

A GOLDSTINO AT THE BOTTOM OF THE CASCADE



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based on **1509.03594** (JHEP)

w/ D. Musso, I. Papadimitriou & H. Raj

[see also 1412.6499 (PRD) w/ Argurio, Musso, Porri & Redigolo
and 1310.6897 (JHEP) w/ Argurio, Di Pietro, Porri & Redigolo]

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MOTIVATIONS

In general...

- Understand (super)symmetry breaking in **strongly coupled** QFTs → Holography it's a powerful tool!

As far as supersymmetry...

- ~~SUSY~~ in String theory and existence of metastable vacua.

Specific to this paper...

- Contribute to ongoing debate on **antiD-branes** in warped throats from a different (but instructive!) perspective.
- Improve understanding of **holographic renormalization** for cascading gauge theories (little is known from QFT side).

PRELIMINARIES

- Which SQFT can break SUSY? A necessary condition is that **conformal invariance** is **explicitly broken**:

$$E_{vac} = \langle T_{00} \rangle \sim \langle T_{\mu}^{\mu} \rangle \text{ at odds with operator identity } T_{\mu}^{\mu} = 0$$

From Lorentz invariance

The SCFT must be deformed by (marginally) relevant, SUSY-preserving, operators.

Note: This means that dual backgrounds cannot be AdS!

~~AdS~~

Should depart from AdS-ness...
and do it at enough pace!

- Strongly coupled ~~SUSY~~ dynamics diverse and complicated. But it exists a universal feature: the **goldstino**. This is what we search for, holographically!

PRELIMINARIES

- *Recall*: in AdS/CFT different vacua of the same QFT are described by bulk solutions having the same asymptotic, up to **normalizable modes** (VEV). Non-normalizable modes correspond to deformations of the QFT.
- Suppose to have a **bulk solution** which breaks SUSY. There are two basic questions one should answer:
 - **Q1**: Is the solution gravitationally (meta)**stable**?
 - **Q2**: Is the bulk mode dual to the **goldstino** present?

A positive answer to the first question guarantees that the solution describes an actual QFT vacuum. To the second, that SUSY is broken spontaneously.

PRELIMINARIES

- It should be possible to answer these two questions independently:

- The goldstino appears as **massless pole** in supercurrent 2-point function (in IR $\mathbf{S}_\mu = \sigma_\mu \bar{\mathbf{G}}$)

$$\langle S_{\mu\alpha} \bar{S}_{\nu\dot{\beta}} \rangle$$

Complicated structure; it depends on the vacuum one is considering!

- In fact, we don't need it all! Information fully encoded in (quasi local) contact term implied by SUSY **Ward identity**

$$\langle \partial^\mu S_{\mu\alpha}(x) \bar{S}_{\nu\dot{\beta}}(0) \rangle = -2\sigma_{\alpha\dot{\beta}}^\mu \langle T_{\mu\nu} \rangle \delta^4(x)$$

Upon integration, it relates pole residue to **vacuum energy**.

→ WIs depend on **UV** data, only. Vacuum stability is an **IR** property.

~~SUSY~~ IN STRING TH. & HOLOGRAPHY

- There are (at least) **two different classes** of theories one can consider in holographic set-ups which allow for ~~SUSY~~ →

Class 1: $\mathcal{L} = \mathcal{L}_{SCFT} + \sum_i \lambda_i \int d^2\theta \mathcal{O}^i$, $1 \leq \Delta_{\mathcal{O}^i} < 3$

← relevant operators

AAdS backgrounds

[ARGURIO ET AL. '14]

Class 2: \mathcal{L} without a UV fixed point (... !?)

