

# Neutrino-Nucleon Cross-Sections at Energies of Megaton-Scale Detectors

*Askhat Gazizov*

IP NASB, Minsk, Belarus  
DESY-Zeuthen, Germany



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# Neutrinos

## The well-known history

- Spectra of electrons emitted in 2-body  $\beta$ -decays of nuclei at rest must be practically monochromatic.
- But the observed spectra were continuous, as in 3-body decays. The third particle was invisible.
- To save the energy, momentum and angular momentum conservation laws in



(in  $\Leftrightarrow$  to N. Bohr) in 1930 **W. Pauli** postulated the existence of “neutrinos” - neutral, massless, spin  $1/2$  weakly interacting particles.

- In 1932 **J. Chadwick** discovered real massive neutrons



- **E. Amaldi**  $\Rightarrow$  **E. Fermi**  $\Rightarrow$  **W. Pauli: neutrinos.**

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- At low energies cross-sections of **weak** interaction processes are small compared to strong and e.-m. ones. But at high energies this is not the case:  $\sigma(E) \propto E$ .
- Just in 1956  $\nu$ 's were discovered by **F.Reines** and **C.Cowan**.  
 $\bar{\nu}_e$ -flux from reactor + inverse  $\beta$ -decay  $\bar{\nu}_e + p \rightarrow n + e^+$   
 $\gamma$ -rays:  $e^+ e^- \rightarrow \gamma \gamma$  ;  $n + {}^{108}\text{Cd} \rightarrow {}^{109m}\text{Cd} \rightarrow {}^{108}\text{Cd} + \gamma$ .



# Muon Neutrinos

- In 1936 **C. Anderson and S. Neddermeyer** discovered  $\mu$  in CRs.
- $\mu^-$  was an analog of the electron but  $\sim 210$  times more massive.
- In 1962 **L. Lederman, M. Schwartz** and **J. Steinberger** discovered the 2-d type of  $\nu$ , the muon one –  $\nu_\mu$ .  
They appeared in  $\pi^+ \rightarrow \mu^+ + \nu_\mu$  decays (Brookhaven). Muons also decay:  $\mu^- \rightarrow e^- + \bar{\nu}_e + \nu_\mu \Rightarrow$  Lepton number conservation.
- After discovery of the 3-d lepton, the heavy  $\tau^-$ , it was suggested that  $\nu_\tau$  must also exist. In  $\tau^-$ -decays, like in  $\beta$ -decays, the energy and momentum conservation laws were violated.
- In July 2000 DONUT collaboration (Fermilab) announced the observation  $\nu_\tau$ .
- Now OPERA at LNGS announced the detection of **5**  $\tau$ -leptons in  $\nu_\mu \leftrightarrow \nu_\tau$  oscillations with following  $\tau^-$  –production.

# Leptons and Quarks

$$\begin{pmatrix} \nu_e & \nu_\mu & \nu_\tau \\ e^- & \mu^- & \tau^- \end{pmatrix} \Leftrightarrow \begin{pmatrix} u & c & t \\ d & s & b \end{pmatrix}$$

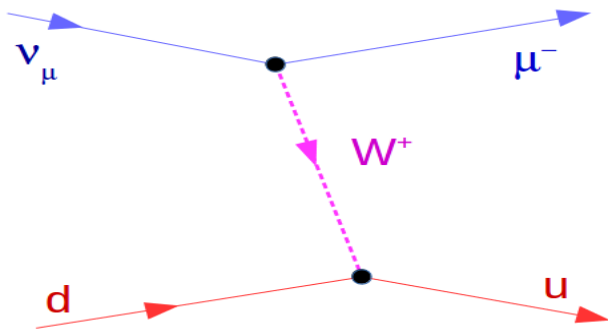
In the Standard Model all leptons and quarks are massive Dirac bispinors  $\psi = \psi_L + \psi_R$ ;  $\nu$ 's are left-handed and massless. Lepton number is conserved.

In 1963 **N. Cabbibo** suggested

$$d' = \cos \theta_c d + \sin \theta_c s$$

$$s' = -\sin \theta_c d + \cos \theta_c s$$

$\sin \theta_c = 0.23$ ;  $\theta_c$  is the Cabbibo angle.



In 1973 **CKM matrix**: **Cabbibo-Kobayashi-Maskawa**

$$\begin{bmatrix} d' \\ s' \\ b' \end{bmatrix} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \begin{bmatrix} d \\ s \\ b \end{bmatrix}$$

**C-, P- and CP-violation**

$$\sum_i |V_{ij}|^2 = \sum_j |V_{ij}|^2 = 1$$

4 parameters, 1 - complex

# Neutrino Masses and Oscillations

- In 1957 **B. Pontecorvo** proposed  $\nu \leftrightarrow \bar{\nu}$  oscillation. ( $K^0 \leftrightarrow \bar{K}^0$ )
- In 1962, in analogy to quarks, **Maki**, **Nakagawa**, and **Sakata** proposed  $\nu_\mu \leftrightarrow \nu_e$  mixing  $\Rightarrow$  **PMNS**.
- Now we know that  $\nu$ 's are massive. Eigenstates of the mass operator are  $\nu_1, \nu_2, \nu_3$  with eigenvalues  $m_1, m_2, m_3$ .

- Eigenvalues of the flavor operator are mixture of these  $\nu$ 's.

In analogy with **CKM**  
the **PMNS** matrix **U**

$$\begin{bmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} \begin{bmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{bmatrix}$$

- Confirmation: the 1968 **Devis** experiment found deficit of solar neutrinos: only 50% of predicted by **Bahcall**. MSW effect.
- Later – SNO (heavy water) confirmed solar  $\nu$  disappearance. Total flux of all  $\nu$ 's is in agreement with the Solar Model.

# Parameters and PMNS matrix

- Standard parameterization of the **PMNS** matrix

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha} & 0 \\ 0 & 0 & e^{i\beta} \end{pmatrix}$$

where  $s_{ij} = \sin\theta_{ij}$ ,  $c_{ij} = \cos\theta_{ij}$ ,  $\theta_{12}$ ,  $\theta_{23}$ ,  $\theta_{13}$  – mixing angles,  $\delta$  – CP-violating phase,  $\alpha$  and  $\beta$  – Majorana phases.

- Dirac and Majorana  $\nu$ 's are indistinguishable in most experiments.
- The only test of the  $\nu$  nature is the neutrinoless  $2\beta$ -decay  $(A,Z) \rightarrow (A,Z+2) + e^- + e^-$ . Many experiments at LNGS.

# Oscillations

- In the general case of  $N$   $\nu$  species oscillation formulas are rather complicated. Even the 3-flavor case is difficult.
- But in the simplified 2- $\nu$  case the oscillation matrix is just

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix},$$

and the probability for a  $\nu$  to change its flavor, say  $\nu_\mu \leftrightarrow \nu_\tau$ ,

$$P_{\alpha \rightarrow \beta} = \sin^2(2\theta) \sin^2 \left( 1.27 \frac{\Delta m^2}{eV^2} \frac{L}{km} \frac{GeV}{E} \right).$$

- However, no CP-violation is possible in this case.
- For  $\theta \approx 45^\circ$  and a given  $\Delta m^2$  the probability scales with  $L/E$ .

# Masses and Hierarchy

- Dirac neutrinos – 4-spinors.  $\nu = \nu_L + \nu_R$  ;  $\bar{\nu} = \bar{\nu}_L + \bar{\nu}_R$   
and  $\nu \neq \bar{\nu}$  ; Lepton number conserved
- Majorana neutrinos:  $\nu \equiv \bar{\nu} = \nu_L$   $\begin{array}{ccc} \nu & M & \bar{\nu} \\ \hline & \times & \end{array}$   
Mass term violates the **L**-number conservation.
- Neutrino masses:  $m_1^2, m_2^2, m_3^2$  are unknown (lightest?)

$$\Delta m_{ij}^2 = m_j^2 - m_i^2 \Rightarrow \Delta m_{12}^2 > 0, \pm \Delta m_{23}^2, \Delta m_{13}^2$$



Neutrino hierarchy  
problem



$$\Delta m_{23}^2 > 0$$

$$\Delta m_{23}^2 < 0$$

Normal Hierarchy

Inverse Hierarchy

# Global Fit to 3-flavor Oscillation

M. C. Gonzalez-Garcia, M. Maltoni, T. Schwetz, [arXiv:1409.5439](https://arxiv.org/abs/1409.5439)

	Normal Ordering ( $\Delta\chi^2 = 0.97$ )		Inverted Ordering (best fit)		Any Ordering
	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	$3\sigma$ range
$\sin^2 \theta_{12}$	$0.304^{+0.013}_{-0.012}$	$0.270 \rightarrow 0.344$	$0.304^{+0.013}_{-0.012}$	$0.270 \rightarrow 0.344$	$0.270 \rightarrow 0.344$
$\theta_{12}/^\circ$	$33.48^{+0.78}_{-0.75}$	$31.29 \rightarrow 35.91$	$33.48^{+0.78}_{-0.75}$	$31.29 \rightarrow 35.91$	$31.29 \rightarrow 35.91$
$\sin^2 \theta_{23}$	$0.452^{+0.052}_{-0.028}$	$0.382 \rightarrow 0.643$	$0.579^{+0.025}_{-0.037}$	$0.389 \rightarrow 0.644$	$0.385 \rightarrow 0.644$
$\theta_{23}/^\circ$	$42.3^{+3.0}_{-1.6}$	$38.2 \rightarrow 53.3$	$49.5^{+1.5}_{-2.2}$	$38.6 \rightarrow 53.3$	$38.3 \rightarrow 53.3$
$\sin^2 \theta_{13}$	$0.0218^{+0.0010}_{-0.0010}$	$0.0186 \rightarrow 0.0250$	$0.0219^{+0.0011}_{-0.0010}$	$0.0188 \rightarrow 0.0251$	$0.0188 \rightarrow 0.0251$
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$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.50^{+0.19}_{-0.17}$	$7.02 \rightarrow 8.09$	$7.50^{+0.19}_{-0.17}$	$7.02 \rightarrow 8.09$	$7.02 \rightarrow 8.09$
$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.457^{+0.047}_{-0.047}$	$+2.317 \rightarrow +2.607$	$-2.449^{+0.048}_{-0.047}$	$-2.590 \rightarrow -2.307$	$\left[ +2.325 \rightarrow +2.599 \right]$ $\left[ -2.590 \rightarrow -2.307 \right]$

**Table 1.** Three-flavor oscillation parameters from our fit to global data after the NOW 2014 conference. The results are presented for the “Free Fluxes + RSBL” in which reactor fluxes have been left free in the fit and short baseline reactor data (RSBL) with  $L \lesssim 100$  m are included. The numbers in the 1st (2nd) column are obtained assuming NO (IO), *i.e.*, relative to the respective local minimum, whereas in the 3rd column we minimize also with respect to the ordering. Note that  $\Delta m_{3\ell}^2 \equiv \Delta m_{31}^2 > 0$  for NO and  $\Delta m_{3\ell}^2 \equiv \Delta m_{32}^2 < 0$  for IO.

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NUFIT 2.0

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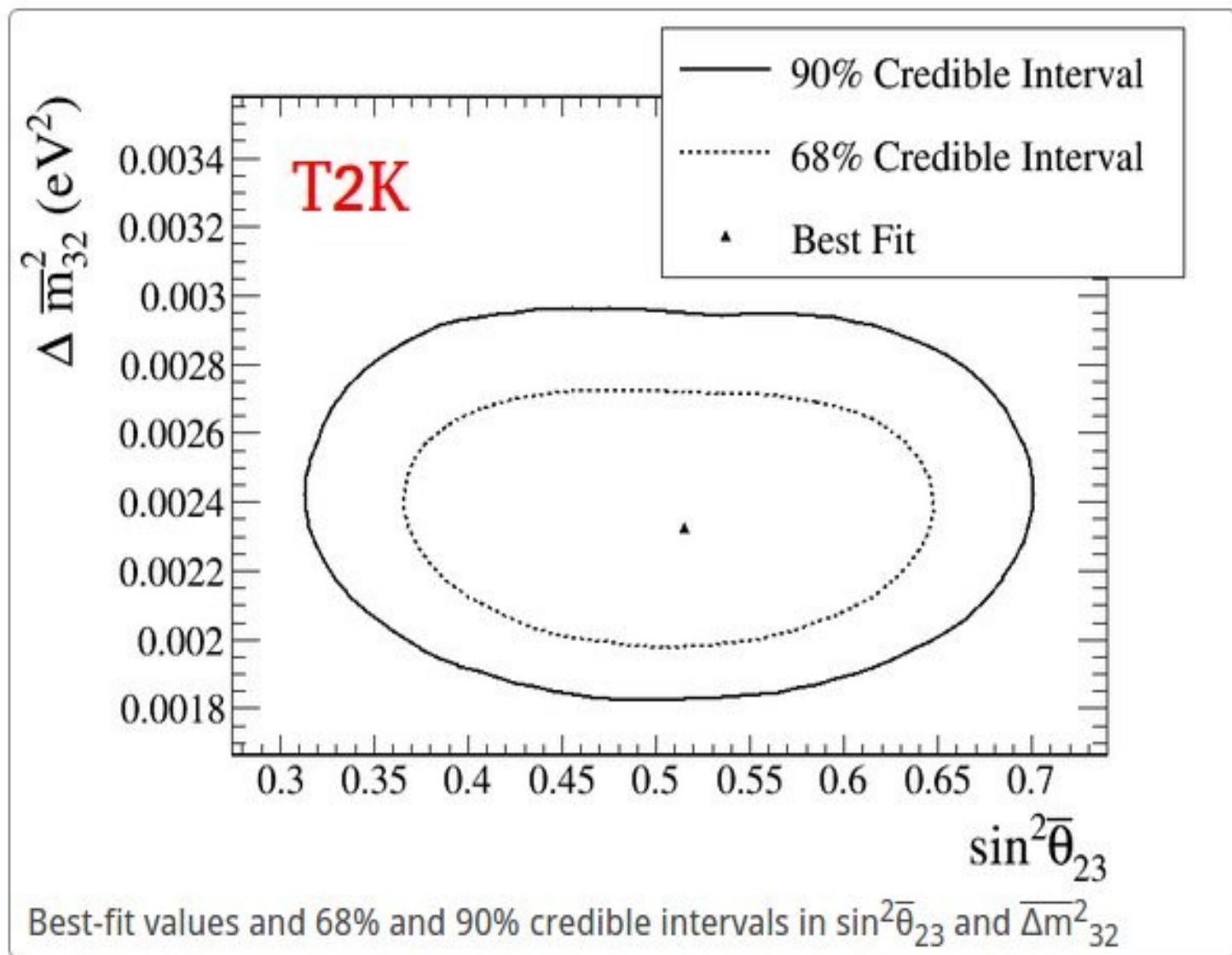
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M. C. Gonzalez-Garcia, M. Maltoni, T. Schwetz, arXiv:1406.4201

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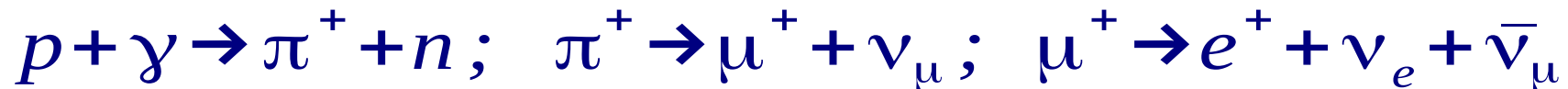
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# Neutrino Sources

- $\nu$ 's are generated in weak decays of nuclei, mesons and heavy leptons:  $n \rightarrow p + e^- + \bar{\nu}_e$ ,  $\pi^+ (K^+) \rightarrow \mu^+ + \nu_\mu$ ,  
 $\mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_\mu \dots$
- in the early Universe;
- in ordinary stars like our Sun;
- in SNe explosions:  $p + e^- \rightarrow n + \nu_e$ ,  $\gamma + \gamma \rightarrow e^+ + e^-$ ,  
 $e^+ + e^- \rightarrow \nu_i + \bar{\nu}_i \dots$
- in **AGN** due to acceleration of  $p$ 's on shocks with following  
 $p + p(\gamma) \rightarrow \pi^\pm (K^\pm) + X$  etc.
- in **artificial sources**: reactors, accelerators, A-bombs ...

