

# Charm Theory (Mixing, etc)

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CKM2008 Workshop



# Topics and Abbreviations

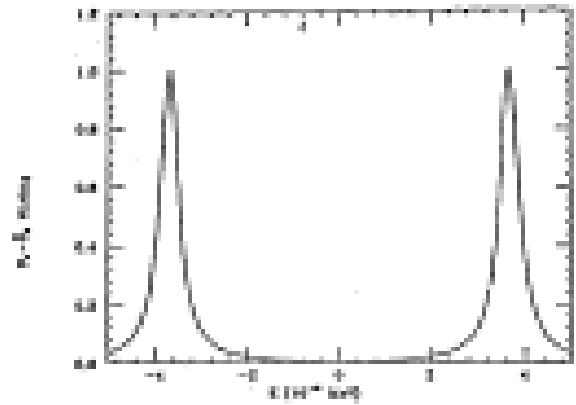
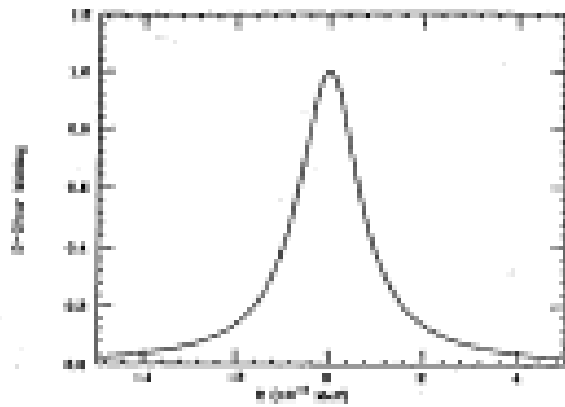
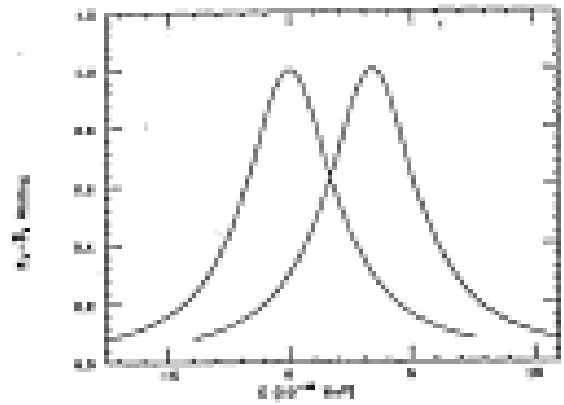
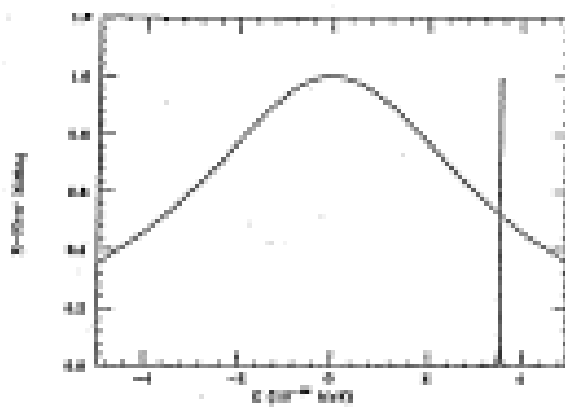
## Topics

- 1] A look at the data
- 2] Charm mixing and SM
- 3] Charm mixing and NP

## The Usual Abbreviations

- 1] SM = Standard Model
- 2] NP = New Physics
- 3] CPV = CP Violations
- 4] HFAG = Heavy Flavor Averaging Group

# Profiles of Mixing



Mixing in  $K$ ,  $B_d$ ,  $D$  and  $B_s$  Mesons.

