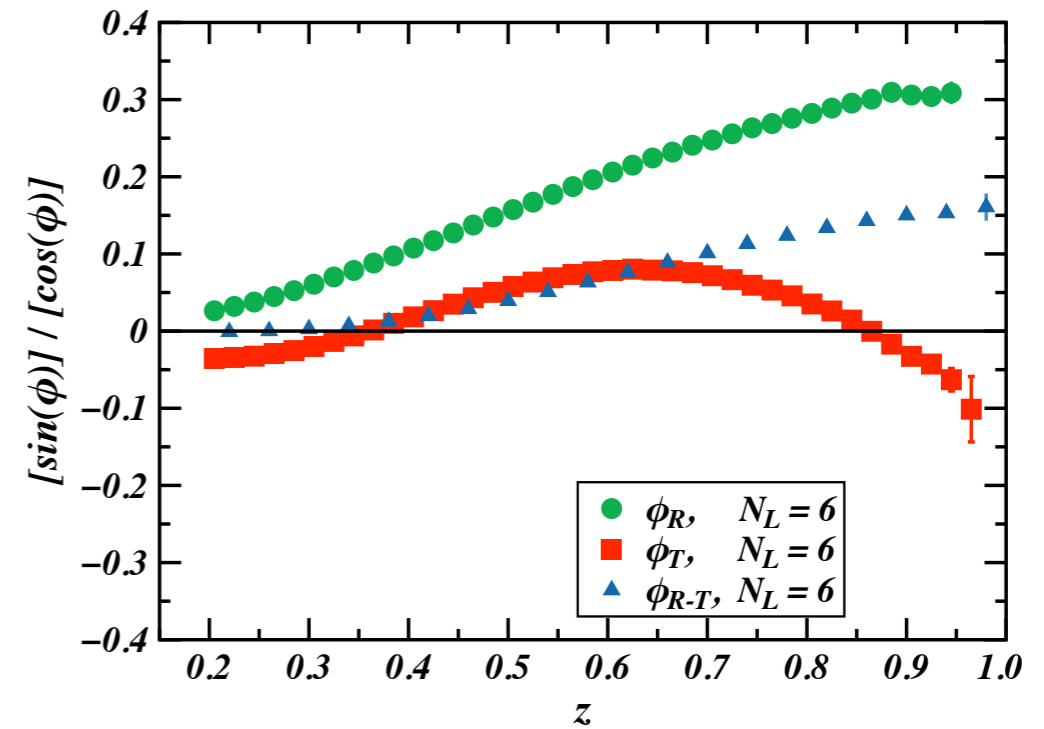
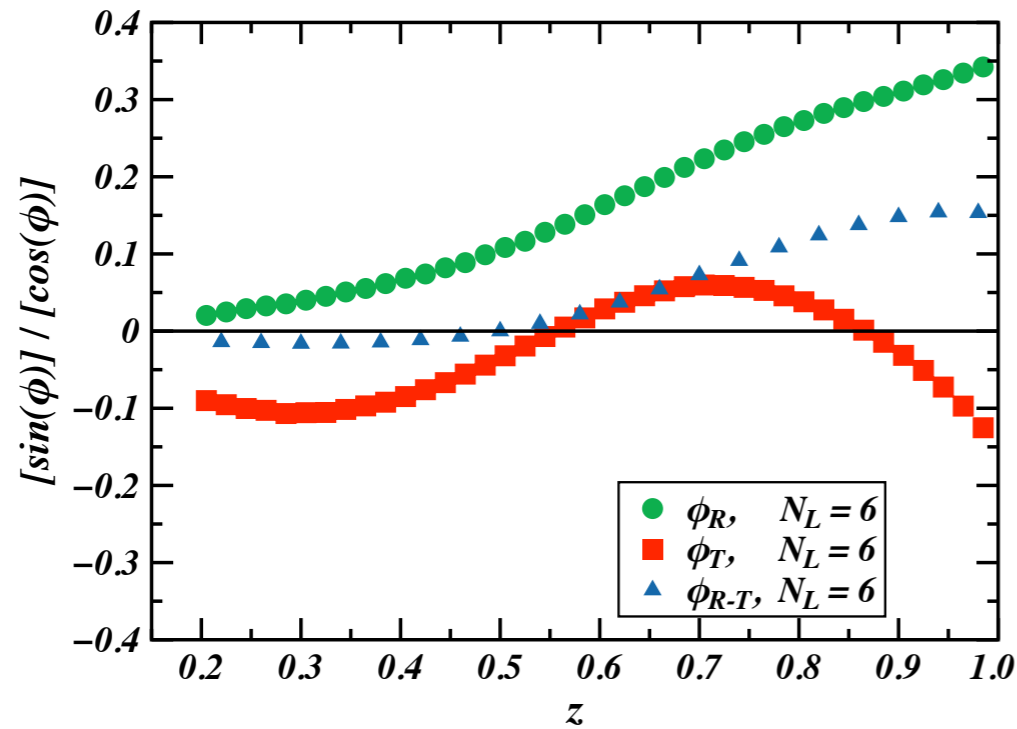
◆  $z_{1,2} \geq 0.1$  cut enhances the analysing power at high- $z$  for larger  $N_L$ !

# Analysing Power for Longitudinal Spin

◆ Comparing the analysing power for Collins effect and IFFs.

▶ **NJL Model**

▶ **Evolution-mimicking Ansatz.**



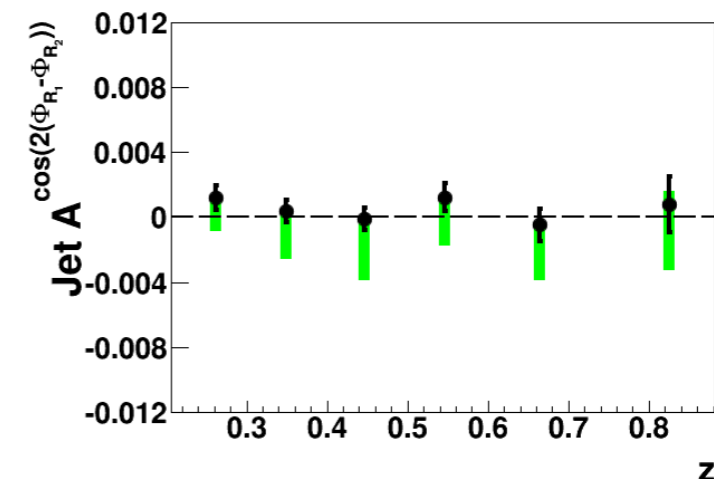
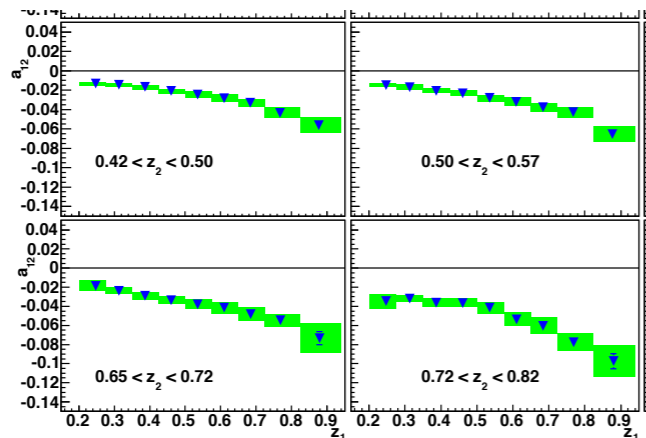
◆ **Might explain BELLE results.**

Phys.Rev.Lett. 107 (2011) 072004

PoS DIS2015 (2015) 216

$$\sim H_q^\Delta(z_1, m_1^2) H_{\bar{q}}^\Delta(z_2, m_2^2)$$

$$\sim G_q^\perp(z_1, m_1^2) G_{\bar{q}}^\perp(z_2, m_2^2)$$





## ***FUTURE PLANS***

# THE EFFECT OF VECTOR MESONS (VM)

- A naive assumption: VMs should have modest contribution due to relatively small production probability  $P(\pi^+)/P(\rho^+) \approx 1.7$
- **But:** Combinatorial factors enhance VM contribution significantly!
- Let's consider only two hadron emission

Direct:  $u \rightarrow d + \pi^+ \rightarrow u + \pi^- + \pi^+$

VM:  $u \rightarrow d + \pi^+ \rightarrow u + \rho^- + \pi^+$

$\searrow \rightarrow \pi^- \pi^0$

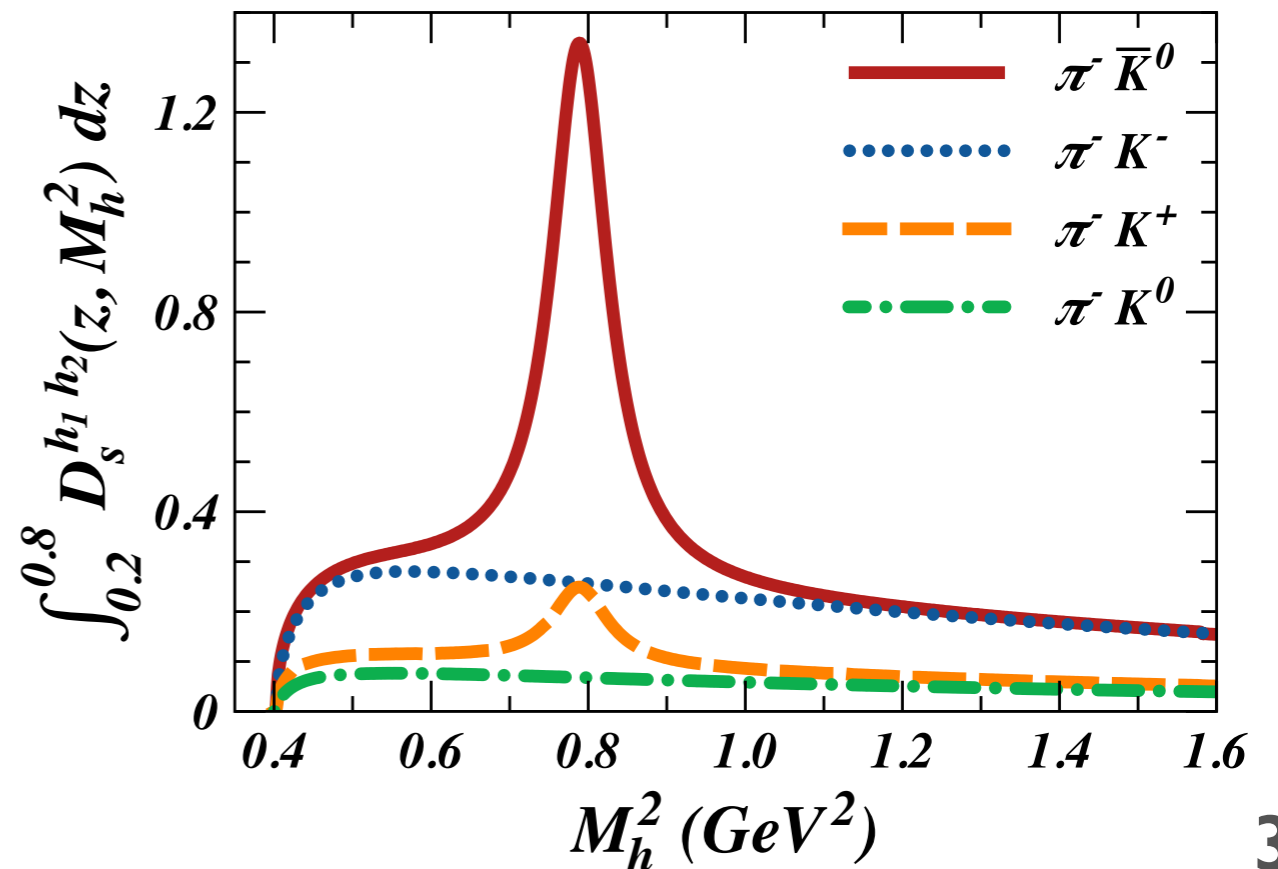
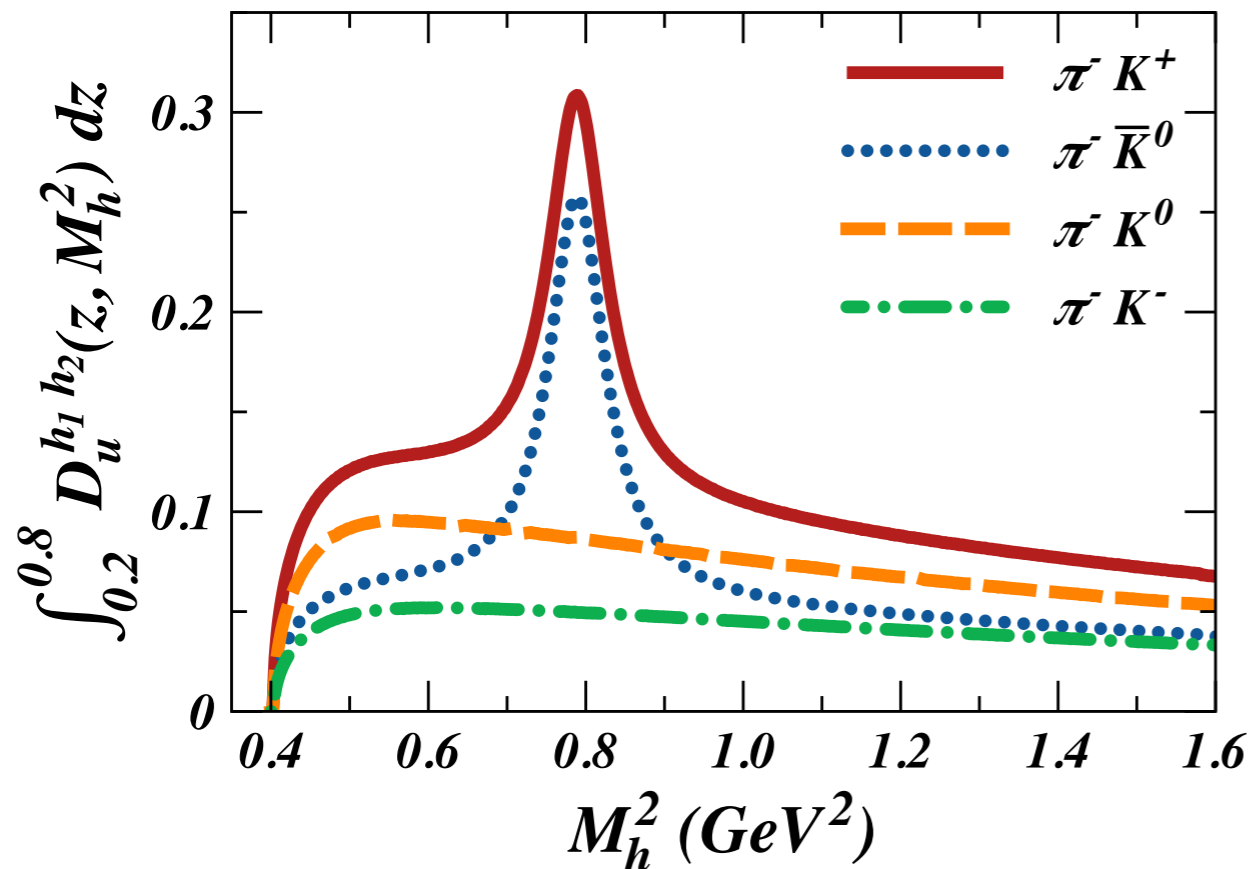
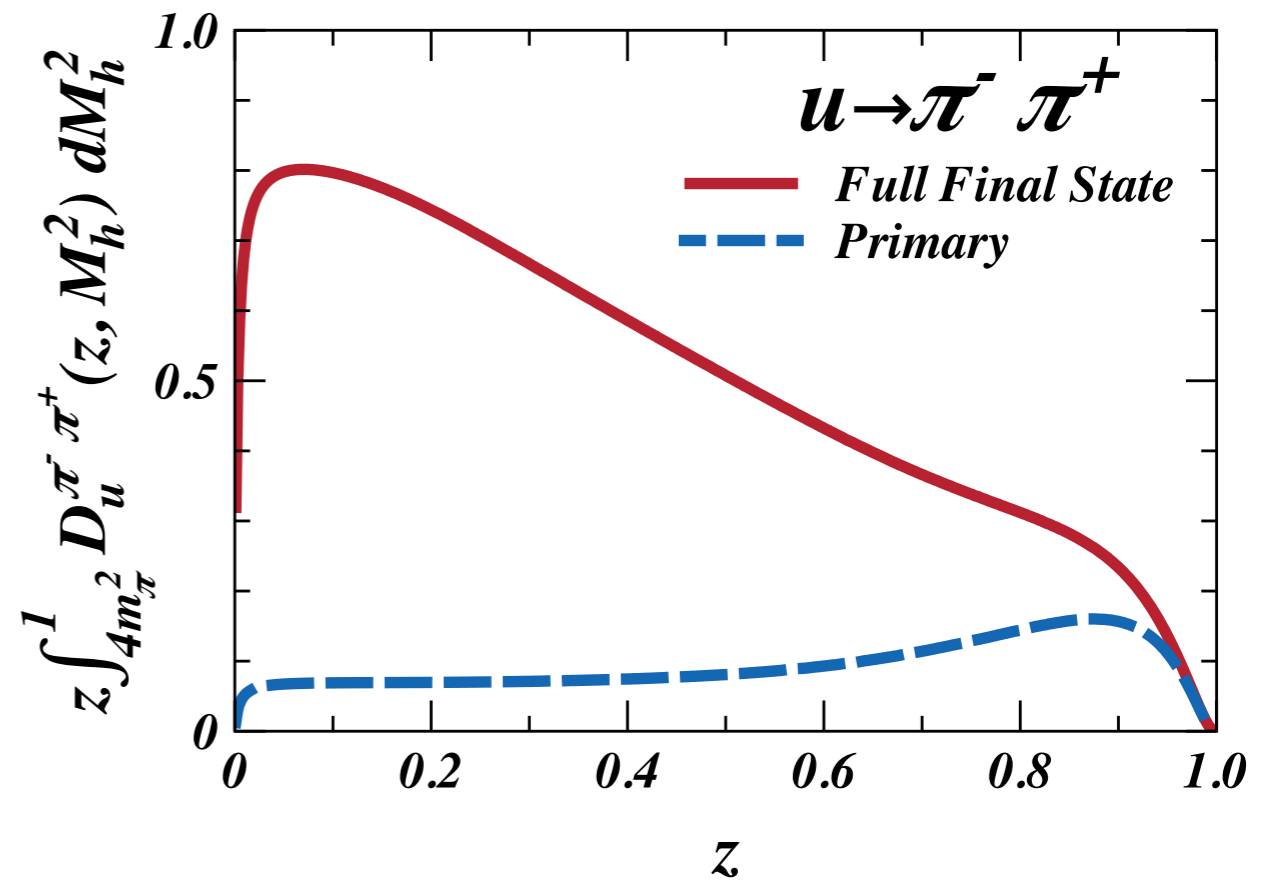
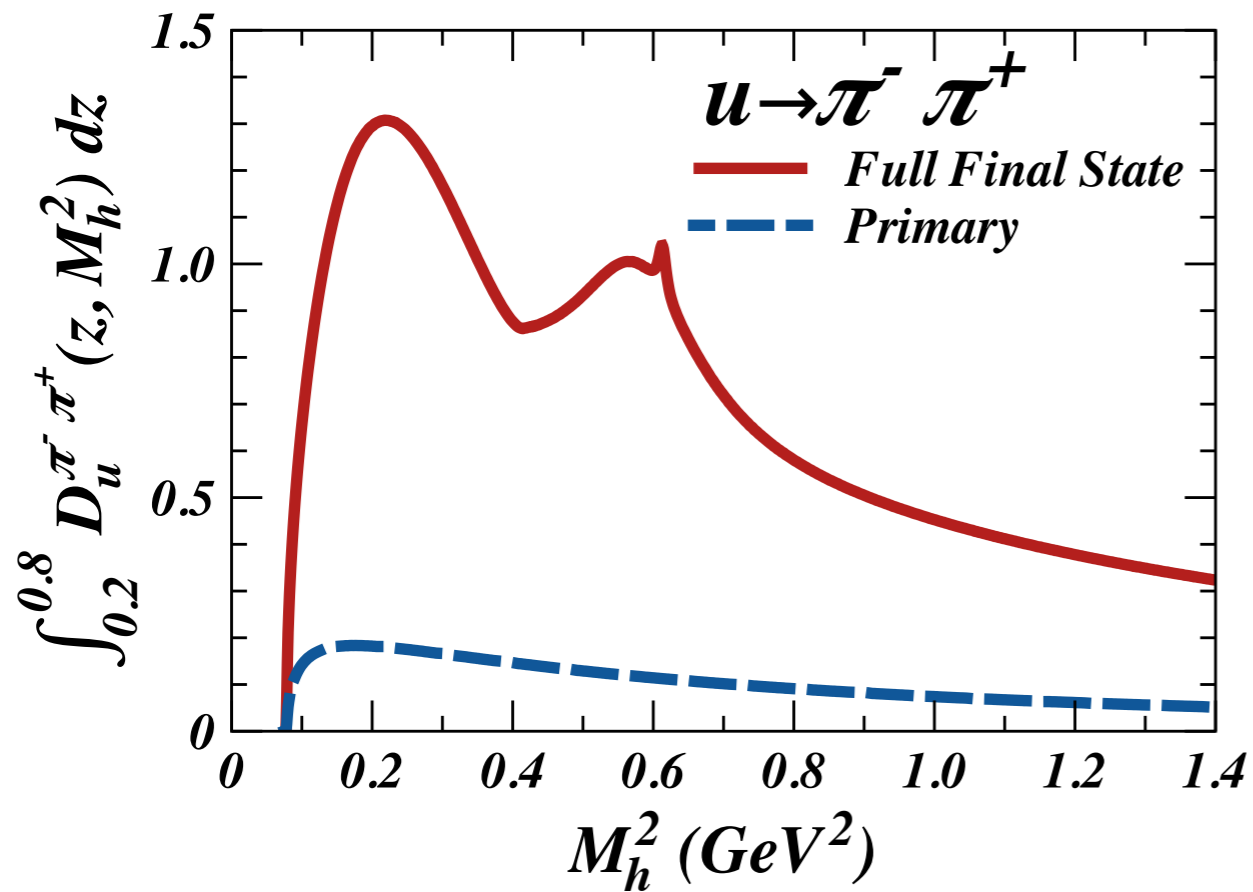
...

$u \rightarrow u + \rho^0 \rightarrow u + \rho^0 + \rho^0 \rightarrow \pi^+ \pi^-$

$\searrow \rightarrow \pi^+ \pi^-$

$$P_{Dir}(\pi^+ \pi^-) / P_{VM}(\pi^+ \pi^-) \approx \frac{1}{4}$$

# Effect of Vector Mesons on Unpol. DiFFs



# Conclusions

- ❖ (Polarised) TMD FFs provide a wealth of information about the *spin-spin* and *spin-momentum* correlations in hadronisation.
- ❖ Hadronization Models are needed to calculate polarised FFs and study various correlations (Collins and IFF, etc).
- ❖ Polarised hadronisation in *MC generators: support for future experiments* to map the 3D structure of nucleon (*COMPASS, JLab I 2, BELLE II, EIC*).
- ❖ The *NJL-jet* model provides a robust and extendable framework for *microscopic* description of hadronization using MC: *TMD, Collins, DiHadron*.
- ❖ *All 3 Di-Hadron spin correlations* from single-hadron effects in quark-jet!
- ❖ The extension of the underlying *quark-jet* mechanism to include polarisation can be incorporated into *mainstream MC frameworks*.
- ❖ Inclusion of *vector mesons* in polarized hadronization is the next step to *accurately describe di-hadron effects*.



*Thanks!*



# BACKUP SLIDES

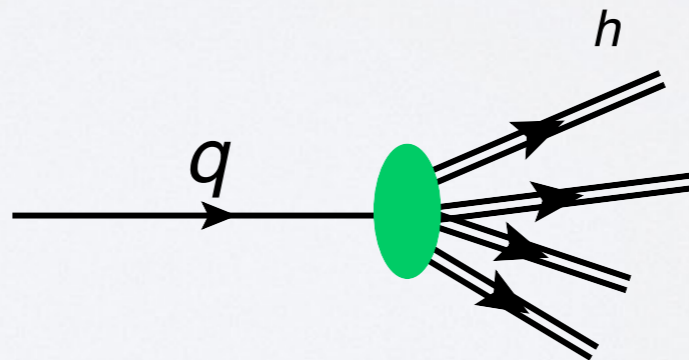
# Fragmentation Functions

- ▶ The non-perturbative, universal functions encoding parton hadronization are the: **Fragmentation Functions (FF)**.

$$\frac{1}{\sigma} \frac{d}{dz} \sigma(e^- e^+ \rightarrow hX) = \sum_i C_i(z, Q^2) \otimes D_i^h(z, Q^2)$$

- ▶ **Unpolarized FF** is the **number density** for parton  $i$  to produce hadron  $h$  with LC momentum fraction  $z$ .

