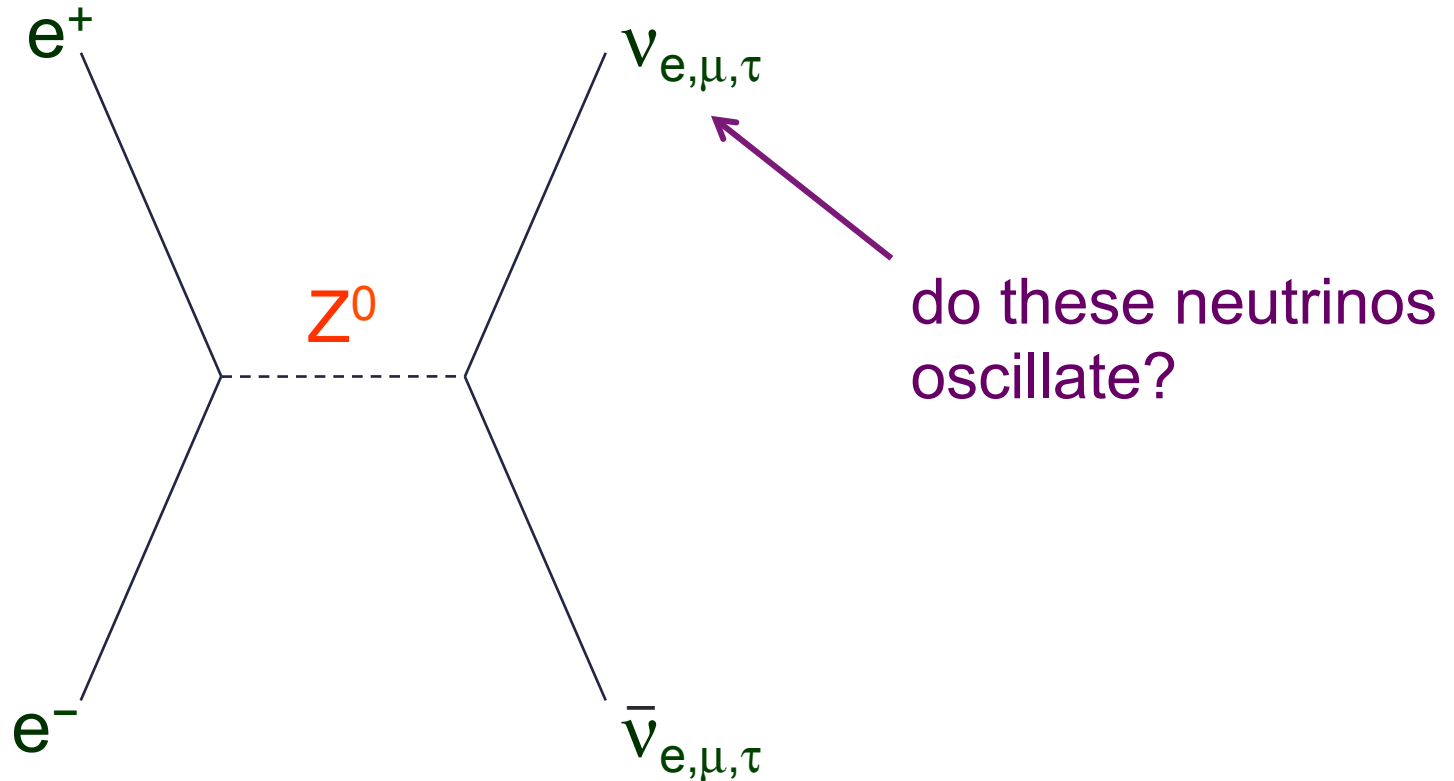


# Neutrino Production by NC

- e.g. supernova neutrinos, thermal production



*Think about this for your homework!*

# Stopped Pion Neutrino Beam

$$\pi^+ \rightarrow \mu^+ + \nu_\mu \quad E_\nu = \sqrt{p_\nu^2 + m_\nu^2}$$

pion rest mass: 139.57 MeV

neutrino energy: 29.79 MeV

muon energy: 109.78 MeV

Is it possible to measure the kinematics of this reaction so precisely, that you can determine the neutrino mass eigenstate emitted?

If yes, does this neutrino “oscillate” as it propagates?

If not, how to explain the creation of a pure flavour state and a pure mass eigenstate, at the same time?