# x and y in Charm

ICHEP 2008 HFAG values (CPV-allowed fit):

$$x = \frac{\Delta M}{\Gamma}$$

$$x = 0.0100^{+0.0024}_{-0.0026}$$

$$y = \frac{\Gamma_1 - \Gamma_2}{\Gamma_1 + \Gamma_2}$$

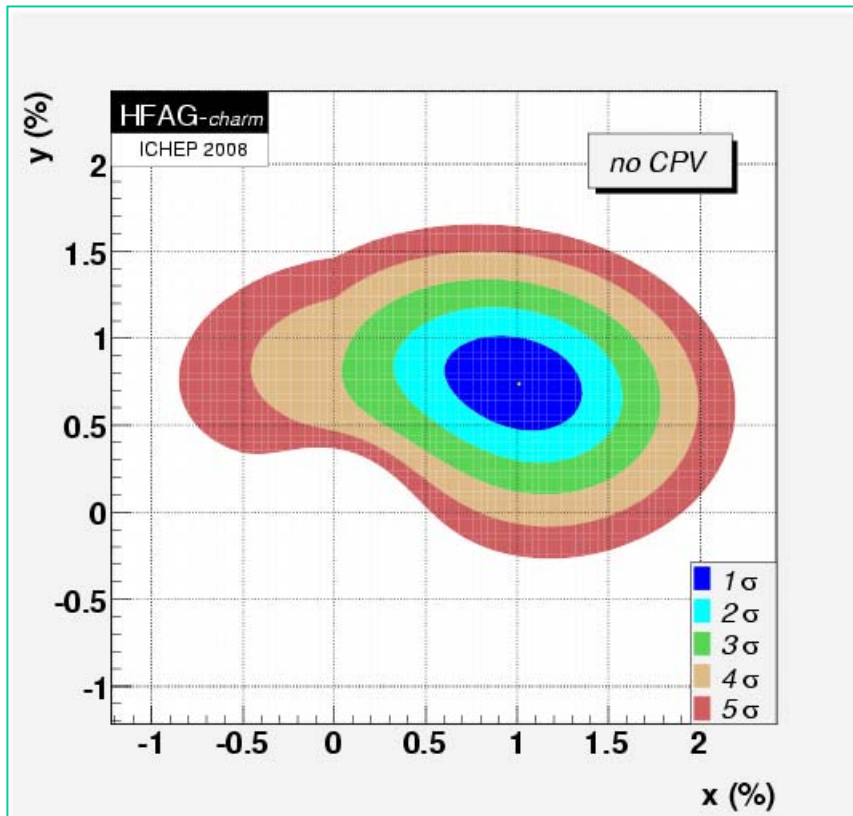
$$y = 0.0076^{+0.0017}_{-0.0018}$$

Other systems have:

	x	y	Lesson
K:	.540	.997	$m_c$
$B_d$ :	.78	Tiny	$m_t$
$B_s$ :	26	.15	$(V_{td}/V_{ts})^2$

# Contour Plot: 'No CPV' Fit

HFAG (ICHEP 2008)

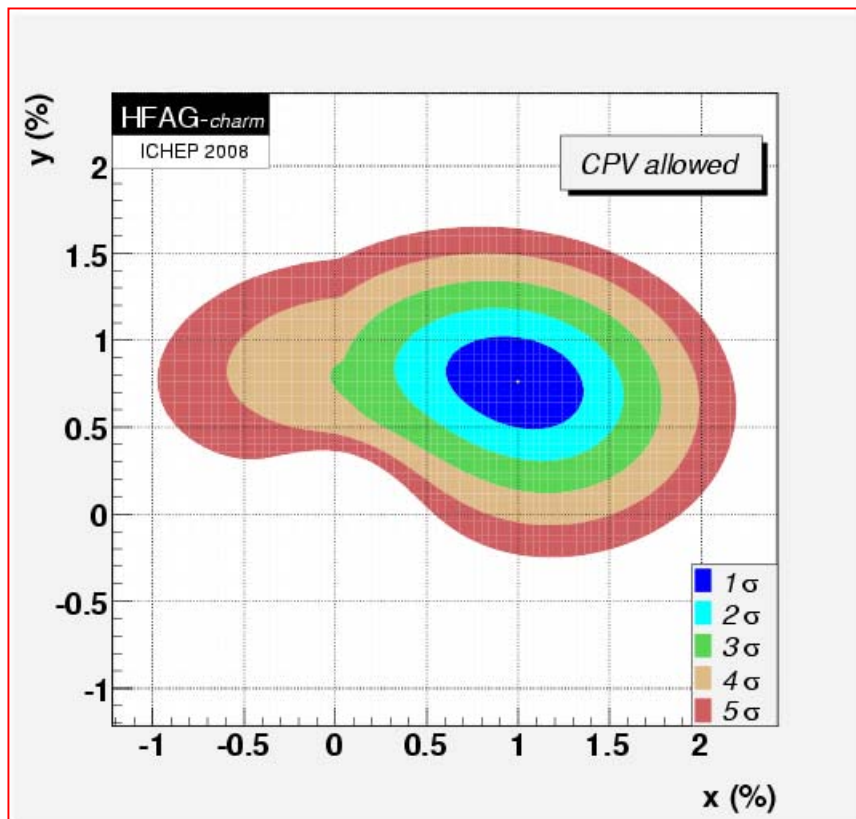


$y(\%)$  vs  $x(\%)$  (No CPV Fit)

