Modelli Teorici per Studi di Struttura Nucleare II

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Microscopic nuclear structure calculations

- Common background: the starting point is a realistic nuclear potential.
- Main tasks:
 - take into account, as much as possible, all degrees of freedom of the interacting nucleons;
 - keep under control the needed approximations: evaluation of theoretical errors;
 - parameter-free approach to the nuclear structure;
 - enhance the predictiveness.
- <u>Notable models</u>: No-core shell model, coupled-cluster approach, realistic shell model.







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No-Core Shell Model

The starting point: A-nucleons hamiltonian, that includes also the center-of-mass potential

$$\mathcal{H}_{A}^{\omega} = \sum_{i=1}^{A} \left[\frac{p_{i}^{2}}{2m} + \frac{1}{2} \omega^{2} r_{i}^{2} \right] + \sum_{i < j=1}^{A} \left[V(\mathbf{r}_{i} - \mathbf{r}_{j}) - \frac{m \omega^{2}}{2A} (\mathbf{r}_{i} - \mathbf{r}_{j})^{2} \right]$$

- Problem: too big to be diagonalized, even for light nuclei
- Solution: The Hilbert space is then truncated to those states with harmonic oscillator quanta N less or equal than a fixed N_{max}
- ⇒ An effective hamiltonian *H*_{eff} that acts in this truncated model space is derived by way of the Lee-Suzuki unitary transformation.



No-Core Shell Model

- Limitations: NCSM, within present computer technology, provides reliable nuclear structure only for light nuclear systems.
- Evolution: with a double-step procedure, an effective shell-model hamiltonian for a shell-model calculation (a few valence nucleons in a reduced model space) may be obtained.

 Nmarco V(reve)



This extension may applied to heavier nuclei.





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Within the coupled-cluster theory (F. Coester, 1958) the correlated function of the many-body system is written as

 $|\Psi\rangle = \exp(-T)|\Psi_0\rangle$,

where the correlation operator $T = T_1 + T_2 + ... + T_A$ is expressed as a sum of correlation operators

$$T_1 = \sum_{i < \epsilon_f, a > \epsilon_f} t_i^a a_a^{\dagger} a_i \qquad T_2 = \sum_{ij < \epsilon_f, ab > \epsilon_f} t_{ij}^{ab} a_a^{\dagger} a_b^{\dagger} a_i a_j \quad .$$



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Coupled-Cluster Approach

The amplitudes *t* of the correlation operators are calculated using the variational principle. This procedure leads to the following set of equations:

$$\langle \Psi_0 | \exp(T) H \exp(-T) | \Psi_i^a \rangle = 0$$

 $\langle \Psi_0 | \exp(T) H \exp(-T) | \Psi_{ij}^{ab} \rangle = 0$

These equations may be calculated using the Baker-Hausdorf relation:

$$\exp(T)H\exp(-T) = H + [H, T_1] + [H, T_2] + \frac{1}{2}[[H, T_1], T_1] + \frac{1}{2}[[H, T_2], T_2] + [[H, T_1], T_2]$$

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Coupled-Cluster Approach

This method may be more easily extended to heavier systems





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The realistic shell model

- The derivation of the shell-model effective hamiltonian using the many-body perturbation theory may provide a useful tool to study nuclei in the full range of nuclear masses
- The model space may be "shaped" according to the computational needs of the diagonalization of the shell-model hamiltonian
- In such a case, the effects of the neglected degrees of freedom are taken into account by the effective hamiltonian H_{eff} theoretically



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Effective shell-model hamiltonian

The shell-model hamiltonian has to take into account in an effective way all the degrees of freedom not explicitly considered

Two alternative approaches

- phenomenological
- microscopic

$$V_{NN}$$
 (+ V_{NNN}) \Rightarrow many-body theory \Rightarrow H_{eff}

Definition

The eigenvalues of $\textit{H}_{\rm eff}$ belong to the set of eigenvalues of the full nuclear hamiltonian

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Workflow for a realistic shell-model calculation

- Choose a realistic NN potential (NNN)
- Set up the model space which is better tailored to study the nuclear system under investigation
- Oerive the effective shell-model hamiltonian by way of the many-body theory
- Calculate the physical observables (energies, e.m. transition probabilities, ...)



