

Adroproduzione di quark pesanti e flusso di neutrini prompt nell'atmosfera

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mainly on the basis of [JHEP 1510 \(2015\) 115 \[arXiv:1507.01570\]](#)

INFN Workshop “What Next. Sezioni d’urto dei neutrini.”

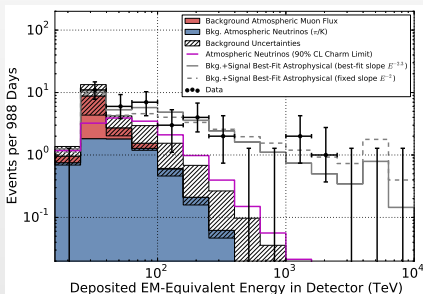
Bologna, November 9 - 10 th, 2015



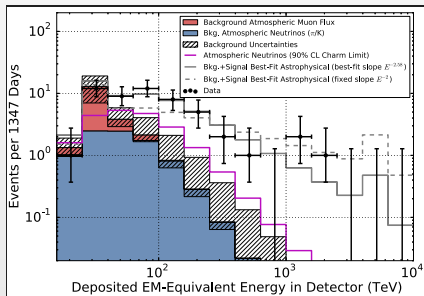
The astrophysical case:

IceCube high-energy events ([arXiv:1405.5303] + ICRC 2015)

- * **2013**: 662-day analysis, with **28** candidates in the energy range [50 TeV - 2 PeV]. (4.1 σ excess over the expected atmospheric background).
- * **2014**: 988-day analysis, with a total of **37** events with energy [30 TeV - 2 PeV] (5.7 σ excess), no events in the energy range [400 TeV - 1 PeV].
- * **2015**: 1347-day analysis, with a total of **53 + 1** events, previous energy gap partially filled.



2014



2015

figures from the presentation of C. Kopper, ICRC2015

- * high-energy diffuse flux further testable by KM3Net/ARCA

Candidate sources for HESE considered so far in literature

1) Astrophysical Sources:

extragalactic: AGNs, GRBs, Starburst galaxies, galaxy clusters...

galactic: SNRs, pulsars, microquasars, Fermi bubbles, Galactic halo

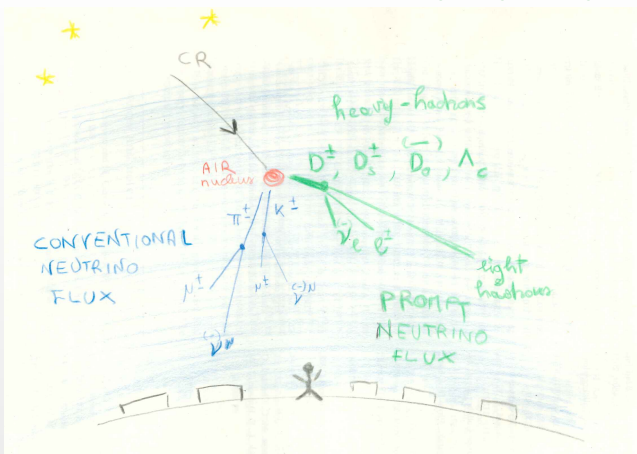
2) Heavy DM decay, DM-DM annihilation

3) Atmospheric leptons

May be a combination of some of the previous ones ?

For sure, precise predictions/measurements of the **atmospheric ν** fluxes have to be taken into account in the analyses, because they represent a **"background"** for any **astrophysical** or **BSM hypothesis**.

Atmospheric ν flux: conventional and prompt components



Cosmic Rays + Atmospheric Nuclei \rightarrow hadrons \rightarrow neutrinos + X

- * Two contributing mechanisms, following two different power-law regimes:
 - **conventional** ν flux from the decay of π^\pm and K^\pm
 - **prompt** ν flux from charmed and heavier hadrons (D 's, Λ_c^\pm 's.....)
- * **Transition point:** still subject of investigation.....

