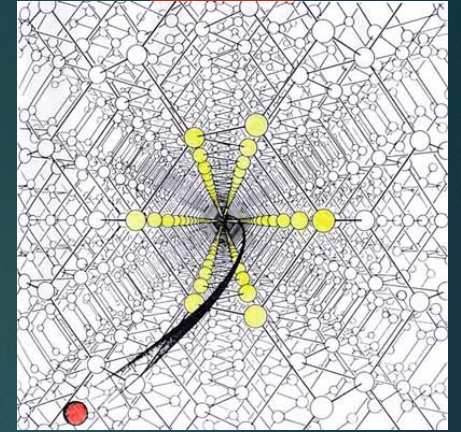


The chaotic discovery of ion channeling and channeling radiation



Joseph REMILLIEUX

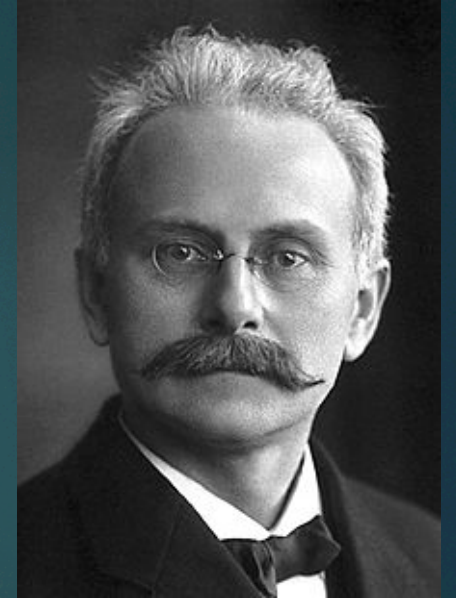
Emeritus Professor, Institut de Physique Nucléaire de Lyon

University of LYON, France

1912, Johannes STARK

104 years ago: the first prediction of angular effects in the penetration of particles in crystal targets

by the future Nobel Prize, Johannes **Stark**, at Aachen in Germany.



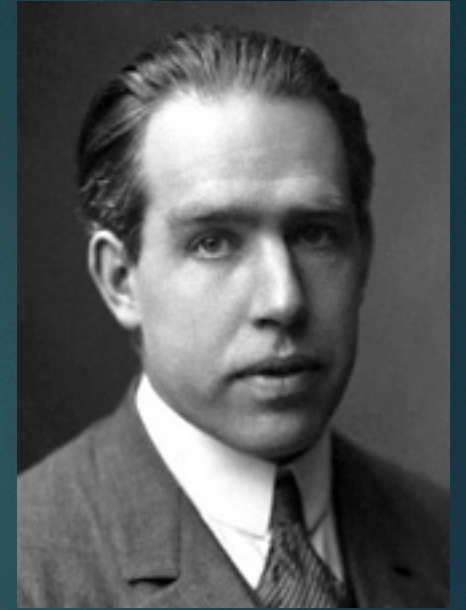
(1874 – 1957)

➔ but for 50 years ... nobody paid attention to this prophetic message !

1948, Niels BOHR

Everything needed by nuclear physicists
about ion-solid interactions,
was in the paper published by N. Bohr in 1948 at Copenhagen :

- energy loss rate
- multiple scattering
- charge exchanges
- free electron wake, ...



(1885 _ 1962)



but only in amorphous solids !

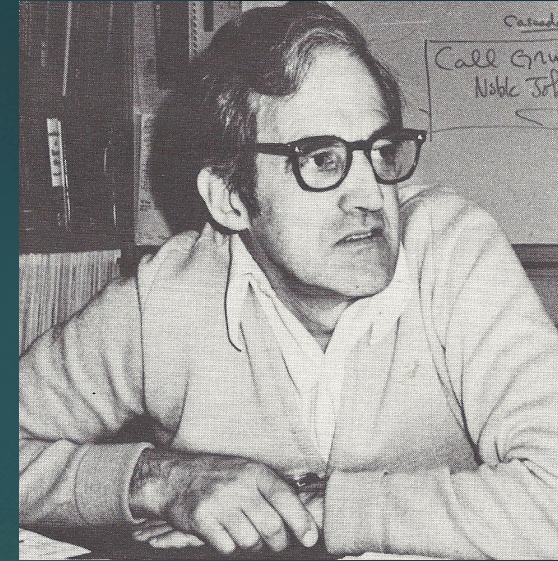
hopefully, Niels Bohr had, in that field, very good collaborators

Jens Lindhard, Werner Brandt, ...

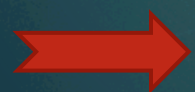
ION CHANNELING

1960, John DAVIES and coworkers at Chalk River, in Canada

They measured range distributions of ions
in a variety of polycrystalline metals
They observed very long tails
and suspected the role played
by aligned crystallites in the target



(† 2016)



that was the first observation of directional effects

1962, a (slow) computer discovery !

At Oak Ridge, *M.T. Robinson* and *O.S. Oen* simulated ion ranges in fictive targets made of atoms arranged, for simplicity, ... like a cubic lattice

Unexpectedly, for some incident trajectories, the computing time exploded !

They made a correlation between these long computed ranges and the long tails measured earlier by *Davies et al.*

They call this anomalous penetration : particle “channeling”

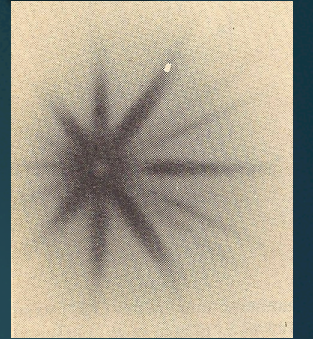
➔ ion channeling is definitively demonstrated



IBM 7090

1963, transmission effects through thin crystals

Context: Si diodes are more and more used by nuclear physicists: thick detectors, calorimeters, for total energy measurements (E), and doublets (thick (E) + thin (ΔE)) detectors, for ion masses (M) determination, since the product $(E \cdot \Delta E) \propto M$

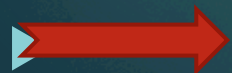


Star pattern

At Harwell (GB), *G.Dearnaley et al.*, observed orientational effects in the amplitude of the ΔE signals delivered by thin Si crystals

That was the subject of my first thesis (1966) in Lyon:

a study of the reduced energy loss rate of channeled protons in crystals



the first channeling experiments in transmission through thin crystals

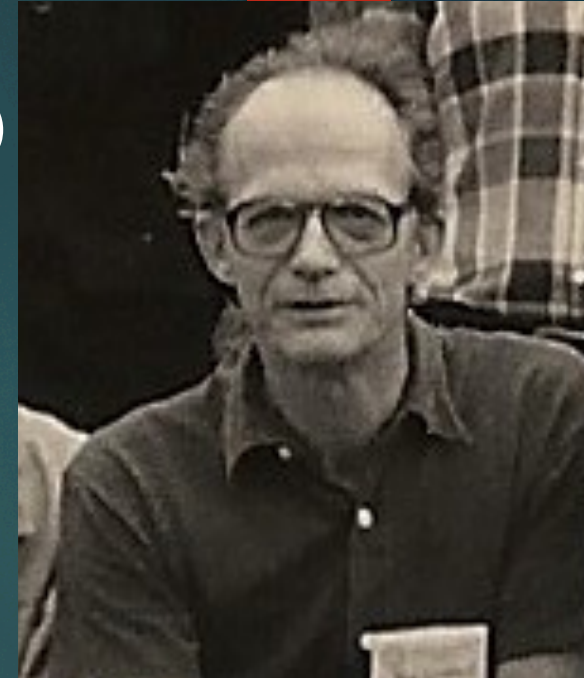
1965, Jens LINDHARD gives an extensive theory of channeling

At Aarhus, the team of *Jens Lindhard* (*J.U.Andersen, E.Bøgh, E.Uggerhøj, ...*) extends the work of *Niels Bohr* (1948) to channeling conditions.

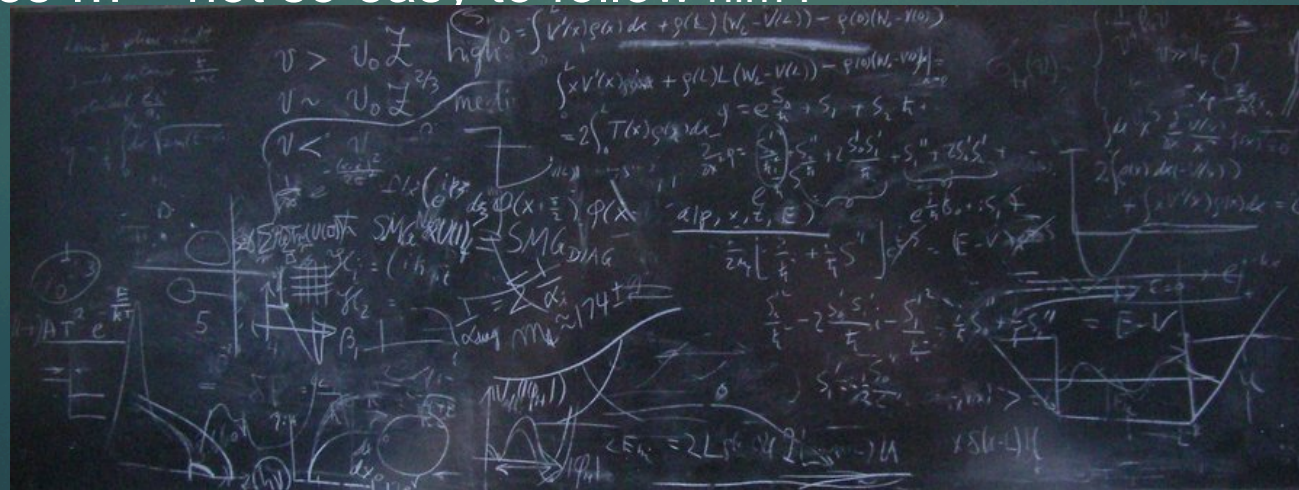
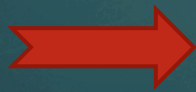
The 1965 *Lindhard* 's paper in *K. Dan. Vidensk. Selsk. Mat.-Fys. Med.* becomes "the bible" for all workers in the field.

