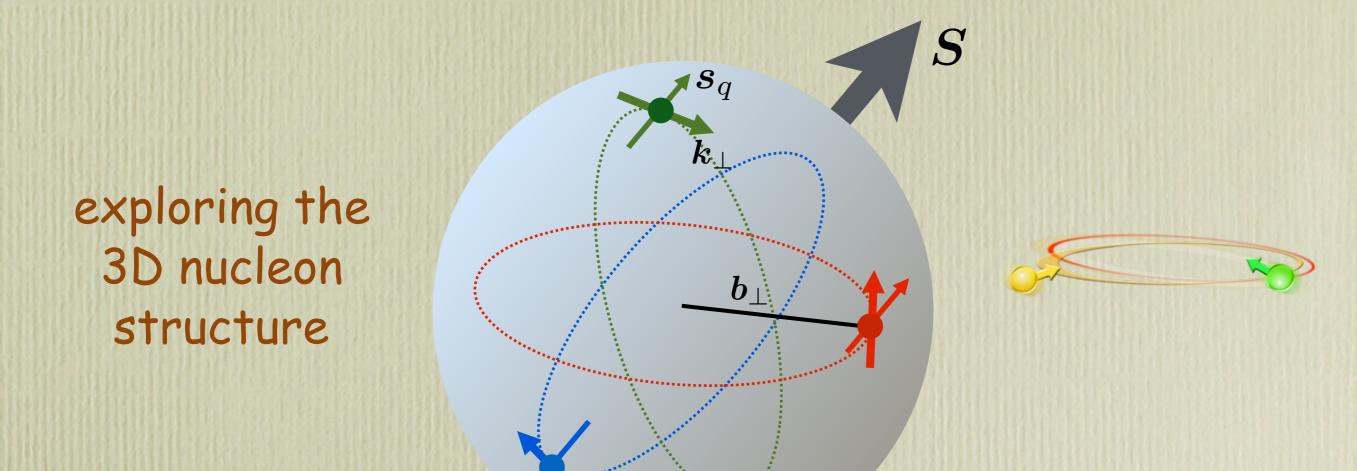
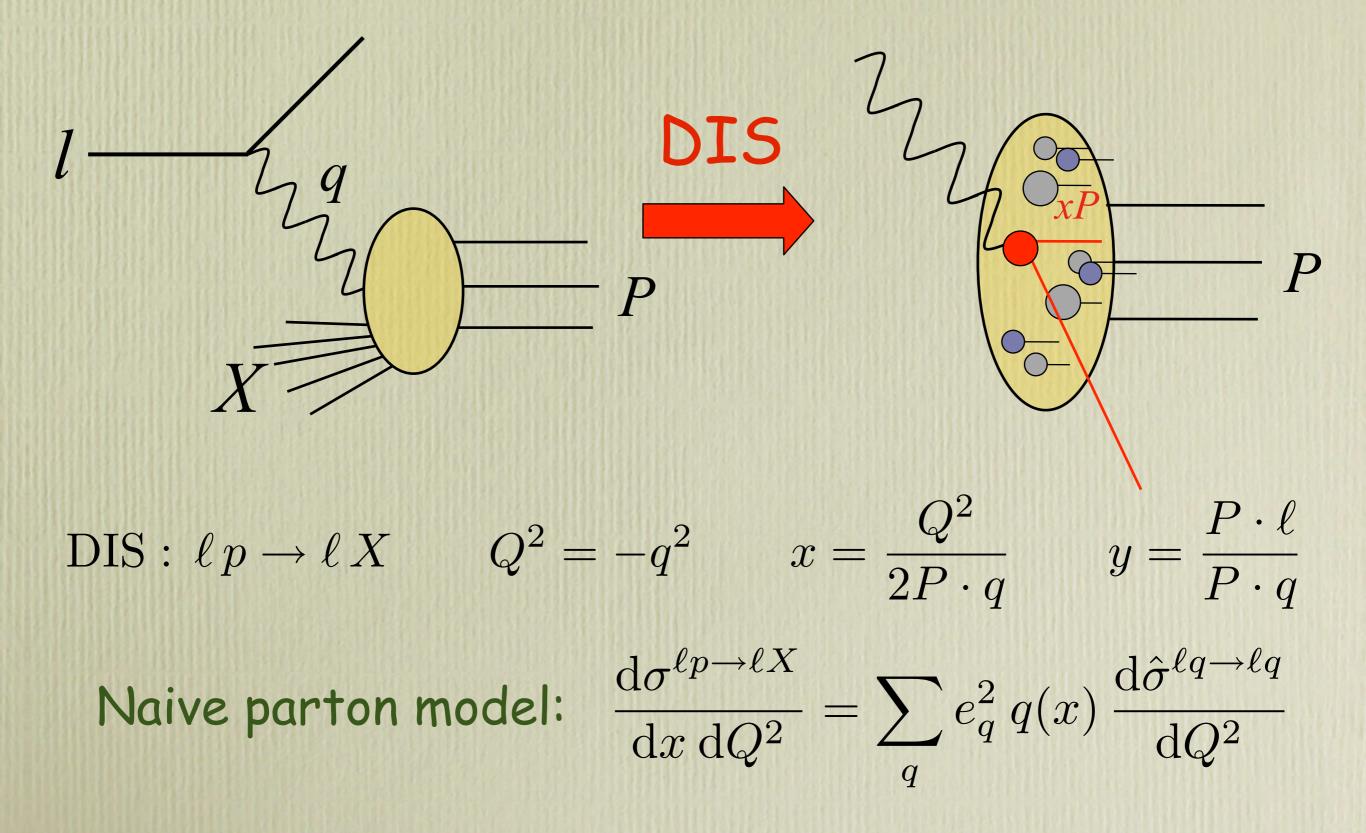
Introduction to orbital effects in hard scattering Mauro Anselmino - Torino University & INFN

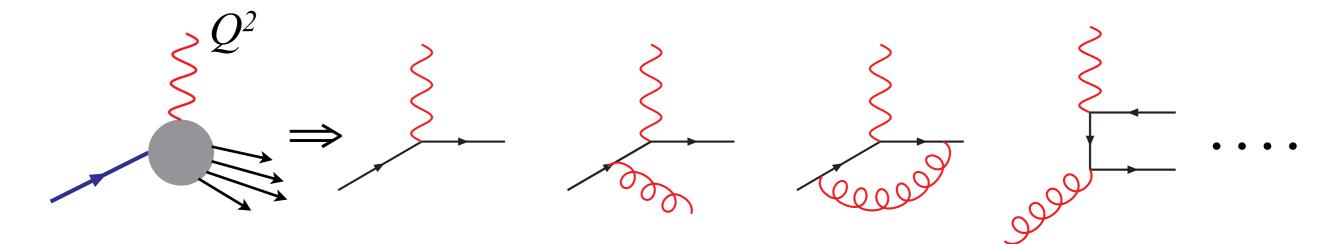


3D Parton Distributions: path to the LHC LNF, 29/11 - 2/12, 2016

usual (successful) way of exploring the proton structure (collinear parton model)

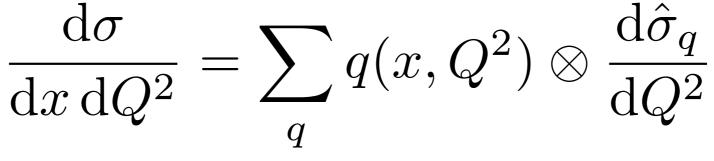


QCD interactions induce a well known Q² dependence



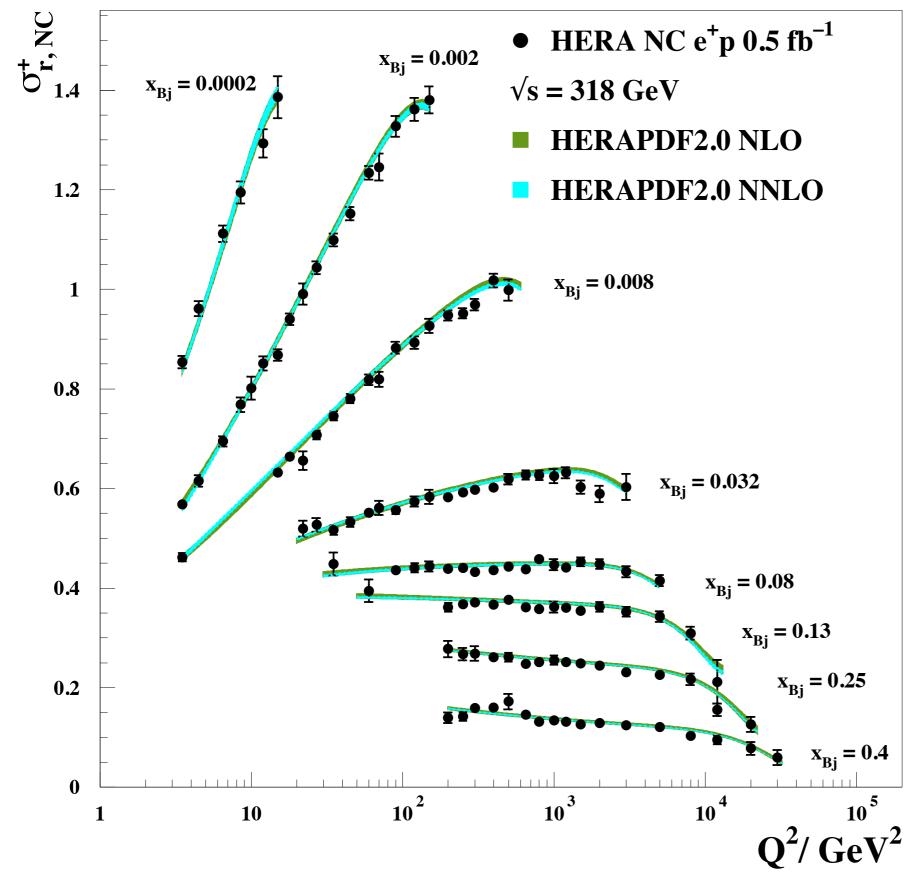
DIS – pQCD :
$$q(x) \Rightarrow \underline{q(x, Q^2)}_{PDFs}$$

factorization:



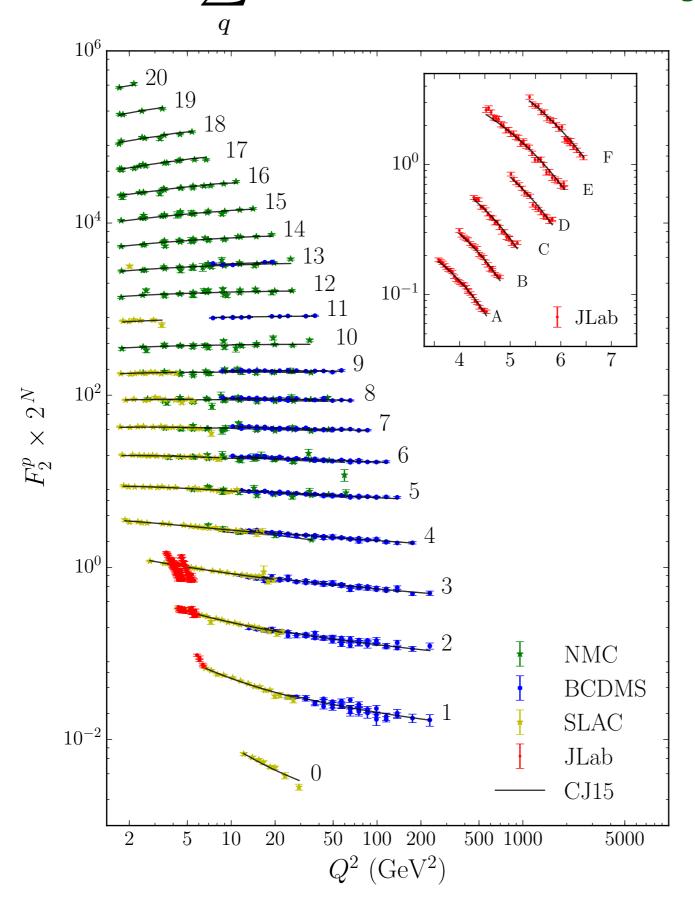
universality: same $q(x,Q^2)$ measured in DIS can be used in other processes

H1 and ZEUS



$$\sigma_{r,\text{NC}}^{\pm} = \frac{\mathrm{d}^2 \sigma_{\text{NC}}^{e^{\pm}p}}{\mathrm{d}x_{\text{Bj}} \mathrm{d}Q^2} \cdot \frac{Q^4 x_{\text{Bj}}}{2\pi \alpha^2 Y}$$
$$Y_{\pm} = 1 \pm (1 - y)^2$$

