

Higher spin gauge theories: a glimpse into quantum gravity?



Andrea Campoleoni

Université Libre de Bruxelles and
International Solvay Institutes



Based on work with D. Francia, S. Fredenhagen, M. Henneaux,
J. Mourad, S. Pfenninger, J. Raeymaekers, A. Sagnotti, S. Theisen, ...

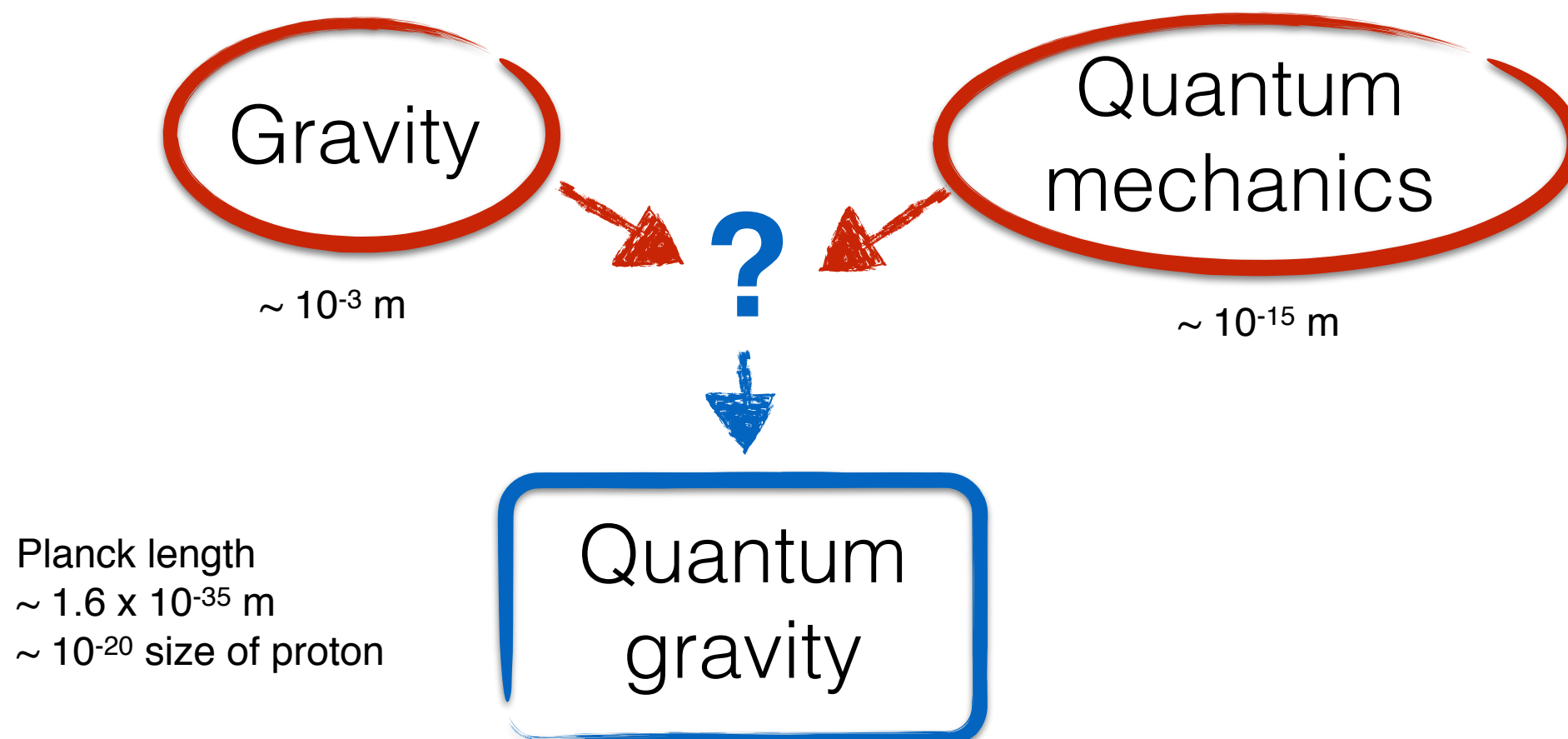
Renato Musto Award 2015 for Theoretical Physics

The quest for quantum gravity

- Main (*open?*) problem of theoretical physics: unify gravitation with quantum mechanics
- Both theories have been confirmed by experiments with an incredible level of accuracy...

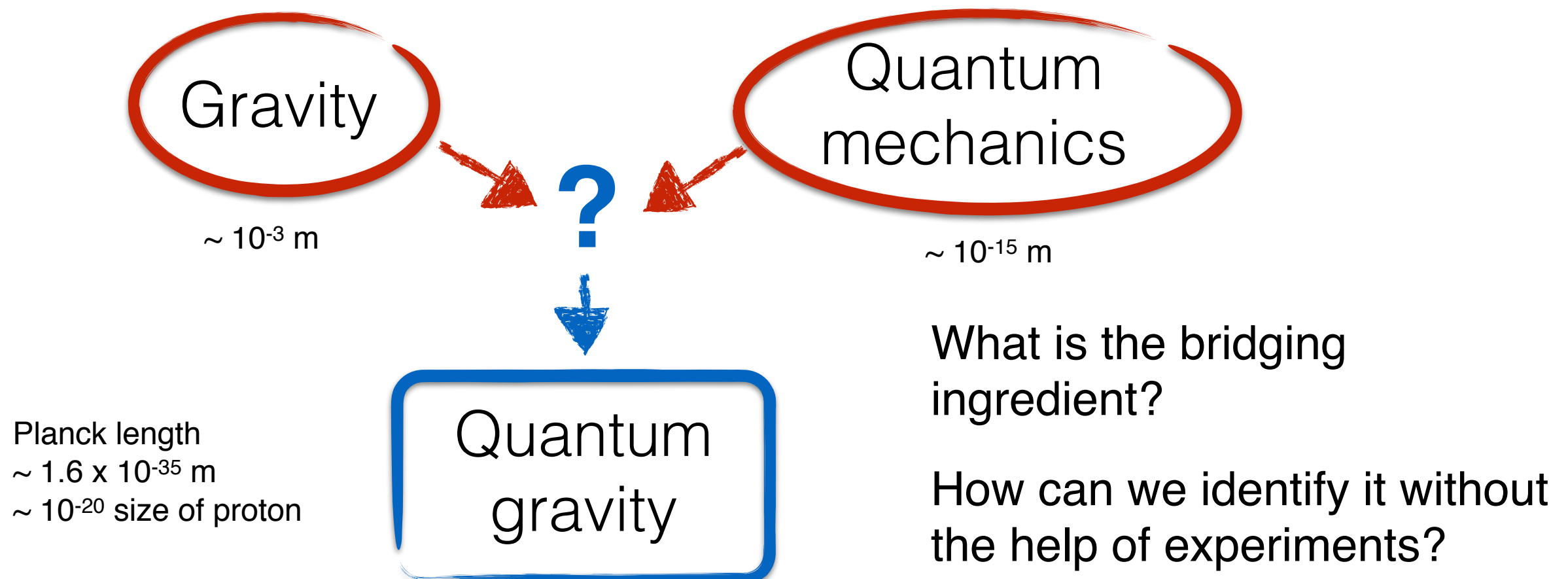
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Why higher spins?

