

CONFINEMENT

dynamic symmetry breaking
and

TRANSVERSE SPIN

TOMOGRAPHY of HADRONS
and JETS

dennis
sivers

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PROGRESSION

I.

Nonperturbative QCD and physics
beyond the standard model
confinement & spin-orbit dynamics

II.

Yang-Mills-Maxwell's eq'ns. $(SU(2) \times SU(3))$
topological confinement and CP-odd

III.

Transverse single-spin asymmetries &
non-perturbative spin-directed momentum
transfers

$$\langle \delta k_{TN}(x, \mu^2) \rangle$$

hadron distributions

$$\langle \delta p_{TN}(z, \mu^2) \rangle$$

jet fragmentation

I, Nonperturbative QCD and beyond SM physics

precision calculations require understanding
of confinement and orbital structure in
hadrons and jets

Confinement and the Dynamical Breaking
of Chiral Symmetry

Topological Confinement and the
Pion Tornado

QCD: non PERTURBATIVE TOOLS

1. Lattice gauge theory simulations

Wilson α_s large $\Rightarrow V_{\text{qq}}(r) = \sigma r + \dots$
for massive quarks

necessary but not sufficient for confinement

2. Effective Field Thys. (EFT's)

Massive Quark EFT, Soft-collinear EFT, chiral EFT
...

3. Schwinger-Dyson Eq.'s

\Rightarrow analytic properties of quark, gluon dressed propagators

4. Classical non-Abelian Field Eqn's

topological properties of confined systems

All these techniques supplement PQCD
help form models that can be experimentally
tested

Spectroscopy, Amplitude analysis

One type of experiment:

semi-inclusive deep inelastic lepton scattering
(SIDIS)

Can be analyzed in terms of transverse-
momentum-dependent dist'n's & fragmentation fn's
(TMD's)

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(TMD's)

A. The Yang-Mills Millennium Prize*

(* Clay Mathematics Institute .. \$1G each)

posed by A. Jaffe & E. Witten (2000)

$$S_{\text{YM}}^G = \frac{1}{4g^2} \int \text{Tr} F \wedge *F$$

* Hodge Dual

G compact group

F curvature, $F = dA + A \wedge A$, of G-bundle connection A.

Prove \exists QFT in 4-dim. Space time

1.) "mass gap", $\Delta > 0$

2.) confinement (quarks & gluons)

3.) chiral symmetry breaking

These 3 requirements for solution recognize that "hadronic" sector different from perturbative sector

$SU(3) = G$

$QCD \neq PQCD$

Infrared
"Slavery"

While the mathematics is hard (M. Douglas - Clay.org) nature has solved the physics problem.

Transverse spin observables (everything at Transversity 2011) pierce to the meat of the question

"What distinguishes QCD from PQCD?"

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"What distinguishes QCD from PQCD?"

"Constituents" in QCD (degrees of freedom in hadrons & jets) forged from nonlinear dynamics from the "partons" of PQCD (quarks & gluons)

EMERGENT STRUCTURES

- > Constituent Quarks
- > Diquarks
- > Field · Strength Densities
- > Topologically · Structured condensates
(dyons, merons, instantons, ...?)
- > Virtual baryons, mesons
(π , σ , N ... Chiral Pert Thy)

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Connection to transverse spin SSA's quark mass terms in QCD Lagrangian

$$\mathcal{L}_q = i(\bar{q}_L \not{D}_\mu q_L + \bar{q}_R \not{D}_\mu q_R) - m_q(\bar{q}_R q_L + \bar{q}_L q_R)$$

n_f massless flavors $\Rightarrow SU(n_f)_L \otimes SU(n_f)_R \otimes U(1)_L \otimes U(1)_R$

$$m_u = 1.9 \pm 0.2 \text{ MeV}, \quad m_d = 4.6 \pm 0.3 \text{ MeV}, \quad m_s = 88 \pm 5 \text{ MeV}$$

Kane, Pumphrey, Repko $A_N d\sigma(qq\bar{q} \rightarrow qq) = \alpha_s \frac{m_q}{Q} f_{\text{cm}}(\theta)$

would imply very small A_T -odd observables. ("T" odd)

These symmetries broken by boundary conditions (confinement) .. constituent structure .. and spin/orbit dynamics of interactions for Const. basis.

(1.) - (3.)

KPR factorization

II. FIELD STRENGTH

DESCRIPTION of

CONFINED

nonABELIAN

CHARGES

Confining boundary conditions
& topological charge

Field-Strength Description of non-Abelian Spherically Symmetric Confined Systems



$$gA_0^a(r,t) = A_0(r,t) \hat{r}_a$$

$$gA_i^a(r,t) = A_1(r,t) \delta_{ia} + \frac{a(r,t)}{r} \sin\omega(r,t) \delta_{ia}^T + \frac{a(r,t) \cos\omega(r,t) - 1}{r} \epsilon_{ia}^T$$

$$\rho_{ia} = \hat{r}_i \hat{r}_a$$

$$\delta_{ia}^T = (\hat{\theta}_i \hat{\theta}_a + \hat{\phi}_i \hat{\phi}_a)$$

$$\epsilon_{ia}^T = (\hat{\phi}_i \hat{\theta}_a - \hat{\theta}_i \hat{\phi}_a)$$

$$\rho_{ia} \rho_{ia} = 1$$

$$\delta_{ia}^T \delta_{ia}^T = 2$$

$$\epsilon_{ia}^T \epsilon_{ia}^T = 2$$

$i = 1-3$
 $a = 1-3$ $SU(2)$

gauge rotating basis

$$D_i^{ab} \hat{r}_b = \frac{a}{r} \epsilon_{ia}^S(\omega)$$

$$= \frac{a}{r} [\delta_{ia}^T \cos(\omega) - \epsilon_{ia}^T \sin(\omega)]$$

$$-i[\hat{r}_i, D_i \hat{r}] = \frac{a}{r} \epsilon_{ia}^A(\omega)$$

$$= \frac{a}{r} [\delta_{ia}^T \sin(\omega) + \epsilon_{ia}^T \cos(\omega)]$$

$$D_i^{ab} = \delta_i \delta^{ab} + g \epsilon^{abc} A_i^c \quad (SU(2))$$

$\omega = \omega(r,t)$
 $a = a(r,t)$

[Extension to SU(3)]

off-diagonal gluons carry charge

$a=1-3$ SU(2) \Rightarrow $a=1-8$ SU(3)

$\hat{Z}_a \Rightarrow \hat{Z} |h\rangle_a$ $|h\rangle_a = (h_3, h_a)$

t_3, t_8 diagonal $t_1-t_2, t_4-t_3 \Rightarrow 3$ SU(2) subgroups

O(r) G(y) P(br) with \pm charge

$[t_3, Q_O^\pm] = \pm Q_O^\pm$ $[t_3, Q_G^\pm] = \mp \frac{1}{2} Q_G^\pm$ $[t_3, Q_P^\pm] = \mp \frac{1}{2} Q_P^\pm$