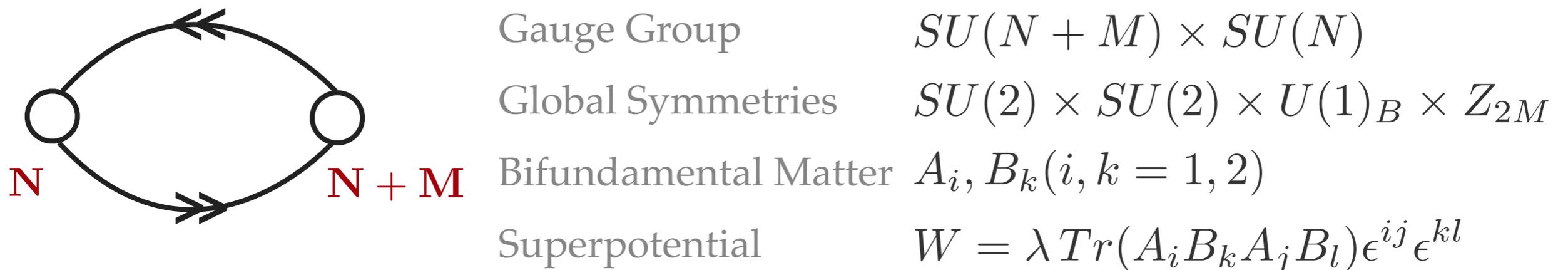
Ubiquitous in top-down models, i.e. **quiver gauge theories** arising from stacks of regular and **fractional** D-branes at CY singularities

Cascading backgrounds

← *log-divergent,
not AAdS!*

SUSY IN STRING TH. & HOLOGRAPHY

- A prototype example is the **KS model**. [KLEBANOV-STRASSLER '00]



→ N regular and M fractional D3-branes at the tip of $C(\mathbf{T}^{1,1})$.

- For $\mathbf{M} = \mathbf{0}$ the theory is (super)conformal. [KLEBANOV-WITTEN '98]

$$\mathcal{O}_\phi \sim \frac{1}{g_1^2} + \frac{1}{g_2^2} \longleftrightarrow e^{-\phi} \quad , \quad \mathcal{O}_{\tilde{b}} \sim \frac{1}{g_1^2} - \frac{1}{g_2^2} \longleftrightarrow \tilde{b}^\Phi = e^{-\phi} b^\Phi$$

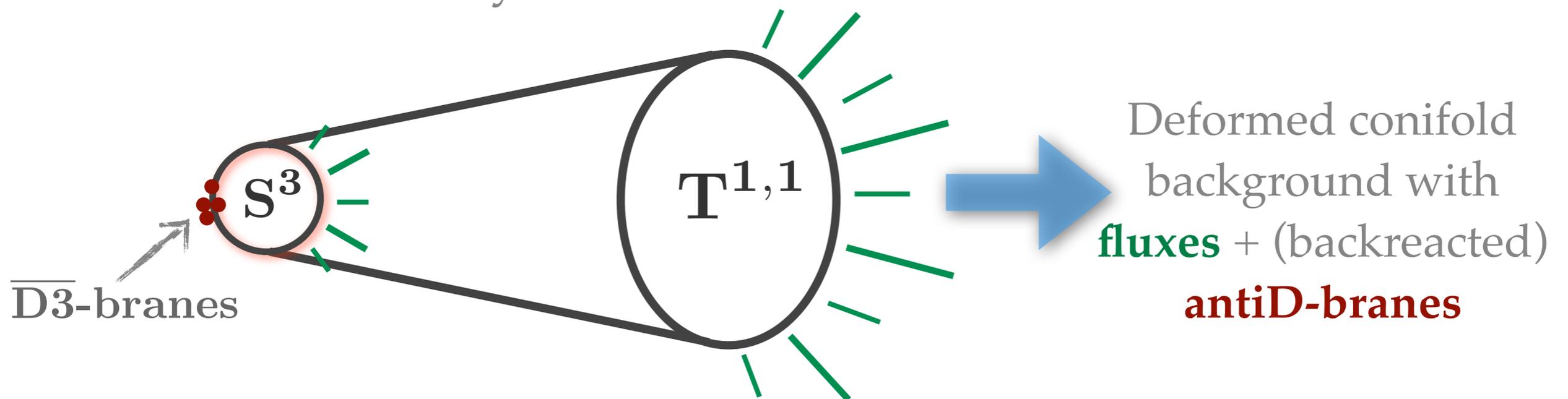
- For $\mathbf{M} \neq \mathbf{0}$ conformal invariance is broken: $\mathcal{O}_{\tilde{b}}$ becomes relevant and triggers an RG-flow → duality cascade.