Standard procedure to get fluxes: from cascade equations to Z -moments [review in Gaisser, 1990; Lipari, 1993]

Solve a system of **coupled differential equations** regulating particle evolution in the atmosphere (interaction/decay/(re)generation):

$$\frac{d\phi_j}{dX} = -\frac{\phi_j}{\lambda_{j,int}} - \frac{\phi_j}{\lambda_{j,dec}} + \sum_{k \neq j} S_{prod}(k \rightarrow j) + \sum_{k \neq j} S_{decay}(k \rightarrow j) + S_{reg}(j \rightarrow j)$$

Under assumption that X dependence of fluxes factorizes from E dependence, analytical approximated solutions in terms of Z -moments:

– **Particle Production:**

$$S_{prod}(k \rightarrow j) = \int_{E_j}^{\infty} dE_k \frac{\phi_k(E_k, X)}{\lambda_k(E_k)} \frac{1}{\sigma_k} \frac{d\sigma_{k \rightarrow j}(E_k, E_j)}{dE_j} \sim \frac{\phi_k(E_j, X)}{\lambda_k(E_j)} Z_{kj}(E_j)$$

– **Particle Decay:**

$$S_{decay}(j \rightarrow l) = \int_{E_l}^{\infty} dE_j \frac{\phi_j(E_j, X)}{\lambda_j(E_j)} \frac{1}{\Gamma_j} \frac{d\Gamma_{j \rightarrow l}(E_j, E_l)}{dE_l} \sim \frac{\phi_j(E_l, X)}{\lambda_j(E_l)} Z_{jl}(E_l)$$

Solutions available for $E_j \gg E_{crit,j}$ and for $E_j \ll E_{crit,j}$, respectively, are interpolated geometrically.

Z-moments for heavy hadron production and decay

- * CR + Air interactions producing heavy hadrons (in particular including charm) parameterized in terms of p - p collisions
- * Integration variable: $x_E = E_h/E_p$
- * Z-moments for intermediate **hadron production**:

$$Z_{ph}(E_h) = \int_0^1 \frac{dx_E}{x_E} \frac{\phi_p(E_h/x_E)}{\phi_p(E_h)} \frac{A_{air}}{\sigma_{p-Air}^{tot,inel}(E_h)} \frac{d\sigma_{pp \rightarrow c\bar{c} \rightarrow h+X}}{dx_E}(E_h/x_E)$$

- * These hadrons are then decayed semileptonically, producing leptons (+ X)
- * Integration variable: $x'_E = E_l/E_h$
- * Z-moments for intermediate **hadron decay**:

$$Z_{hl}(E_l) = \int_0^{1 - \frac{s_{X,h}^{eff}}{m_h^2}} \frac{dx'_E}{x'_E} \frac{\phi_h(E_l/x'_E)}{\phi_h(E_l)} F_{h \rightarrow l}(x'_E)$$

The QCD core of the Z -moments for prompt fluxes:

$$d\sigma(pp \rightarrow \text{charmed hadrons})/dx_E$$

* We used QCD in the standard **collinear factorization** formalism.

$$\sigma_{H_1 H_2 \rightarrow X} = \sum_{i,j} \int dx_1 dx_2 f_{i/H_1}(x_i, \mu_F^2) f_{j/H_2}(x_j, \mu_F^2) \hat{\sigma}_{ij \rightarrow X}(x_i p_1, x_j p_2; \alpha_S, \mu_R^2, \mu_F^2)$$

where

$x_i = p_{z,i}/p_{z,H_1} =$ Bjorken variable

$f_{i/H_1}(x_i, \mu_F^2) =$ PDFs (long-distance physics) reabsorb infrared collinear singularities uncancelled within the hard-scattering and are universal (process independent). At a given scale, they are non-perturbative objects, but their evolution with μ_F is governed by perturbation theory (DGLAP equation).

$\hat{\sigma}_{ij \rightarrow X} =$ partonic hard-scattering cross-section (short-distance physics), computable by pQCD.

$\mu_F =$ factorization scale: separates long-distance physics (non-perturbative QCD) from short-distance physics (perturbative QCD).

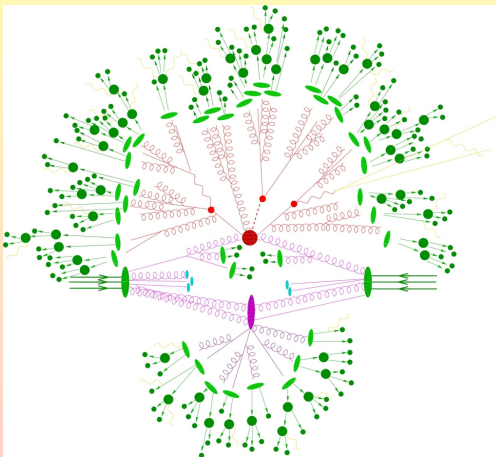
$\mu_R =$ renormalization scale: renormalization eliminates UV divergences, by reabsorbing the divergences in renormalized quantities.

