

**The nature and origin of ultra-high energy  
cosmic ray particles Peter L. Biermann<sup>1,2,3,4</sup>  
Special Thank You: 2011 participation fully  
booked, wedge tornado: impossible to come**

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## Challenges in High Energy Events

- 1 Ultra-high energy CR particles (UHECRs)
- 2 UHECRs: Composition and directionality
- 3 UHECRs: p & He vs C, O, ..., background
- 4 TeV photon variability
- 5 High energy neutrinos
- 6 Gravitational waves (GWs)
- 7 Common concept of origin ?
- 8 Super-massive binary black hole mergers

# The overall spectrum of cosmic rays

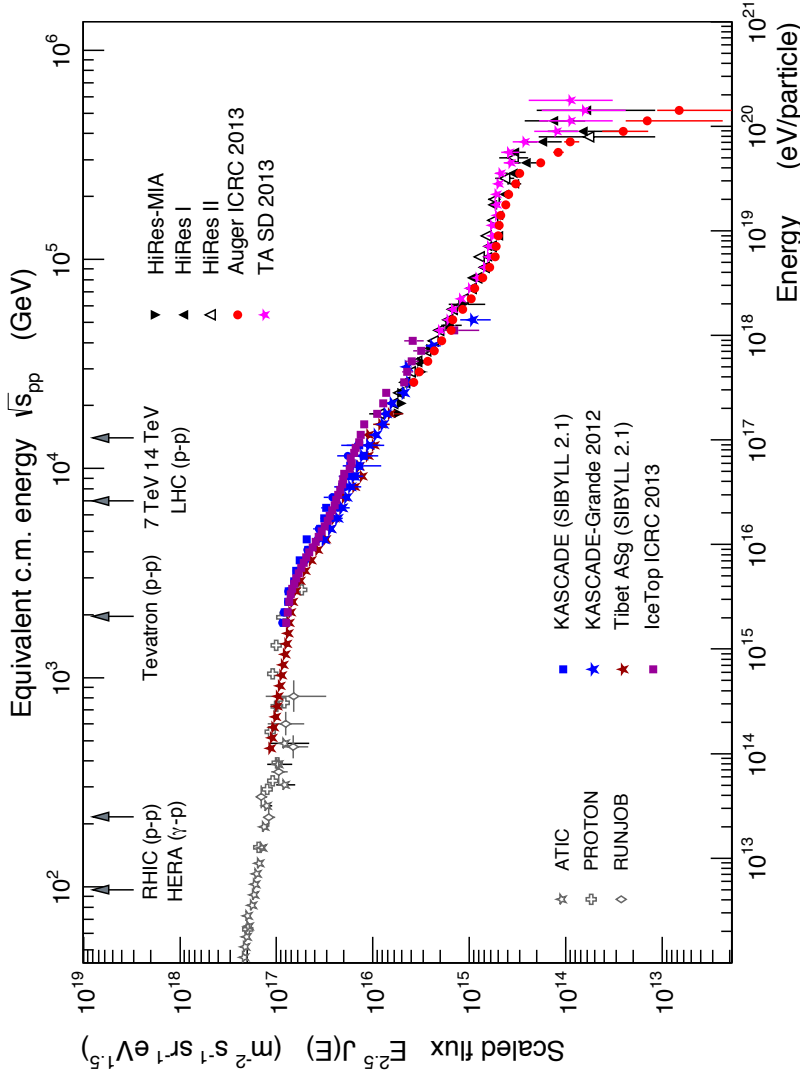


Figure 1 The overall spectrum of cosmic rays. Source Ralph Engel 2016.

# The overall spectrum of cosmic rays: model

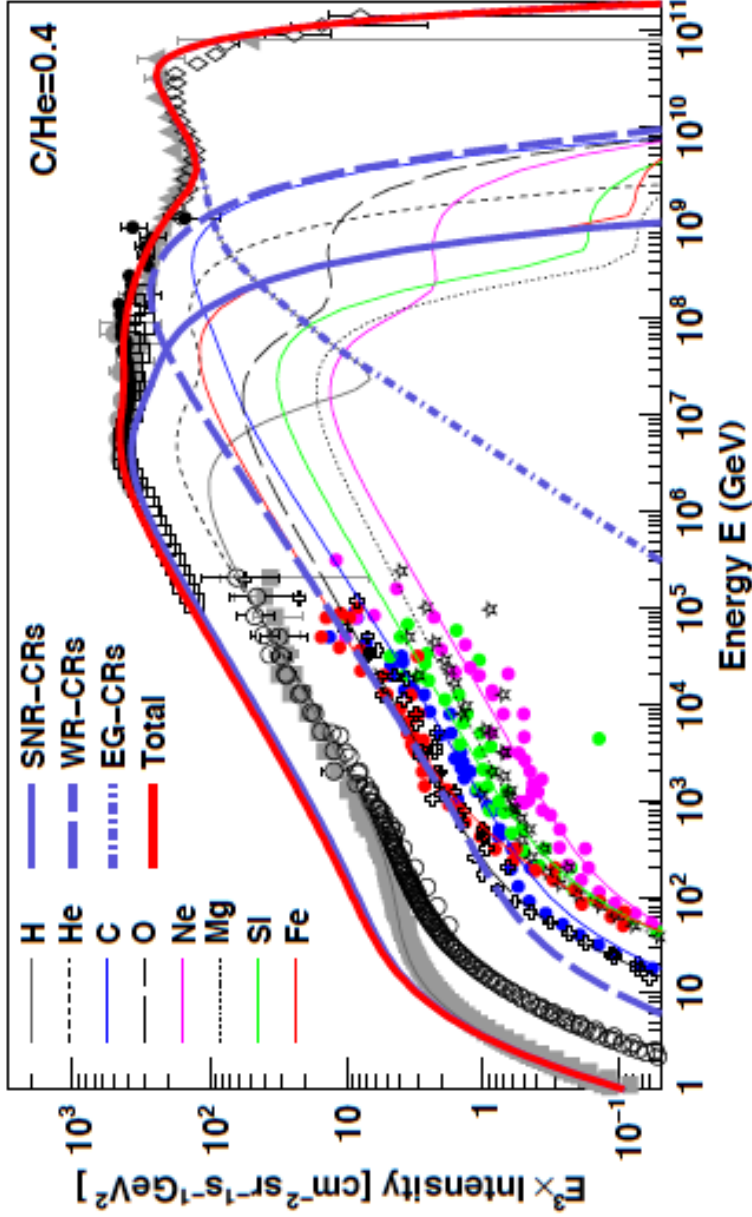


Figure 2 A simple model for overall cosmic rays: Remember the radio-SN data of SN explosions into winds:  $E_{Bohm} = 10^{15.3 \pm 0.3} Z \text{ eV}$ ; and  $E_{Jokipii} = 10^{17.3 \pm 0.2} Z \text{ eV}$ . Source Thoudam et al. 1605.03111.