~~SUSY~~ IN STRING TH. & HOLOGRAPHY

- For $N = kM$ there are both mesonic and baryonic branches of SUSY vacua.
- For $N = kM - p$ with $p < M$ the baryonic branch is lifted and only the mesonic branch survives.

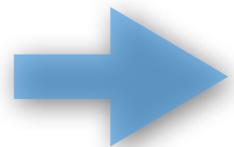
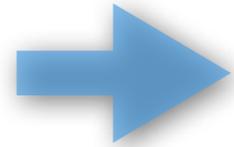
[DYMARSKY-KLEBANOV-SEIBERG'05]

[KACHRU-PEARSON-VERLINDE '01] argued that there exist ~~SUSY~~ vacua on the would-be baryonic branch!



- *Question:* is there a goldstino there?

CASCADING THEORIES FROM 5D SUGRA

- Holographic dictionary (and machinery) defined in terms of 5d effective d.o.f.  need to compactify type IIB on $\mathbf{T}^{1,1}$. The resulting N=2 d=5 effective theory is very complicated. Two **simplifications** make our life simpler:
- Focus on $\mathbf{SU}(2) \times \mathbf{SU}(2)$ invariant sector. [CASSANI-FAEDO '10]
 -  graviton multiplet + 2 hypers
+ 1 massive vector multiplet
- Need to look to UV asymptotic only, up to the order ~~SUSY~~ deformation appears.
 -  look for solutions up to order z^4 !
- **Note:** Solutions should be domain-wall like (metric+scalars) and have all same asymptotics (KT soln [KLEBANOV-TSEYTLIN '00])!

CASCADING THEORIES FROM 5D SUGRA

- The most general solution compatible with KS-theory b.c. is

$$\left\{ \begin{array}{l}
 ds^2 = \frac{1}{z^2} \left(e^{2Y(z)} \eta_{\mu\nu} dx^\mu dx^\nu + e^{2X(z)} dz^2 \right) \\
 e^{2Y} = h^{\frac{1}{3}}(z) h_2^{\frac{1}{2}}(z) h_3^{\frac{1}{2}}(z) \quad , \quad e^{2X} = h^{\frac{4}{3}}(z) h_2^{\frac{1}{2}}(z) \\
 e^{2U} = h^{\frac{5}{2}}(z) h_2^{\frac{3}{2}}(z) \quad , \quad e^{2V} = h_2^{-\frac{3}{2}}(z) \\
 b^\Phi(z) = -\frac{9}{2} g_s M \log(z/z_0) \\
 \quad + z^4 \left[\left(\frac{9\pi N}{4M} + \frac{99}{32} g_s M - \frac{27}{4} g_s M \log(z/z_0) \right) \mathcal{S} - \frac{9}{8} g_s M \varphi \right] + \mathcal{O}(z^8) \\
 \phi(z) = \log g_s + z^4 (3 \mathcal{S} \log(z/z_0) + \varphi) + \mathcal{O}(z^8) \\
 h(z) = \frac{27\pi}{4g_s} \left(g_s N + \frac{3}{8\pi} (g_s M)^2 - \frac{3}{2\pi} (g_s M)^2 \log(z/z_0) \right) \\
 \quad + \frac{z^4}{g_s} \left[\left(\frac{54\pi g_s N}{64} + \frac{81}{4} \frac{13}{64} (g_s M)^2 - \frac{81}{16} (g_s M)^2 \log(z/z_0) \right) \mathcal{S} - \frac{81}{64} (g_s M)^2 \varphi \right] + \mathcal{O}(z^8) \\
 h_2(z) = 1 + \frac{2}{3} \mathcal{S} z^4 + \mathcal{O}(z^8) \quad , \quad h_3(z) = 1 + \mathcal{O}(z^8)
 \end{array} \right.$$

Two-parameter family
of ~~SUSY~~ solutions.