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The shell-model effective hamiltonian

A-nucleon system Schrödinger equation

 $|H|\Psi_{
u}
angle=E_{
u}|\Psi_{
u}
angle$

with

$$H = H_0 + H_1 = \sum_{i=1}^{A} (T_i + U_i) + \sum_{i < j} (V_{ij}^{NN} - U_i)$$

Model space

$$|\Phi_i\rangle = [a_1^{\dagger}a_2^{\dagger} \dots a_n^{\dagger}]_i |c\rangle \Rightarrow P = \sum_{i=1}^d |\Phi_i\rangle\langle\Phi_i|$$

Model-space eigenvalue problem

$$H_{\rm eff} P |\Psi_{\alpha}\rangle = E_{\alpha} P |\Psi_{\alpha}\rangle$$

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The shell-model effective hamiltonian

$$\begin{pmatrix} PHP & PHQ \\ \hline QHP & QHQ \end{pmatrix} \begin{array}{c} \mathcal{H} = X^{-1}HX \\ \Longrightarrow \\ Q\mathcal{H}P = 0 \end{array} \begin{pmatrix} P\mathcal{H}P & P\mathcal{H}Q \\ \hline 0 & Q\mathcal{H}Q \end{pmatrix}$$

 $H_{
m eff} = P\mathcal{H}P$ Suzuki & Lee $\Rightarrow X = e^{\omega}$ with $\omega = \left(egin{array}{c|c} 0 & 0 \ \hline Q\omega P & 0 \end{array}
ight)$

$$H_{1}^{\text{eff}}(\omega) = PH_{1}P + PH_{1}Q \frac{1}{\epsilon - QHQ}QH_{1}P - PH_{1}Q \frac{1}{\epsilon - QHQ}\omega H_{1}^{\text{eff}}(\omega)$$

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The shell-model effective hamiltonian

Folded-diagram expansion

 \hat{Q} -box vertex function

$$\hat{Q}(\epsilon) = PH_1P + PH_1Qrac{1}{\epsilon-QHQ}QH_1P$$

 \Rightarrow Recursive equation for $H_{\text{eff}} \Rightarrow$ iterative techniques (Krenciglowa-Kuo, Lee-Suzuki, ...)

$$H_{\mathrm{eff}} = \hat{Q} - \hat{Q}' \int \hat{Q} + \hat{Q}' \int \hat{Q} \int \hat{Q} - \hat{Q}' \int \hat{Q} \int \hat{Q} \int \hat{Q} \cdots$$



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The perturbative approach to the shell-model H^{eff}

$$\hat{Q}(\epsilon) = PH_1P + PH_1Q rac{1}{\epsilon - QHQ}QH_1P$$

The \hat{Q} -box can be calculated perturbatively

$$\frac{1}{\epsilon - QHQ} = \sum_{n=0}^{\infty} \frac{(QH_1Q)^n}{(\epsilon - QH_0Q)^{n+1}}$$



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\hat{Q} -box perturbative expansion: 1-body diagrams





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\hat{Q} -box perturbative expansion: 2-body diagrams







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\hat{Q} -box perturbative expansion: 2-body diagrams

╞╡╋╌╋ BBBB ~ (m) BBBB ,O NO O °Q. 10 0 10h On On Ċ. 5 O 0- 0- -0 10 0° 010 <u>O</u> 0 0 0 0 0 0 0



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Our recipe for realistic shell model

- Input V_{NN} : V_{low-k} derived from the high-precision NN CD-Bonn potential with a cutoff: $\Lambda = 2.6 \text{ fm}^{-1}$.
- $H_{\rm eff}$ obtained calculating the *Q*-box up to the 3rd order in $V_{\rm low-k}$.
- Effective electromagnetic operators are consistently derived by way of the the MBPT



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Large-scale shell model

- Large-scale shell-model calculations are, at present, a consolidated tool to investigate nuclear properties.
- The new physics coming from RIBs facilities provides a challenging ground, since they are approaching the nuclear driplines.
- The computational complexity of dealing with large model spaces and many interacting valence nucleons is the main problematic to be tackled.