# Distribution of arrival directions: all sky

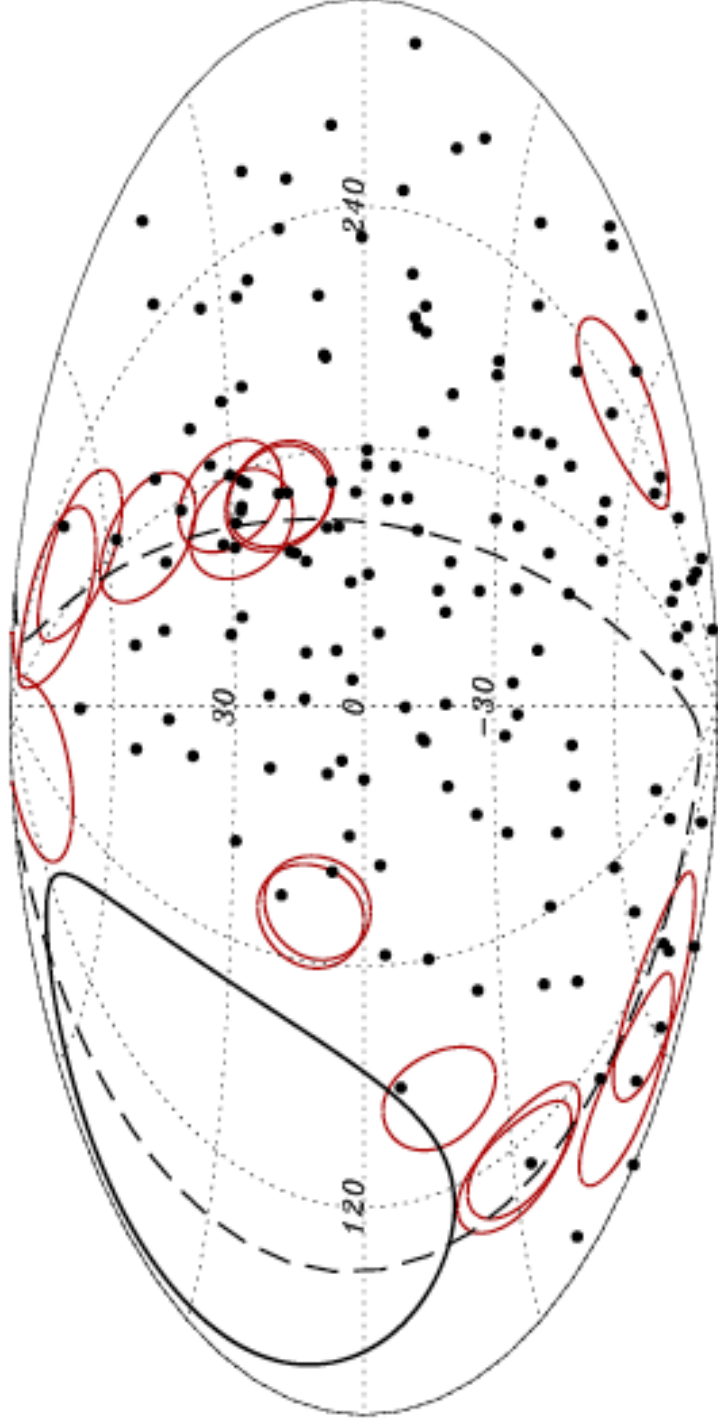


Figure 3 The arrival directions of UHECR events (Auger) with energy above 58 EeV in Galactic coordinates; the super-galactic plane is the dashed line. Nearby bright radio galaxies marked in red circles with radius 12 degrees. Source Auger-Coll. 2015 ApJ 804, 15, Fig. 9.

## Distribution of arrival directions: Cen A

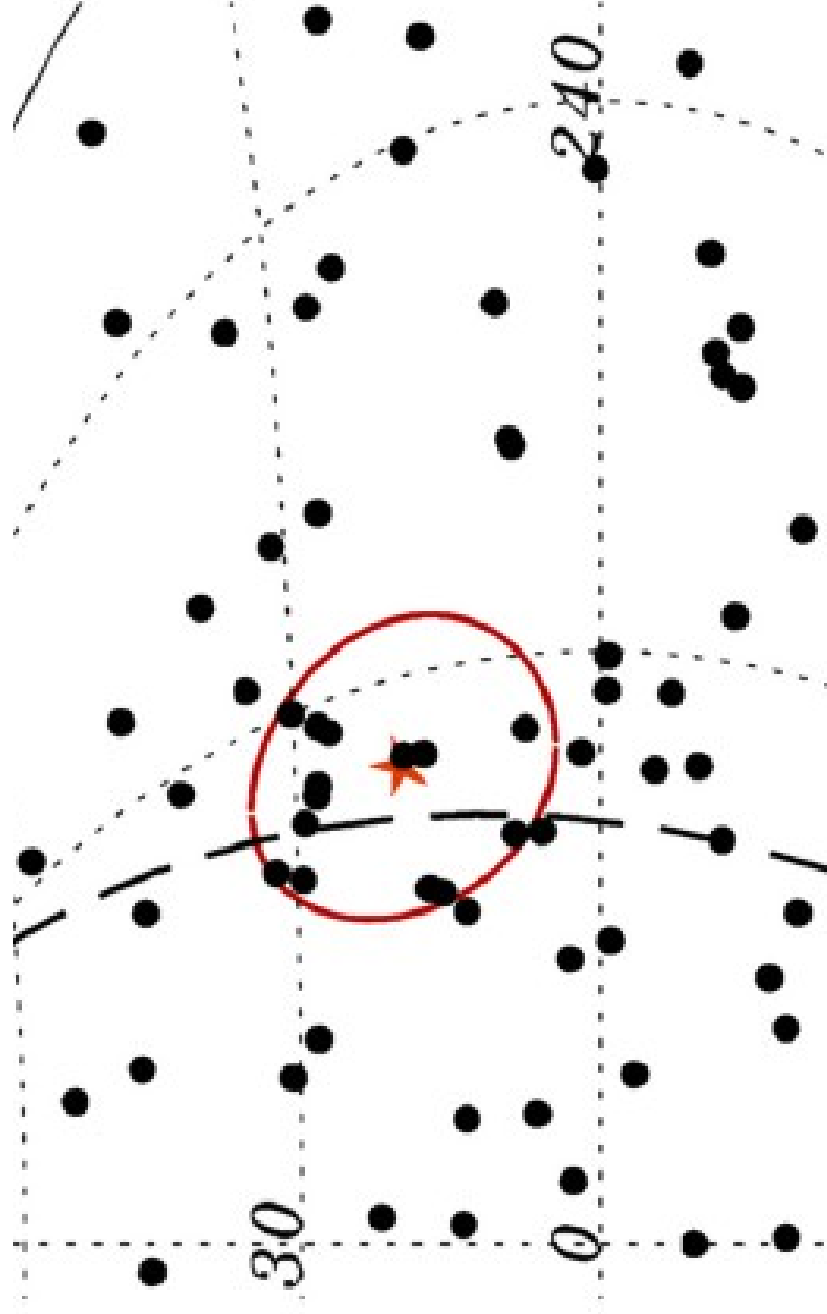


Figure 4 The arrival directions of UHECRs (Auger), only events above 58 EeV, focussed on the radio galaxy Cen A, with circle of 15 degrees radius. Source Auger-Coll. 2015 ApJ 804, 15, Fig. 10.