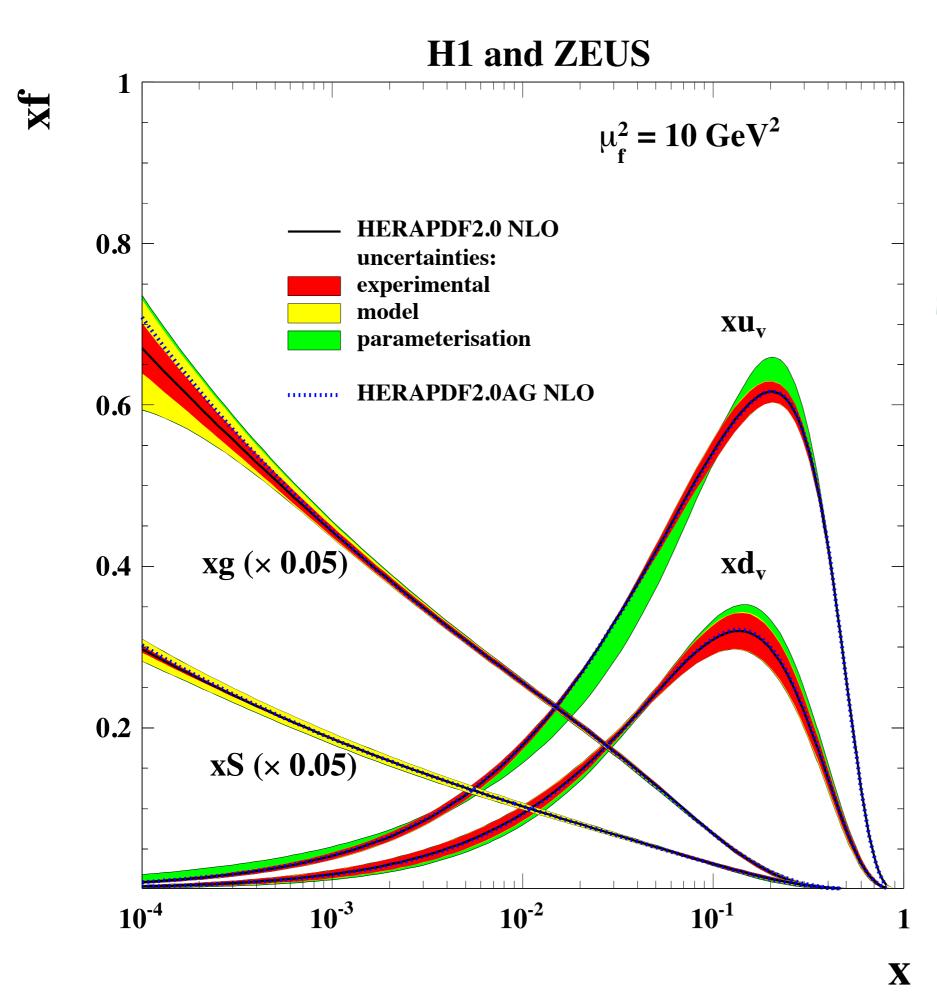
Eur. Phys. J. C75 (2015) 580 $F_2 = \sum x q(x, Q^2)$ from M. Pennington, arXiv:1604.01441



N	X	
0	0.85	
1	0.74	
2	0.65	
3	0.55	
4	0.45	
5	0.34	
6	0.28	
7	0.23	
8	0.18	
9	0.14	
10	0.11	
11	0.10	
12	0.09	
13	0.07	
14	0.05	
15	0.04	
16	0,026	
17	0,018	
18	0,013	
19	0,008	
20	0,005	

JLab insert

Ι	0	Ν
A	38°	0
В	41°	1
С	45°	2
D	55°	3
E	60°	4
F	70°	5



unpolarized distribution $xf_a(x,Q^2)$

H. Abramowicz et al., Eur. Phys. J. C75 (2015) 580

> PDFs are very useful, but do we really know the partonic nucleon structure?

despite 50 years of studies the nucleon is still a very mysterious object, and the most abundant piece of matter in the visible Universe

 $10^{-15} \,\mathrm{m}$

6

())

 $\leq 10^{-19} \,\mathrm{m}$

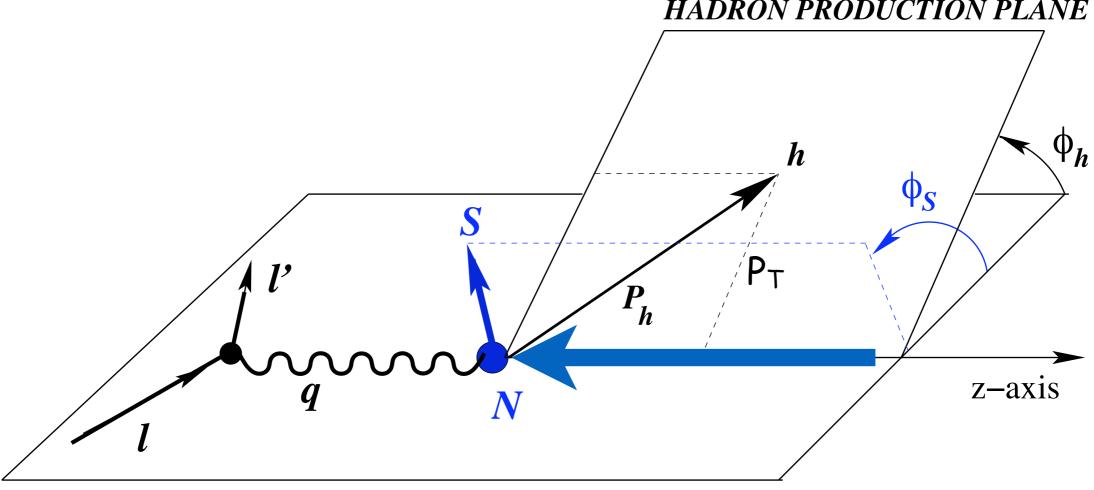
parton intrinsic motion spin-k_ correlations? orbiting quarks? spatial distribution? nucleon mass?

 $10^{-14} \,\mathrm{m}$

 $10^{-10} \,\mathrm{m}$

which processes are sensitive to parton intrinsic motion?

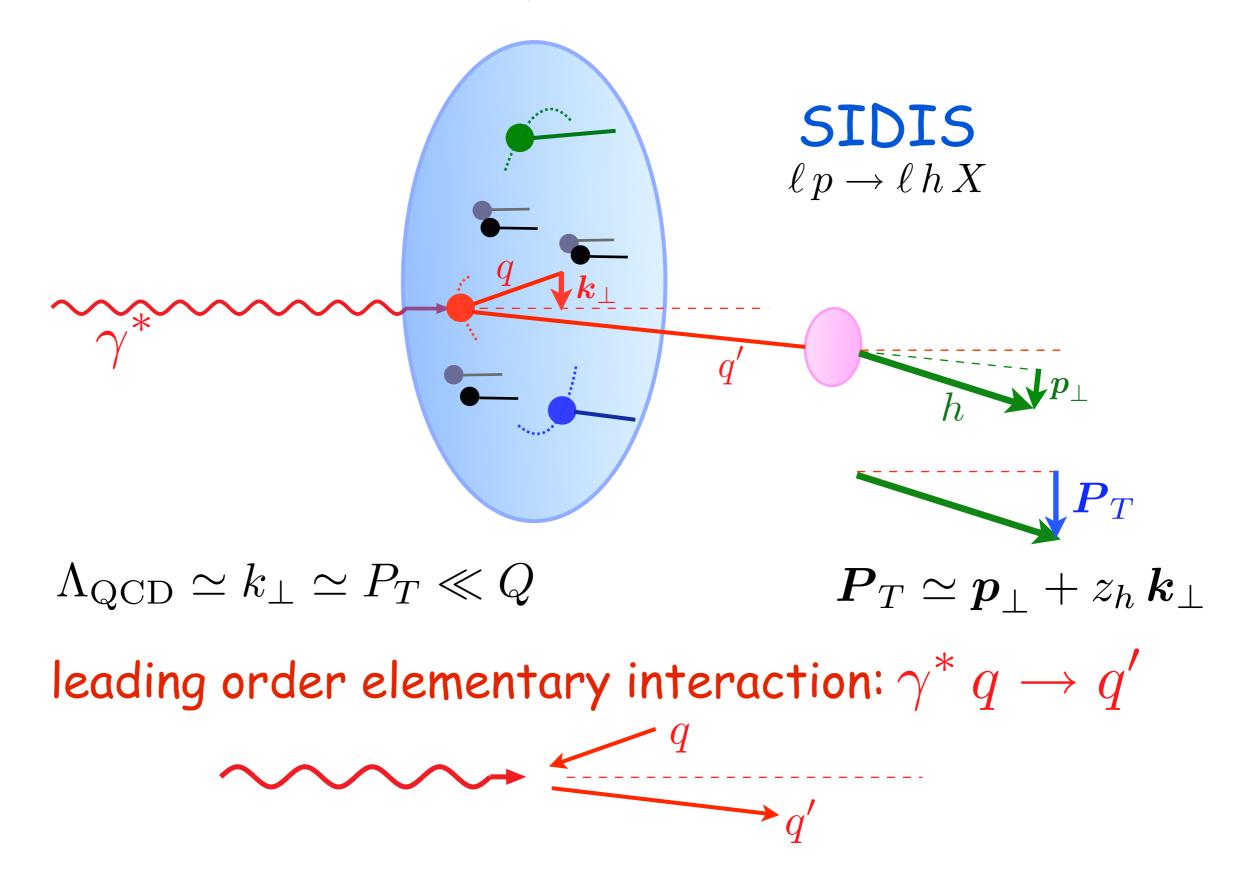


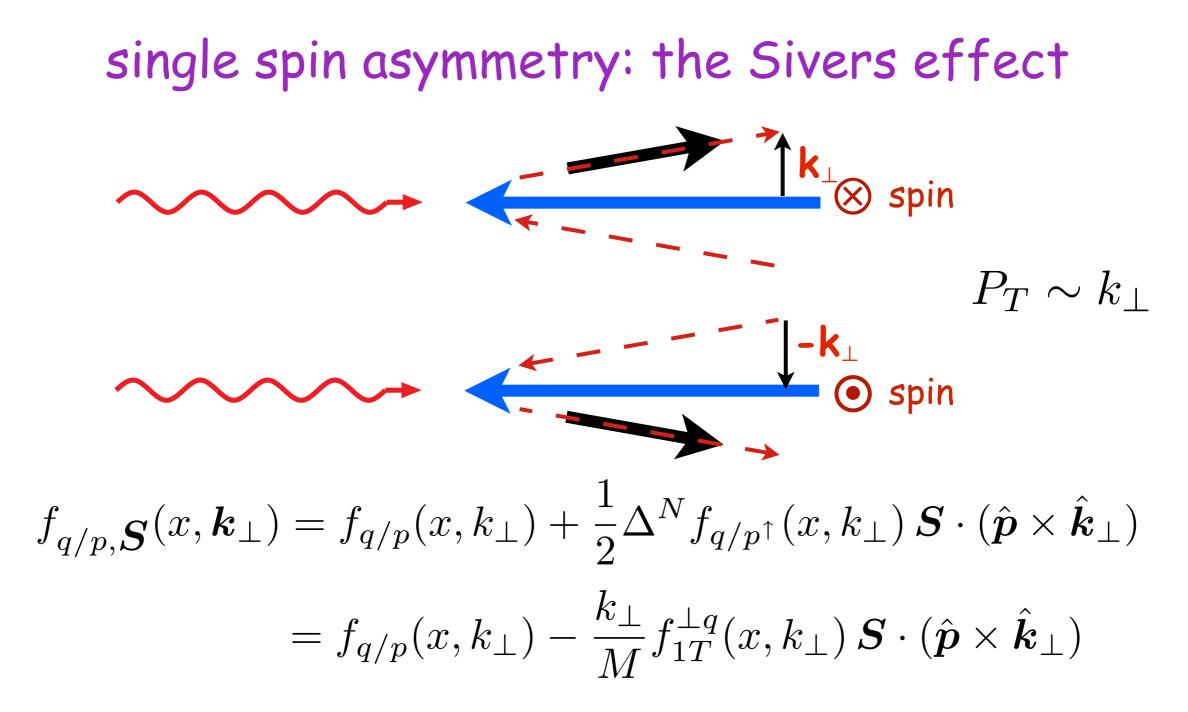


LEPTON SCATTERING PLANE

 P_T and azimuthal dependences generated by parton transverse (orbital) motion and spin and nucleon spin