With this theoretical basis, the number of experiments and simulations increases rapidly around the world.

In meetings and conferences *Jens Lindhard* is the theoretical reference but it was sometimes ... not so easy to follow him !



(1922 – 1997)



1967-1973, channeling at Lyon

(*J.-C. Poizat, R. Kirsch, J.R. et al.*)

We developed new tools for transmission channeling studies

- the elaboration of ultra-thin self-supporting gold crystals
- the use of incident molecular ion beams



➔ It opened for us long range collaborations and discussions, particularly with

- *W.Brandt, R.Ritchie* (New York and Oak Ridge Nat. Lab.)
- *D.S. Gemmell, R.E. Holland* (Argonne Nat. Lab.)
- *W.Gibson,* (Bell Laboratories)
- *S. Datz* (Oak Ridge)
- *A.F. Tulinov, V.V. Okorokov* (Moscow)

Werner BRANDT (N.Y. Univ) and Rufus RITCHIE (Oak Ridge)



R Ritchie

In the frame work of the *Bohr* electron-wake they developed a new way of calculation, in channeling alignment, of the interaction of ion beams and molecular-ion beams

→ energy loss rates
charge exchanges cross sections
coulomb explosion (molecular ions)
and wake-effects on the fragments



(1925 – 1983)

D.S. GEMMELL (Argonne Nat. Lab.)

Shadow effects

named "Blocking" by *R.Holland*

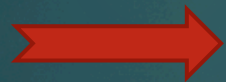
Charge changing effects in channeling

with (π^+/π^-) pion beams

Molecular-ion channeling

Coulomb-explosion of fragments

wake-effects on the fragments trajectories



A complete review paper on channeling

Rev. of Modern Physics (1974)

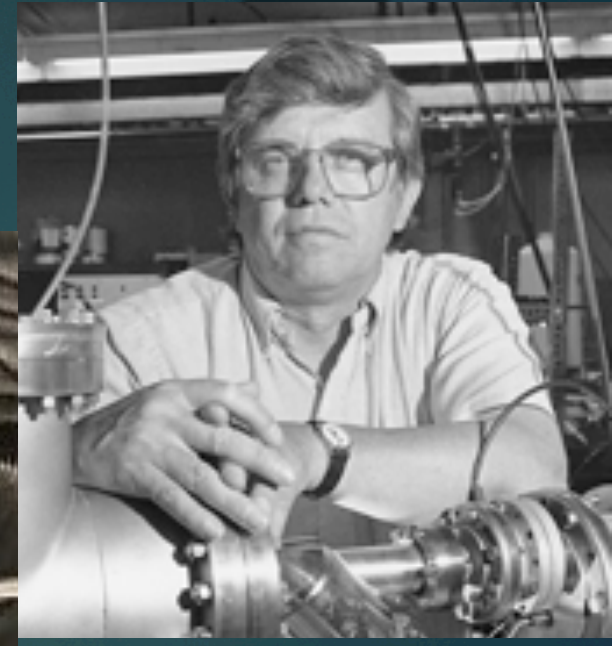


(1934 – 2013)

Walter GIBSON (Bell Lab.)

At Bell Lab. *W. Gibson* worked on applications of channeling to solid-state physics and material analysis (with *L. Feldman*, speaker at *Channeling 2014*)

- ion implantation
- lattice positions of impurities
- surfaces and interfaces characterizations



(1930 – 2009)

Then at Albany, *W. Gibson* worked with *Nelson Cue*

Later on *N. Cue* collaborated for many years with us, mainly on

- heavy ions channeling
- electron channeling

→ *N. Cue* wrote with *J.C. Kimball* a review paper on *QED and channeling* (*Phys. Report* 1985)



Sheldon DATZ, Oak Ridge Nat. Lab.

With his close collaborators

C. Moak, T. Noggle, C. Erginsoy B. Appleton, ...

S. Datz was the first to explore

- heavy ions channeling
- hyper-channeling
- frozen charge states
- coherent excitation of ions

as first predicted in 1965 by *V. Okorokov*



(1927 – 2001)

LIGHT PARTICLE CHANNELING

Before Muradin Kumakhov

Electron and positron channeling at MeV incident energies:

1969 -1972, a balance between diffraction and classical channeling,
the axial rosette-motion of electrons (*F. Fujimoto et al.*)

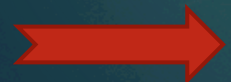
1966-1970, a test of charge changing channeling properties (e^+ / e^-)
with β^+ and β^- emitters crystals (*E. Uggerhøj et al.*)



(1941 – 2014)

Pion channeling

1976, a measure of the reversibility (π^+ / π^-) in the channeling of (70-225 MeV) pions
D.S. Gemmell et al. (at Los Alamos)



At higher electron energies, prediction of the **channeling radiation**
by *Muradin Kumakhov (1976)*

1980, electron channeling radiation at medium energies (MeV - GeV)

Channelled electrons oscillate in the transverse-energy space

1D oscillators for planar channeling, 2D oscillators for axial channeling

Transitions happen between the quantum transverse-energy states

with a relativistic amplification of the radiated energy, by a factor $(2\gamma^2) \Rightarrow 20\,000$ at 50 MeV

 Channeling radiation spectra with lines: a tuneable photon source

Major experimental programmes at medium energies:

1 MeV electrons (*J.U. Andersen et al.*) at Aarhus

16 - 56 MeV electrons (*R.Swent, et al*) at Stanford

54 - 110 MeV electrons (*M. Gouanère et al.*) at Saclay

Channeling radiation at ultra-relativistic energies

At ultra-relativistic energies

no more lines in the radiation spectrum

(since there is a too large number of transverse energy states)

a radiation spectrum which looks like synchrotron radiation

(since electrons are bent in the macroscopic transverse electric field)

When “super-critical fields” are reached

channelled electrons radiate the larger part of their incident energy

(the non-linear QED theory of super-critical fields is involved)

→ programmes at CERN at ultra-relativistic energies (40-150 GeV)

E.Uggerhøj et al and *A.Belcaceem et al*

Two major meeting points at the beginning of ion channeling

The series of International Conferences
on Atomic Collisions in Solids

- Brighton 1969, Gausdal 1971, Gatlinburg 1973,
- Amsterdam 1975, Moscow 1977, Lyon 1981, Okayama 1987, ...

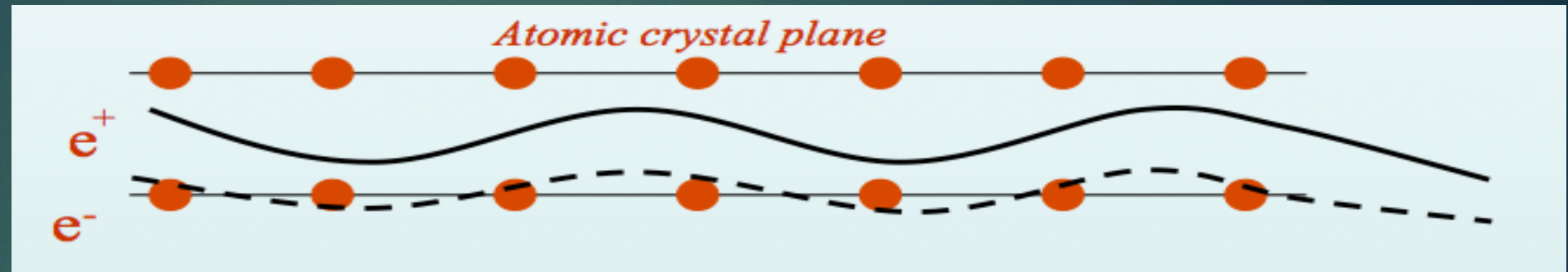
The series of *Gordon Conferences*
on Ion-Solid Interactions

- New Hampshire

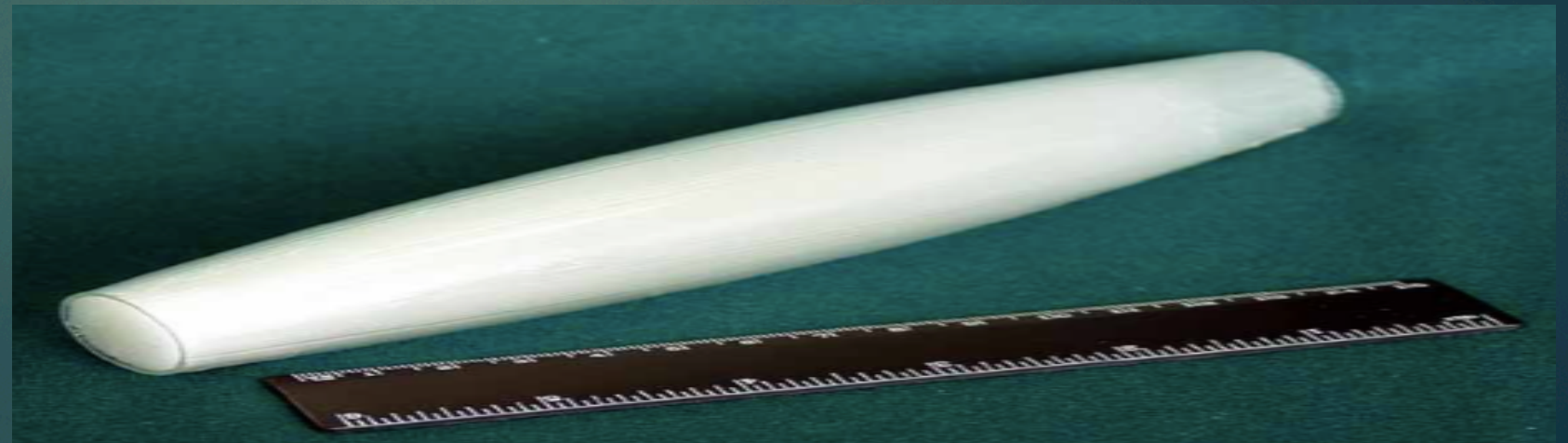
➔ Today, **Channeling 2016**



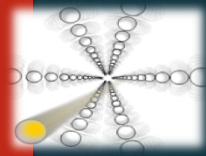
- pioneering works on channeling radiation:
 - "Kumakhov effect", "Kumakhov radiation";1974-1976