# Cosmic rays $10^{17}$ eV to $3 \cdot 10^{17}$ eV: LOFAR

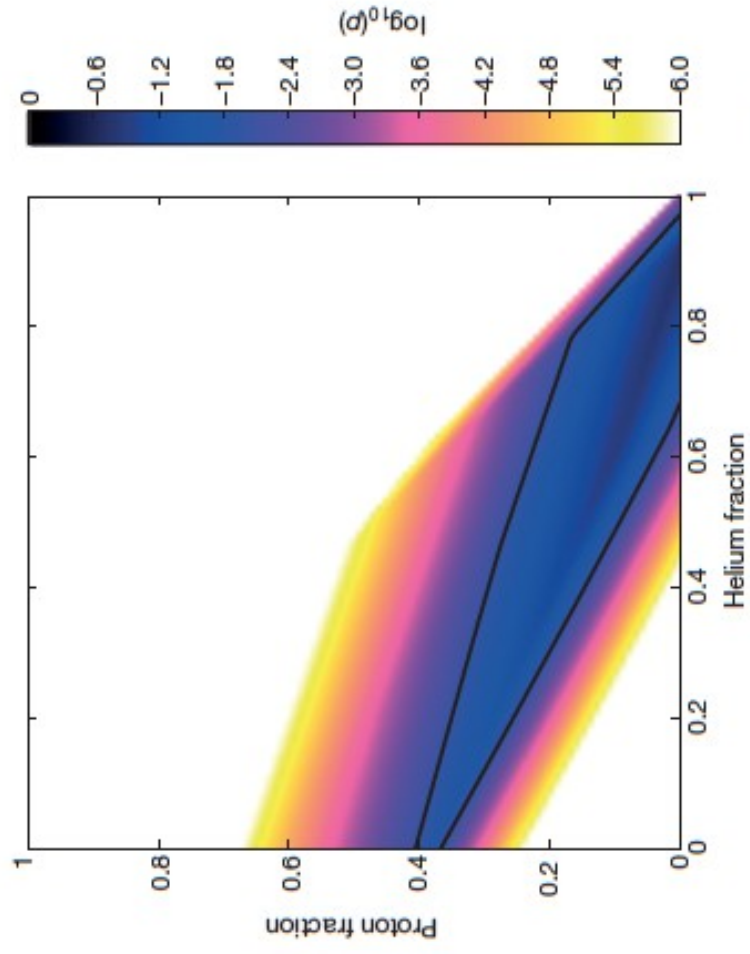


Figure 5 Cosmic rays  $10^{17}$  eV to  $3 \cdot 10^{17}$  eV as seen with LOFAR: “The black contour line bounds all regions with  $p > 0.01$ . At this significance level the **total fraction of H and He combined lies between 0.38 and 0.98.**” Source Buitink et al. 2016 Nature 531, 70 (LOFAR).

# Kaskade Grande

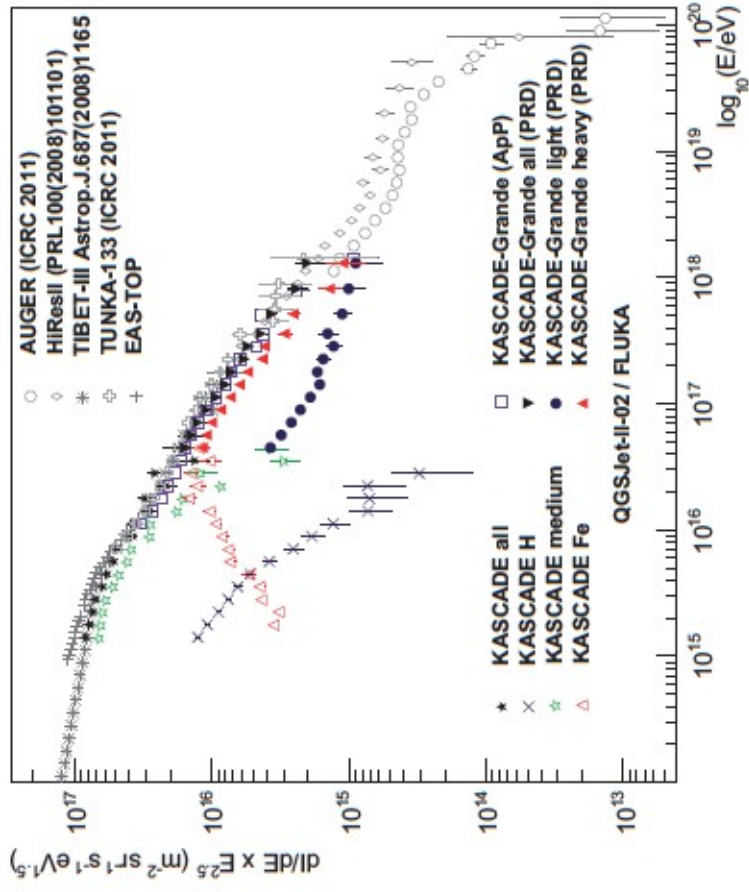


Figure 6 Cosmic ray spectra in different elements: [from how far and from what epoch do these predicted low energy UHECR protons come from?](#) Source Arteaga-Velázquez et al. 2016 J.Ph.C.S. 651, 012001.



# Double-bump spectra of blazars

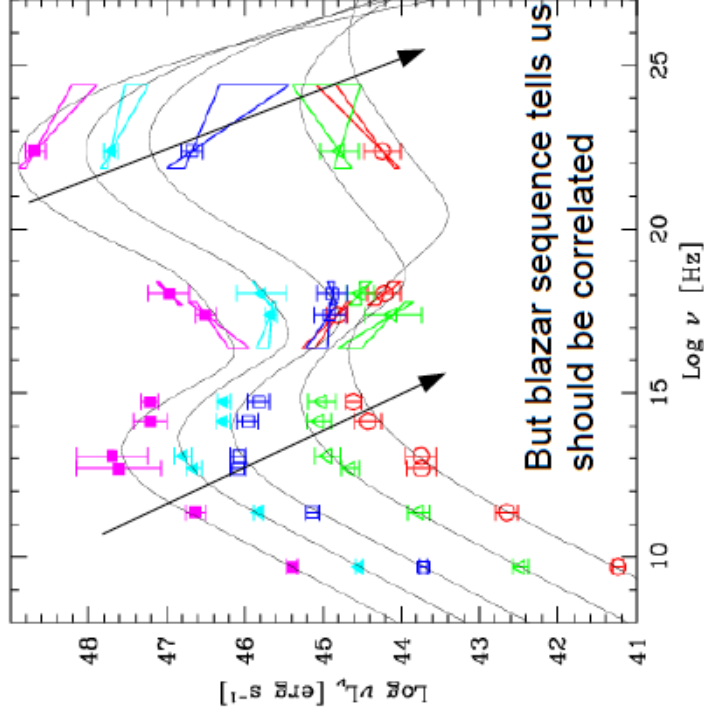


Figure 7 The double-bump spectra of blazars. Source Lenain, lecture Heidelberg 2009.