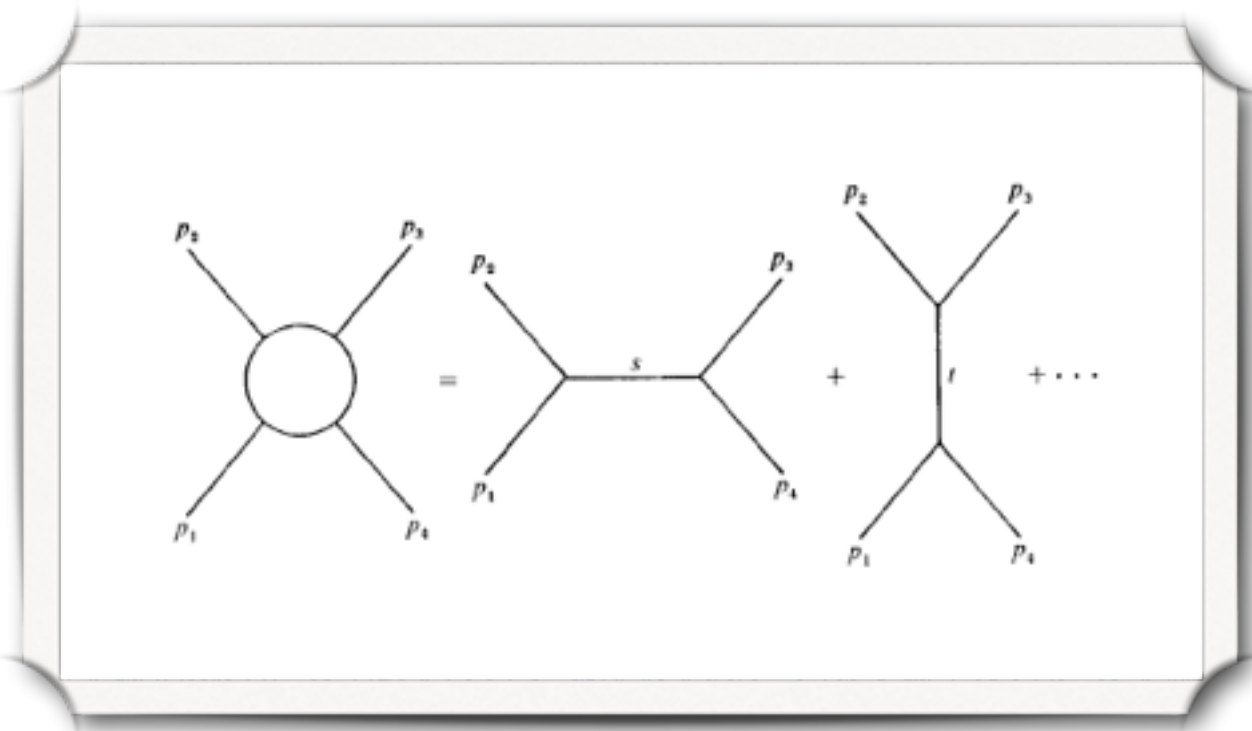
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- String Theory: quantum completion of Einstein gravity?
 - Rough idea of the mechanism:



$$A_J(s, t) \sim - \frac{g^2 (-s)^J}{t - M^2}$$

Higher spin
↓
worse UV divergence

Why higher spins?

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 - Veneziano amplitude and dual resonance models: Veneziano (1968)

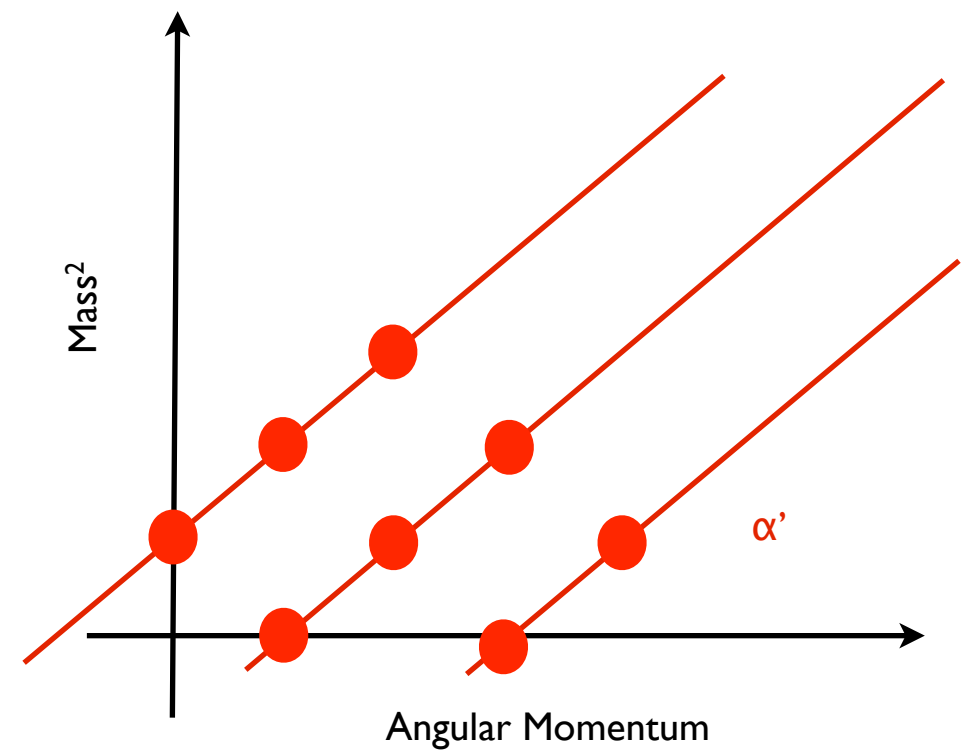
$$A(s, t) = \frac{\Gamma(-\alpha(s)) \Gamma(-\alpha(t))}{\Gamma(-\alpha(s) - \alpha(t))} = \sum_{n=0}^{\infty} \frac{Re(\alpha(s))}{\alpha(t) - n}$$