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Large-scale shell model

PHYSICAL REVIEW C

VOLUME 50, NUMBER 1

JULY 1994

Full pf shell model study of A=48 nuclei

E. Caurier and A. P. Zuber Groupe de Physique Théorique, Centre de Rocherches Nucléaires, Institut National de Physique Sucléaire et de Physique des Particles, Centre National de la Rocherche Scientifique, Université Louis Pasteu Boile Pastel 29, 6-20137 Strasburg-Cedes, France

A. Poves and G. Martínez-Pinedo Departamento de Física Teórica C-XI, Universidad Autónoma de Madrid, E-28049 Madrid, Spain (Received 18 December 1993)

VOLUME 77, NUMBER 16

PHYSICAL REVIEW LETTERS

14 OCTOBER 1996

Nuclear Shell Model by the Quantum Monte Carlo Diagonalization Method

Michio Honma,¹ Takihiro Mizusaki,² and Takiharu Otsuka^{2,3} Center for Mathematical Sciences, University of Alza, Tsurayof, Ikkimoch, Alzu-Wakamatus, Fukuohimu 965, Japan ²Departiment of Physics, University of Polsoy, Hongo, Tokyo 113, Japan ³RIKEN, Hirosuwa, Wako-shi, Satama 351,01, Japan (Received 22 April 1996) Large-scale shell model: shell model calculations performed within a model space made up by a number of orbitals larger than usual.

An extended model space enables to study exotic (for shell model) properties: collective motion, deformation, clustering, etc.



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Collective behavior

PRL 110, 242701 (2013)

PHYSICAL REVIEW LETTERS

week ending 14 JUNE 2013

Quadrupole Collectivity in Neutron-Rich Fe and Cr Isotopes

H.L. Crawford, ¹R.M. Clark, ¹P. Fallon, ¹A.O. Maschlavelli, ¹C. Baugher, ²³D. Bazin, ²C.W. Beussang, ⁴J.S. Berryman, ² D.L. Bleuel, ¹C.M. Campbell, ¹M. Cornaz, ¹C.G. de Apagis, ⁶A. Gades, ¹A.O. Hughes, ¹J. Y. Lei, ¹S.M. Lenzi, ⁷F. Nowacki, ⁸S. Paschais, ¹M. Petri, ¹A. Pores, ⁵A. Ratkiewicz, ²³D.J. Ross, ⁴E. Sahin, ⁶D. Weisshaar, ² K. Winner, ²⁷³ and R. Wintker²

Onset of collectivity at N = 40



L. C., A. Covello, A. Gargano, and N. Itaco, Phys. Rev. C 89, 024319 (2014)



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Collective behavior

PRL 100, 172501 (2013) PHYSICAL REVIEW LETTERS 20 APRL 201

Coulomb Excitation of 184Sn and the Strength of the 180Sn Shell Closure

 $\begin{array}{l} Generality^{(1)}_{1} D. Dhaley^{(1)}_{2} M. Grobaly, 2.1 Cadadal, 2.F. Bransshan, ¹⁴ P. Cadanov, 2. F. Nerr, 2.H. Carrow, T. Nesser, 2.H. Kang, ¹ A. Algor, 2.H. Sandhar, ¹⁴ D. Branshan, ¹⁴ D. Grobal, ¹⁴ S. Branshan, ¹⁴ D. Grobal, ¹⁴ S. Branshan, ¹⁴ D. Bransha$

PHYSICAL REVIEW C \$8, 051300 (R) (2013)

Quadrupole collectivity in neutron-deficient Sn nuclei: 184Sn and the role of proton excitations

V. M. Bader,¹² A. Gade,¹² D. Weisehaar,¹³ B. A. Brown,¹⁴ T. Baugher,¹⁴ D. Bazin,¹ J. S. Borryman,¹ A. Ekstein,¹ M. Hierbi-Jensen,¹⁵³ S. R. Strobert,¹⁵ W. B. Wilters,¹⁴ K. Wiemer,¹⁶ and R. Winkler¹⁴

PHYSICAL REVIEW C 90, 061302(R) (2014)

Intermediate-energy Coulomb excitation of ¹⁸⁰Sa: Moderate E2 strength decrease approaching ¹⁸⁰Sa