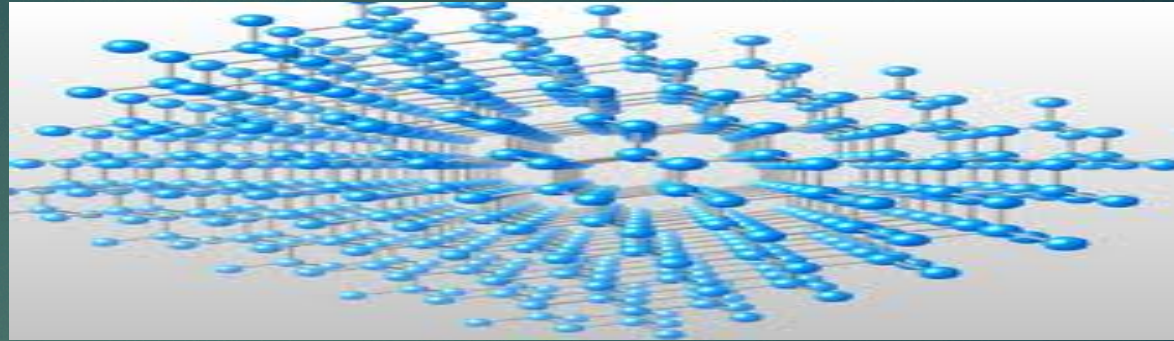
- pionnering works on polycapillary X-ray optics:
 - 'Kumakhov lens'1984-1986



@ Channeling: from Crystal to Capillary guides



- Crystal Channeling
 - beam shaping
 - micro-undulator

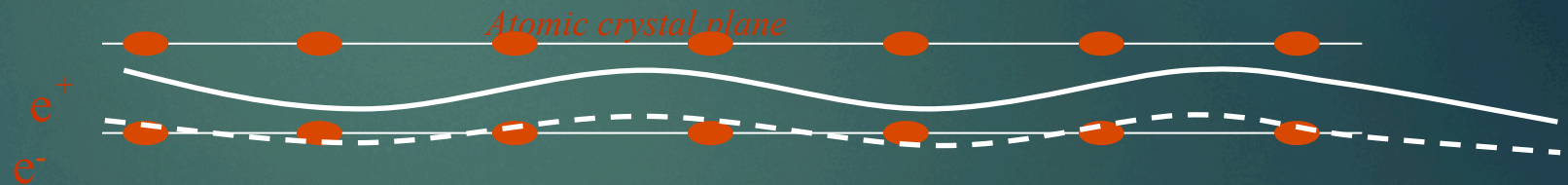


@ Amorphous:



@ Channeling:

planar channeling



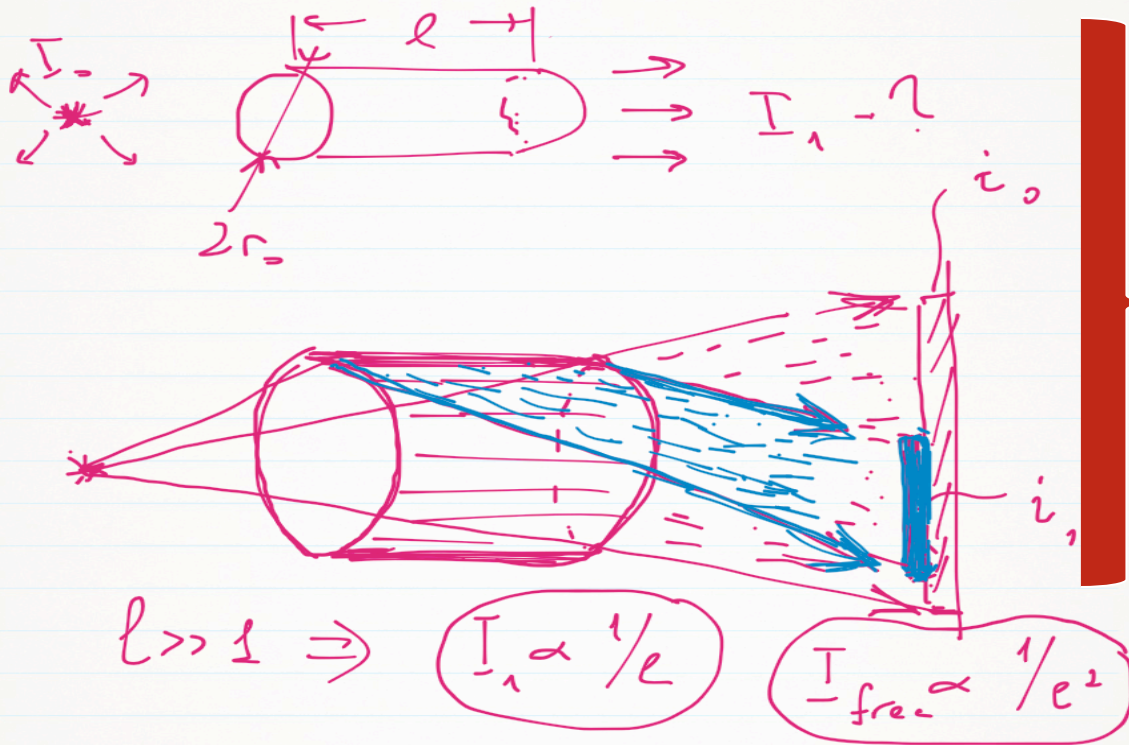
axial channeling



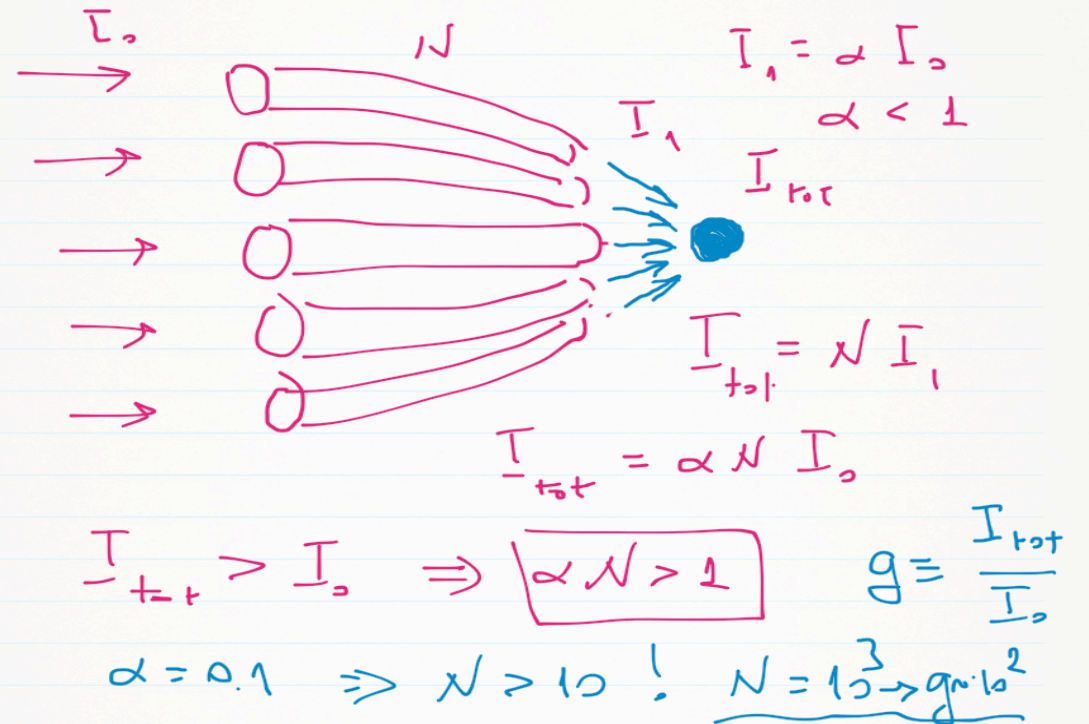
$$\varphi \ll 1 \quad (\varphi < \varphi_L \sim \sqrt{U/E})$$

- the Lindhard angle is the critical angle for the channeling

@ 1984: first discussion on polyCO at the Minsk's School on charged particles interaction in crystals



Kumakhov's task:
a night work for the feasibility of mono/
multichannel optics



@ polycapillary optics

Basic idea of polycapillary optics is very close to the phenomenon of charged particle channeling