# Neutrino Sources, cont.

- Reactor  $\bar{\nu}_e$  are copious, but their energies are small.
- Accelerator  $\nu$ 's, mostly  $\nu_\mu$  and  $\bar{\nu}_\mu$ , are very expensive.
- SNe did not explode in the vicinity since 23.02.1987 (in LMC 51.4 kps from the Earth).
- Cosmogenic (**BZ**)  $\nu$ 's to be produced in collisions of UHE,  $E > 6 \times 10^9$  eV, protons with **CMB** photons



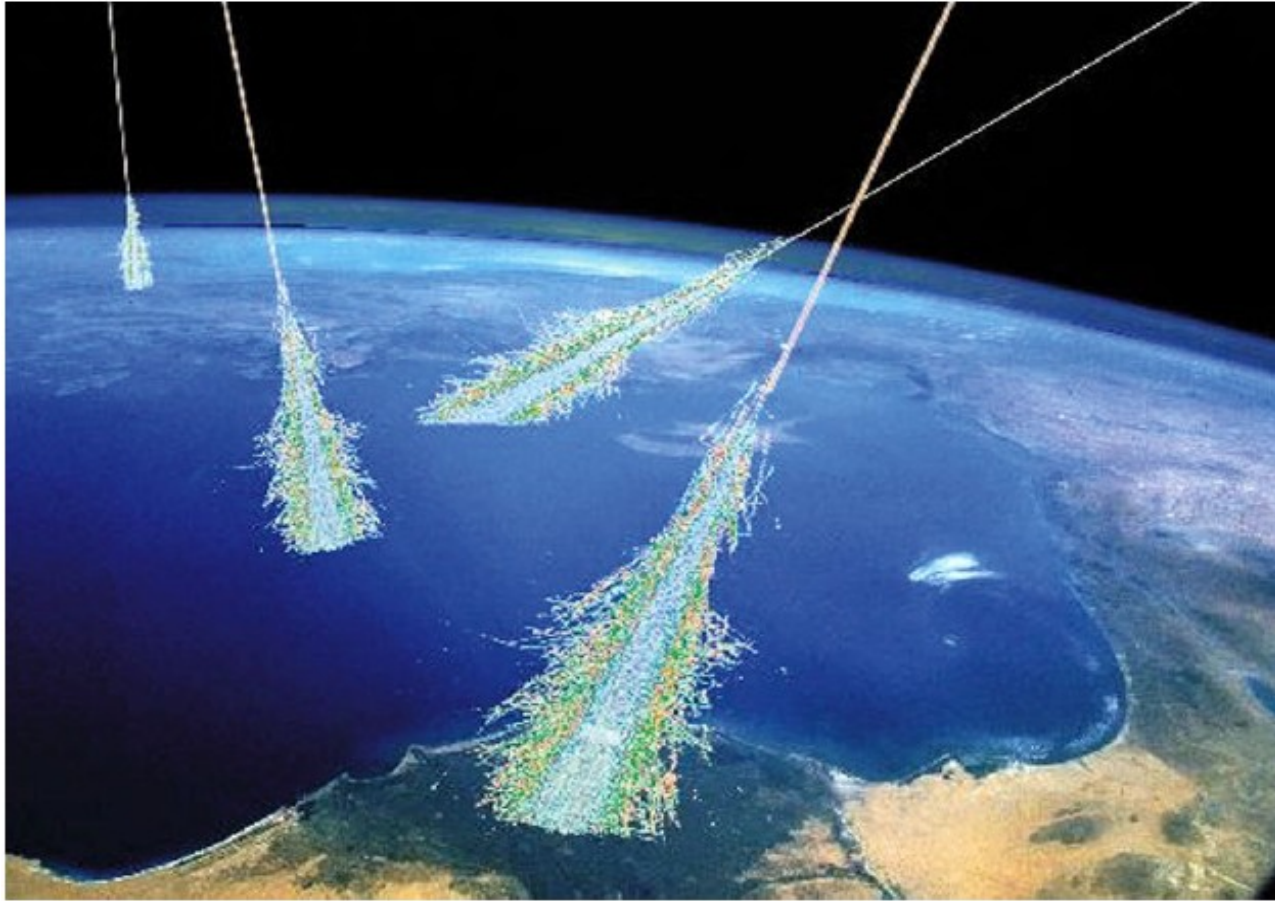
are yet not observed even with  $M \sim 10^9$  tonn IceCube and ANTARES detectors. (GZK cut-off is observed but the nature of UHECRs = ?)

- **Atmospheric neutrinos** are very useful for studies of the neutrino properties.

# **Extended Air Showers (EAS)**



# Extended Air Showers (EAS)

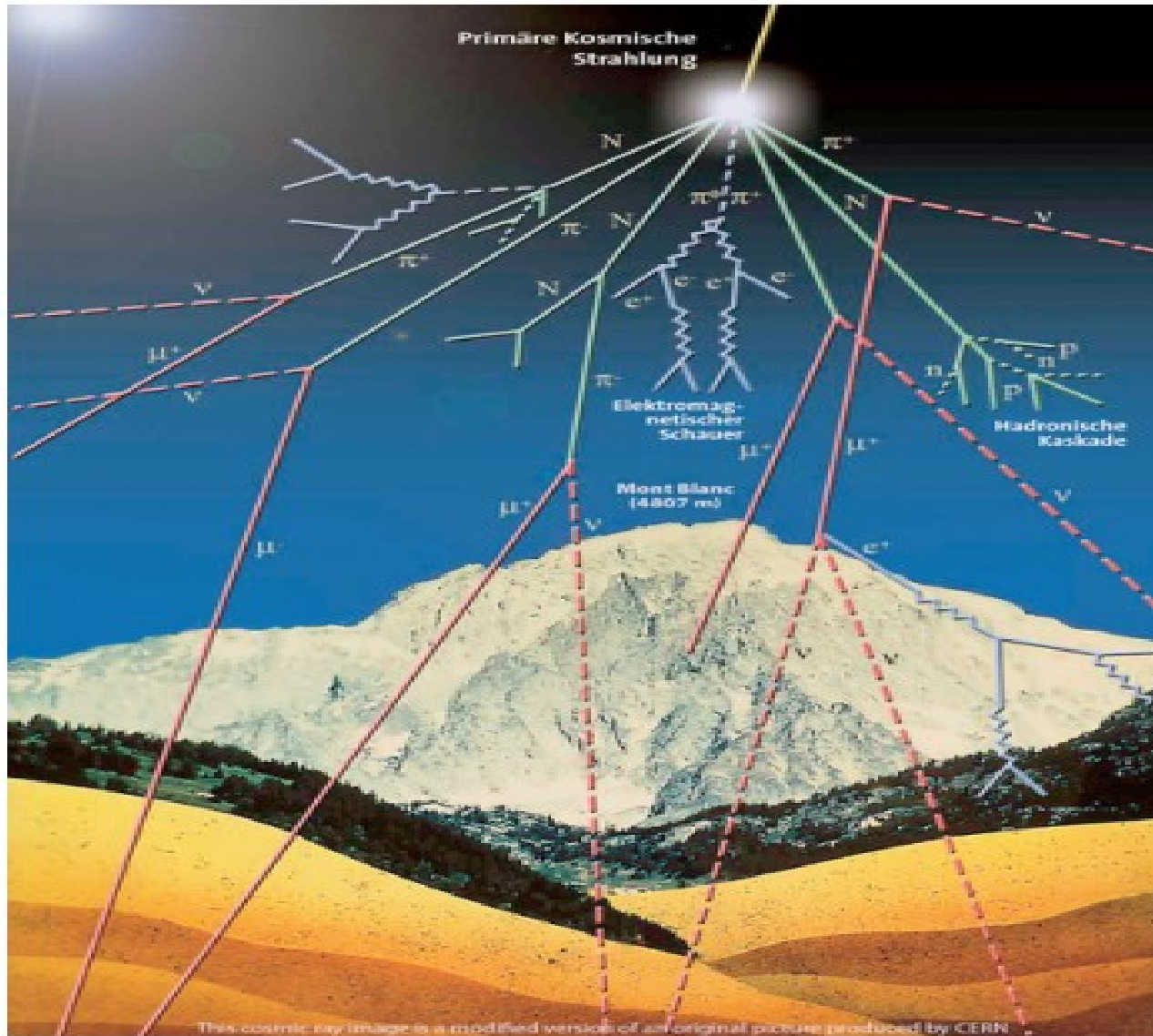


High-energy **CRs** produce secondary  $\pi^\pm$ ,  $K^\pm$  in interactions with atmospheric nuclei.

In their decays HE  $\nu$ 's are generated.

These  $\nu$ 's were observed by MACRO and LVD at LNGS, and by many other experiments, e.g. SK.

# Extended Air Showers (EAS)



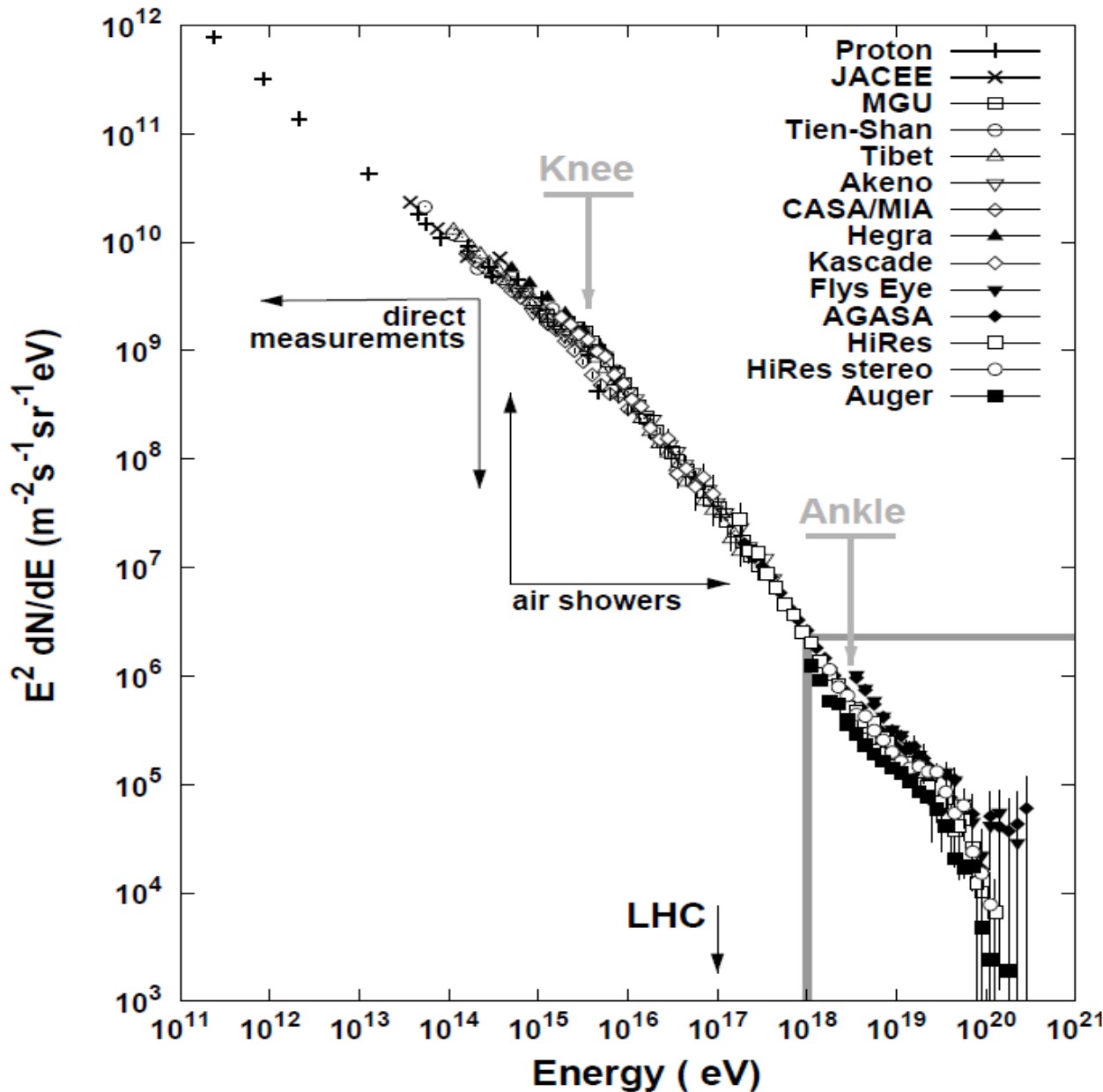
High-energy **CRs** produce secondary  $\pi^\pm$ ,  $K^\pm$  in interactions with atmospheric nuclei.

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These  $\nu$ 's were observed by MACRO and LVD at LNGS, and by many other experiments, e.g. SK.



# CR Spectrum



- Energy spectrum of CRs is power-law decreasing
$$J(E) \propto E^{-2.7}.$$
- CR fluxes are isotropic.
- HE  $\nu$ -spectra are steeper, but cross-sections and effective volumes grow with energy.
- $\nu$ -fluxes are also isotropic.
- To register one needs giant detectors.

# SuperK

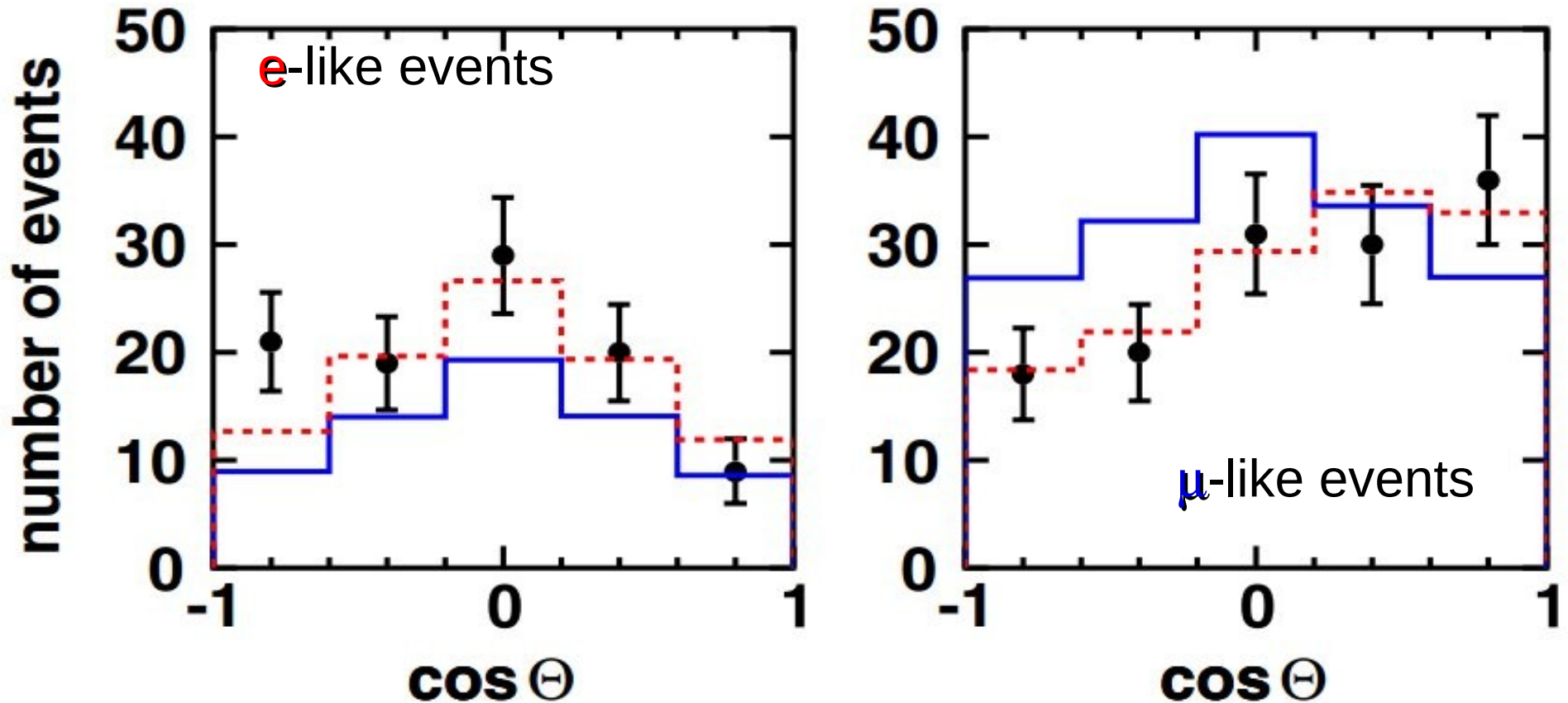
## Super-Kamioka Neutrino Detection Experiment

A real breakthrough in the neutrino physics – confirmation of the atmospheric  $\nu_\mu$  disappearance in 1998.

- ~ 50 tons of ultra-pure water 1 km underground.
- SK detects Cherenkov light from charged particle produced in  $\nu e^-$  - and  $\nu N$ -interactions with electrons and nucleons.
- 11200 50 cm PMT – inner detector
- 1900 20 cm PMT – outer detector

# Zenith Angle Distribution in SK-98

Multi-GeV atm.  $\nu$ -events reported at **Neutrino'98**

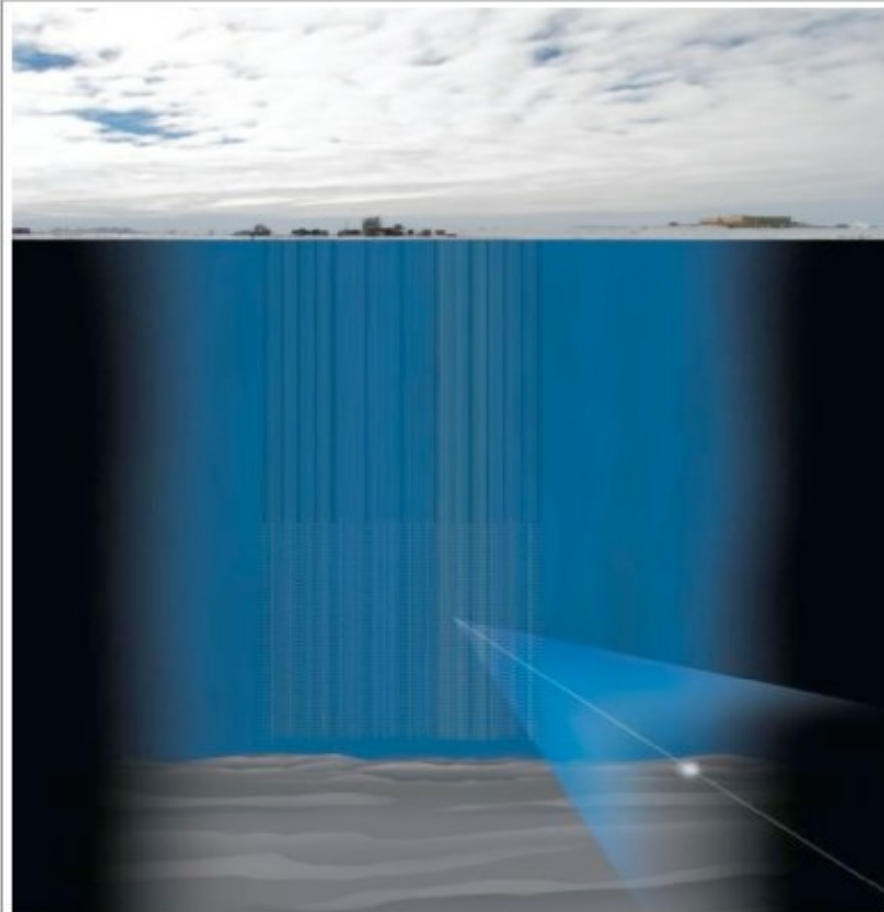


Oscillations discovered by observation of  $\nu_{\mu}$ -disappearance on passing through the Earth.