The QCD core of the Z -moments for prompt fluxes:

$$d\sigma(pp \rightarrow \text{charmed hadrons})/dx_E$$

- * We used QCD in the standard **collinear factorization** formalism.
- * So far this has been successfully employed not only to explain **ATLAS** and **CMS** results (central pseudorapidities), but even many observables at **LHCb** (mid-forward pseudorapidities $2 < \eta < 5$).
- * **LHCf** is able to investigate in very-forward rapidity regions ($8.4 < \eta < \infty$) the production of γ 's, π^0 's, neutrons and light neutral hadrons, no charmed charged particles :-)
- * total cross-section for $c\bar{c}$ pair hadroproduction using **NNLO QCD radiative corrections** in **pQCD**.
- * differential cross-section for $c\bar{c}$ pair hadroproduction not yet available at NNLO; use of a **NLO QCD + Parton Shower + hadronization + decay** approach.
- * QCD parameters of computation and uncertainties due to the missing higher orders fixed by looking at the convergence of the perturbative series (**LO/NLO/NNLO** comparison).

p-p and p- \bar{p} collision overview (LHC and Tevatron)



- hard scattering
- parton shower
- QED shower
- hadronization
- hadron decay
- underlying event
- pile-up (overlap of different collisions).

$Q = a \text{ few TeV}$

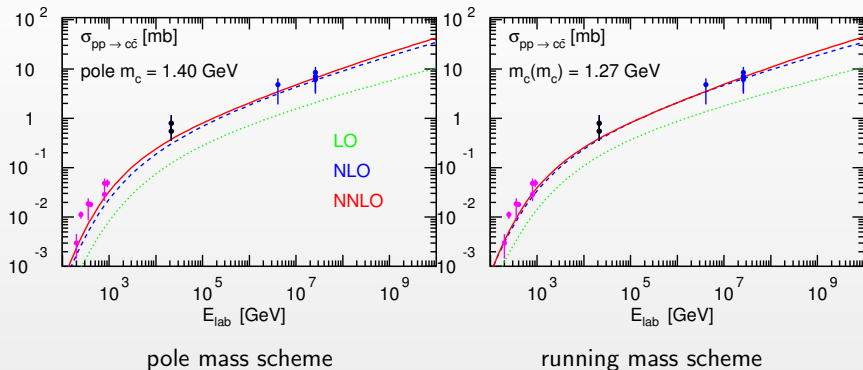
$\Lambda_{QCD} = 200 \text{ MeV}$

DECREASING ENERGY SCALE

PERTURBATIVE AND NON-PERTURBATIVE COMPONENTS



$\sigma(pp \rightarrow c\bar{c})$ at LO, NLO, NNLO QCD



exp data from fixed target exp + colliders (STAR, PHENIX, ALICE, ATLAS, LHCb).

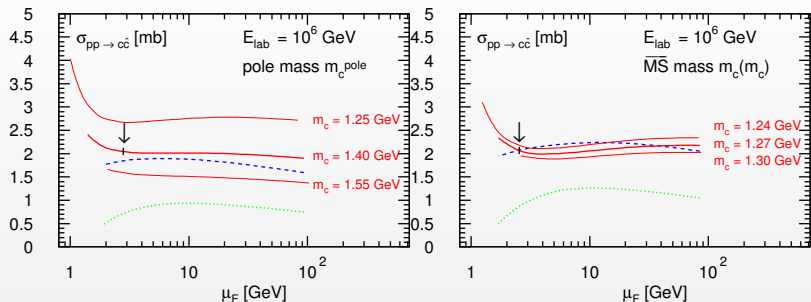
$$(E_{lab} = 10^6 \text{ eV} \sim E_{cm} = 1.37 \text{ TeV})$$

$$(E_{lab} = 10^8 \text{ eV} \sim E_{cm} = 13.7 \text{ TeV})$$

$$(E_{lab} = 10^{10} \text{ eV} \sim E_{cm} = 137 \text{ TeV})$$

* Assumption: pQCD in DGLAP formalism valid on the whole energy range.