# Cut-off spectrum of 3C33 south $\rightarrow$ UHECR

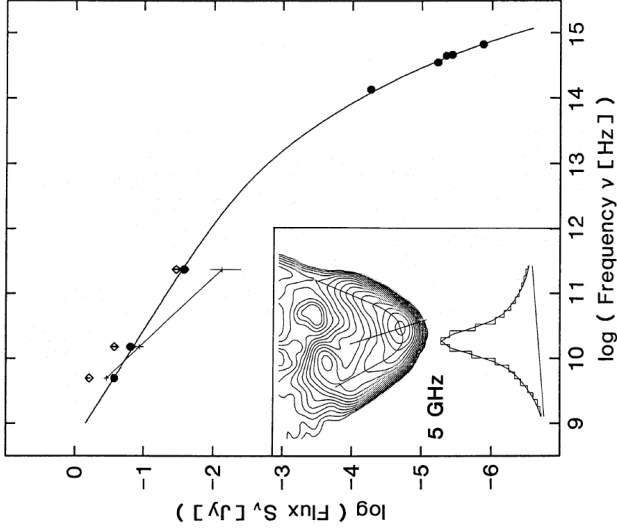


Figure 8 Decomposition of the observed spectrum of 3C 33 south (K. Meisenheimer et al., *Astron. & Astroph.* 1989). This type of cut-off spectrum is ubiquitously observed in **compact nuclei, knots in jets, and hot spots of radio galaxies** (Rieke et al. 1976, 1979, 1982; Rieke & Lebofsky 1980; Bregman et al. 1981; Stocke et al. 1981; Sitko et al. 1983; Brodie et al. 1983; Röser & Meisenheimer 1986; Meisenheimer & Röser 1986; Perez-Fournon et al. 1988).

# The bursting radio-galaxy Hercules A



Figure 9 The bursting radio galaxy Hercules A. Source Baum & O'Dea 2015.

## Basics of relativistic jets with oblique shocks

PLB & Strittmatter 1987; Falcke et al.; Markoff et al.;  
Becker & PLB 2009; Gergely & PLB 2009; PLB et al.  
2011 Neutrino (Lecce)-meeting; PLB et al. 2014; +++

- Jet flow suffers dramatically from **adiabatic losses**, and yet keeps going **from  $\sim 3,000 r_S$**  to order  $10^{24}$  cm, sometimes to  $10^{25}$  cm or even more
- Jets can be expected to start at a near-relativistic speed of sound, but cool down rapidly.
- Each shock system consumes **only minute fraction** of kinetic energy (remember, **entropy is increased in any shock**).
- Each **shock strong**: Internal sound-speed sub- or only weakly relativistic: **fitting observations**

- All shocks that allow jet-flow to survive **highly oblique**; in fact, the emission topology is **helical**, as seen in a number of relativistic jets, on various scales
- **Protons** get accelerated in shock, go to **loss limit**, initiate wave-field  $E^{-2} \rightarrow k^{-5/3}$  (Bell 1978):
- Proton loss limit is synchrotron emission in TeV range
- **Electrons** get accelerated in shock, scatter in given wave field, go to **loss limit**, produce cut-off in synchrotron emission spectrum, maximal frequency:

$$\nu_e^* \lesssim 3 \times 10^{14} \text{ Hz}$$

**independent of any parameter: Ubiquitously observed in compact sources, knots in jets, and radio galaxy hot spots** (slightly modified for IC losses)

- Translates to loss limit  $B \sim 10^{-2}$  to  $10^{-4}$  Gauß:

$$E_{p,max} \simeq 1.4 \times 10^{20} \text{eV} \left( \frac{\nu_e^*}{3.10^{14} \text{Hz}} \right)^{1/2} B^{-1/2}$$

- **Spatial limit** near (Lovelace 1976; Falcke et al. 1995)

$$10^{21} L_{46}^{1/2} \text{ eV} \quad (1)$$

- Therefore in combination (no boosting here)

$$E_{p,max} \simeq 1.4 \times 10^{21} \text{eV}$$

- Very weak dependence on parameters (e.g. Miley 1980 ARAA, Bridle & Perley 1984 ARAA)
- Various **photon-interaction** losses also

- Double-bump of blazars:

$$\frac{\nu_{syn,p,max}}{\nu_{syn,e,max}} = \left(\frac{m_p}{m_e}\right)^3$$

- Integrating downstream (Kardashev 1962) gives

$$\frac{L_p}{L_e} \sim \frac{n_{p,0} m_p}{n_{e,0} m_e} \frac{\gamma_{p,max}}{\ln(\gamma_{e,max}/\gamma_{e,min})} \left(\frac{m_e}{m_p}\right)^{+3} \sim 1$$

- **Variability:**  $\tau \simeq r / (2\gamma^2 c)$  (Piran 1999), 1 minute  
 $\rightarrow 10^{16.6}$  cm,  $\simeq 3000 R_S$  of  $10^8 M_\odot$  SMBH, **where jets start !: Source of  $\nu$ s, TeV  $\gamma$ s,..**

- Old prediction, new argument: **Radiogalaxies sources at energies  $> 10^{20}$  eV!** Lower energy jet-sources: gamma ray bursts, microquasars, jet-supernovae
- Nearby candidates (**Ginzburg & Syrovatskii 1963**; before discovery of UHECRs):