# Ice and Water



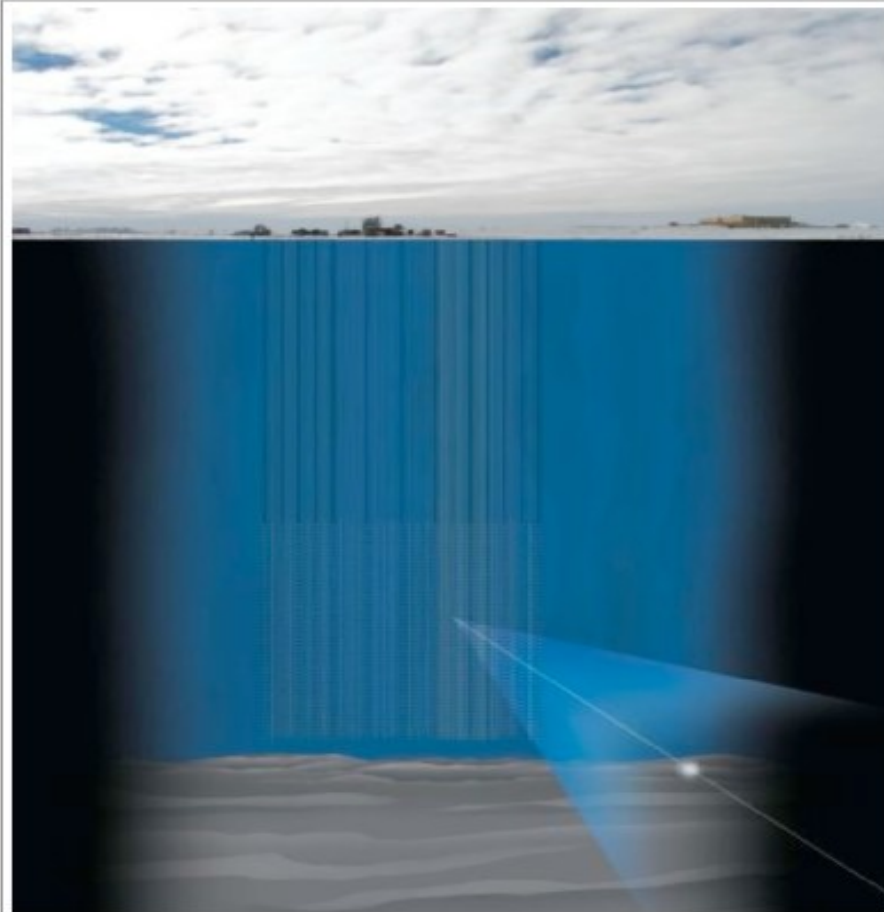
# Ice and Water





IceCube

# Ice and Water



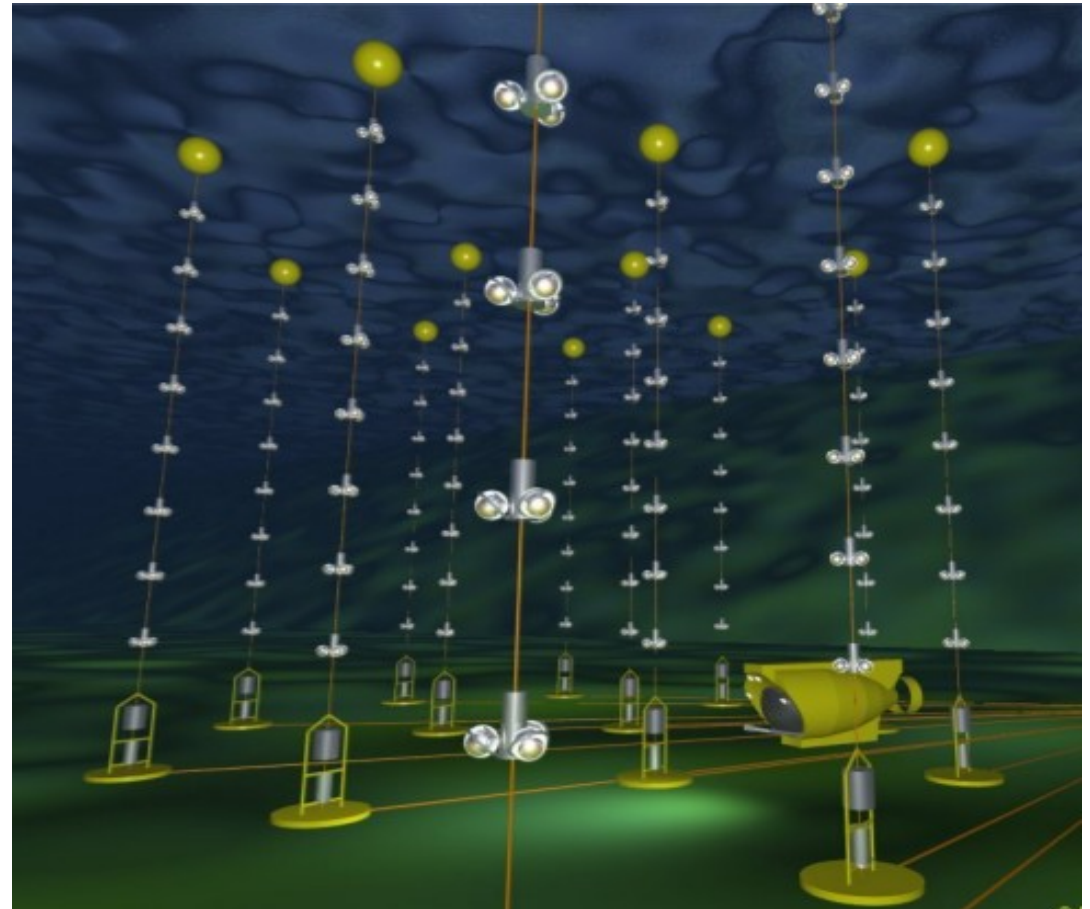
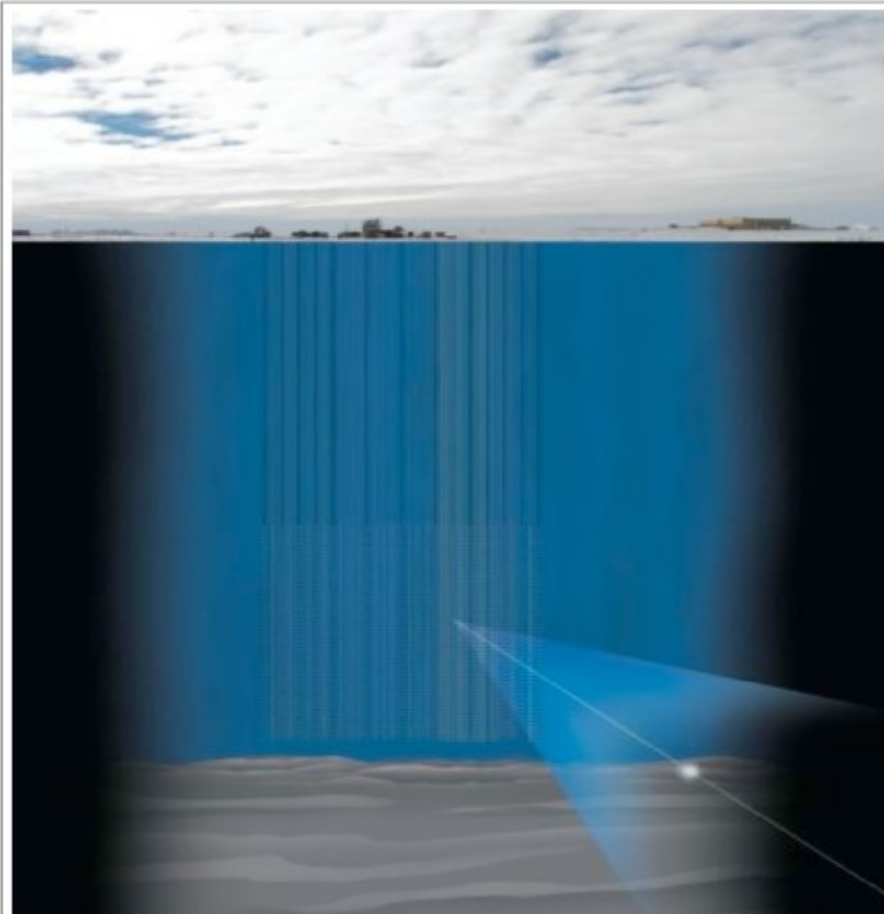