P_T dependence

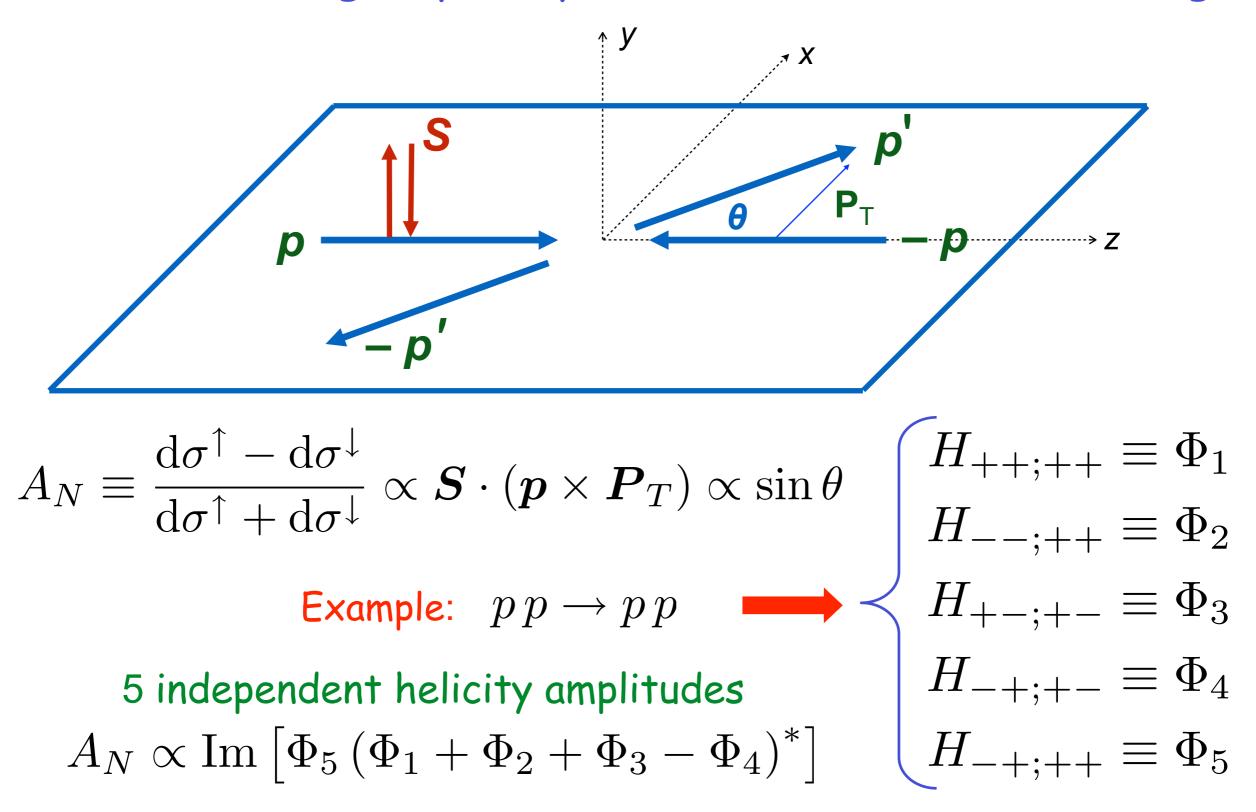




single spin asymmetry for the process $\ell p^{\uparrow} \to \ell h X$

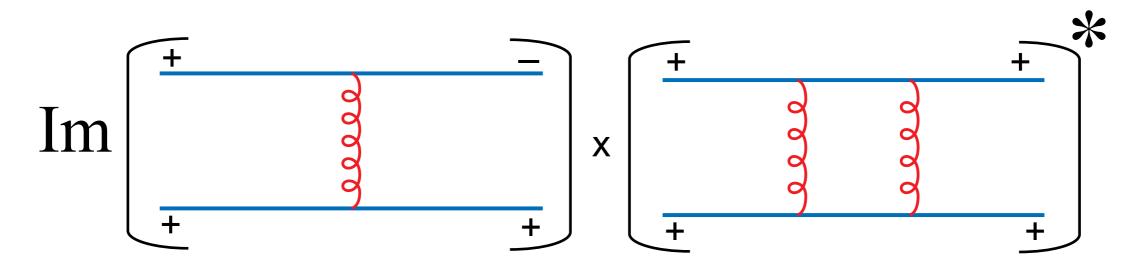
the spin- \mathbf{k}_{\perp} correlation is an intrinsic property of the nucleon; it should be related to the parton orbital motion

Single Spin Asymmetries from elementary dynamics? Transverse single spin asymmetries in elastic scattering

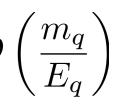


Single spin asymmetries at partonic level $(q q' \rightarrow q q') \quad \ell q \rightarrow \ell q)$

 $A_N \neq 0$ needs helicity flip + relative phase



QED and QCD interactions conserve helicity, up to corrections $\mathcal{O}\left(\frac{m_q}{E_r}\right)$



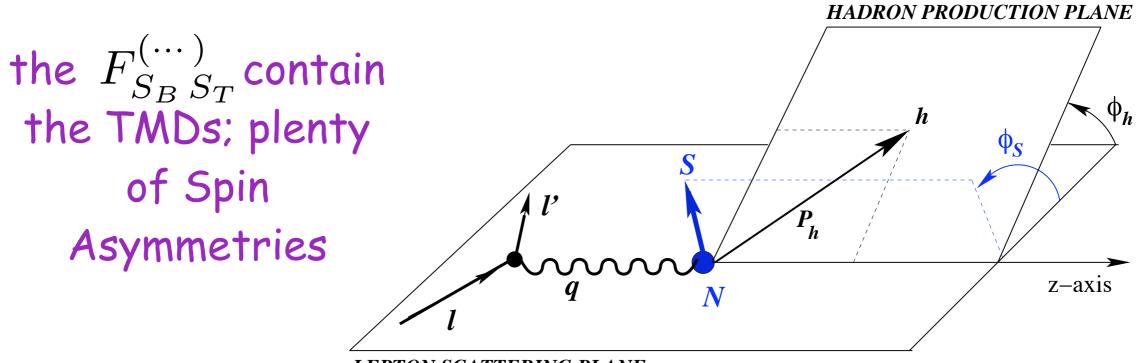
$$\implies A_N \propto rac{m_q}{E_q} \, lpha_s \;\;$$
 at quark level

large SSA observed at hadron level are not generated in elementary QED or QCD interactions

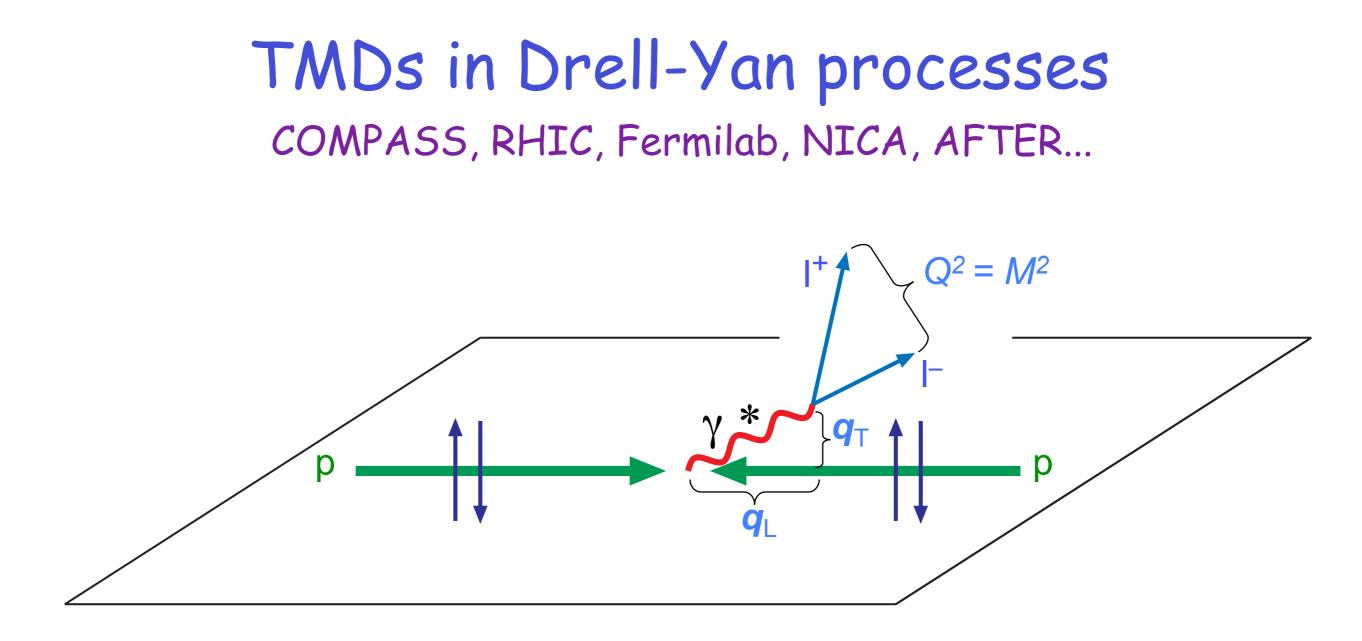
TMDs in SIDIS Q^2 Q^2 hh $\mathrm{d}^{6}\sigma \equiv \frac{\mathrm{d}^{6}\sigma^{\ell p^{\uparrow} \to \ell h X}}{\mathrm{d}x_{B} \,\mathrm{d}Q^{2} \,\mathrm{d}z_{h} \,\mathrm{d}^{2}\boldsymbol{P}_{T} \,\mathrm{d}\phi_{S}}$ \boldsymbol{q} $oldsymbol{P}_T = oldsymbol{p}_\perp + zoldsymbol{k}_\perp$ p, S p, STMD factorization holds at large Q^2 , and $P_T \approx k_\perp \approx \Lambda_{QCD}$ Two scales: $P_T \ll Q^2$ hard scattering TMD-PDFs TMD-FFs $=\sum (f_q(x, \boldsymbol{k}_{\perp}; Q^2)) \otimes d\hat{\sigma}^{\ell q \to \ell q}(y, \boldsymbol{k}_{\perp}; Q^2)) \otimes D_q^h(z, \boldsymbol{p}_{\perp}; Q^2) \otimes D_q^h(z, \boldsymbol{p}_{\perp}; Q^2) \otimes D_q^h(z, \boldsymbol{p}_{\perp}; Q^2)) \otimes D_q^h(z, \boldsymbol{p}_{\perp}; Q^2) \otimes D_q^h(z, \boldsymbol{p}_{\perp}; Q^2$

(Collins, Soper, Ji, J.P. Ma, Yuan, Qiu, Vogelsang, Collins, Metz...) (talks by Bacchetta, Martin, D'Alesio, Gamberg, Schnell, Boer,)

$$\begin{split} \frac{\mathrm{d}\sigma}{\mathrm{d}\phi} &= F_{UU} + \cos(2\phi) \, F_{UU}^{\cos(2\phi)} + \frac{1}{Q} \, \cos\phi \, F_{UU}^{\cos\phi} + \lambda \frac{1}{Q} \, \sin\phi \, F_{LU}^{\sin\phi} \\ &+ S_L \left\{ \sin(2\phi) \, F_{UL}^{\sin(2\phi)} + \frac{1}{Q} \, \sin\phi \, F_{UL}^{\sin\phi} + \lambda \left[F_{LL} + \frac{1}{Q} \, \cos\phi \, F_{LL}^{\cos\phi} \right] \right\} \\ &+ S_T \left\{ \frac{\sin(\phi - \phi_S) \, F_{UT}^{\sin(\phi - \phi_S)} + \sin(\phi + \phi_S) \, F_{UT}^{\sin(\phi + \phi_S)} + \sin(3\phi - \phi_S) \, F_{UT}^{\sin(3\phi - \phi_S)} \\ &+ \frac{1}{Q} \left[\sin(2\phi - \phi_S) \, F_{UT}^{\sin(2\phi - \phi_S)} + \sin\phi_S \, F_{UT}^{\sin\phi_S} \right] \\ &+ \lambda \left[\cos(\phi - \phi_S) \, F_{LT}^{\cos(\phi - \phi_S)} + \frac{1}{Q} \left(\cos\phi_S \, F_{LT}^{\cos\phi_S} + \cos(2\phi - \phi_S) \, F_{LT}^{\cos(2\phi - \phi_S)} \right) \right] \right\} \end{split}$$