@ deflection by large angles

@ divergent -> convergent

@ divergent -> quasiparallel e vs

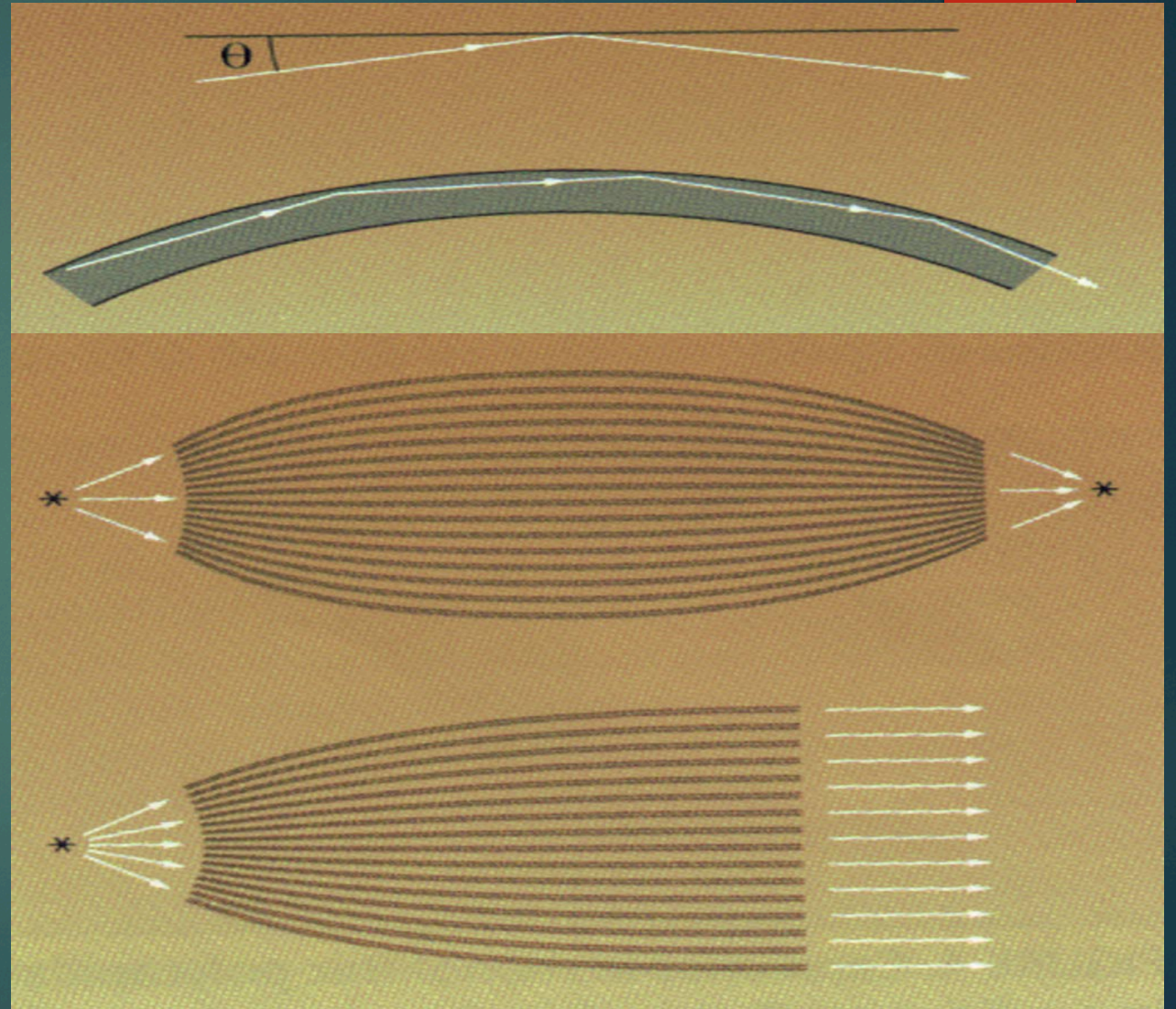
Number of applications

@ scientific instrumentation (XRF, XRD)

@ fluorescence & diffraction

@ medicine (diagnostics & therapy)

@ astrophysics



@ 1986: first polyCO => monocapillary semifabricated lens

~ 1 m length
~ 30 cm in diameter
10 000 monocapillaries
~ 1 year fabrication

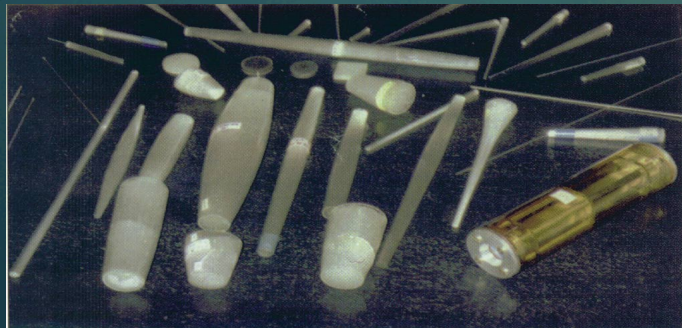


@ polyCO Evolution: "from micro- down to nano"

Generation	Kind of optics	Sizes: length & channel & energy
▶ 1 st		<i>1 m & 1 mm & ≤ 10 keV</i>
▶ 2 nd		<i>10-30 cm & 0.1-1 mm & ≤ 10 keV</i>
▶ 3 rd		<i>10 cm & 10-50 mm & ≤ 20 keV</i>
▶ 4 th		<i>4-10 cm & 1-10 mm & ≤ 50 keV</i>
▶ 5 th		<i>1-3 cm & 0.3-1 mm & ≤ 100 keV</i>



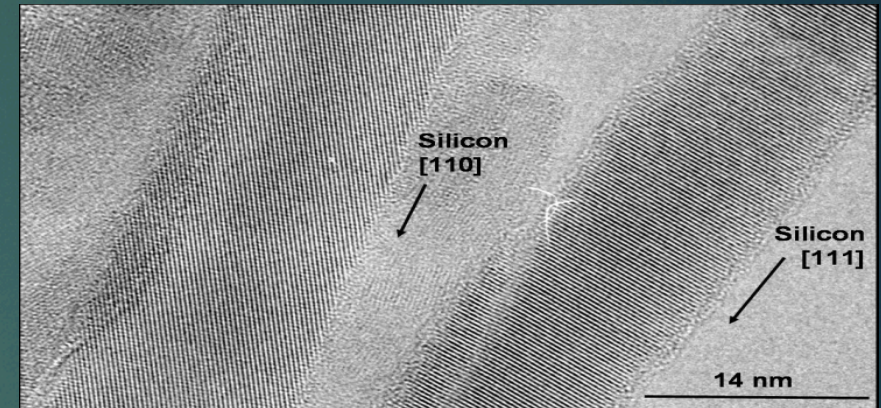
Micro-capillaries



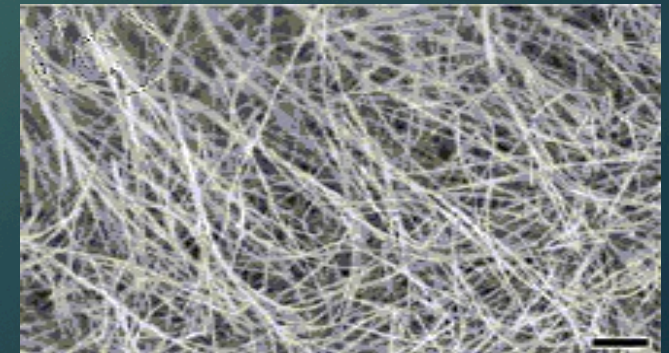
Micro X-rays



Nano γ-rays (?)

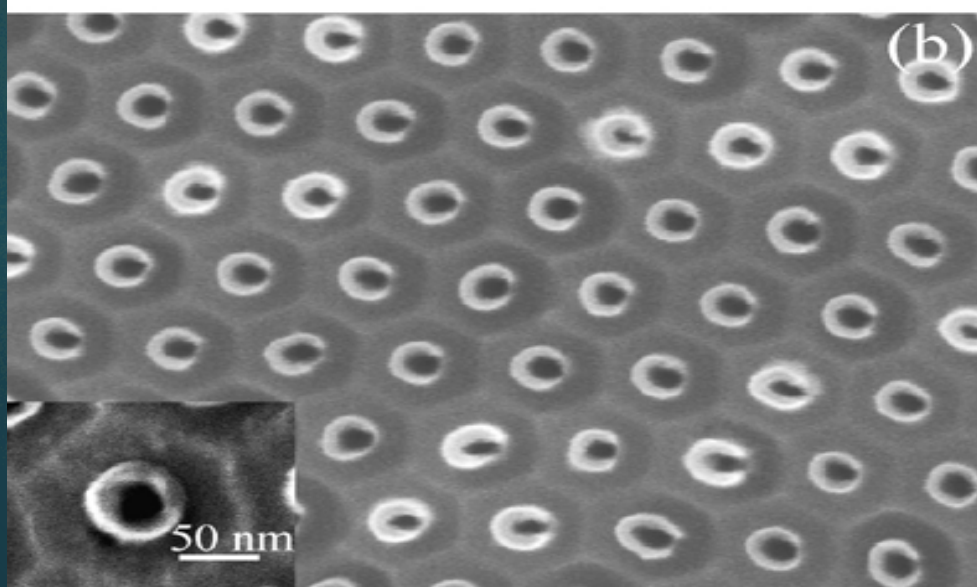
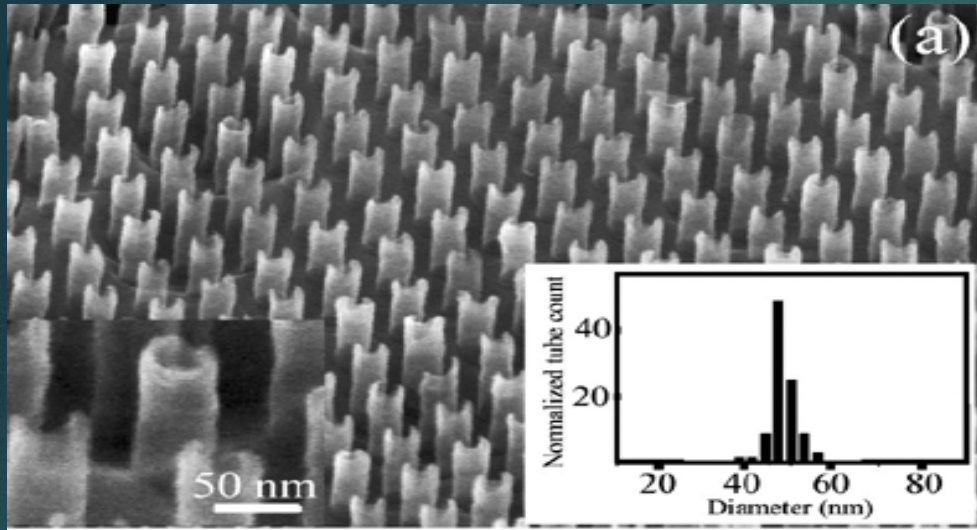