IceCube

# Ice and Water



ANTARES





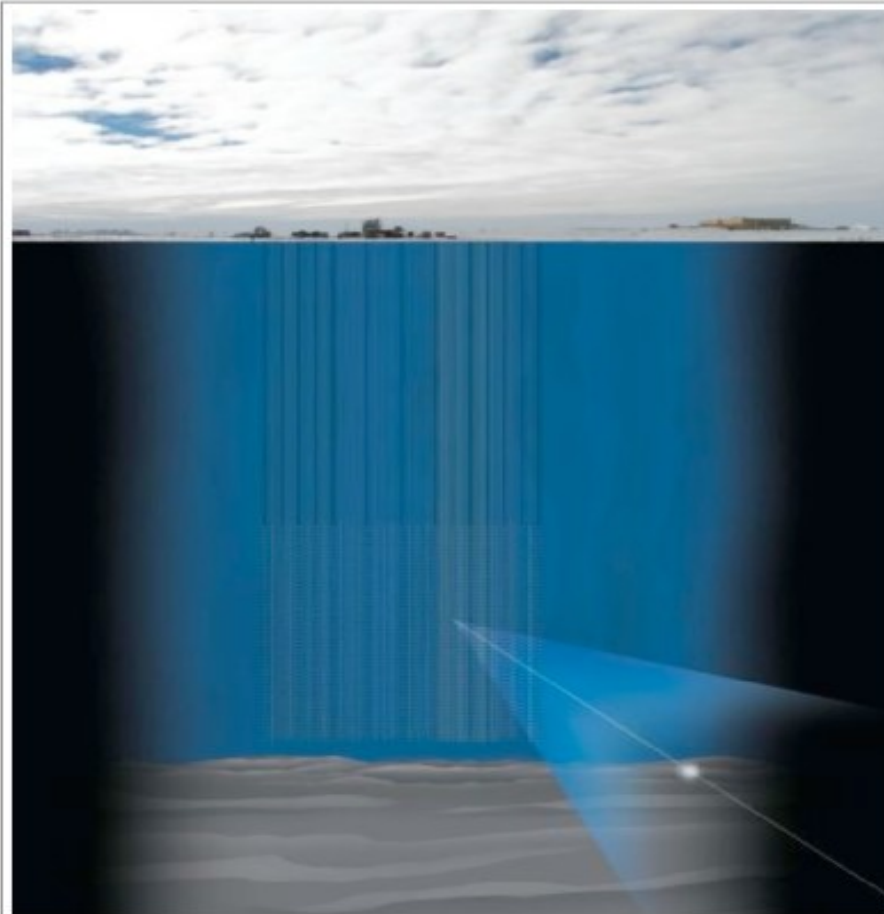


**IceCube**

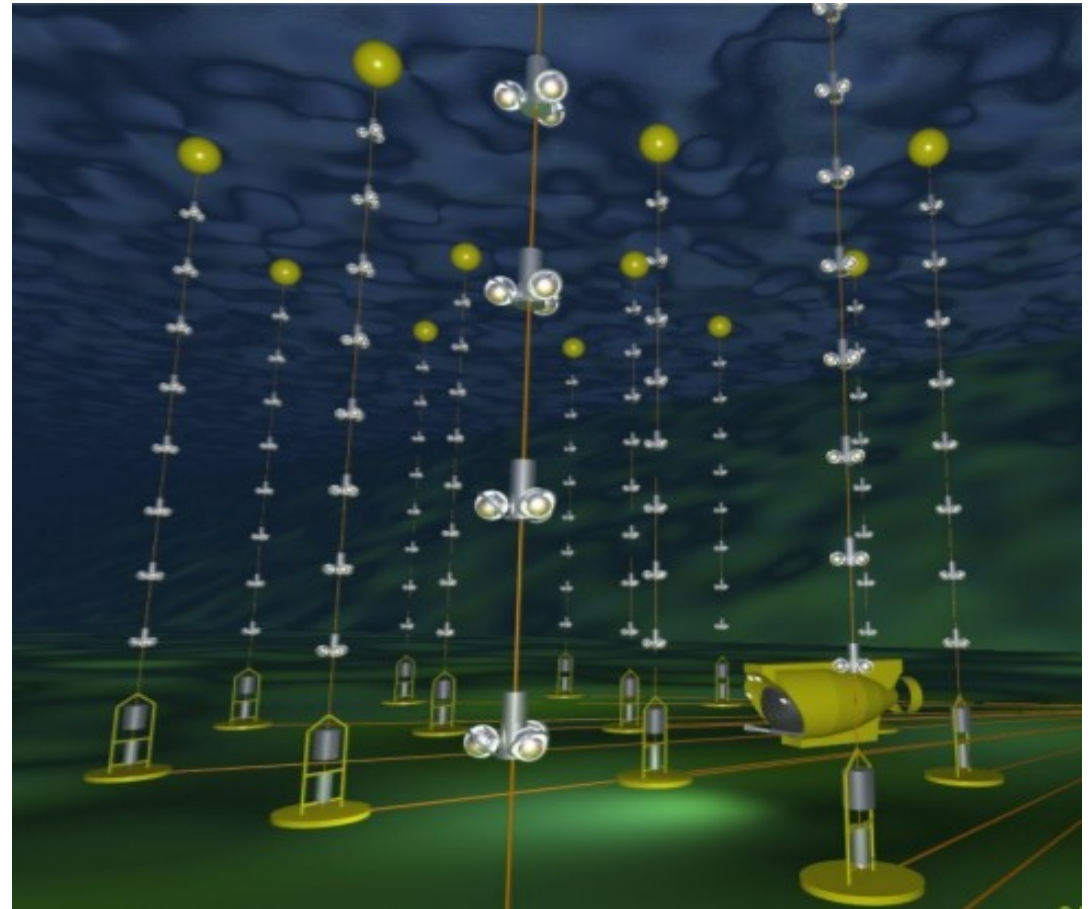
# Ice and Water



**ANTARES**



**South Pole, 2.5 km**



**Mediterranean sea, 2.5 km**



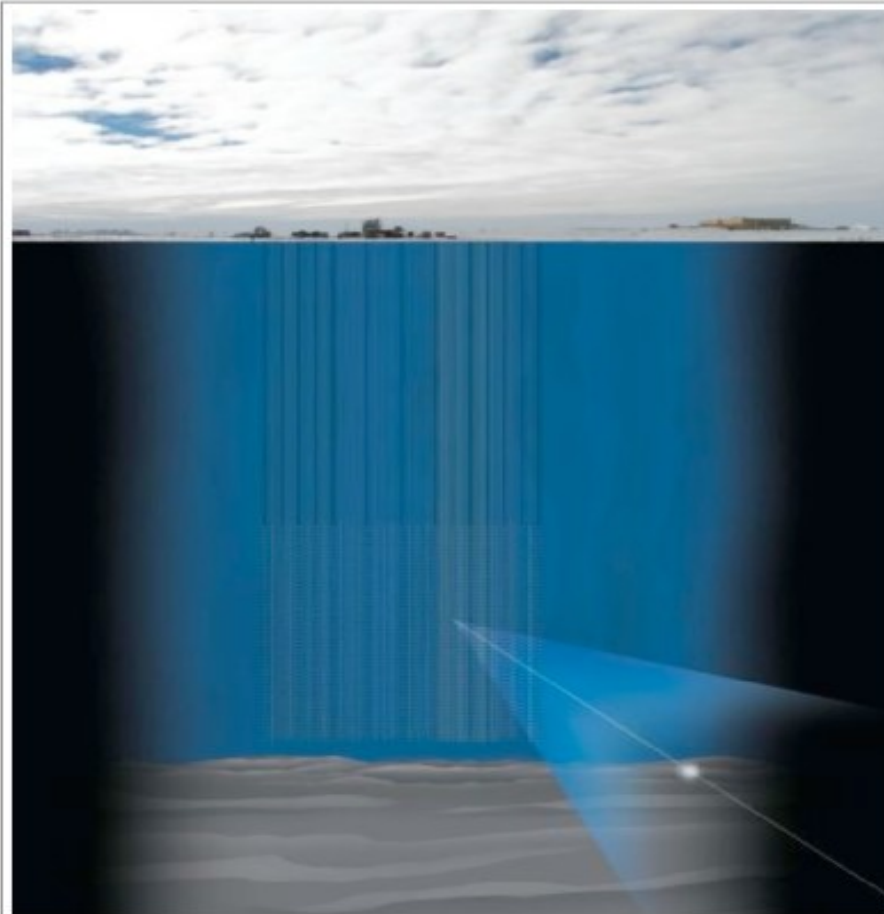


IceCube

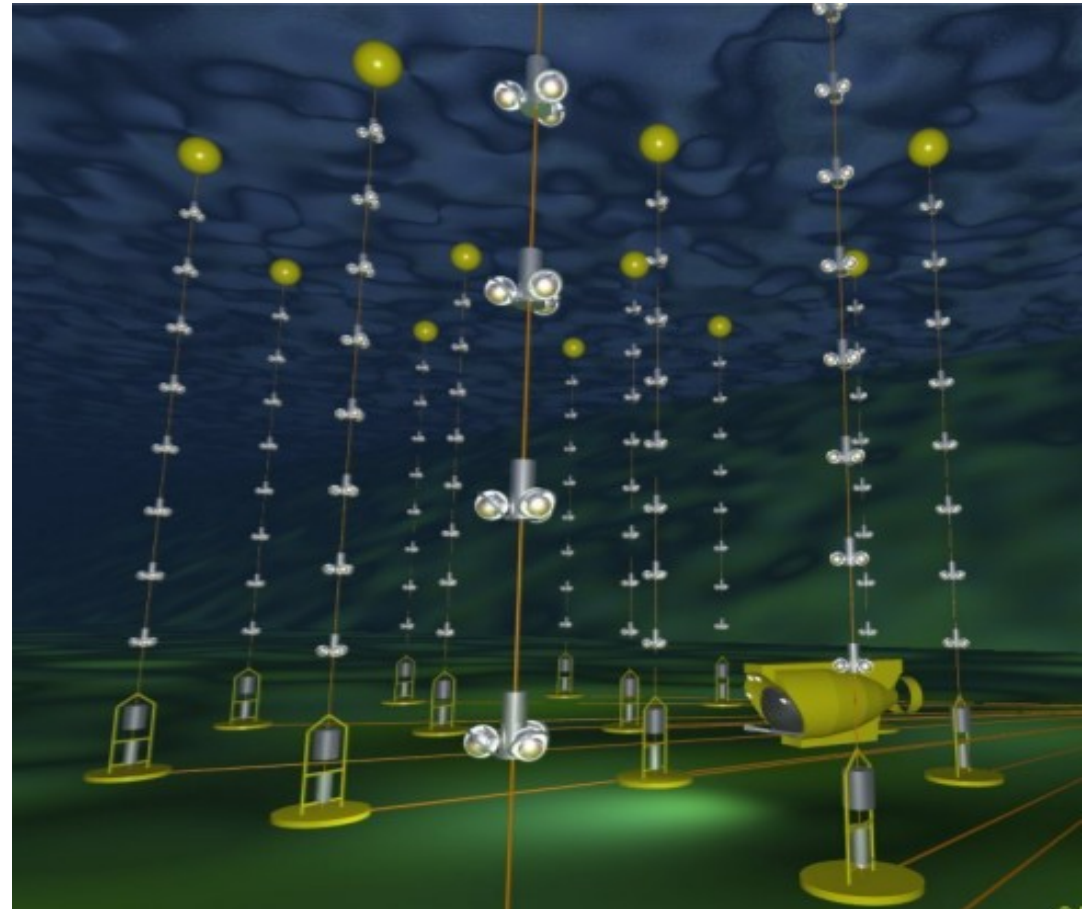
# Ice and Water



ANTARES



South Pole, 2.5 km



Mediterranean sea, 2.5 km

$$V \cong 1 \text{ km}^3$$

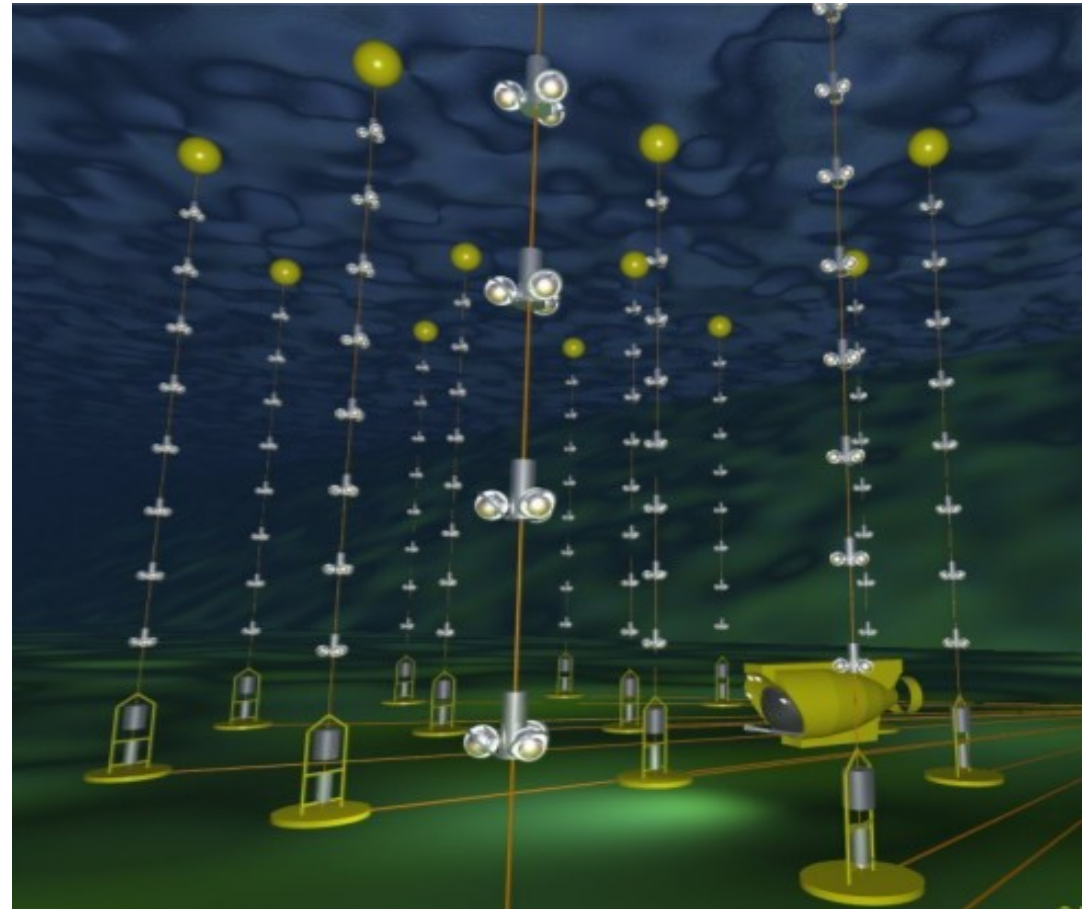
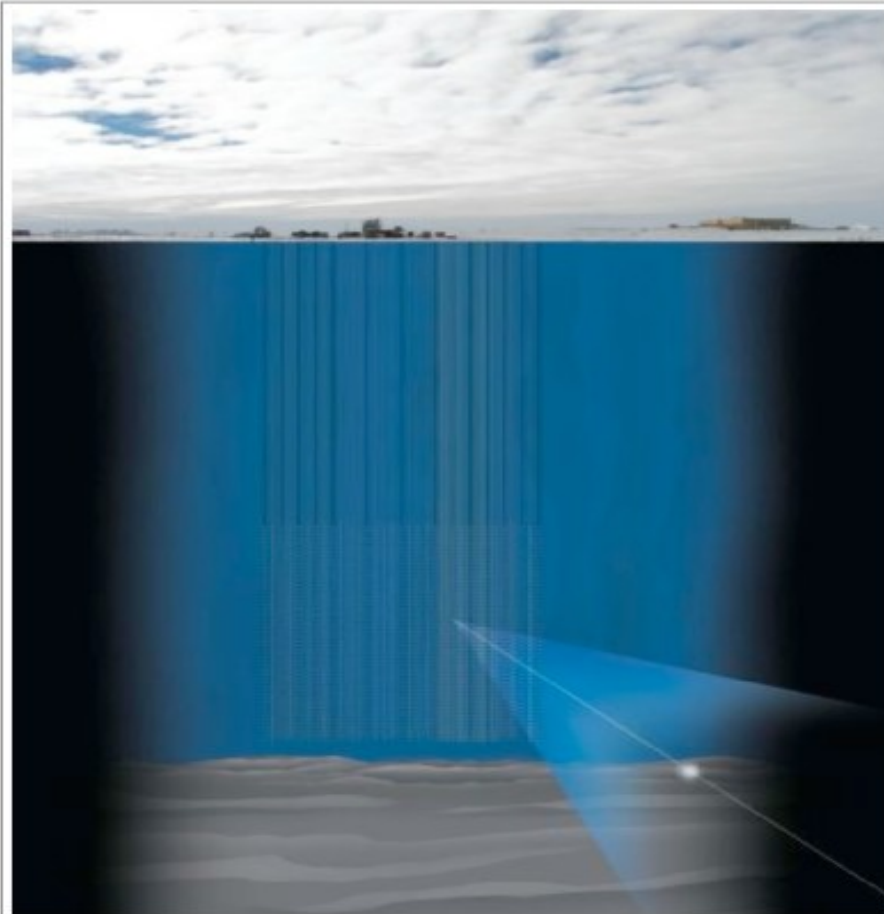


IceCube

# Ice and Water



ANTARES



South Pole, 2.5 km

NESTOR – Greece ?

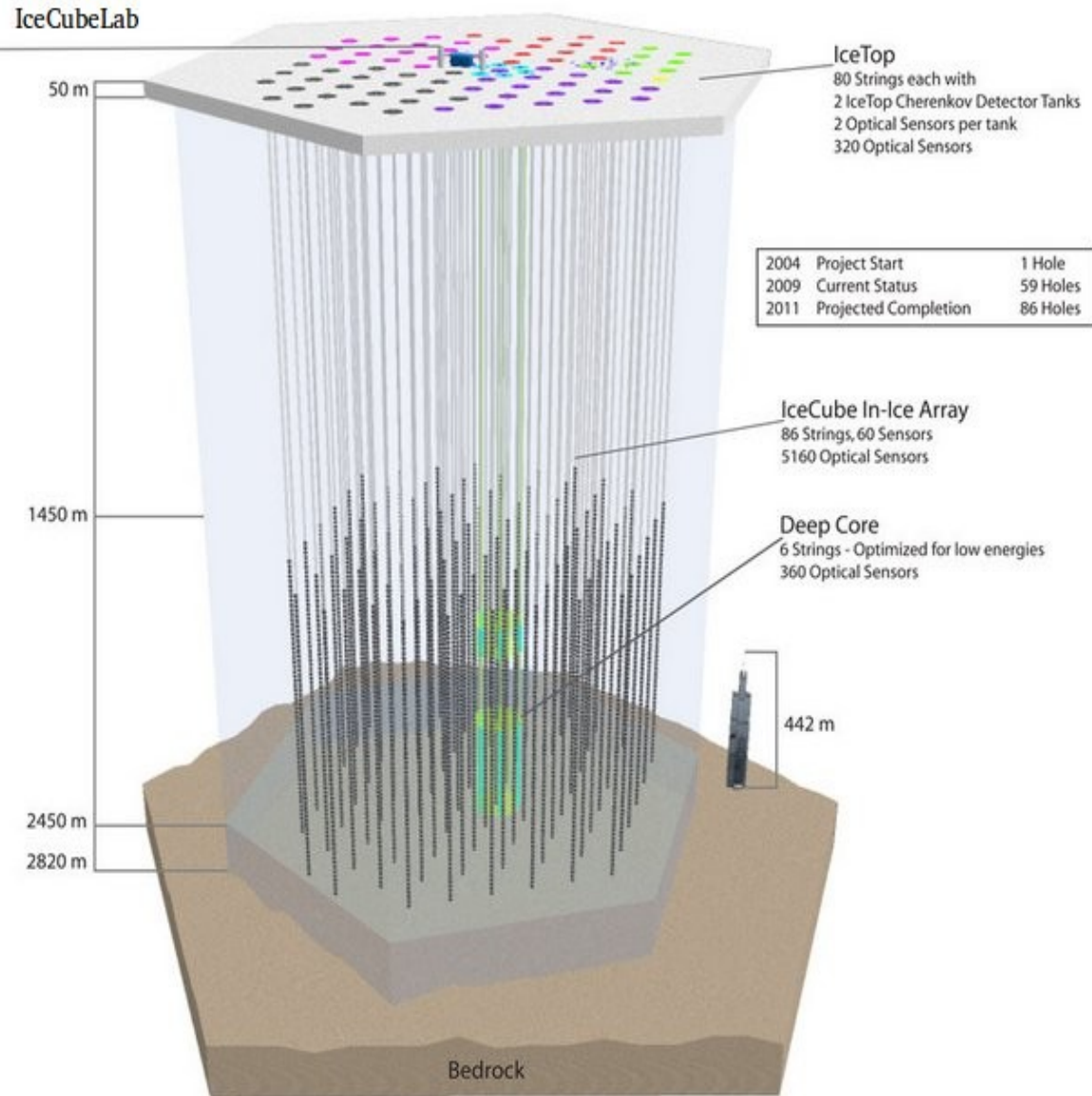
$$V \cong 1 \text{ km}^3$$

CFA-2015

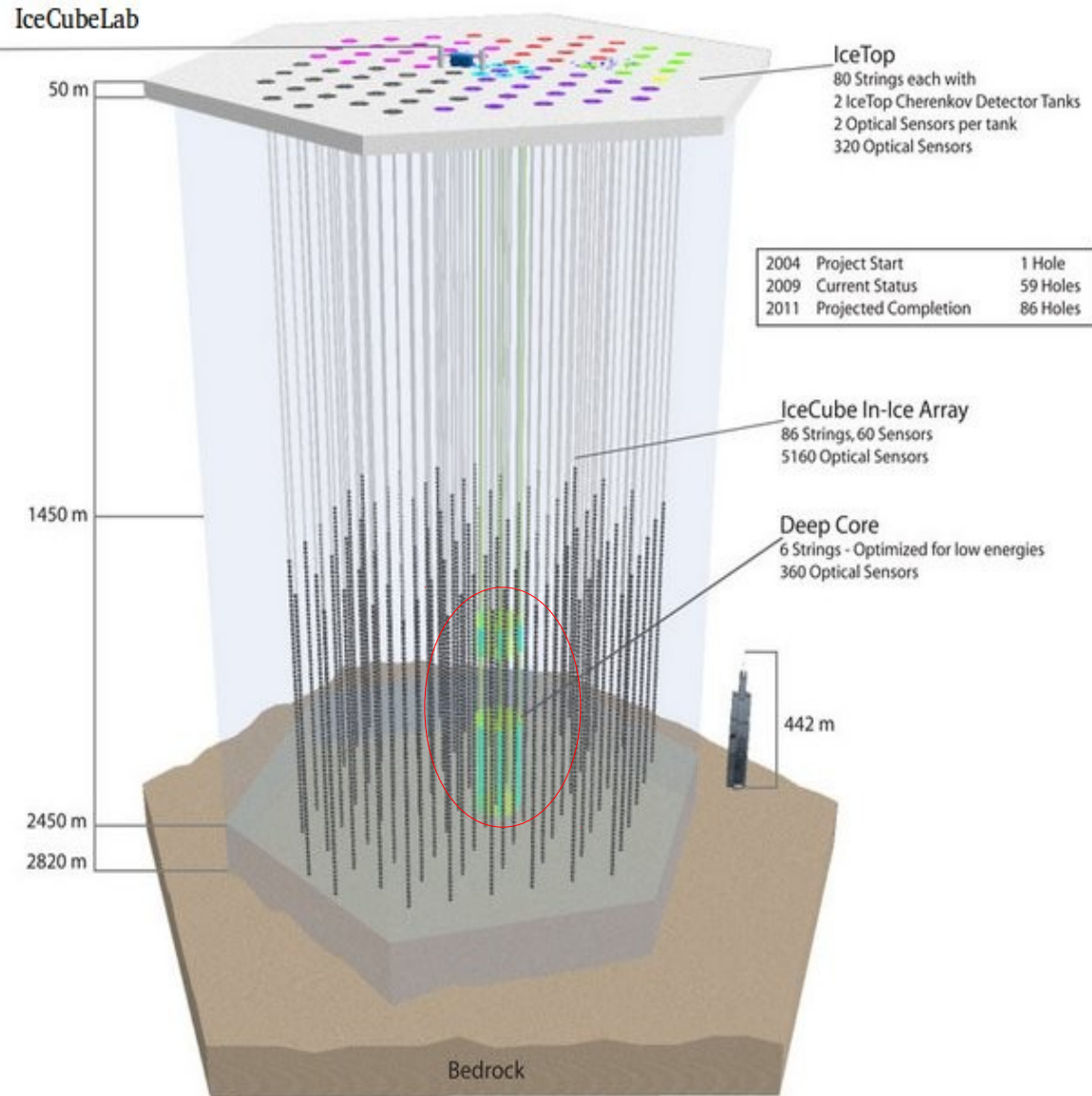
Mediterranean sea, 2.5 km

NEMO – Italy ?