$\sigma(pp \rightarrow c\bar{c})$: scale and mass dependence



- * PDG running mass in the \overline{MS} scheme

$$m_c(m_c) = 1.275 \pm 0.025 \text{ GeV}$$

- * Conversion to the pole mass scheme suffers from poor convergence:

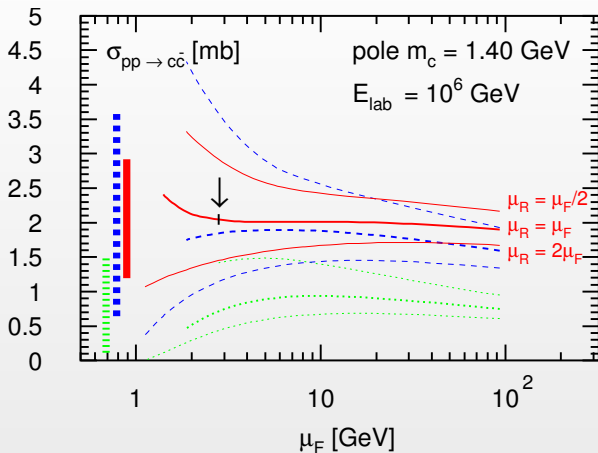
$$m_c(m_c) = 1.27 \rightarrow m_c^{pole} = 1.48 \text{ at 1-loop}$$

$$m_c(m_c) = 1.27 \rightarrow m_c^{pole} = 1.67 \text{ at 2-loop}$$

- * Furthermore, accuracy of the pole mass limited to be of the order of $\mathcal{O}(\Lambda_{QCD})$ by the renormalon ambiguity.

\Rightarrow We fix $m_c^{pole} = 1.4 \pm 0.15 \text{ GeV}$. With this choice the cross-section in the pole mass scheme approximately reproduces that in the running mass scheme.

$\sigma(pp \rightarrow c\bar{c})$: scale dependence

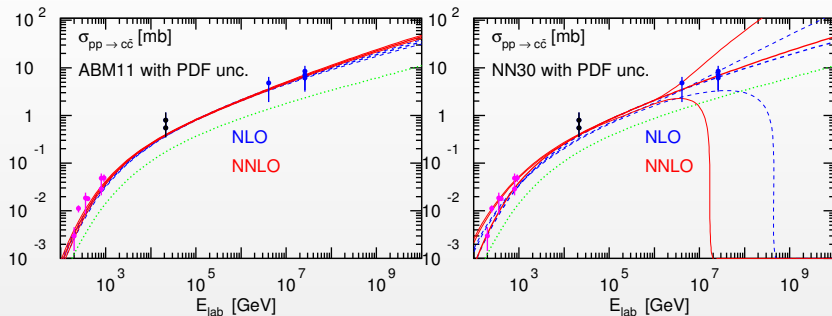


* Minimal sensitivity to radiative corrections is reached at a scale

$$\mu_R \sim \mu_F \sim 2m_{charm} .$$

* This translates into a dynamical scale $\sqrt{p_{T,charm}^2 + 4m_{charm}^2}$
to better catch dynamics in differential distributions.

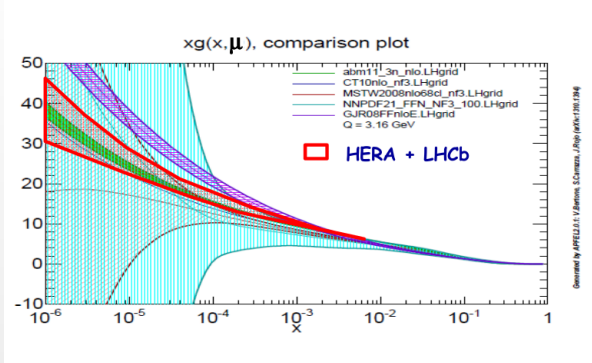
$\sigma(pp \rightarrow c\bar{c})$: PDFs and their behaviour at low Bjorken x



- * Probing higher astrophysical energies allows to probe smaller x region, down to values where no data constrain PDFs yet (at least at present).
- * $f(x, \mu_F^2)$: μ_F^2 evolution fixed by DGLAP equations, x dependence non-perturbative: ansatz + extraction from experimental data.
- * Different behaviour of different PDF parameterizations:
 - ABM parameterization constrains PDFs at low x ;
 - NNPDF parameterization reflects the absence of constraints from experimental data at low x .