$[t_8, Q_O] = 0$ $[t_8, Q_G^\pm] = \mp \frac{\sqrt{3}}{2} Q_G^\pm$ $[t_8, Q_P^\pm] = \pm \frac{\sqrt{3}}{2} Q_P^\pm$

$a = (1, 2)$

$a = (4, 5)$

$a = (6, 7)$

$\xi_{ia} \Rightarrow \xi_{i\bar{a}} \hat{Z}_i \hat{Z} |h\rangle_a$

$e_{ia}^\pm(\omega) \Rightarrow e_{i\bar{a}}^\pm(\sum \omega_a |w_c\rangle)$



$|w_0\rangle = (1, 0)$ $|w_4\rangle = (-\frac{1}{2}, -\frac{\sqrt{3}}{2})$

$\sum_c |w_c\rangle = (0, 0)$

$|w_6\rangle = (-\frac{1}{2}, +\frac{\sqrt{3}}{2})$

"Hawser Laid"

Expressing gE_i^a and gB_i^a with $\epsilon_{ia}^{AS}(\omega)$

$$gE_i^a = E_L \rho_{ia} + E_S \epsilon_{ia}^S(\omega) + E_A \epsilon_{ia}^A(\omega)$$

$$gB_i^a = B_L \rho_{ia} + B_S \epsilon_{ia}^S(\omega) + B_A \epsilon_{ia}^A(\omega)$$

with Field Strengths

$$E_L = \frac{\partial A_1}{\partial t} - \frac{\partial A_0}{\partial r}$$

$$B_L = \frac{(\alpha^2 - 1)}{r^2}$$

$$E_S = -\frac{(K_0 + A_0)}{ar}$$

$$B_S = \frac{\partial a / \partial r}{ar}$$

$$E_A = \frac{\partial a / \partial t}{r}$$

$$B_A = \frac{K_0 - A_1}{ar}$$

topological current

$$K_0 = (\alpha^2 - 1)A_1 - \alpha^2 \frac{\partial y}{\partial t}$$

$$K_1 = (\alpha^2 - 1)A_0 - \alpha^2 \frac{\partial y}{\partial t}$$

$$\partial^\mu K_\mu = g^2 r^2 E_i B_i$$

$$E_i^a$$

$$B_i^a$$

Gauge Covariant

Gauge & Spinor Fields with Spherical Symmetry

$$\int d^4x (\mathcal{L}_g) \Rightarrow 4\pi \int dr dt (r^2 L_g^{(2)}) \quad r^2 L_g^{(2)} = r^2 (FF) + 2 D^0 \phi D_0 \phi^* + \frac{(\phi^2 - 1)^2}{r^2}$$

$$\int d^4x (\mathcal{L}_f) \Rightarrow 4\pi \int dr dt (r^2 L_f^{(2)}) \quad r^2 L_f^{(2)} = \bar{\Psi} (D^0 \gamma_0^{(2)} + e \phi \gamma_{(2)}^5 + \text{h.c.}) \Psi$$

confining boundary conditions

Interior $r < R_0 - \Delta$

Exterior $r > R_0 + \Delta$

$$\left(\langle \frac{\alpha_s}{\pi} G G \rangle_I \neq 0 \right)$$

$$\langle \bar{\Psi} \Psi \rangle_I \neq 0$$

$$\langle \bar{\Psi} \gamma^{\mu} \Psi \rangle_I \neq 0$$

$$\left(\langle \frac{\alpha_s}{\pi} G G \rangle_E = 0 \right)$$

$$\langle \bar{\Psi} \Psi \rangle_E = 0$$

$$\langle \bar{\Psi} \gamma^{\mu} \Psi \rangle_E = 0$$

Adler Bell Jackiw

$$4\pi r^2 \bar{\Psi} \gamma^{\mu} \gamma^5 \Psi = (Q_0^5, Q_1^5 \hat{r}) \quad \partial^0 Q_1^5 = \alpha_s r^2 *G G$$

Gauge & Spinor Fields with Spherical Symmetry

$$\int d^4x (\mathcal{L}_g) \Rightarrow 4\pi \int dr dt (r^2 L_g^{(2)}) \quad r^2 L_g^{(2)} = r^2 (FF) + 2 D^0 \phi D_0 \phi^* + \frac{(\phi^2 - 1)^2}{r^2}$$

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SU(2) Yang-Mills-Maxwell Eqns

$$-\frac{\partial}{\partial r} (r^2 E_L) + 2arE_S = J_0(r,t)$$

$$-\frac{\partial}{\partial t} (r^2 E_L) + 2arB_A = J_1(r,t)$$

$$-\frac{\partial}{\partial t} (arE_S) + \frac{\partial}{\partial r} (arB_A) = arj_s(r,t)$$

$$a \square_{(2)} a + r^2 (E_S^2 - B_A^2) + \frac{a^2 (\alpha^2 - 1)}{r^2} = arj_A(r,t)$$

Yang-Mills Bianchi constraints give

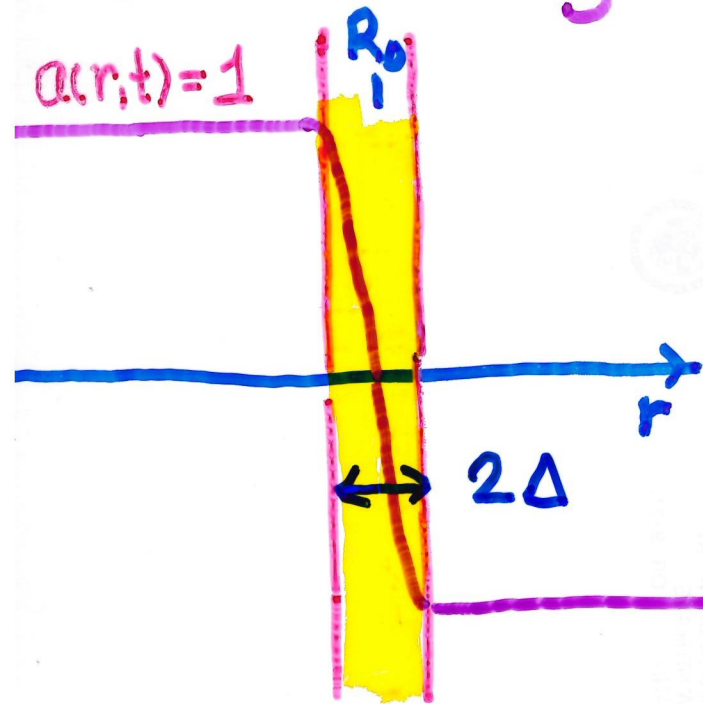
$$\frac{\partial}{\partial r} (arE_A) - \frac{\partial}{\partial t} (arB_S) = 0$$

$$-E_L + \frac{\partial}{\partial r} (arE_S) + \frac{\partial}{\partial t} (arB_A) = \partial^i K_i = g^2 r^2 E_i^a B_i^a$$

color · current conservation

$$\partial^i J_i(r,t) = 2arj_s(r,t)$$

Confining Boundary Conditions



Interior $r < R_0 - \Delta$ $a(r,t) = 1$
 $E_L = \frac{\partial A_0}{\partial r} - \frac{\partial A_1}{\partial t}$ $B_L = E_A = B_S = 0$