[DE WOLFE-KACHRU-MULLIGAN '08]

HOLOGRAPHIC WARD IDENTITIES

- **Ward identities** are relations among correlators of local operators, descending from **global symmetries**.
- Turn on sources for local operators, **gauge** the global symmetries and require invariance of generating functional under local gauge transformations.
- ➔ Get relations between 1pt-functions (at finite source!) and in turn, upon differentiation, the WIs.
- **Holography** naturally adapted to this procedure:
 - global symmetries on the boundary \longleftrightarrow gauge symmetries in the bulk
 - bulk fields contain arbitrary sources for local operators, and transform under local symmetries in the bulk.

HOLOGRAPHIC WARD IDENTITIES

- *Strategy*: work at finite cut-off & identify sources with **induced fields** at cut-off shell. Remove cut-off at the end.
- Renormalized **1-point functions** in the presence of sources

$$\langle T^{\mu\nu} \rangle = \frac{2}{\sqrt{-\tilde{\gamma}}} \frac{\partial S_{ren}}{\partial \tilde{\gamma}_{\mu\nu}}$$

$$\langle \bar{S}^{-\mu} \rangle = \frac{-2i}{\sqrt{-\tilde{\gamma}}} \frac{\partial S_{ren}}{\partial \tilde{\Psi}_{\mu}^{+}}$$

$$\langle \mathcal{O}_{\phi} \rangle = \frac{1}{2\sqrt{-\tilde{\gamma}}} \frac{\partial S_{ren}}{\partial \phi}$$

$$\langle \bar{\mathcal{O}}_{\xi_{\phi}}^{+} \rangle = \frac{1}{\sqrt{-\tilde{\gamma}}} \frac{i}{\sqrt{2}} \frac{\partial S_{ren}}{\partial \xi_{\phi}^{-}}$$

$$\langle \mathcal{O}_{\tilde{b}} \rangle = \frac{1}{2\sqrt{-\tilde{\gamma}}} \frac{\partial S_{ren}}{\partial \tilde{b}^{\Phi}}$$

$$\langle \bar{\mathcal{O}}_{\tilde{\xi}_b}^{+} \rangle = \frac{1}{\sqrt{-\tilde{\gamma}}} \frac{i}{\sqrt{2}} \frac{\partial S_{ren}}{\partial \tilde{\xi}_b^{-}}$$

$S_{ren} = S_{reg} + S_{ct}$: **renormalized** action (at finite cut-off!)

Explicit expression of counter-terms not needed to derive Ward identities!

HOLOGRAPHIC WARD IDENTITIES

- *Recipe:* 1. Fix bulk gauge redundancy by picking a radial gauge, 2. Study transformations of the sources under residual local symmetries preserving the gauge.

- Gauge fixing condition (Fefferman-Graham gauge):

$$ds^2 = dr^2 + \gamma_{\mu\nu} dx^\mu dx^\nu \quad , \quad \Psi_r = 0$$

- Bulk diff preserving this gauge:

$$\dot{\xi}^r = 0 \quad , \quad \dot{\xi}^\mu + \gamma^{\mu\nu}(r, x) \partial_\nu \xi^r = 0$$

Solution: $\xi^r = \sigma(x)$, $\xi^\mu = \xi_0^\mu(x) - \int^r dr' \gamma^{\mu\nu}(r', x) \partial_\nu \sigma(x)$