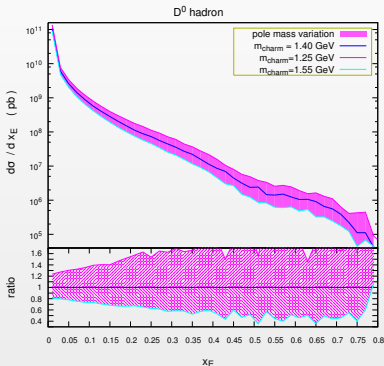
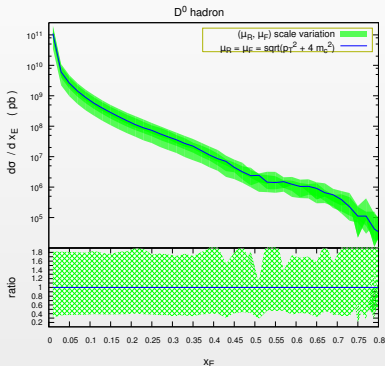
PROSA PDF fit [O. Zenaiev, A. Geiser et al. [arXiv:1503.04585]]

First fit already including some LHCb data (charm and bottom) appeared in arXiv.



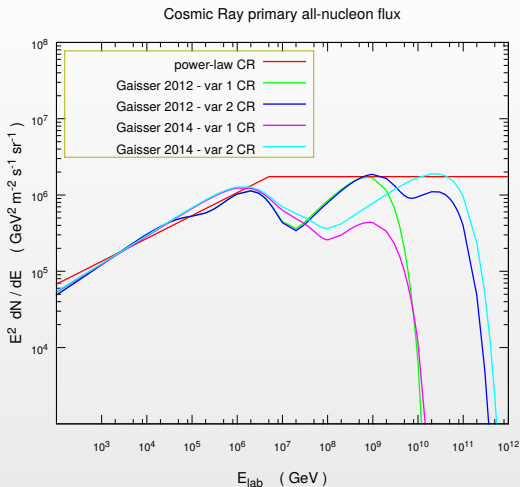
- * **ABM** PDFs, although non including any info from LHCb, in agreement with **PROSA** fit → good candidates for ultra-high-energy applications.
- * **CT10** PDFs in marginal agreement with PROSA fit.
- * **NNPDF** PDFs: at present still the largest uncertainties, they are working to incorporate PROSA idea in their fit as well (Gauld et al. [arXiv:1506.08025], not yet available in the 3 flavour scheme).

$d\sigma(pp \rightarrow c\bar{c} \rightarrow D^0 + X)/dX_E$: scale and mass uncertainties



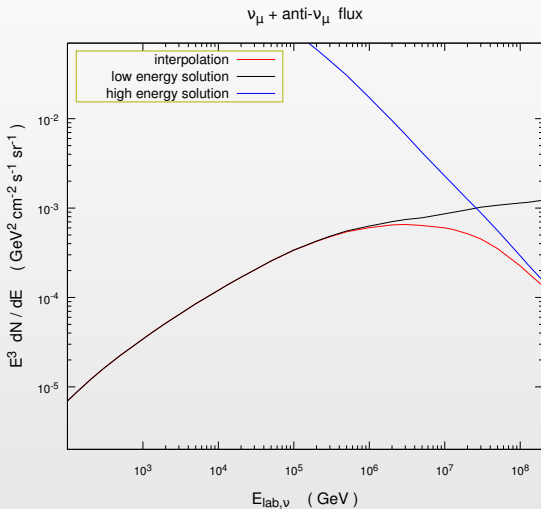
* Here plots for pp collisions at $E_{p,lab} = 10^7$ GeV, shape remains similar at different energies.