# IceCube + DeepCore



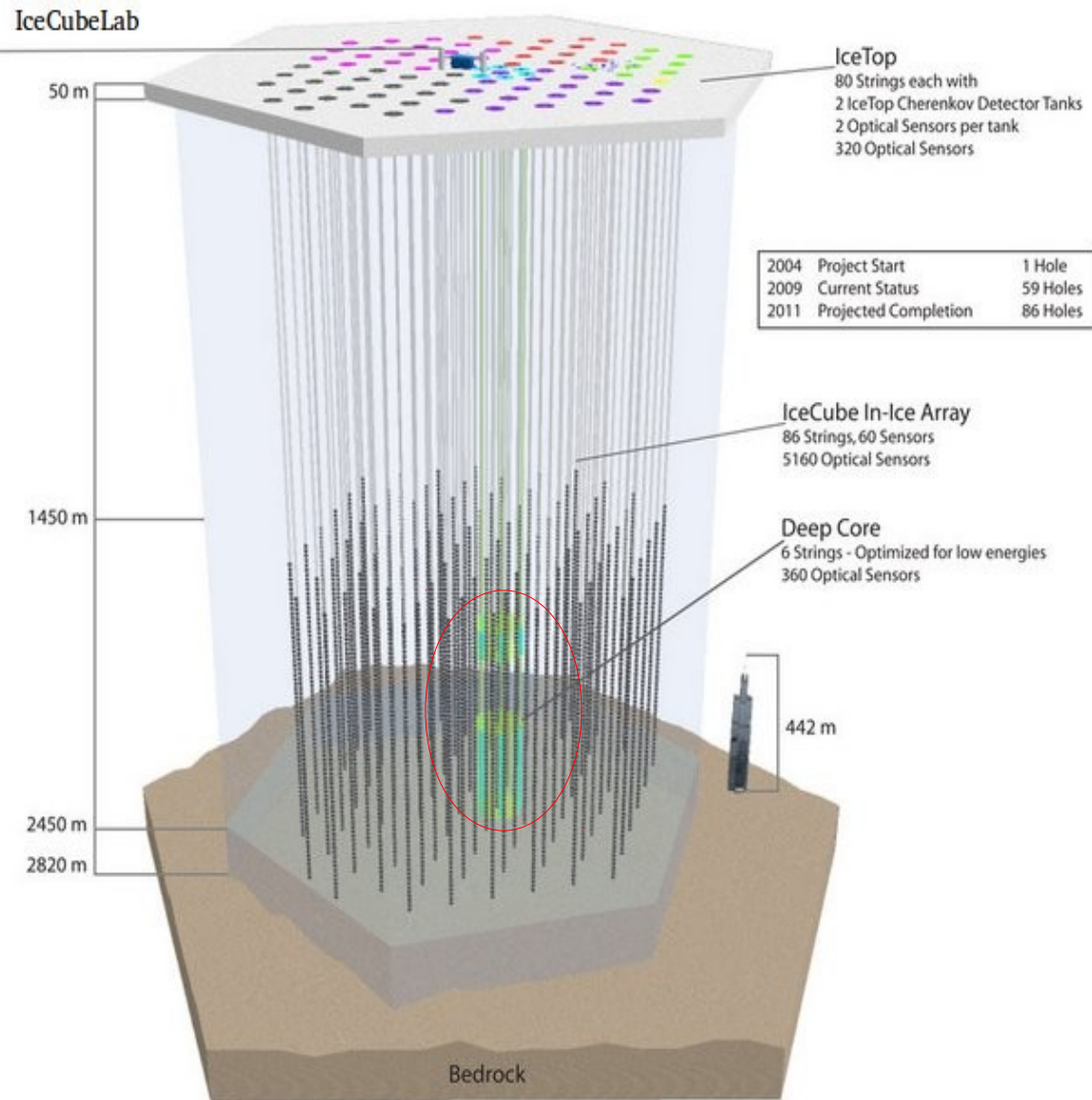
# IceCube + DeepCore





# IceCube + DeepCore

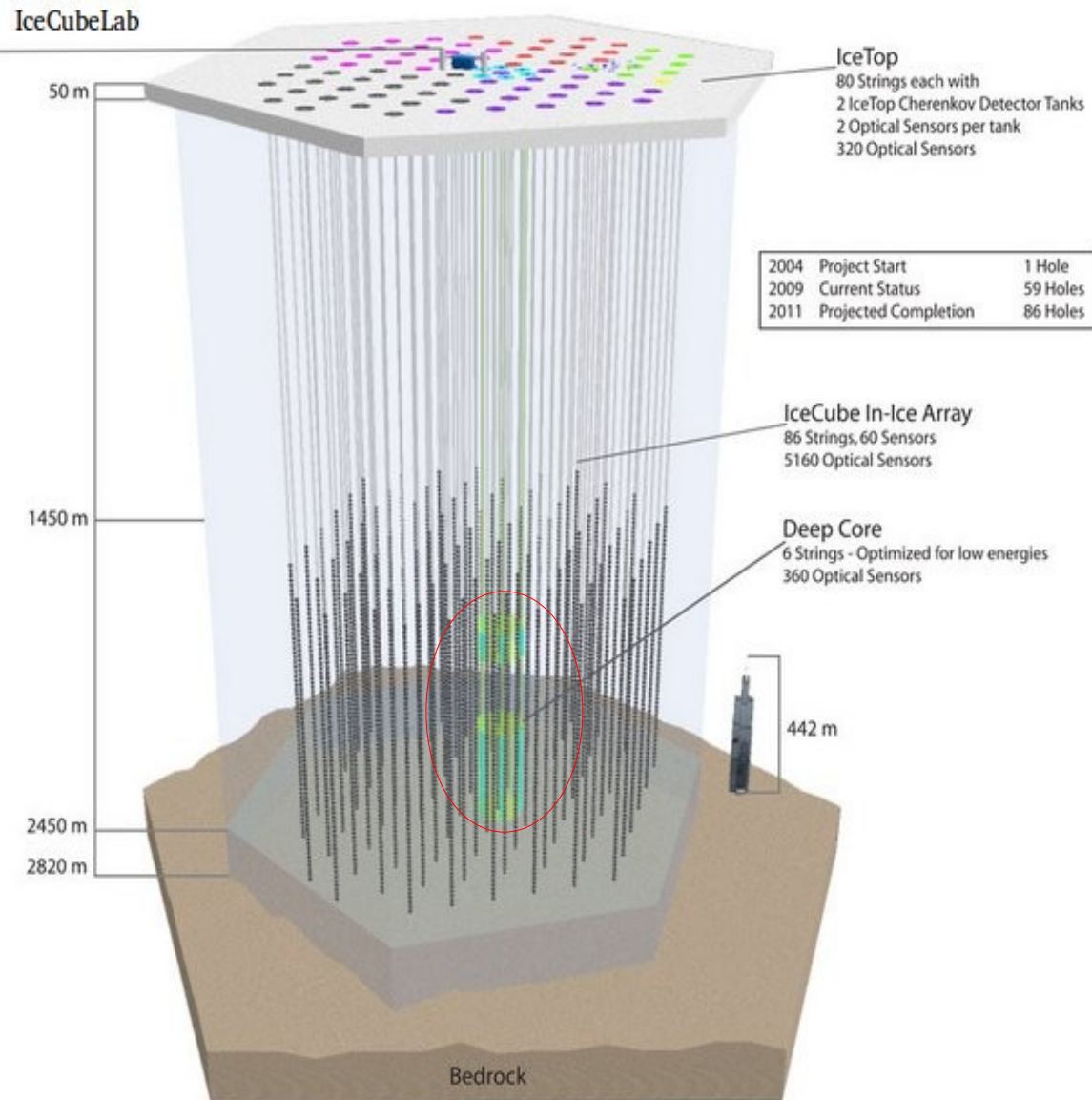
## Purposes



# IceCube + DeepCore

## Purposes

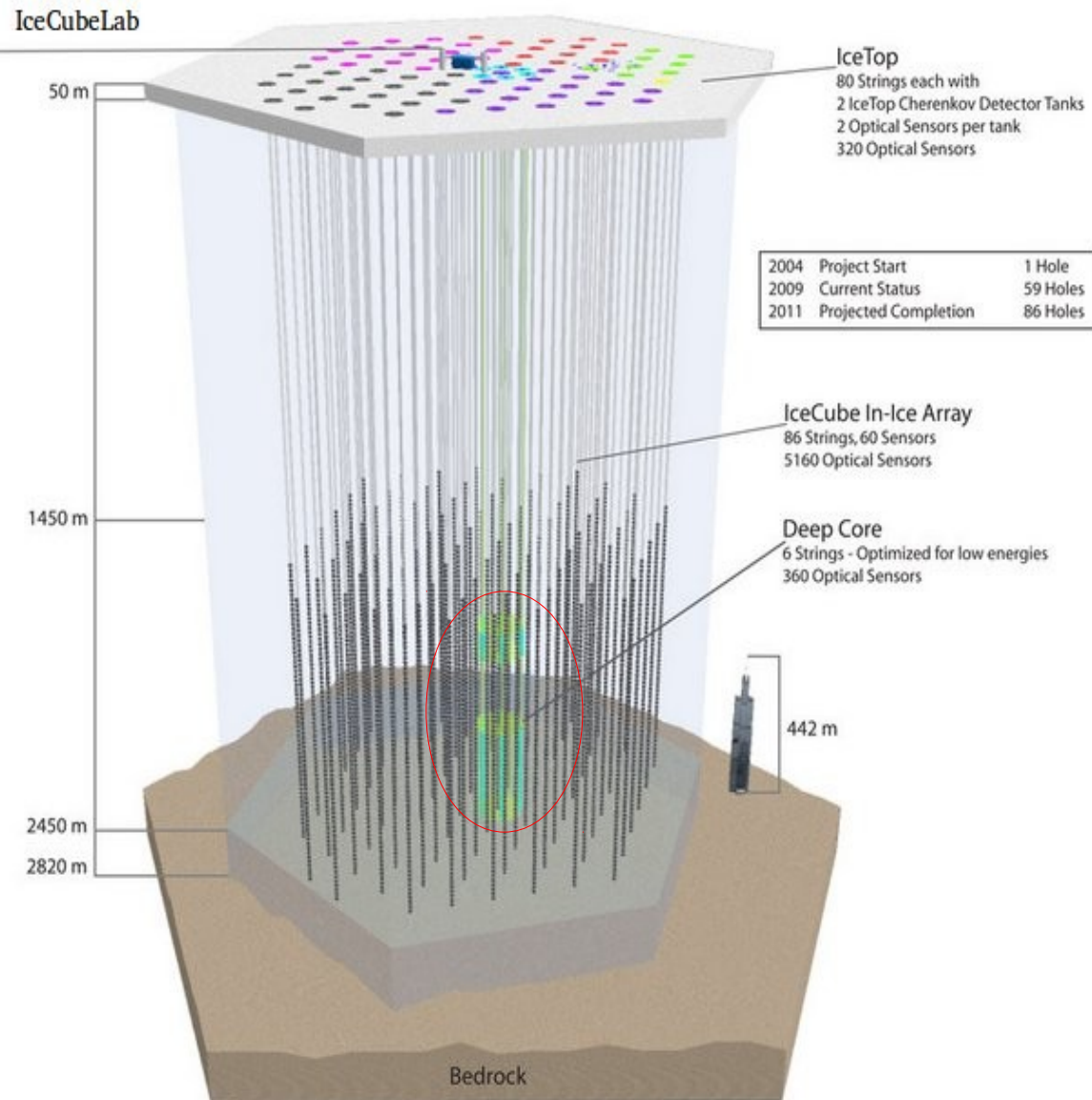
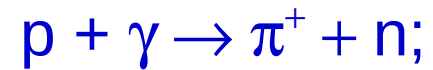
- Study of Crs: origin, spectra



# IceCube + DeepCore

## Purposes

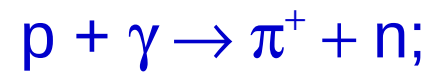
- Study of Crs: origin, spectra
- Search for UHE  $\nu$ 's, including cosmogenic ones



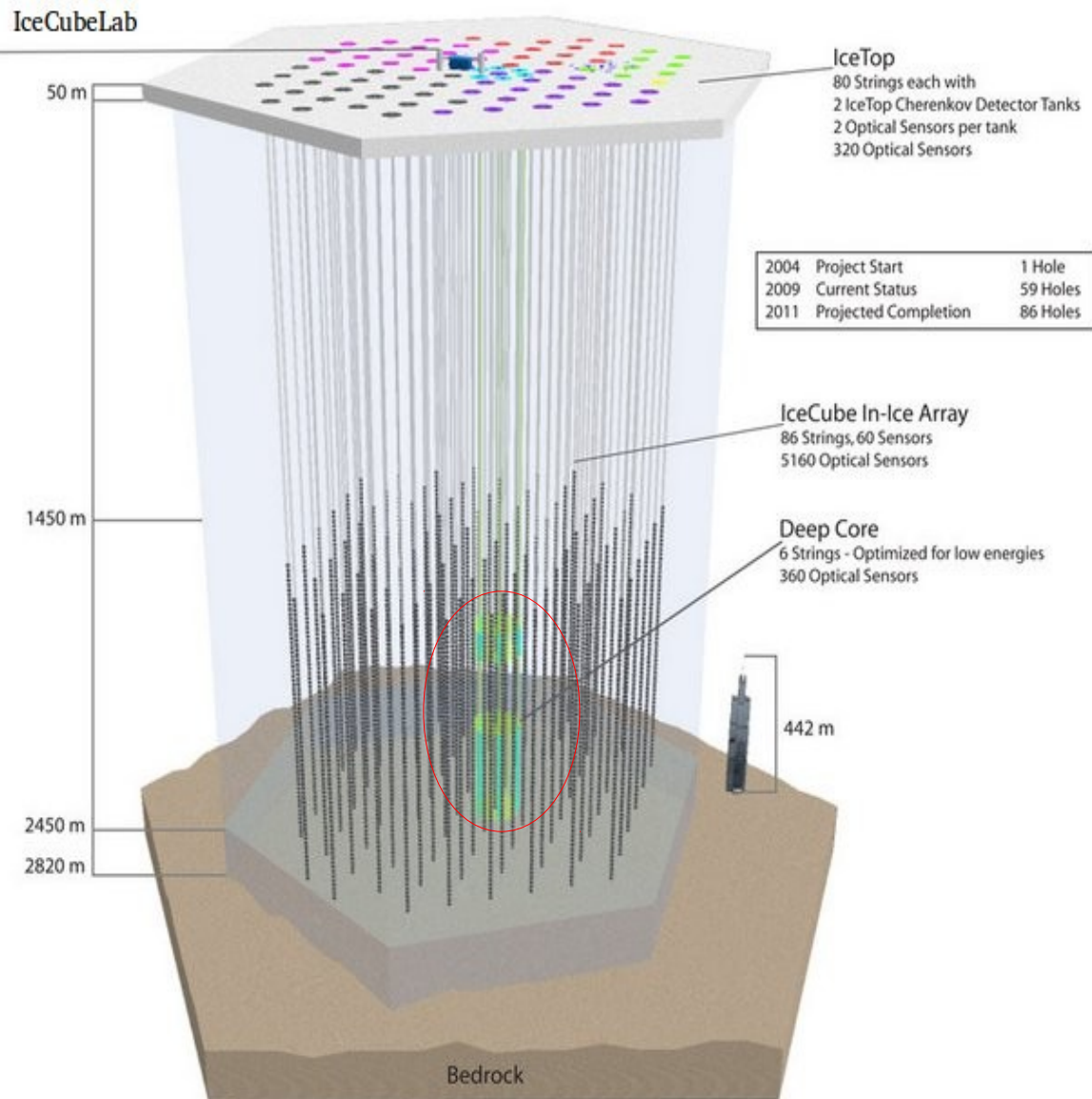
# IceCube + DeepCore

## Purposes

- Study of Crs: origin, spectra
- Search for UHE  $\nu$ 's, including cosmogenic ones