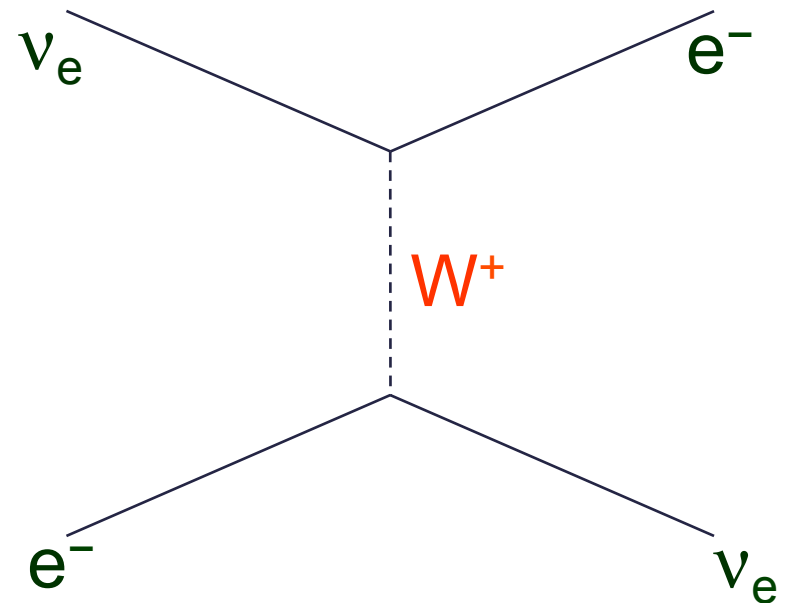
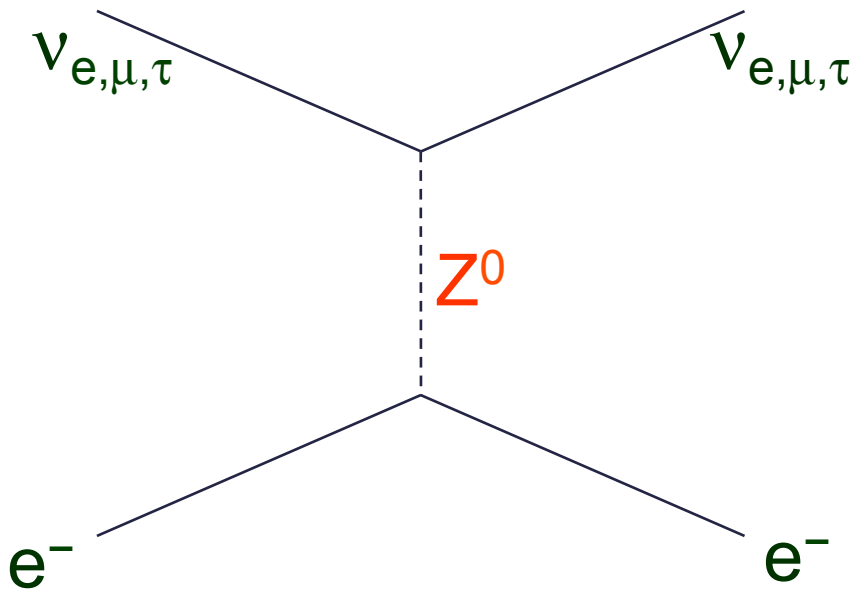
# Previously, on Neutrino Physics...

- started with the well-known context of neutrino oscillations established by Super-K and SNO and other experiments
- examined simple and standard mathematical framework for 2-neutrino oscillations
  - and the oscillation parameters (mixing angles,  $\Delta m^2$ )
- now you *really* understand neutrino oscillations
  - **Schrödinger's Cat** analogy
  - **Young's Two-Slit Experiment** analogy
  - cast into the **parlance of quark mixing**
- full 3×3 PMNS mixing matrix mathematical framework
- angles, phases, what are the possible values
  - octant degeneracy and mass hierarchy
- all of the above for *vacuum* oscillations

(Wolfenstein, 1978; Mikheyev & Smirnov, 1985)

# Matter-Enhanced $\nu$ Oscillations

- propagation through matter affects  $\nu_e$  and  $\nu_\mu, \nu_\tau$  differently  
[Mikheyev, Smirnov and Wolfenstein – MSW effect]
- forward-scattering amplitudes are different
- optical theorem  $\rightarrow$  like an index of refraction



*$\nu_e$  wavefunction phase is affected by propagating through ordinary (dense) matter*

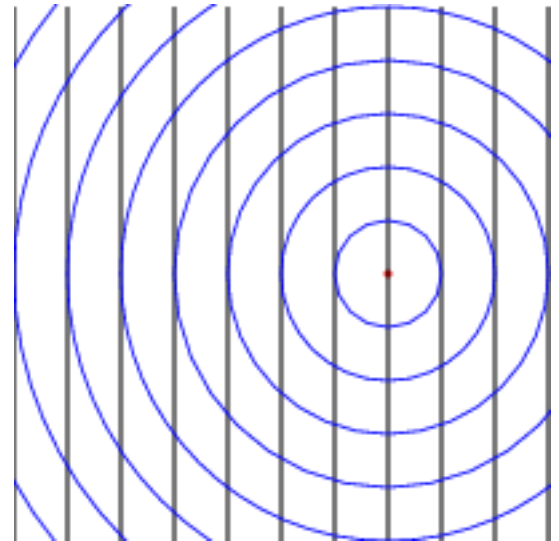
# Plane Wave Scattering – Optical Theorem

- total cross section:  $\sigma_{tot} = \frac{4\pi}{p} \text{Im}[f(p,0)]$
- phase shift:  $\Delta\phi(x) = \frac{2\pi}{p} N x \text{Re}[f(p,0)]$