The all-nucleon CR spectra: considered hypotheses

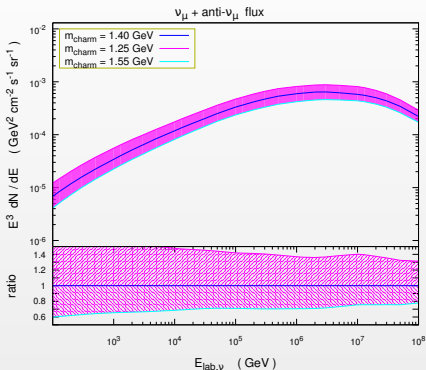
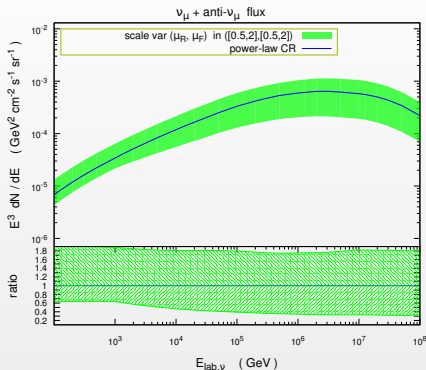


- * All-nucleon spectra obtained from all-particles ones under different assumptions as for the CR composition at the highest energies.
- * Models with 3 (2 gal + 1 extra-gal) or 4 (2 gal + 2 extra-gal) populations are available.

$(\nu_\mu + \bar{\nu}_\mu)$ fluxes: interpolation between high energy and low energy solutions - power law CR

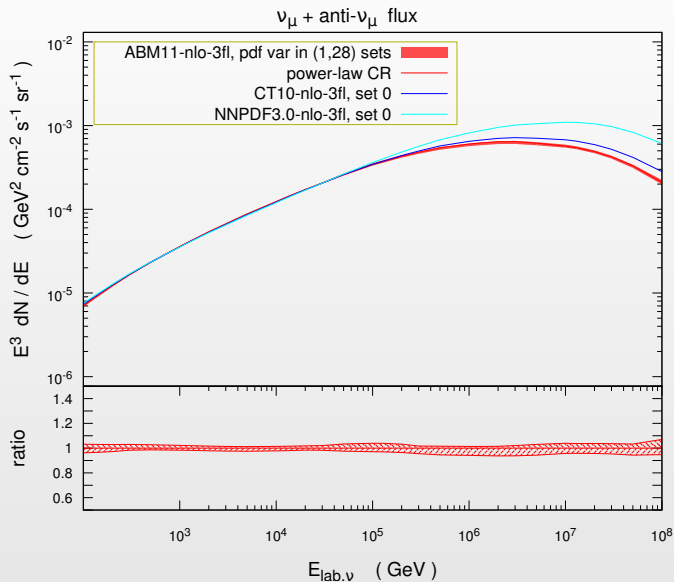


$(\nu_\mu + \bar{\nu}_\mu)$ fluxes: scale and mass variation - power law CR



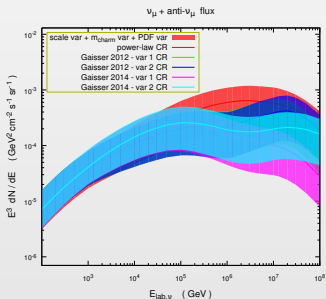
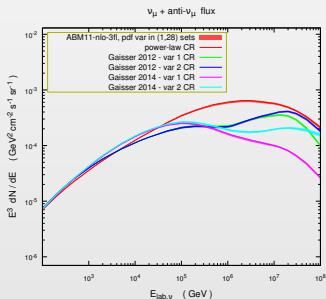
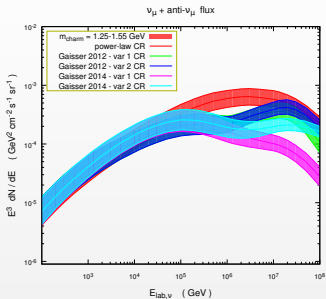
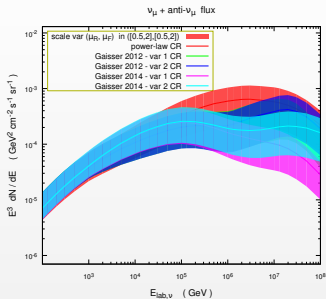
- * scale uncertainty slowly changes with $E_{\text{lab},\nu}$, it accounts for missing higher orders (pQCD).
- * m_{charm} mass uncertainty decreases with increasing $E_{\text{lab},\nu}$, because configurations with smaller $x_E = E_{\text{had}}/E_p$ become possible.