P. Doromshi, ¹¹, G. Takendi, ¹¹, N. Aof, ²¹ M. Hamashin, ¹¹A. Ohondi, ¹¹D. Shapeshovi,²¹ H. Wang, ¹⁴L. Andraz, ¹⁴H. Baharay,¹⁵ S. Baishand, ¹⁴D. Komhai,¹⁴ A. Chendi,¹⁴ D. Takeh, ¹⁴J. Bagi,¹⁴ Y. Lapes,¹⁴ J. Lae,¹ F. Maharay,¹⁵ T. Monbuyadi, ¹⁵D. Nubinem,¹⁵ S. Oaj, ¹⁸ C. Fullecco,¹H. Satanni,¹⁴ C. Satannata,¹⁴ Y. Shiga,¹⁴ D. Sahite,¹⁵ and R. Shankali,¹⁶ C. Satannata,¹⁵ S. Oaj,¹⁸ G. Fullecco,¹⁸ H. Satannata,¹⁶ C. Satannata,¹⁵ Y. Shiga,¹





L. C., A. Covello, A. Gargano, N. Itaco, and T. T. S. Kuo Phys. Rev. C **91**, 041301 (2015)



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Novel collective feaures

RAPID COMMUNICATIONS

PHYSICAL REVIEW C 89, 031301(R) (2014)

Novel shape evolution in exotic Ni isotopes and configuration-dependent shell structure

Yusuke Tsunoda,¹ Takaharu Otsuka,^{1,2,3} Noritaka Shimizu,² Michio Honma,⁴ and Yutaka Utsuno⁵

Shape evolution in Ni isotopes





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Islands of inversion

PHYSICAL REVIEW C 90, 014302 (2014)

Merging of the islands of inversion at N = 20 and N = 28

E. Caurier,¹ F. Nowacki,¹ and A. Poves^{2,3} ¹IPHC, IN2P3-CNRS and Université Louis Posteur, P-67033 Strasbourg, France ²Departamento de Física et IFT-UAM/CSIC, Universidad Autónoma de Madrid, E-28049 Madrid, Spain ³Isolade (CRRV) [21] Genève 23, Switzerland Merging of the N = 20 and N = 28 islands of inversion in Mg isotopes

Model space: full sdfp shell

Shell model basis has a dimension up to 10^{10}



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Shell evolution

PHYSICAL REVIEW C 87, 034309 (2013)

L. Coraggio,¹ A. Covello,¹² A. Gragmo,¹ and N. Itaco,¹²
¹Istinuo Natomed el Fisica Alcorer, Complexa Universitario di Mune S. Angelo, J. 80126 Napoli, Italy
²Diparimento di Fisica, Università di Napoli Pederico II, Complexas Universitario di Mune S. Angelo, I-80126 Napoli, Italy (Recived 15 January 2013), publiched Thanch 2013) Evolution of single-particle structure beyond doubly-closed ¹³²Sn







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The detection of the $0\nu\beta\beta$ -decay





NLDBD Report April 24, 2014

Nuclear matrix elements

In recent years we have witnessed a renaissance of nuclear structure theory which has been driven by progress in solving the nuclear many-body problem by ab-initio methods like effective field theory (EFT) and nuclear lattice EFT, Green's Function Monte Carlo (GRMC), no-core shell model, and coupled-cluster approaches and by deriving NN and 3N interactions systematically on the basis of the symmetries of QCD. Some of these approaches hold the promise to describe nuclear properties including a consistent estimate of the theoretical uncertainties. However, nuclei of experimental interest in $\beta\beta$ decay. Hence $\beta\beta$ decay matrix element evaluations must be taken from models like the configuration-mixed shell model, the QRPA, and others that

The spread of nuclear structure calculations evidences inconsistencies among results obtained with different models



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- TeO₂ crystals used as low heat capacity bolometers, arranged into towers and cooled in a large cryostat to approximately 10 m°K with a dilution refrigerator.
- The detectors are isolated from backgrounds by ultrapure low-radioactivity shielding.
- Temperature spikes from electrons emitted in Te 0ββ are collected for spectrum analysis.