Nanotubes & Nanochannels



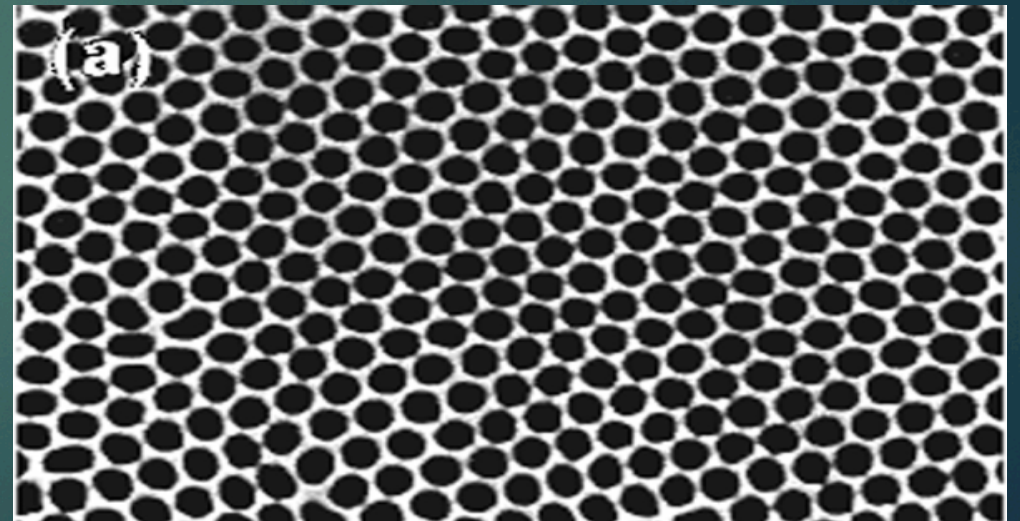
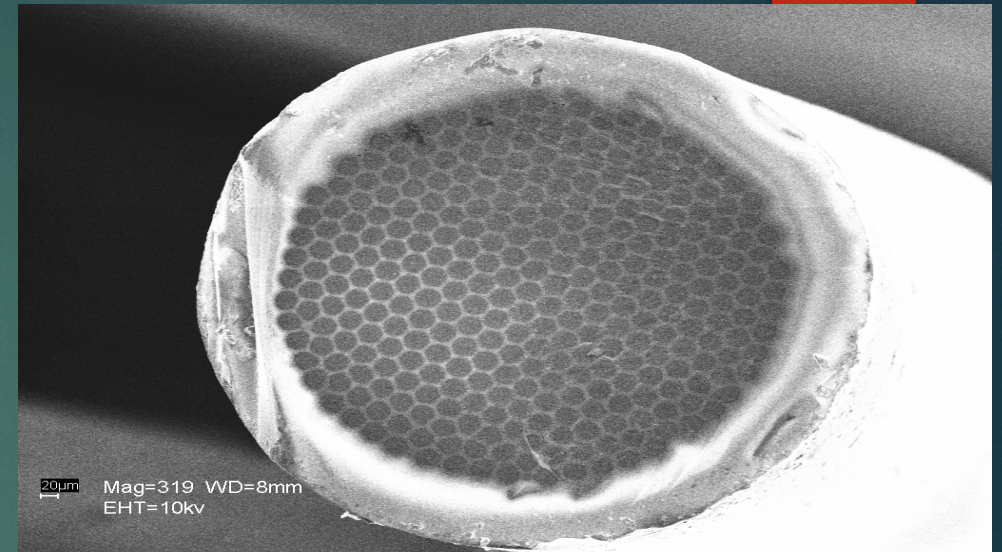
@ Samples of Nanostructures: various openness

growing



laser burned

polycapillary technology



All-Union conferences on Interaction of Relativistic Particles in Crystals

Elbrus Valley, 1983



All-Union conferences on Interaction of Relativistic Particles in Crystals

Elbrus Valley, 1990





Channeling 2004, Frascati, 2-6 November

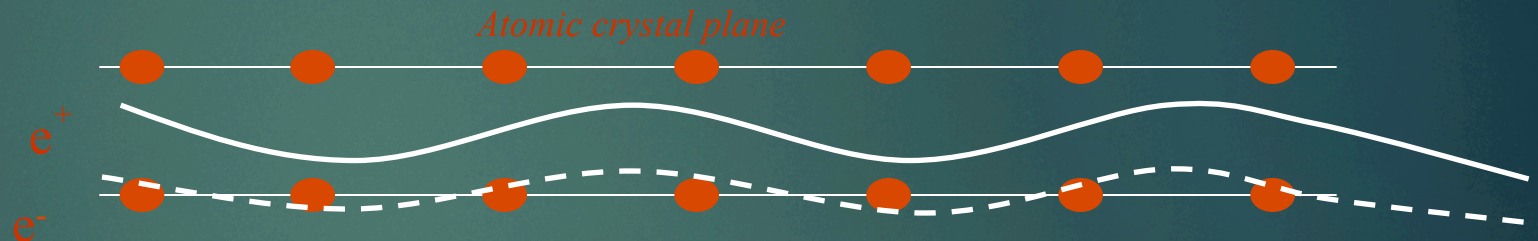
@ Channeling of Charged Particles

@ Amorphous:

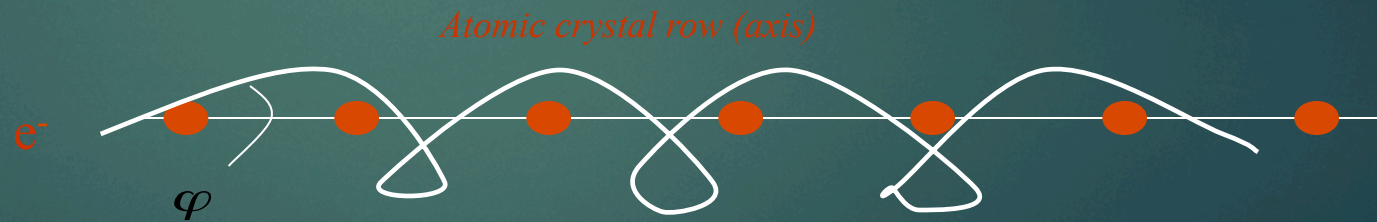


@ Channeling:

planar channeling



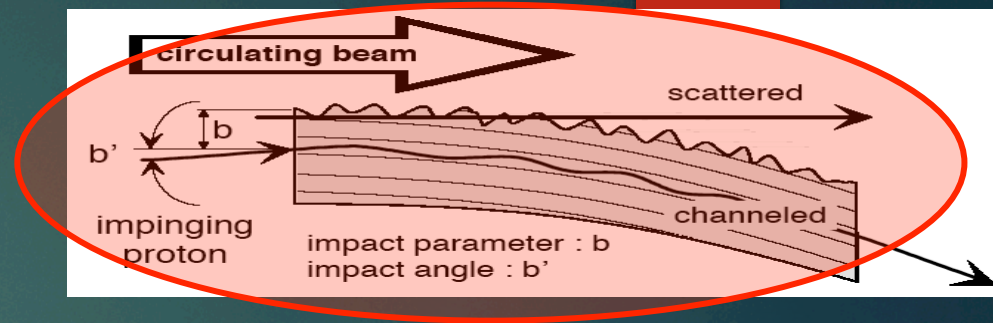
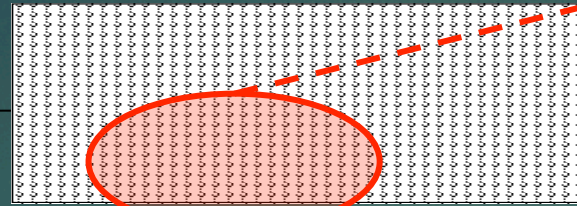
axial channeling



$$\varphi \ll 1 \quad (\varphi < \varphi_L \sim \sqrt{U/E})$$

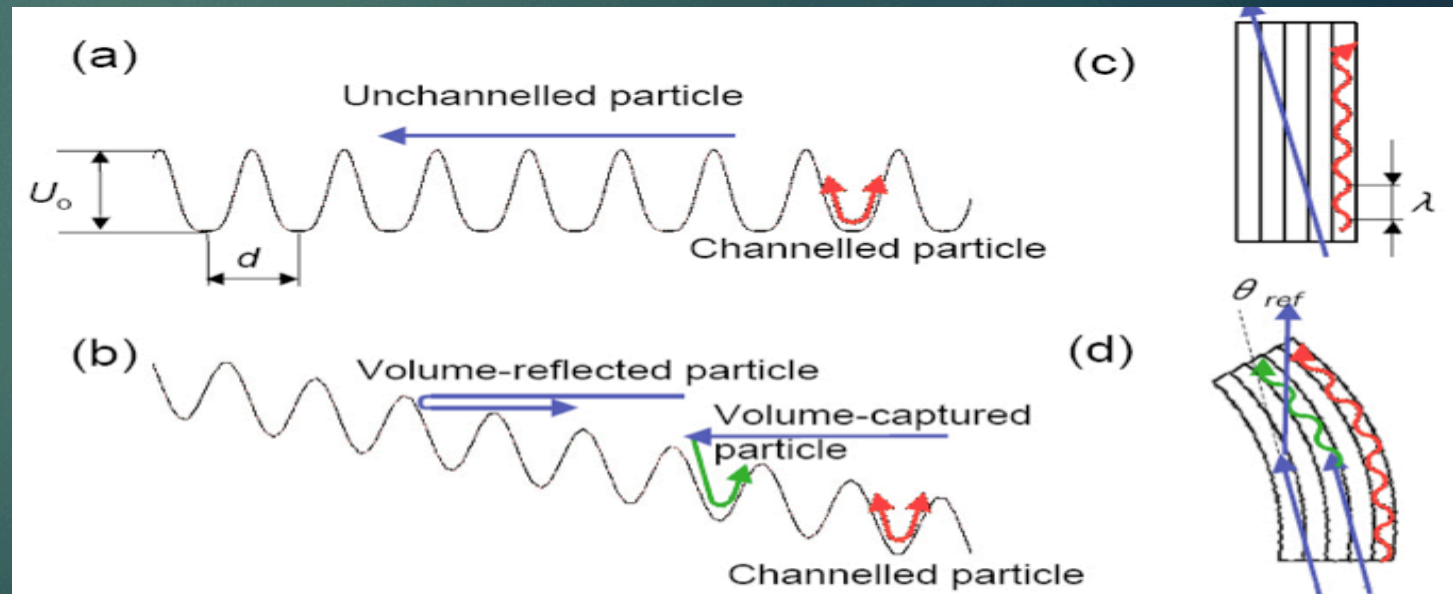
- the Lindhard angle is the critical angle for the channeling