$E_S = (K_1 - A_0)/r$ $B_A = (K_0 + A_1)/r$
 $J_\mu^a(r) \neq 0$ $J^{PC} = 0^{++}$
 $\langle E_i^a E_i^a \rangle \geq 0$ $\langle B_i^a B_i^a \rangle \geq 0$

External "Vacuum" $r > R_0 + \Delta$
 $a(r,t) = -1$ $\cos(\omega(r,t)) = -1$ $A_0 = A_1 = 0$
 $E_i^a = 0$ $B_i^a = 0$

$J^{PC} = 0^{++}$

Topological Shell

$J^{PC} = 0^{-+}$ CP odd

Topological Charges $\langle E_i^a B_i^a \rangle \neq 0$

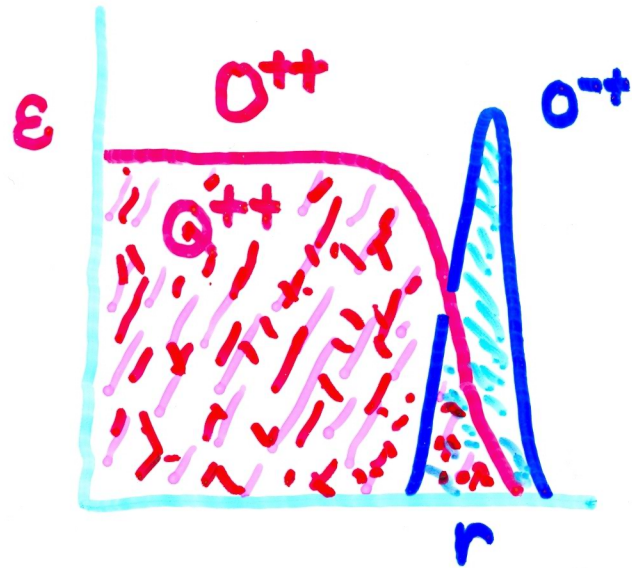
Dyonic Charges at $r = R_0$

The Non-Abelian Maxwell's Eq'ns of QCD
with spherical symmetry and confining
boundary conditions provide solutions
that display the phenomenological content
of MIT bag model - Chiral quark soliton
& cloudy bag models ... + ...
the "dual-Meissner-effect" approach to
confinement can be replaced by a
"dual topological insulator" picture

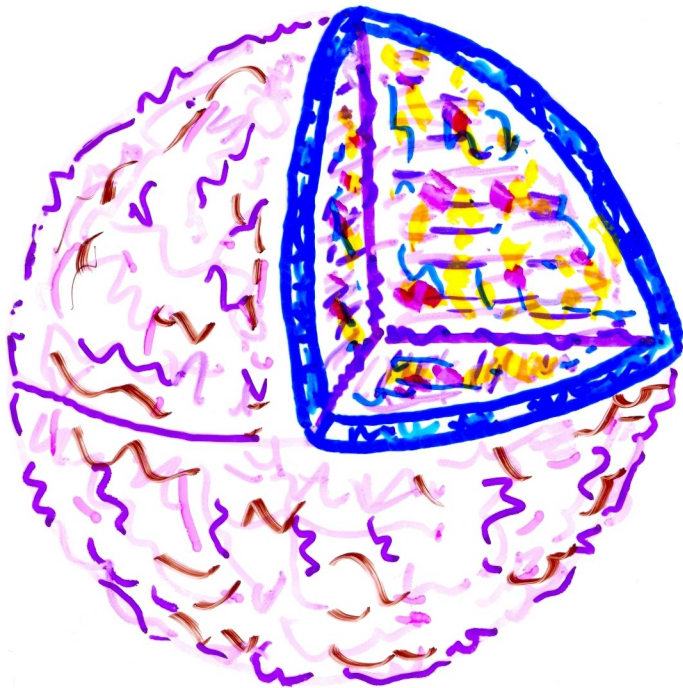
natural
parity
hadron



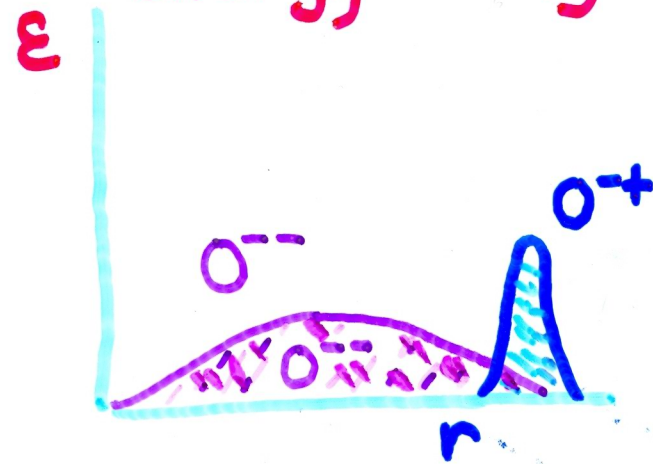
energy density



"pion"



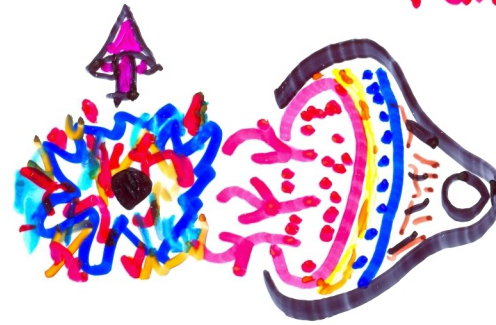
energy density



virtual

non-Abelian field strengths

Constituent Quark
 $J^P = \frac{1}{2}^+$ not Dirac Fermion
 $G \neq 0$ (spin/orbit structure)



Fundamental charge
 $\frac{2}{3}, \frac{1}{3}$

0 dirac
 antifermion
 (not)

3 color

Scalar diquark
 $[,]$ antisymmetric in flavor
 $J^P = 0^+$

• dirac
 fermion



$\bar{3}$ color



$\bar{3}$ color

axial vector diquark
 $\{, \}$ symmetric in flavor
 $J^P = 1^+$ spin-orbit structure