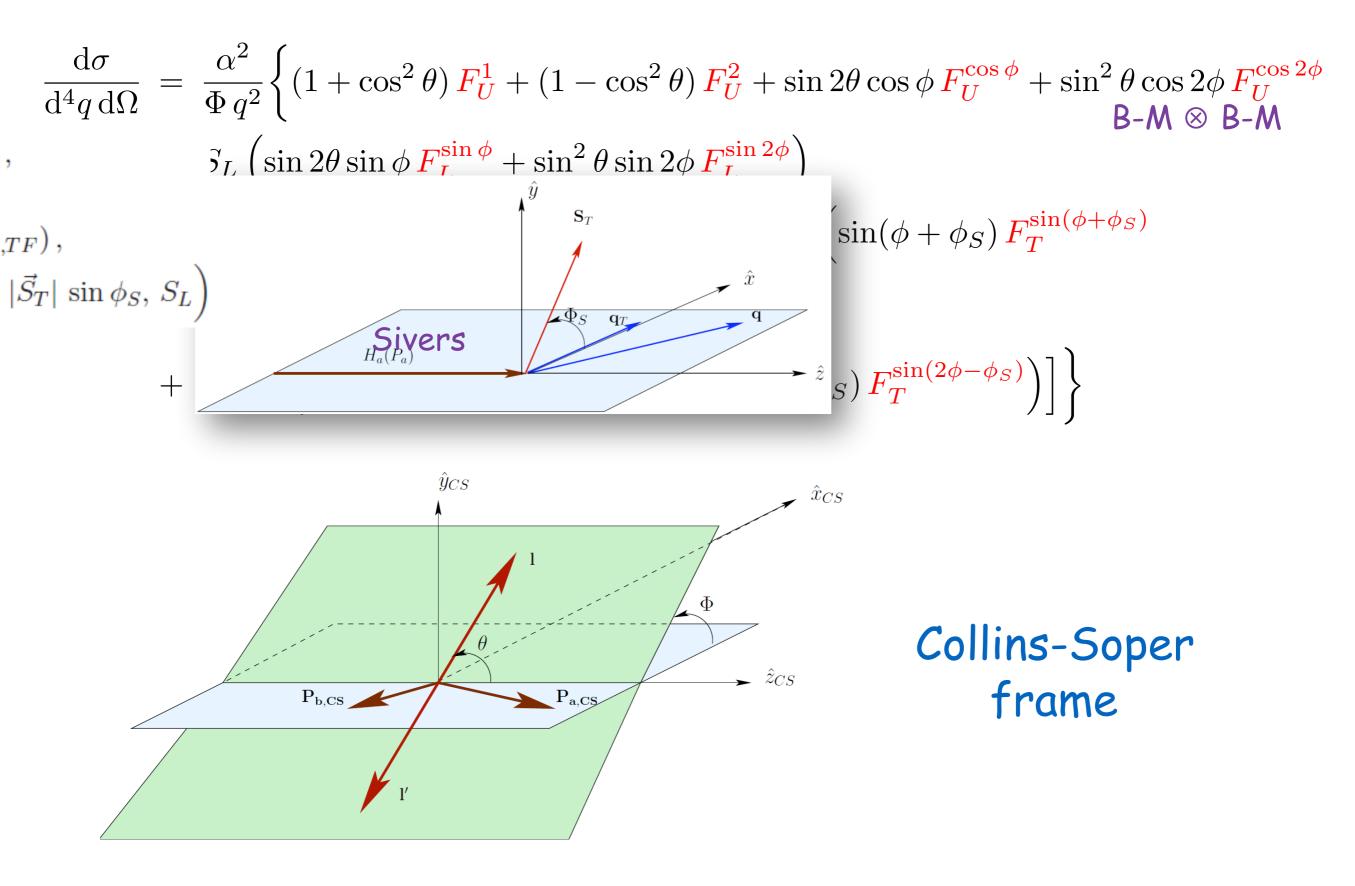


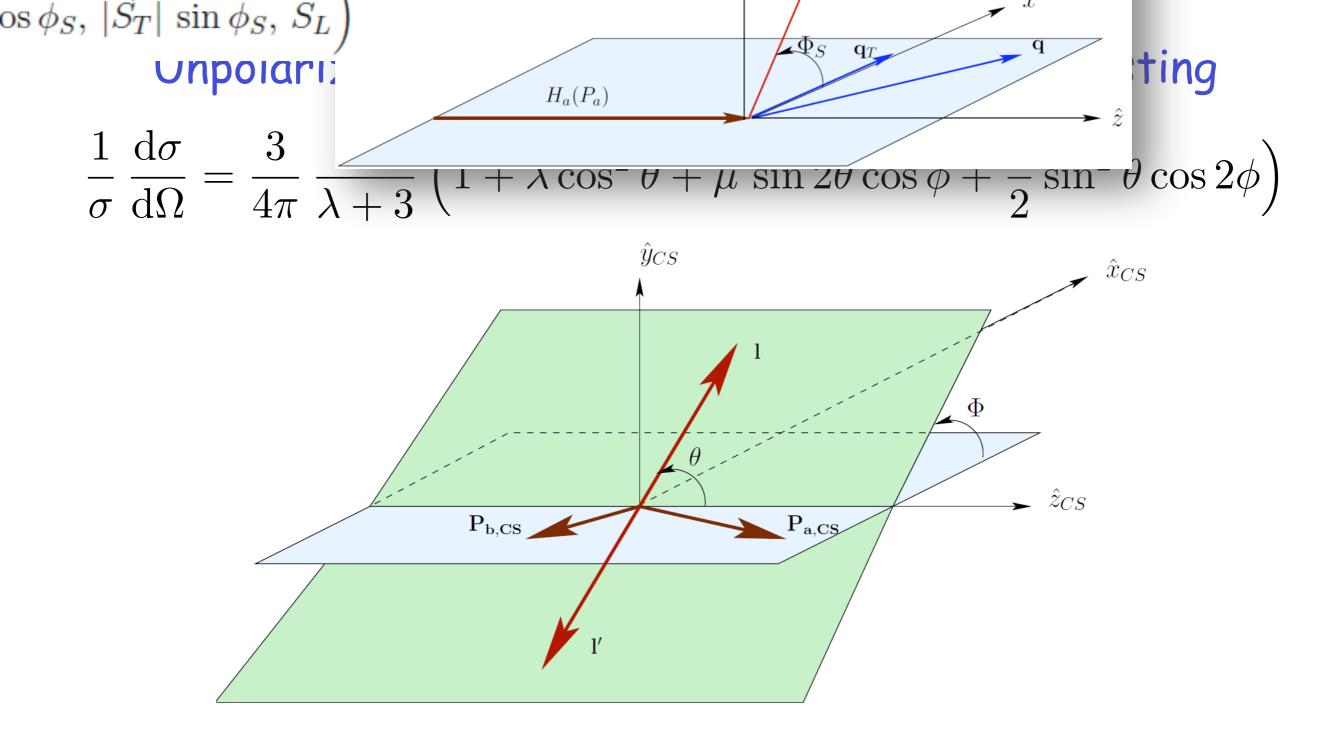
LEPTON SCATTERING PLANE



factorization holds, two scales, M², and $q_{T} \ll M$ $d\sigma^{D-Y} = \sum_{a} f_q(x_1, \mathbf{k}_{\perp 1}; Q^2) \otimes f_{\bar{q}}(x_2, \mathbf{k}_{\perp 2}; Q^2) d\hat{\sigma}^{q\bar{q} \rightarrow \ell^+ \ell^-}$ direct product of TMDs no fragmentation process (talks by Peng, Lorenzon, Quintans, Vogelsang)

Case of one polarized nucleon only





Collins-Soper frame

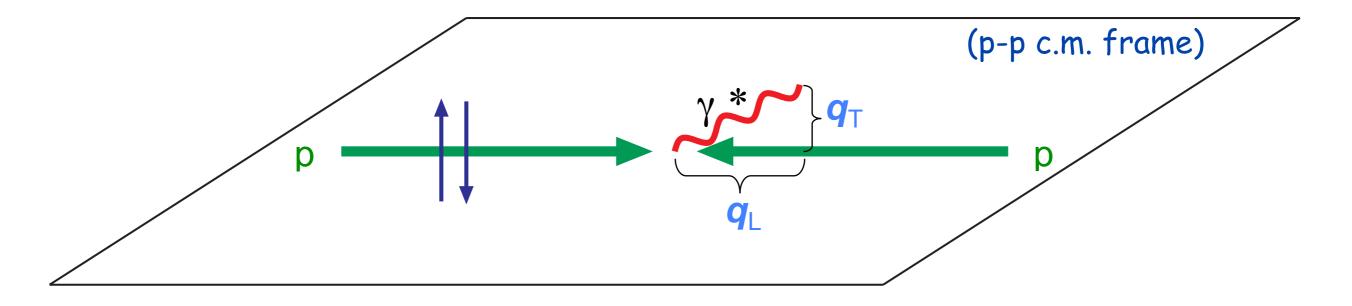
naive collinear parton model: $\lambda = 1$ $\mu = \nu = 0$

Sivers effect in D-Y processes

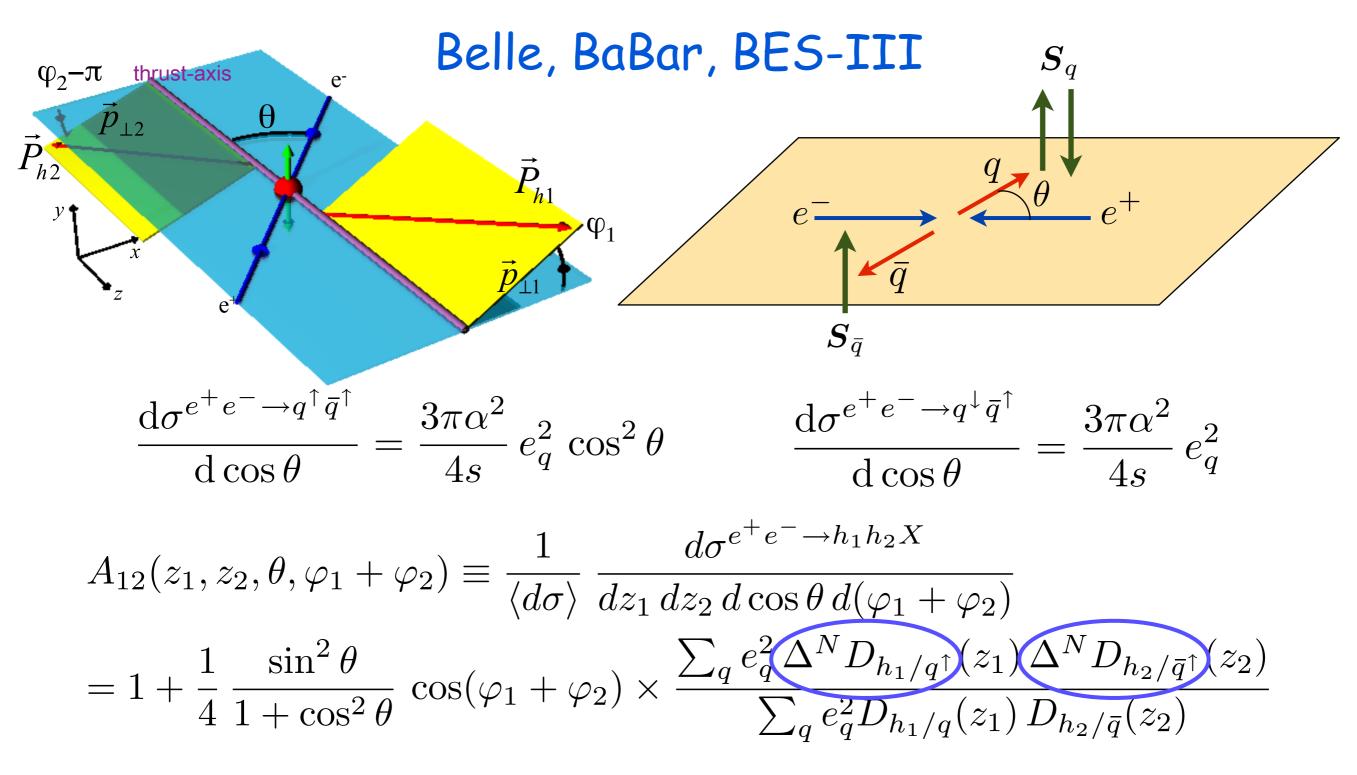
By looking at the $d^4\sigma/d^4q$ cross section one can single out the Sivers effect in D-Y processes

$$d\sigma^{\uparrow} - d\sigma^{\downarrow} \propto \sum_{q} \Delta^{N} f_{q/p^{\uparrow}}(x_{1}, \boldsymbol{k}_{\perp 1}) \otimes f_{\bar{q}/p}(x_{2}, \boldsymbol{k}_{\perp 2}) \otimes d\hat{\sigma}$$
$$q = u, \bar{u}, d, \bar{d}, s, \bar{s}$$

$$A_{N}^{\sin(\phi_{S}-\phi_{\gamma})} \equiv \frac{2\int_{0}^{2\pi} \mathrm{d}\phi_{\gamma} \left[\mathrm{d}\sigma^{\uparrow} - \mathrm{d}\sigma^{\downarrow}\right] \sin(\phi_{S} - \phi_{\gamma})}{\int_{0}^{2\pi} \mathrm{d}\phi_{\gamma} \left[\mathrm{d}\sigma^{\uparrow} + \mathrm{d}\sigma^{\downarrow}\right]}$$



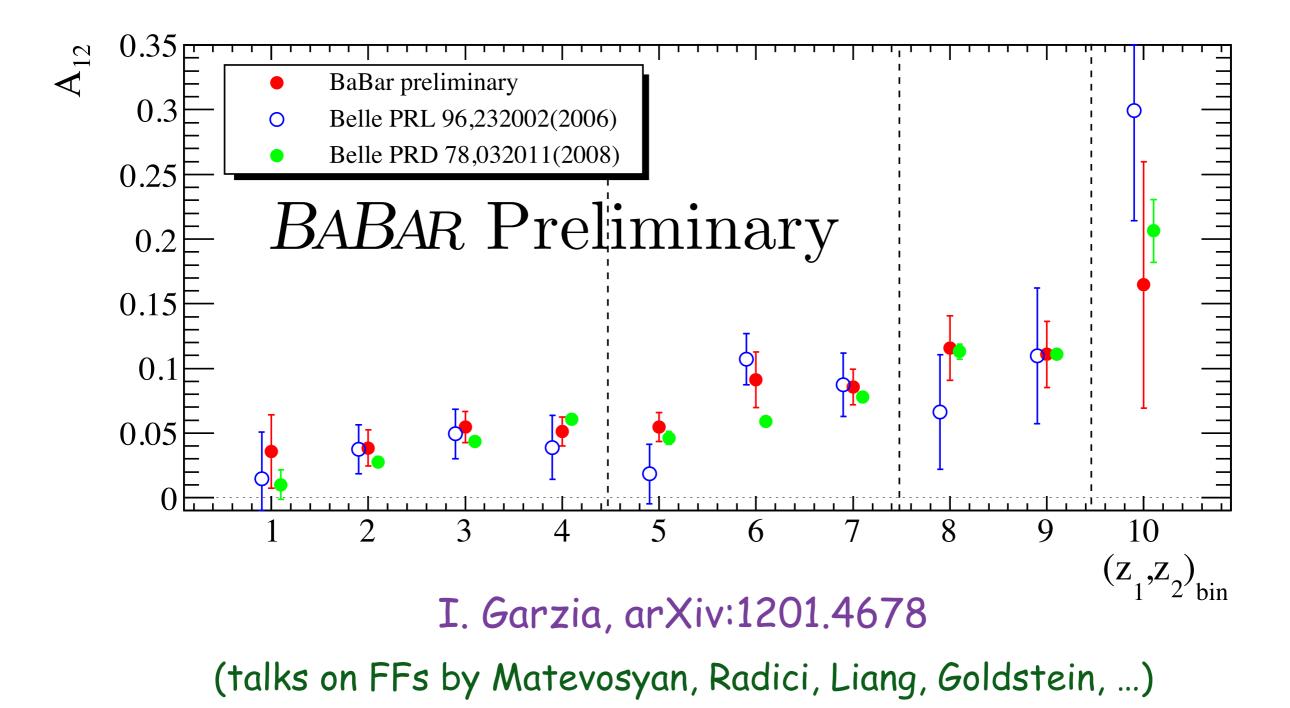
Transverse motion of hadrons in fragmentation processes Collins function from e^+e^- processes



another similar asymmetry can be measured, A₀

independent evidence for Collins effect from e⁺e⁻ data at Belle, BaBar and BES-III

$$A_{12}(z_1, z_2) \sim \Delta^N D_{h_1/q^{\uparrow}}(z_1) \otimes \Delta^N D_{h_2/\bar{q}^{\uparrow}}(z_2)$$



Some (effects of) TMDs have been clearly measured, TMDs have been extracted from data

 $f_1^q(x, {m k}_{\perp}^2)$ unpolarised quarks in unpolarised protons unintegrated unpolarised distribution

 $f_{1T}^{\perp q}(x, {m k}_{\perp}^2)$ Sivers function: correlate ${m k}_{\perp}$ of quark with ${m S}_{m T}$ of parent proton