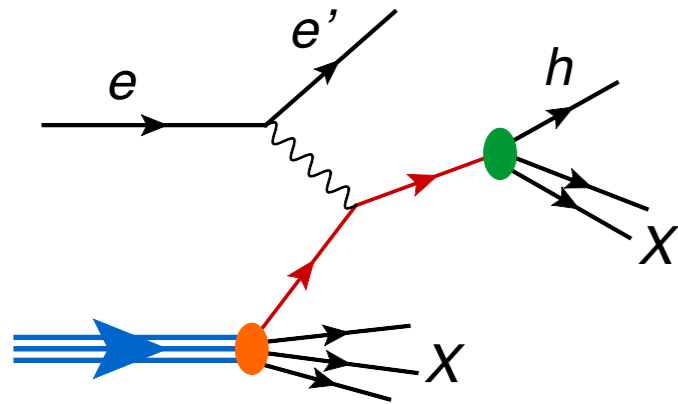
$$D_i^h(z, Q^2)$$



- ▶  $z$  is the light-cone mom. fraction of the parton carried by the hadron

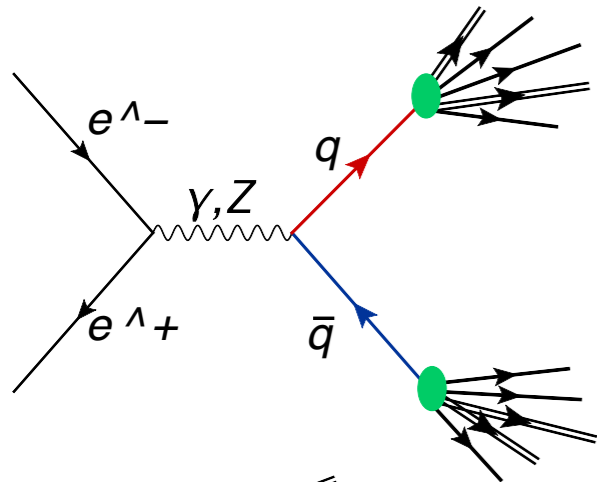
$$z = \frac{p^-}{k^-} \approx z_h = \frac{2E_h}{Q} \quad a^\pm = \frac{1}{\sqrt{2}}(a^0 \pm a^3)$$

# FACTORIZATION AND UNIVERSALITY



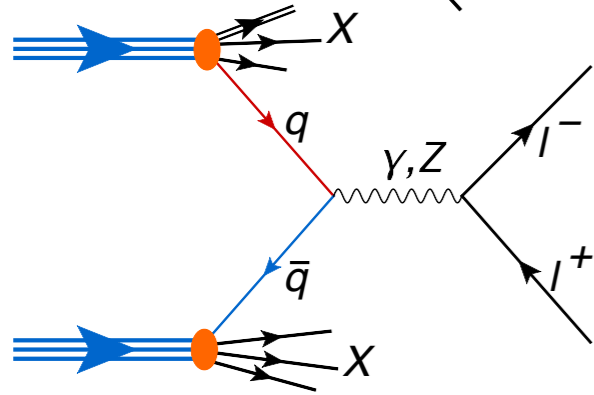
- SEMI INCLUSIVE DIS (SIDIS)

$$\sigma^{eP \rightarrow ehX} = \sum_q f_q^P \otimes \sigma^{eq \rightarrow eq} \otimes D_q^h$$



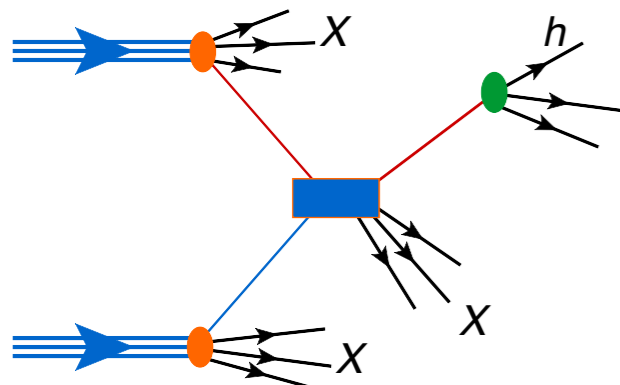
- $e^+e^-$

$$\sigma^{e^+e^- \rightarrow hX} = \sum_q \sigma^{e^+e^- \rightarrow q\bar{q}} \otimes (D_q^h + D_{\bar{q}}^h)$$



- DRELL-YAN (DY)

$$\sigma^{PP \rightarrow l^+l^-X} = \sum_{q,q'} f_q^P \otimes f_{\bar{q}}^P \otimes \sigma^{q\bar{q} \rightarrow l^+l^-}$$



- Hadron Production

$$\sigma^{PP \rightarrow hX} = \sum_{q,q'} f_q^P \otimes f_{q'}^P \otimes \sigma^{qq' \rightarrow qq'} \otimes D_q^h$$

# 3D Nucleon Structure with TMD PDFs

- ❖ **TMDs: Momentum Space**      ❖ **GPDs: Impact Parameter**
- ❖ **The transverse momentum (TM) of the parton can couple with both its own spin and the spin of the nucleon!**
- ❖ **Leading Order TMD PDFs**

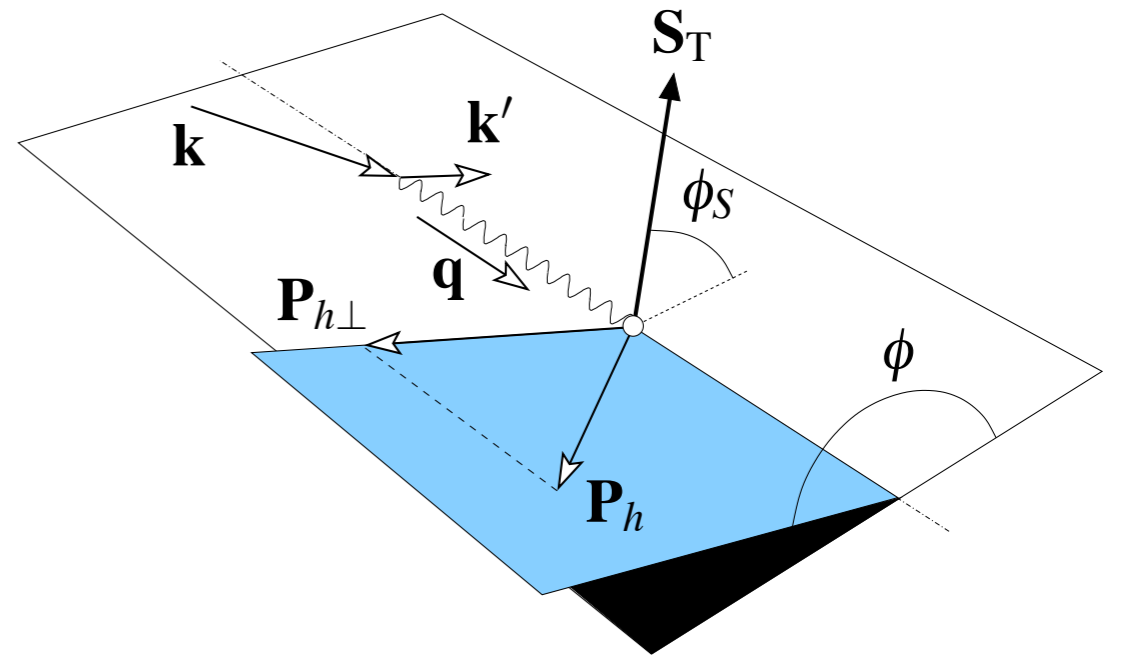
◆ Survive after TM integration!

N/q	U	L	T	
U	$f_1$		$h_1^\perp$	Boer-Mulders
L		$g_{1L}$	$h_{1L}^\perp$	Kotzinian-Mulders
T	$f_{1T}^\perp$	$g_{1T}^\perp$	$h_1 h_{1T}^\perp$	Pretzelosity
				Sivers
				Worm Gear

# TMDs from SIDIS $e P \rightarrow e' h X$

A. Bacchetta et al., JHEP08 023 (2008).

- For polarized SIDIS cross-section there are **18 terms** in leading twist expansion:



$$\frac{d\sigma}{dx dy dz d\phi_S d\phi_h dP_{h\perp}^2} \sim F_{UU,T} + \varepsilon F_{UU,L} + \dots$$

$$+ |\mathbf{S}_{\perp}| \left[ \sin(\phi_h - \phi_S) \left( F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) + \varepsilon \sin(\phi_h + \phi_S) F_{UT}^{\sin(\phi_h + \phi_S)} + \dots \right]$$

**Collins term**

▶ Access the structure functions via *specific* modulations.

▶ LO Matching to *convolutions* of PDFs and FFs:  $P_T^2 \ll Q^2$

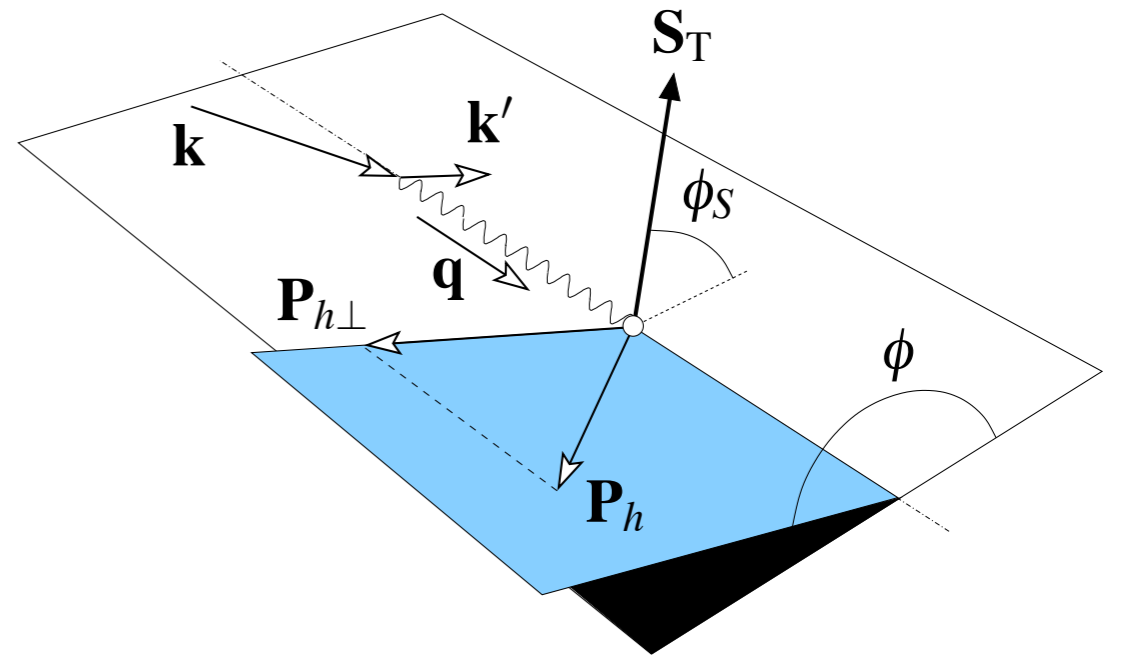
$$F_{UU,T} \sim \mathcal{C}[f_1 D_1] \quad F_{UT}^{\sin(\phi_h + \phi_S)} \sim \mathcal{C}[h_1 H_1^{\perp}]$$

- **NEED Collins Fragmentation Function to access Transversity PDF from SIDIS!** [BELLE (II), BaBar]

# TMDs from SIDIS $e P \rightarrow e' h X$

A. Bacchetta et al., JHEP08 023 (2008).

- For polarized SIDIS cross-section there are **18 terms** in leading twist expansion:

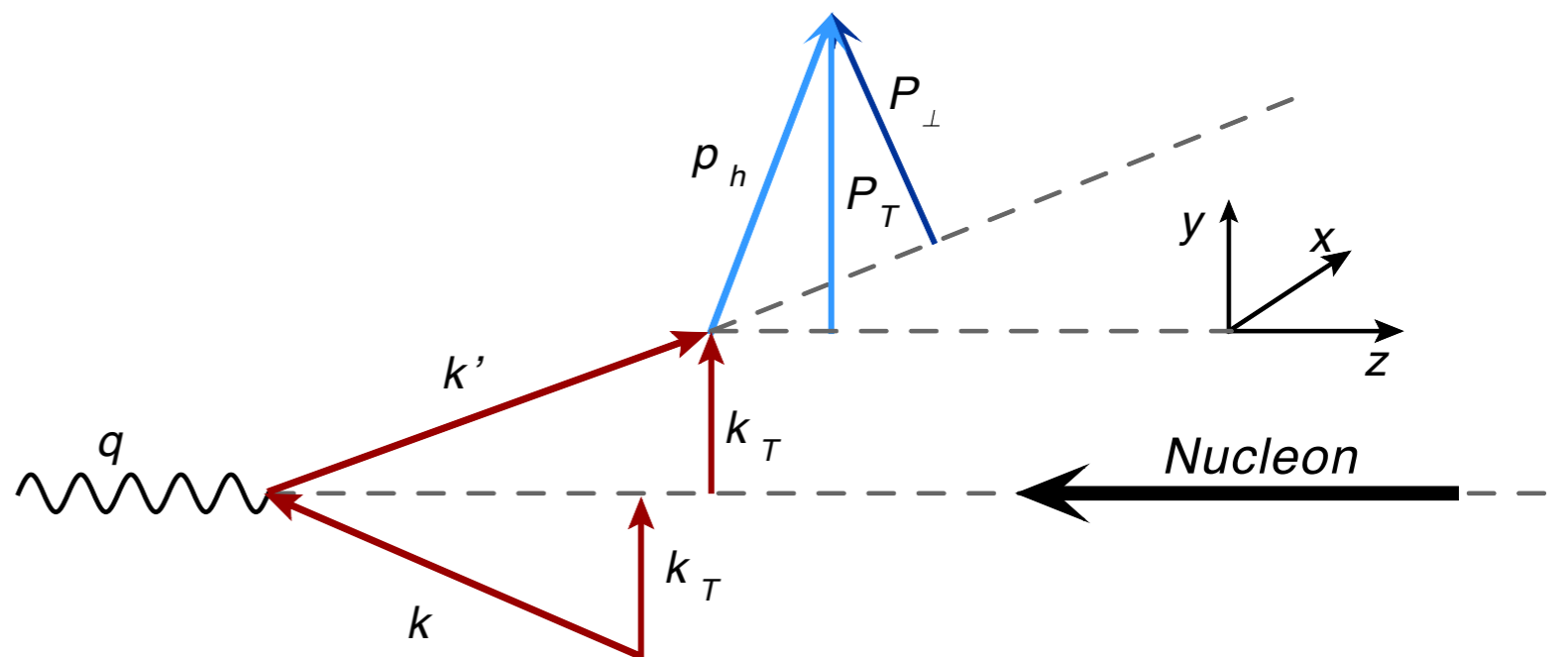


$$C[f \ g \ \dots] \equiv \sum_q e_q^2 \int d^2 \vec{k}_T \ d^2 \vec{P}_\perp \ f \ g \ \dots \ \delta^2(\vec{P}_T - \vec{P}_\perp - z \vec{k}_T)$$

$$\int d^2 \mathbf{k}_\perp f(x, k_\perp^2) = f(x)$$

$$\int d^2 \mathbf{P}_\perp D(z, P_\perp^2) = D(z)$$

$$\vec{P}_T = \vec{P}_\perp + z \vec{k}_T$$



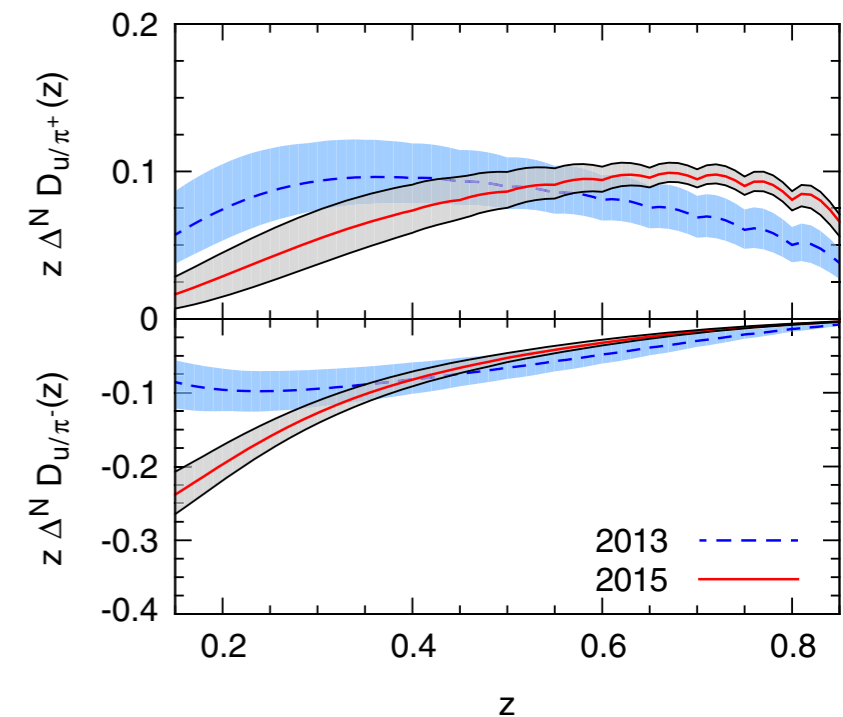
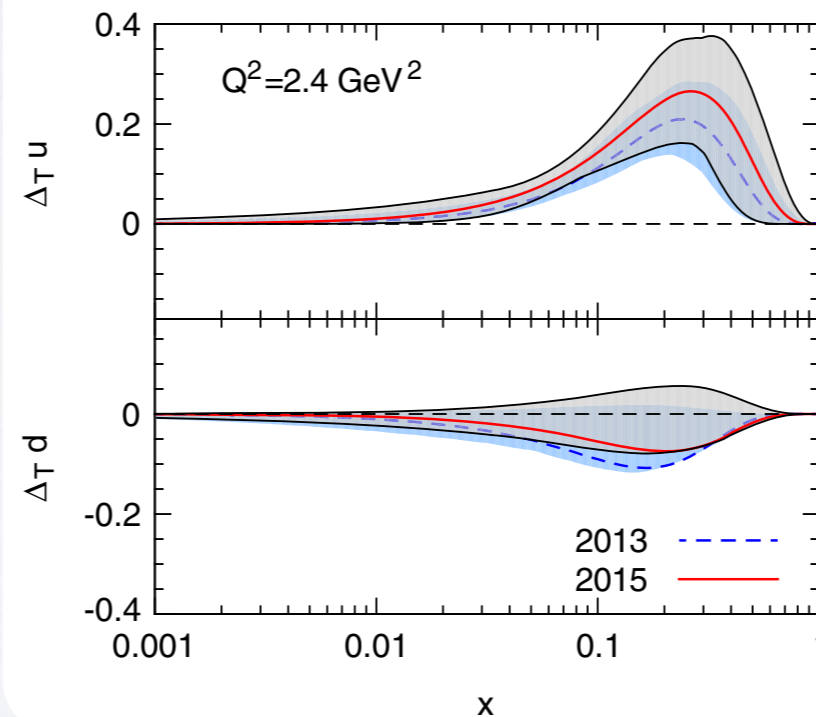
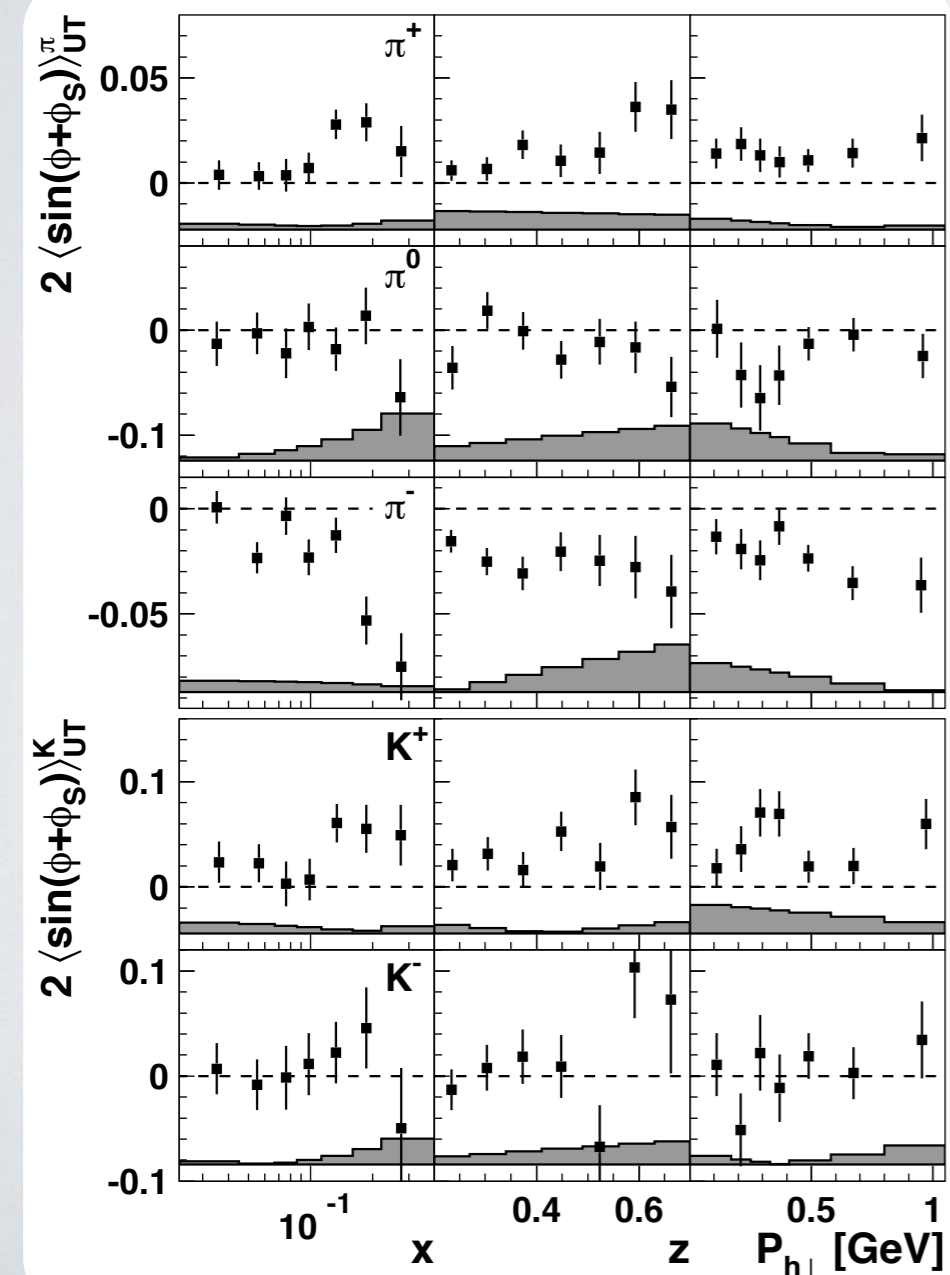
# EMPIRICAL EXTRACTIONS OF TRANSVERSITY

- **SIDIS at HERMES**  
PLB693 (2010) 11-16.

- Opposite sign for the charged **pions**.
- Large positive signal for  $K^+$ .
- Consistent with **0** for  $\pi^0$  and  $K^-$ .

$$\langle \sin(\phi + \phi_S) \rangle_{UT}^h \sim \frac{\mathcal{C}[h_1^q H_{1q}^{\perp h/q}]}{\mathcal{C}[f_1^q D_1^{h/q}]}$$

- ❖ **Fits to HERMES, COMPASS and BELLE/BaBar:** PRD 92, 114023 (2015).