- Infinitely many bad contributions can conspire to give a nice answer

HIGHER SPINS \Leftrightarrow QUANTUM GRAVITY

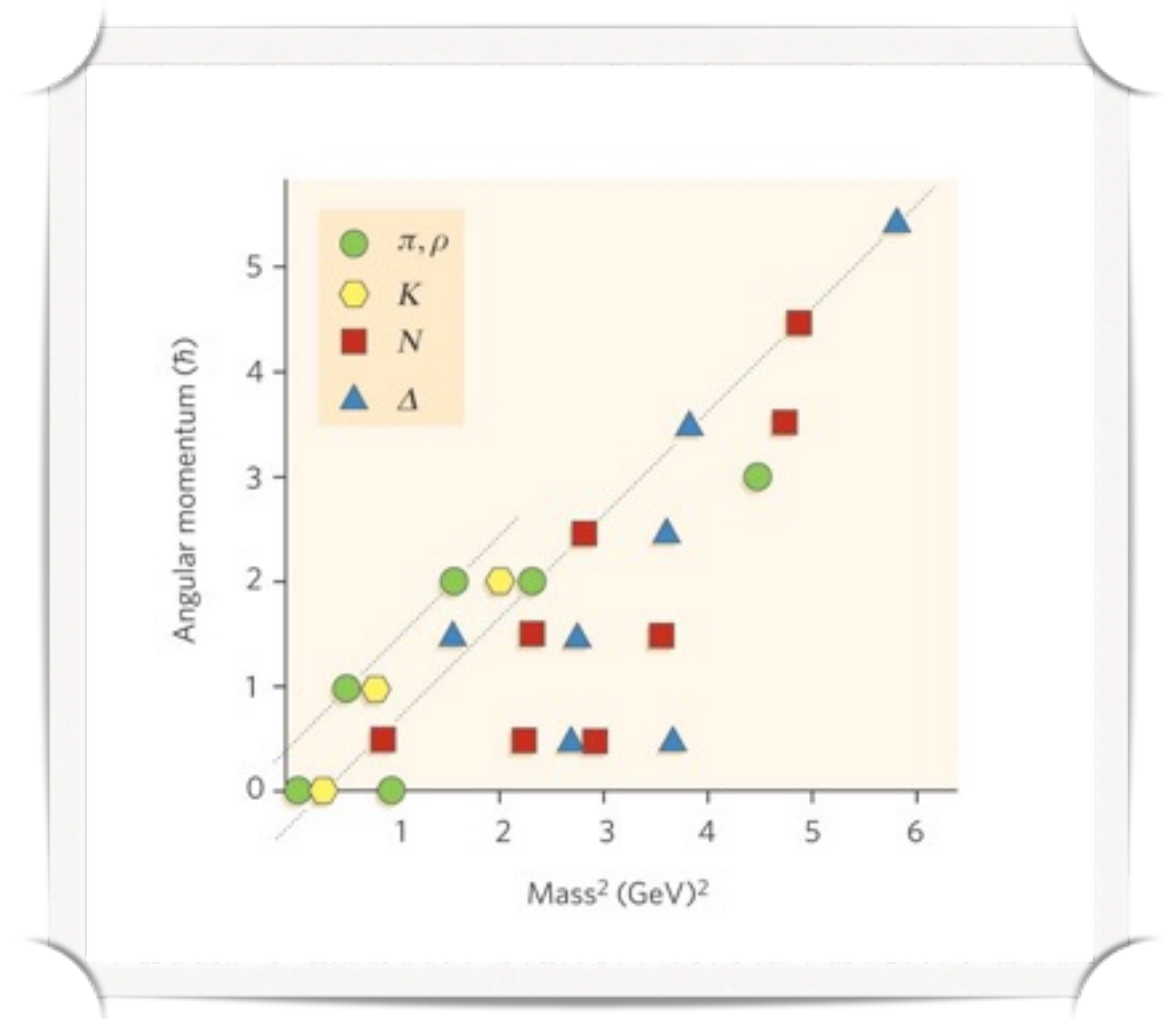
Why higher-spin *gauge* theories

- String Theory: exchange of infinitely many massive particles



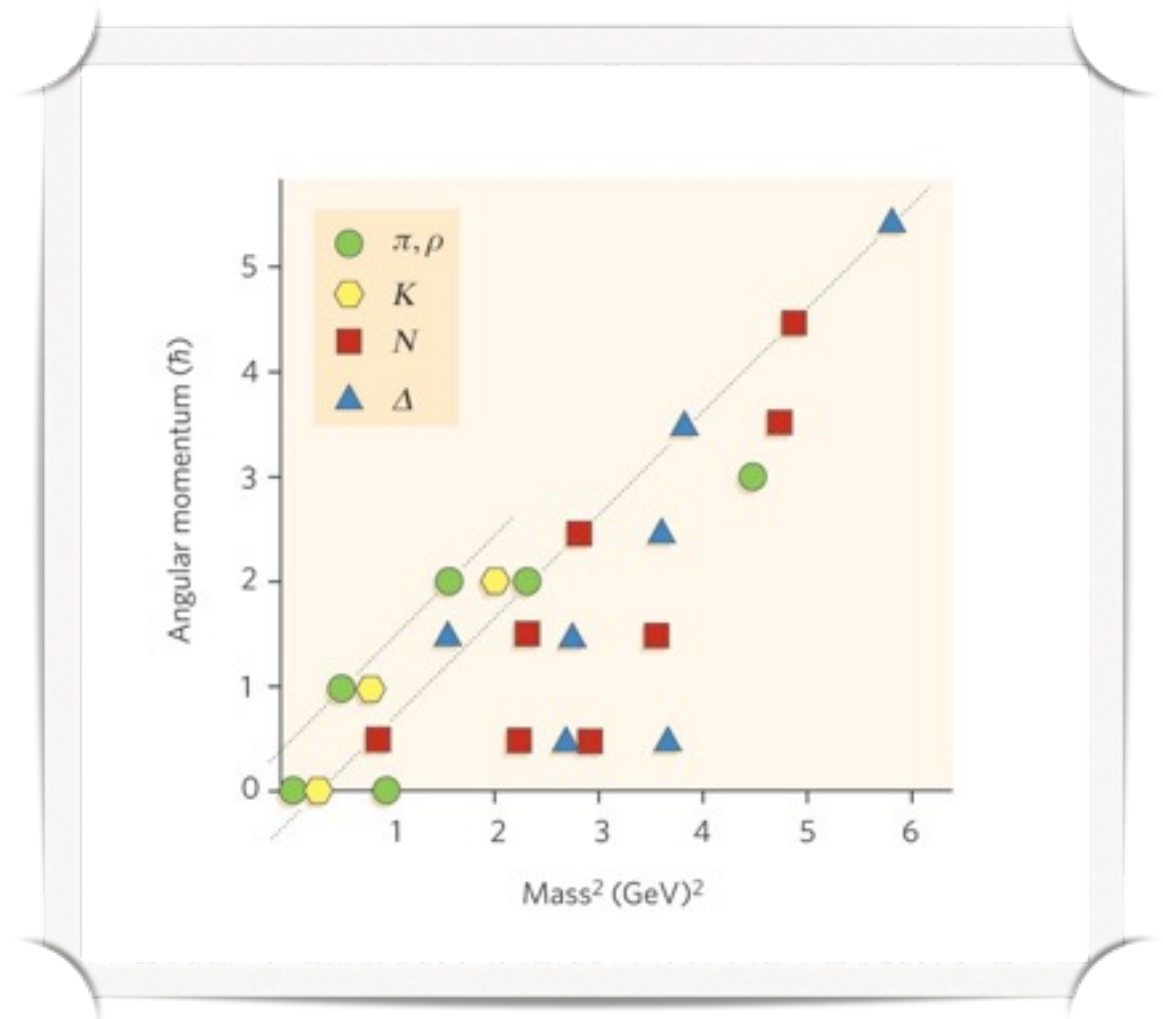
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Why higher-spin *gauge* theories