adjoint charges: 8



8 color

$$d^{abc}(E_i^b E_i^c - B_i^b B_i^c) \quad J^{PC} = 0^{++}$$

$$f^{abc}(E_i^b E_i^c - B_i^b B_i^c) \quad J^{PC} = 0^{+-}$$



8 color

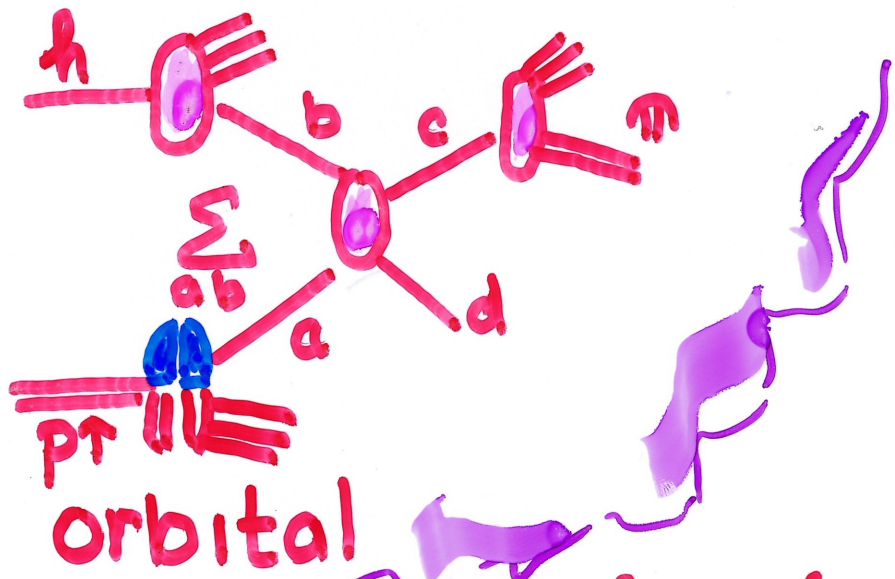
$$d^{abc} E_i^b B_i^c \quad J^{PC} = 0^{-+}$$

$$f^{abc} E_i^b B_i^c \quad J^{PC} = 0^{--}$$

How about $J^{PC} = 1^{+-}, 1^{--}; 1^{+-}, 1^{++}$ (Poynting vector)?

These sketches done in maximally Abelian coordinate gauge T_3, T_8 diagonal along \hat{z} -axis

off-diagonal fields carry $r\bar{g} - y\bar{b} - b\bar{r}$ charges



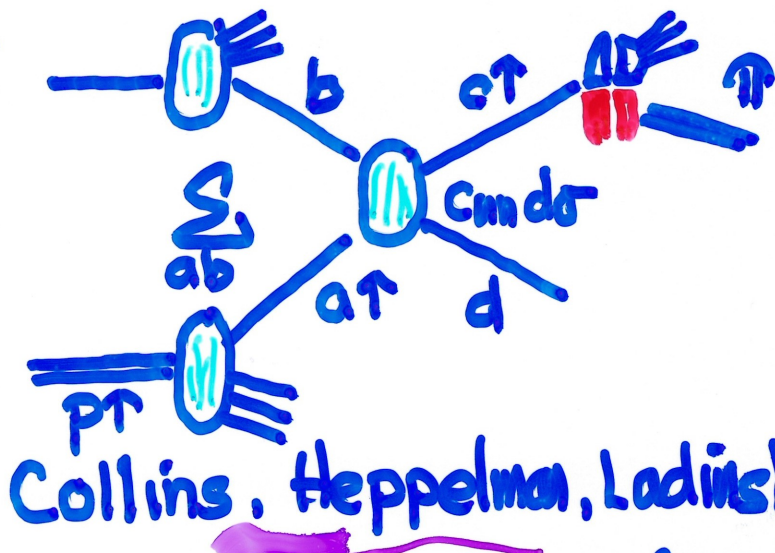
orbital

$$A_N [Ed\sigma(hp\pi \rightarrow \pi X)] = \frac{1}{\pi} \int d^2 \zeta_T d^2 \zeta_X \int d^2 \zeta_T d^2 \zeta_X$$

$$\int d^2 \zeta_T d^2 \zeta_X \Delta^N G_{\sigma/p} (x_a, k_{TN}^a, k_{TX}^a)$$

$$G_{b/p} (x_b, k_T^{zb}; M^2) D_{\pi/c} (z_c, p_T^c; M^2)$$

$$\hat{S} \left[\frac{d\sigma}{d\hat{T}} (ab \rightarrow cd) \right] \delta(\hat{S} + \hat{T} + \hat{U})$$



Collins, Heppelmann, Ladinsky

$$A_N [Ed\sigma(hp\pi \rightarrow \pi X)] = \frac{1}{\pi} \int d^2 \zeta_T d^2 \zeta_X \int d^2 \zeta_T d^2 \zeta_X$$

$$\int d^2 \zeta_T d^2 \zeta_X \Delta_S^T G_{\sigma/p} (x_a, k_{TN}^a; M^2)$$

$$G_{b/p} (x_b, k_T^{zb}; M^2) \Delta_{\pi/c}^N (z_c, p_T^c, p_T^c; M^2)$$

$$\hat{S} \left[\frac{d\sigma}{d\hat{T}} (a\uparrow b \rightarrow c\uparrow d) \right] \delta(\hat{S} + \hat{T} + \hat{U})$$

two different contributions to $A_N d\sigma(pp\pi \rightarrow \pi X)$

CONCENTRATE ON SPIR-DIRECTED MOMENTUM TRANSFERS

$$\int_{-\infty}^{+\infty} \int d\mathbf{k}_{TN}^a \Delta G_{\text{opt}}^N(x_a, \mathbf{k}_{TN}^a) \left[\frac{d\mathbf{r}(s, t; \mathbf{r}_{\text{orb}})}{dt} \right] R_{\text{orb}}(x_a, x_b, \dots) \quad \int_{-\infty}^{+\infty} \int d\mathbf{p}_{TN}^c \Delta D_{\text{TOT}}^N(\mathbf{z}_c, \mathbf{p}_{TN}^c) \left[\frac{d\mathbf{r}(s, t; \mathbf{r}_{\text{CNL}})}{dt} \right] R_{\text{CNL}}(x_a, x_b, \dots)$$

[APPLY TAYLOR SERIES $\langle \delta \mathbf{k}_{TN}^a \rangle \langle \delta \mathbf{p}_{TN}^c \rangle \ll \mathcal{U}^2$]

$$\Delta G_{\text{opt}}^N(x_a, \mathbf{k}_{TN}^a) = \langle \delta \mathbf{k}_{TN}^a \rangle \frac{\partial}{\partial \mathbf{k}_{TN}^a} G_{\text{opt}}(x_a, \mathbf{k}_{TN}^a) \quad \Delta D_{\text{TOT}}^N(\mathbf{z}_c, \mathbf{p}_{TN}^c) = \langle \delta \mathbf{p}_{TN}^c \rangle \frac{\partial}{\partial \mathbf{p}_{TN}^c} D_{\text{TOT}}(\mathbf{z}_c, \mathbf{p}_{TN}^c)$$