- **Still Large Uncertainties!**
- **Simplistic Approximations !**

# Unfavored FFs NOT well known!

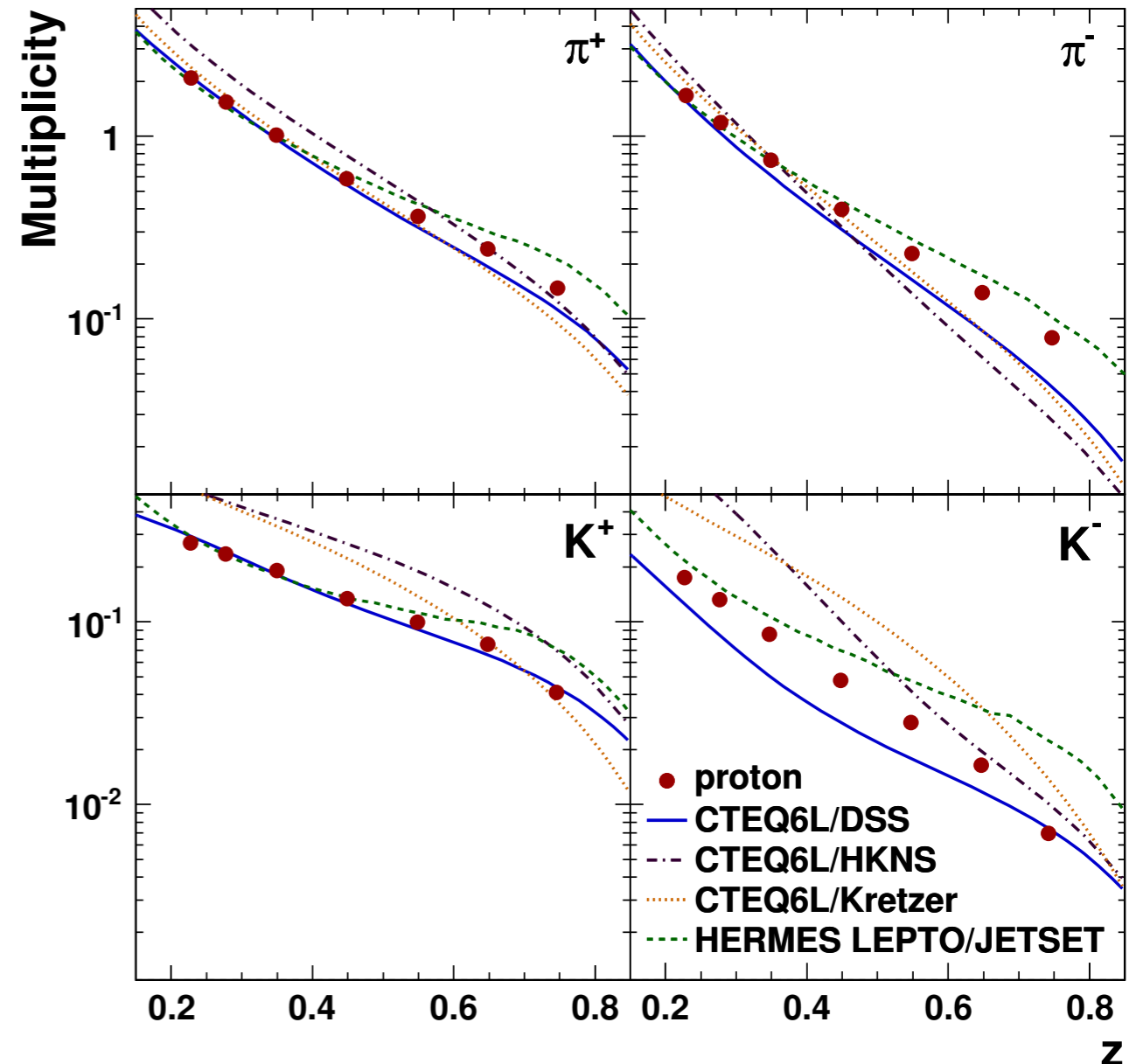
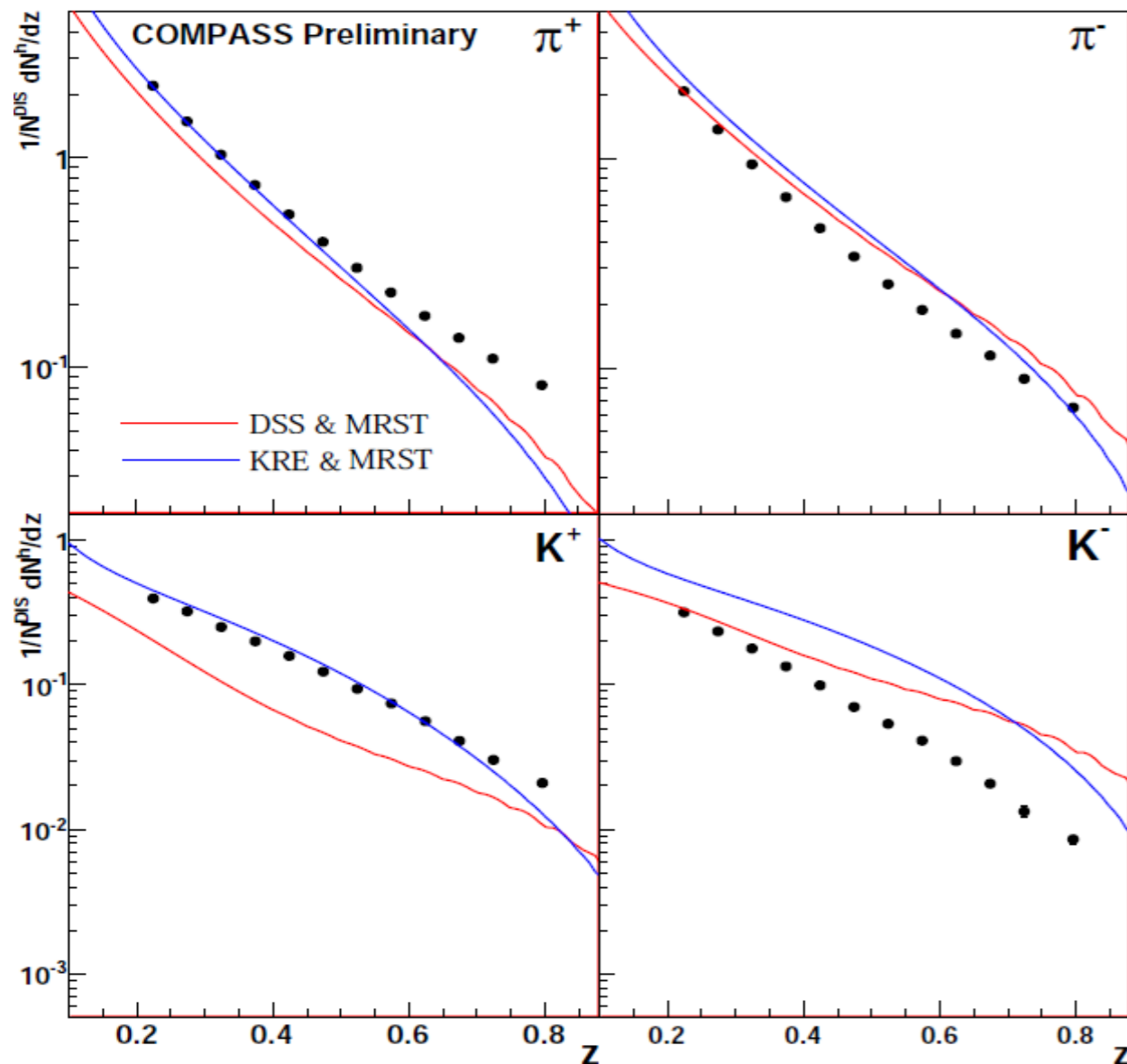
## Hadron Multiplicities

► Preliminary from COMPASS

Talk by C.Franco at CIPANP 2012.

► Also results from HERMES

Phys. Rev. D 87, 074029 (2013)



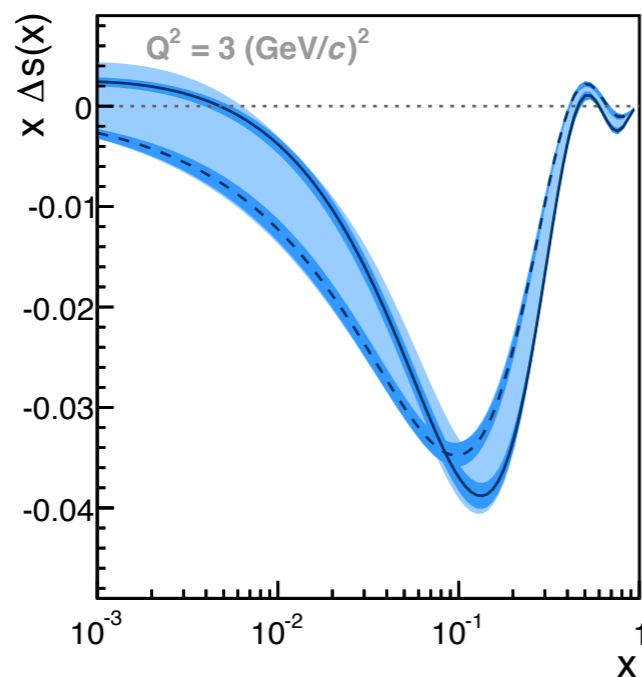


# Impact of FF uncertainties on extracted PDFs

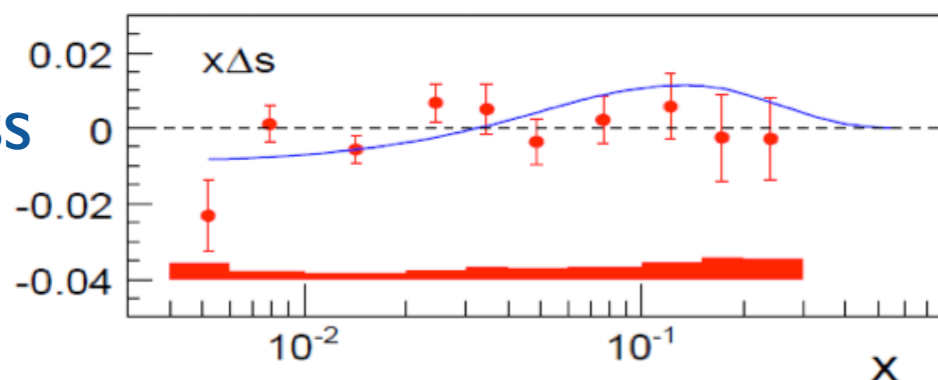
## ► $\Delta s$ puzzle: DIS vs SIDIS.

Platchkov: Talk in Chile, 2016.

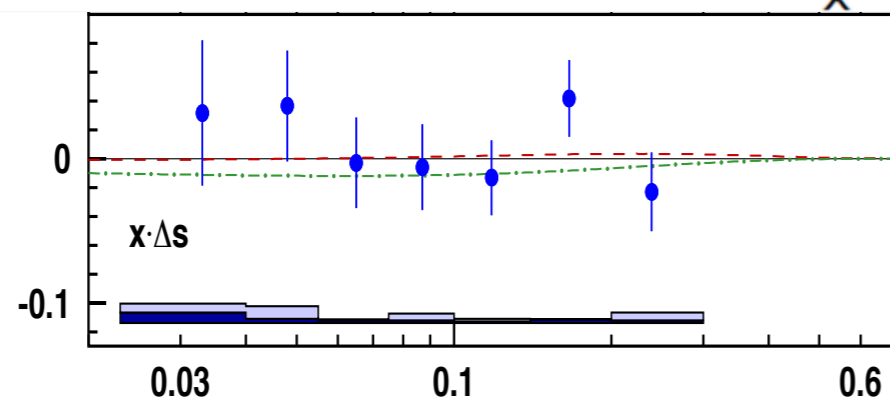
DIS COMPASS



SIDIS COMPASS



SIDIS HERMES

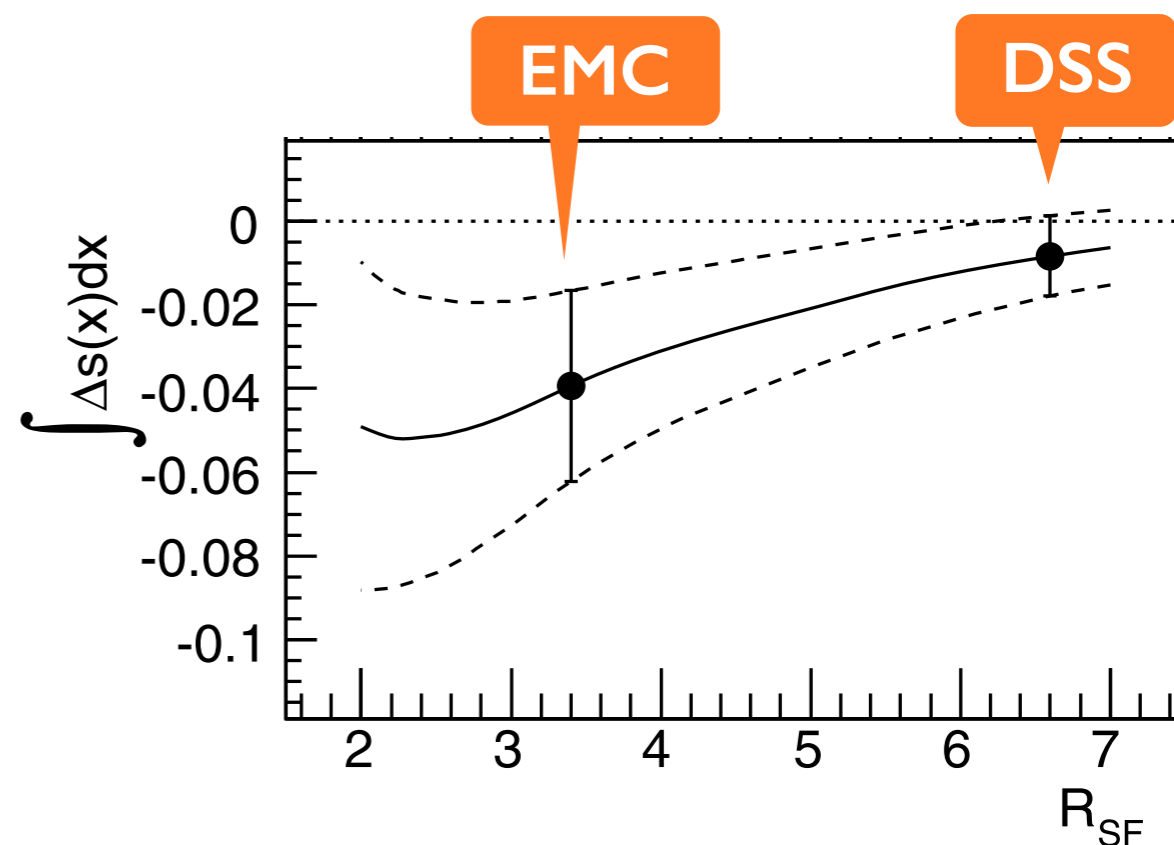


## ► Impact on extracted $\Delta s$

COMPASS: PLB 693 (2010) 227–235.

$$A_1^h(x, z) = \frac{\sum_q e_q^2 (\Delta q(x) D_q^h(z) + \Delta \bar{q}(x) D_{\bar{q}}^h(z))}{\sum_q e_q^2 (q(x) D_q^h(z) + \bar{q}(x) D_{\bar{q}}^h(z))}$$

$$R_{UF} = \frac{\int D_d^{K^+}(z) dz}{\int D_u^{K^+}(z) dz}, \quad R_{SF} = \frac{\int D_{\bar{s}}^{K^+}(z) dz}{\int D_u^{K^+}(z) dz}$$



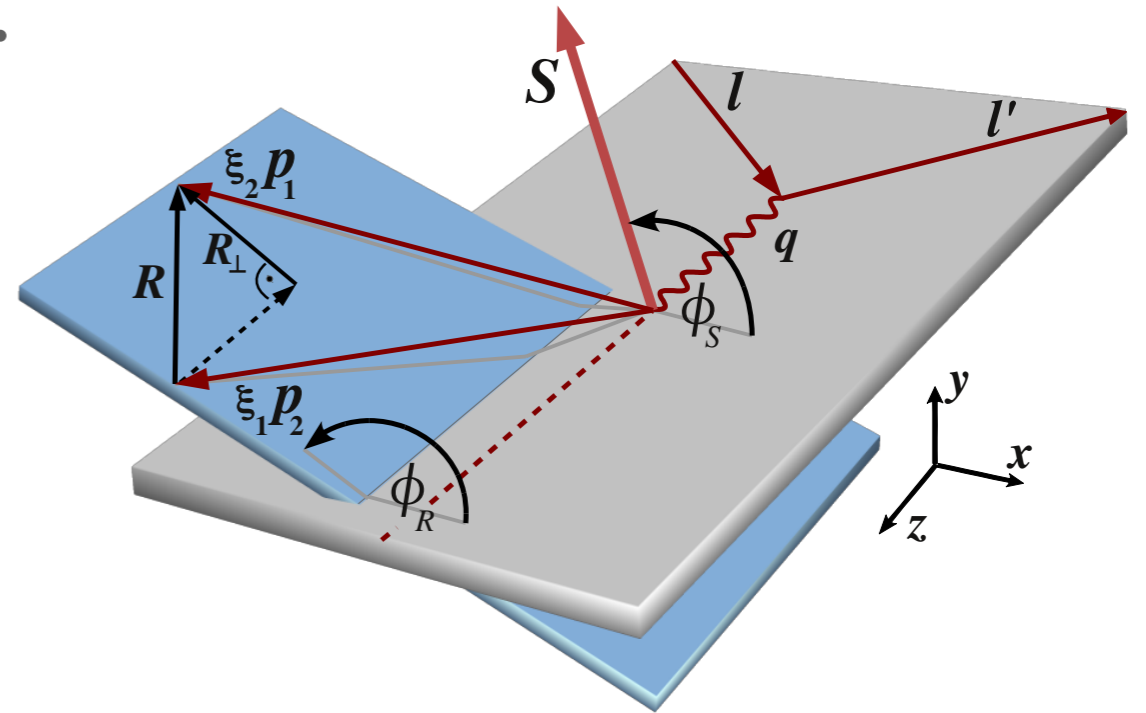
# RECENT COMPASS RESULTS

COMPASS, PLB736, 124-131 (2014).

◆ SIDIS with transversely polarized target.

◆ Collins single spin asymmetry:

$$A_{Coll} = \frac{\sum_q e_q^2 h_1^q \otimes H_1^{\perp h/q}}{\sum_q e_q^2 f_1^q \otimes D_1^{h/q}}$$



◆ Two hadron single spin asymmetry:

$$A_{UT}^{\sin \phi_{RS}} = \frac{|\mathbf{p}_1 - \mathbf{p}_2|}{2M_{h^+h^-}} \frac{\sum_q e_q^2 \cdot h_1^q(x) \cdot H_{1,q}^{\triangleleft}(z, M_{h^+h^-}^2, \cos \theta)}{\sum_q e_q^2 \cdot f_1^q(x) \cdot D_{1,q}(z, M_{h^+h^-}^2, \cos \theta)}$$

◆ Note the choice of the vector

$$\mathbf{R}_{Artru} = \frac{z_2 \mathbf{P}_1 - z_1 \mathbf{P}_2}{z_1 + z_2}$$

# RECENT COMPASS RESULTS

COMPASS, PLB736, 124-131 (2014).

◆ SIDIS with transversely polarized target.

◆ Collins single spin asymmetry

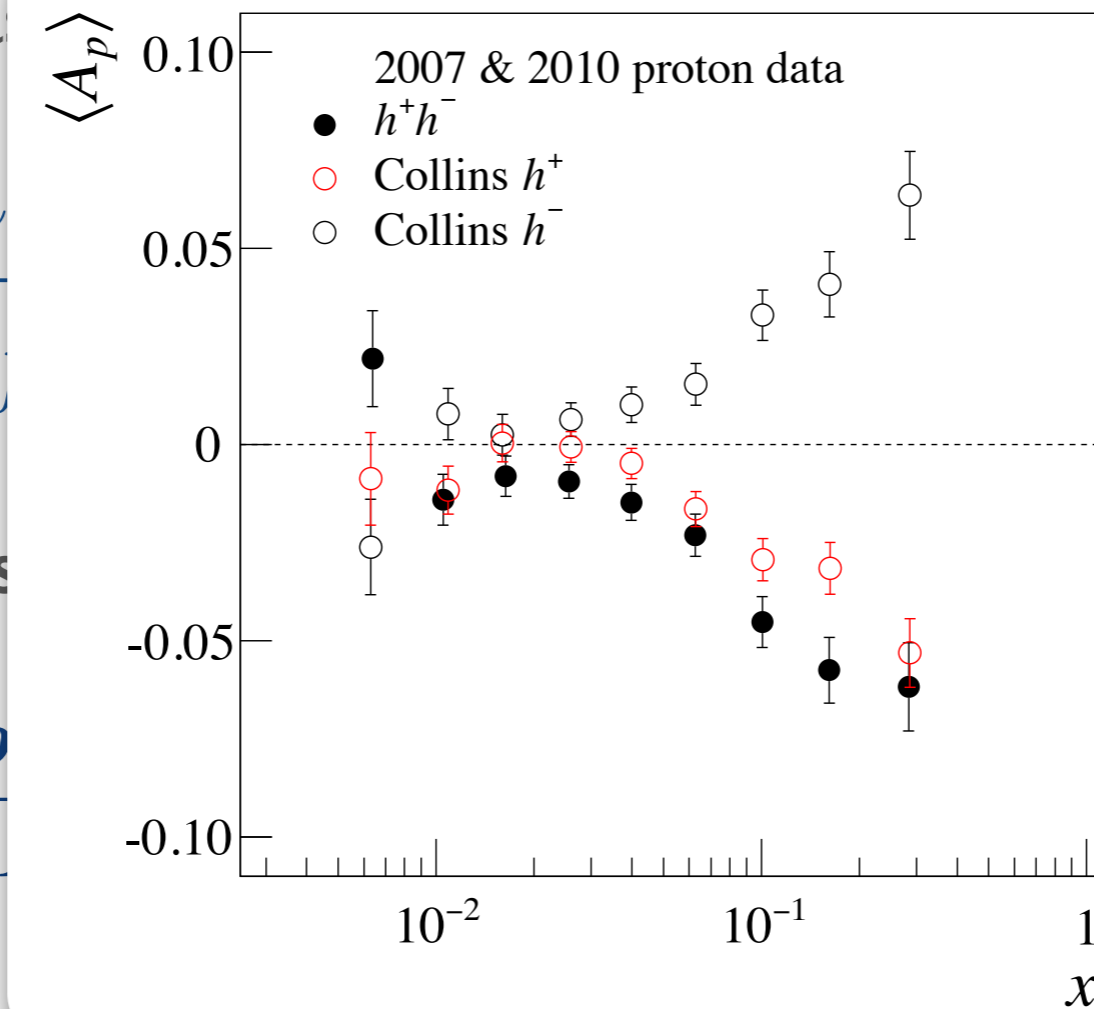
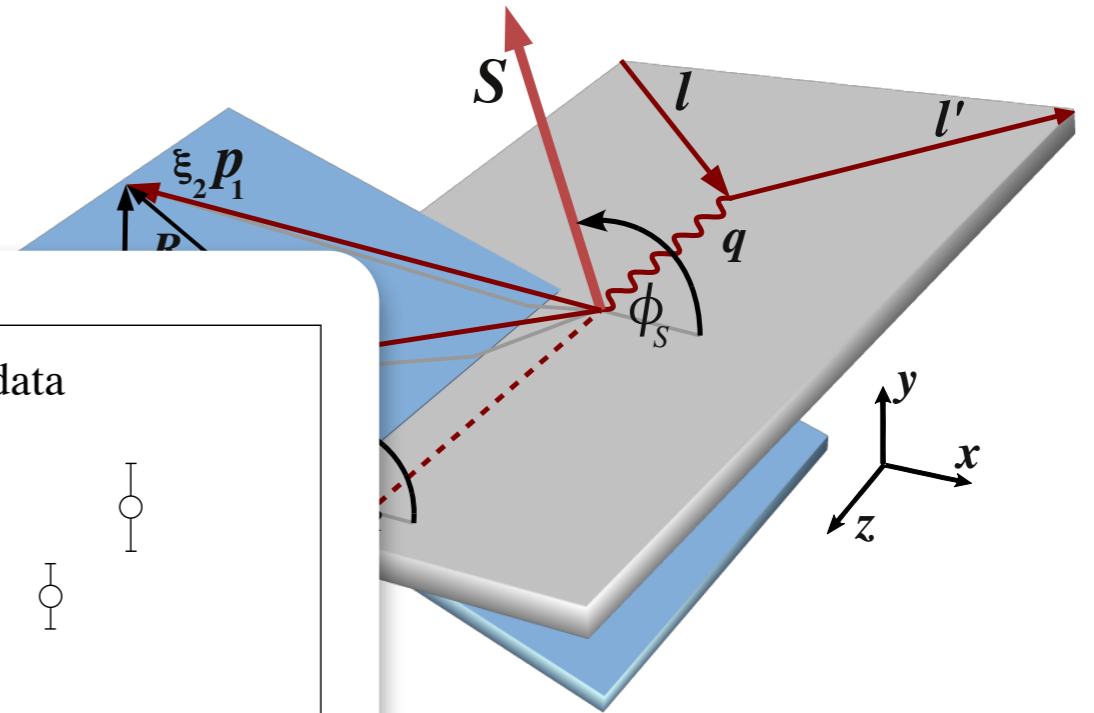
$$A_{Coll} = \frac{\sum_q e_q^2 h_{1q}^{\perp}}{\sum_q e_q^2 F_1^T}$$