- Study of neutrino properties





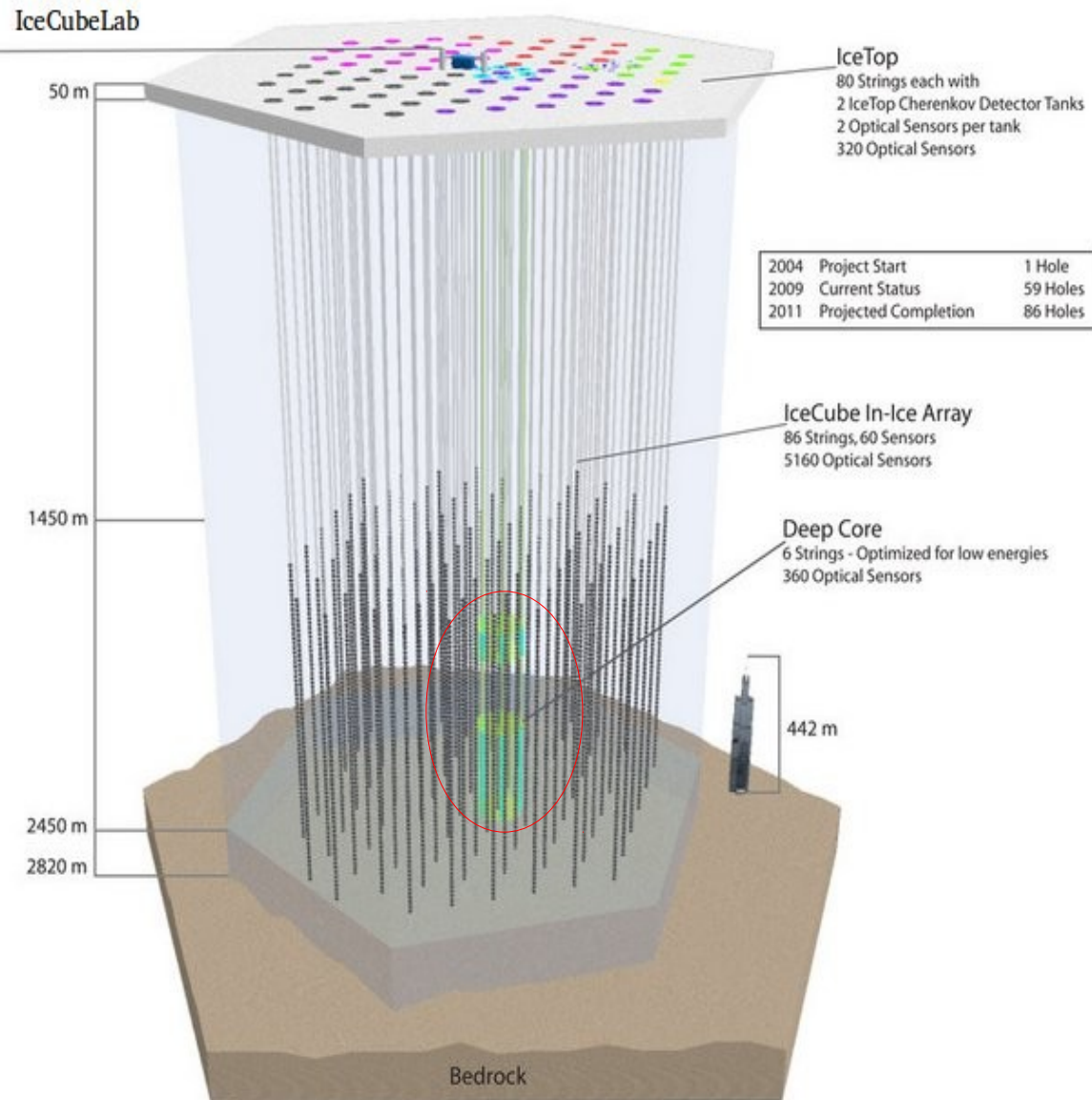
# IceCube + DeepCore

## Purposes

- Study of Crs: origin, spectra
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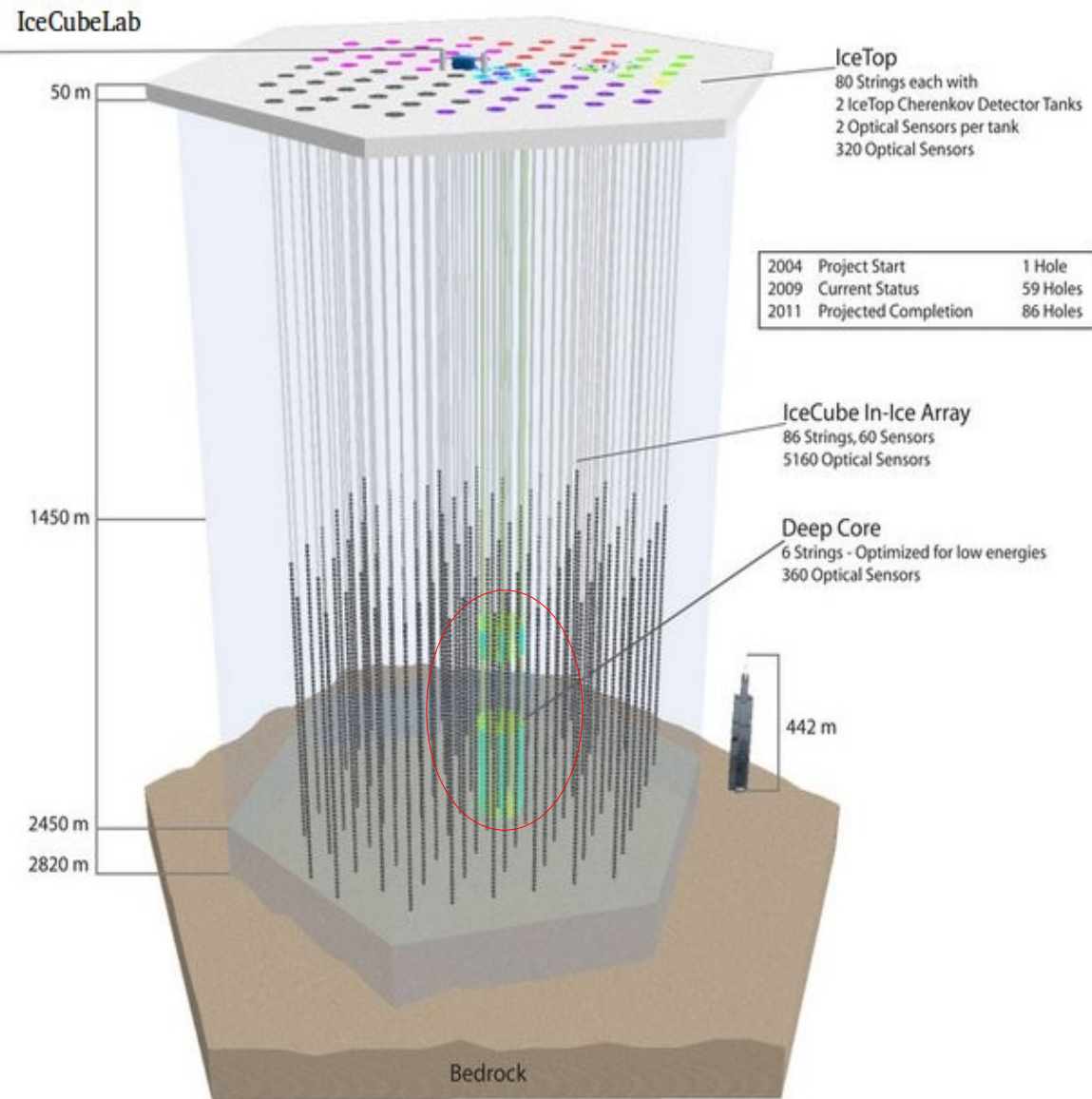


- Study of neutrino properties
- DUMAND – Baikal –  
AMANDA – IceCube +  
DeepCore ( $V \sim 0.02 \text{ km}^3$ )

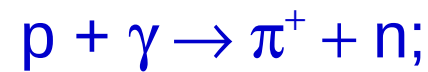


# IceCube + DeepCore

## Purposes



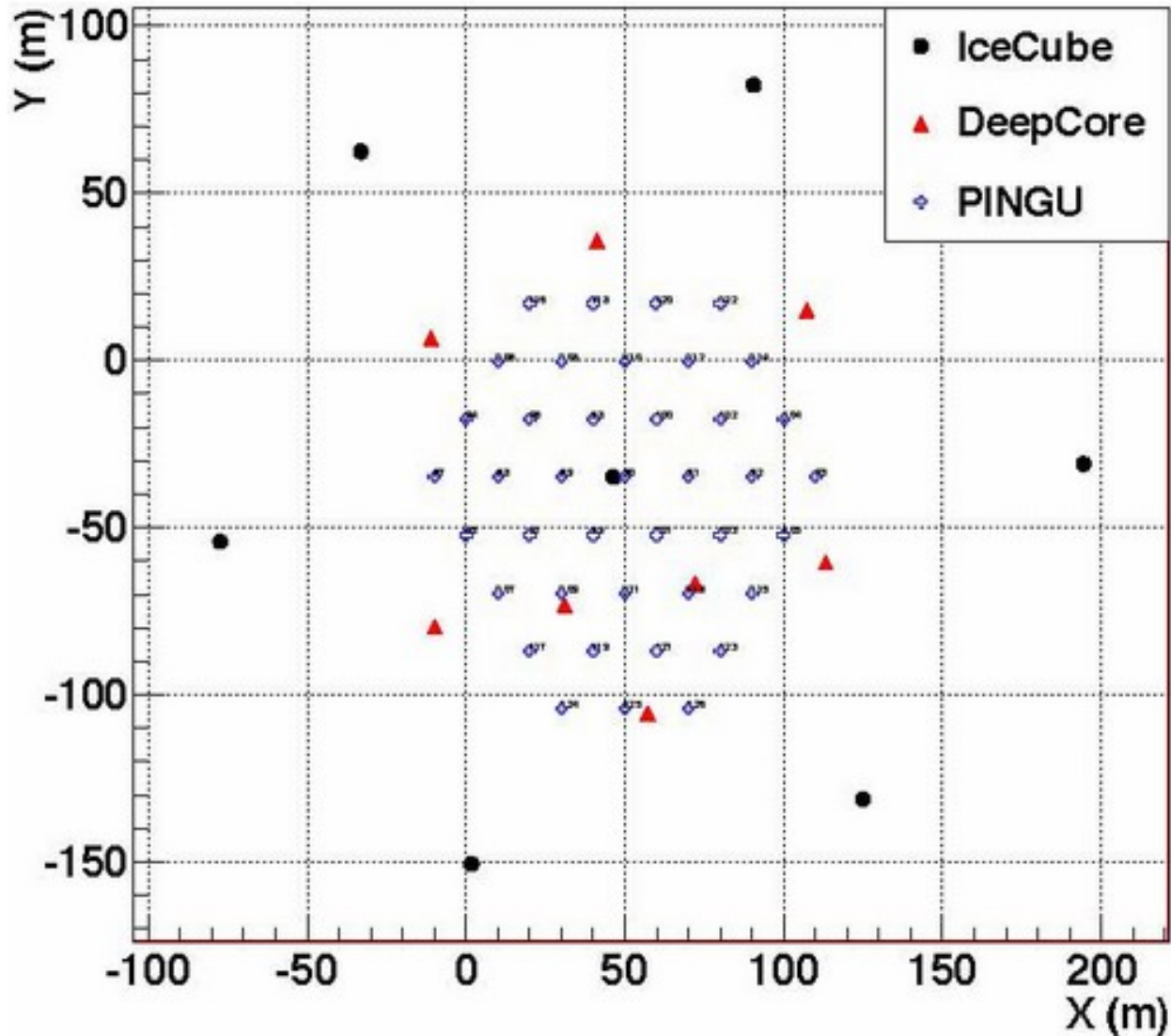
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- Study of neutrino properties
- DUMAND – Baikal –  
AMANDA – IceCube +  
DeepCore ( $V \sim 0.02 \text{ km}^3$ )
- Cherenkov light from
  - 1) **muons** – tracks
  - 2) **cascades** – showers  
from electrons and  
hadrons

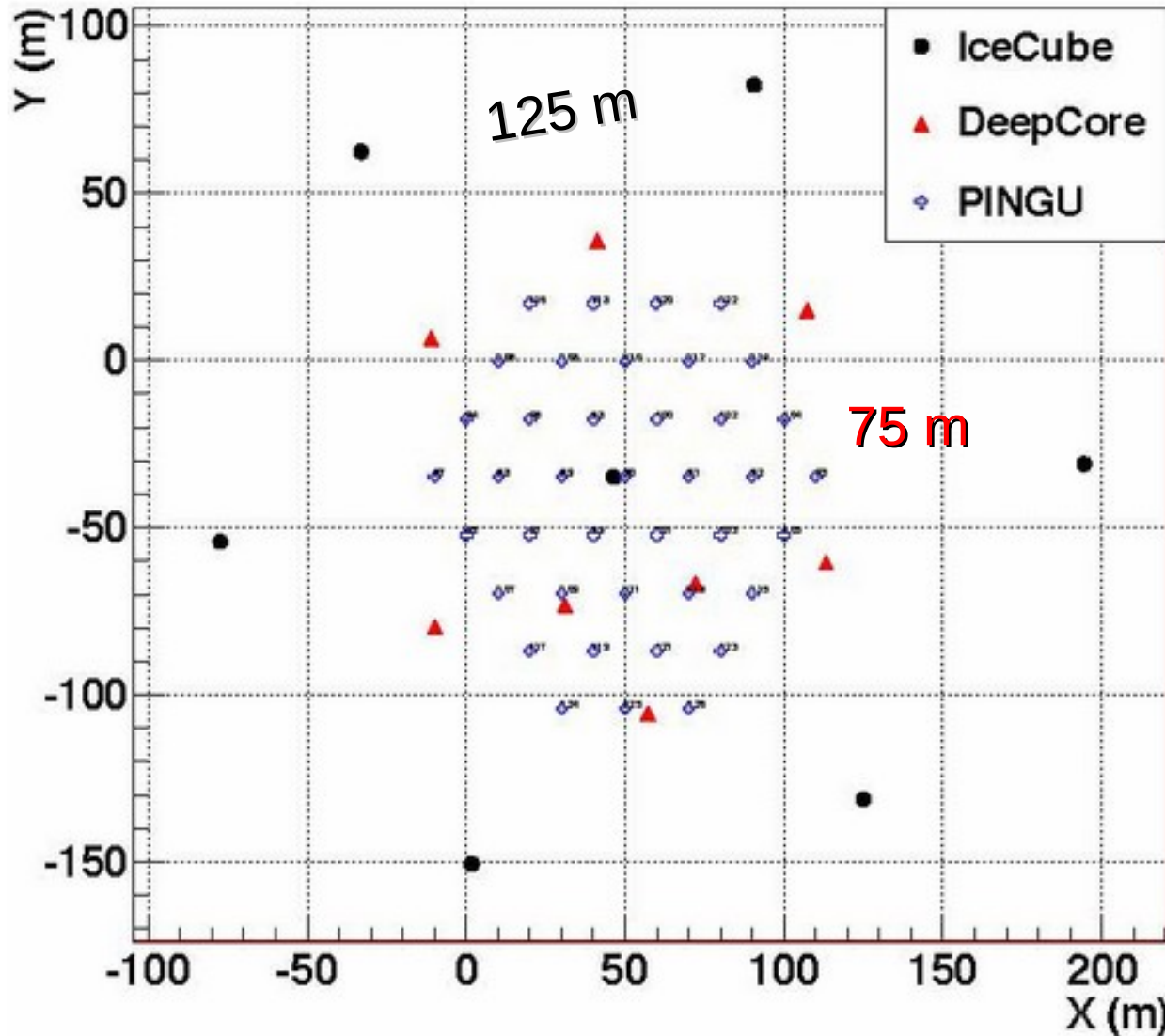
# IceCube – DeepCore - PINGU

## Precision IceCube Next Generation Upgrade



# IceCube – DeepCore - PINGU

## Precision IceCube Next Generation Upgrade

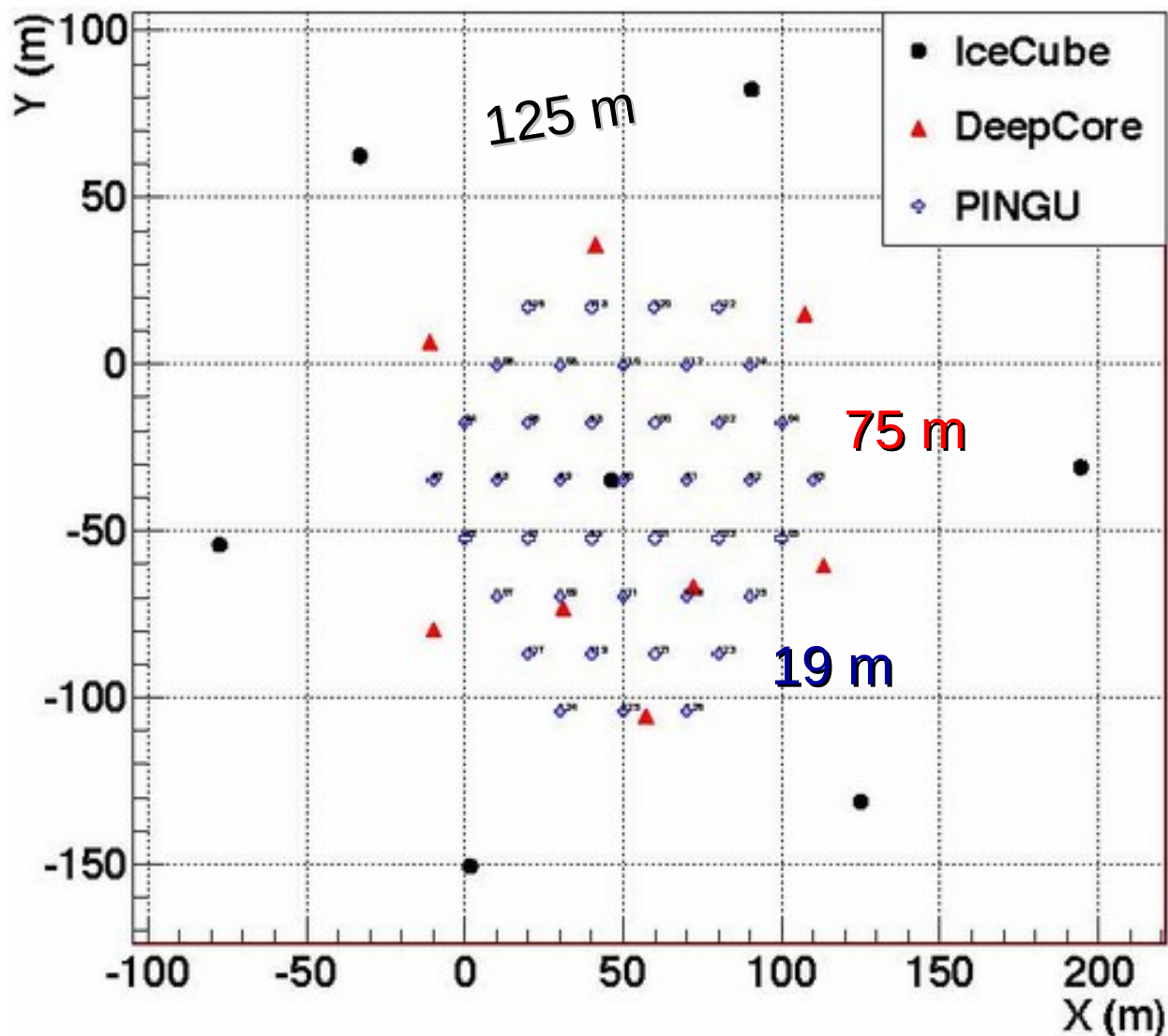


- **IceCube:** 78 strings  
 $E_{th} = 100 \text{ GeV}$
- **DeepCore:** 8 strings  
 $E_{th} = 10 \text{ GeV}$