- Bulk SUSY transformations preserving this gauge:

$$\left(\nabla_r + \frac{1}{6} \mathcal{W} \Gamma_r\right) \epsilon = 0 \quad \text{Solution:} \quad \begin{cases} \epsilon^+(z, x) = z^{-1/2} h(z)^{1/12} \epsilon_0^+(x) + \mathcal{O}(z^4) \\ \epsilon^-(z, x) = z^{1/2} h(z)^{-1/12} \epsilon_0^-(x) + \mathcal{O}(z^4) \end{cases}$$

$$\epsilon = \epsilon^+ + \epsilon^-$$

HOLOGRAPHIC WARD IDENTITIES

- $\sigma(\mathbf{x})$ parametrizes **Weyl** transformations: $\delta_\sigma \mathcal{S}_{\text{ren}} = 0$

$$\langle T^\mu_\mu \rangle + 9M \langle \mathcal{O}_{\tilde{b}} \rangle + \left[\frac{i}{4} \langle \bar{S}^{-\mu} \rangle \tilde{\Psi}_\mu^+ + \frac{i}{\sqrt{2}} \langle \bar{\mathcal{O}}_{\xi\phi}^+ \rangle \xi_\phi^- + \frac{i}{\sqrt{2}} \langle \bar{\mathcal{O}}_{\tilde{\xi}b}^+ \rangle \tilde{\xi}_b^- + \text{h.c.} \right] = 0$$

- $\epsilon^-(\mathbf{x})$ parametrizes **superWeyl** transformation: $\delta_{\epsilon^-} \mathcal{S}_{\text{ren}} = 0$

$$\frac{i}{2} \langle \bar{S}^{-\mu} \tilde{\Gamma}_\mu \rangle = \frac{9M}{\sqrt{2}} \langle \bar{\mathcal{O}}_{\tilde{\xi}b}^+ \rangle$$

- $\epsilon^+(\mathbf{x})$ parametrizes **SUSY** transformations: $\delta_{\epsilon^+} \mathcal{S}_{\text{ren}} = 0$

$$\frac{i}{2} e^{-\frac{2}{15}U} \langle \partial_\mu \bar{S}^{-\mu} \rangle = -\frac{1}{2} \langle T^{\mu\nu} \rangle \bar{\tilde{\Psi}}_\mu^+ \tilde{\Gamma}_\nu + i \langle \mathcal{O}_\phi \rangle \bar{\xi}_\phi^- + i \langle \mathcal{O}_{\tilde{b}} \rangle \bar{\tilde{\xi}}_b^-$$

- **Ward identities** can be obtained differentiating the above relations wrt covariant sources, then removing the cut-off (and set sources to 0, when needed).

at the cut-off w/ non-zero sources!

HOLOGRAPHIC WARD IDENTITIES

- From ϵ^+ identity, further differentiating wrt gravitino/hyperini we get:

$$\langle \partial^\mu S_{\mu\alpha}(x) \bar{S}_{\nu\dot{\beta}}(0) \rangle = -2 \sigma_{\alpha\dot{\beta}}^\mu \langle T_{\mu\nu} \rangle \delta^4(x)$$

$$\langle \partial^\mu S_\mu^\alpha(x) \mathcal{O}_{\xi\phi\alpha}(0) \rangle = -\sqrt{2} \langle \mathcal{O}_\phi \rangle \delta^4(x)$$

$$\langle \partial^\mu S_\mu^\alpha(x) \mathcal{O}_{\tilde{\xi}_b\alpha}(0) \rangle = -\sqrt{2} \langle \mathcal{O}_{\tilde{b}} \rangle \delta^4(x)$$

Higher-components
operators VEVs
→ break SUSY!

- From ϵ^- and σ identities:

$$\langle \sigma_{\alpha\dot{\beta}}^\mu \bar{S}_\mu^{\dot{\beta}} \rangle = -9\sqrt{2}M \langle \mathcal{O}_{\tilde{\xi}_b\alpha} \rangle \quad , \quad \langle T_\mu^\mu \rangle = -9M \langle \mathcal{O}_{\tilde{b}} \rangle$$

In perfect agreement with QFT answer: $\Delta(\mathcal{O}_{\tilde{b}}) < 4$, $\Delta(\mathcal{O}_\phi) = 4$!