- 1] The point  $x=y=0$  excluded by  $6.7\sigma$ .
- 2]  $x, y$  differ from 0 by more than  $3\sigma$ .
- 3]  $x > 0$  and  $y > 0$  favored (await direct determination for sign of  $x$ ).

# Contour Plot: 'CPV allowed' Fit

HFAG (ICHEP 2008)



No significant difference from 'No-CPV Fit'.

## Testing for CPV in Mixing

$$|\mathbf{D}_{1,2}\rangle = p |\mathbf{D}^0\rangle \pm q |\bar{\mathbf{D}}^0\rangle$$

$$q/p = |q/p| e^{i\varphi}$$

**CP Invariance:**  $|q/p| = 1$  and  $\varphi=0$

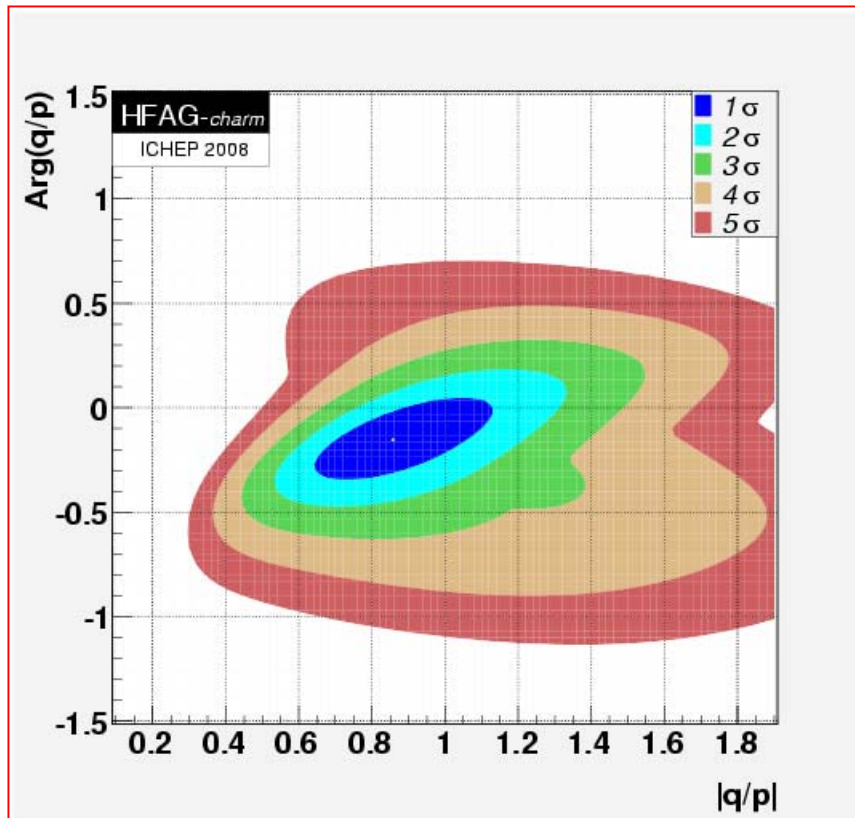
**HFAG Values (8/08):**

$$|q/p| = 0.86_{-0.15}^{+0.17}$$

$$\varphi \text{ (deg)} = -8.8_{-7.2}^{+7.6}$$

**Consistent with CP Invariance.**

# Contour Plot: $\phi$ vs $|q/p|$



The 'no-CPV' point  $|q/p|=1$ ,  $\phi=0$  lies within the  $1\sigma$  (blue) contour.