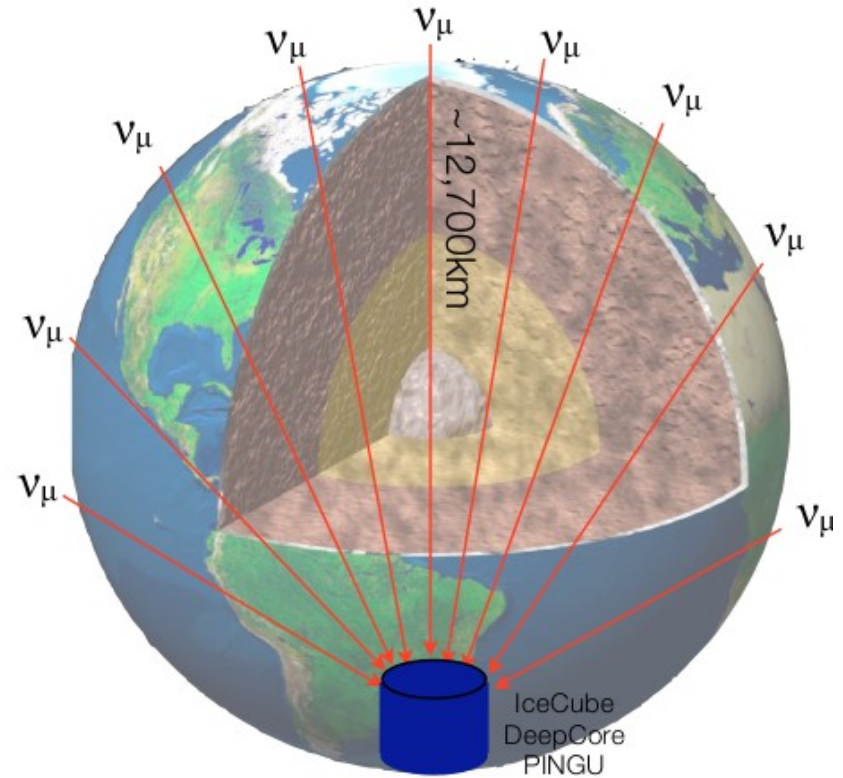
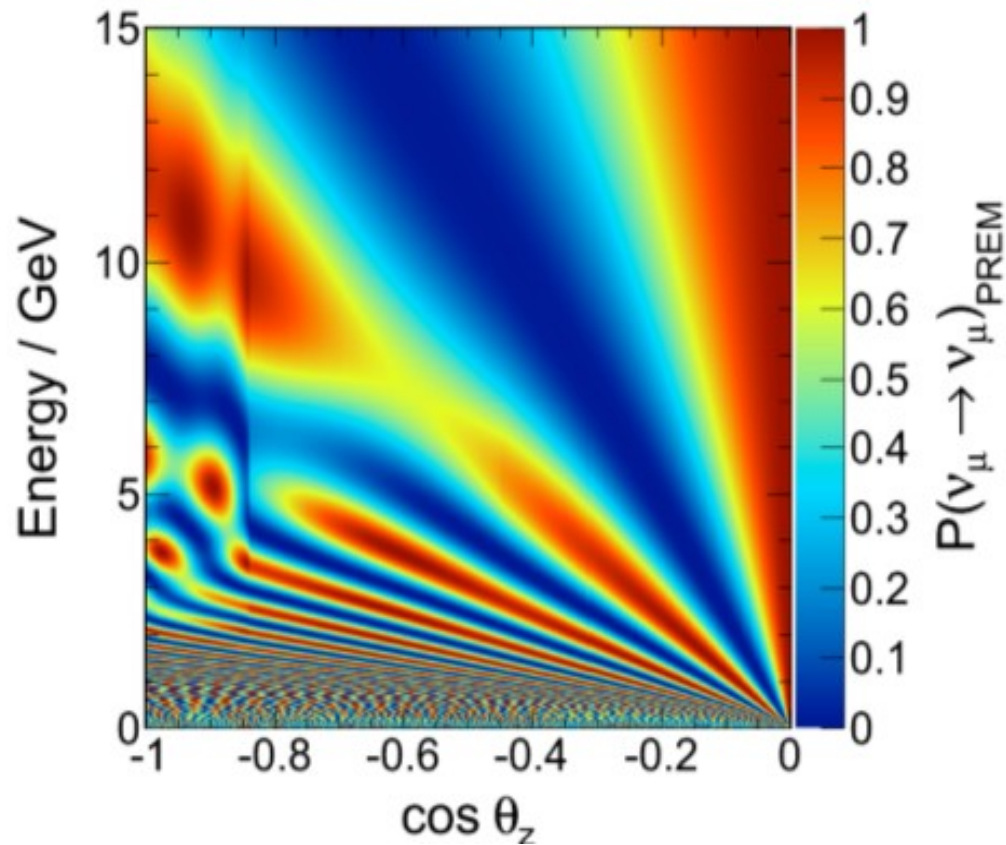
# IceCube – DeepCore - PINGU

## Precision IceCube Next Generation Upgrade



- **IceCube:** 78 strings  
 $E_{th} = 100 \text{ GeV}$
- **DeepCore:** 8 strings  
 $E_{th} = 10 \text{ GeV}$
- **PINGU:** +40 strings  
 $E_{th} = 1 \text{ GeV}$
- High statistic  
 $\sim 10^4$  atmospheric  $\nu$ 's /yr

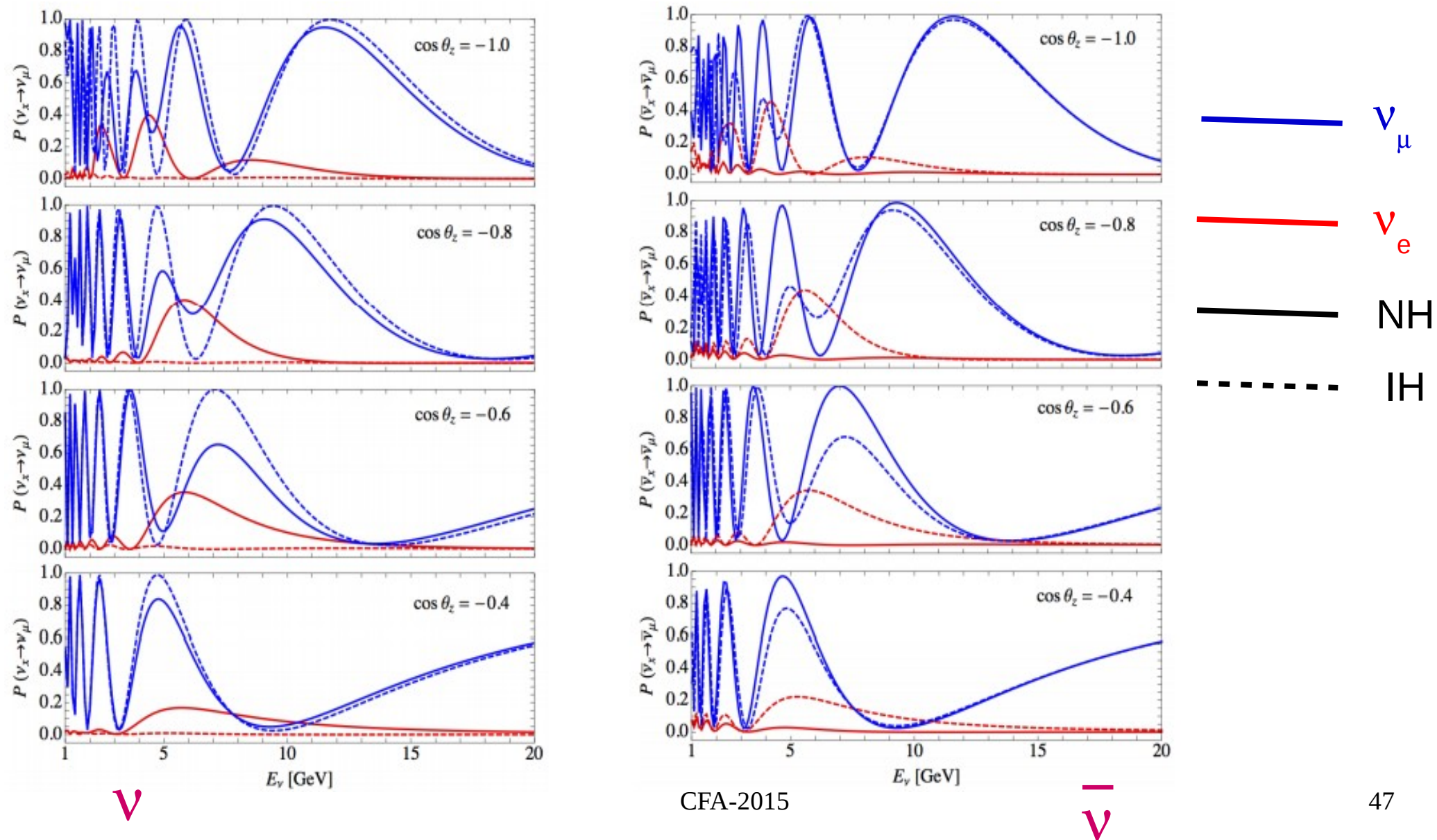
# Atmospheric $\nu$ -Oscillations



- With +40 strings a sensitivity to the hierarchy  $\sim 3.4\sigma$  /yr.
- If deployment will start in 2016/2017, the  $3\sigma$  result is expected in 2020.
- Survival probability for  $\bar{\nu}$  in NH is essentially the same as for  $\nu$  in IH.

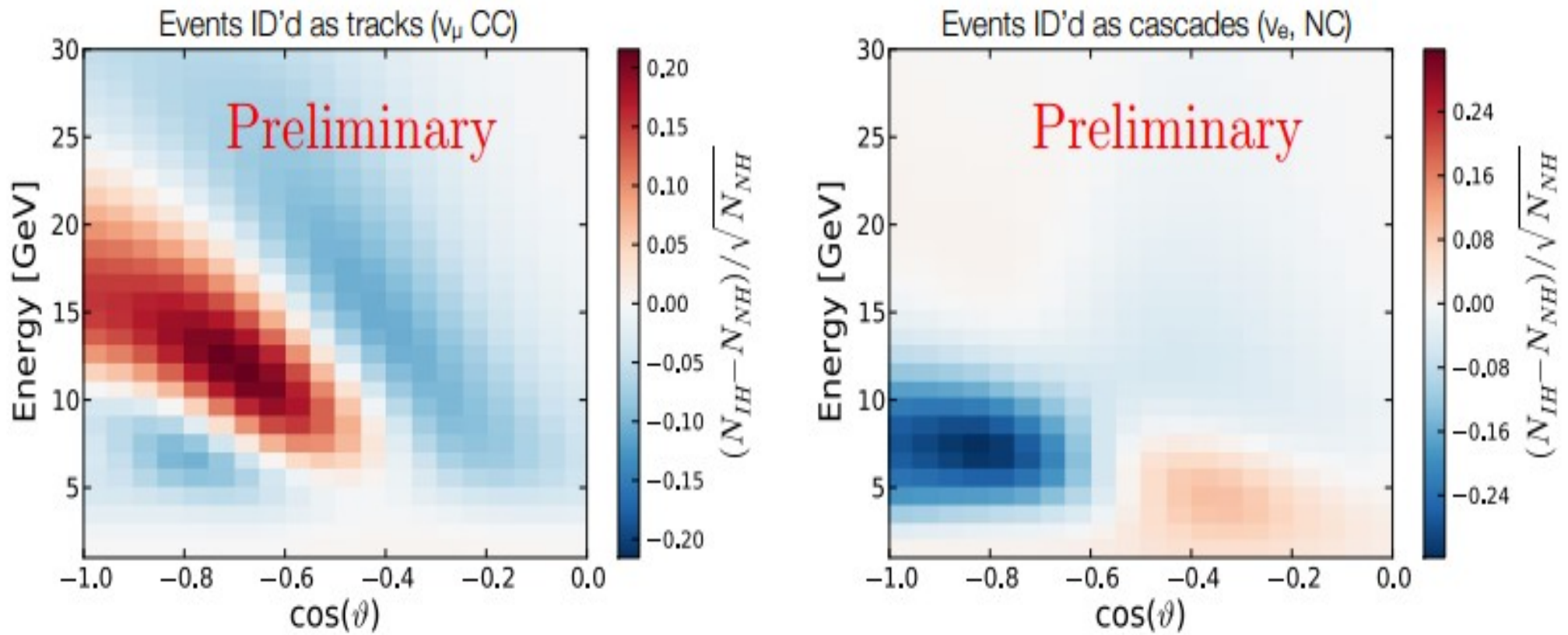
# Probabilities of Oscillations

Akhmedov, Razaque, Smirnov, JHEP 1302 (2013) 082, JHEP 1307 (2013) 026; arXiv:1205.7071





# Tracks and Cascades in PINGU



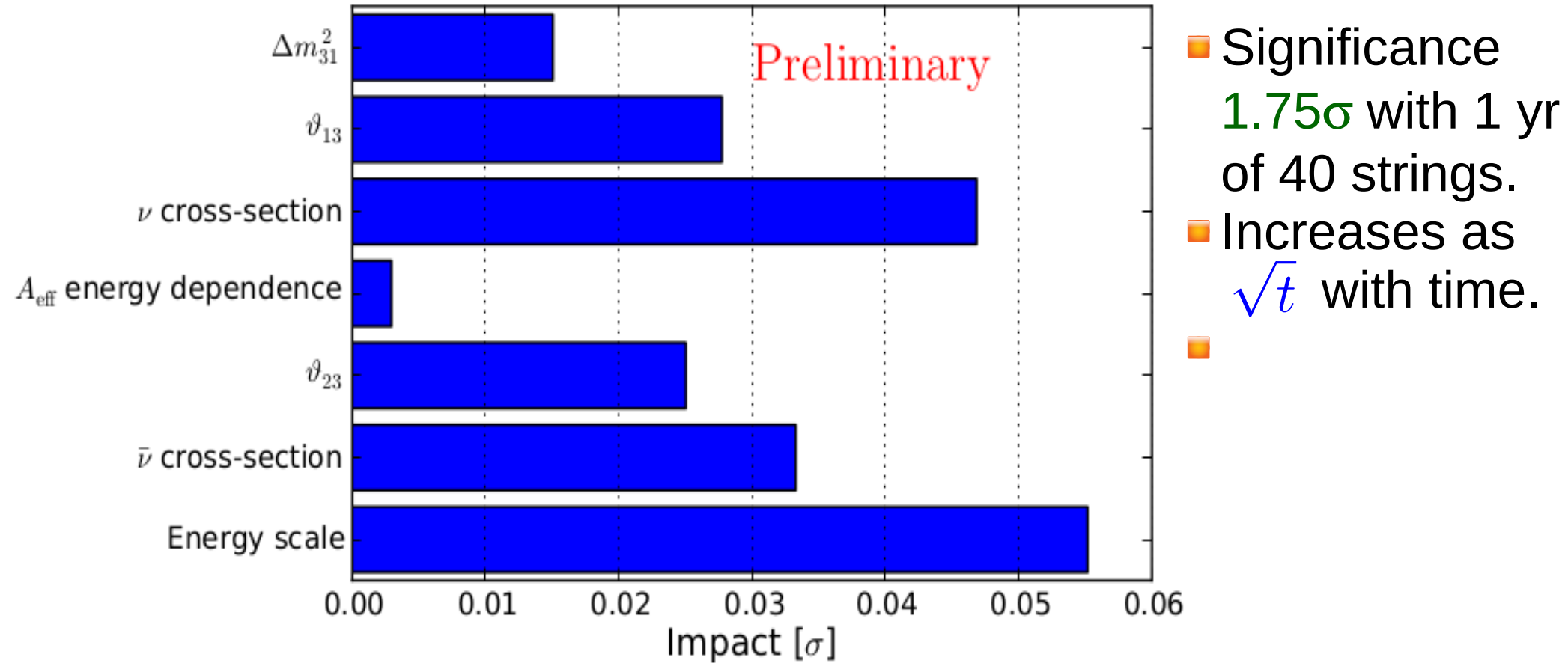
From PINGU's Letter of Intent, [arXiv:1401.2046](https://arxiv.org/abs/1401.2046)

The fluxes of atm  $\nu$  and  $\bar{\nu}$  are different. And at low energies the  $\nu N$ -cross-sections are  $\sim 3$  times higher than those for  $\bar{\nu} N$ .

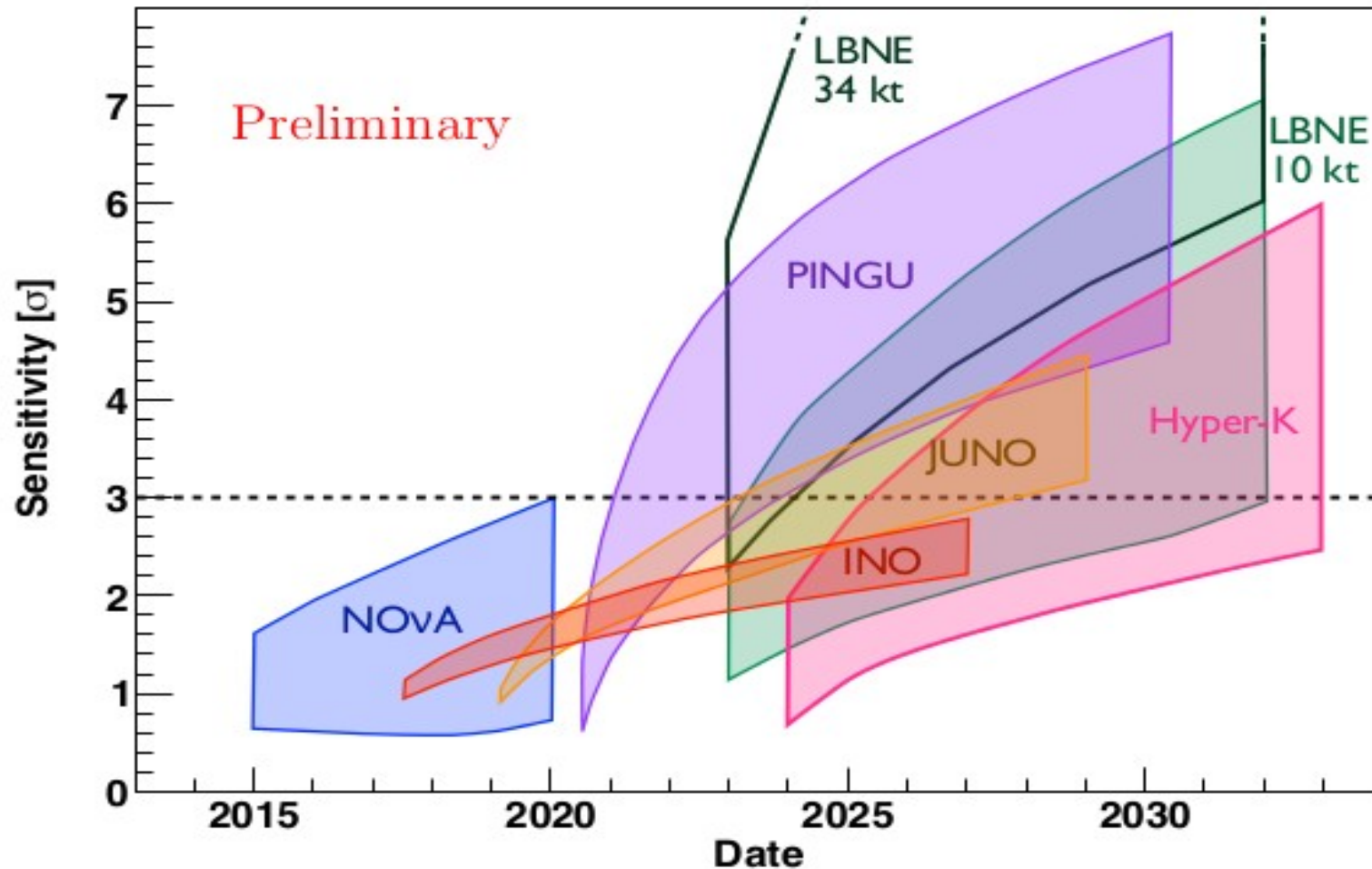


# Systematic Errors

Impacts on the estimated 1 year significance of the MH measurement.