*where  $f(p,0)$  is the forward-scattering amplitude*

in optics, complex index of refraction:

$$n = 1 + \frac{2\pi}{k^2} N f(k,0)$$

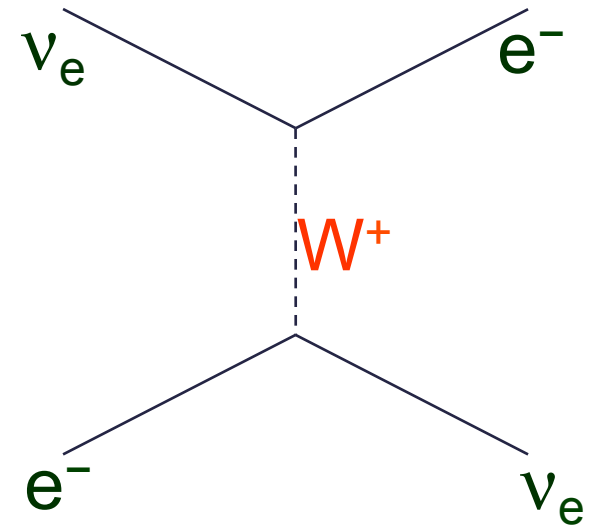


# CC Forward-Scattering Amplitude

- only the additional CC interaction for  $\nu_e$  is important
- the NC interaction introduces the same phase shift for all flavours and can be ignored
- forward-scattering amplitude for this diagram can be calculated

$$\text{Re}[f(p,0)] = \frac{-\sqrt{2} G_F p}{2\pi}$$

$$\Delta\phi(x) = -\sqrt{2} G_F N_e x$$



*another common approach is to translate this interaction into a potential term in the Hamiltonian:*  $V_{CC} = \sqrt{2} G_F N_e$

# Propagating in Matter versus Vacuum

$|\nu_k(t)\rangle = e^{-iE_k t} |\nu_k\rangle$  in vacuum becomes

$|\nu_k(t)\rangle = e^{-iE_k t} \sum_{\alpha \neq e} U_{\alpha k} |\nu_\alpha\rangle + e^{-i(E_k t + \sqrt{2} G_F N_e x)} U_{ek} |\nu_e\rangle$  in matter

Hamiltonian operator is:  $i \frac{d}{dt}$  and thus propagating through matter

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = U^\dagger \begin{pmatrix} m_1^2 / 2E & 0 \\ 0 & m_2^2 / 2E \end{pmatrix} U \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} + \begin{pmatrix} \sqrt{2} G_F N_e & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

after eliminating common phase 'E' between  $\nu_1$  and  $\nu_2$

# “Hamiltonian” for Propagating through Matter

- this describes the time evolution of flavour states (simplified 2-flavour description)

$$M^2 = U^\dagger \begin{pmatrix} m_1^2 / 2E & 0 \\ 0 & m_2^2 / 2E \end{pmatrix} U + \begin{pmatrix} \sqrt{2}G_F N_e & 0 \\ 0 & 0 \end{pmatrix}$$

- this matrix is not diagonal
- you diagonalize a matrix by finding a transformation R that rotates the non-diagonal matrix into a new basis
- the new diagonal entries are the eigenvalues
- the transformation R is the “rotation” from the non-diagonal basis vectors to the new basis of eigenvectors



# Diagonalize the Matter Hamiltonian

$R^\dagger M^2 R$  where

$$\begin{pmatrix} \nu_{1m} \\ \nu_{2m} \end{pmatrix} = R \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

$$\begin{pmatrix} \nu_{1m} \\ \nu_{2m} \end{pmatrix} = \begin{pmatrix} \cos \theta_m & -\sin \theta_m \\ \sin \theta_m & \cos \theta_m \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

solve for  $\theta_m$  in terms of  $\theta$ ,  $\Delta m^2$ ,  $E$ ,  $G_F$ ,  $N_e$

define  $A = 2E\sqrt{2}G_F N_e$

$$\tan 2\theta_m = \frac{\Delta m^2 \sin 2\theta}{-A + \Delta m^2 \cos 2\theta} \text{ or}$$

$$\sin^2 2\theta_m = \frac{(\Delta m^2 \sin 2\theta)^2}{(A - \Delta m^2 \cos 2\theta)^2 + (\Delta m^2 \sin 2\theta)^2}$$

# Symmetrize and Diagonalize

$$M^2 = U^\dagger \begin{pmatrix} m_1^2 / 2E & 0 \\ 0 & m_2^2 / 2E \end{pmatrix} U + \begin{pmatrix} \sqrt{2}G_F N_e & 0 \\ 0 & 0 \end{pmatrix}$$

$$= \frac{1}{4E} \left[ (\Sigma + A) I + \begin{pmatrix} A - \Delta m^2 C_{2\theta} & \Delta m^2 S_{2\theta} \\ \Delta m^2 S_{2\theta} & -A + \Delta m^2 C_{2\theta} \end{pmatrix} \right]$$

$$A = 2E\sqrt{2}G_F N_e$$

$$\Sigma = m_1^2 + m_2^2$$

$$\Delta m^2 = m_2^2 - m_1^2$$

$$C_{2\theta} = \cos 2\theta; \quad S_{2\theta} = \sin 2\theta$$

identity matrix

units of mass squared

# Eigenvalues of $M^2$ in Matter

$$\begin{pmatrix} a & c \\ c & b \end{pmatrix}$$

- use formula for diagonalizing  $2 \times 2$  real symmetric matrix
- $\lambda_1$  and  $\lambda_2$  are effective masses squared of  $\nu_{1m}$  and  $\nu_{2m}$

$$\lambda_{2,1} = \left[ (\Sigma + A) \pm \sqrt{(A - \Delta m^2 C_{2\theta})^2 + (\Delta m^2 S_{2\theta})^2} \right] / 2$$