 $H_1^{\perp q}(z, p_{\perp}^2)$ Collins function: correlate \mathbf{p}_{\perp} of hadron and $\mathbf{s}_{ au}$ of fragmenting quark

and even some first 3D nucleon imaging is available, but do we know better the orbital motion of quarks and gluons inside the nucleon? Is there a direct access to parton angular momentum? Sivers function and angular momentum

> Ji's sum rule forward limit of GPDs

 $J^{q} = \frac{1}{2} \int_{0}^{1} dx \, x \left[H^{q}(x,0,0) + E^{q}(x,0,0) \right]$ usual PDF q(x) cannot be measured directly

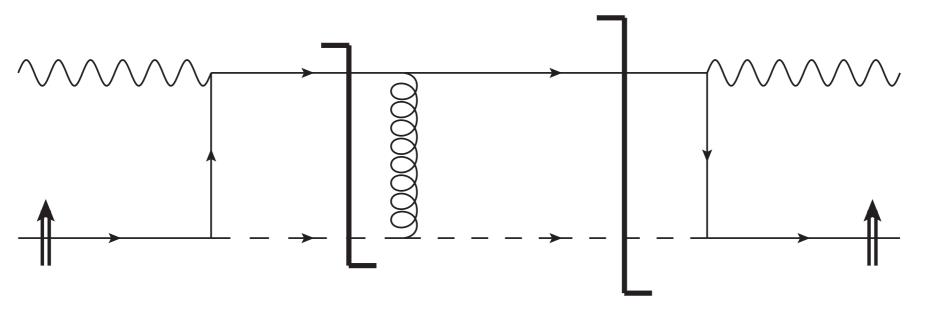
anomalous magnetic moments $\kappa^{p} = \int_{0}^{1} \frac{dx}{3} \left[2E^{u_{v}}(x,0,0) - E^{d_{v}}(x,0,0) - E^{s_{v}}(x,0,0) \right]$ $\kappa^{n} = \int_{0}^{1} \frac{dx}{3} \left[2E^{d_{v}}(x,0,0) - E^{u_{v}}(x,0,0) - E^{s_{v}}(x,0,0) \right]$ $(E^{q_{v}} = E^{q} - E^{\bar{q}})$ (talk on GPDs by Dupré)

Sivers function and angular momentum assume $f_{1T}^{\perp(0)a}(x;Q_L^2) = -L(x)E^a(x,0,0;Q_L^2)$ $f_{1T}^{\perp(0)a}(x,Q) = \int d^2 \mathbf{k}_{\perp} \, \hat{f}_{1T}^{\perp a}(x,k_{\perp};Q)$ L(x) = lensing function(unknown, can be computed in models) parameterise Sivers and lensing functions fit SIDIS and magnetic moment data obtain E^q and estimate total angular momentum results at $Q^2 = 4 \text{ GeV}^2$: $J^u \approx 0.23$, $J^{q\neq u} \approx 0$ Bacchetta, Radici, PRL 107 (2011) 212001

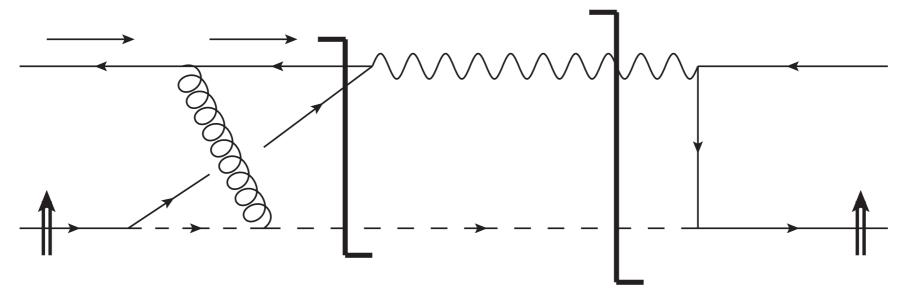
(talk by Burkardt)

Examples and interpretation of the Sivers function: simple quark-scalar diquark model of the proton

SIDIS final state interactions ($\Rightarrow A_N$)

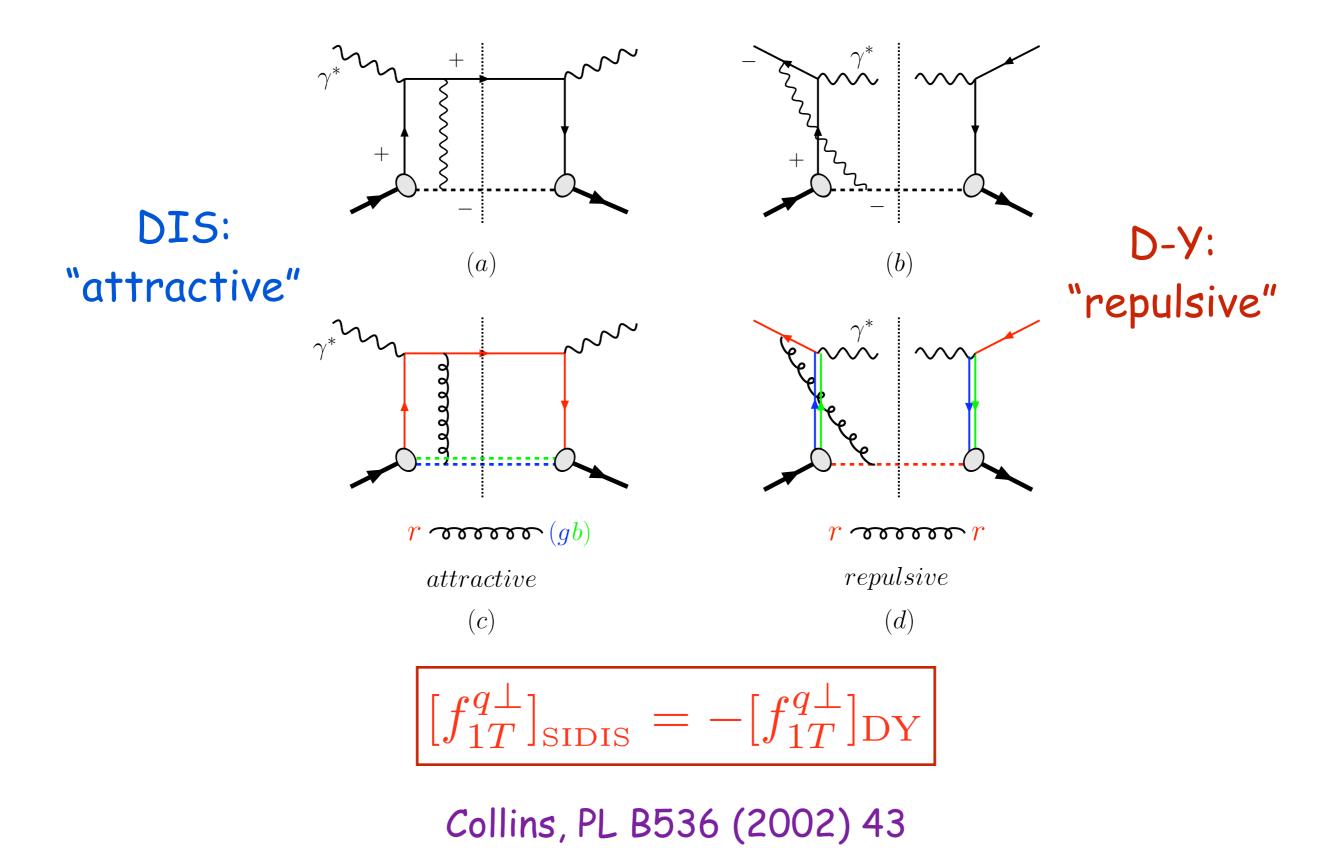


D-Y initial state interactions (\Rightarrow -A_N)

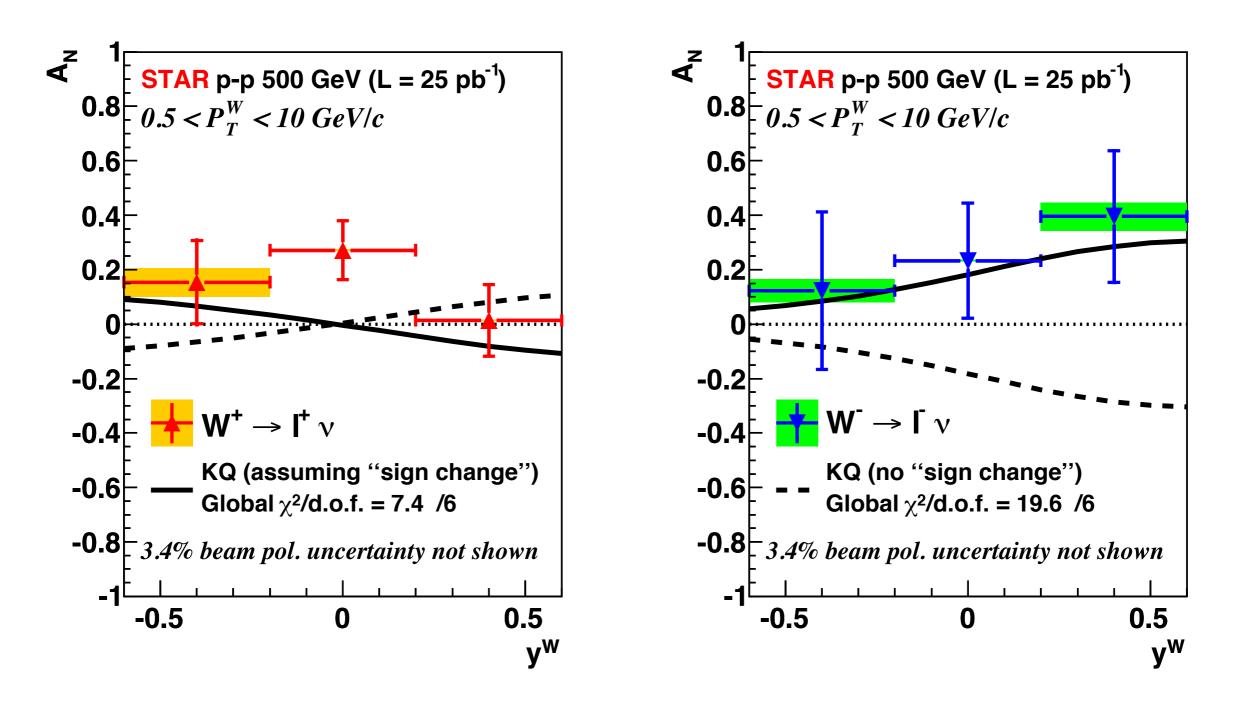


Brodsky, Hwang, Schmidt, PL B530 (2002) 99; NP B642 (2002) 344 Brodsky, Hwang, Kovchegov, Schmidt, Sievert, PR D88 (2013) 014032

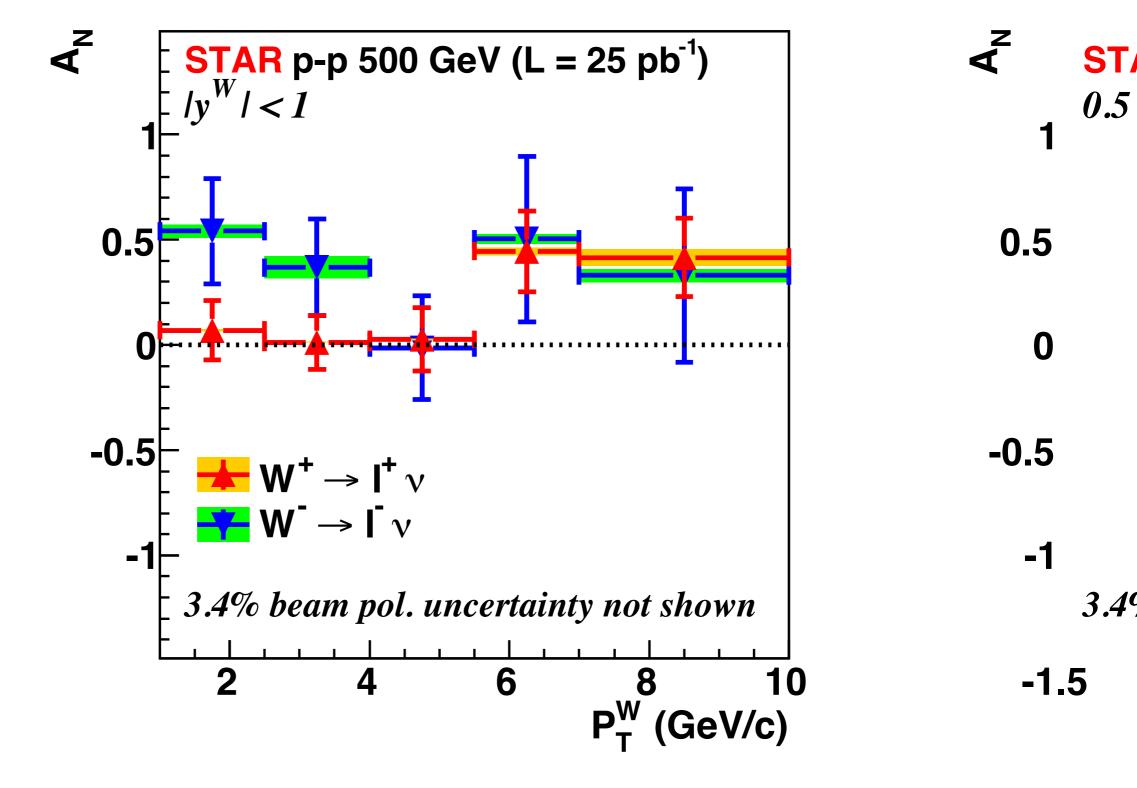
process-dependence of Sivers functions



First results from RHIC, $p^{\uparrow}p \to W^{\pm}X$ STAR Collaboration, arXiv:1511.06003