◆ Two hadron single spin asymmetry

$$A_{UT}^{\sin \phi_{RS}} = \frac{|\mathbf{p}_T|}{2M^2} \frac{A_{h^+h^-}^{\sin \phi_{RS}}}{A_{h^+h^-}^{\cos \theta}}$$

◆ Note the choice of the vector

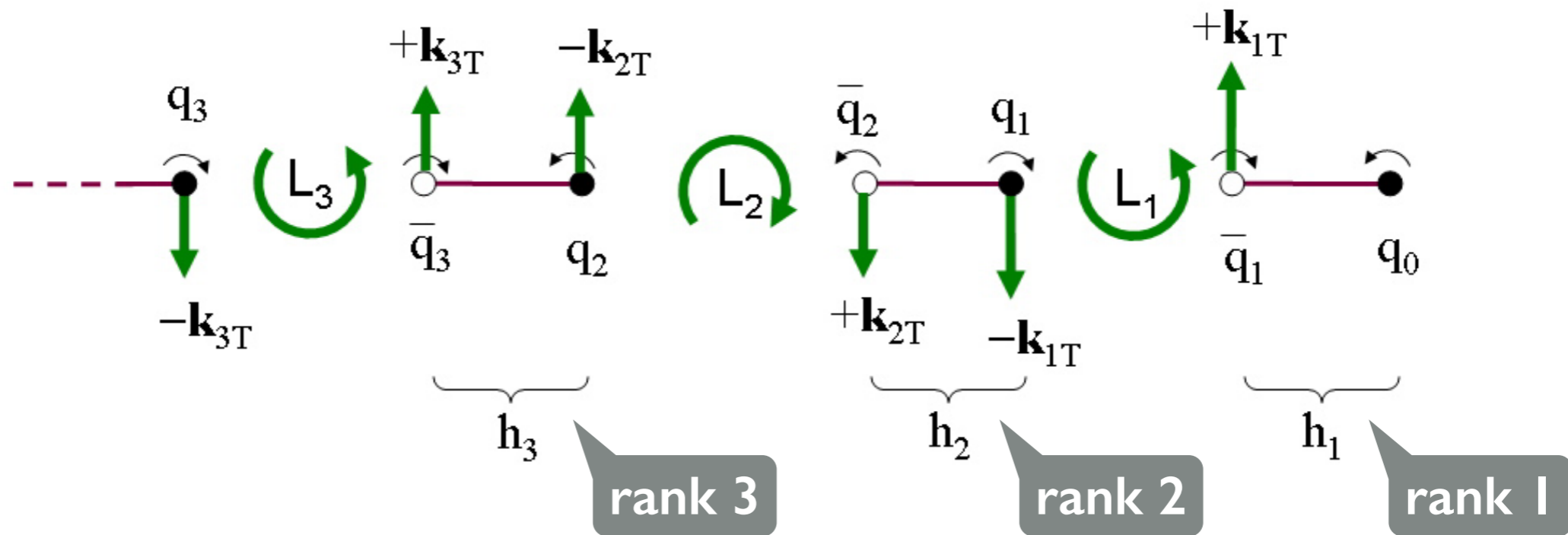
$$\mathbf{R}_{Artru} = \frac{z_2 \mathbf{P}_1 - z_1 \mathbf{P}_2}{z_1 + z_2}$$



$$\frac{A_{h^+h^-}^{\sin \phi_{RS}}}{A_{h^+h^-}^{\cos \theta}}$$

# String Model: Artru Mechanism

- ◆  $q\bar{q}$  created in  ${}^3P_0$  state.
- ◆ Local compensation of TM.



- ◆ Qualitatively implies opposite signs for favoured and unfavoured.  
(Omitting complications from favoured production at rank 2, etc.)
- ◆ Simple and intuitive quantum-mechanical picture.

# SPECTATOR MODELS

E.G. - Bacchetta et al, PLB 659:234, 2008

◆ Use Field-theoretical definition of FFs from a Correlator.

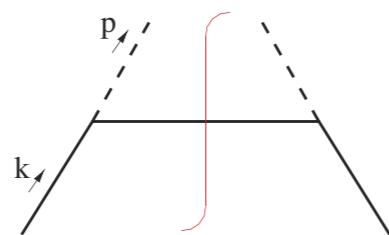
$$\Delta(z, k_T) = \frac{1}{2z} \int dk^+ \Delta(k, P_h) = \frac{1}{2z} \sum_X \int \frac{d\xi^+ d^2\xi_T}{(2\pi)^3} e^{ik \cdot \xi} \langle 0 | \mathcal{U}_{(+\infty, \xi)}^{n+} \psi(\xi) | h, X \rangle \langle h, X | \bar{\psi}(0) \mathcal{U}_{(0, +\infty)}^{n+} | 0 \rangle \Big|_{\xi^- = 0}$$

$$D_1(z, z^2 \vec{k}_T^2) = \text{Tr}[\Delta(z, \vec{k}_T) \gamma^-]. \quad \frac{\epsilon_T^{ij} k_{Tj}}{M_h} H_1^\perp(z, k_T^2) = \frac{1}{2} \text{Tr}[\Delta(z, k_T) i\sigma^{i-} \gamma_5]$$

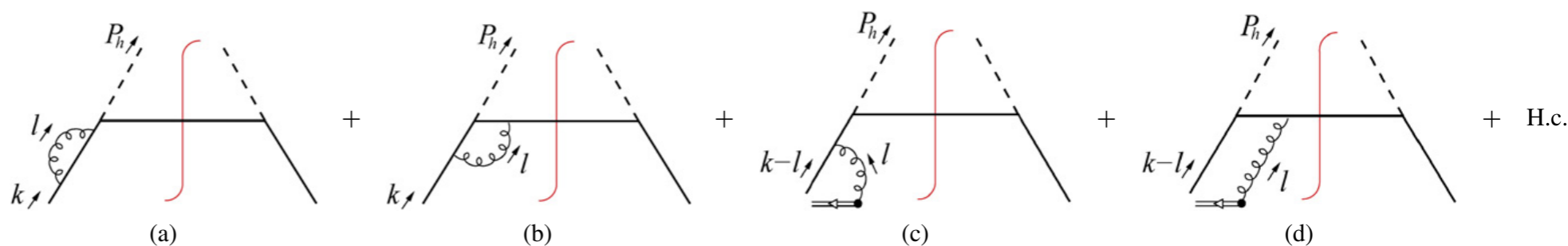
◆ Approximate the remnant  $X$  as a “spectator” (quark).

◆ Calculate the FFs at leading-order in favourite quark model.

$$D_1(z, p_\perp^2)$$



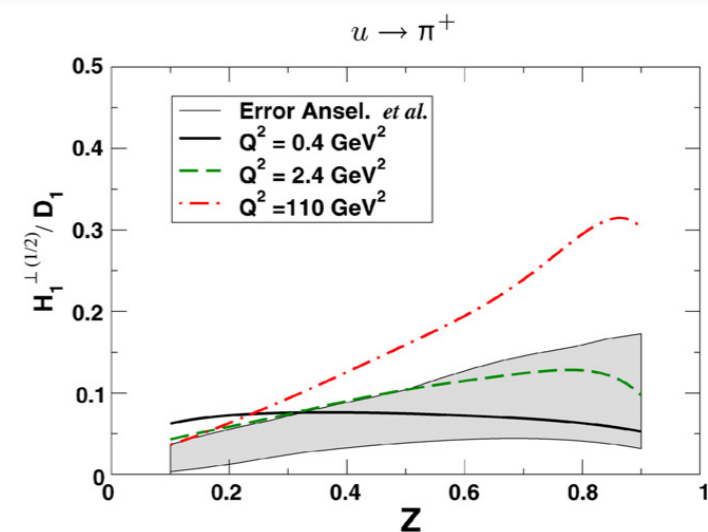
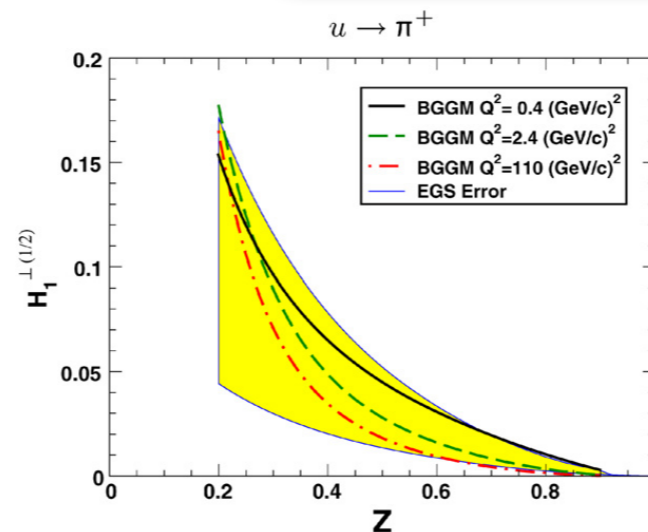
$$H_1^\perp(z, p_\perp^2)$$



# SPECTATOR MODELS

E.G. - Bacchetta et al, PLB 659:234, 2008

## ◆ Calculated Collins FF.



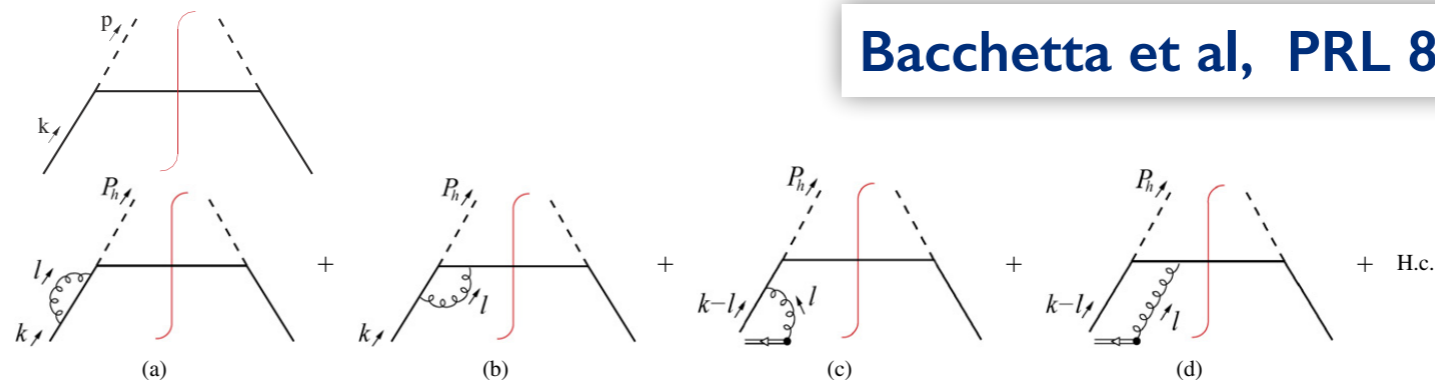
Issues with ALL the model calculations to date:

## ◆ Mismatch in orders of calculations : **VIOLATION OF POSITIVITY**

Bacchetta et al, PRL 85, 712 (2000).

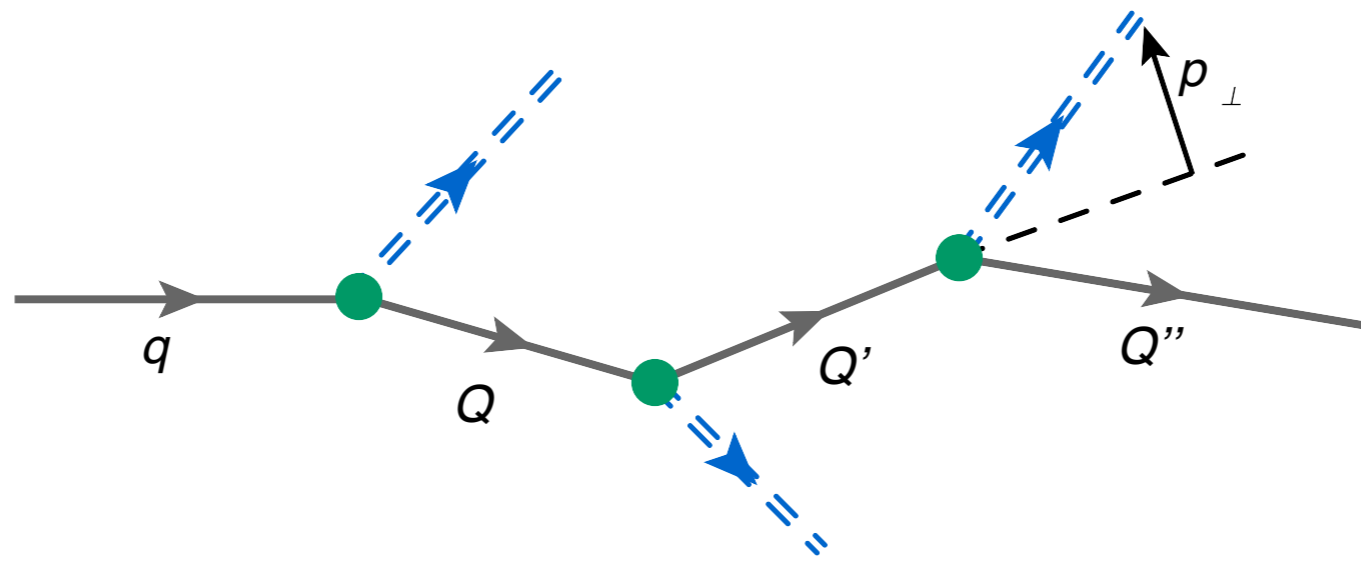
$$D_1(z, p_{\perp}^2)$$

$$H_1^{\perp}(z, p_{\perp}^2)$$



## ◆ Missing **multi-hadron** emission effect:

- ▶ No direct access to unfavored FFs.
- ▶ Description of small-z region.



## ***TRANSVERSE MOMENTUM DEPENDENCE***

# SLIDE STOLEN FROM P. SKANDS

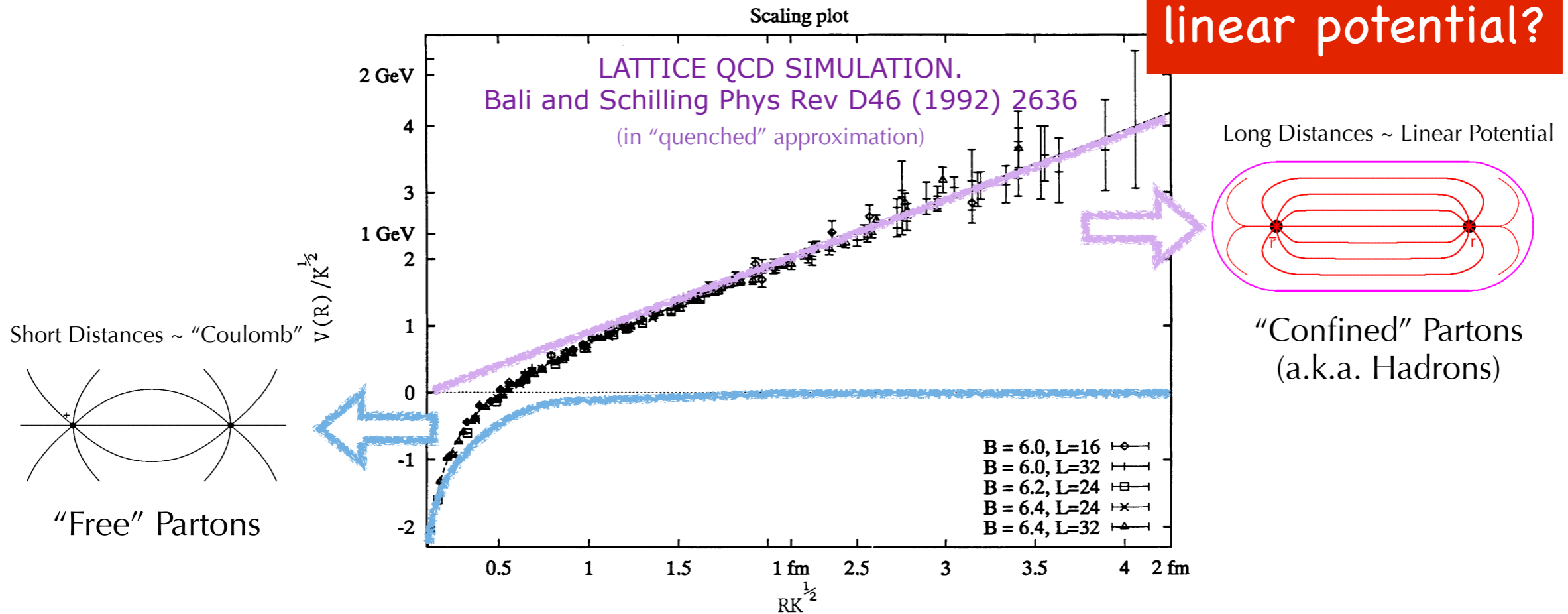
Andersson - Camb.Monogr.Part.Phys.Nucl.Phys.Cosmol. 7 (1997) 1-471

## The Ultimate Limit: Wavelengths $> 10^{-15}$ m

### Quark-Antiquark Potential

As function of separation distance

What physical system has a linear potential?



$$F(r) \approx \text{const} = \kappa \approx 1 \text{ GeV/fm} \iff V(r) \approx \kappa r$$

~ Force required to lift a 16-ton truck



# LUND SYMMETRIC FF

► String breaks: quark-antiquark pair creation via tunnelling in strong “chromoelectric” field.

◆ Does NOT depend on the type of produced hadron!

► Causality: independent breaking of the string:

❖ Constrained form of FF

❖ May produce h in any order.

**1) Schwinger Effect**

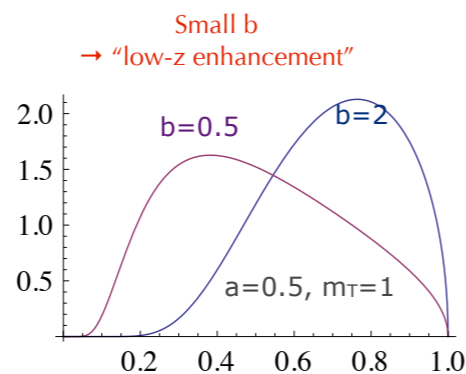
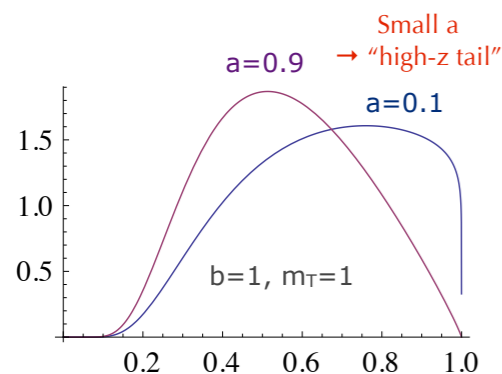
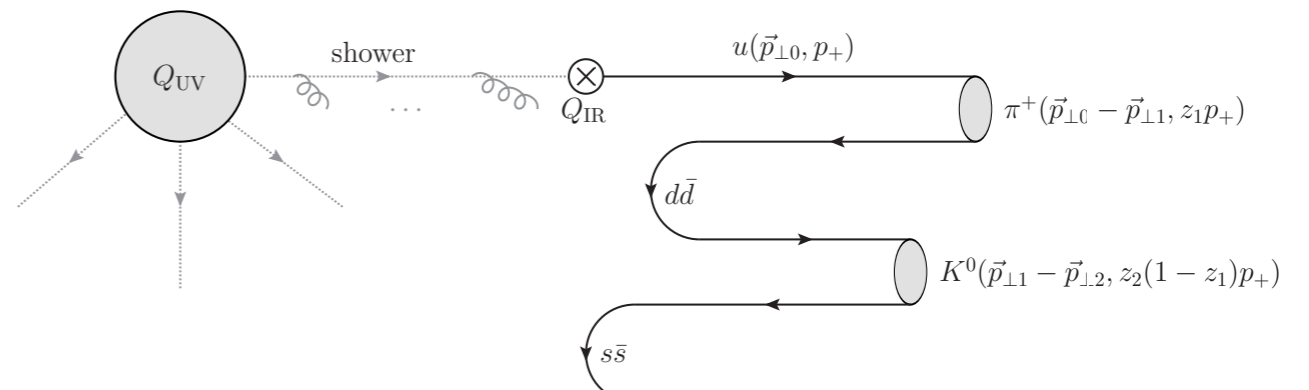
Non-perturbative creation of  $e^+e^-$  pairs in a strong external Electric field

Probability from Tunneling Factor

$$\mathcal{P} \propto \exp\left(\frac{-m^2 - p_{\perp}^2}{\kappa/\pi}\right)$$

( $\kappa$  is the string tension equivalent)

$$f(z) \propto \frac{1}{z}(1-z)^a \exp\left(-\frac{b(m_h^2 + p_{\perp h}^2)}{z}\right)$$



**Note:** In principle,  $a$  can be flavour-dependent. In practice, we only distinguish between baryons and mesons

# LUND SYMMETRIC FF

► String breaks: quark-antiquark pair creation via tunnelling in strong “chromoelectric” field.

◆ Does NOT depend on the type of produced hadron!

► Causality: independent breaking of the string:

❖ Constrained form of FF

❖ May produce h in any order.

1) Schwinger Effect

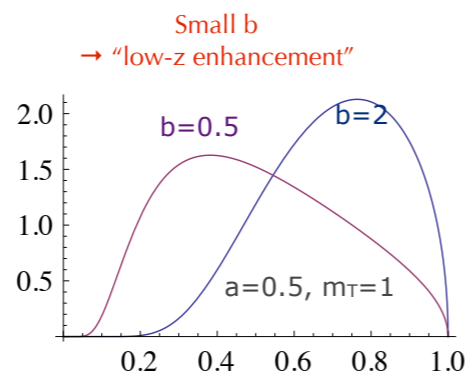
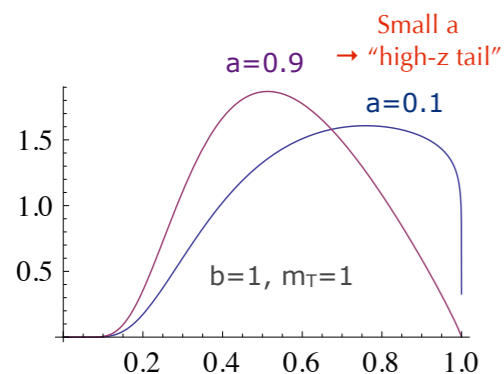
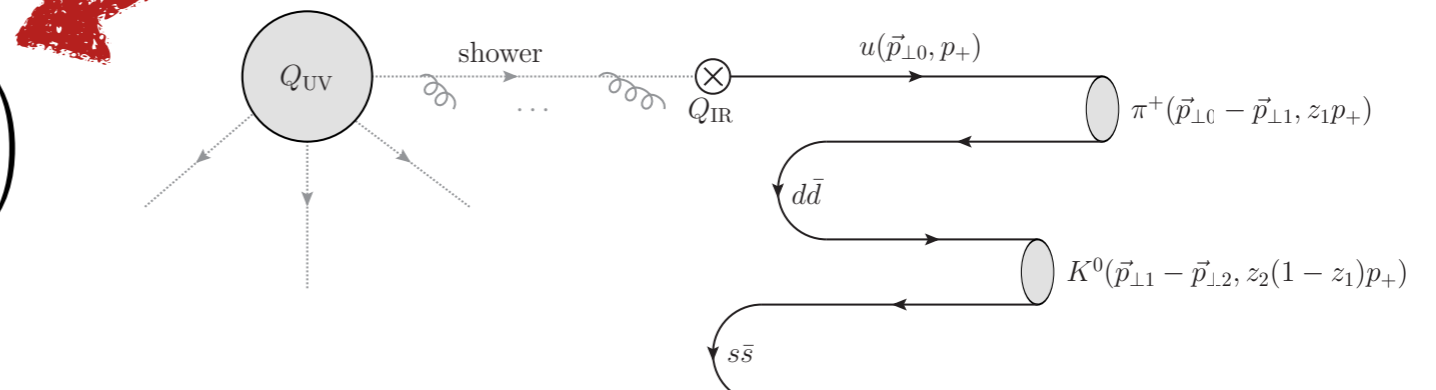
Non-perturbative creation of  $e^+e^-$  pairs in a strong external Electric field

Probability from Tunneling Factor

$$\mathcal{P} \propto \exp\left(\frac{-m^2 - p_{\perp}^2}{\kappa/\pi}\right)$$

( $\kappa$  is the string tension equivalent)

$$f(z) \propto \frac{1}{z}(1-z)^a \exp\left(-\frac{b(m_h^2 + p_{\perp h}^2)}{z}\right)$$

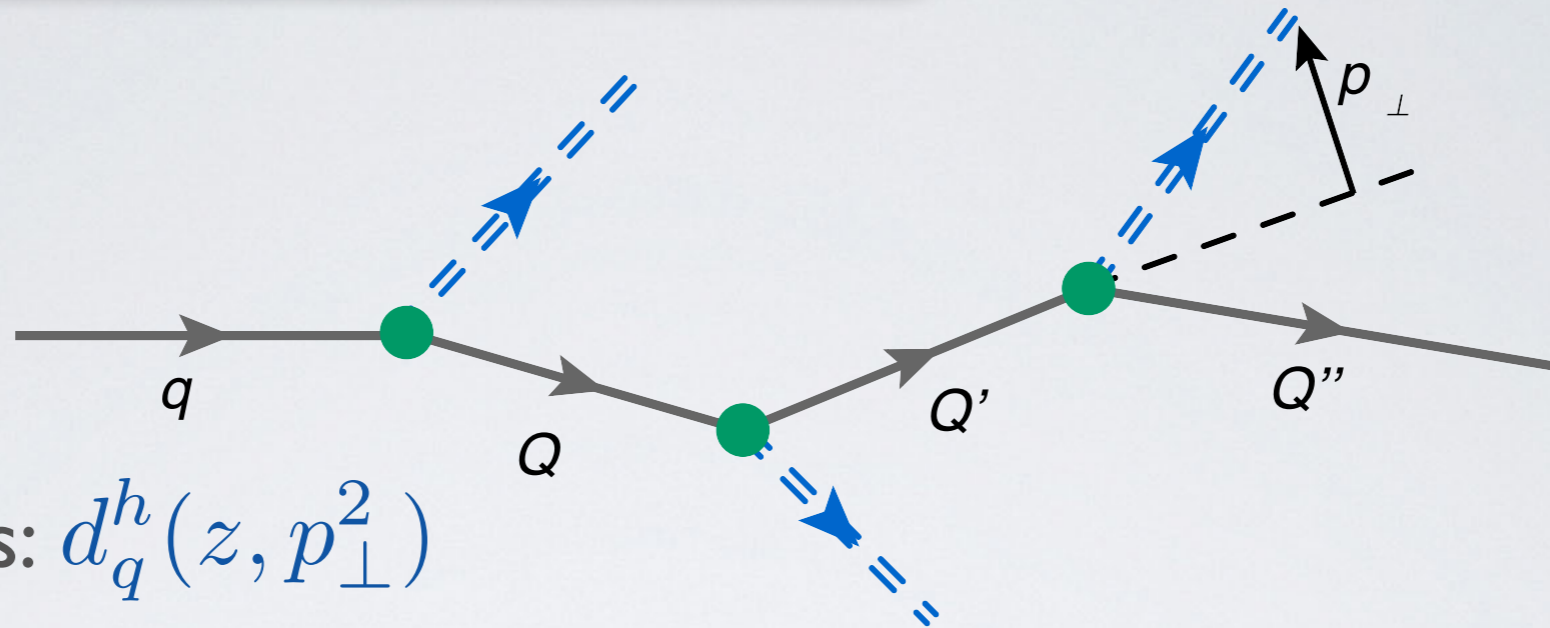


The hadron  $z$  depends on combined TM of antiquark and a quark from previous string break!