### **Radio galaxies**

**Cen A (= NGC 5128), BH merger**

**Vir A (= M87 = NGC 4486), BH merger**

**For A (= NGC 1316), BH merger ?**

- Auger suggests **Cen A**, matching **composition**



# Reorienting the spin of the merged Black Hole

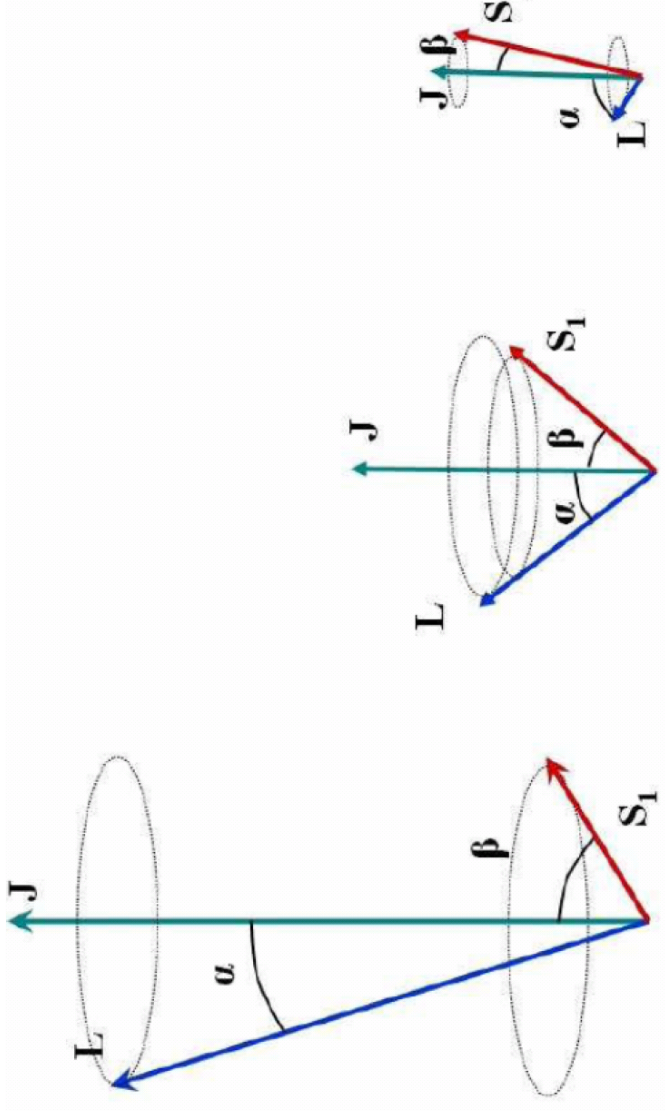


Figure 10 The spin-flip phenomenon in supermassive black hole binary mergers: Individual BH spin is  $\mathbf{S}$ , orbital angular momentum is  $\mathbf{L}$ , and total angular momentum is  $\mathbf{J}$ . These three steps show the envisaged **temporal evolution of the final stages of the merger**. L.A. Gergely & PLB: 2009 ApJ

# Integral BH mass fct starts at $\sim 3 \cdot 10^6 M_{\odot}$

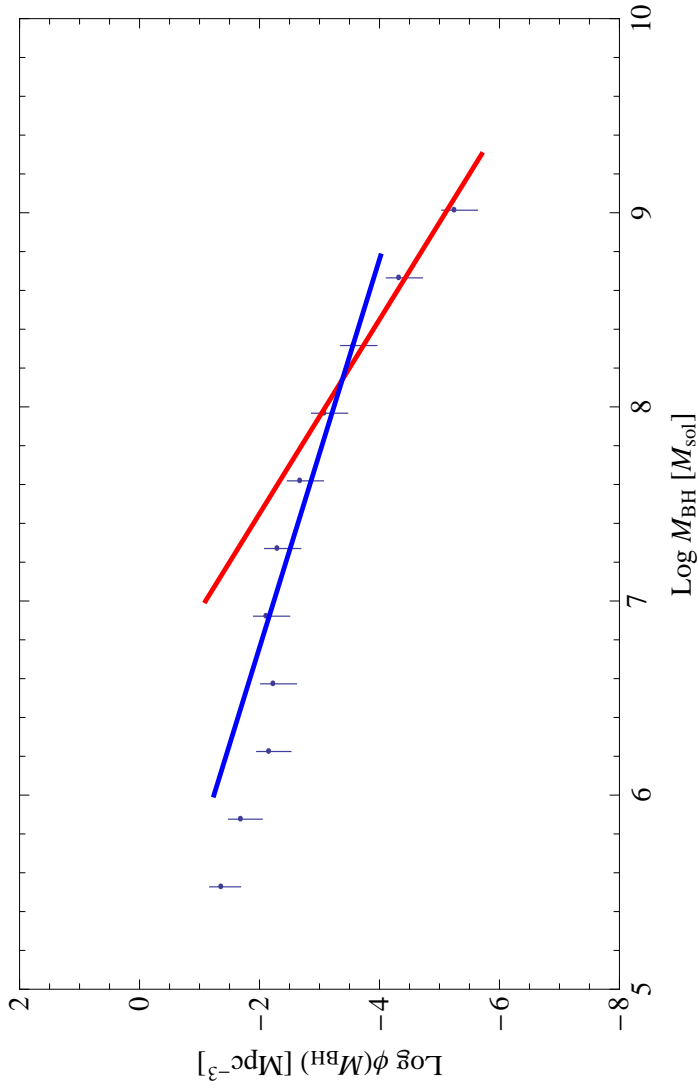


Figure 11 Integral mass function corrected for Hubble type sampling, 2928 objects, the slope of the lines is: red  $-2.0$  fitting  $> 10^8 M_{\odot}$ , and blue  $-1.0$  fitting between  $10^7 M_{\odot}$  and  $10^8 M_{\odot}$ . See Caramete & PLB, *Astron. & Astroph.* **521**, id.A55 (2010); arXiv:0908.2764. This mass function suggests that black holes start near  $3 \cdot 10^6 M_{\odot}$ , possibly at redshift of order  $\sim 30$  to  $80$ , and grow by merging (see PLB & Kusenko 2006, PRL): Note that redshift  $80$  corresponds to only  $22$  million years after Big Bang

## Why Black Holes of around $3 \cdot 10^6 M_{\odot}$ ?

- First massive stars can form in dense groups in gravitational potential of **DM of dwarf galaxy**: stars agglomerate (Spitzer 1969) to form more massive star
- Massive stars also have **winds**, driven by radiation interaction with heavy elements (Lucy & Solomon 1970 and many later papers): So maximum mass several hundred  $M_{\odot}$  at most (Yungelson et al. 2008)
- At **zero heavy element abundance** massive stars can grow to **much higher mass**, close to  **$10^6 M_{\odot}$**
- Massive stars hit an **instability**, combining radiation pressure with subtle effects of General Relativity (Appenzeller & Fricke 1972a, b) just below this mass
- So with infall the mass of about  **$3 \cdot 10^6 M_{\odot}$**  possible