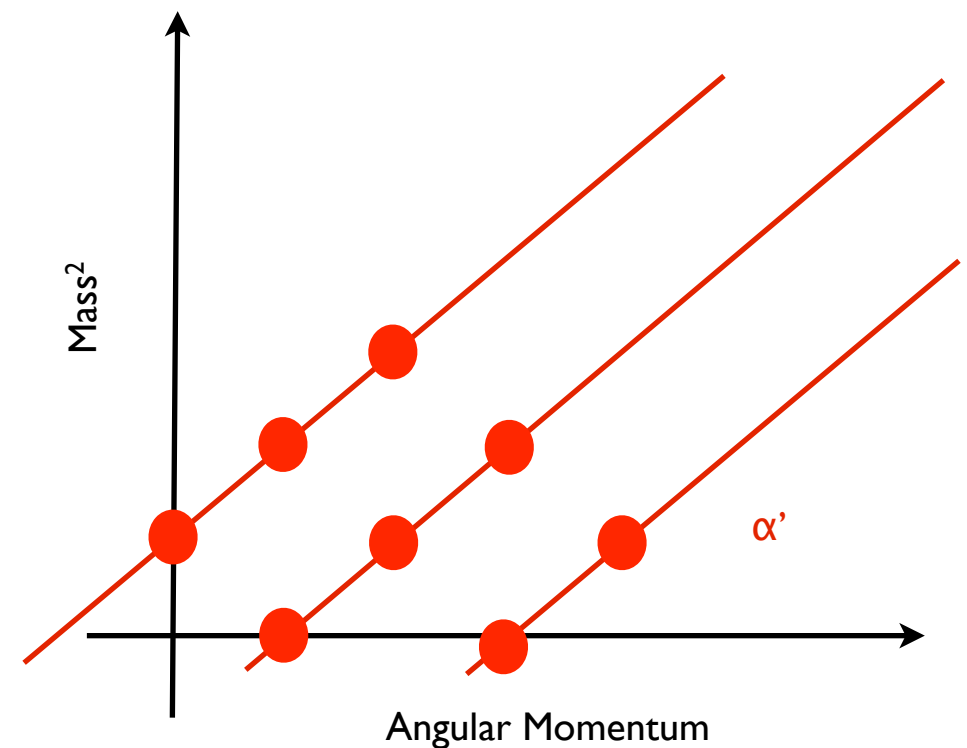
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- Why are we interested in higher-spin gauge theories?
 - Gauge symmetry \Leftrightarrow massless particles
 - Example: photon described by A_μ with $\delta A_\mu = \partial_\mu \lambda$

Why higher-spin *gauge* theories

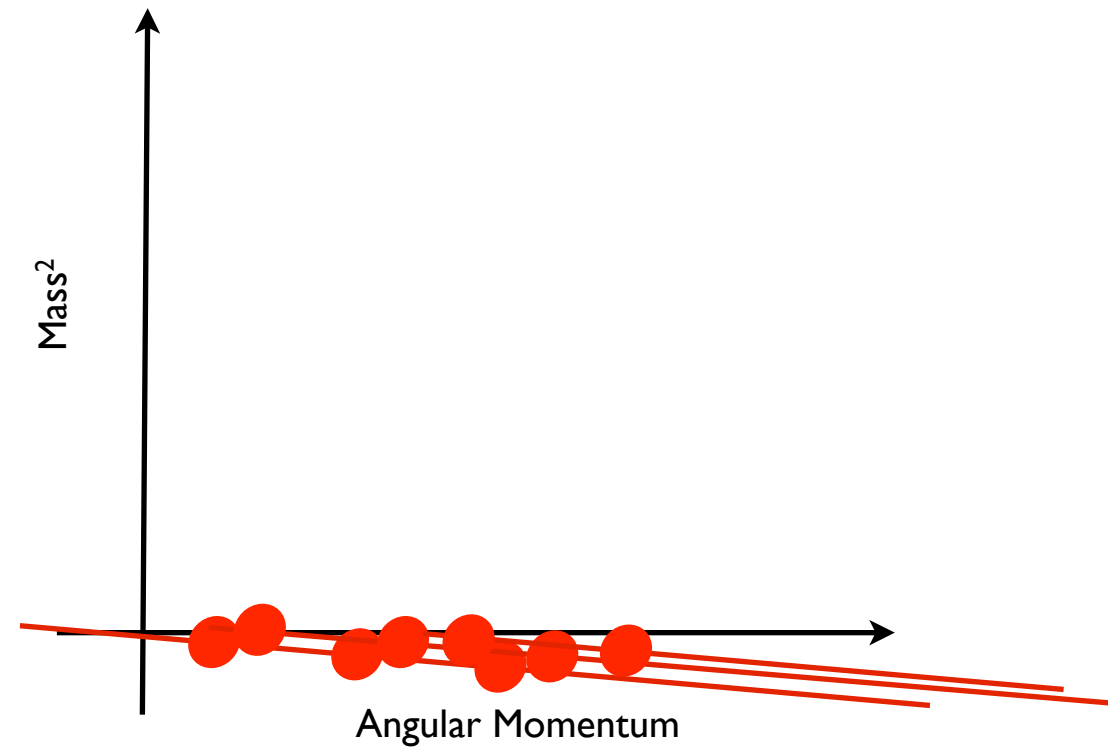
- At high energies the masses become negligible
- Signals of a huge *gauge symmetry* emerging in the limit $\alpha' \rightarrow \infty$
- Can this symmetry explain the miracles of String Theory?



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Can one build higher spin gauge theories?

- Subject with a long history and, again, Italian pioneers...

TEORIA RELATIVISTICA DI PARTICELLE CON MOMENTO INTRINSECO ARBITRARIO

Nota di ETTORE MAJORANA

Sunto. - *L'autore stabilisce equazioni d'onda lineari nell'energia e relativisticamente invarianti per particelle aventi momento angolare intrinseco comunque prefissato.*

Free massless higher spins

- Example I: Maxwell

- Field equations: $\partial^\lambda F_{\lambda\mu} = 0 \quad \Rightarrow \quad \square A_\mu - \partial_\mu \partial \cdot A = 0$
- Gauge symmetry: $\delta A_\mu = \partial_\mu \xi$

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Particle of arbitrary spin s :

Fronsdal (1978)

$$\mathcal{F}_{\mu_1 \cdots \mu_s} \equiv \square \varphi_{\mu_1 \cdots \mu_s} - \partial_{(\mu_1} \partial \cdot \varphi_{\mu_2 \cdots \mu_s)} + \partial_{(\mu_1} \partial_{\mu_2} \varphi_{\mu_3 \cdots \mu_s)} \lambda^\lambda = 0$$

- Gauge symmetry: $\delta \varphi_{\mu_1 \cdots \mu_s} = \partial_{(\mu_1} \xi_{\mu_2 \cdots \mu_s)}$
- Gauge invariance requires: $\xi_{\mu_1 \cdots \mu_{s-3}} \lambda^\lambda = 0$

Very nice, but...