Note: In principle,  $a$  can be flavour-dependent. In practice, we only distinguish between baryons and mesons

# TM FFS IN QUARK-JET

H.M.,Bentz, Cloet, Thomas, PRD.85:014021, 2012

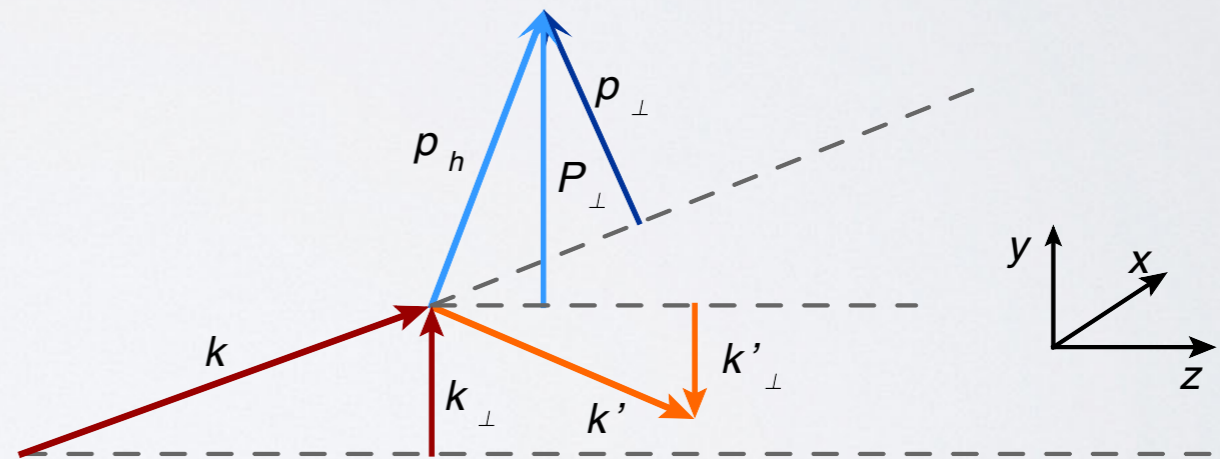


▶ TMD splittings:  $d_q^h(z, p_{\perp}^2)$

▶ Conserve transverse momenta at each link.

$$\mathbf{P}_{\perp} = \mathbf{p}_{\perp} + z\mathbf{k}_{\perp}$$

$$\mathbf{k}_{\perp} = \mathbf{P}_{\perp} + \mathbf{k}'_{\perp}$$



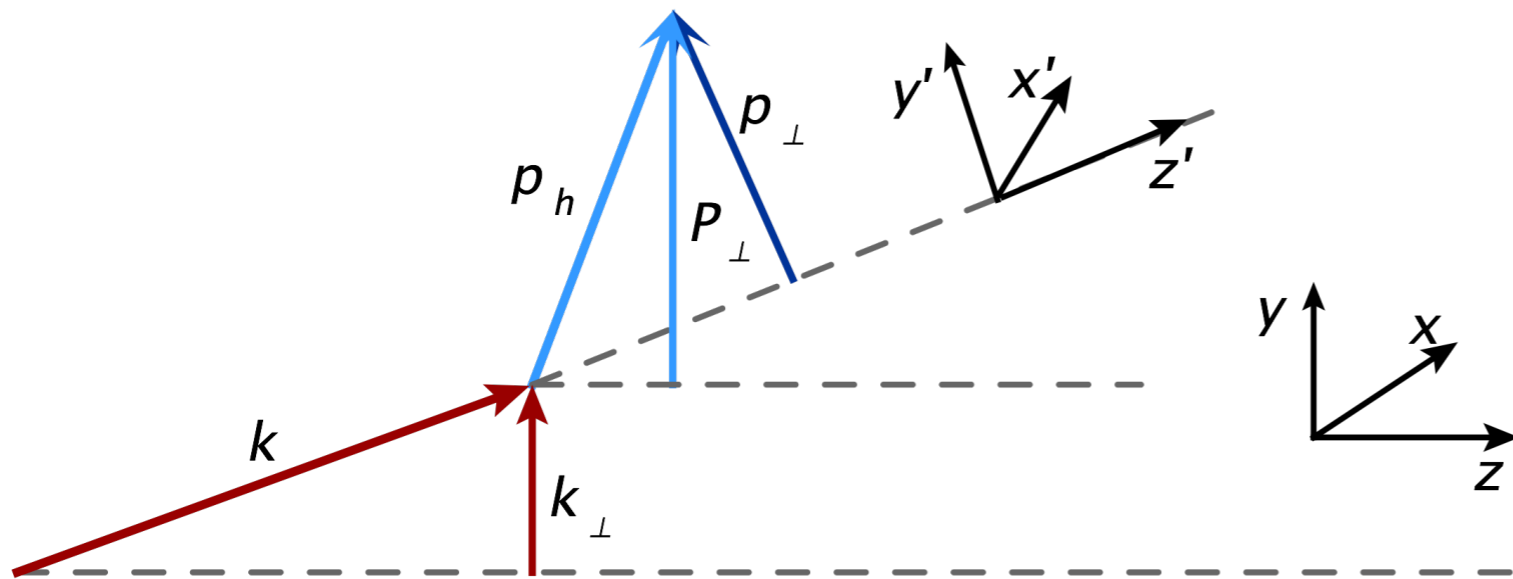
▶ Calculate the Number Density

$$D_q^h(z, P_{\perp}^2) \Delta z \pi \Delta P_{\perp}^2 = \frac{\sum_{N_{Sims}} N_q^h(z, z + \Delta z, P_{\perp}^2, P_{\perp}^2 + \Delta P_{\perp}^2)}{N_{Sims}}$$

# Lorentz Transforms of TM

Diehl: NPB 596, 33 (2001)(2015)

► Boosts from  $0$  TM frame that preserve “-” component.



$$\begin{pmatrix} 1 & \frac{k_{\perp}^2}{2(k^{-})^2} & \frac{k_1}{k^{-}} & \frac{k_2}{k^{-}} \\ 0 & 1 & 0 & 0 \\ 0 & \frac{k_1}{k^{-}} & 1 & 0 \\ 0 & \frac{k_2}{k^{-}} & 0 & 1 \end{pmatrix}$$

	q	h
$\mathcal{L}'$	$(k'^+, k'^-, \mathbf{k}'_{\perp} = 0)$	$(p^+, p^-, \mathbf{p}_{\perp})$
$\mathcal{L}$	$(k^+, k^- = k'^-, \mathbf{k}_{\perp})$	$(P^+, P^- = p^-, \mathbf{P}_{\perp} = \mathbf{p}_{\perp} + z\mathbf{k}_{\perp})$

$$z \equiv \frac{p^-}{k^-} = \frac{p'^-}{k'^-}$$

$$\mathbf{P}_{\perp} = \mathbf{p}_{\perp} + z\mathbf{k}_{\perp}$$

► In case of two (or more) hadrons: same story!

$$P_{1\perp} = p_{1\perp} + z_1 \mathbf{k}_{\perp} \quad P_{2\perp} = p_{2\perp} + z_2 \mathbf{k}_{\perp}$$

# ELEMENTARY TMD SPLITTINGS

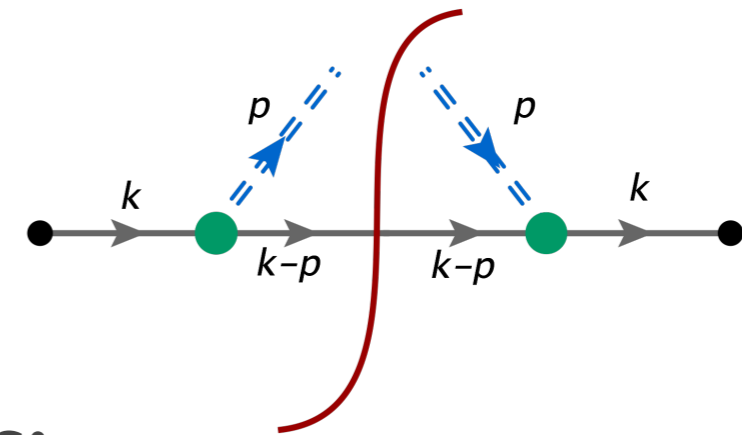
H.M., Thomas, Bentz, PRD. 83:07400; PRD.83:114010, 2011.

## ▶ Quark-quark correlator:

$$\Delta_{ij}(z, p_{\perp}) = \frac{1}{2N_c z} \sum_X \int \frac{d\xi^+ d^2\xi_{\perp}}{(2\pi)^3} e^{ip \cdot \xi} \times \langle 0 | \mathcal{U}_{(\infty, \xi)} \psi_i(\xi) | h, X \rangle_{\text{out}} \langle h, X | \bar{\psi}_j(0) \mathcal{U}_{(0, \infty)} | 0 \rangle \Big|_{\xi^- = 0}$$

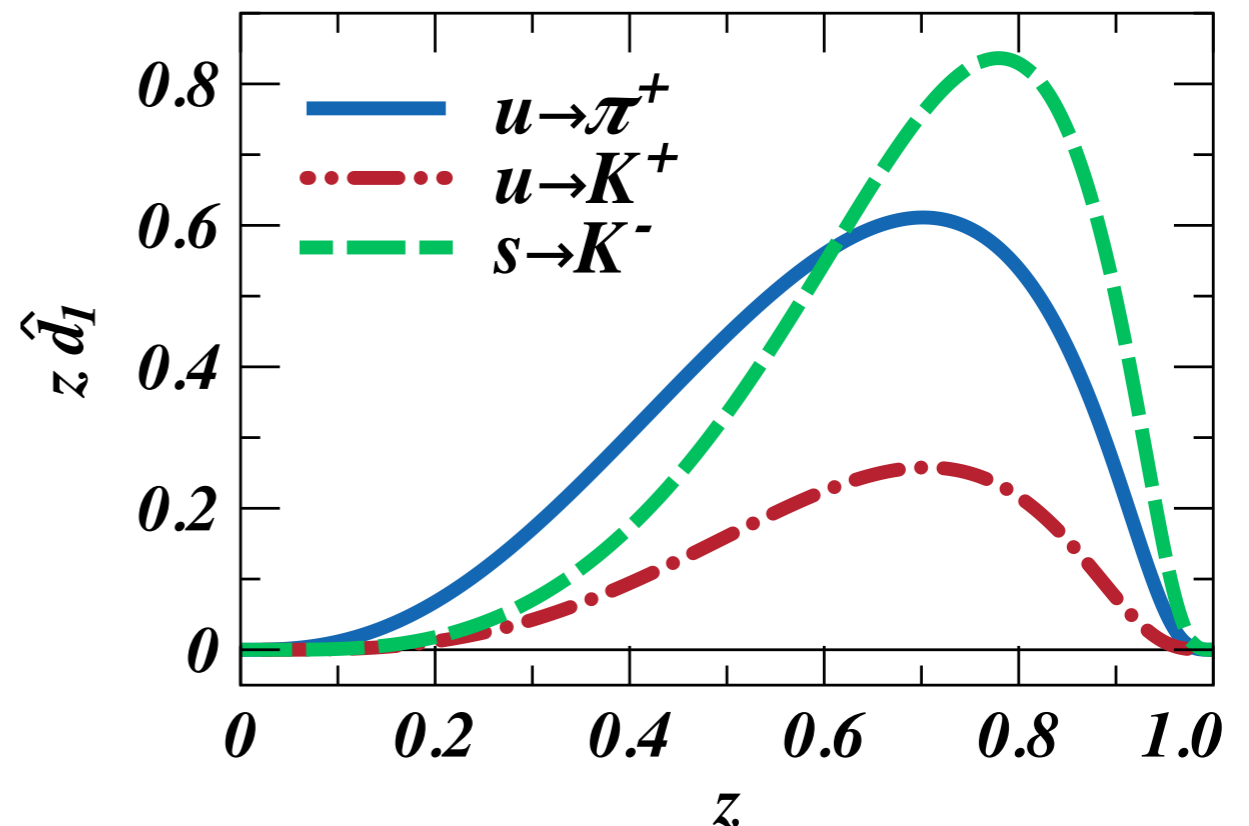
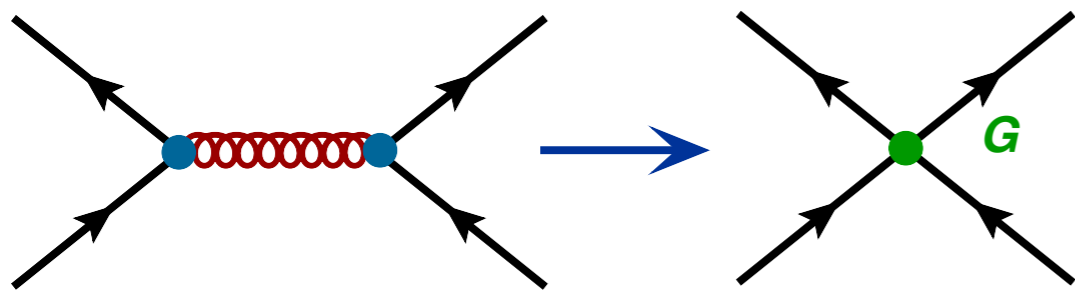
## ▶ One-quark truncation of the wavefunction: $q \rightarrow Qh$

$$d_q^h(z, p_{\perp}^2) = \frac{1}{2} \text{Tr}[\Delta_0(z, p_{\perp}^2) \gamma^+]$$



## ▶ NJL Effective quark model calculations:

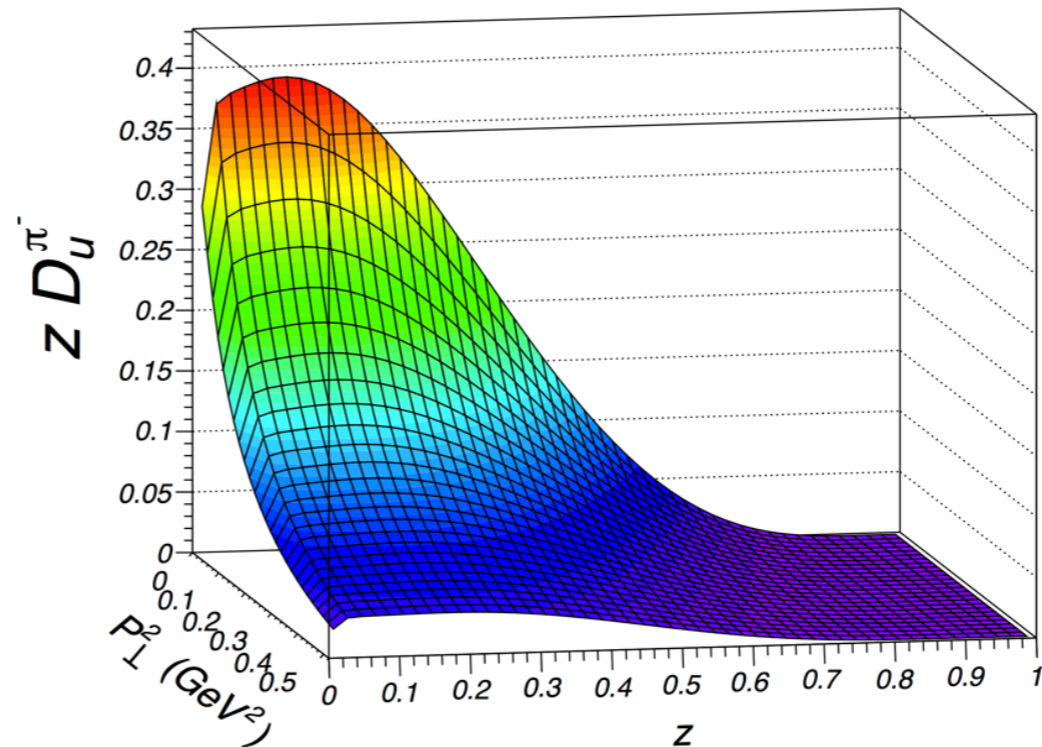
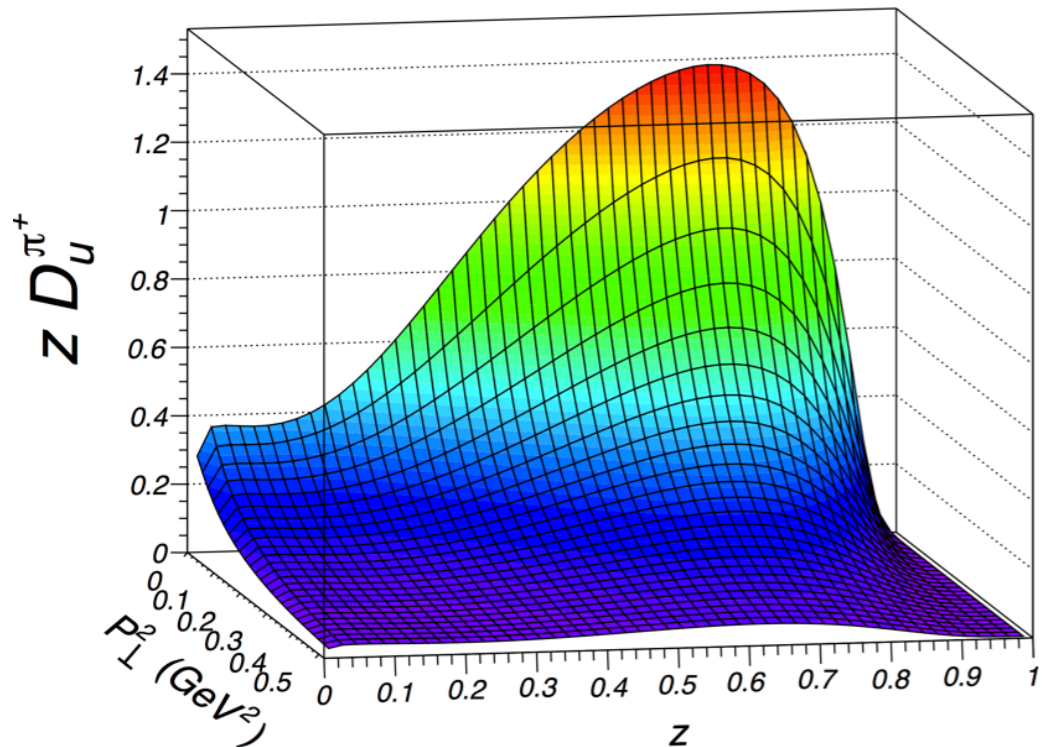
$$\mathcal{L}_{NJL} = \bar{\psi}_q (i\cancel{\partial} - m_q) \psi_q + G (\bar{\psi}_q \Gamma \psi_q)^2$$



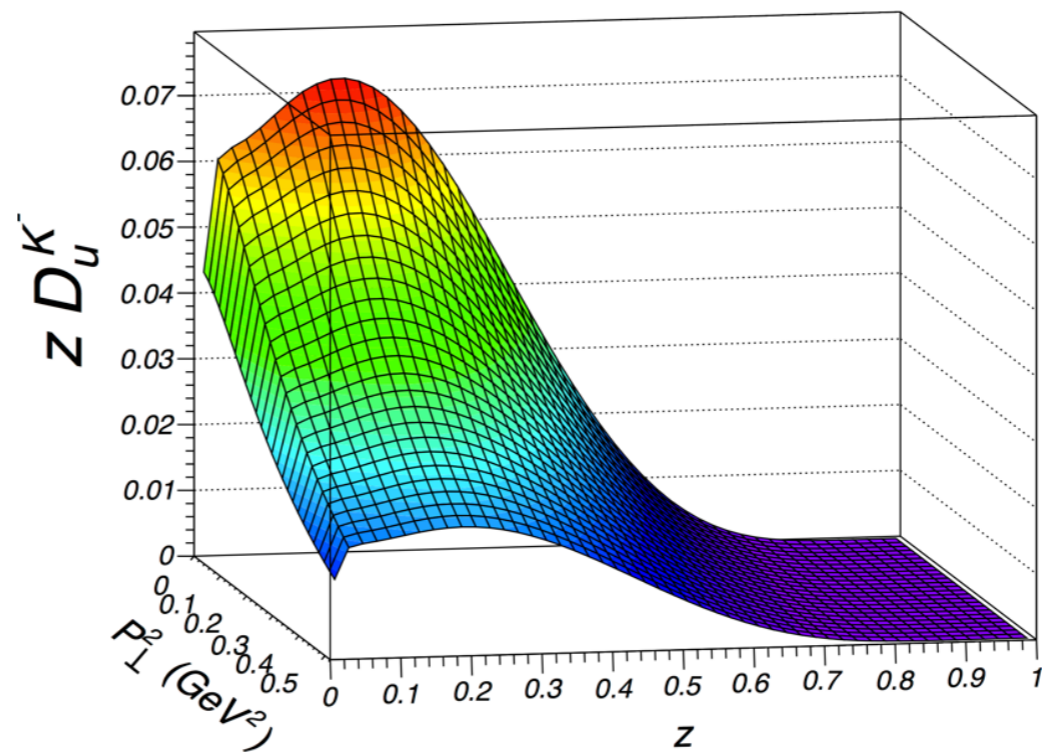
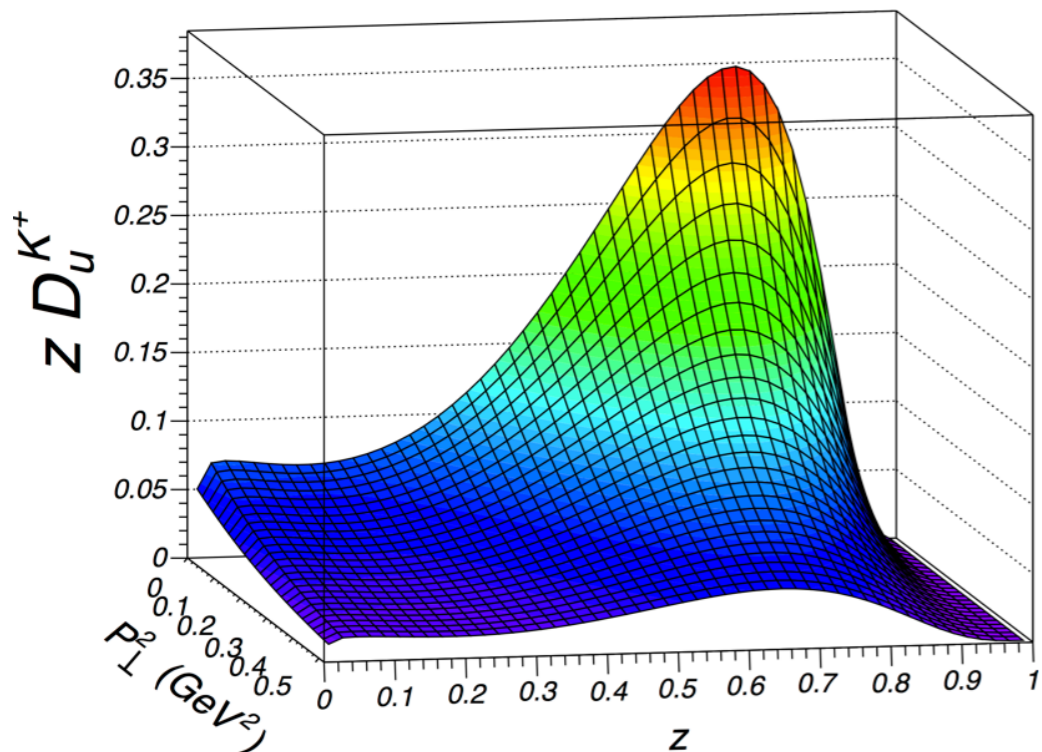
# TMD FRAGMENTATION FUNCTIONS