**Black holes (BHs)  $> 3 \cdot 10^7 M_{\odot}$ : colors are distance: Black, Blue, Green, Orange, Red**

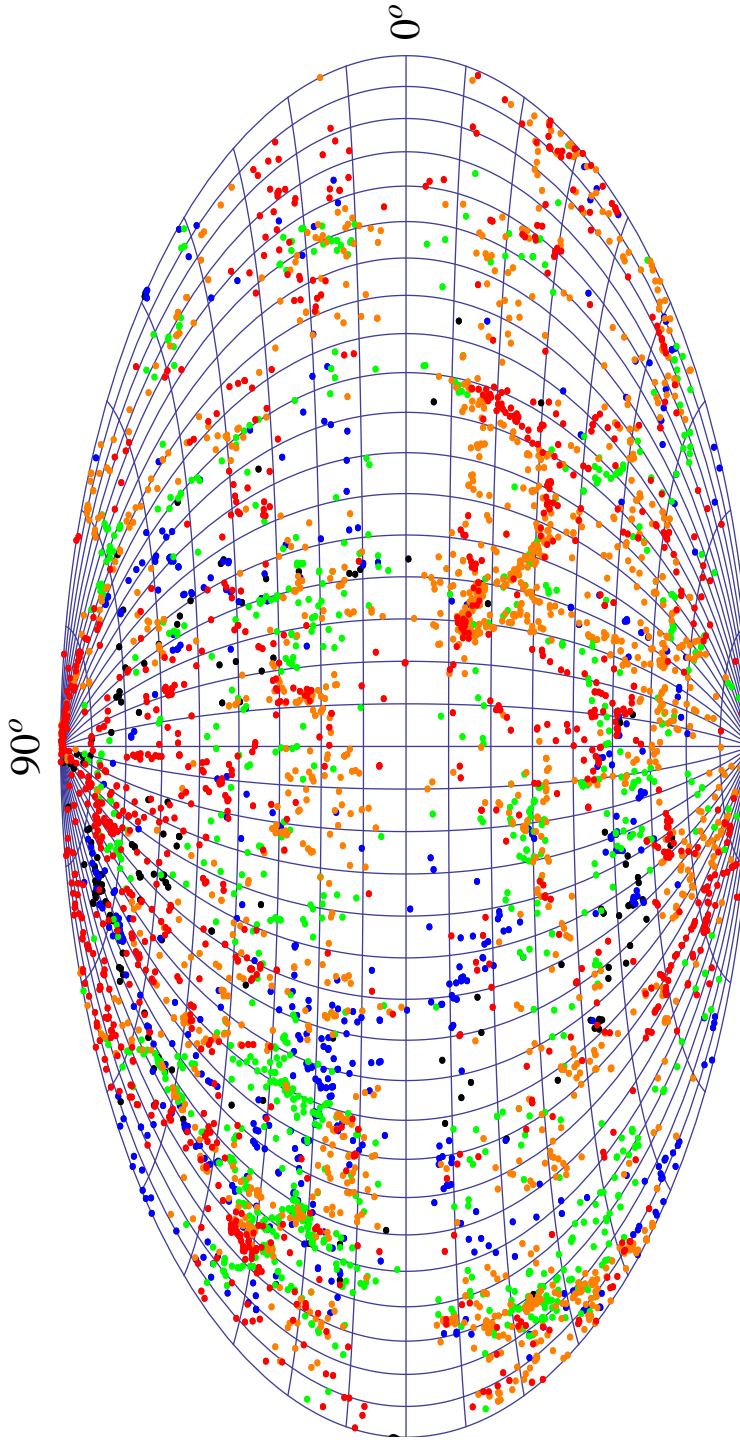


Figure 12 The sky in black holes,  $\gtrsim 3 \cdot 10^7 M_{\odot}$ : The color code corresponds to distance: Black, Blue, Green, Orange, Red for the redshifts intervals 0, 0.005, 0.01, 0.015, 0.02, 0.025, corresponding to distance intervals of 0, 60, 120, 180, 240, and 300 million light-years: ( $- \rightarrow$  Caramete & PLB 2011); coordinate system with Galactic plane across center, and Galactic Center (GC) at the right edge

## Concept for HE backgrounds

- **Super-massive BHs** start early, evolve by merging
- Each merger ejects **burst of gravitational waves**
- Each merger **reorients dominant spin**
- So new jet has to bore **fresh channel**
- Maximizing injection, acceleration and **interaction**
- Ubiquitous energetic particles, **electrons, protons and nuclei** (starburst CRs: Gopal-Krishna et al. 2010)
- Interaction:  $\rightarrow$  **TeV  $\gamma$ -rays and HE neutrinos**
- **Prediction:** Neutrino emitter is jet pointed at us, so **relativistically boosted**, but with all the hallmarks in radio emission of very recent merger

## M87: a recent merger

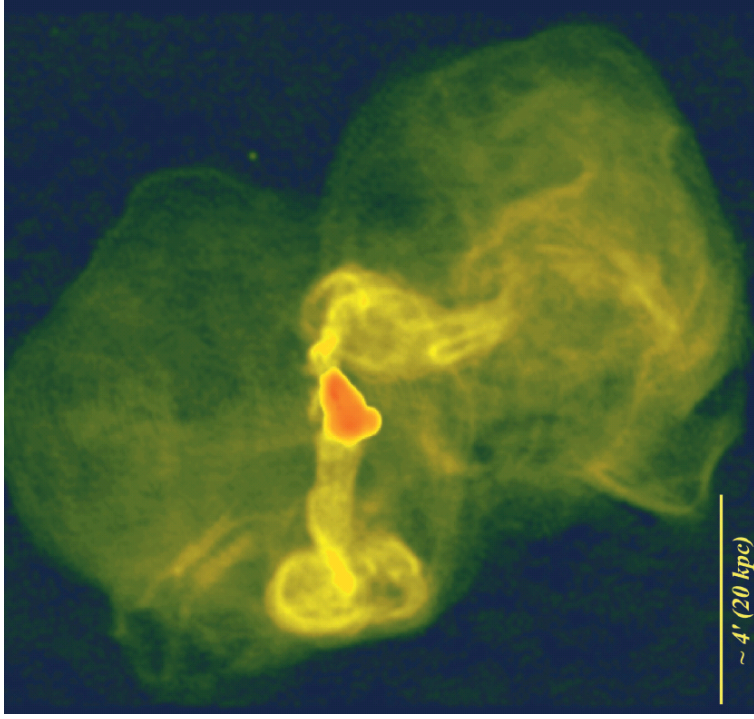


Figure 13 The M87 jet and counter-jet, clearly demonstrating a spin-flip. Source Owen et al. 2000 ApJ