[INTEGRATE by PARTS $\mathbf{k}_{TN}^a, \mathbf{p}_{TN}^c$]

$$\begin{aligned} \langle \delta \mathbf{k}_{TN}^a \rangle \int_{-\infty}^{+\infty} d\mathbf{k}_{TN}^a \frac{\partial}{\partial \mathbf{k}_{TN}^a} G(x_a, \mathbf{k}_{TN}^a) \left[\frac{d\mathbf{r}(s, t; \mathbf{r}_{\text{orb}})}{dt} \right] R_{\text{orb}}(\dots) &= \langle \delta \mathbf{k}_{TN}^a \rangle \cdot G \cdot \frac{d\mathbf{r}}{dt} R \Big|_{-\infty}^{+\infty} + \\ - \langle \delta \mathbf{k}_{TN}^a \rangle \int_{-\infty}^{+\infty} d\mathbf{k}_{TN}^a G_{\text{opt}}(x_a, \mathbf{k}_{TN}^a) \frac{\partial}{\partial \mathbf{k}_{TN}^a} \frac{\partial}{\partial t} \left[\frac{d\mathbf{r}(s, t; \mathbf{r}_{\text{orb}})}{dt} \right] R_{\text{orb}}(x_a, x_b, \mathbf{z}_c, \dots) & \\ \langle \delta \mathbf{p}_{TN}^c \rangle \int_{-\infty}^{+\infty} d\mathbf{p}_{TN}^c \frac{\partial}{\partial \mathbf{p}_{TN}^c} D(\mathbf{z}_c, \mathbf{p}_{TN}^c) \left[\frac{d\mathbf{r}(s, t; \mathbf{r}_{\text{CNL}})}{dt} \right] R_{\text{CNL}} &= \langle \delta \mathbf{p}_{TN}^c \rangle D \frac{d\mathbf{r}}{dt} R \Big|_{-\infty}^{+\infty} + \\ - \langle \delta \mathbf{p}_{TN}^c \rangle \int_{-\infty}^{+\infty} d\mathbf{p}_{TN}^c D_{\text{TOT}}(\mathbf{z}_c, \mathbf{p}_{TN}^c) \frac{\partial}{\partial \mathbf{p}_{TN}^c} \frac{\partial}{\partial t} \left[\frac{d\mathbf{r}(s, t; \mathbf{r}_{\text{CNL}})}{dt} \right] R_{\text{CNL}}(x_a, x_b, \mathbf{z}_c, \dots) & \end{aligned}$$

$$\frac{\partial}{\partial \mathbf{k}_{TN}^a} t = -2 \mathbf{k}_{TN}^a$$

$$\frac{\partial}{\partial \mathbf{p}_{TN}^c} = -2 \mathbf{p}_{TN}^c$$

$$A_N \left[\frac{E d\sigma(\hat{n}, \hat{p} \rightarrow \hat{\pi}, X)}{d\Omega p} \right]_{\text{orb}} = \sum_{abcd} \frac{2 \langle \delta b_{TN}^a \rangle}{\pi} \int d^2 k_T^a dx_a \int d^2 k_T^b dx_b \int d^2 p_T^c \frac{d^2 z_c}{z_c^2}$$

$$G_{\text{orp}}(x_a, k_{Ta}^2; M^2) G_{\text{b/pt}}(x_b, k_{Tb}^2; M^2) D_{\text{N/C}}(z_c, p_{Tc}^2; M^2)$$

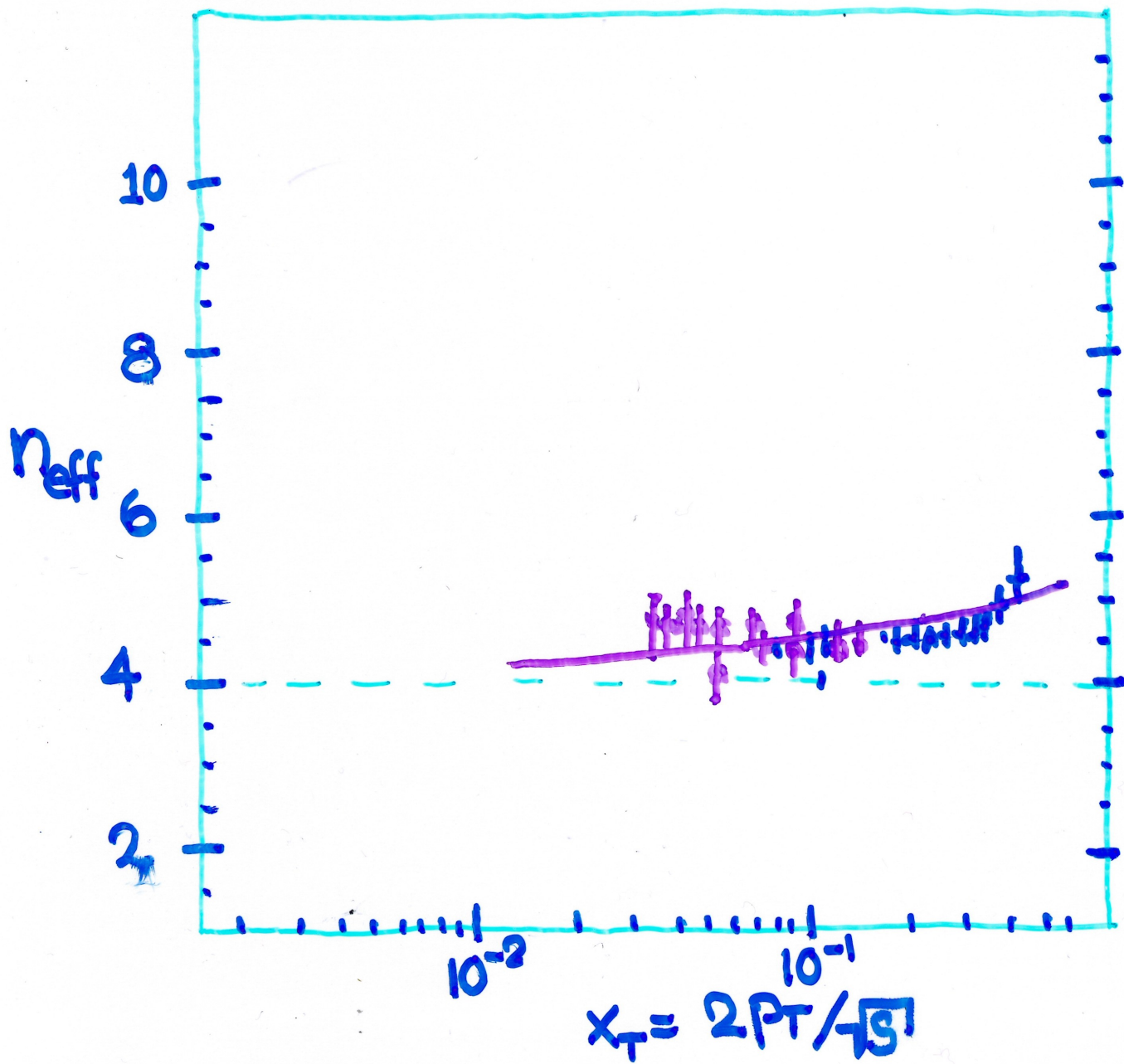
$$\hat{S} \left[k_{TN}(\hat{t}) \frac{\partial}{\partial \hat{t}} \frac{d\sigma(\hat{s}, \hat{t})}{d\hat{t}} \right] \delta(\hat{s} + \hat{t} + \hat{u})$$

integrate over $d^2 k_{Ta}$ $d^2 k_{Tb}$ and $d^2 p_{Tc}$

$$\sum_{abcd} \frac{2 \langle \delta b_{TN}^a \rangle}{\pi} \int dx_a dx_b \frac{d^2 z_c}{z_c^2} G_{\text{orp}}(x_a, M^2) G_{\text{b/pt}}(x_b, M^2) D_{\text{N/C}}(z_c, M^2) \hat{S} \left[p_{TN}(\hat{t}) \frac{\partial}{\partial \hat{t}} \frac{d\sigma(ab \rightarrow cd)}{d\hat{t}} \right] \delta(\hat{s} + \hat{t} + \hat{u}) \left(1 + \mathcal{O}\left(\frac{\langle b_T^2 \rangle}{p_T^2} \dots\right) \right)$$