$(\nu_\mu + \bar{\nu}_\mu)$ fluxes: PDF variation - power law CR

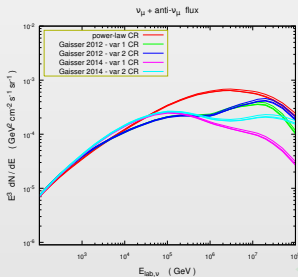
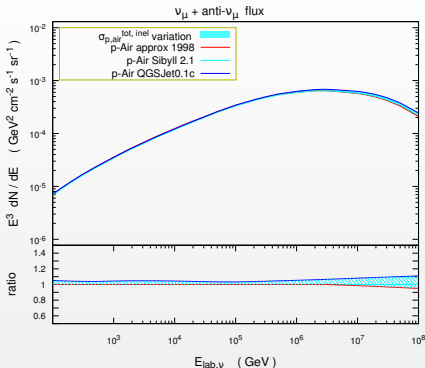
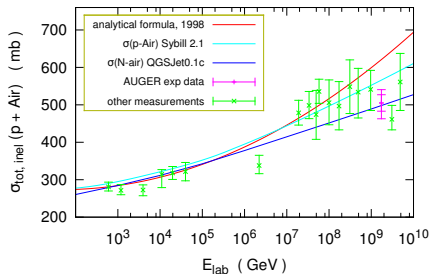


$(\nu_\mu + \bar{\nu}_\mu)$ fluxes: (scale + mass + PDF) variation

summary



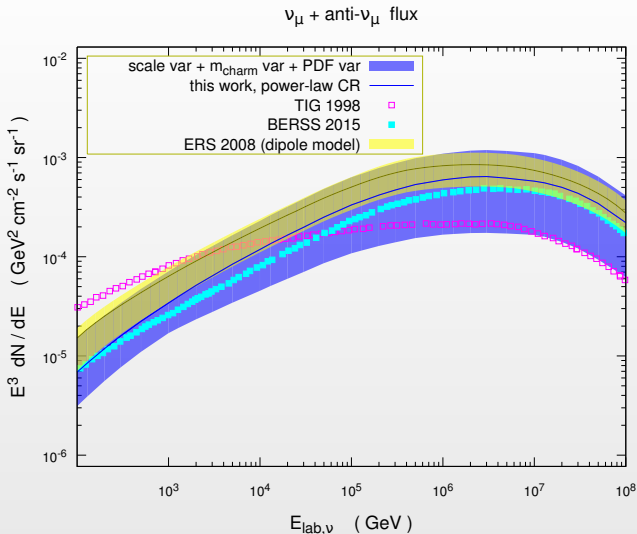
$(\nu_\mu + \bar{\nu}_\mu)$ fluxes: variation in the total inelastic σ_{p-Air}



Prompt neutrino flux hadroproduction in the atmosphere: theoretical predictions in literature

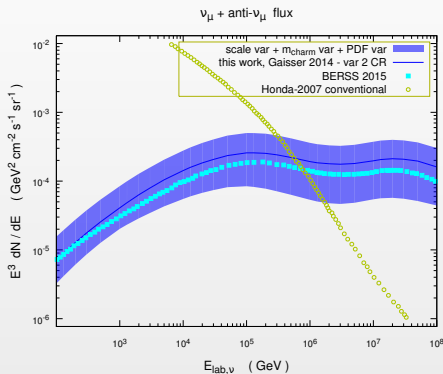
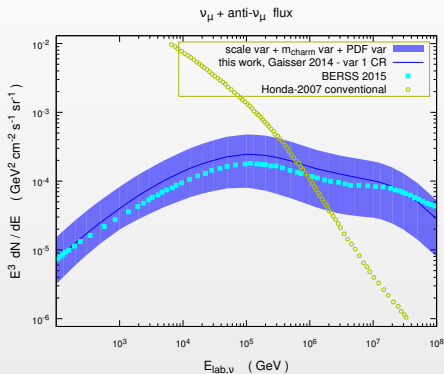
- * [Long](#) non-exhaustive [list of papers](#), including, among the others:
 - Lipari, *Astropart. Phys.* 1 (1993) 195
 - Battistoni, Bloise, Forti et al., *Astropart. Phys.* 4 (1996) 351
 - Gondolo, Ingelman, Thunman, *Astropart. Phys.* 5 (1996) 309
 - Bugaev, Misaki, Naumov et al., *Phys. Rev. D* 58 (1998) 054001
 - Pasquali, Reno, Sarcevic, *Phys. Rev. D* 59 (1999) 034020
 - Enberg, Reno, Sarcevic, *Phys. Rev. D* 78 (2008) 043005
- * Updates and [recently renewed interest](#):
 - Bhattacharya, Enberg, Reno et al., *JHEP* 1506 (2015) 110
 - Fedynitch, Gaisser et al. ICRC 2015, TAUP 2015...
 - Garzelli, Moch, Sigl, *JHEP* 1510 (2015) 115 → **this talk**
 - + other works in preparation.....