# Cen A: a recent merger

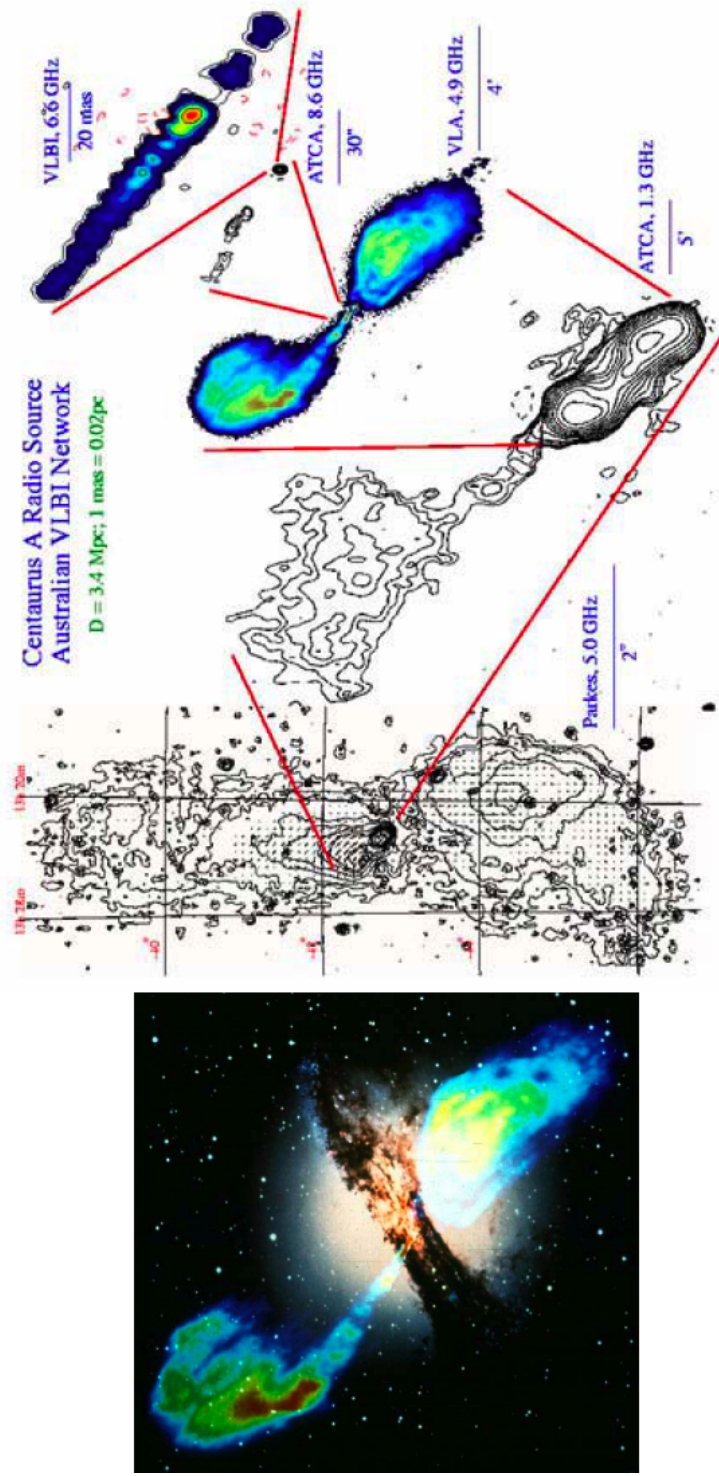


Figure 14 The radio galaxy Cen A with old and new structures, clearly demonstrating a spin-flip. Source S. Britzen lectures

# Where are the sources? IceCube 2015

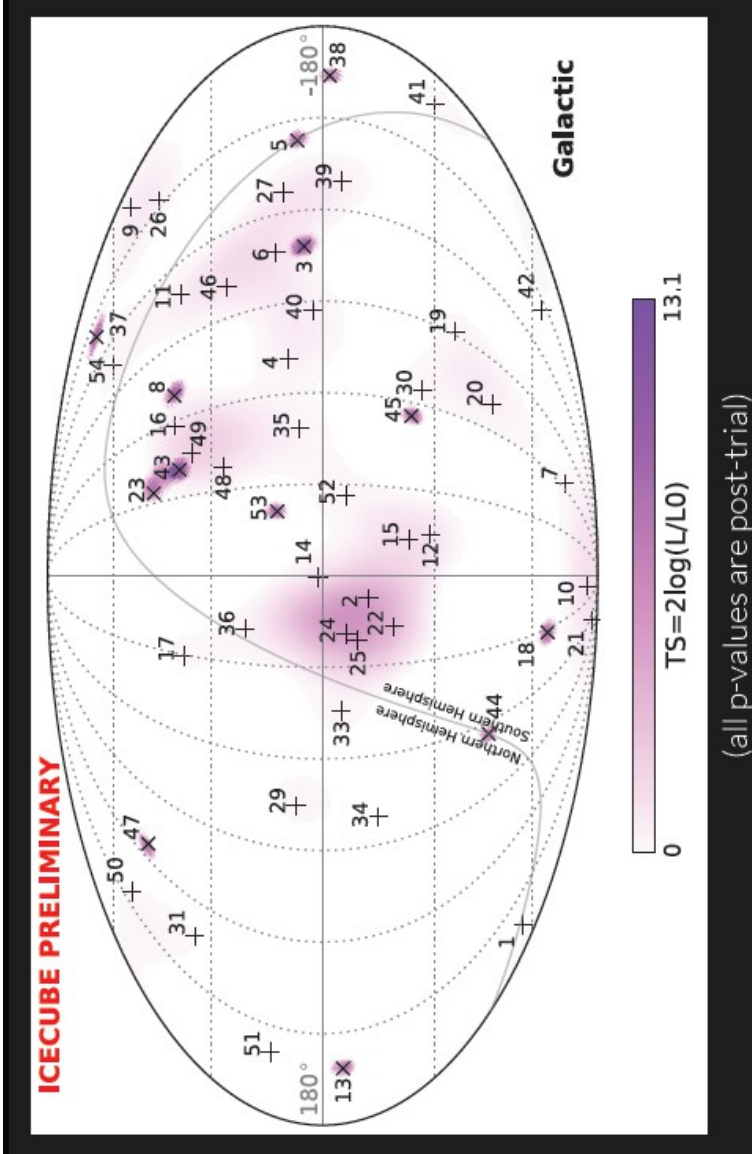


Figure 15 The HE neutrino events 2015; "x"-symbols are better directions than crosses. GC at center. **Fresh SMBH mergers – the candidates – are flat spectrum radio sources at high redshift**, since merger rate steeply rising fct of redshift. Source ICRC 2015 talk.