Anonym (1930-???)

Come on! Higher-spin interactions are inconsistent!

Higher-spin theories in a nutshell

- 1930's: first problems

- Difficulties with electromagnetic coupling

Fierz and Pauli (1939)

- 1960's: extra problems & no-go theorems

- No interactions compatible with a non-trivial S-matrix
 - Loss of causality in an external e.m. background
 - No minimal coupling with gravity

Weinberg (1964);
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- 1980's: first positive results

- Consistent cubic vertices in flat & (A)dS spaces

Bengtsson² and Brink (1983);
Berends, Burgers and van Dam (1984);
Fradkin and Vasiliev (1986)

- 1990's: Vasiliev's theory

- Full non-linear interactions with $\Lambda \neq 0$

Vasiliev (1990)

Well, maybe they are only unconventional...

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Key: more than two derivatives (when $D > 3$)

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- 2000's: AdS/CFT

Sezgin and Sundell (2002); Klebanov and Polyakov (2002); ...
Giombi and Yin (2009); ... Maldacena and Zhiboedov (2011)

- Higher-spin gauge theories as bulk duals of weakly coupled QFTs

Why such a long history?

The *unconventional* nature of HS interactions

- One needs infinitely many particles
 - Including a spin-s field calls for both lower and higher spins Berends, Burgers, Van Dam (1985)
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$$\begin{aligned} \mathcal{L}^{abc} = & -\frac{3}{2} \phi_{\alpha}^{a\alpha\beta} \phi_{\gamma}^{b\gamma\delta, \epsilon} \phi_{\eta}^{c\eta}_{\delta, \beta\epsilon} \\ & + 3 \phi_{\alpha}^{a\alpha\beta, \gamma} \phi_{\delta}^{b\delta\epsilon} \phi_{\epsilon\eta}^{c\eta}_{\beta\gamma} \\ & + 6 \phi_{\alpha\beta\gamma, \delta}^a \phi_{\epsilon}^{b\epsilon\alpha} \phi_{\eta}^{c\eta\beta, \gamma\delta} \\ & + \frac{1}{2} \phi_{\alpha}^{a\alpha\beta} \phi_{\gamma\delta\epsilon, \eta}^{b\gamma\delta\epsilon, \eta} \phi_{\gamma\delta\epsilon, \eta\beta}^c \\ & + \phi_{\alpha}^{a\alpha\beta}_{, \beta\gamma} \phi_{\delta\epsilon\eta}^{b\delta\epsilon\eta} \phi_{\delta\epsilon\eta, \beta\gamma}^c \\ & + \phi_{\alpha}^{a\alpha\beta, \gamma} \phi_{\delta\epsilon\eta}^{b\delta\epsilon\eta} \phi_{\delta\epsilon\eta, \beta\gamma}^c \\ & - 3 \phi_{\alpha\beta\gamma}^a \phi_{\delta, \epsilon}^{b\alpha\beta} \phi_{\eta}^{c\eta\delta, \gamma\epsilon} \\ & - 3 \phi_{\alpha\beta\gamma}^a \phi_{\delta}^{b\alpha\beta\delta, \gamma\epsilon} \phi_{\eta\delta, \epsilon}^{c\eta} \\ & + 3 \phi_{\alpha\beta\gamma, \delta}^a \phi_{\epsilon\eta}^{b\alpha\beta\epsilon} \phi_{\epsilon\eta}^{c\eta, \gamma\delta} \\ & + 3 \phi_{\alpha\beta\gamma}^a \phi_{\delta}^{b\alpha\beta\epsilon} \phi_{\epsilon\eta}^{c\eta}_{\delta} \\ & - \frac{9}{4} \phi_{\alpha}^{a\alpha\beta, \gamma\delta} \phi_{\epsilon\gamma}^{b\epsilon} \phi_{\eta\delta, \beta}^{c\eta} \\ & - \frac{1}{4} \phi_{\alpha}^{a\alpha\beta, \gamma} \phi_{\delta\gamma, \epsilon}^{b\delta} \phi_{\eta}^{c\eta\epsilon, \beta} \\ & - 3 \phi_{\alpha\beta\gamma}^a \phi_{\delta}^{b\delta\epsilon, \alpha} \phi_{\epsilon\eta}^{c\eta, \beta\gamma} \\ & - \frac{3}{2} \phi_{\alpha\beta}^a \phi_{\gamma}^{b\gamma\epsilon, \delta} \phi_{\epsilon\delta\eta, \eta}^c \\ & + 3 \phi_{\alpha}^{a\alpha\beta} \phi_{\gamma}^{b\gamma\delta, \epsilon} \phi_{\delta\epsilon, \eta\beta}^c \\ & + \frac{3}{2} \phi_{\alpha\beta}^a \phi_{\gamma}^{b\delta\epsilon, \eta} \phi_{\gamma\epsilon\eta}^c \\ & + 3 \phi_{\alpha}^{a\alpha\beta, \gamma} \phi_{\delta}^{b\delta\epsilon, \eta} \phi_{\gamma\epsilon\eta, \beta}^c \\ & - \frac{3}{2} \phi_{\alpha\beta}^a \phi_{\gamma\delta\epsilon, \eta}^{b\gamma\delta\epsilon, \eta} \phi_{\eta}^{c\eta\delta, \beta\eta} \\ & - 6 \phi_{\alpha\beta\gamma, \delta}^a \phi_{\epsilon}^{b\epsilon\beta, \eta} \phi_{\eta\delta}^{c\eta\gamma} \\ & + 6 \phi_{\alpha\beta\gamma}^a \phi_{\epsilon}^{b\epsilon\alpha} \phi_{\delta\eta}^{c\eta\beta, \eta} \\ & - 2 \phi_{\alpha\beta\gamma, \delta}^a \phi_{\epsilon}^{b\alpha\delta, \eta} \phi_{\eta}^{c\eta\epsilon\beta, \gamma} \\ & + \phi_{\alpha\beta\gamma}^a \phi_{\delta\epsilon\eta, \gamma}^{b\delta\epsilon\eta, \alpha\beta} \phi_{\delta\epsilon\eta, \alpha\beta}^c \\ & - 3 \phi_{\alpha\beta\gamma, \delta}^a \phi_{\delta}^{b\alpha\beta, \epsilon} \phi_{\epsilon\eta}^{c\eta\delta, \eta} \\ & + 3 \phi_{\alpha\beta\gamma, \eta}^a \phi_{\delta}^{b\alpha\beta, \epsilon} \phi_{\epsilon\eta}^{c\eta\delta} \\ & + 6 \phi_{\alpha\beta\gamma, \delta}^a \phi_{\epsilon}^{b\alpha\beta, \eta} \phi_{\eta}^{c\eta\epsilon\delta, \gamma} \end{aligned}$$