# Limits and Resonance

- $A \rightarrow 0$ , masses and mixing angles revert to vacuum values
- $A \gg \Delta m^2$ , then  $\theta_m = \pi/2$ ,  $\nu_{1m}$  is all  $\nu_\mu$ 
  - sort of interesting...but no oscillations (it's all  $\nu_{1m} = \nu_\mu$ )
- resonance condition:  $A = \Delta m^2 \cos 2\theta$ , then  $\theta_m = \pi/4$ , no matter how small the vacuum mixing angle  $\theta$ 
  - maximal mixing is generated at resonance

$$\begin{pmatrix} \nu_{1m} \\ \nu_{2m} \end{pmatrix} = \begin{pmatrix} \cos \theta_m & -\sin \theta_m \\ \sin \theta_m & \cos \theta_m \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

$$\tan 2\theta_m = \frac{\Delta m^2 \sin 2\theta}{-A + \Delta m^2 \cos 2\theta} \text{ or}$$

$$\sin^2 2\theta_m = \frac{(\Delta m^2 \sin 2\theta)^2}{(A - \Delta m^2 \cos 2\theta)^2 + (\Delta m^2 \sin 2\theta)^2}$$

# Characteristic Length (again)

- for vacuum oscillations and for matter effects

$$\tan 2\theta_m = \frac{\Delta m^2 \sin 2\theta}{-A + \Delta m^2 \cos 2\theta} \text{ or}$$

$$\tan 2\theta_m = \frac{\tan 2\theta}{-\frac{A}{\Delta m^2} \sec 2\theta + 1}$$

$$\tan 2\theta_m = \frac{\tan 2\theta}{-\frac{A}{\Delta m^2 \cos 2\theta} + 1} = \frac{\tan 2\theta}{-\frac{L_v}{L_e \cos 2\theta} + 1}$$

$$L_v = \frac{4\pi E}{\Delta m^2}$$

$$L_e = \frac{2\pi}{\sqrt{2} G_F N_e}$$

$$A = 2E \sqrt{2} G_F N_e$$


recall the discussion about the 1<sup>st</sup> and 2<sup>nd</sup> octant in the previous lecture...

2<sup>nd</sup> octant is like flipping the mass hierarchy (and a relative phase between the mass states, irrelevant) and mapping back to the 1<sup>st</sup> octant

but for matter effects, it matters!

# To Resonance or Not to Resonance

- if the vacuum mixing angle is in the first octant, the resonance condition **is possible**
- if the vacuum mixing angle is in the second octant, equivalent to flipping the mass hierarchy, the resonance condition **is not possible**
- you can see the 2<sup>nd</sup> octant, flipped hierarchy equivalency directly
- **it is possible** (nature allowed us) for an experiment to observe the matter effect and conclude which hierarchy is involved
  - **the 1<sup>st</sup> and 2<sup>nd</sup> octant degeneracy can be broken**

$$\tan 2\theta_m = \frac{\tan 2\theta}{-\frac{A}{\Delta m^2 \cos 2\theta} + 1} = \frac{\tan 2\theta}{-\frac{L_\nu}{E \cos 2\theta} + 1}$$


The diagram shows the equation for the resonance condition. Below the equation, there are two large grey arrows pointing in opposite directions. The left arrow points from the right towards the term  $-\frac{A}{\Delta m^2 \cos 2\theta}$ . The right arrow points from the left towards the term  $-\frac{L_\nu}{E \cos 2\theta}$ .

*this happened  
for solar neutrinos  
(SNO)*

# Historical Comment:

## Dark Side in Solar Neutrino Oscillations

- the 2<sup>nd</sup> octant was briefly referred to as the “dark side” (still called that by old school  $\nu$ , like me)
- b/c oscillations were thought about as  $\sin^2 2\theta$  and people had not looked at solutions in the second octant for solar neutrinos for a period of time (though initially they had)

### The Dark Side of the Solar Neutrino Parameter Space\*

André de Gouvêa

*CERN - Theory Division, CH-1211 Geneva 23, Switzerland*

Alexander Friedland and Hitoshi Murayama

*Department of Physics, University of California, Berkeley, CA 94720, USA*

*Theory Group, Lawrence Berkeley National Laboratory, Berkeley, CA 94720, USA*

(May 25, 2006)

Results of neutrino oscillation experiments have always been presented on the  $(\sin^2 2\theta, \Delta m^2)$  parameter space for the case of two-flavor oscillations. We point out, however, that this parameterization misses the half of the parameter space  $\frac{\pi}{4} < \theta \leq \frac{\pi}{2}$  (“the dark side”), which is physically inequivalent to the region  $0 \leq \theta \leq \frac{\pi}{4}$  (“the light side”) in the presence of matter effects. The MSW solutions to the solar neutrino problem can extend to the dark side, especially if we take the conservative attitude to allow higher confidence levels, ignore some of the experimental results in the fits, or relax theoretical predictions. Furthermore, even the so-called “vacuum oscillation” solution distinguishes the dark and the light sides. We urge experimental collaborations to present their results on the entire parameter space.