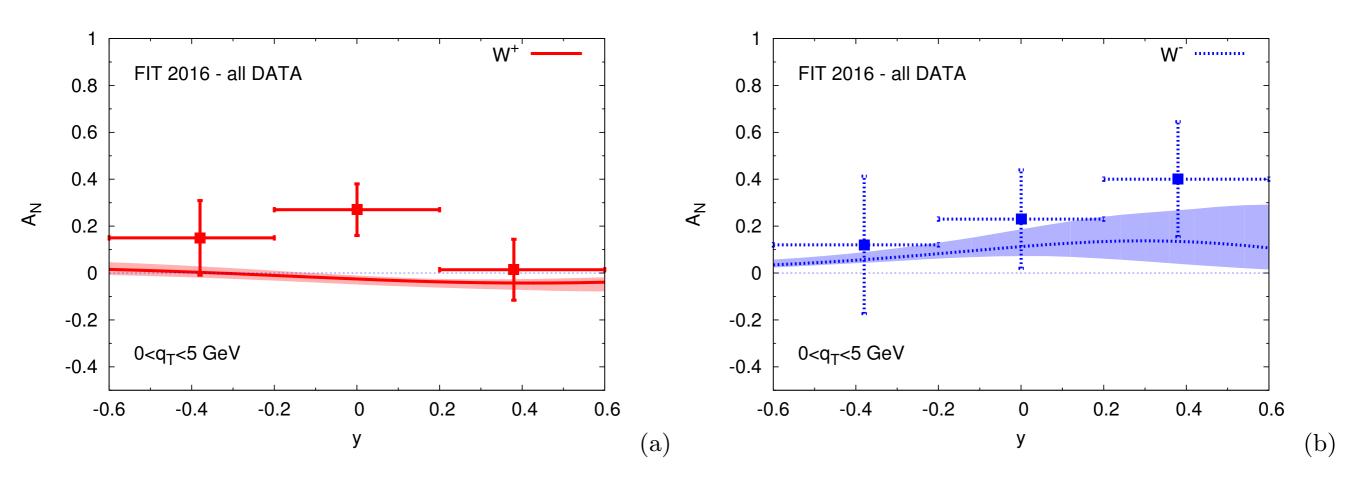
some hints at sign change



experimental data up to large p_T values....

z 1 z 1 z 1 z 1 z 1 z 1 z

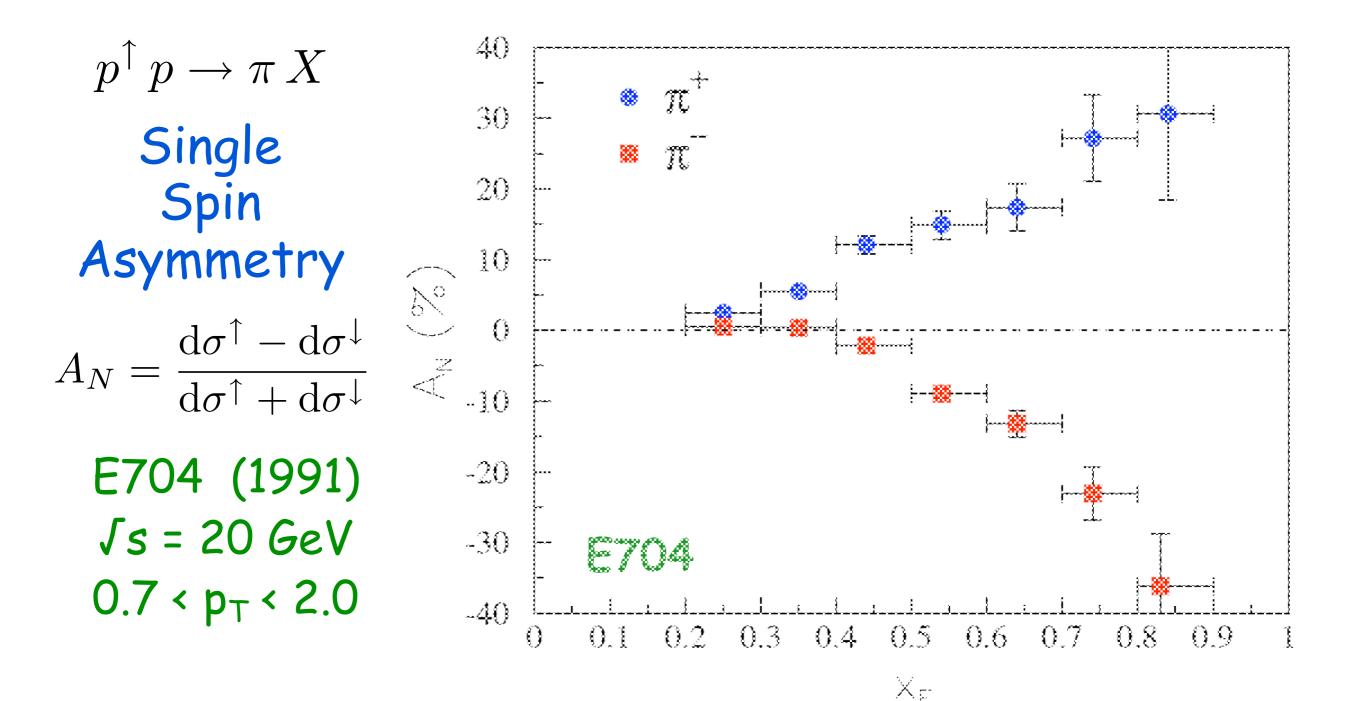
analysis of data (in preparation): M.A., M. Boglione, U. D'Alesio, F. Murgia, A. Prokudin

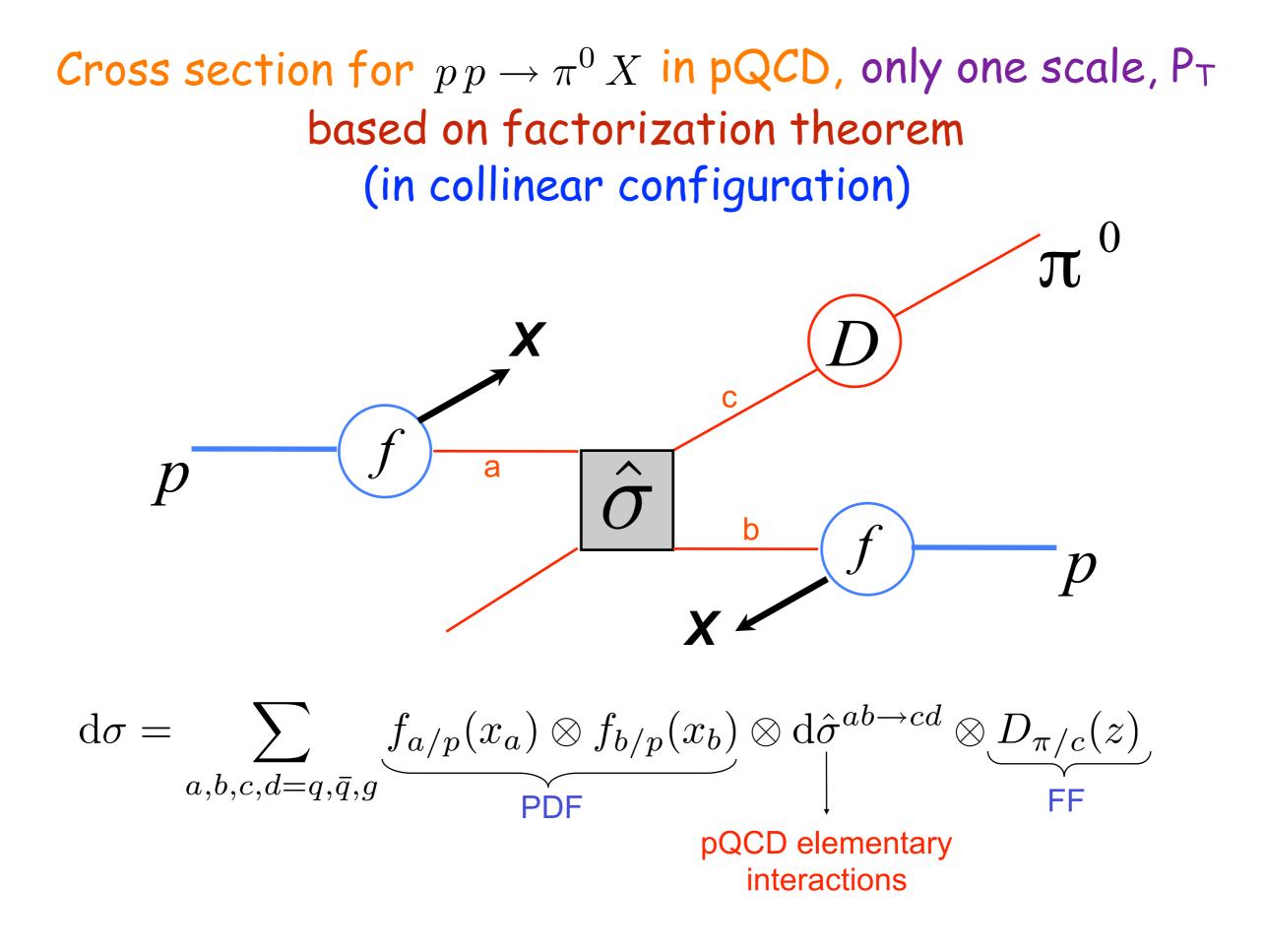


 $\langle \chi^2/{\rm n.o.d.}
angle = 1.63$ with sign change $\langle \chi^2/{\rm n.o.d.}
angle = 2.35$ with no sign change

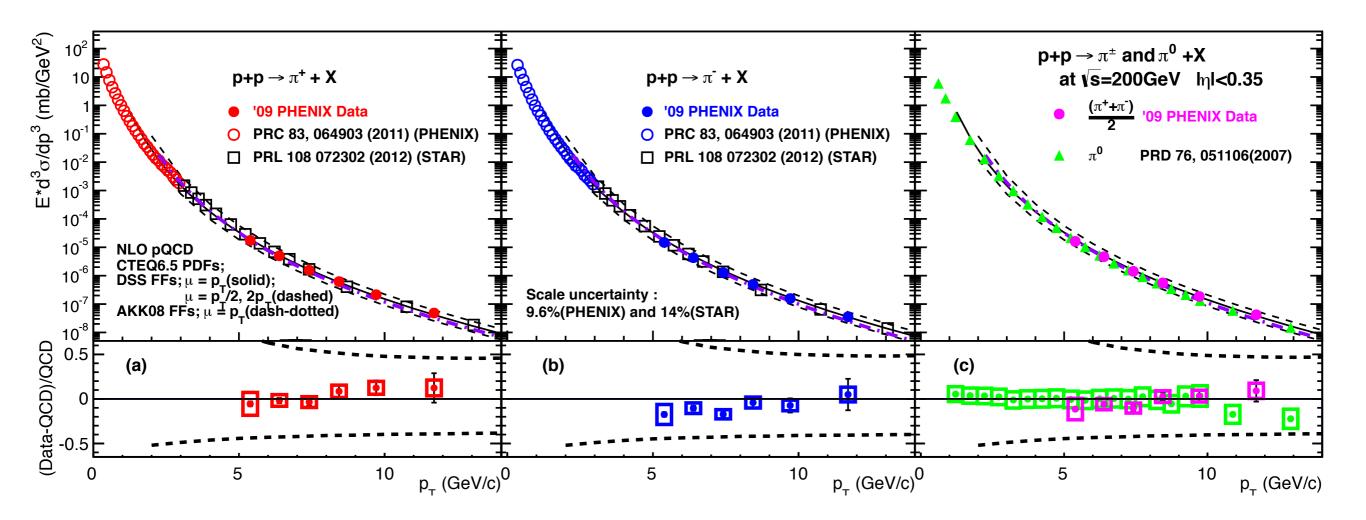
(talk by D'Alesio)

TMDs in pp single inclusive processes? The mysterious SSAs in large P_T single hadron inclusive production

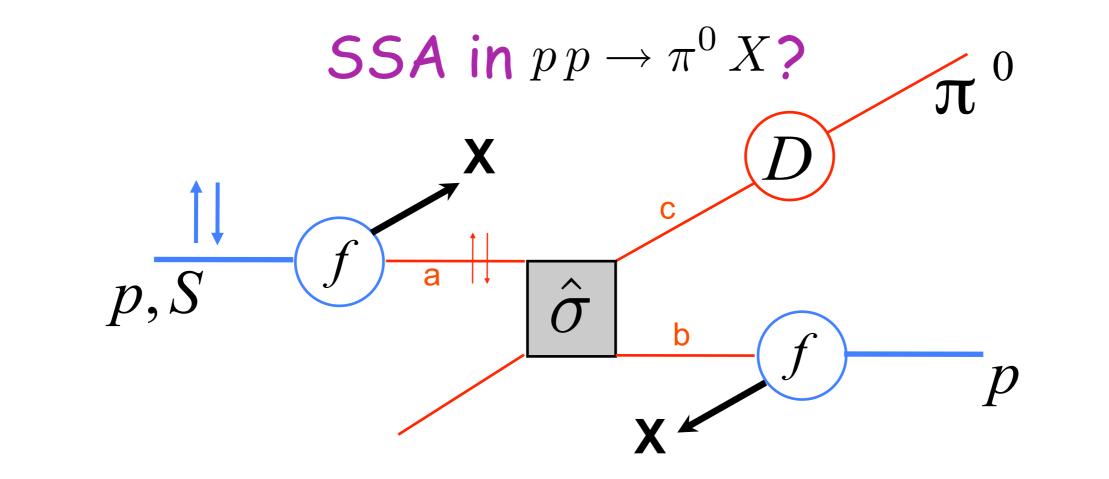




mid-rapidity RHIC data, unpolarised cross sections (arXiv:1409.1907 [hep-ex], Phys. Rev. D91 (2015) 3, 032001)