@ Crystal collimation at CERN



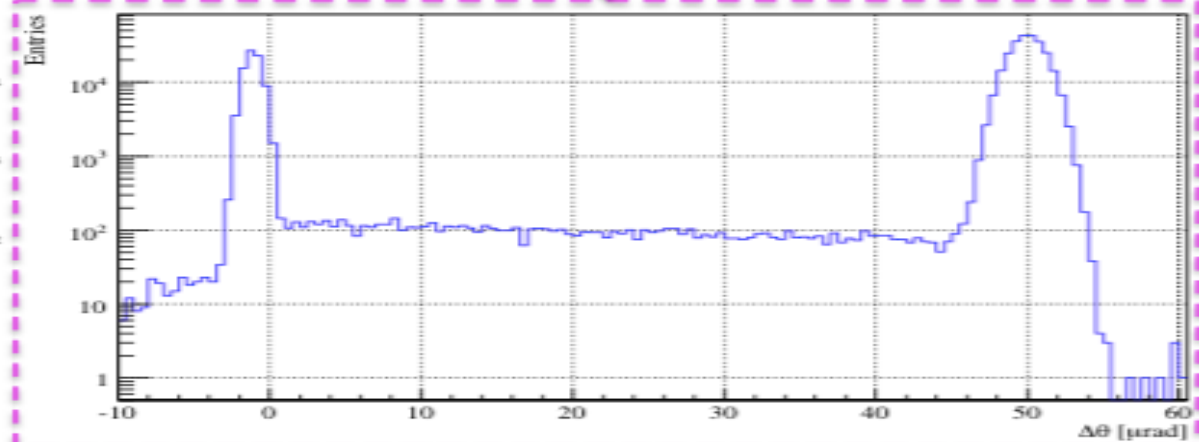
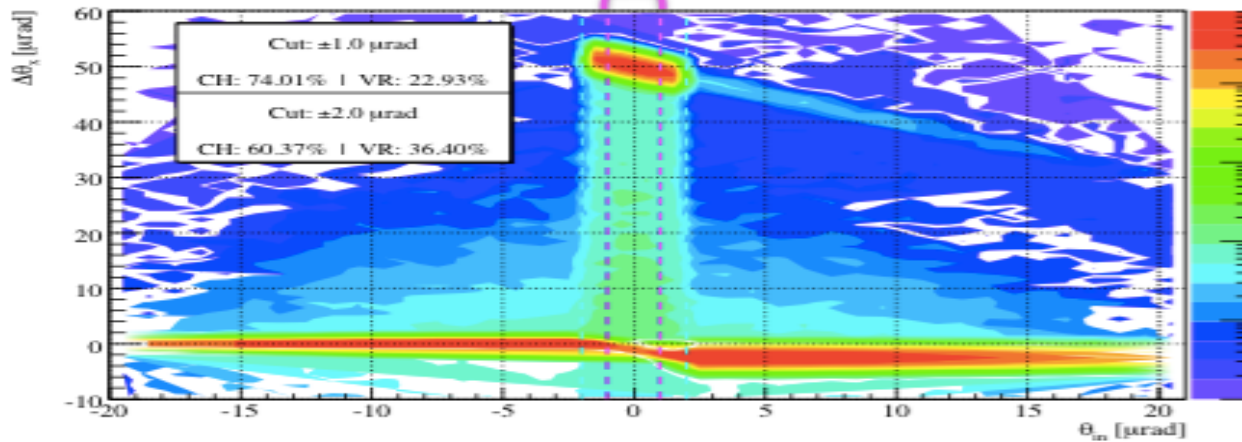
Possible processes:

- ◆ multiple scattering
- ◆ channeling
- ◆ volume capture
- ◆ de-channeling
- ◆ volume reflection



@ deflection efficiency for TeV energies

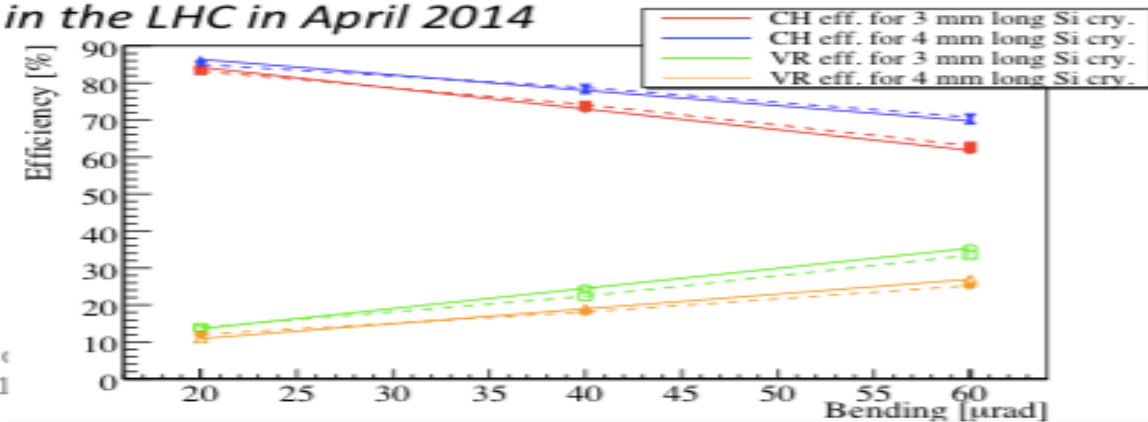
**Simulated deflections & efficiency for crystals installed in the LHC: 4 mm long, 50 μ rad bending
Gaussian beam of 7 TeV with $\sigma_x=1\text{mm}$, $\sigma_{x'}=10\mu\text{rad}$.**



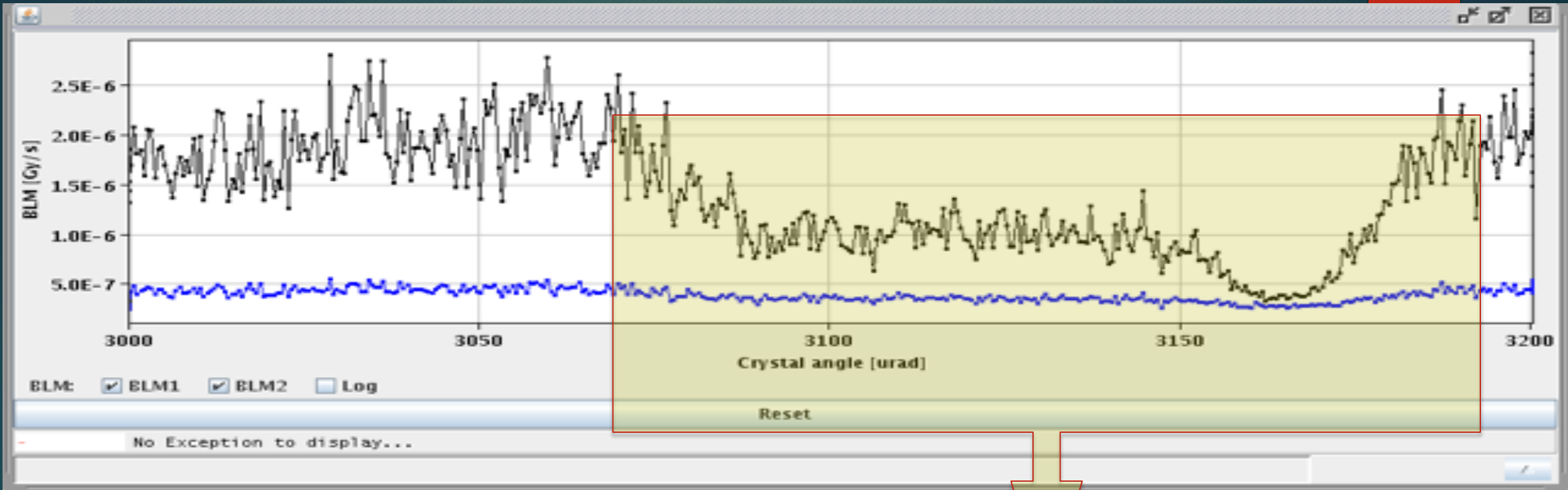
Parametric comparisons made with respect to Taratin's code at 7 TeV for studies related to the choice of crystals installed in the LHC in April 2014

**7 TeV beam with a uniform distribution $\pm 1 \mu\text{rad}$.
Trend of CH & VR efficiency are compared.**

Dashed line: **Fully analytical crystal simulator**
Solid line: **Crystal emulator in SixTrack**



@ first experience at LHC



Run on 30 August 2015

evidence for crystal channeling at LHC

@ Channeling Radiation

@ Channeling Radiation:

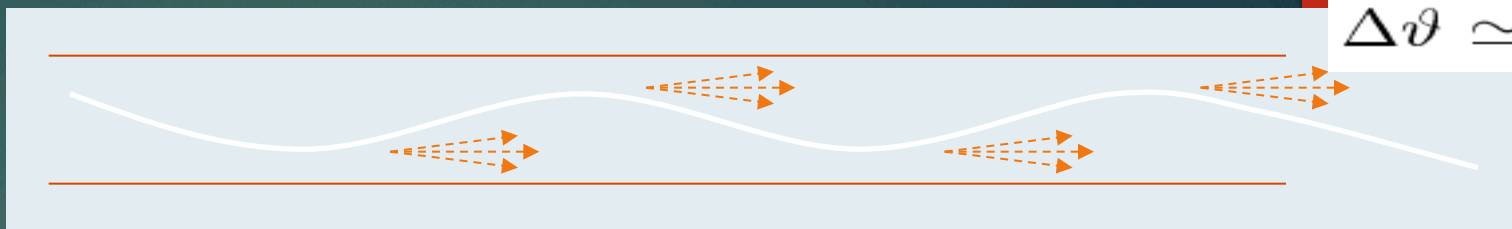
$$\omega = \omega(\theta) = \frac{\omega_{fi}}{1 - \beta_{\parallel} \cos \theta}$$