# CPV in Decay\*

Here: Time-integrated CPV Asymmetry  $a_f$

$$a_f = \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow \bar{f})}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow \bar{f})}$$

## Possible Sources

Can arise from decay, mixing, interference

$$a_f = a_f^d + a_f^m + a_f^i$$

Some Previous Estimates as Large as

SM, NP:  $a_f \sim 10^{-3} \rightarrow 10^{-2}$

\* Talk by B. Winters at ICHEP 2008

# Testing CPV in Decay (HFAG)

Asymmetry	Mode	Value
$\frac{\Delta\Gamma}{2\Gamma}$	$D^0 \rightarrow K^+K^-$	$.0016 \pm .0023$
	$D^0 \rightarrow \pi^+\pi^-$	$.0022 \pm .0037$
	$D^0 \rightarrow K^- \pi^+\pi^0$	$.0016 \pm .0089$
	$D^+ \rightarrow K_S \pi^+$	$.0086 \pm .009$
	$D^+ \rightarrow K^+ K^- \pi^+$	$.0059 \pm .0075$
$\frac{\Delta\tau}{2\tau}$	$D^0 \rightarrow K^+K, \pi^+\pi^-$	$.0012 \pm .0025$

# SM and Charm Mixing

## Quark-level Analysis

Expansions in:

- 1] Operator Dimension  $D$
- 2] QCD  $\alpha_s$
- 3] Mass ratio  $z = (m_s/m_c)^2$

Discuss: CKM vis-à-vis observed mixing.

## Hadron-level Analysis

Focus on  $\gamma_D$

Direct Involvement of Data/Models

Role of SU(3) Breaking

Possible Large Effect

# Charm Mixing and the OPE\*

Expand in increasing operator dimension:

$$D^0 \quad \begin{array}{c} \diagup \\ \diagdown \end{array} \quad \begin{array}{c} \diagdown \\ \diagup \end{array} \quad \bar{D}^0 = \sum_n O_n^{(d=6)}$$
$$+ \sum_n O_n^{(d=9)} + \dots$$

**D=6:** Two local 4F operators

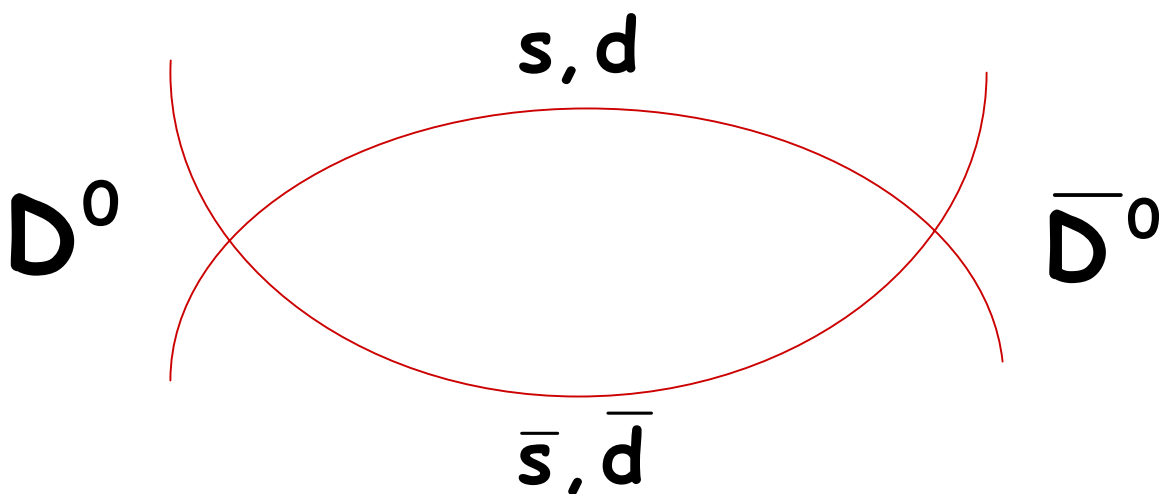
**D=9:** Fifteen local 6F operators

Etc

\*[Georgi PL B297 (1992) 353]

## Dimension Six

Ignore b quark. Int. states  $s\bar{s}, d\bar{d}, s\bar{d} + d\bar{s}$ .



CKM?  $\gamma_D \propto (V_{us}/V_{cs})^2 F(z) \sim 0.01 F(z)$  (!)