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Realistic shell model: ¹²⁸Te Gamow-Teller strengths





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Realistic shell model: ¹³⁰Te Gamow-Teller strengths





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Realistic shell model: ¹³⁶Xe Gamow-Teller strengths





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Conclusions and outlook

- The flow of experimental data coming from RIB facilities is stimulating advances in nuclear structure theory.
- Nuclear structure calculations starting from realistic nuclear potentials are now successful instruments to understand a large variety of nuclear phenomena.
- The parameter-free nature of realistic nuclear-structure calculations should provide a more reliable predictive power.
- Realistic shell model at present is the approach that provides microscopic calculations over a wider mass range, and may describe a large set of different observables.
- Application to problems in fundamental physics:, $0\nu\beta\beta$ -decay, WIMP-nucleus interaction, electric-dipole moments and *T*-violation, etc.



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Backup slides



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An example: ¹⁹F



- 9 protons & 10 neutrons interacting
- spherically symmetric mean field (e.g. harmonic oscillator)
- 1 valence proton & 2 valence neutrons interacting in a truncated model space

The degrees of freedom of the core nucleons and the excitations of the valence ones above the model space are not considered explicitly.

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Several realistic potentials $\chi^2/datum \simeq 1$: CD-Bonn, Argonne V18, Nijmegen, ...

Strong short-range repulsion



How to handle the short-range repulsion ?

Brueckner G matrix

EFT inspired approaches



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V_{low-k}, SRG
 chiral potentials

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 - chiral potentials

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Strong short-range repulsion





Test case: *p*-shell nuclei

- V_{NN} ⇒ chiral N³LO potential by Entem & Machleidt (smooth cutoff ≃ 2.5 fm⁻¹)
- $H_{\rm eff}$ for two valence nucleons outside ⁴He
- Single-particle energies and residual two-body interaction are derived from the theory. No empirical input

First, some convergence checks !

L.C., A. Covello, A. Gargano, N. Itaco, and T. T. S. Kuo, Ann. Phys. **327**, 2125-2151 (2012)



Convergence checks

The intermediate-state space Q

Q-space is truncated: intermediate states whose unperturbed excitation energy is greater than a fixed value E_{max} are disregarded

$$|\epsilon_0 - \mathcal{Q}\mathcal{H}_0\mathcal{Q}| \leq \mathcal{E}_{\max} = \mathcal{N}_{\max}\hbar\omega$$



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Convergence checks

Order-by-order convergence



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Convergence checks

Dependence on $\hbar\omega$

Auxiliary potential $U \Rightarrow$ harmonic oscillator potential



HF-insertions



- zero in a self-consistent basis
- neglected in most applications
- disregard of HF-insertions introduces relevant dependence on ħω

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Approximations are under control ... and what about the accuracy of the results ?

Compare the results with the "exact" ones

ab initio no-core shell model (NCSM) P. Navrátil, E. Caurier, Phys. Rev. C **69**, 014311 (2004)

P. Navrátil et al., Phys. Rev. Lett. 99, 042501 (2007)



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Benchmark calculation

To compare our results with NCSM we need to start from a translationally invariant Hamiltonian

$$H_{int} = \left(1 - \frac{1}{A}\right) \sum_{i=1}^{A} \frac{p_i^2}{2m} + \sum_{i < j=1}^{A} \left(V_{ij}^{NN} - \frac{\mathbf{p}_i \cdot \mathbf{p}_j}{mA}\right) = \\ = \left[\sum_{i=1}^{A} \left(\frac{p_i^2}{2m} + U_i\right)\right] + \left[\sum_{i < j=1}^{A} \left(V_{ij}^{NN} - U_i - \frac{p_i^2}{2mA} - \frac{\mathbf{p}_i \cdot \mathbf{p}_j}{mA}\right)\right] \\ \xrightarrow{\substack{\text{0.0}\\\text{0.0}$$

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Benchmark calculation

Remark

 ${\it H}^{\rm eff}$ derived for 2 valence nucleon systems \Rightarrow 3-, 4-, .. $\it n\text{-body}$ components are neglected



Benchmark calculation

¹⁰B relative spectrum



discrepancy ≤ 1 MeV
minor role of many-body correlations



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