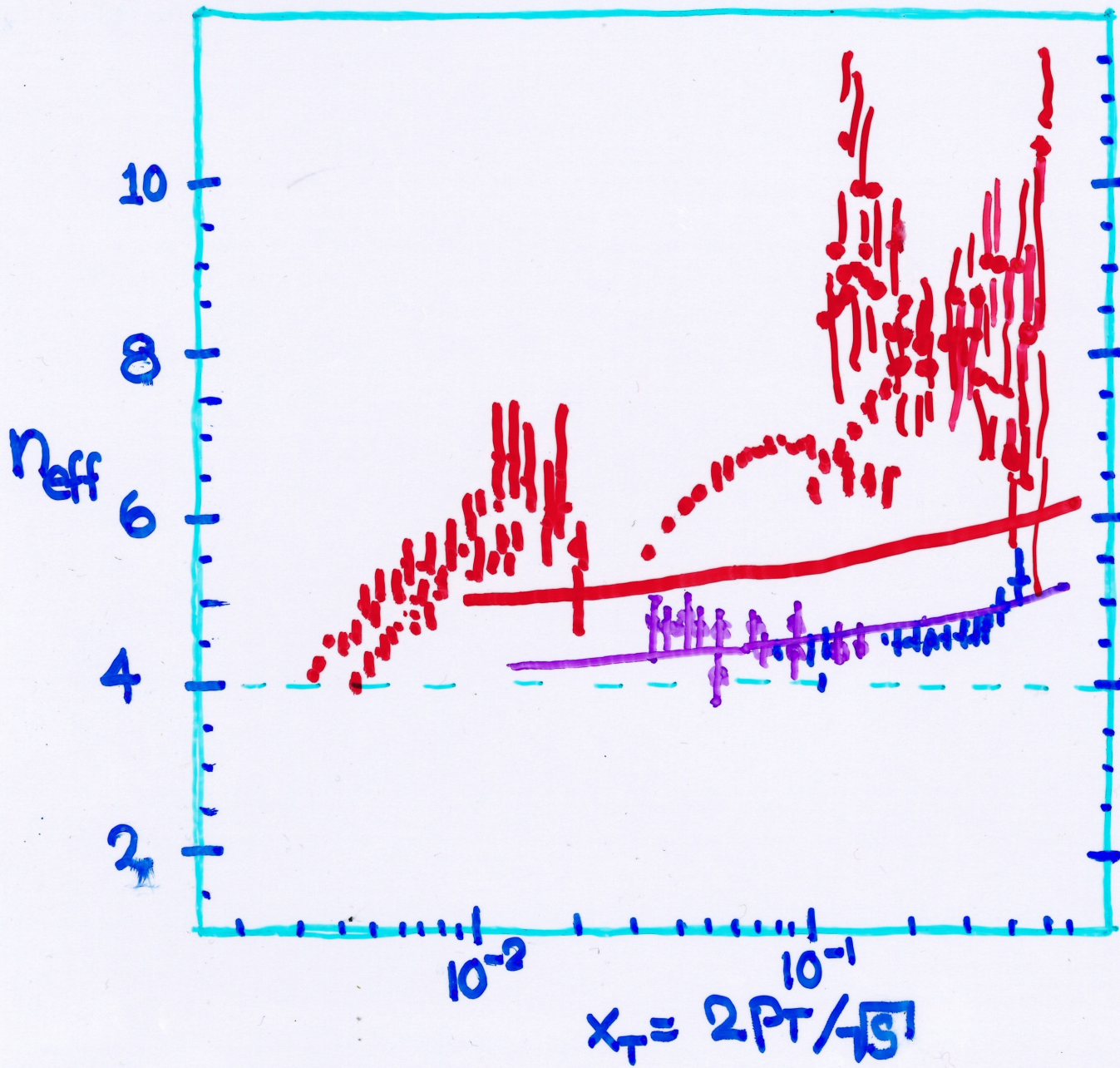
for large p_T detected pion

The pseudo-observables $\langle \delta b_{TN}^a \rangle$, $\langle \delta p_{TN}^c \rangle$... normalize single-spin observables from spin/orbit dynamics both in TMD formalism and in collinear twist formalism.



$pp \rightarrow \text{jets}$
 $pp \rightarrow \gamma$

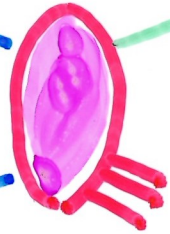
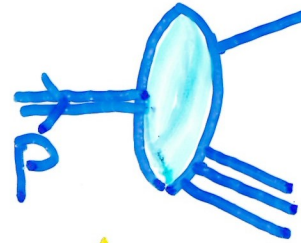
— leading twist
 QCD



pp $\rightarrow \pi$
 — leading twist QCD

pp \rightarrow jets
 pp $\rightarrow \gamma$

— leading twist QCD



$$h = \pi^{\pm} \pi^0 K^{\pm} K^0 \eta \dots$$

Hard scattering
 $p \uparrow p \rightarrow h X$

In kinematic regimes where $A_N d\sigma$ measured it is not appropriate to assume leading-twist QCD processes dominate meson production

Sivers, Brodsky, Blankenbecler - Phys. Rep (C1M)

Benger, Gottschalk, Sivers Phys Rev D23

$$d\sigma(Gq \Rightarrow \pi q) + d\sigma(qq \Rightarrow \pi G) \geq d\sigma(qG \Rightarrow qG) + d\sigma(qq \Rightarrow qq)$$