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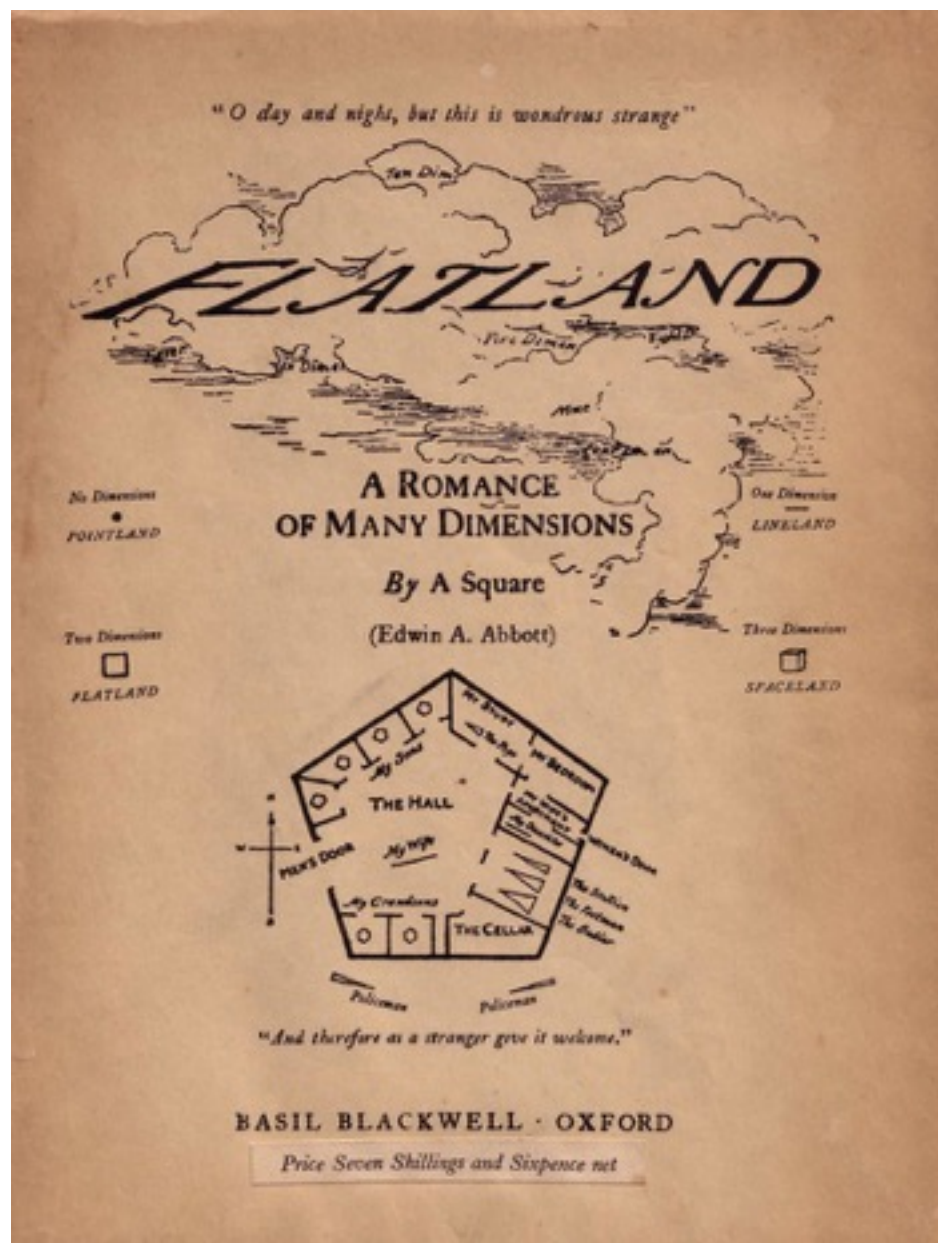
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- Is a cosmological constant necessary?

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Cubic spin-3 self-interactions from
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If you have a problem, simplify it!

- Higher-spin interactions are not easy to handle: it would be very useful to extract info from a *simplified setup*!



- Time-honoured trick: reduce the number of dimensions of spacetime
- Goal: look for a simpler, but *still non-trivial* theory

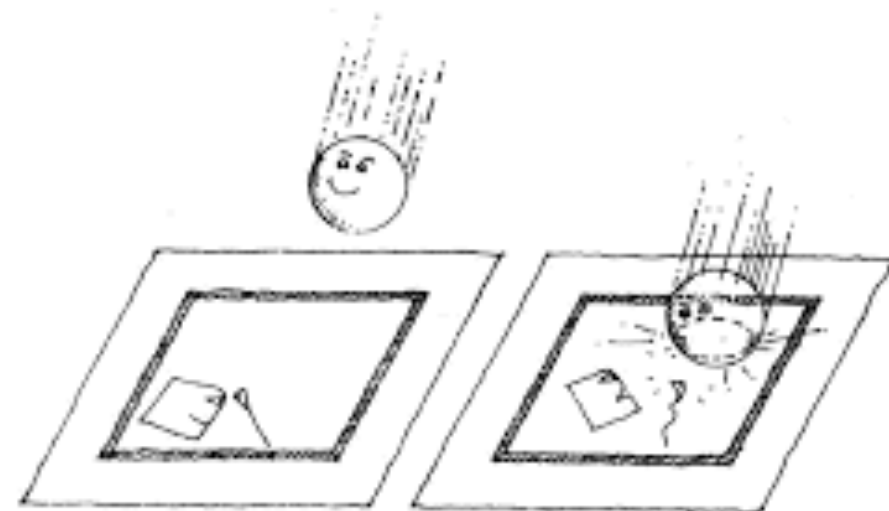


Fig. 14 Un Circolo appare nella stanza chiusa del Quadrato.

D=2+1: a “theoretical laboratory” for gravity

- D = 2+1: no irreps of arbitrary helicity for the little group of *massless* particles \Rightarrow no spin in the usual sense
- Still... look at Fronsdal equations:

$$\mathcal{F}_{\mu_1 \dots \mu_s} \equiv \square \varphi_{\mu_1 \dots \mu_s} - \partial_{(\mu_1} \partial \cdot \varphi_{\mu_2 \dots \mu_s)} + \partial_{(\mu_1} \partial_{\mu_2} \varphi_{\mu_3 \dots \mu_s)} \lambda^{\lambda} = 0$$

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- We can consider Fronsdal equations in D=2+1
 - No wave solutions for $s > 1$ (no local d.o.f.)
 - Nothing really new: no gravitons in D=2+1, yet black holes exist

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- We can consider Fronsdal equations in D=2+1
 - No wave solutions for $s > 1$ (no local d.o.f.)
 - Nothing really new: no gravitons in D=2+1, yet black holes exist
- What can we learn from this *apparently* too simple example? And how?