Expand  $F(z)$  in powers of  $z = \frac{m_s^2}{m_c^2} \approx 0.006$

# Flavor Cancellations

$\Delta\Gamma$  at  $d=6$  ( $m_d=0$ ):

$z^0$        $z^1$        $z^2$

$s\bar{s}$        $\frac{1}{2}$

$d\bar{d}$

$s\bar{d} + d\bar{s}$

**Total**

# Flavor Cancellations

$\Delta\Gamma$  at  $d=6$  ( $m_d=0$ ):

$z^0$        $z^1$        $z^2$

$$s\bar{s} \quad \frac{1}{2}$$

$$d\bar{d} \quad \frac{1}{2}$$

$$s\bar{d} + d\bar{s}$$

**Total**

# Flavor Cancellations

$\Delta\Gamma$  at  $d=6$  ( $m_d=0$ ):

$z^0$        $z^1$        $z^2$

$$s\bar{s} \quad \frac{1}{2}$$

$$d\bar{d} \quad \frac{1}{2}$$

$$s\bar{d} + d\bar{s} \quad -1$$

**Total**      **0**



# Flavor Cancellations

$\Delta\Gamma$  at  $d=6$  ( $m_d=0$ ):

	$z^0$	$z^1$	$z^2$
$s\bar{s}$	$\frac{1}{2}$	$-3z$	
$d\bar{d}$	$\frac{1}{2}$	$0$	
$s\bar{d} + d\bar{s}$	$-1$	$3z$	
<b>Total</b>	<b>0</b>	<b>0</b>	

# Flavor Cancellations

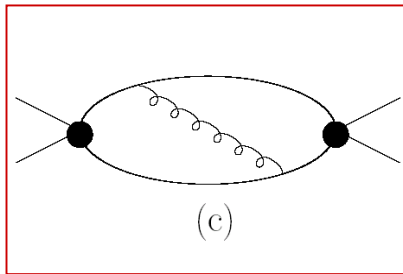
$\Delta\Gamma$  at  $d=6$  ( $m_d=0$ ):

	$z^0$	$z^1$	$z^2$
$s\bar{s}$	$\frac{1}{2}$	$-3z$	$3z^2$
$d\bar{d}$	$\frac{1}{2}$	$0$	$0$
$s\bar{d} + d\bar{s}$	$-1$	$3z$	$-3z^2$
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>

# Status of Dimension Six

EG & Petrov [PLB 625 (2005) 53]

Expand in  $\alpha_s$ :

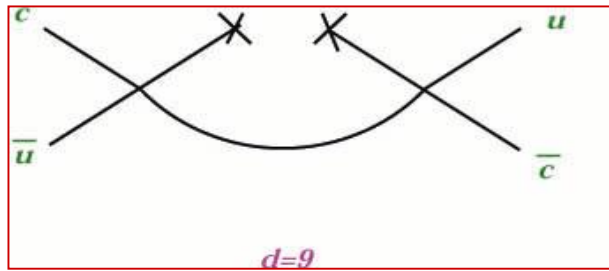


	$x$	$y$	Comment
$\alpha_s^0$ (LO)	$z^2$	$z^3$	$x^{(LO)} \gg y^{(LO)}$
$\alpha_s^1$ (NLO)	$z^2$	$z^2$	$x^{(NLO)} > y^{(NLO)}$

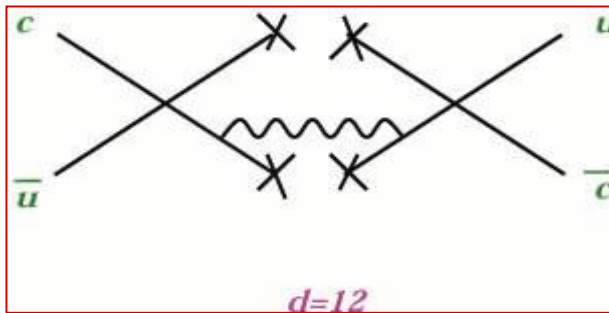
D=6 LO + NLO Result:  $x \approx y \approx 10^{-6}$

# Higher Terms in the OPE\*

$D = 9$



$D = 12$



\*[Ohl, Ricciardi & Simmons NP B403 (1993) 605]  
[Bigi & Uraltsev, NP B592 (2001) 92]

Large number of  $D > 6$  operators (Bad!).  
Can have reduced  $z$ -dependence (Good!).  
Evaluate matrix elements?  
Cancellations?