FAVORED

• UNFAVORED

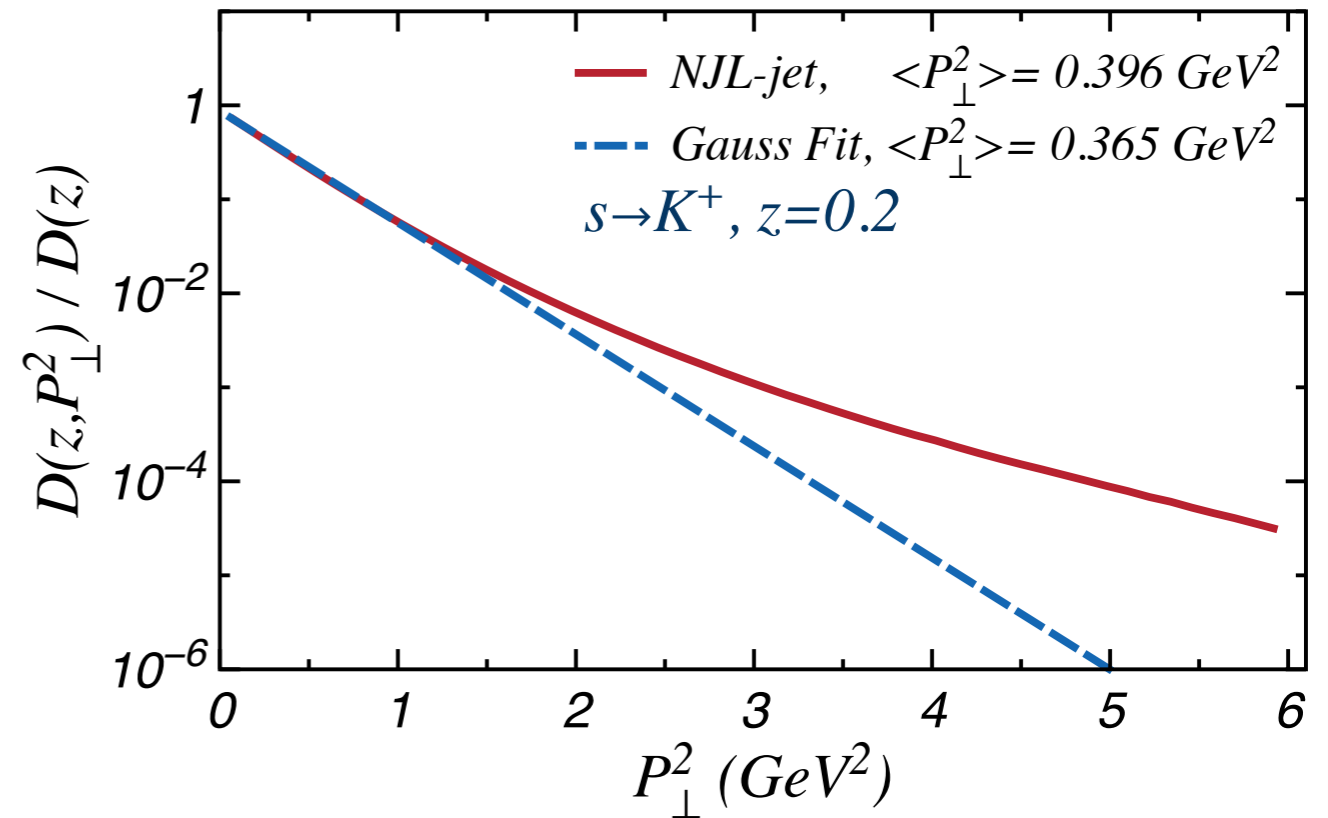
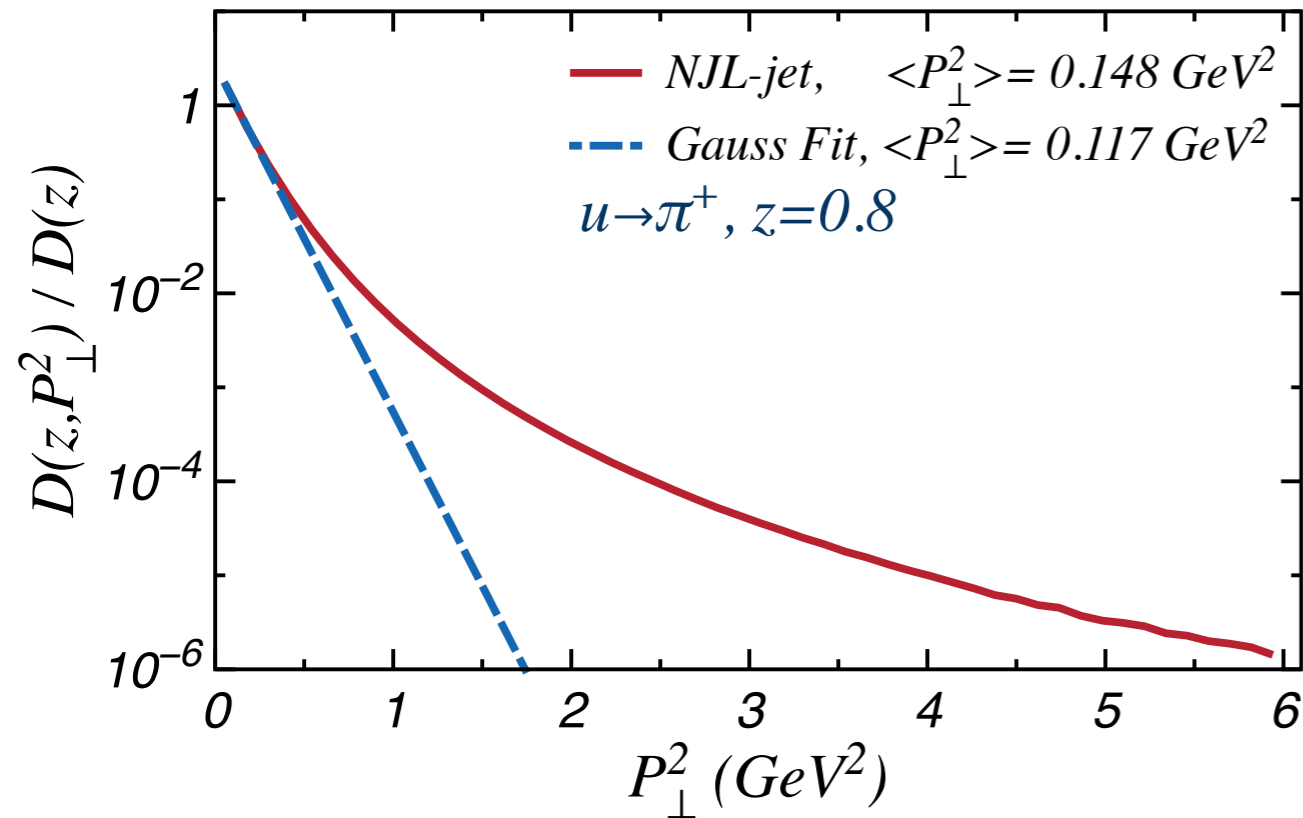


$\pi$



$K$

# COMPARISON WITH GAUSSIAN ANSATZ



- Average TM:  $\langle P_{\perp}^2 \rangle \equiv \frac{\int d^2 \mathbf{P}_{\perp} P_{\perp}^2 D(z, P_{\perp}^2)}{\int d^2 \mathbf{P}_{\perp} D(z, P_{\perp}^2)}$

- Gaussian ansatz assumes:  $D(z, P_{\perp}^2) = D(z) \frac{e^{-P_{\perp}^2 / \langle P_{\perp}^2 \rangle}}{\pi \langle P_{\perp}^2 \rangle}$

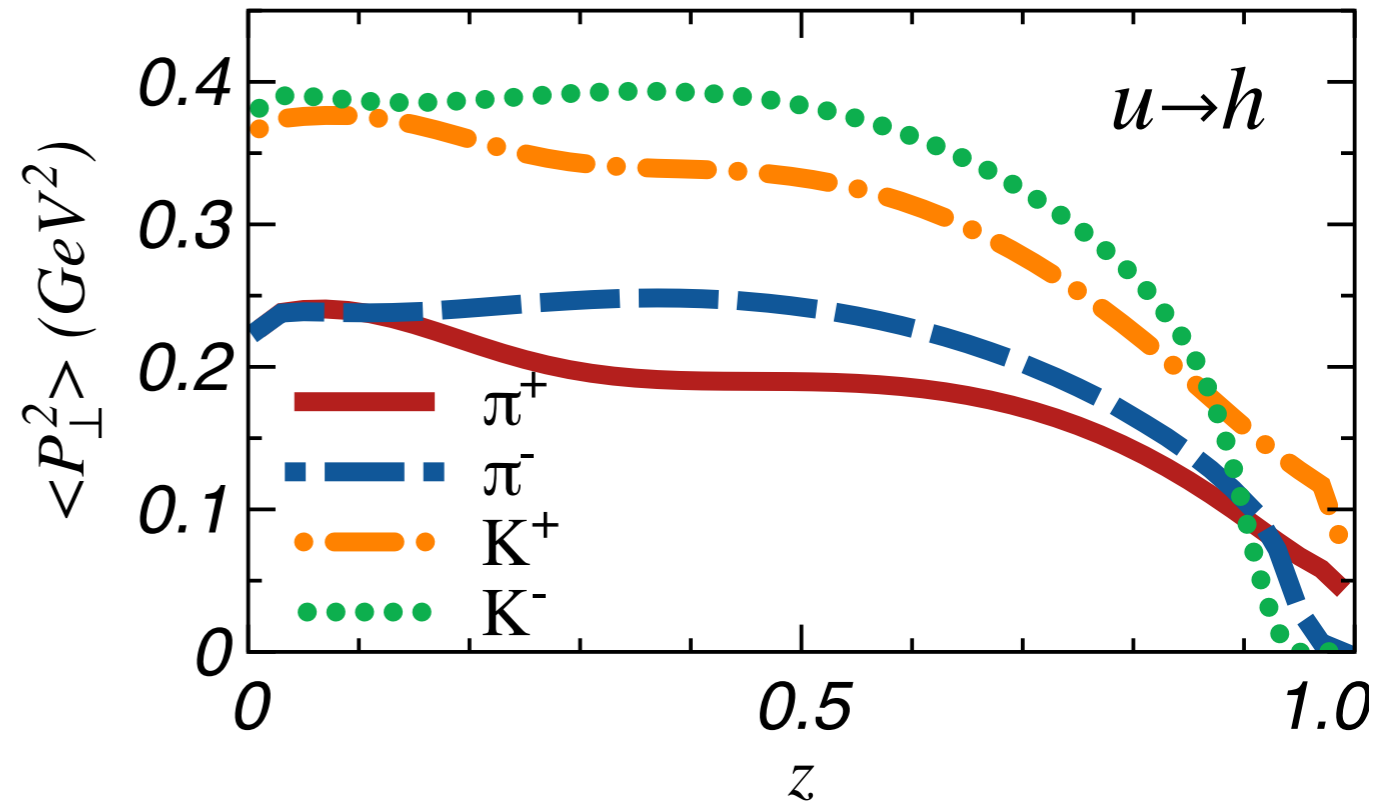
# AVERAGE Transverse Momenta vs $z$

## FRAGMENTATION

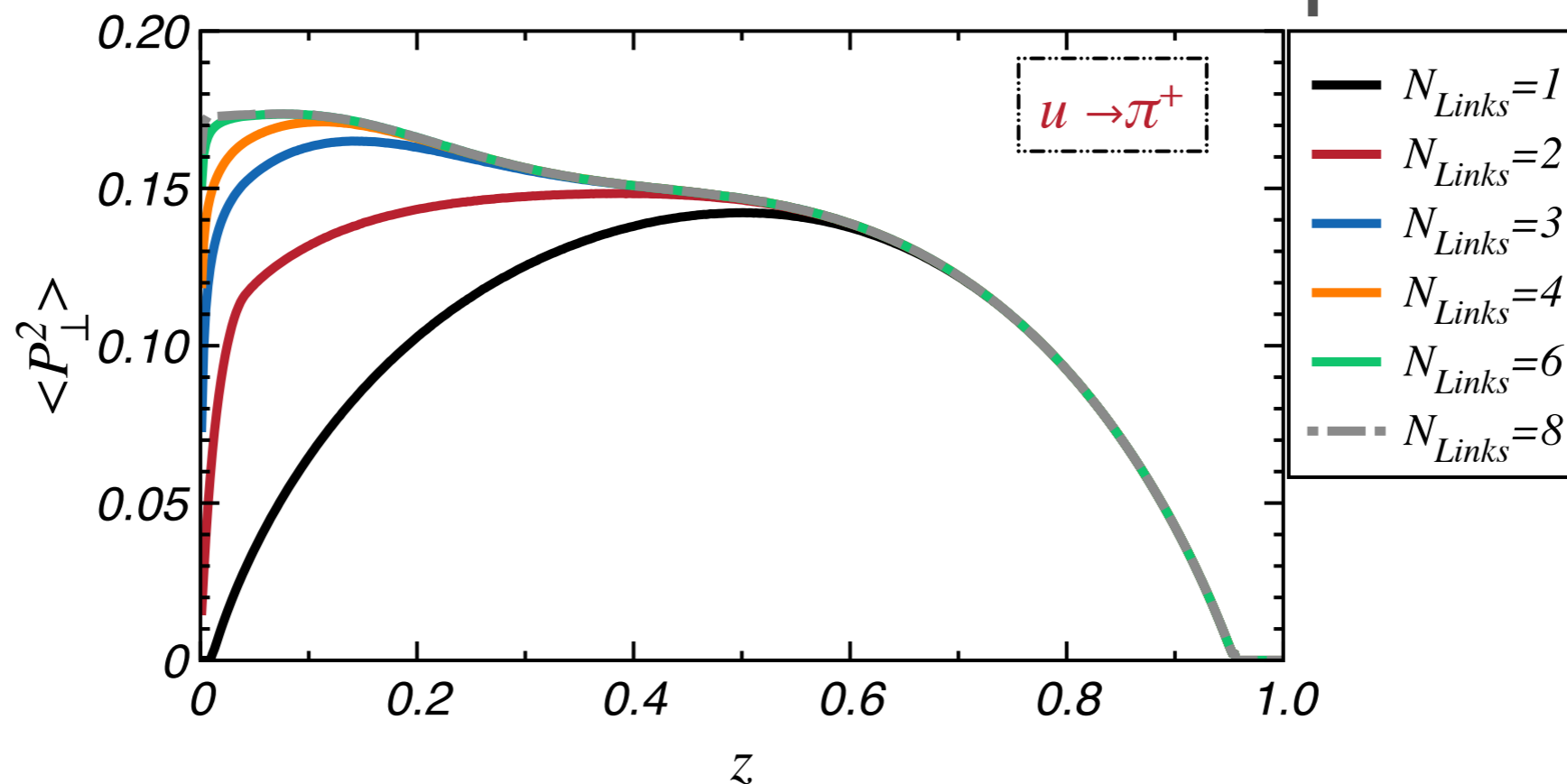
$$\langle P_{\perp}^2 \rangle_{unf} > \langle P_{\perp}^2 \rangle_f$$

◆ Indications from HERMES

data: [A. Signori, et al: JHEP 1311, 194 \(2013\)](#)



✓ Multiple hadron emissions: **broaden** the TM dependence at **low  $z$** !





# Different Hadronization Mechanisms.

## LUND Model

## Quark-Jet

- ◆ Fragmentation of  $q\bar{q}$  pair: break-up of the string.
- ◆ Independent breaking of the string.
- ◆ Quark TM indep. of hadron type.

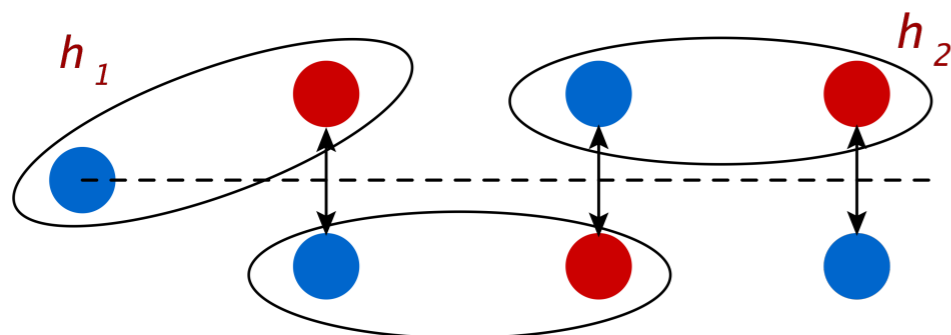
$$u \rightarrow u + s\bar{s}, \quad s \rightarrow s + s\bar{s}$$

- ◆ Fragmentation of  $q$ , similar to QFT definition of FFs.
- ◆ Time-ordered hadron emissions.
- ◆  $q \rightarrow Qh$  depends on  $h$  (spin, mass).

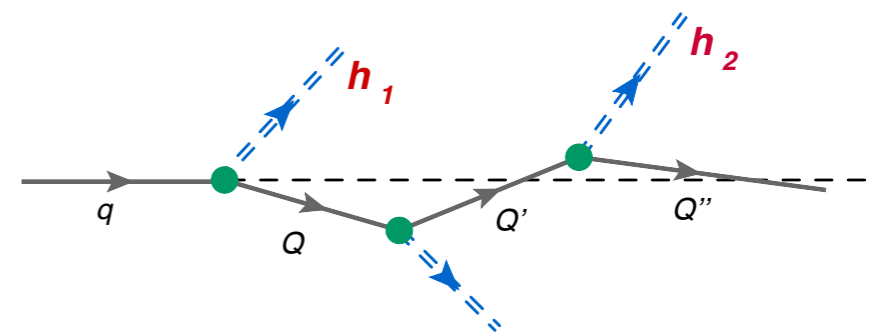
$$u \rightarrow K^+ + s, \quad s \rightarrow \phi + s$$

$$u \rightarrow K^{*+} + s$$

- ◆ No correlation in TM:  $h_1$  and  $h_2$ .



- ◆ Recoil TM of  $h_1$  affects  $h_2$



❖ Can we find a signature in polarized FFs? Perhaps Dihadron FFs?

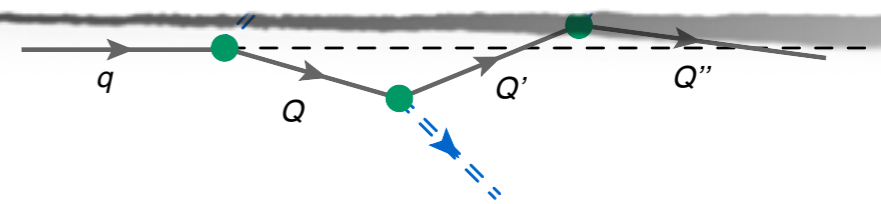
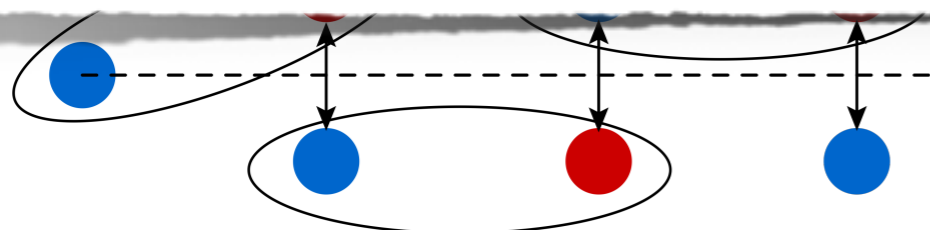
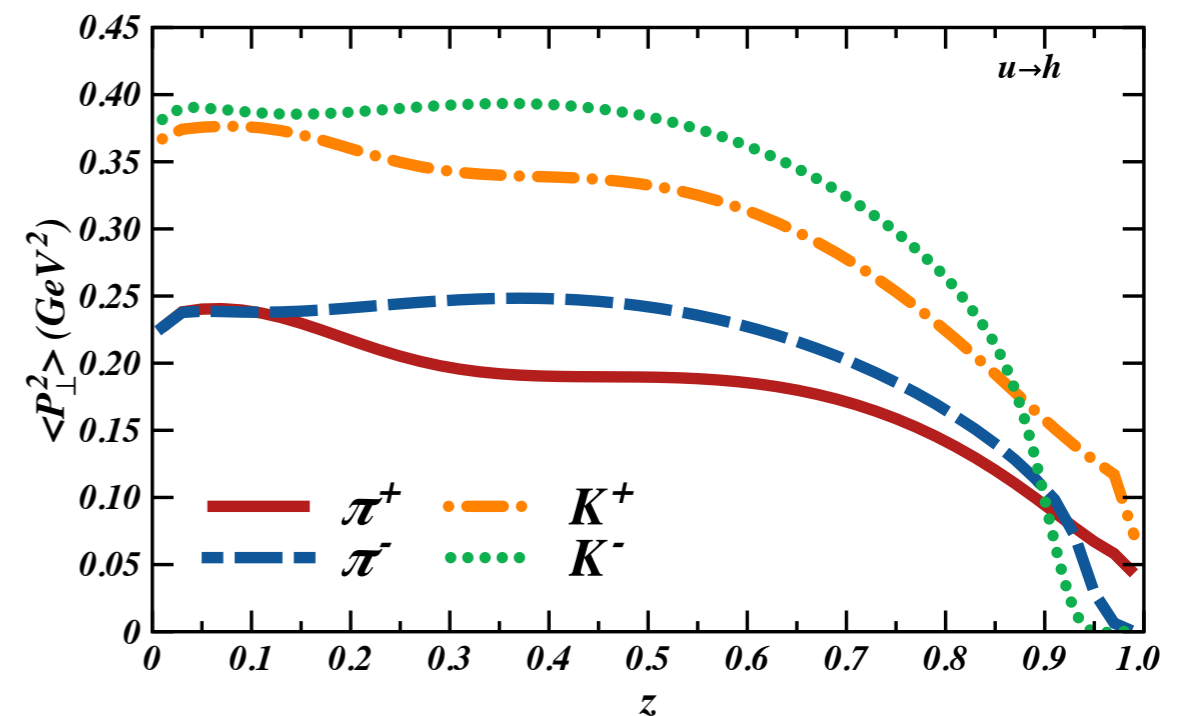
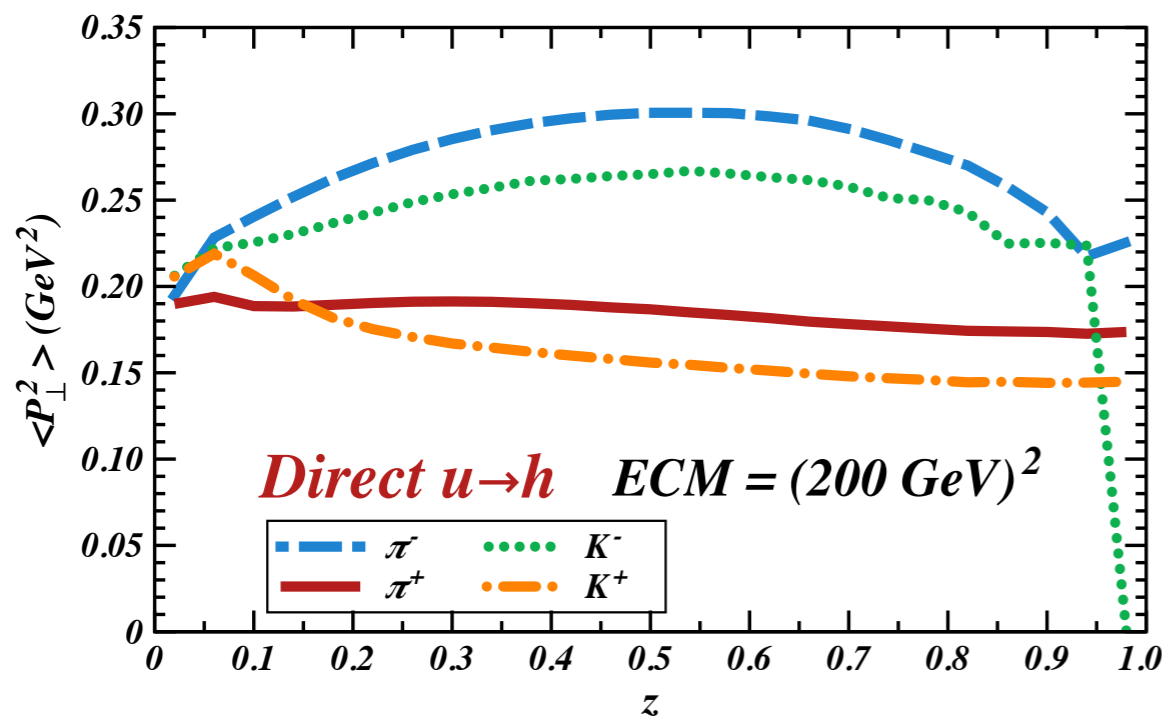
# Different Hadronization Mechanisms.