Gravity in D=2+1

- Einstein-Hilbert action

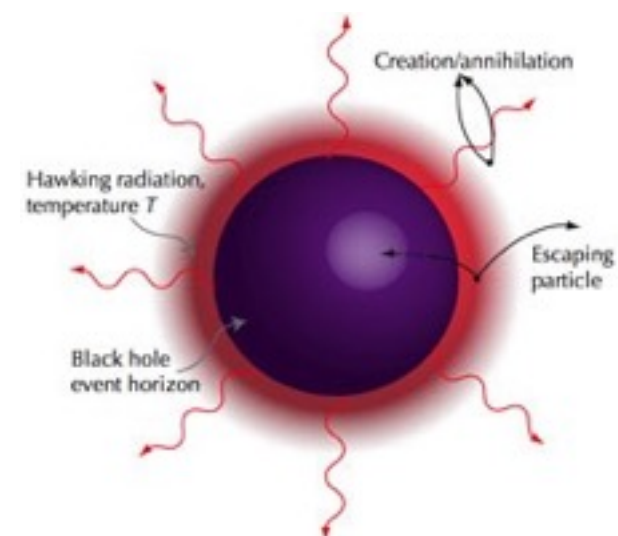
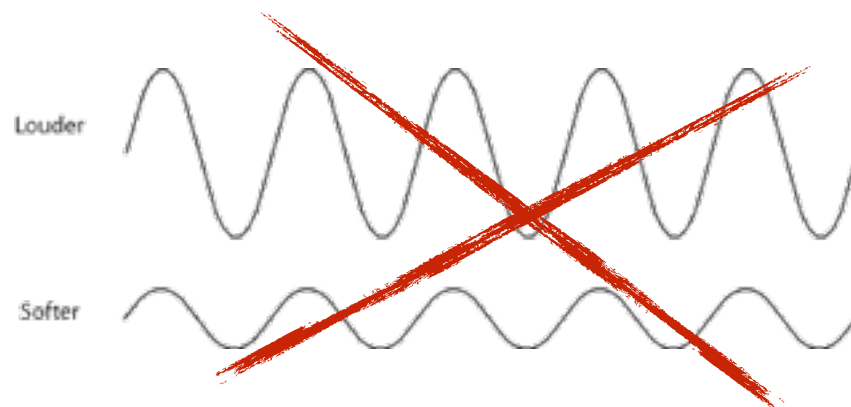
$$I = \frac{1}{16\pi G} \int \epsilon_{abc} \left(e^a \wedge R^{bc} + \frac{1}{3l^2} e^a \wedge e^b \wedge e^c \right)$$

- Field equations

$$R_l^{ab} \equiv d\omega^{ab} + \omega^{ac} \wedge \omega_c^b + \frac{1}{l^2} e^a \wedge e^b = 0 \quad \leftarrow \text{constant curvature!}$$

$$T^a \equiv de^a + \omega^a_b \wedge e^b = 0$$

- No dynamics, but thermodynamics!



Gravity in D=2+1

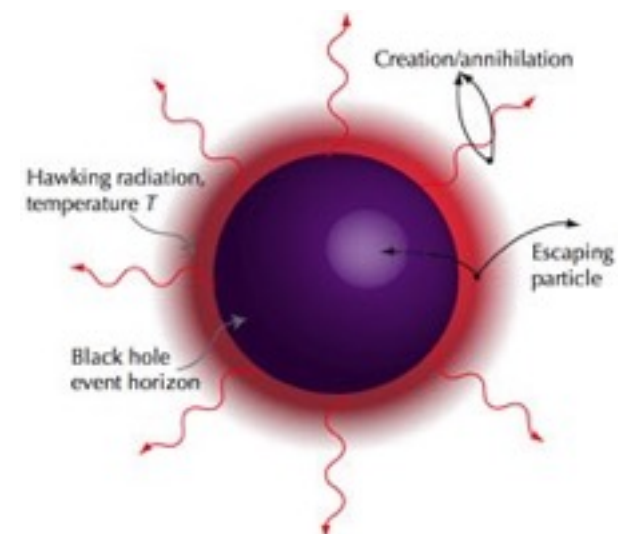
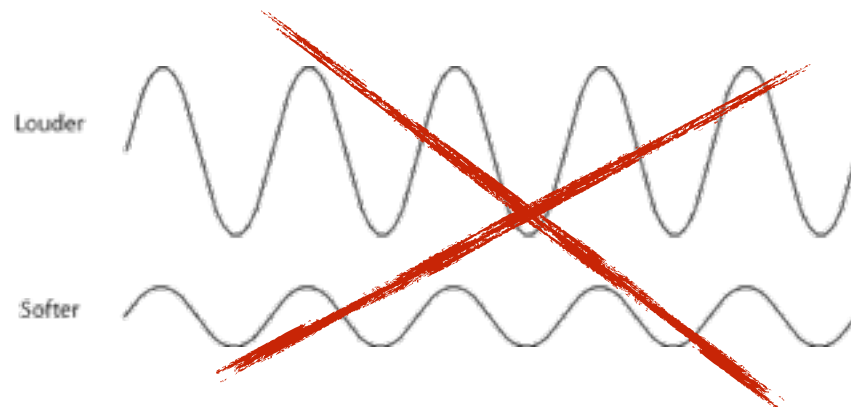
- Einstein-Hilbert action

$$I = \frac{1}{16\pi G} \int \epsilon_{abc} \left(e^a \wedge R^{bc} + \frac{1}{3l^2} e^a \wedge e^b \wedge e^c \right)$$

- A couple of useful tricks...

- $\omega_\mu^a = \frac{1}{2} \epsilon^a_{bc} \omega_\mu^{b,c}$
- $\mathfrak{so}(2,2) \simeq \mathfrak{so}(1,2) \oplus \mathfrak{so}(1,2) \simeq \mathfrak{sl}(2,\mathbb{R}) \oplus \mathfrak{sl}(2,\mathbb{R})$

- No dynamics, but thermodynamics!



Gravity in D=2+1

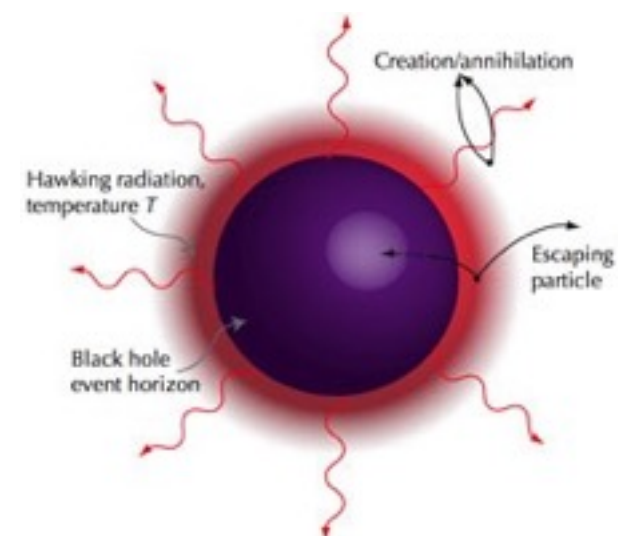
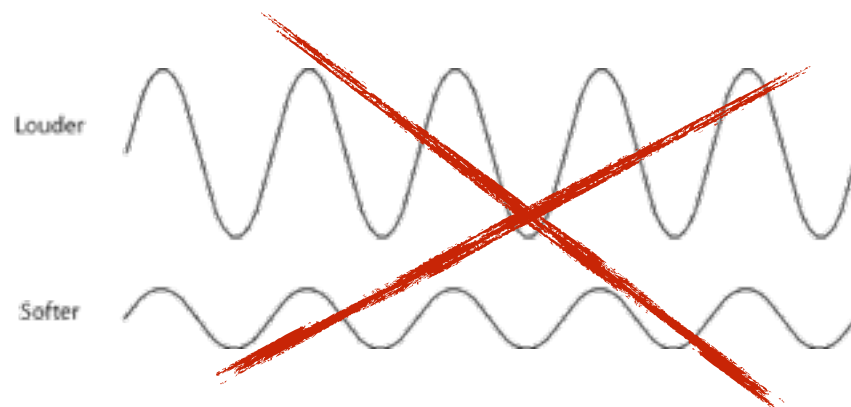
- Einstein-Hilbert action