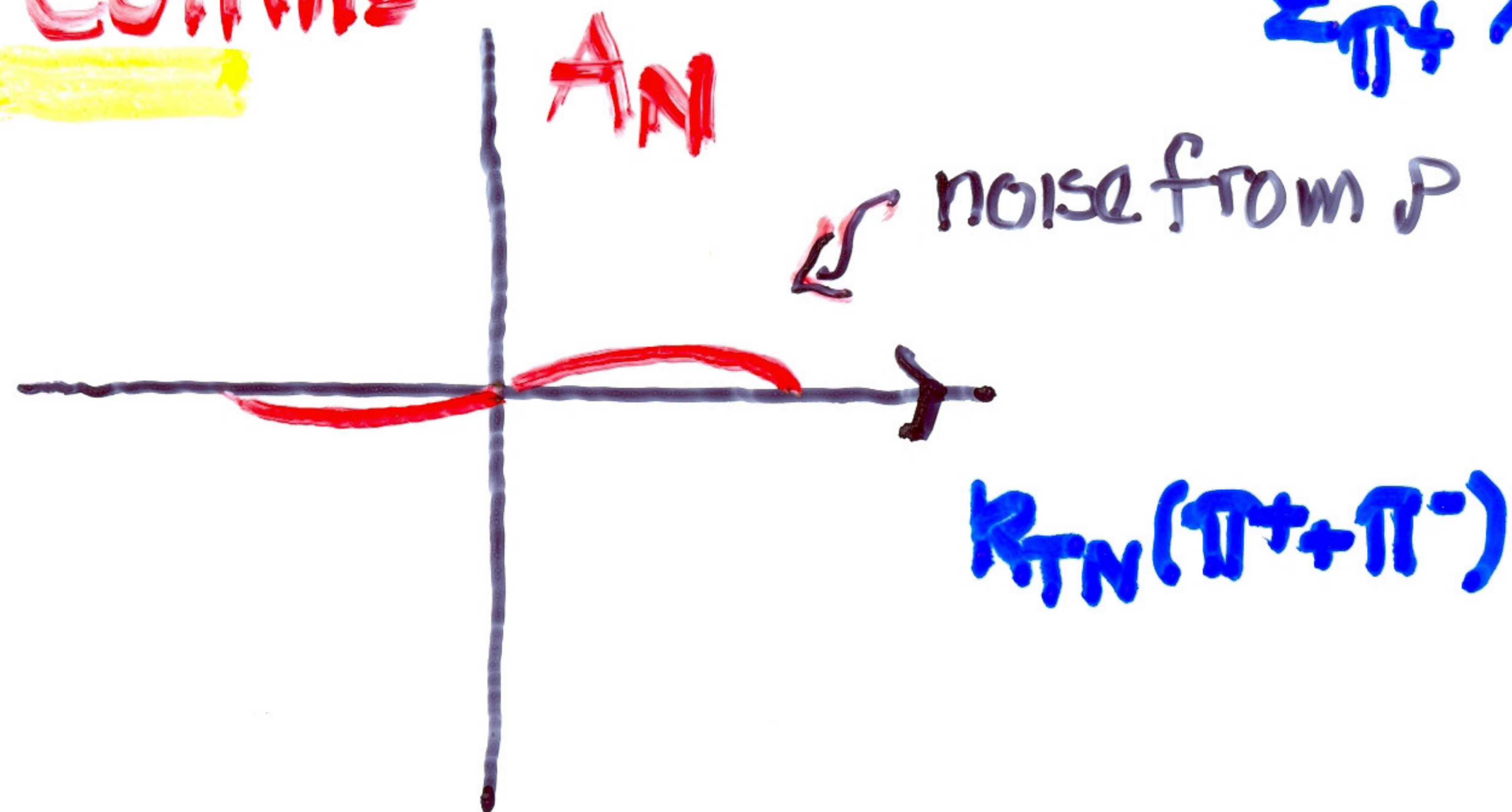
$x_T \geq 0.3$ $p_T \leq 6 \text{ GeV}/c$ This agrees with $\langle N_{eff} \rangle$ analyses (Brodsky + collaborators)

Fragmentation Dynamics & separation of Collins-Happelman mech. from Orbital distns.