## LUND Model

## Quark-Jet

- ◆ Fragmentation of  $q\bar{q}$  pair: break-up of the string.
- ◆ Independent breaking of the string.
- ◆ Quark TM indep. of hadron type.

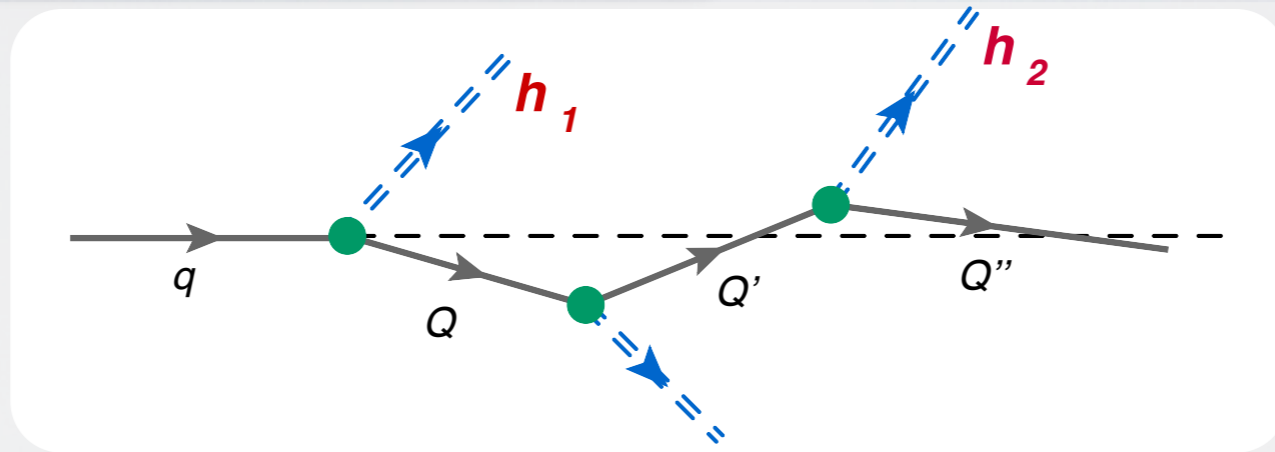
- ◆ Fragmentation of  $q$ , similar to QFT definition of FFs.
- ◆ Time-ordered hadron emissions.
- ◆  $q \rightarrow Qh$  depends on  $h$  (spin, mass).



◆ Can we find a signature in polarized FFs? Perhaps Dihadron FFs?

# UNPOLARIZED DIHADRON FRAGMENTATIONS

H.M. Thomas, Bentz, PRD.88:094022, 2013.



- The probability density for observing two hadrons:

$$P_1 = (z_1 k^-, P_1^+, \mathbf{P}_{1,\perp}), \quad P_1^2 = M_{h_1}^2$$

$$P_2 = (z_2 k^-, P_2^+, \mathbf{P}_{2,\perp}), \quad P_2^2 = M_{h_2}^2$$

- The corresponding number density:

$$D_q^{h_1 h_2}(z, M_h^2) \Delta z \Delta M_h^2 = \langle N_q^{h_1 h_2}(z, z + \Delta z; M_h^2, M_h^2 + \Delta M_h^2) \rangle$$

$$z = z_1 + z_2 \quad M_h^2 = (P_1 + P_2)^2$$

- Kinematic Constraint.

$$z_1 z_2 M_h^2 - (z_1 + z_2)(z_2 M_{h_1}^2 + z_1 M_{h_2}^2) \geq 0$$

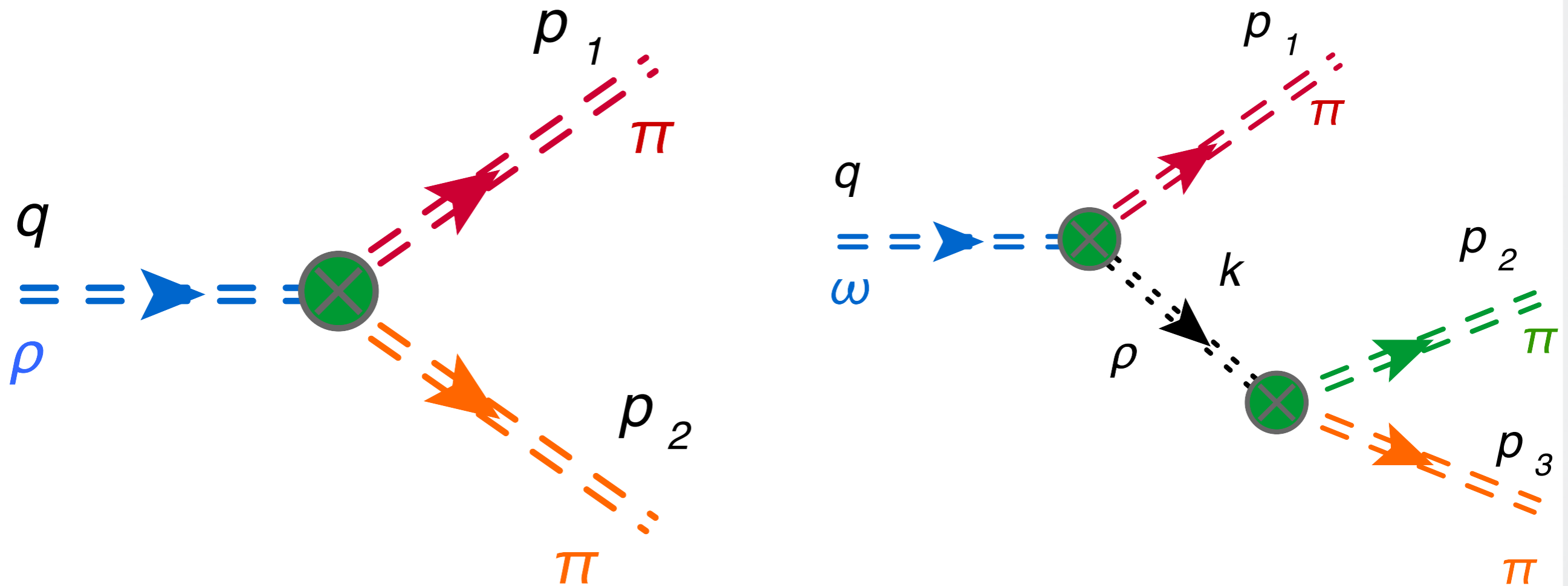
- In MC simulations record all the pairs in every decay chain.

# 2- AND 3-BODY DECAYS

The  $M_h^2$  spectrum of pseudoscalars is strongly affected by VM decays.

- We include only the 2-body decays  $\rho, K^*$ .
- Both 2- and 3-body decays of  $\omega, \phi$ .

Achasov et al. (SND), PRD 68, 052006, (2003).

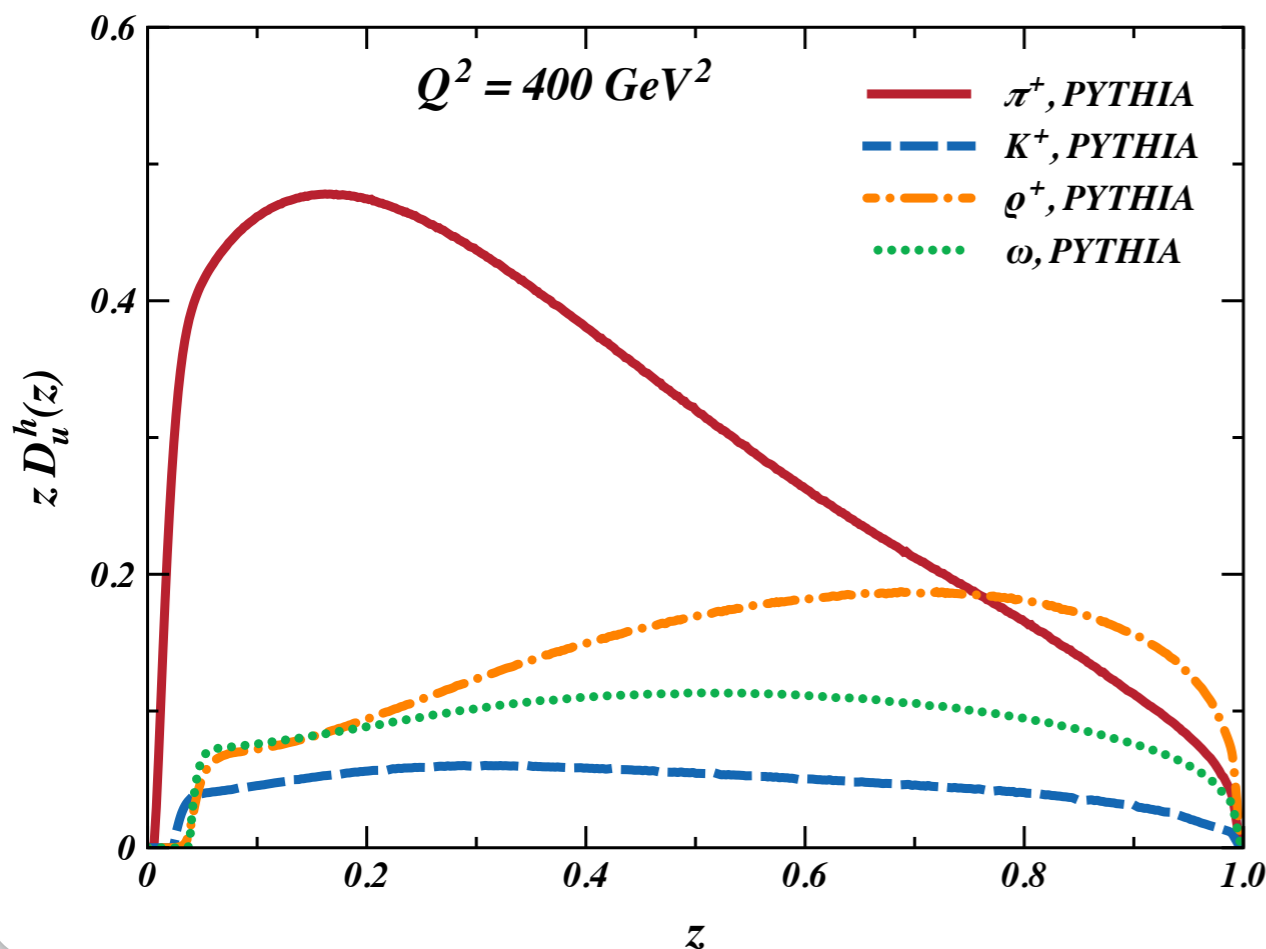


# PYTHIA SIMULATIONS

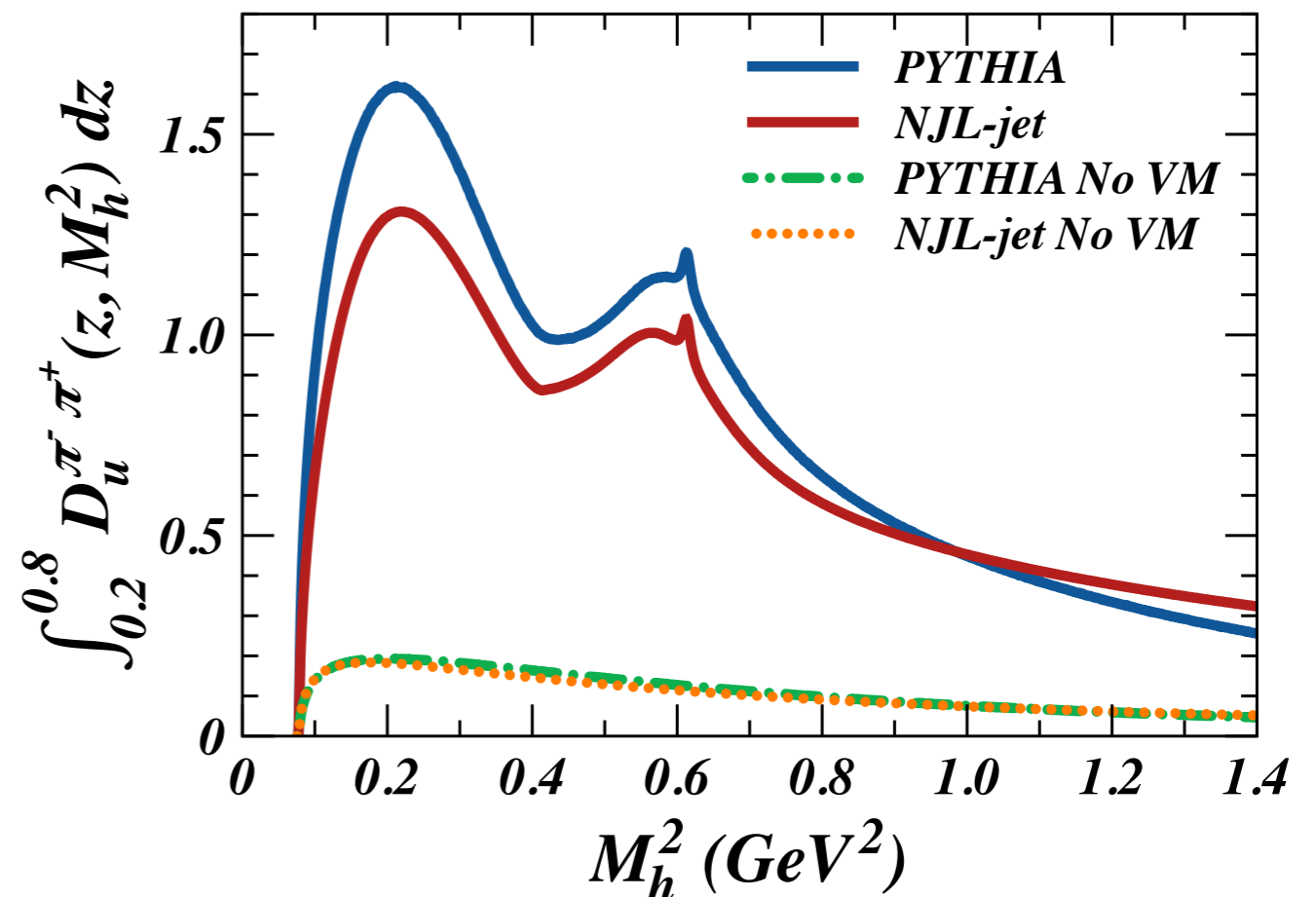
- Setup hard process with back to back  $q \bar{q}$  along  $z$  axis.
- **Only Hadronize.** Allow the same resonance decays as NJL-jet.
- Assign hadrons with positive  $p_z$  to  $q$  fragmentation.

$$E_q = 10 \text{ GeV}$$

## Single Hadron



## Dihadron



# Positivity and Polarisation of Quark

Bacchetta et al, PRL 85, 712 (2000) .

- ◆ The probability density is Positive Definite: constraints on FFs.
- ◆ Leading-order T-Even functions FULLY Saturate these bounds!
- ◆ For non-vanishing  $H^\perp$  and  $D_T^\perp$ , need to calculate T-Even FFs at next order!
- ◆ Average value of remnant quark's spin.

$$\langle S_T \rangle_Q = s_T \frac{\int dz \left[ h_T^{(q \rightarrow Q)}(z) + \frac{1}{2z^2 M_Q^2} h_T^{\perp[1](q \rightarrow Q)}(z) \right]}{\int dz d^{(q \rightarrow Q)}(z)}$$

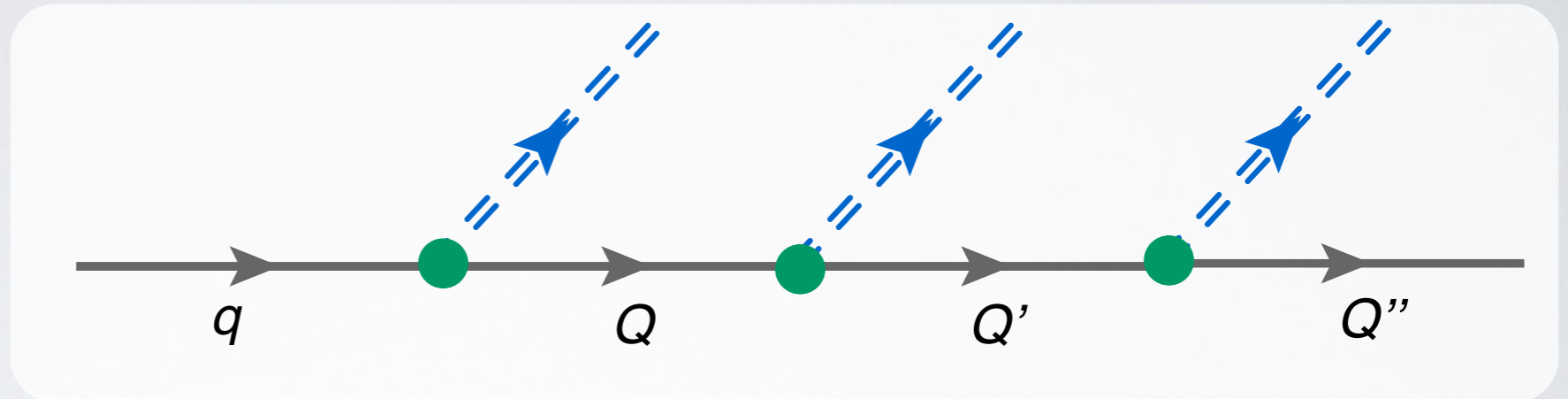
- ◆ In spectator model, at leading order:  $h_T(z) = -d(z)$
- ◆ Non-zero  $h_T^\perp$  means  $\langle S_T \rangle_Q \neq -s_T$  (full flip of the spin)!

# THE QUARK JET MODEL

Field, Feynman, Nucl.Phys.B136:1,1978.

## Assumptions:

- ▶ Number Density interpretation
- ▶ No re-absorption
- ▶  $\infty$  hadron emissions



$$D_q^h(z) = \hat{d}_q^h(z) + \int_z^1 \hat{d}_q^Q(y) dy \cdot D_Q^h\left(\frac{z}{y}\right) \frac{1}{y}$$

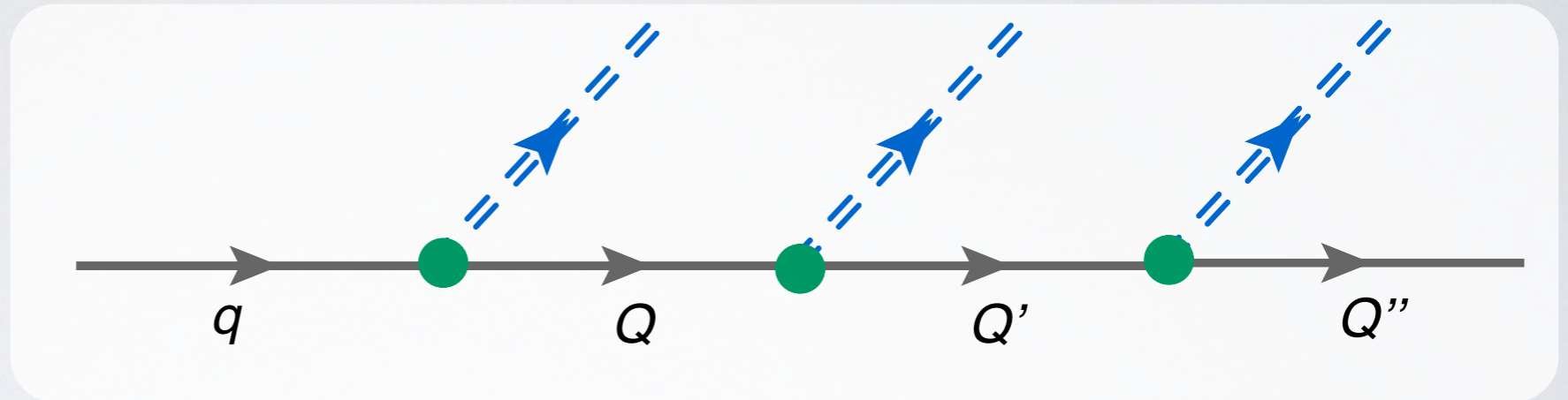
$$\hat{d}_q^h(z) = \hat{d}_q^{Q'}(1-z) |_{h=\bar{Q}'q}$$

# THE QUARK JET MODEL

Field, Feynman, Nucl.Phys.B136:1,1978.

## Assumptions:

- ▶ Number Density interpretation
- ▶ No re-absorption
- ▶  $\infty$  hadron emissions



Probability of finding hadron **h** with mom. frac.  $[z, z+dz]$  in a jet of quark **q**

$$D_q^h(z)dz = \hat{d}_q^h(z)dz + \int_z^1 \hat{d}_q^Q(y)dy \cdot D_Q^h\left(\frac{z}{y}\right)\frac{dz}{y}$$

The probability scales with mom. fraction

Prob. of emitting at step **l**

Prob. of mom.  $[y, y+dy]$  is transferred to jet at step **l**.