# Matter Effects for Antineutrinos

- $V_{CC}$  changes sign for antineutrinos

$$\sqrt{2}G_F N_e \rightarrow -\sqrt{2}G_F N_e \text{ for } \bar{\nu}_e$$

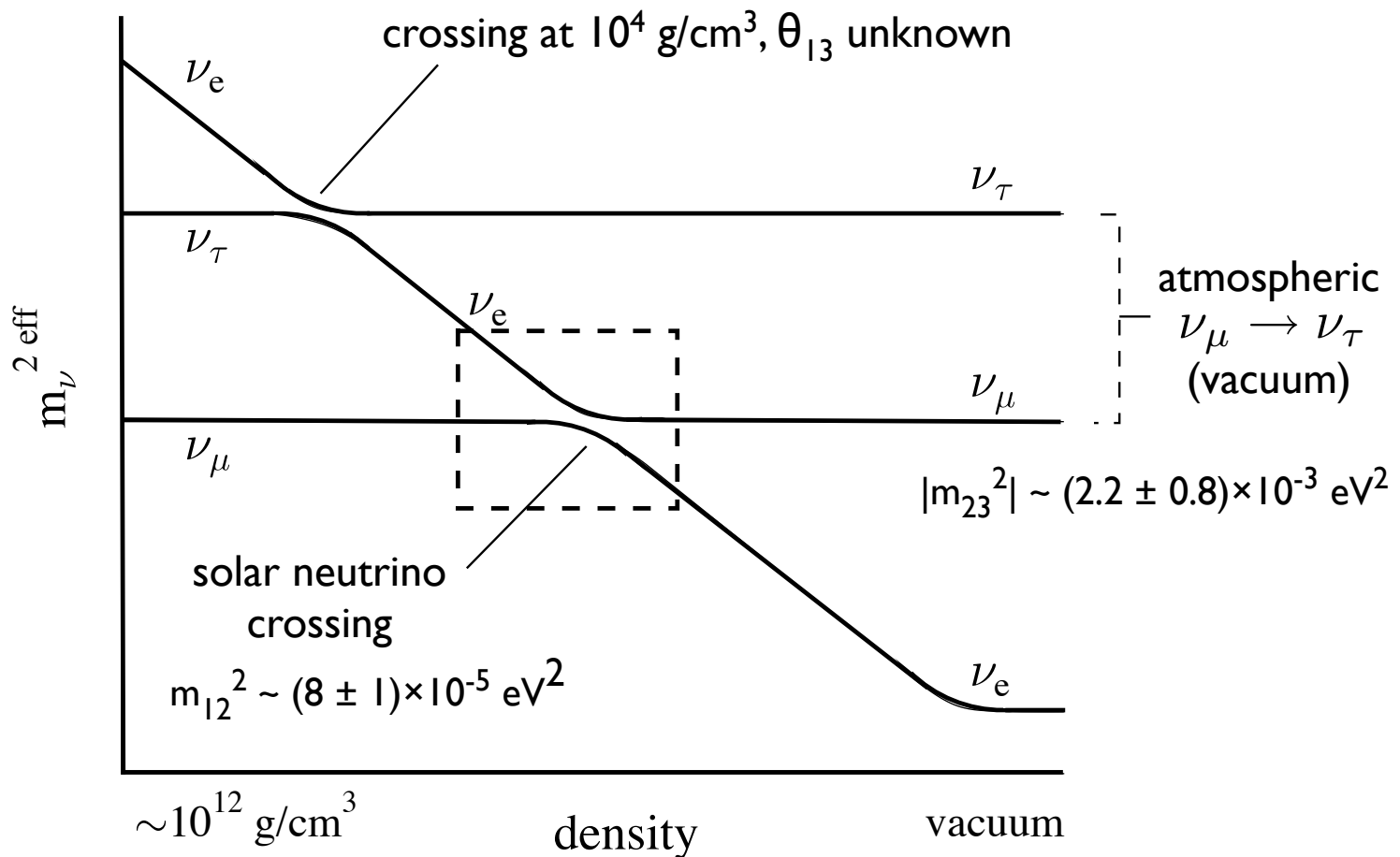
- everything said about matter effects, resonance, mass hierarchy, is reversed for antineutrinos



$$\lambda_{2,1} = \left[ (\Sigma + A) \pm \sqrt{(A - \Delta m^2 C_{2\theta})^2 + (\Delta m^2 S_{2\theta})^2} \right] / 2$$