# Black Hole energetics $\sim (1/2) \Delta M_{BH} c^2$

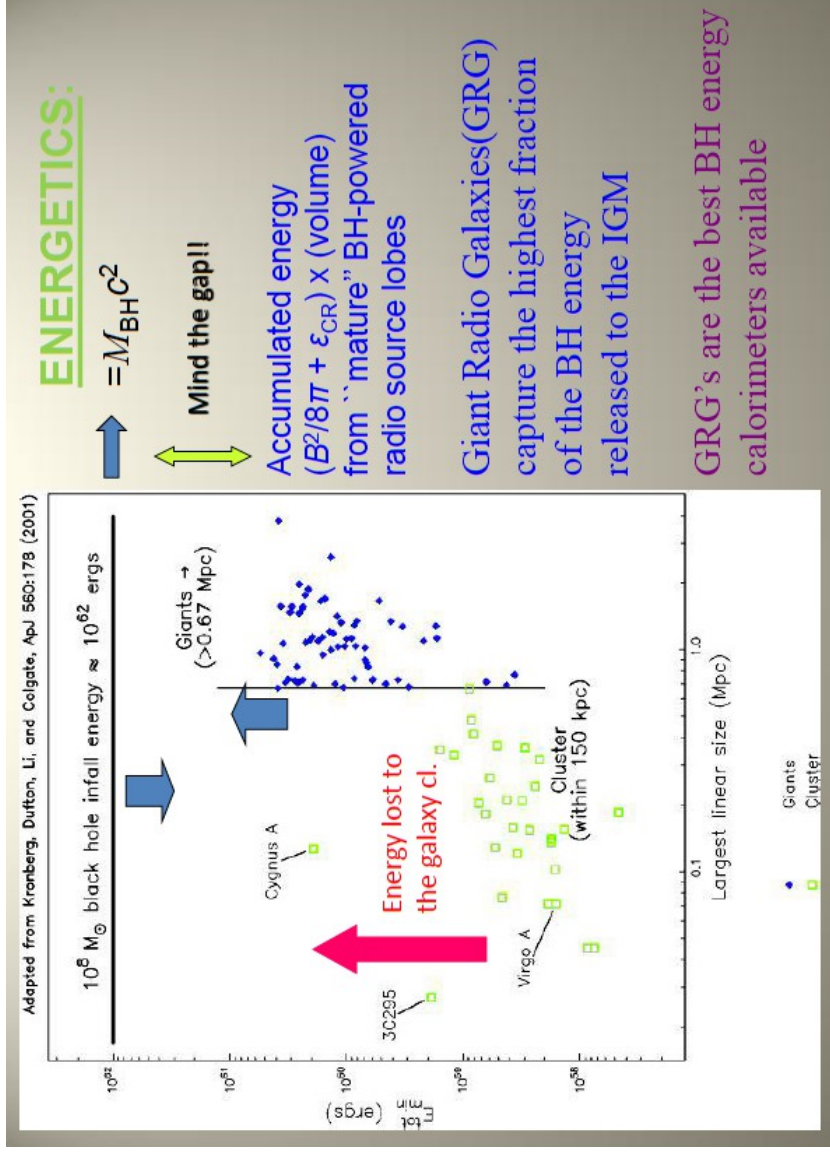


Figure 16 Radio galaxies and their visible energetics, outside clusters and inside. These authors used  $10^8 M_{\odot}$ , but in a typical merger very much less energy might be available, so the efficiency required is quite high: source lecture **P.P. Kronberg at DRAO Nov 2015**

## Black Holes & Dark Energy: + Ben Harms

- Limit (1/2) rest mass energy for BH seen in radio galaxies (above). Budget

$$\frac{1}{2} N_{BH,0} M_{BH} c^2 (1 + z_*)^3 \sim 10^{-8} \text{ erg/cc}$$

- for  $N_{BH,0} = 1 \text{ Mpc}^{-3}$ ,  $M_{BH} = 3 \cdot 10^6 M_{\odot}$ ,  $z_* = 50$ : **Gravitational waves?**
- Combined  $10^4$  uncertainty in  $N_{BH,0}$ ,  $z_*$ , etc.
- Requires very high redshift: possible with DM decay **stimulated H<sub>2</sub> formation, star formation, and SMBH formation** (PLB & Kusenko 2006 PRL)
- If GWs then near **10  $\mu$ Hz the GW background** due to SMBH binary mergers might become **detectable**

# GWs at various redshifts, detected and limits

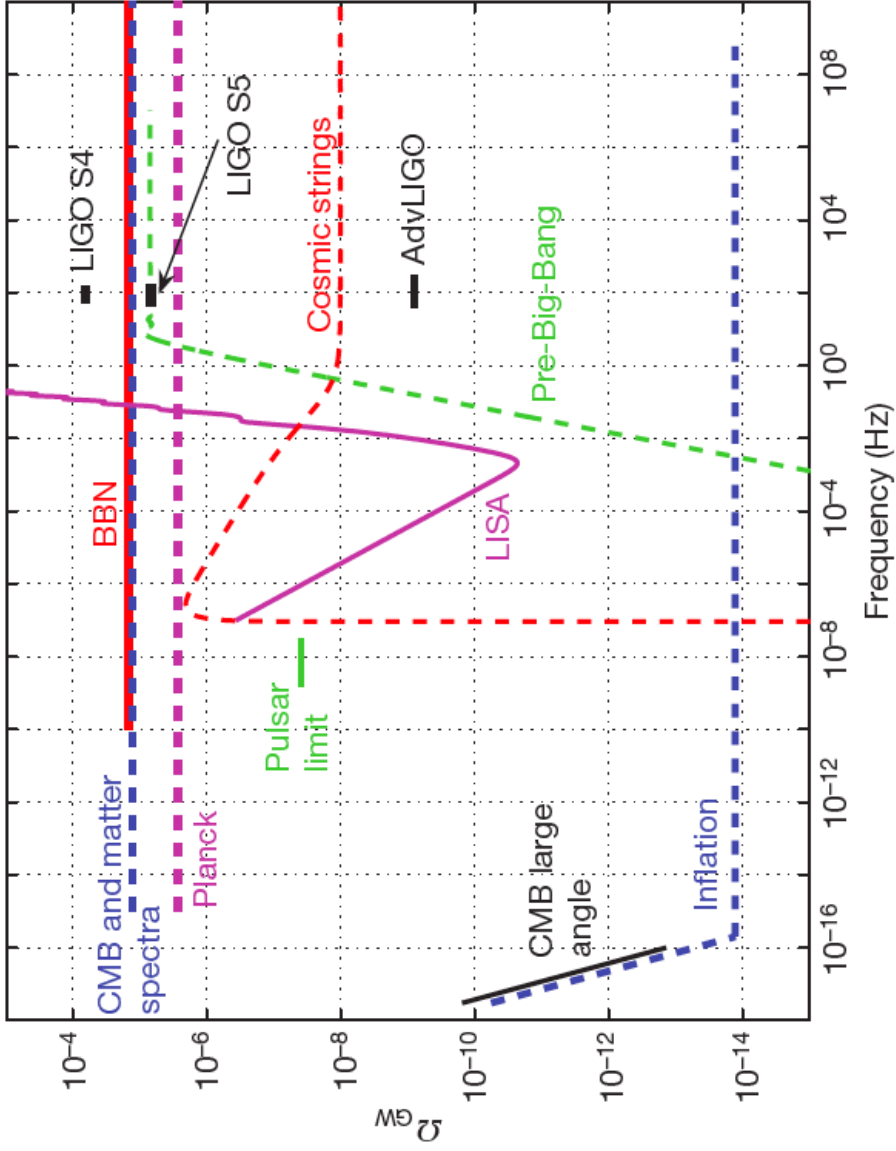


Figure 17 Limits on gravitational waves. Source: Virgo and Ligo Coll. Nature 2009. Feb 2016 (1602.03847 AdvLIGO) we predict  $\Omega_{GW}(25Hz) = 1.1^{+2.7}_{-0.9} 10^{-9}$  with 90% confidence after GW detection

Physics laboratories: UHECRs (ZeV), HE  $\nu$ s (PeV),  $\gamma$ -photons (TeV) and GWs ( $10\mu$ -Hz) ?

- Very early star and BH formation,  $z \lesssim 100$  ?
  - SMBH mergers  $\rightarrow$  reoriented jet:  
maximal interaction
- $\rightarrow$  GWs,  $\gamma$ -photons, neutrinos, UHECRs
  - Test I: Identify the HE  $\nu$ -sources !
  - Test II: Detect  $\mu$ Hz GW background
  - Test III: Detect first SMBH activity !

Thank you!