→ **Goldstino** eigenstate: $\mathbf{G} \sim \langle \mathcal{O}_{\tilde{b}} \rangle \mathcal{O}_{\tilde{\xi}_b} !!$

GOLDSTINO & ANTID-BRANES

- Finally, we evaluate the bosonic 1pt-fnc on bulk solutions

$$\langle T_{\mu}^{\mu} \rangle = -12 \mathcal{S} \quad , \quad \langle \mathcal{O}_{\phi} \rangle = \frac{(3\mathcal{S} + 4\varphi)}{2} \quad , \quad \langle \mathcal{O}_{\tilde{b}} \rangle = \frac{4}{3M} \mathcal{S}$$

[AHARONY ET AL. '05, DE WOLFE ET AL. '08]

- SUSY case $\mathcal{S} = \varphi = \mathbf{0}$: 0 vacuum energy, no goldstino.
- Branch $\mathcal{S} = \mathbf{0}$, $\varphi \neq \mathbf{0}$: 0 vacuum energy, no goldstino but ~~SUSY~~!?! Not a vacuum of KS theory: explicit breaking! **Note**: don't need to know full solution (found in [KUPERSTEIN ET AL. '14]).
- Branch $\mathcal{S} \neq \mathbf{0}$, $\varphi = \mathbf{0}$: $\neq 0$ vacuum energy, goldstino mode, ~~SUSY~~ VEV, trace identity fulfilled. In DKM, normalizable mode \mathcal{S} matched with perturbation by (**p**) **antiD3-branes!**

GOLDSTINO & ANTID-BRANES

- *Comment:* in our (asymptotic) solutions \mathcal{S} and φ are free parameters. In a full solution, they get fixed by IR b.c.

$$\mathcal{S} = \mathcal{S}(M, N, p, \epsilon), \quad \varphi = \varphi(M, N, p, \epsilon)$$

- A solution with $\mathcal{S} = \varphi = \mathbf{0}$ (for $\mathbf{p} = \mathbf{0}$ theory) known: **KS**.
- A solution w/ $\mathcal{S} = \mathbf{0}, \varphi \neq \mathbf{0}$ was found **[KUPERSTEIN ET AL. '14]**. It is singular, but it is plausible the singularity can be resolved (it is KT-like). Still, our analysis shows that no matter IR b.c. for φ , it could not be a KS theory vacuum.
- A solution w/ $\mathcal{S} \neq \mathbf{0}, \varphi = \mathbf{0}$ would correspond to a ~~SUSY~~ vacuum of KS theory w/ $\mathbf{p} \neq \mathbf{0}$. In KPV \mathbf{p} is # of antiD3 and IR b.c. fix $\mathcal{S} \sim (\mathbf{p}/\mathbf{N}) e^{-\frac{8\pi\mathbf{N}}{3g_s M^2}}$ \rightarrow if antiD solution exists, it would be a KS theory vacuum.

SUMMARY

- Holographic *derivation* of Ward identities for cascading backgrounds.

Note: insensitive to the IR, but give constraints on solutions that correspond to given vacua (e.g. ~~SUSY~~ vacua in KS theory).

- Worked at finite cut-off in terms of induced fields. It seems promising for systematics of Holographic Renormalization in *generic* set-ups.
- Provided a *necessary* consistency check for the existence of metastable vacua in the KS cascading gauge theory.
- Evidence for 1-1 *correspondence* between spontaneous ~~SUSY~~ and antiD-brane states in quiver gauge theories.

OUTLOOK

- On with the program of holographic renormalization, e.g. systematic derivation of **fermionic** counter-terms.
- Extension of present analysis to the full background (including conifold deformation parameter). **[BENA ET AL. '11]**
- (By use of induced field formalism) Derive SUSY-preserving counter-terms in generic setups (**curved manifolds!**).
- Towards **effective theory** for strongly coupled Goldstone bosons and fermions.

THANK YOU!