# Matter Effect Versus Density

- from W.C. Haxton, arXiv:0710.2295

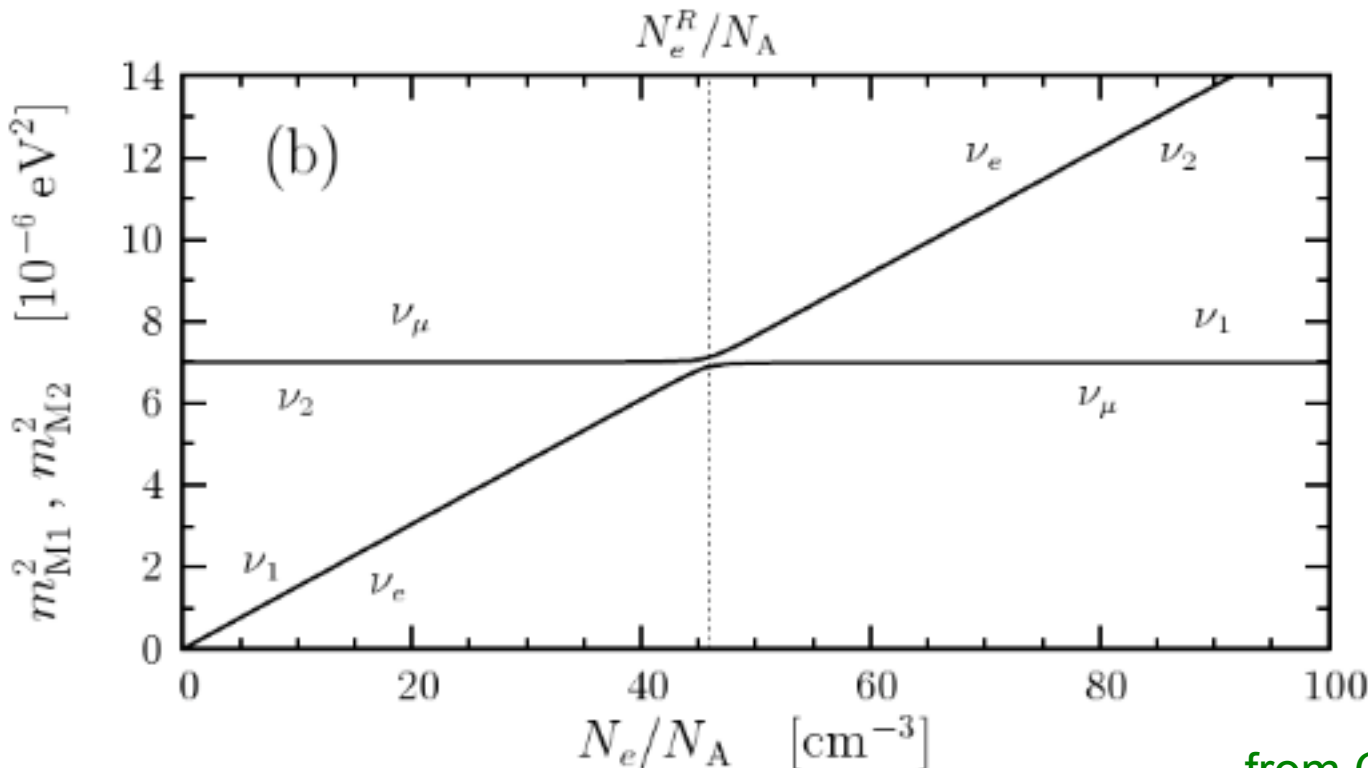


$$\lambda_{2,1} = \left[ (\Sigma + A) \pm \sqrt{(A - \Delta m^2 C_{2\theta})^2 + (\Delta m^2 S_{2\theta})^2} \right] / 2$$