$(\nu_\mu + \bar{\nu}_\mu)$ fluxes: comparison with other predictions



Our **uncertainty band** is an **envelope** of theoretical uncertainties, not only **normalization** but even **shape** of ν fluxes can change within the envelope.

$(\nu_\mu + \bar{\nu}_\mu)$ fluxes: comparisons with other predictions and transition region



- * Our predictions point to a transition energy $E_{\text{trans}} = 6_{-3}^{+12} \cdot 10^5 \text{ GeV}$:
is the last E bin where IceCube have not seen events just filled by prompt ν ?

Conclusions

- * Prompt lepton fluxes are **background** for astrophysical high-energy ν seen by in-ice or under-water large volume neutrino telescopes.
- * We provide a **new estimate of the prompt ν component**, on the basis of up-to-date QCD theoretical results + recent knowledge in astrophysical CR fluxes.
- * Our **central predictions** are **in between** those recently obtained by pQCD by another group (**BERSS 2015**) and those previously obtained by the same group (**ERS 2008**) with a phenomenological dipole model.
- * We got a **sizable uncertainty band**, larger than those previously (under)estimated, dominated by **QCD renormalization and factorization scale** uncertainties very slowly varying with $E_{lab,\nu}$ energy.
- * At increasing energies above the transition region, the uncertainties on **primary cosmic ray origin (galactic/extragalactic)** and **composition (p /heavy ions)** become increasingly more important (and comparable to QCD uncertainty): more investigation is needed from EAS experiments.
- * A **web page** with our most recent predictions is **available**:

<http://promptfluxes.desy.de>

What Next ? (from the point of view of people providing theoretical predictions for high-energy lepton fluxes)

- * Further scenarios, on the basis of **alternative QCD factorization frameworks**, are worth of being explored as well.
- * **Comparisons of** predictions from **different scenarios** are valuable.
- * Uncertainties on **hadronization and** on soft and hard **multiple particle interactions** deserve probably a dedicated study.
- * Role of **nuclear media** in modifying properties of the microscopic collisions to be better explored.
- * Run-I at LHC provided a **boost for QCD theory** (e.g. **NLO QCD** revolution, **Standard Model** confirmed with high precision) and developments will probably continue (e.g. **NNLO** predictions for hard-scatterings and PDFs, **EW** effects, new α_S determination, decay properties of heavy-hadrons.....).
- * **Data** from Run-I and Run-II **at LHC** very useful in constraining: PDFs (**LHCb**, **CMS**, **ATLAS**), **pp** inelastic and elastic cross-sections (**TOTEM**), role of nuclear media in modifying **pp** collisions (**ALICE**), QCD factorization (**CMS**, **ATLAS**, **LHCb**, **LHCf**).
- * New **hh** collider at higher energy (e.g. **FCC-hh** at $\sqrt{s} = 100 \text{ TeV}$) can be necessary as well....: high-energy QCD factorization, low Bjorken- x PDFs, precise determination of heavy-quark masses, New Physics (?).

What Next ? (for CR and ν Telescope experimentalists)

- * Provide **measurements of cosmic-ray composition**, as much as possible **independent of the theory**, in the energy region above $10^{15} - 10^{16}$ eV.
- * Provide **precise measurements of** atmospheric lepton fluxes, including the **prompt component**, independent of the theory.
- * In case the uncertainties on these measurements will be smaller than those from theoretical predictions, **use astroparticle measurements to constrain QCD and, more generally, the SM**: complementarity with respect to collider experiments (LHC and future colliders....).