ω_{fi} - optical frequency

→ Doppler effect

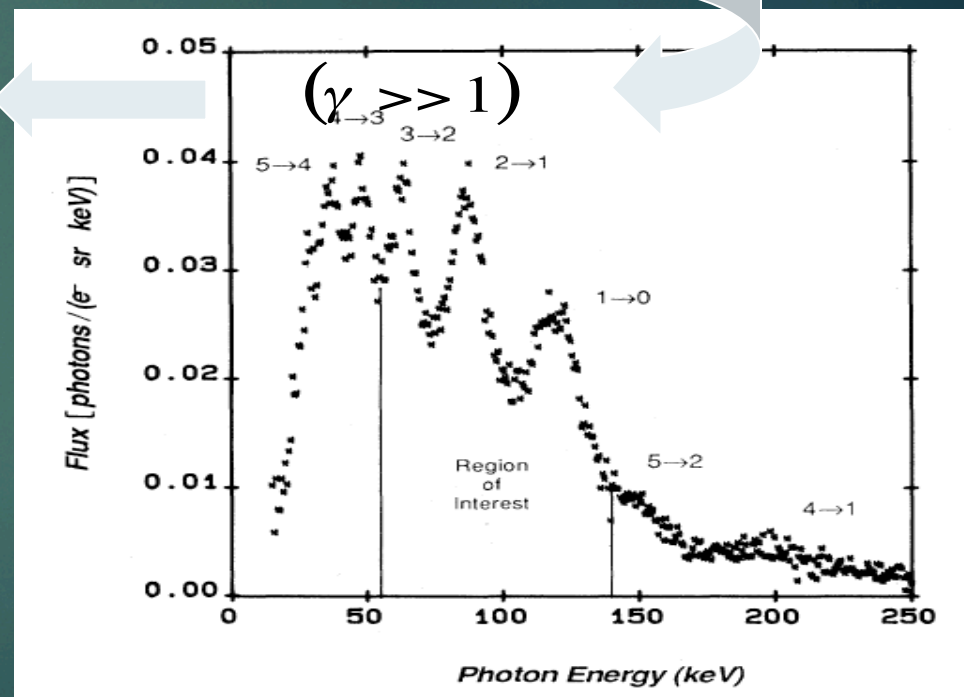
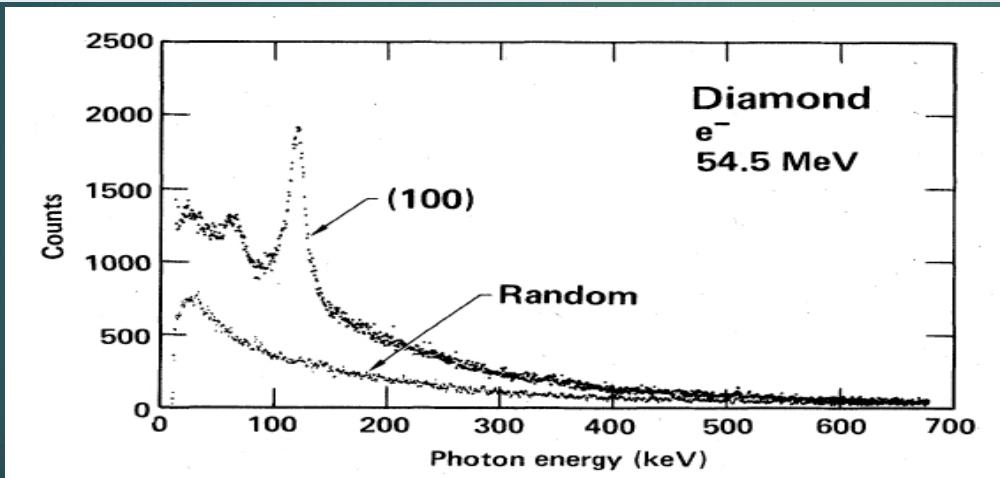
$$\omega_0 \gamma^{3/2} - \omega_0 \gamma^2$$

$$\Delta \vartheta \simeq \gamma^{-1}$$

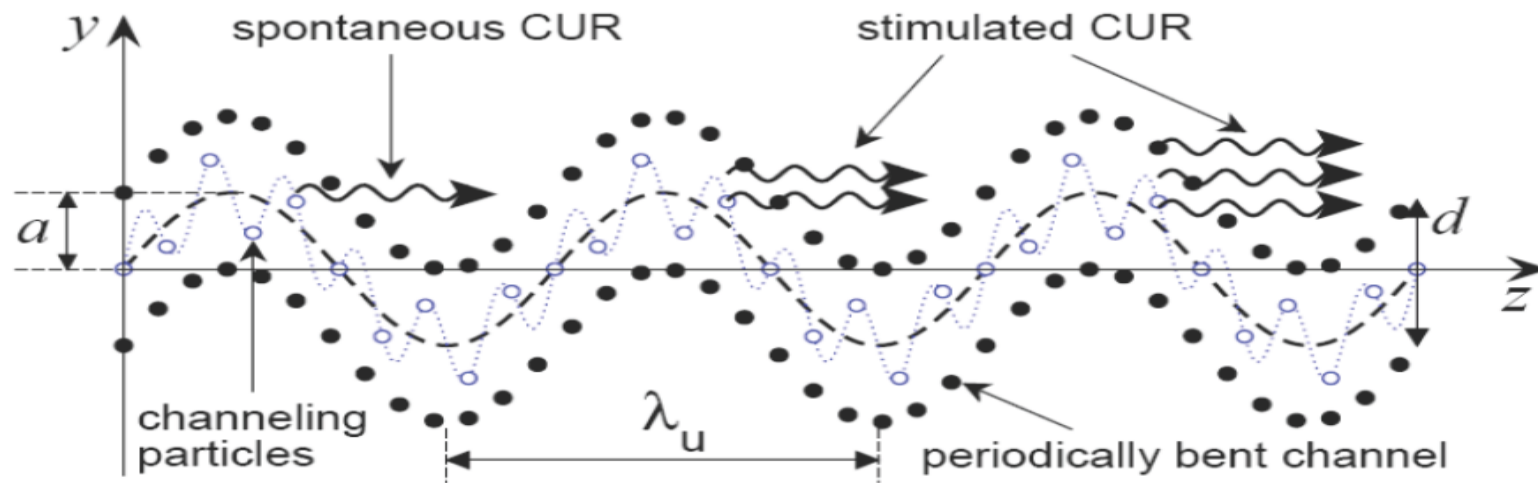


Powerful radiation source of X-rays and γ -rays:

- polarized
- tunable
- narrow forwarded

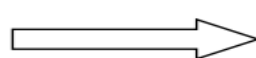


@ Crystalline Undulator



$d = 1 \dots 2 \text{ \AA}$
 $a = (1 \dots 50)d$
 $\lambda_u = 10 \dots 100 \text{ \mu m}$

– the interplanar spacing
 – the amplitude of bending
 – the period of bending

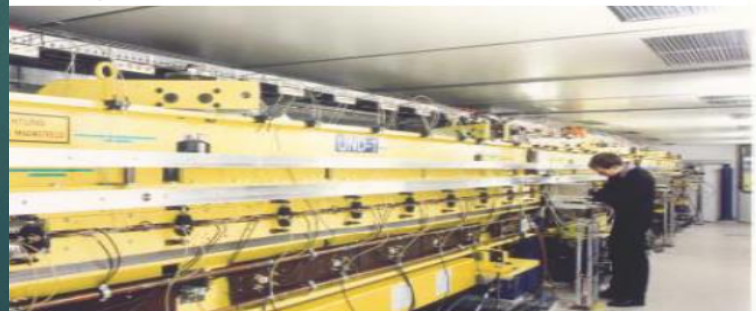


$$d \ll a \ll \lambda_u$$

tion of
 UR:
 es are shifted

huge scale factor →

Magnetic undulator:
 $\lambda_u \sim 1 \text{ cm}$, $\hbar\omega \sim 10 \text{ keV}$



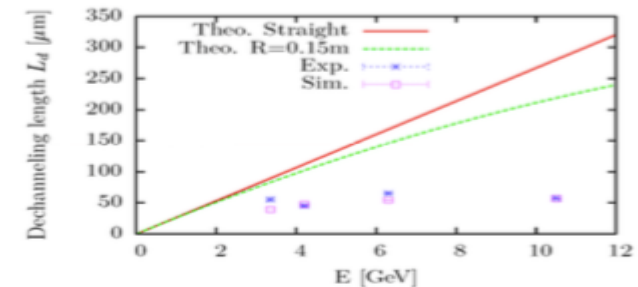
Crystalline undulator:
 $\lambda_u \sim 10 \text{ \mu m}$, $\hbar\omega \sim 0.1 \dots 10 \text{ MeV}$