# Neutrino States in Matter

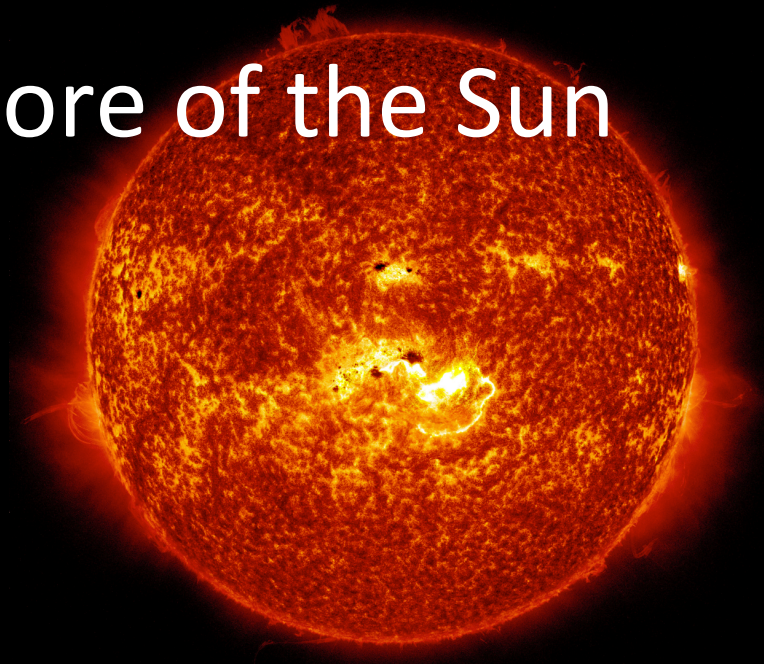
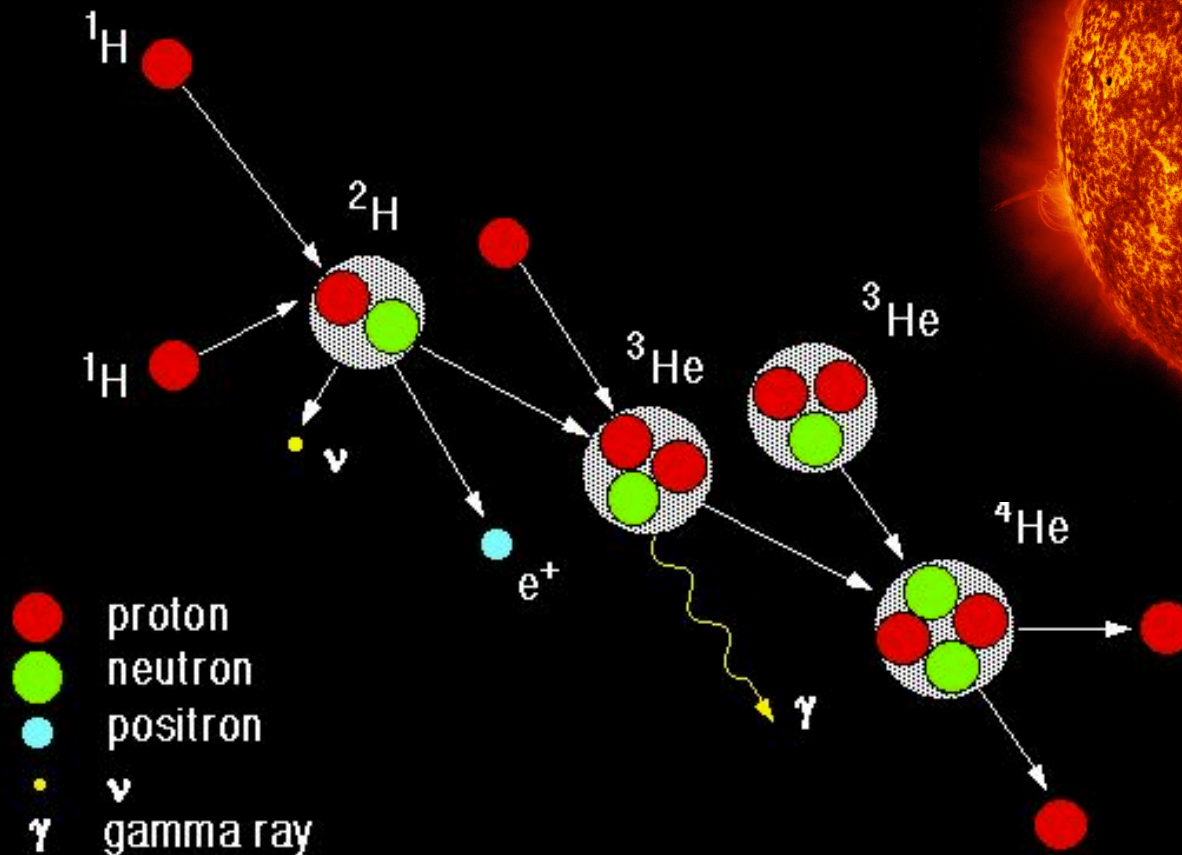
In the Sun, electron neutrinos are produced (by the weak interaction) and  $\nu_e$  is practically all  $\nu_2$  in dense matter – the Sun makes a “pure” neutrino mass eigenstate! *If  $\nu_2$  propagates adiabatically through decreasing density,  $N_e \dots$ ?*