$$I = \frac{1}{8\pi G} \int \left(e^a \wedge R_a + \frac{1}{6l^2} \epsilon_{abc} e^a \wedge e^b \wedge e^c \right)$$

- A couple of useful tricks...

- $\omega_\mu^a = \frac{1}{2} \epsilon^a_{bc} \omega_\mu^{b,c}$
- $\mathfrak{so}(2,2) \simeq \mathfrak{so}(1,2) \oplus \mathfrak{so}(1,2) \simeq \mathfrak{sl}(2,\mathbb{R}) \oplus \mathfrak{sl}(2,\mathbb{R})$

- No dynamics, but thermodynamics!



Gravity in D=2+1

- Einstein-Hilbert action

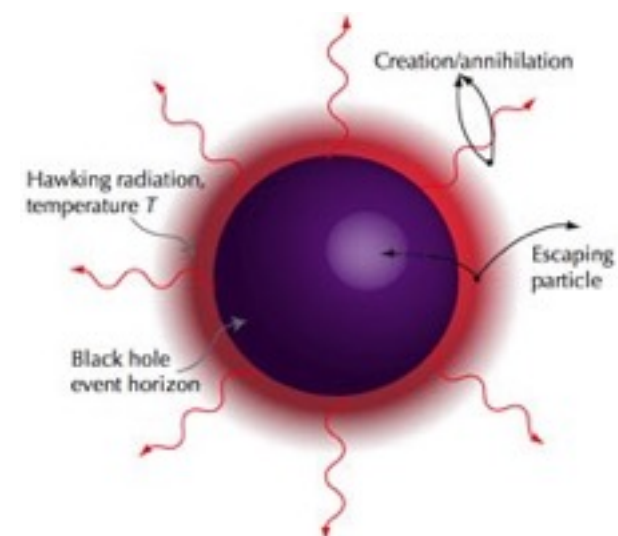
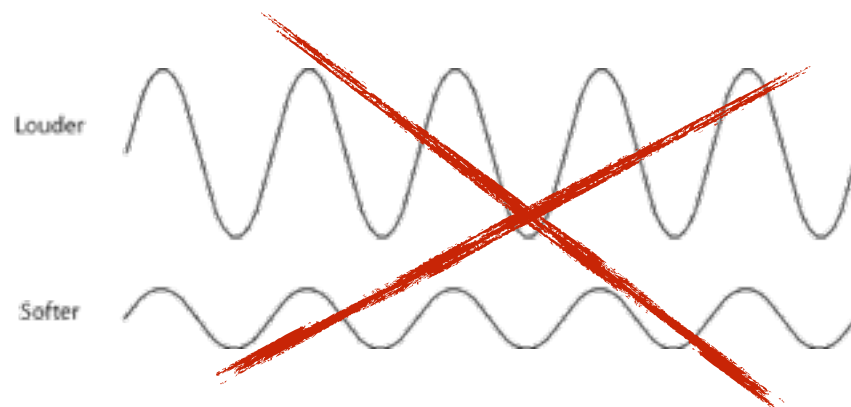
Achúcarro, Townsend (1986); Witten (1988)

$$I = \frac{1}{16\pi G} \int \text{tr} \left(e \wedge R + \frac{1}{3l^2} e \wedge e \wedge e \right) \quad \text{with} \quad \begin{cases} e = e^a J_a \\ \omega = \omega^a J_a \end{cases}$$

- A couple of useful tricks...

- $\omega_\mu^a = \frac{1}{2} \epsilon^a_{bc} \omega_\mu^{b,c}$
- $\text{so}(2,2) \simeq \text{so}(1,2) \oplus \text{so}(1,2) \simeq \text{sl}(2, \mathbb{R}) \oplus \text{sl}(2, \mathbb{R})$

- No dynamics, but thermodynamics!



“Higher-spin” Chern-Simons action

Blencowe (1989); A.C., Pfenninger, Fredenhagen, Theisen (2010)

- Chern-Simons action

$$I = \frac{1}{16\pi G} \int \text{tr} \left(e \wedge R + \frac{1}{3\ell^2} e \wedge e \wedge e \right) \quad \begin{cases} e = \left(e_\mu^a J_a + e_\mu^{ab} T_{ab} \right) dx^\mu \\ \omega = \left(\omega_\mu^a J_a + \omega_\mu^{ab} T_{ab} \right) dx^\mu \end{cases}$$

- Back to the metric (and Fronsdal)

$$g = \frac{1}{2} \text{tr} (e_\mu e_\nu) dx^\mu dx^\nu, \quad \phi = \frac{1}{6} \text{tr} (e_\mu e_\nu e_\rho) dx^\mu dx^\nu dx^\rho$$

- All higher-spin fields have been packed up into two objects, e and ω
- Change their expansion and obtain a plethora of higher-spin theories!

What one gains with the restriction to $D=2+1$?

- No more need for infinitely many fields
 - Only “spins” $s=2$ and $s=3$ in the previous example
- Very compact formulation of the dynamics
 - One can use all tools that have been developed to study Chern-Simons theories in the last 40 years
- Main advantage: higher spins keep most of their peculiarities (that follow from gauge symmetry), but they are much closer to gravity in $D=2+1$
- One can try to extend what is known for gravity and *look for surprises*

Amazing surprises at hand!

- Asymptotic symmetries: infinitely many conserved charges for each spin related by a gargantuan symmetry (W-algebras)
 - Strong constraint for holography: boundary theories are highly constrained; reconstruct the quantum theory from 2D conformal field theories?



- Black holes can be built
 - Solutions with an horizon? OK!
 - The metric now “changes under higher-spin gauge transformations
 - They can “destroy” the horizon!
- Higher spin geometry?

The higher-spin way to quantum gravity

- If one scrutinises String Theory through the lens of QFT, one can question whether quantum consistency necessarily requires packing up infinitely many particles into a string
- Can one build models of quantum gravity adding *higher-spin particles* besides the graviton, but without resorting to the beautiful, but perhaps redundant structures of String Theory?
- Guiding principle: gauge symmetry
- We have a wonderful “theoretical laboratory” to test these ideas: work in $D=2+1$ and enjoy the simplifications