# Hadron Analysis and $y_D$

$$y_D = \frac{1}{2M_D \Gamma_D} \text{Im} \langle \bar{D}^0 | i \int d^4x T(H_w(x)H_w(0)) | D^0 \rangle$$

Insert hadronic int. states:  $\sum_n |n\rangle\langle n|$

Require matrix elements:  $\langle n | H_w | D^0 \rangle$

## 1] Model the Matrix Elements

Ex:  $B_s$  Mixing (Orsay Group [PLB 316 (1993) 567])

**D Mixing:** (Buccella et al [PRD 51 (1995) 3478])

Find  $y_D \sim 10^{-3}$

## LD ( $\Delta\Gamma$ ) cont.

### 2. Use data

(a) Early Work **UMass** [PRD 33 (1985) 178]

Choose  $n = P^+P^-$

$$y_D^{(P^+P^-)} \propto \Gamma_{\pi^+\pi^-} + \Gamma_{K^+K^-} - 2\sqrt{\Gamma_{K^+\pi^-}\Gamma_{K^-\pi^+}}$$

Back then,  $SU(3)$  breaking seemed large.

If so, ' $y_D$  large'.

(b) Recent Work **Falk et al** [PRD 69 (2004) 114021]

$SU(3)$  breaking 'small' (2<sup>nd</sup> order).

But 4P sector **cannot** cancel.

**' $y_D \sim 10^{-2}$  possible'** (but hadronic uncerts.)

Can even get  $x_D$  from dispersion relation.

'Conclude  $x_D$  and  $y_D$  are of **opposite sign**

with  $x_D/y_D \sim - (0.1 \rightarrow 1.0)$ .'

# New Physics in $D^0$ Mixing?

## Width Difference $\gamma_D$

Intermediate states **on-shell**.

Thus only light particles propagate.

Can there be **any** NP effects?

In principle, the answer is **'yes'**!

## Mass Difference $x_D$

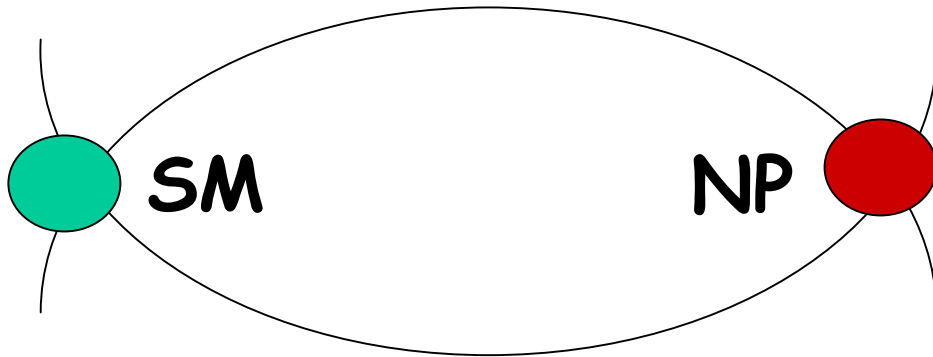
Intermediate states off-shell.

**Many** possible NP candidates.

Which one to consider?

How to organize?

# New Physics and $\Delta\Gamma$



NP **can** affect  $\Delta\Gamma$ !

Via the  $\Delta C = \pm 1$  interaction vertex.

Processes like  $c\bar{u} \rightarrow q_1\bar{q}_2$

Golowich, Pakvasa, Petrov, PRL 98 (2007) 181801.

[Comment]: Chen, Geng, Nam, PRL 99 (2007) 019101.

[Comment<sup>2</sup>]: Yeghayan, arXiv 0707.3285 [hep-ph].



## Some Results for $y_D$

Model	$y_D$	Comment
RPV-SUSY	$6 \cdot 10^{-6}$	Squark Exch.
	$-4 \cdot 10^{-2}$	Slepton Exch.
Left-right	$-5 \cdot 10^{-6}$	'Manifest'.
	$-8.8 \cdot 10^{-5}$	'Nonmanifest'.
Multi-Higgs	$2 \cdot 10^{-10}$	Charged Higgs
Extra Quarks -	$10^{-8}$	Not Little Higgs

# New Physics and $x_D$

EG, Hewett, Pakvasa, Petrov [PR D76 (2007) 095009]

As the LHC era begins, many extras possible:

- Extra **gauge bosons**  
(LR models, etc)
- Extra **scalars**  
(Multi-Higgs models, etc)
- Extra **fermions**  
(Little Higgs, etc)
- Extra **dimensions**  
(Universal extra dimensions, etc)
- Extra **global symmetries**  
(SUSY, etc)

GHPP study 21 NP models.