Channeling Experiments at SLAC



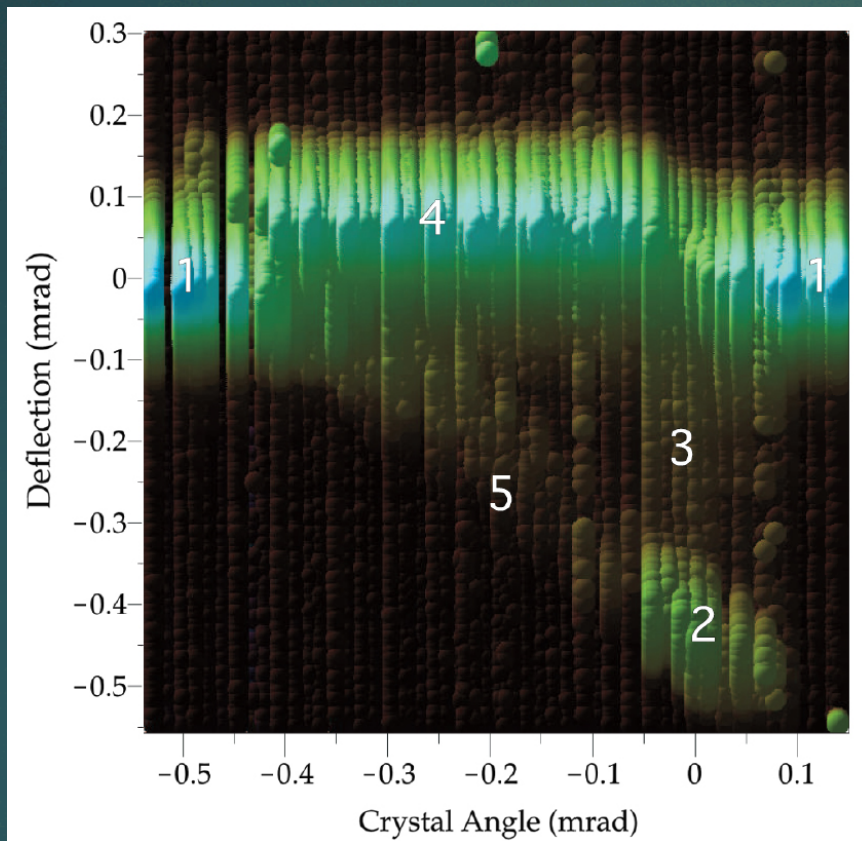
- Aarhus-Ferrara-CalPoly-SLAC Collaboration formed 2013
- 3 separate experiments, at FACET and ESTB
- T513 (complete): Channeling and VR of multi-GeV e^- in a bent Si crystal ($60 \mu\text{m}$, $\rho=0.15 \text{ m}$)
 - ◆ Ferrara crystal
 - ◆ dechanneling length independent of energy
 - ◆ scattering in “free” direction increased by about $\sqrt{3}$ compared to mult. scatt.
- E212 @ FACET: comparison of 20 GeV e^+ and e^- data (in analysis)
- T523 @ ESTB: first attempt to spectroscopy gamma rays from 14 GeV e^- in the T513 crystal (in analysis)
 - ◆ gamma-rays seen; VR spectrum relatively hard, consistent with synch. radiation
 - ◆ data quality not quite there yet; will improve experiment and try again.



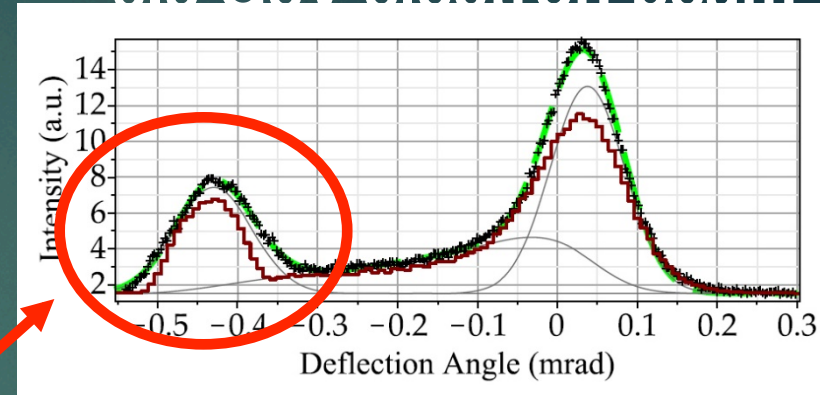
Wienands et al., PRL 114, 074801 (2015)
Wistisen et al., submission to PRB.

@ Multi-GeV electron beam steering (SLAC):
courtesy of A. Mazzolari

6.3 GeV electron beam



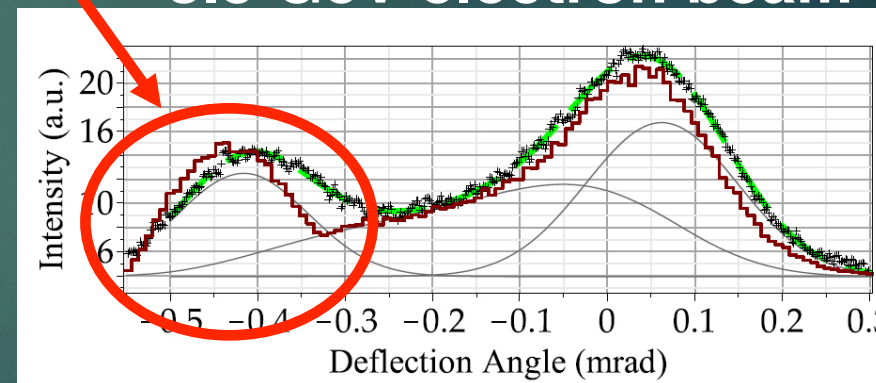
6.3 GeV electron beam



Channeling

Efficiency ~ 23%

3.5 GeV electron beam

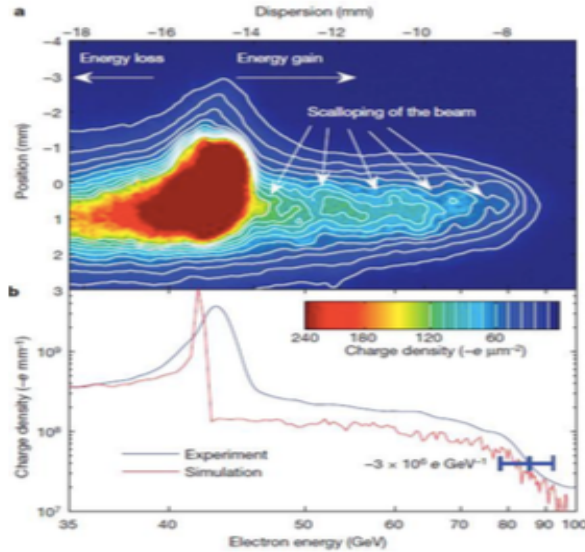


Efficiency ~ 22%

U. Wienands et al., PRL 114 074801 (2015)

@ Nano-Channeling at Fermilab: i
courtesy of Shin

Gas-State Plasma

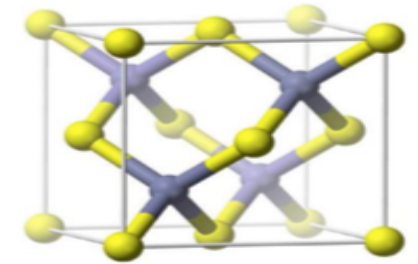
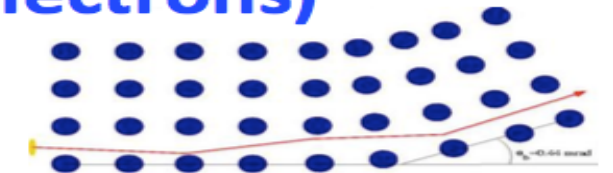
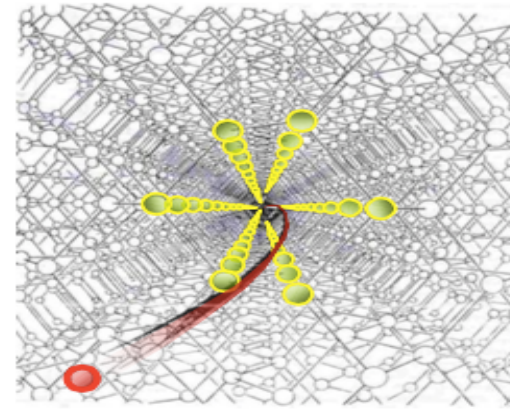


$10^{16} - 10^{18} \text{ cm}^{-3} \rightarrow 10 \sim 100 \text{ GeV/m}$

Nature 445, 741-744 (2007)

Energy Doubling: $\sim 52 \text{ GV/m}$ (@ 42 GeV)

Solid-State Plasma (Conduction Electrons)



$$E_0 = \frac{m_e c \omega_p}{e} \approx 100 \left[\frac{\text{GeV}}{m} \right] \cdot \sqrt{n_0 [10^{18} \text{ cm}^{-3}]}$$

$10^{20} - 10^{23} \text{ cm}^{-3} \rightarrow 1 \sim 30 \text{ TeV/m}$

@ Nano-Channeling at Fermilab: i courtesy of Shin

$$\Delta E_{\max} = \left(\frac{M_b}{M_p} \right)^2 (\Lambda G)^{1/2} \left(\sqrt{\frac{G}{z^3 \times 100 [GV/cm]}} \right) \cdot 10^5 [TeV]^*$$

(M_b and M_p are the mass of the beam particle and mass of the proton respectively, Λ is the de-channeling length per unit of energy, G is the accelerating gradient, and z is the charge of the beam particle)

- **0.3 TeV for electrons/positrons,**
- **10^4 TeV for muons,**
- **10^6 TeV for protons**

*P. Chen and R.J. Noble, in: Relativistic Channeling, eds. R.A. Carrigan, Jr and J.A. Ellison (Plenum, New York, 1987) p. 517.

	Dielectric based	Plasma based	Crystal channeling
Accelerating media	micro-structures	ionized plasma	solid crystals
Energy source: option 1 option 2	optical laser e^- bunch	e^- bunch optical laser	x-ray laser particle beam
Preferred particles	any stable	e^-, μ	$\mu^+, p^+ (e^+, e^-)$
Max acc gradient	1-3 GV/m	30-100 GV/m	0.1-10 TV/m
c.m. energy in 10 km	3-10 TeV	3-50 TeV	10^3 - 10^5 TeV
# stages/10 km: option 1 option 2	$10^5 - 10^6$ $10^4 - 10^5$	~ 100 $10^3 - 10^4$	~ 1

- V. Shiltsev, Physics-Uspekhi (2012)

- F. Zimmermann, "The future of highest energy accelerators", CERN, Geneva, Switzerland