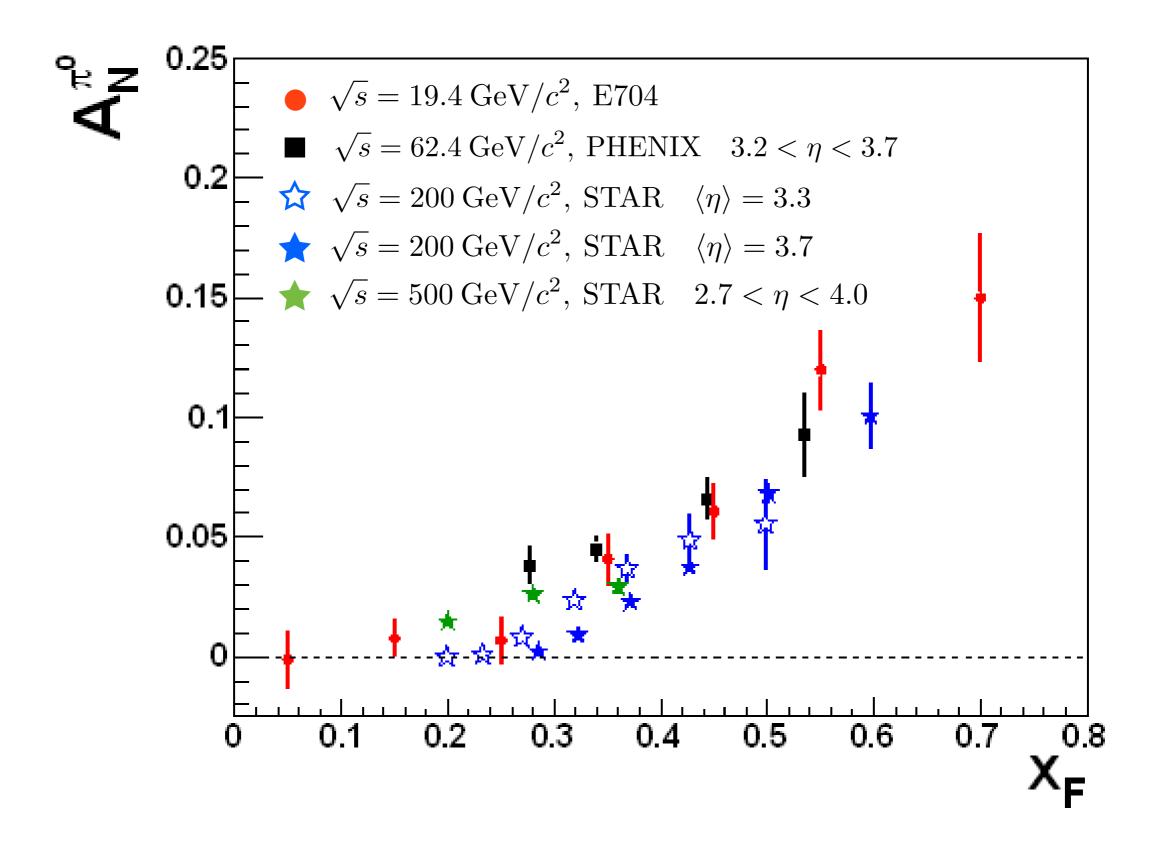
good agreement between RHIC data and collinear pQCD calculations; similarly for jet production at LHC



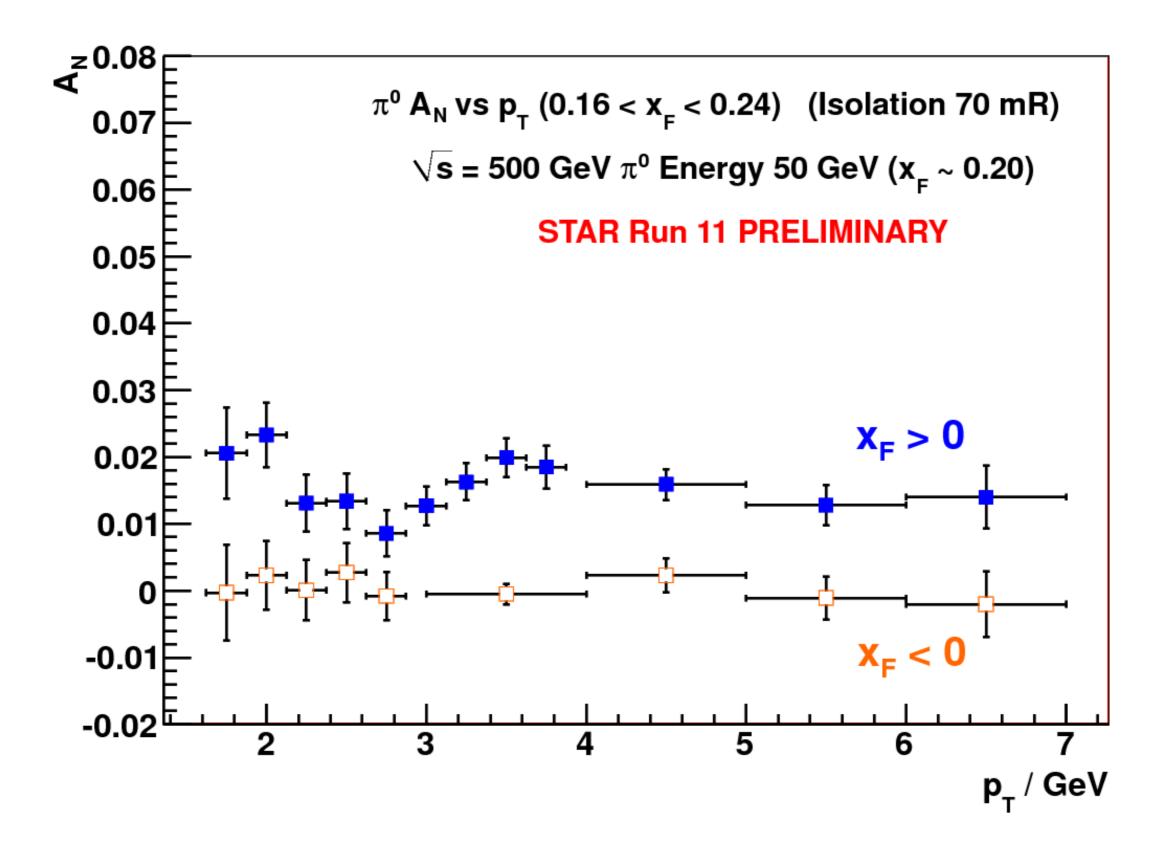
$$d\sigma^{\uparrow} - d\sigma^{\downarrow} = \sum_{\substack{a,b,c,d=q,\bar{q},g \\ \text{transversity}}} \Delta_T f_a \otimes f_b \otimes \underbrace{\left[d\hat{\sigma}^{\uparrow} - d\hat{\sigma}^{\downarrow}\right]}_{\text{pQCD elementary}} \otimes \underbrace{D_{\pi/c}}_{\text{FF}}$$

$$A_N = \frac{d\sigma^{\uparrow} - d\sigma^{\downarrow}}{d\sigma^{\uparrow} + d\sigma^{\downarrow}} \propto \hat{a}_N \propto \frac{m_q}{E_q} \alpha_s \quad \text{was considered} \\ \text{almost a theorem}$$

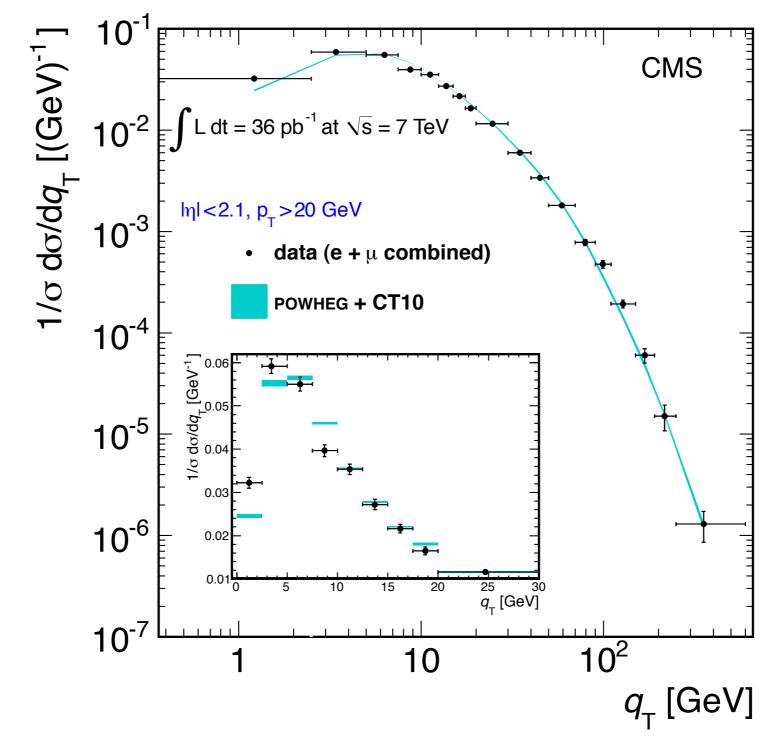
but $A_N \neq 0$ persists at high energies



.... and at large P_T



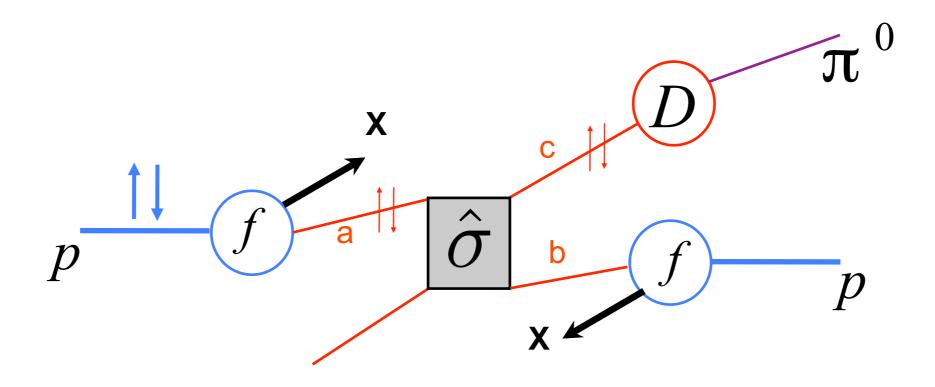
Z-boson transverse momentum q_T spectrum in pp collisions at the LHC



The small q_T region cannot be explained by usual collinear PDF factorization: needs TMD-PDFs Phys. Rev. D85 (2012) 032002

SSA in hadronic processes: TMDs, higher-twist correlations? Two main different (?) approaches

1. Generalization of collinear scheme (GPM) (assuming factorization)



$$d\sigma^{\uparrow} = \sum_{a,b,c=q,\bar{q},g} f_{a/p^{\uparrow}}(x_a, \mathbf{k}_{\perp a}) \otimes f_{b/p}(x_b, \mathbf{k}_{\perp b}) \otimes d\hat{\sigma}^{ab \to cd}(\mathbf{k}_{\perp a}, \mathbf{k}_{\perp b}) \otimes D_{\pi/c}(z, \mathbf{p}_{\perp \pi})$$

non perturbative single spin effects in TMDs

M.A., M. Boglione, U. D'Alesio, E. Leader, S. Melis, F. Murgia, A. Prokudin, ... Field-Feynman

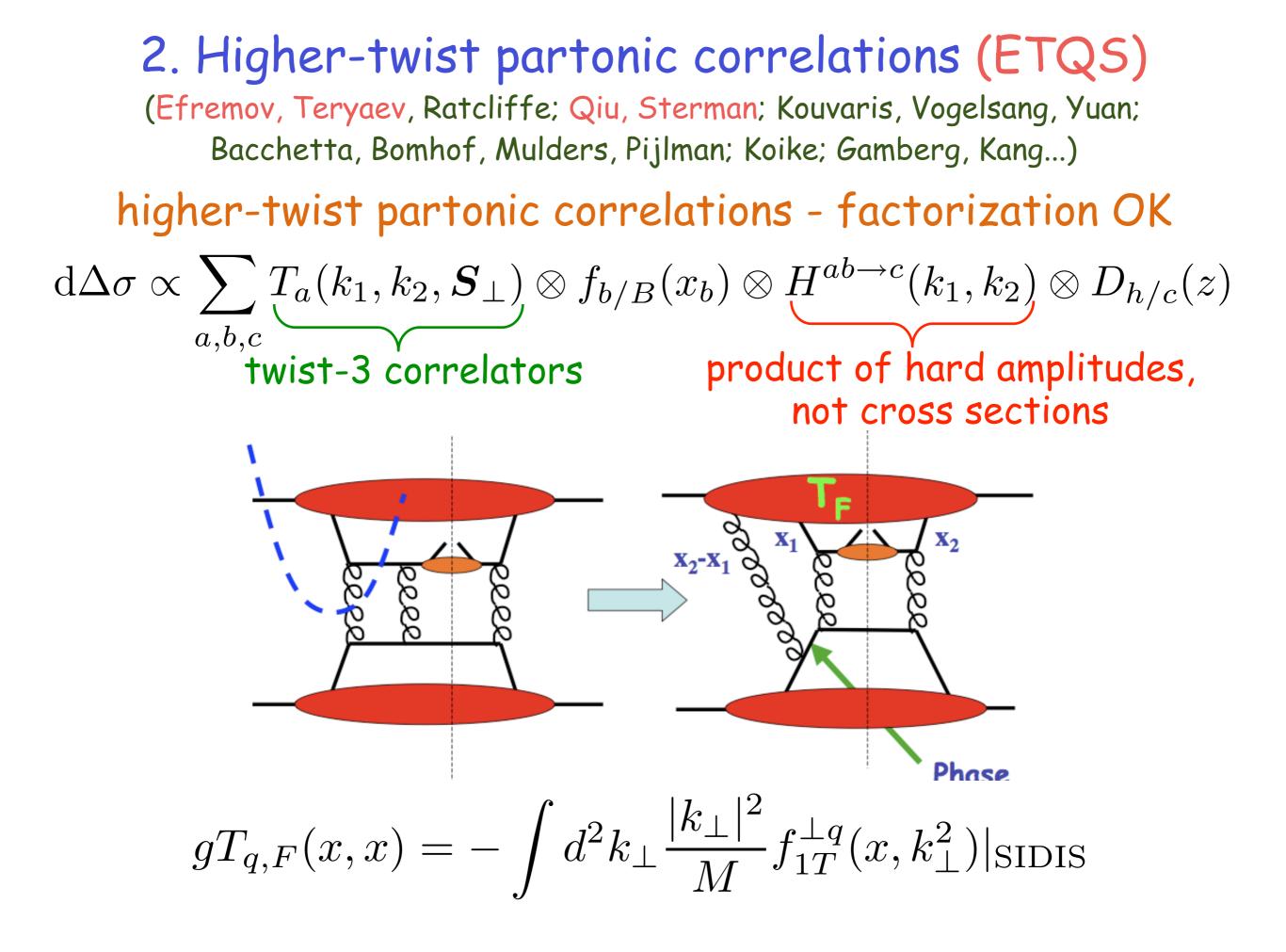
TMD contributions to A_N (assuming TMD factorisation)