# List of NP Models

Fourth Generation

$Q=-1/3$  Singlet Quark

$Q=+2/3$  Singlet Quark

Little Higgs

Generic  $Z'$

Family Symmetries

Left-Right Symmetries

Alternate L-R Symmetries

Vector Leptoquark Bosons

Fl-Cons Two-Higgs Dbt

Fl-Chnge Neutral Higgs I

Fl-Chnge Neutral Higgs II

Scalar Leptoquark Bosons

Higgless

Universal Extra Dims

Split Fermion

Warped Geometries

Minimal SUSY Standard

SUSY Alignment

SUSY with RPV

Split SUSY

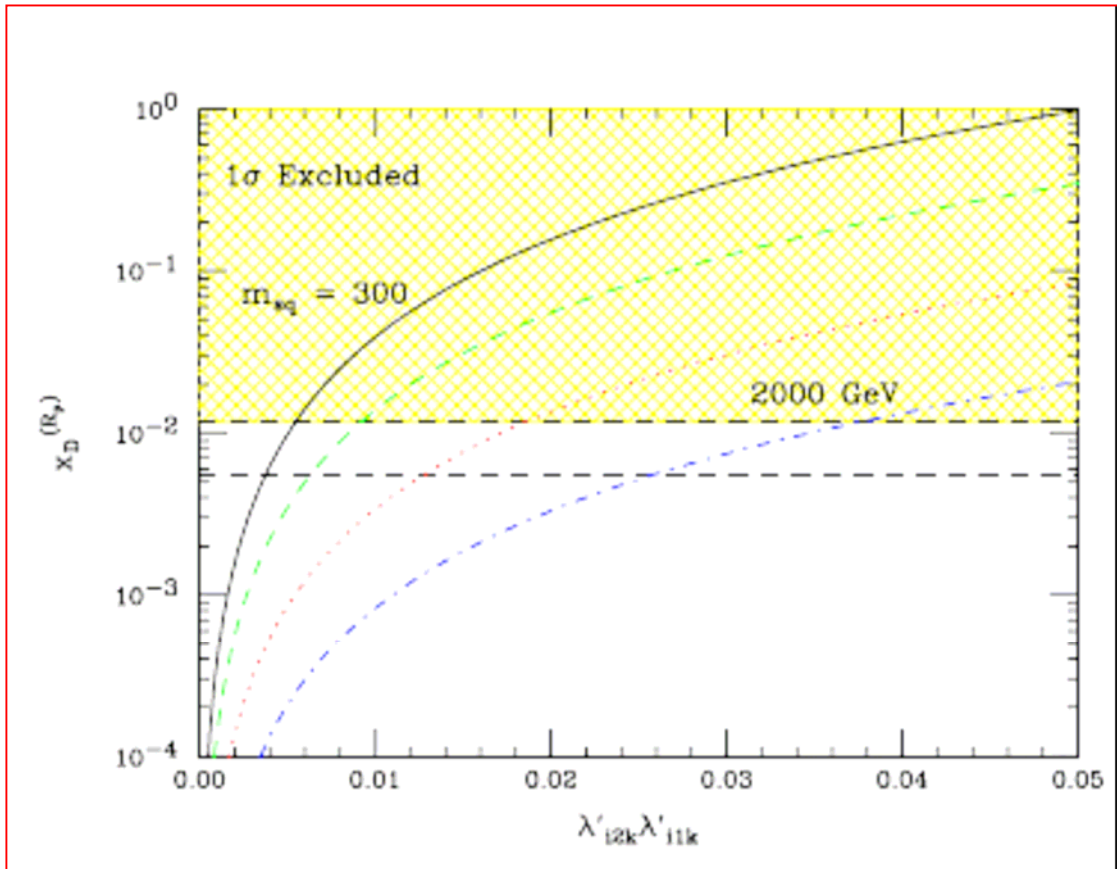
## Question to Ourselves

Of the **21** NP models, how many turn out to yield contributions **too small** for  $D^0$  mixing at the observed  $10^{-2}$  level?

**Comment:** Note many NP models have been on the market for years (e.g., SUSY has been studied for over 30 yrs) and their parameter spaces have been steadily constrained.

# NP Example: RPV SUSY

Graph  $x_D$  vs RPV parameters:



Constraints tighten with improved limits on  $x_D$ .