*Question: does the pure  $\nu_2$  mass eigenstate oscillate?*

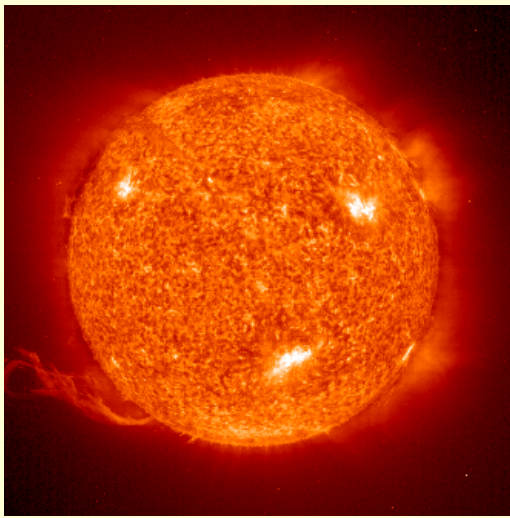


from C. Giunti and C.W. Kim

# Nuclear Fusion in the Core of the Sun

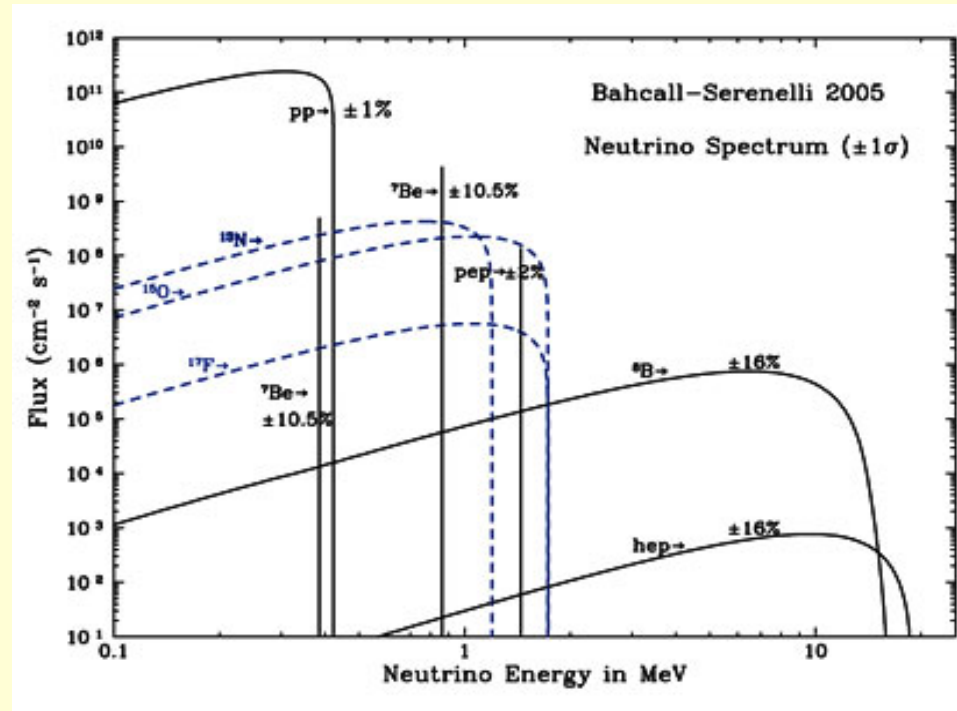
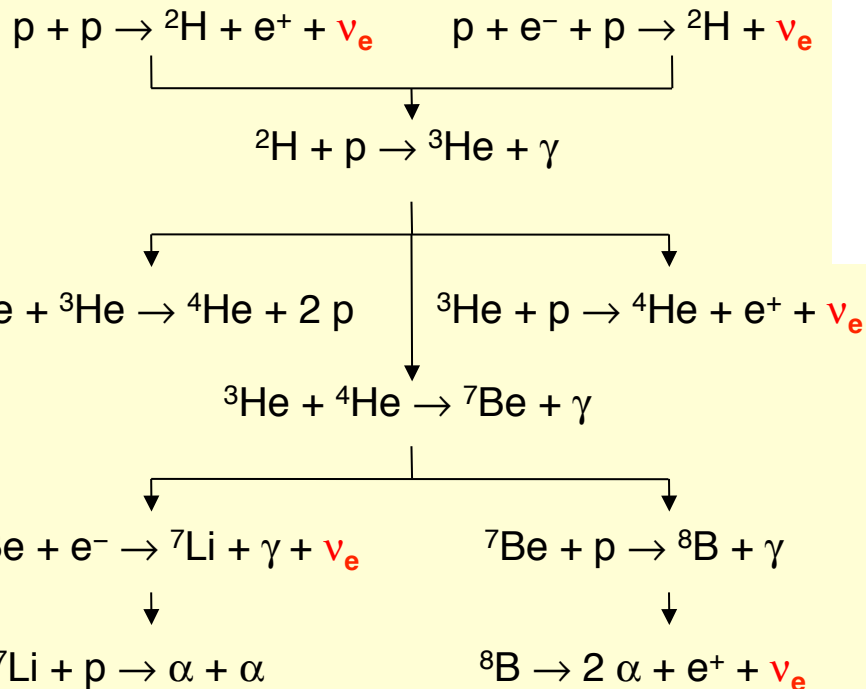


**Solar Nuclear Fusion Reactions  
via the Proton-Proton Chain**

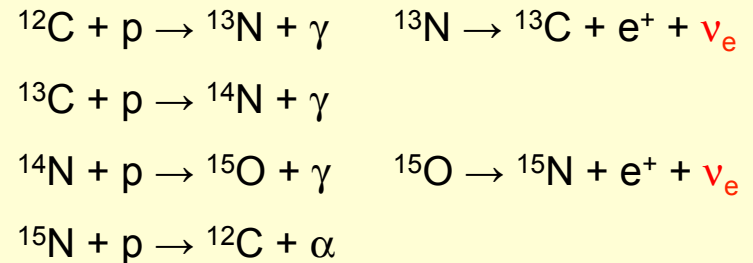


# Solar Neutrinos

## *pp* Solar Fusion Chain



## *CNO* Cycle



# Neutrino Mass Hierarchy