$$d\sigma^{\uparrow} - d\sigma^{\uparrow} = \sum_{a,b,c} \left\{ \Delta^{N} f_{a/p^{\uparrow}}(\boldsymbol{k}_{\perp}) \otimes f_{b/p} \otimes d\hat{\sigma}(\boldsymbol{k}_{\perp}) \otimes D_{\pi/c} \right. \\ \left. + \left. \begin{array}{c} h_{1}^{a/p} \otimes f_{b/p} \otimes d\Delta \hat{\sigma}(\boldsymbol{k}_{\perp}) \otimes \Delta^{N} D_{\pi/c^{\uparrow}}(\boldsymbol{k}_{\perp}) \right. \\ \left. + \left. \begin{array}{c} h_{1}^{a/p} \otimes \Delta^{N} f_{b^{\uparrow}/p}(\boldsymbol{k}_{\perp}) \otimes d\Delta' \hat{\sigma}(\boldsymbol{k}_{\perp}) \otimes D_{\pi/c} \right. \end{array} \right\}$$

- (1) Sivers effect
- (2) transversity \otimes Collins
- (3) transversity \otimes Boer Mulders

main contribution from Sivers effect, can explain qualitatively most SIDIS and A_N data (M.A. M. Boglione, D'Alesio, E. Leader, S. Melis, F. Murgia, A. Prokudin,

PRD86 (2012) 074032; PRD88 (2013) 054023)



possible higher-twist contributions to A_N (collinear factorisation)

$$d\sigma(\vec{S}_{\perp}) = H \otimes f_{a/A(3)} \otimes f_{b/B(2)} \otimes D_{C/c(2)} + H' \otimes f_{a/A(2)} \otimes f_{b/B(3)} \otimes D_{C/c(2)} + H'' \otimes f_{a/A(2)} \otimes f_{b/B(2)} \otimes D_{C/c(3)}$$

(1) Twist-3 contribution related to Sivers function
(2) Twist-3 contribution related to Boer-Mulders function
(3) Twist-3 fragmentation: has two contributions, one related to Collins function + a new one

the first contribution with a twist-3 quark-gluon-quark correlator was expected to be the dominant one, but

sign mismatch

(Kang, Qiu, Vogelsang, Yuan, PR D83 (2011) 094001)

using the SIDIS Sivers function to build the twist-3 q-g-q correlator $T_{q,F}$

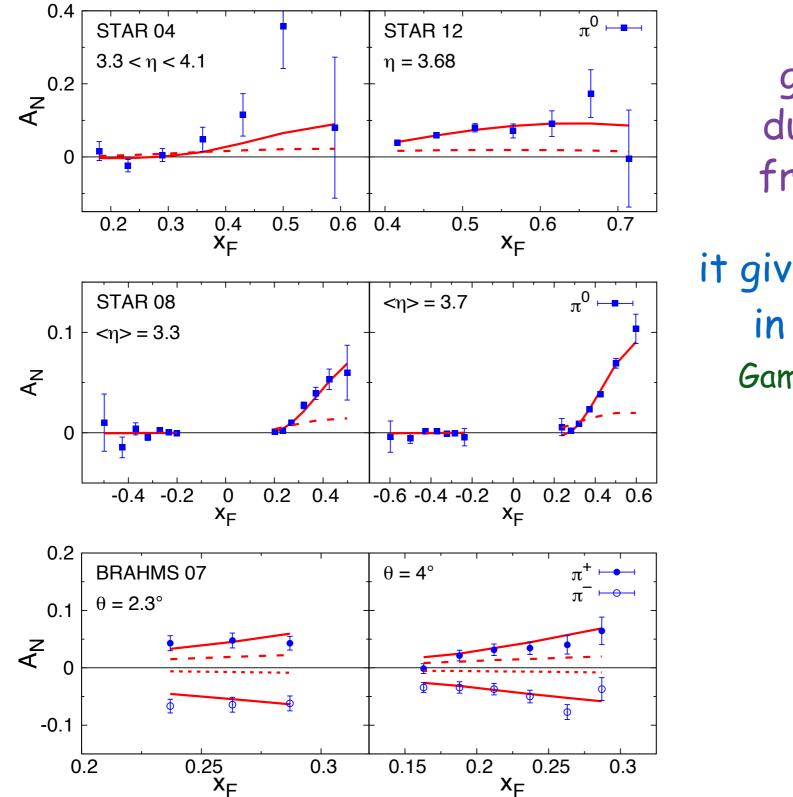
$$gT_{q,F}(x,x) = -\int d^2k_{\perp} \frac{|k_{\perp}|^2}{M} f_{1T}^{\perp q}(x,k_{\perp}^2)|_{\text{SIDIS}}$$

leads to sizeable value of A_N , but with the wrong sign....

the same mismatch does not occur adopting TMD factorization; the reason is that the hard scattering part in higher-twist factorization is negative

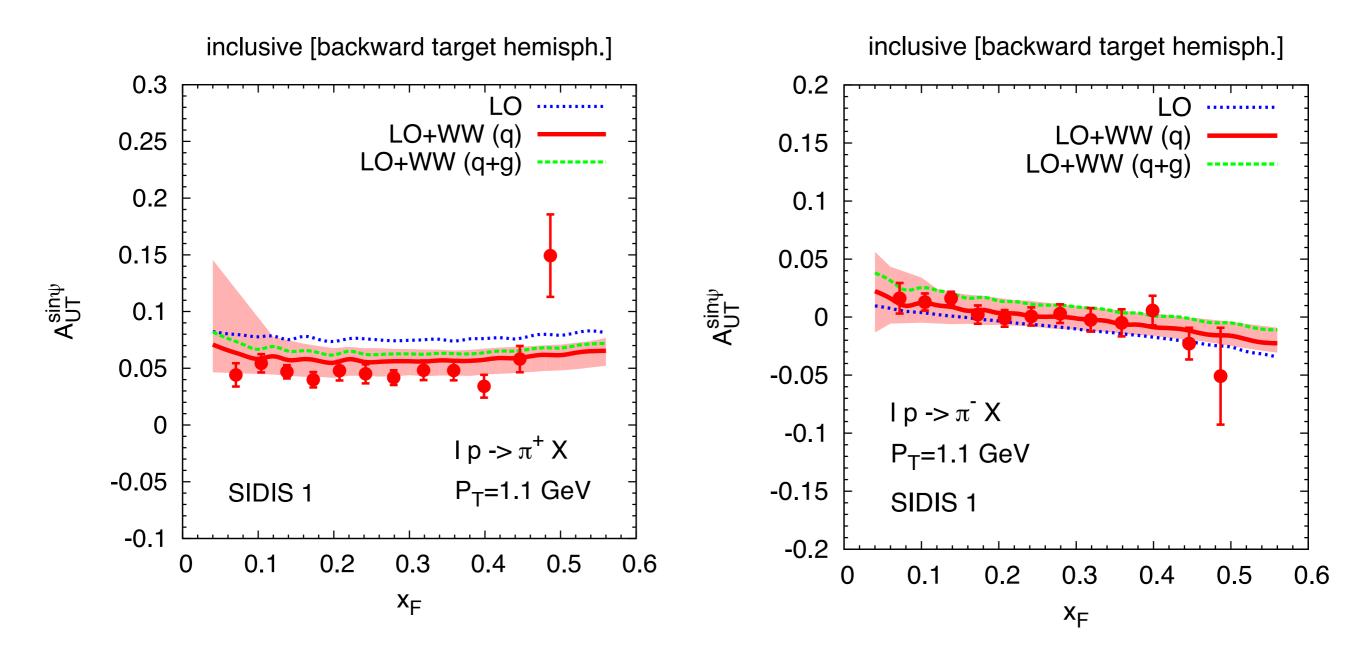
A_N might be explained by new twist-3 fragmentation functions (Kanazawa, Koike, Metz, Pitonyak, PRD 89 (2014) 111501)

A_N from twist-3 fragmentation functions (Kanazawa, Koike, Metz, Pitonyak, PRD 89 (2014) 111501)



good fit of A_N mainly due to the new twist-3 fragmentation function

it gives too large values of A_N in $\ell p^{\uparrow} \rightarrow \pi X$ processes Gamberg, Khang, Metz, Pitonyak, PRD 90 (2014) 074012 but A_N in Ip $\rightarrow \pi X$ can be well explained by TMD factorisation + Weizsäcker-Williams approximation (U. D'Alesio, C. Flore, F. Murgia, in preparation talk by U. D'Alesio at QCD evolution 2016)



TMDs and QCD - TMD evolution

study of the QCD evolution of TMDs and TMD factorisation in rapid development

Different TMD evolution schemes and different implementations within the same scheme. It needs non perturbative inputs

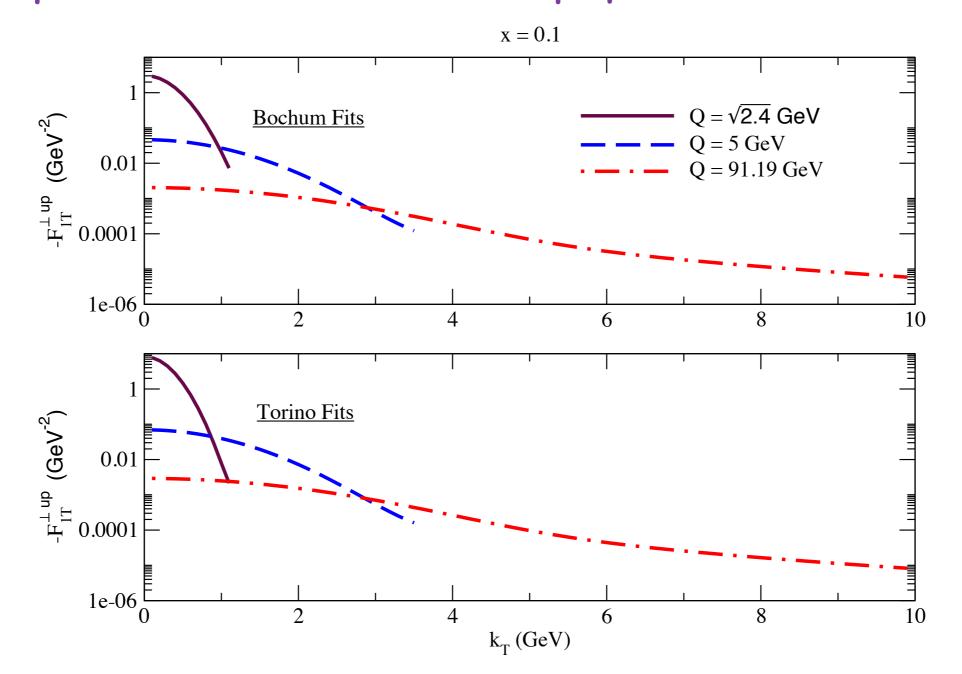
dedicated workshops, QCD Evolution 2011, 2012, 2013, 2014, 2015, 2016

dedicated tools:

TMDIIb and TMDplotter: library and plotting tools for transverse-momentum-dependent parton distributions

(talks by Bacchetta, Gamberg, Mulders, Cherednikov,...)

TMD phenomenology with QCD evolution how does gluon emission affect the transverse motion? example: TMD evolution of up quark Sivers function



Aybat, Collins, Qiu, Rogers, Phys. Rev. D85 (2012), Kang, Prokudin, Sun, Yuan, arXiv:1505.05589),

Conclusions

The 3D nucleon structure is mysterious and fascinating. Many experimental results show the necessity to go beyond the simple collinear partonic picture and give new information. Crucial task is interpreting data and building a consistent 3D description of the nucleon.

Sivers and Collins effects are well established, with many transverse spin asymmetries resulting from them. Sivers function, TMDs and orbital angular momentum? The analysis of TMDs and GPDs is sound and well developed.

Combined data from SIDIS, Drell-Yan, e+e-, with theoretical modelling, should lead to a true 3D imaging of the proton

Waiting for JLab 12, new COMPASS results, and, crucially, for an EIC dedicated facility....

Thank you!