At present, get  $\tilde{\lambda}'_{i1k} \tilde{\lambda}'_{i2k} \leq 1.8 \cdot 10^{-3} \frac{\tilde{m}_d}{100 \text{ GeV}}$

# Results of $x_D$ Analysis

Fourth Generation	$ V_{ub}' V_{cb}'  m_b' < 0.5 \text{ GeV}$
Q=-1/3 Singlet Quark	$s_2 m_s < 0.27 \text{ GeV}$
Q=+2/3 Singlet Quark	$ \lambda_{uc}  < 2.4 \cdot 10^{-4}$
Little Higgs	Tree: Same as Q=-1/3 Singlet Qk Box: Can reach observed xD
Generic Z'	$M_{Z'}/C > 2.2 \cdot 10^3 \text{ TeV}$
Family Symmetries	$m_1/f > 1.2 \cdot 10^3 \text{ TeV}$
Left-Right Symmetries	No Constraint
Alternate L-R Symmetries	$M_R > 1.2 \text{ TeV}$ ( $m_{D1} = 0.5 \text{ TeV}$ ) $(\Delta m/m_{D1})/M_R > 0.4 \text{ TeV}^{-1}$
Vector Leptoquark Bosons	$M_{VLQ} > 55 (\lambda_{PP}/0.1) \text{ TeV}$
Fl-Cons Two-Higgs Doublet	No Constraint
Fl- Change Neutral Higgs I	$m_H/C > 2.4 \cdot 10^3 \text{ TeV}$
Fl-Change Neutral Higgs II	$m_H/ \Delta_{uc}  > 600 \text{ GeV}$
Scalar Leptoquark Bosons	See RPV SUSY
Higgless	$M > 100 \text{ TeV}$
Universal Extra Dimensions	No Constraint
Split Fermion	$M/ \Delta y  > 600 \text{ GeV}$
Warped Geometries	$M_1 > 3.5 \text{ TeV}$
Minimal SUSY Standard	$ (\delta_{12}^u)_{LR,LR}  < 0.035$ $ (\delta_{12}^u)_{LL,RR}  < 0.25$
SUSY Alignment	$M > 2 \text{ TeV}$
SUSY with RPV	$\lambda'_{12k} \lambda'_{11k}/m < 1.8 \cdot 10^{-4}/100 \text{ GeV}$
Split SUSY	No Constraint

## Answer to Question

### Ineffective Models:

Four yield no constraints:

1. Split supersymmetry
2. Universal Extra Dimensions
3. Left-right symmetric
4. FC two-Higgs doublet

### Constrainable Models:

There are **17** which can, in principle, exceed the observed  $x_D$ . For these, we can get constraints on masses and mixing parameters.

# Split SUSY - Why So Small?

## What 'is' Split SUSY?:

- Variant of SUSY (2003-4)
- SUSY breaks at  $m_s \gg 1000 \text{ TeV}$
- Scalars (except Higgs) have mass  $\sim m_s$
- Fermions have usual weak scale mass

## Why So Small in $D^0$ Mixing?:

Large  $D^0$  mixing in SUSY involves squark (i.e. scalar quark) amplitudes. But squark masses are **huge** in Split SUSY. Thus the mixing is suppressed.



# UEDs - Why So Small?

## What 'are' Universal Extra Dimensions?

- Variant (2000) of having  $\text{TeV}^{-1}$ -sized extra dimensions
- No branes in this approach
- All SM fields reside in the bulk
- Usually one extra dimension

## Why So Small in $D^0$ Mixing?:

Each SM field has an infinity of KK excitations. **GIM cancellations** affect all save a few b-quark KK terms, but these are CKM suppressed.

## Concluding Remarks

### Experiment:

$x_D$  and  $y_D$  signals at 1%. Await direct meas. of  $x_D$  sign via Dalitz studies (BABAR, BELLE).  
No CPV seen in charm mixing or decay.

### SM Theory (Hadron Analysis):

Estimate  $y_D \sim 10^{-2}$  but hadronic physics messy;  $x_D$  problematic (**wrong sign!**).

### NP Theory:

Many NP models **can** yield sizable  $x_D$  but a few **cannot**. Charm mixing data yield useful constraints. Could  $x_D$  have a NP component?

### Theory - Things to Do:

Sign of SM prediction for  $x_D$ .  
Relate charm mixing to charm rare decays.  
Keep working on CPV in charm.  
Respond to LHC findings!