$\pi^+ \pi^-$ correlations

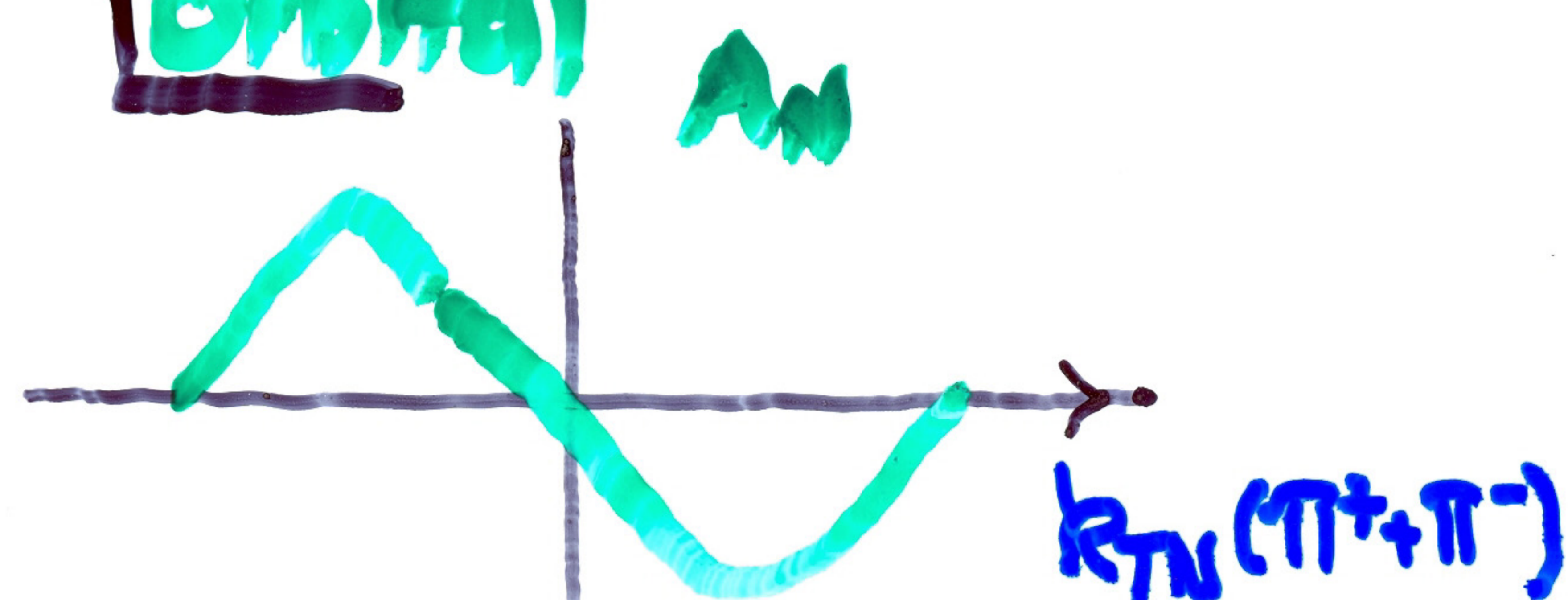
in hadron-hadron

Collins

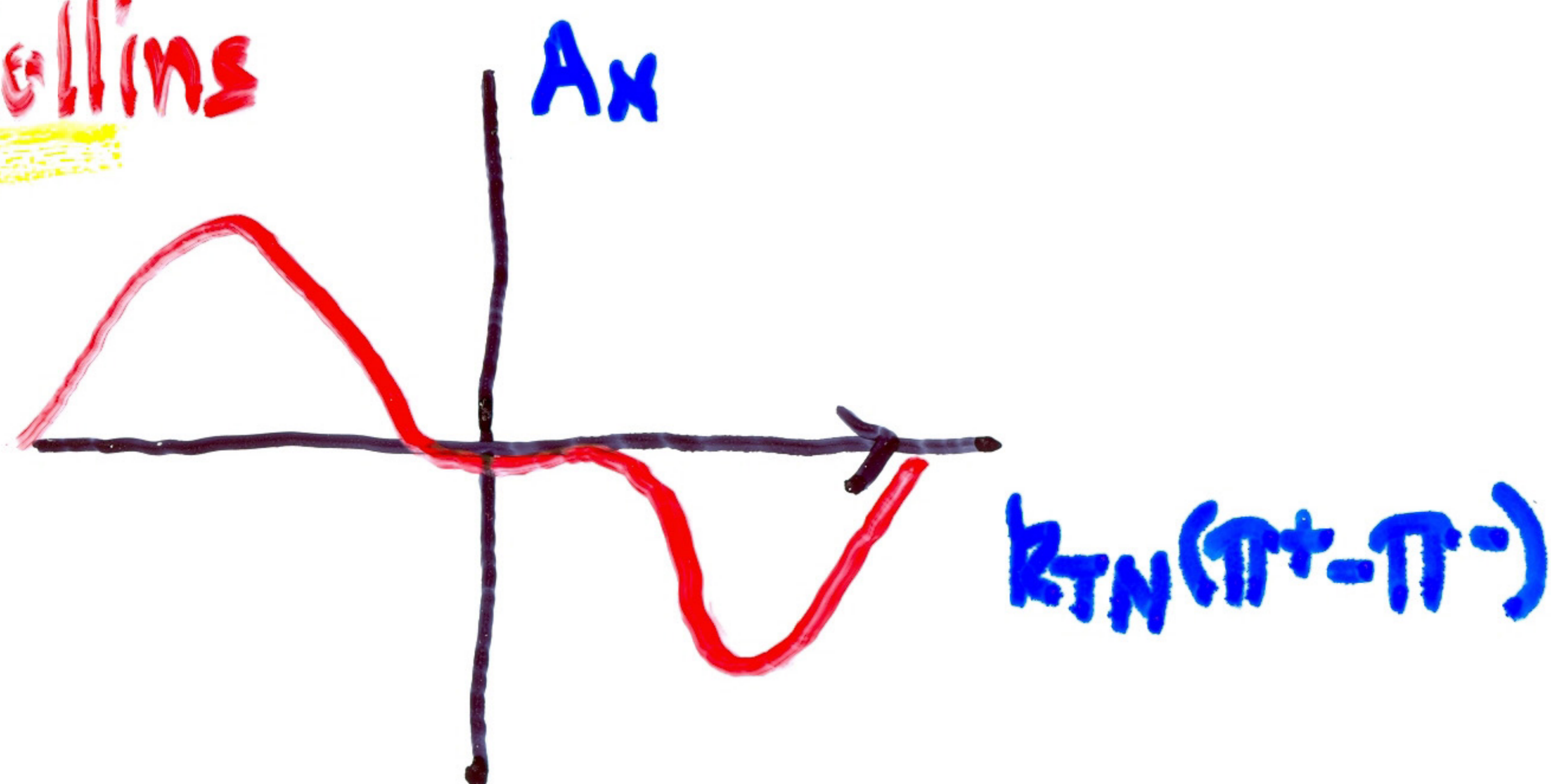


$$z_{\pi^+} > z_{\pi^-}$$

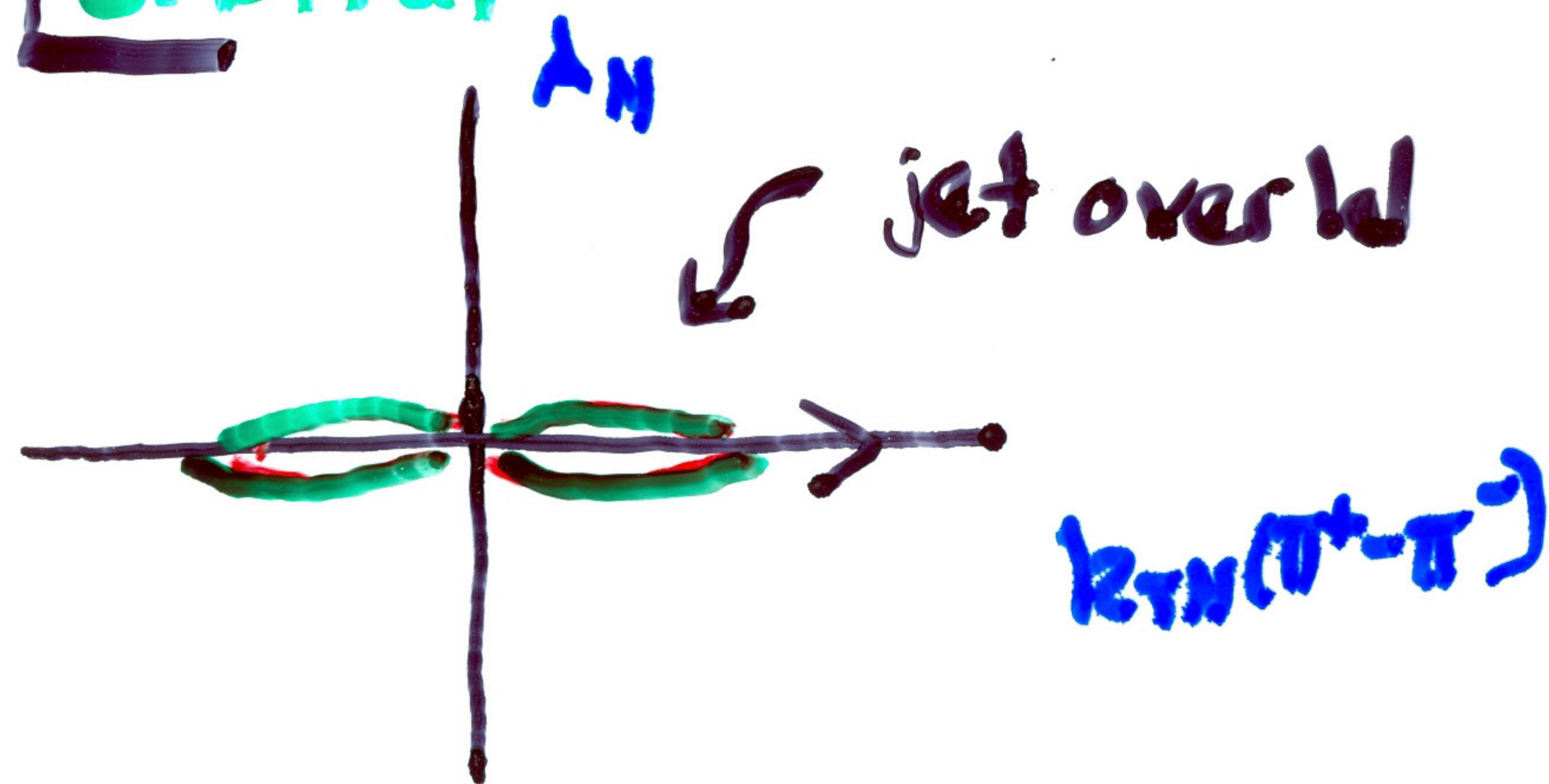
Orbital



Collins



Orbital



depends only on properties of fragmentation