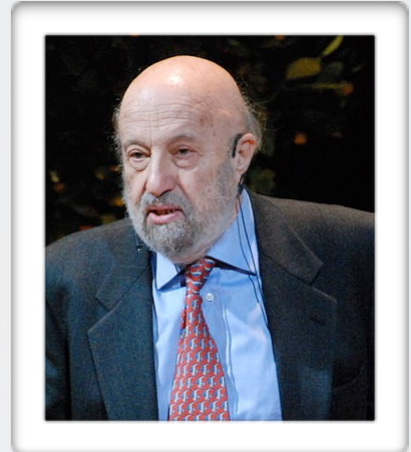


# NAMBU--JONA-LASINIO MODEL

Yoichiro Nambu and Giovanni Jona-Lasinio:

*“Dynamical Model of Elementary Particles Based on an Analogy with Superconductivity. I”*

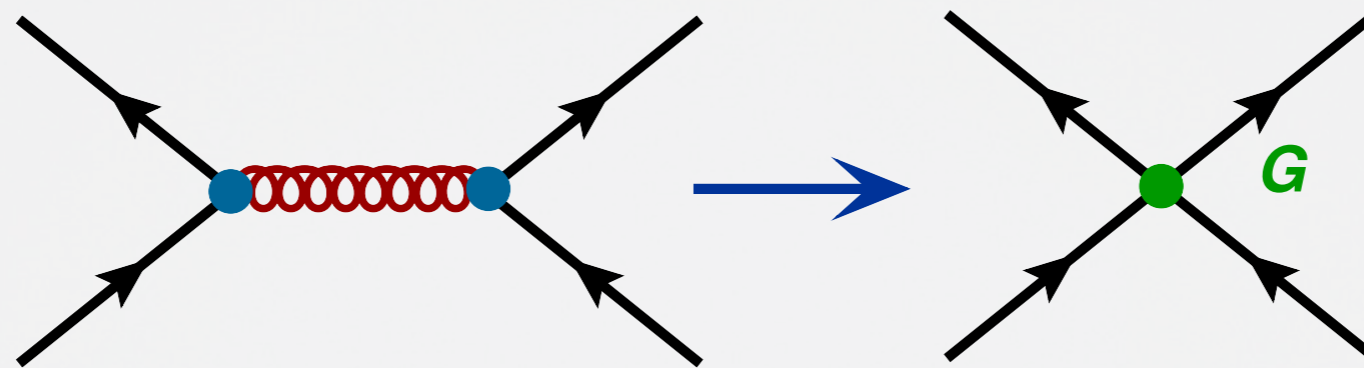
Phys.Rev. 122, 345 (1961)



## Effective Quark model of QCD

- Effective Quark Lagrangian

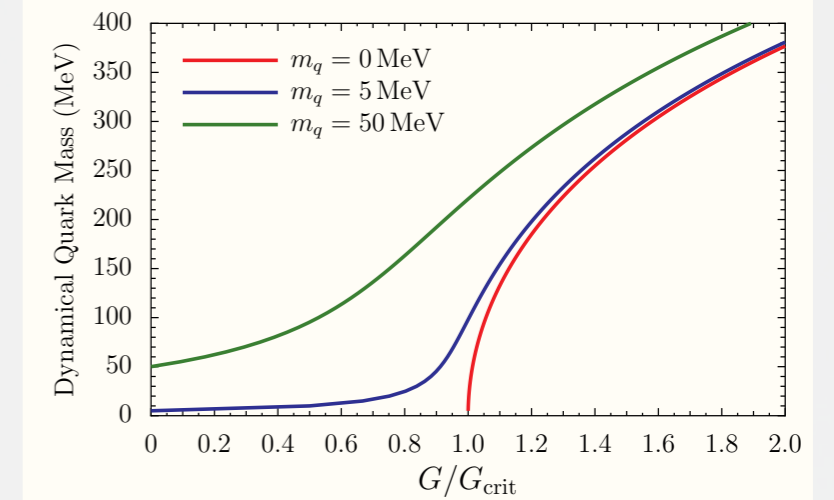
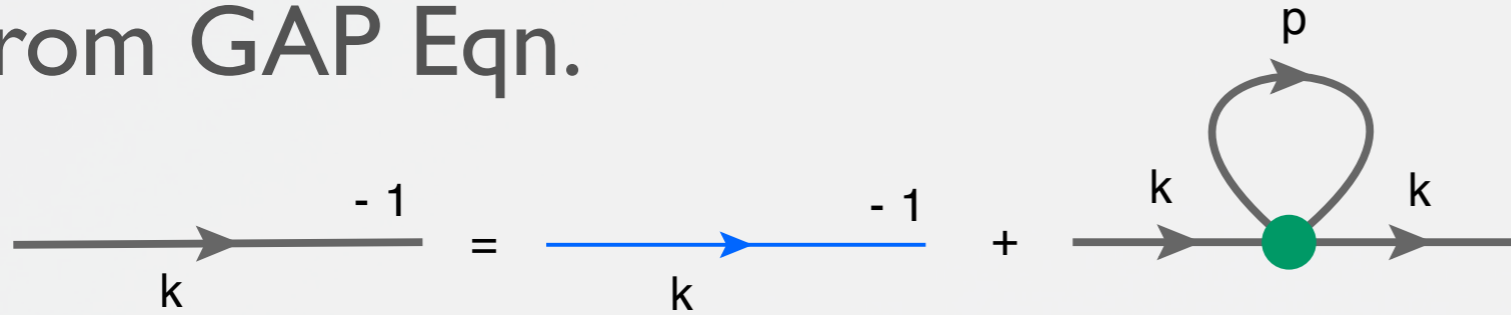
$$\mathcal{L}_{NJL} = \bar{\psi}_q (i\partial\!\!\!/ - m_q) \psi_q + G (\bar{\psi}_q \Gamma \psi_q)^2$$



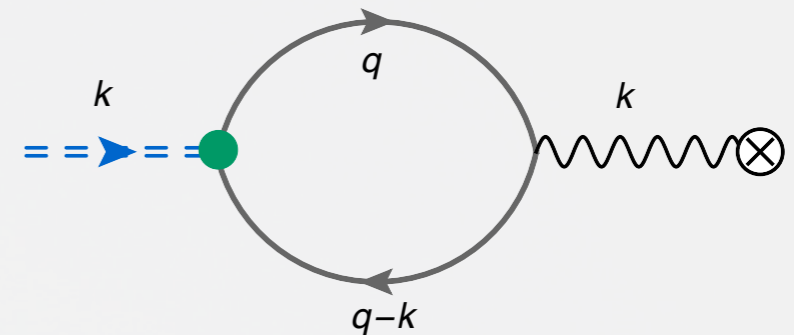
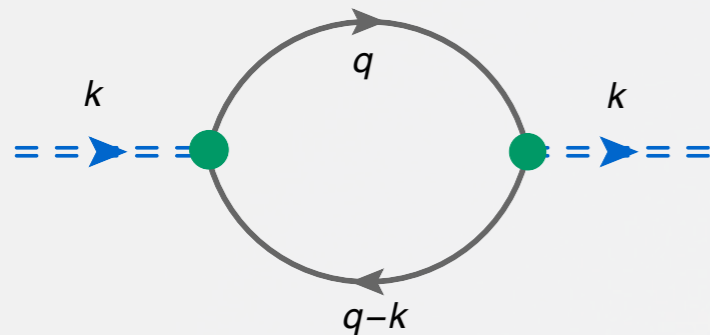
- Low energy chiral effective theory of QCD.
- Covariant, has the same flavor symmetries as QCD.

# NAMBU--JONA-LASINIO MODEL

- Dynamically Generated Quark Mass from GAP Eqn.



- Pion mass and quark-pion coupling from t-matrix pole.
- Pion decay constant



## Fixing Model Parameters

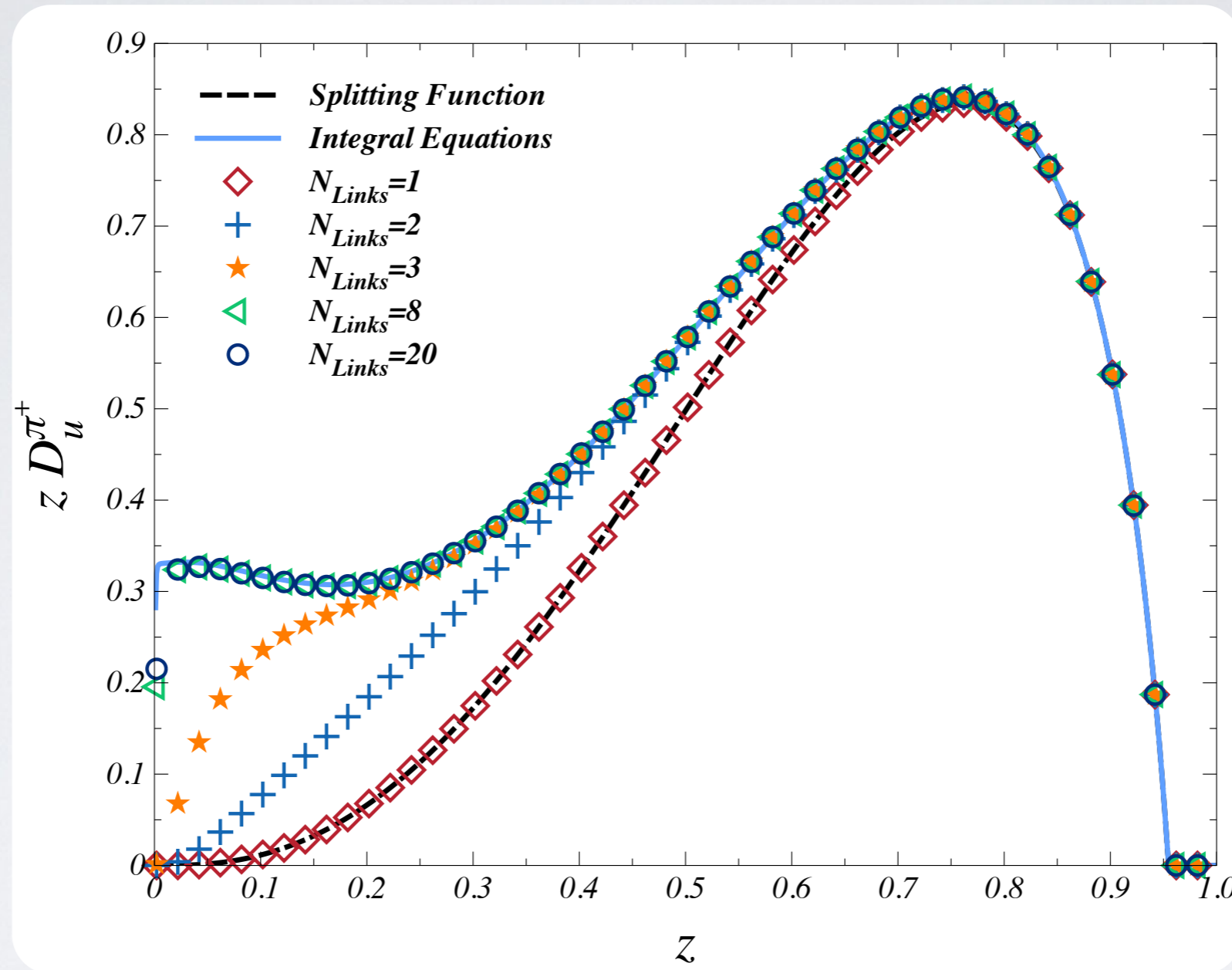
- Use Lepage-Brodsky Invariant Mass cut-off regularisation scheme.

$$M_{12} \leq \Lambda_{12} = \sqrt{\Lambda_3^2 + M_1^2} + \sqrt{\Lambda_3^2 + M_2^2}$$

- Choose a  $M_{u(d)}$  and use physical  $f_\pi, m_\pi, m_K$  to fix model parameters  $\Lambda_3, G, M_s$  and calculate  $g_{hqQ}$ .

# DEPENDENCE ON NUMBER OF EMITTED HADRONS

- ▶ Restrict the number of emitted hadrons,  $N_{Links}$  in MC.

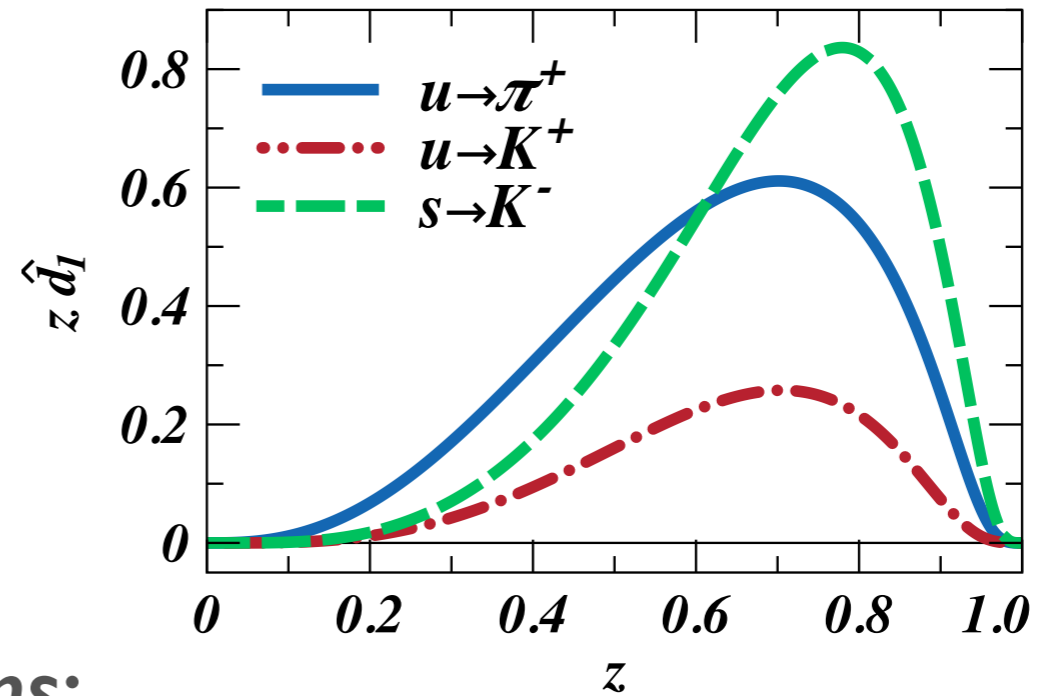
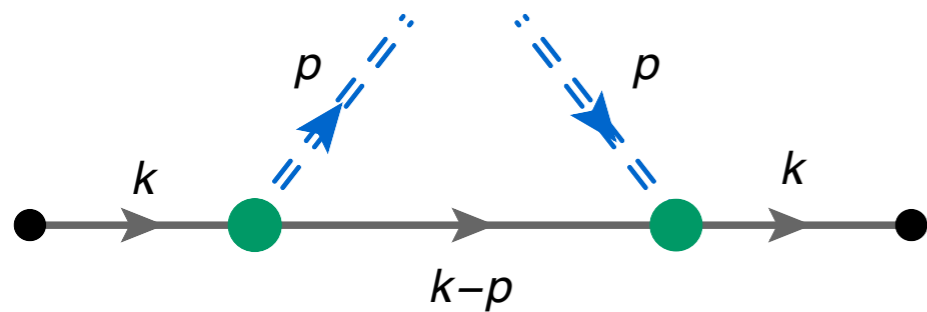


- ▶ We reproduce the splitting function and the full solution perfectly.
- ▶ The low  $z$  region is saturated with **just a few** emissions.

# SOLUTIONS OF THE INTEGRAL EQUATIONS

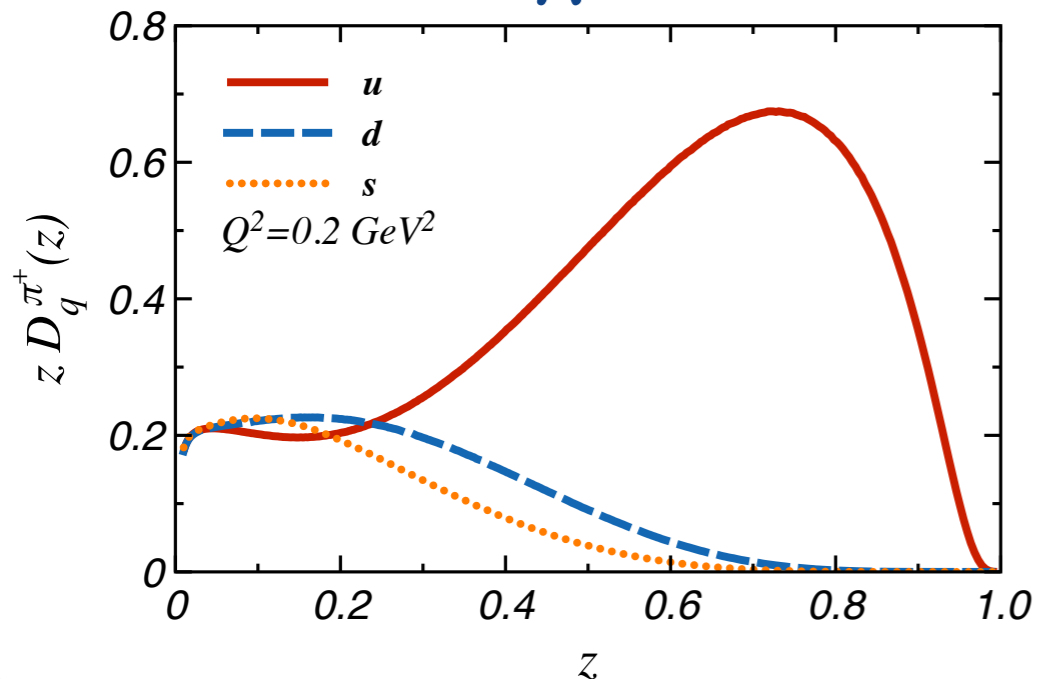
H.M., Thomas, Bentz, PRD. 83:074003, 2011

◆ *Input elementary probabilities from NJL:*

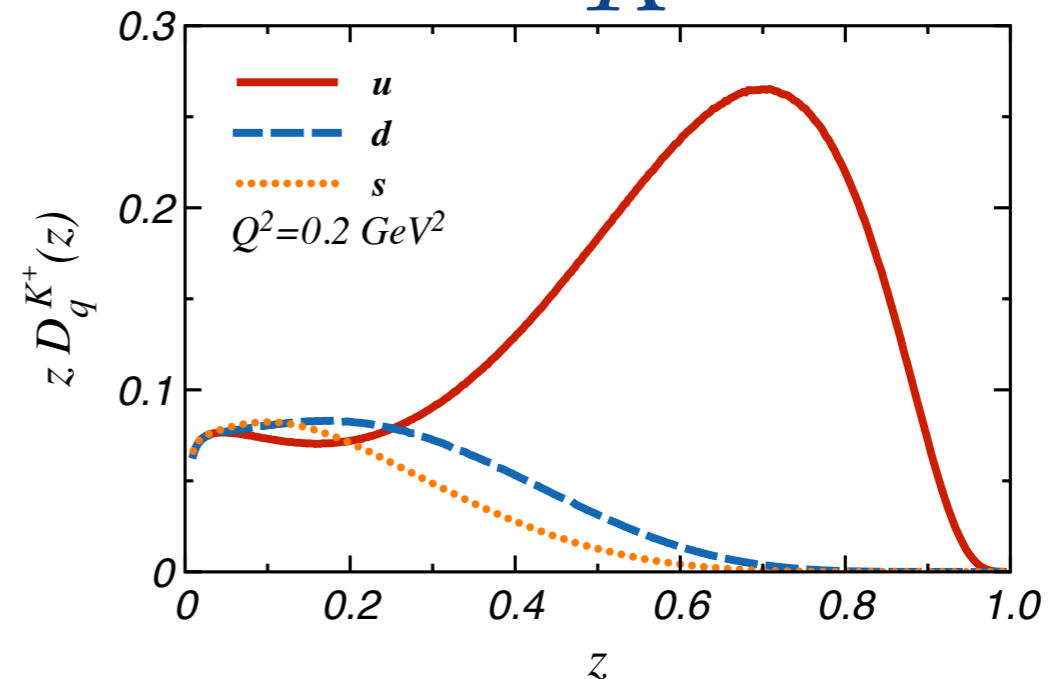


◆ *Solutions of the integral equations:*

$\pi^+$



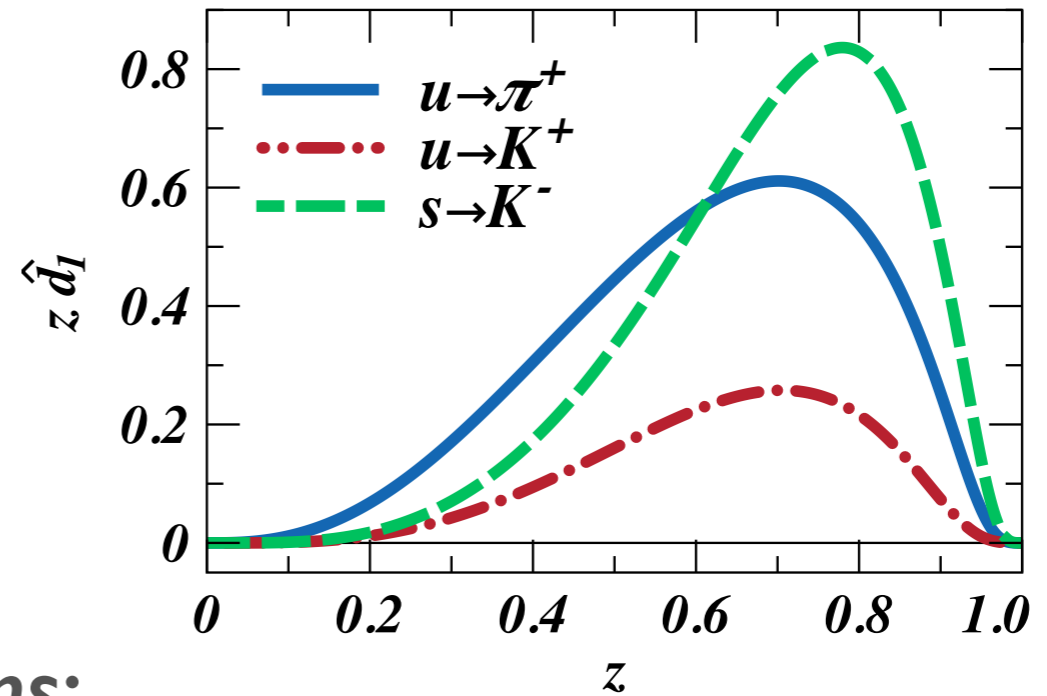
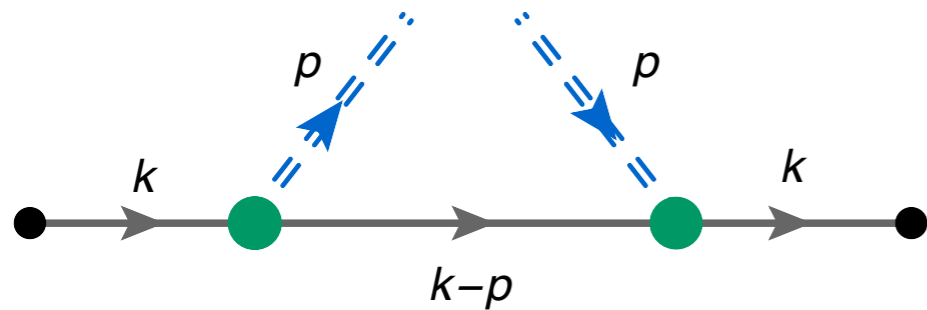
$K^+$



# SOLUTIONS OF THE INTEGRAL EQUATIONS

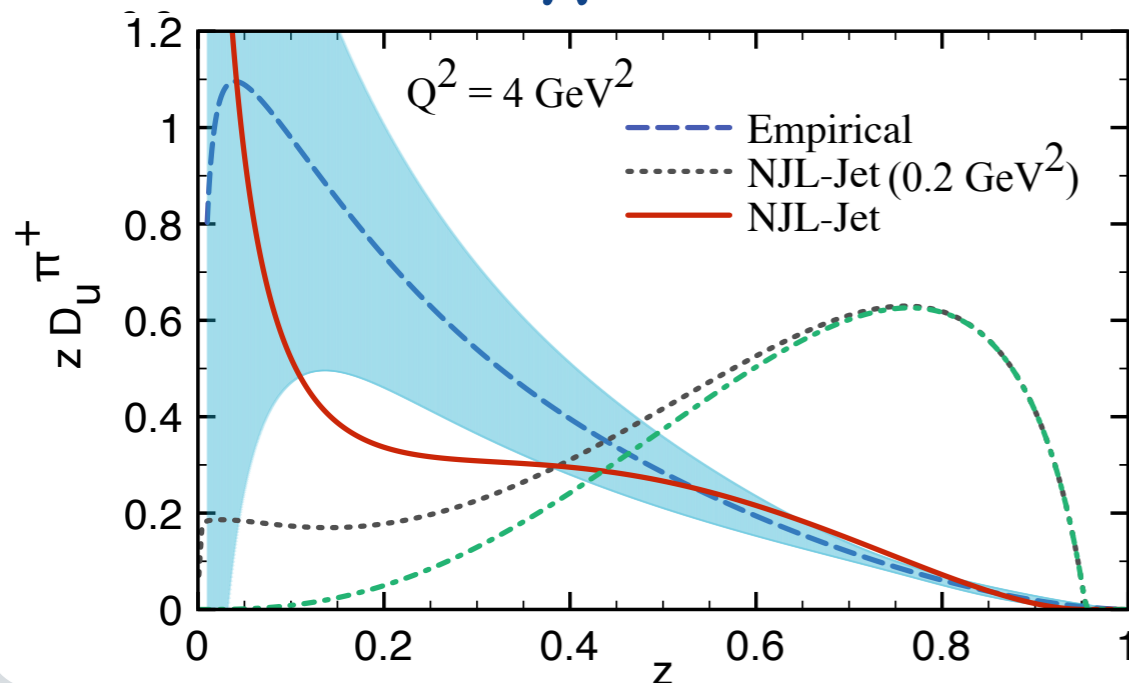
H.M., Thomas, Bentz, PRD. 83:074003, 2011

## ◆ Input elementary probabilities from NJL:



## ◆ Solutions of the integral equations:

$\pi^+$



$K^+$