# Comparison of Sensitivities



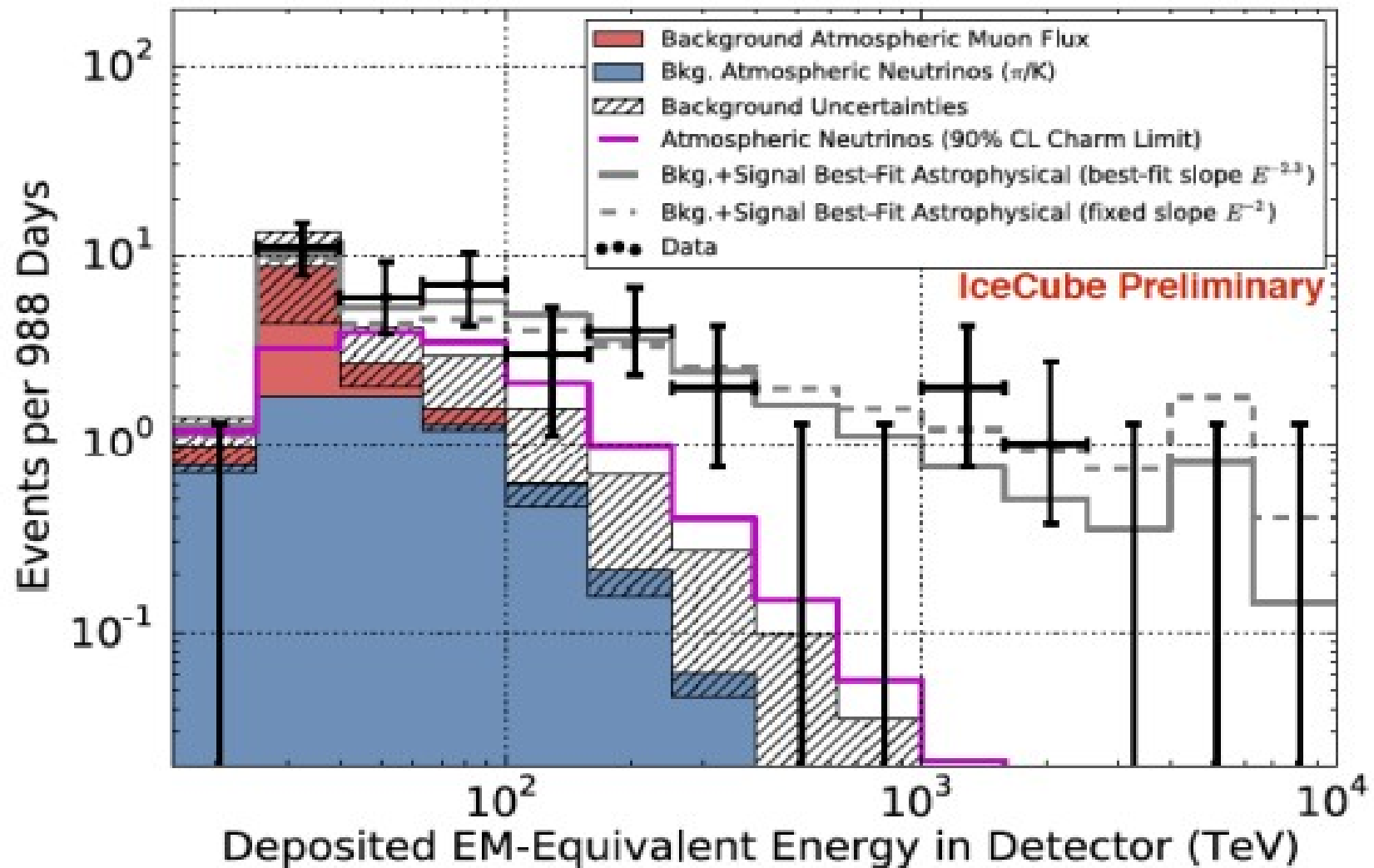
Assuming  $NH$  and rejecting  $IH$ . The widths of the is due to maximum sensitivity differences for  $NH$  and  $IH$  cases.

# $\nu$ N Cross-Sections at HE

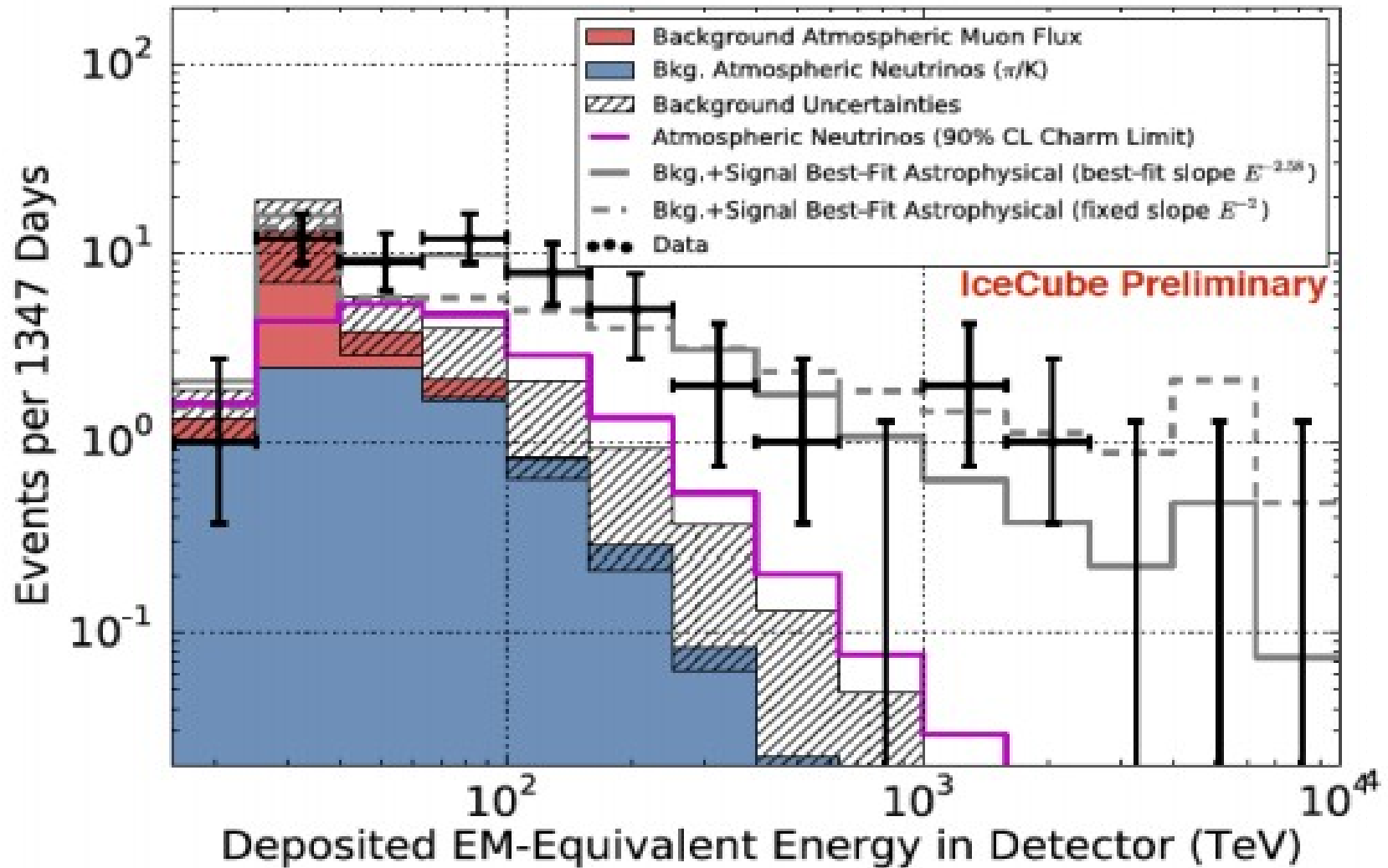
- An accurate account for cross-sections is important also for HE neutrino astrophysics.
- IceCube discovered a statistically significant excess of HE neutrinos over the expected atmospheric flux at  $E > 30$  TeV.
- The number of muon (tracks) events is unexpectedly small as compared to number of showers (electron and tau neutrinos and NC).
- At high energies an accurate account for the pQCD corrections is needed.
- At extremely high energies non-perturbative effects are to be accounted for.

# IceCube HE $\gamma$ Spectrum

# IceCube HE $\nu$ Spectrum

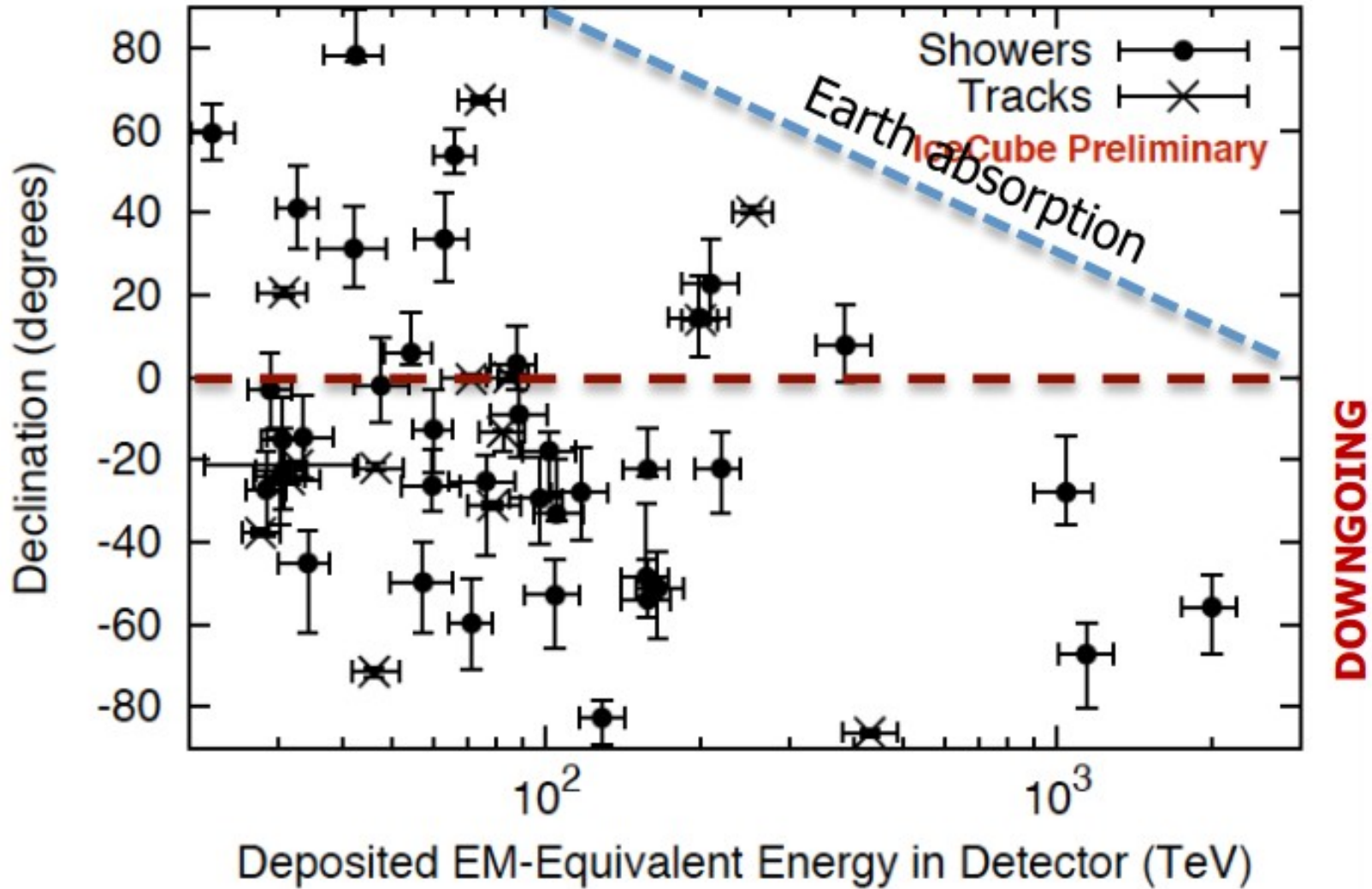


# IceCube HE $\nu$ Spectrum



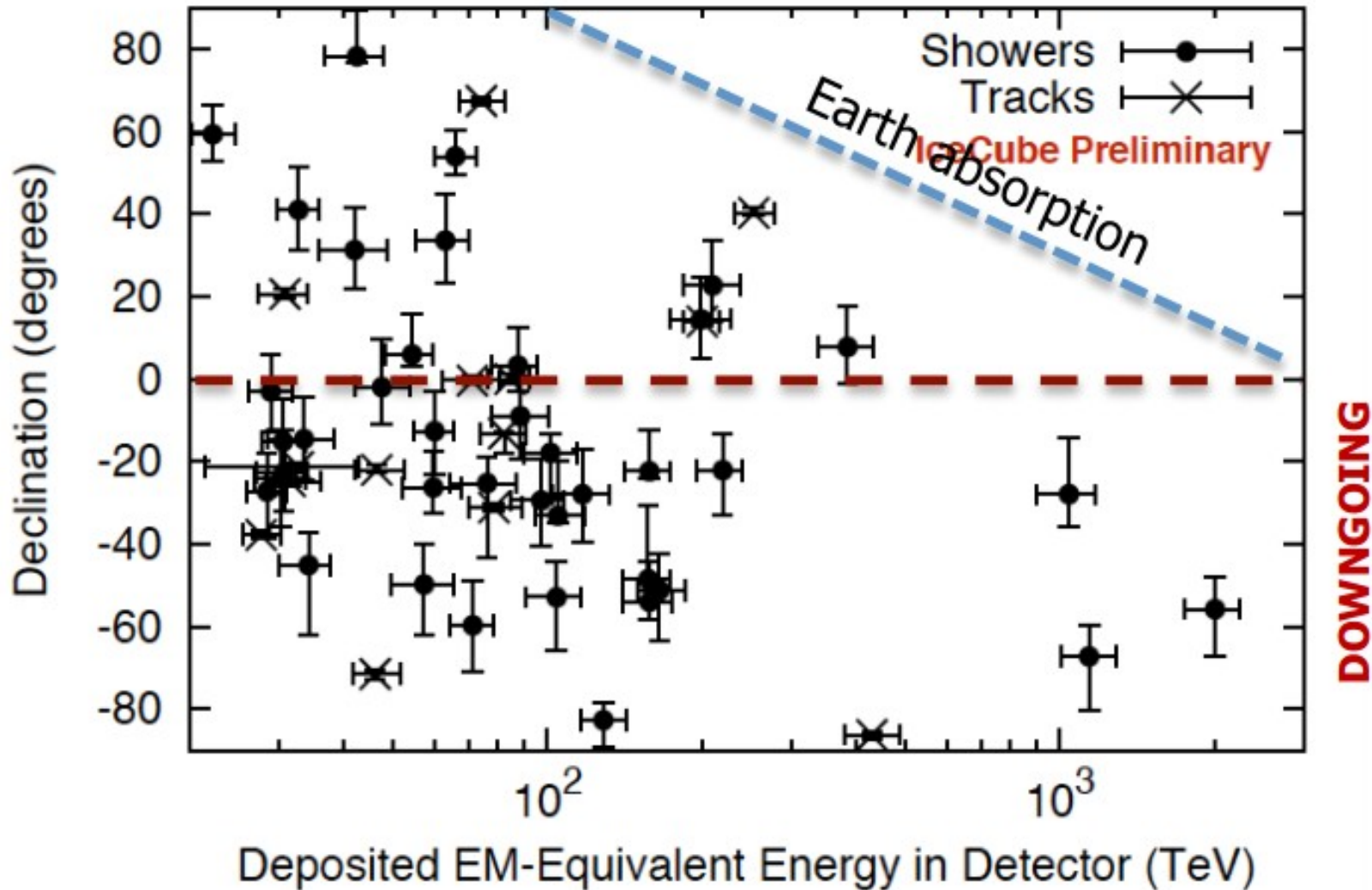
# IceCube HE $\nu$ -Events

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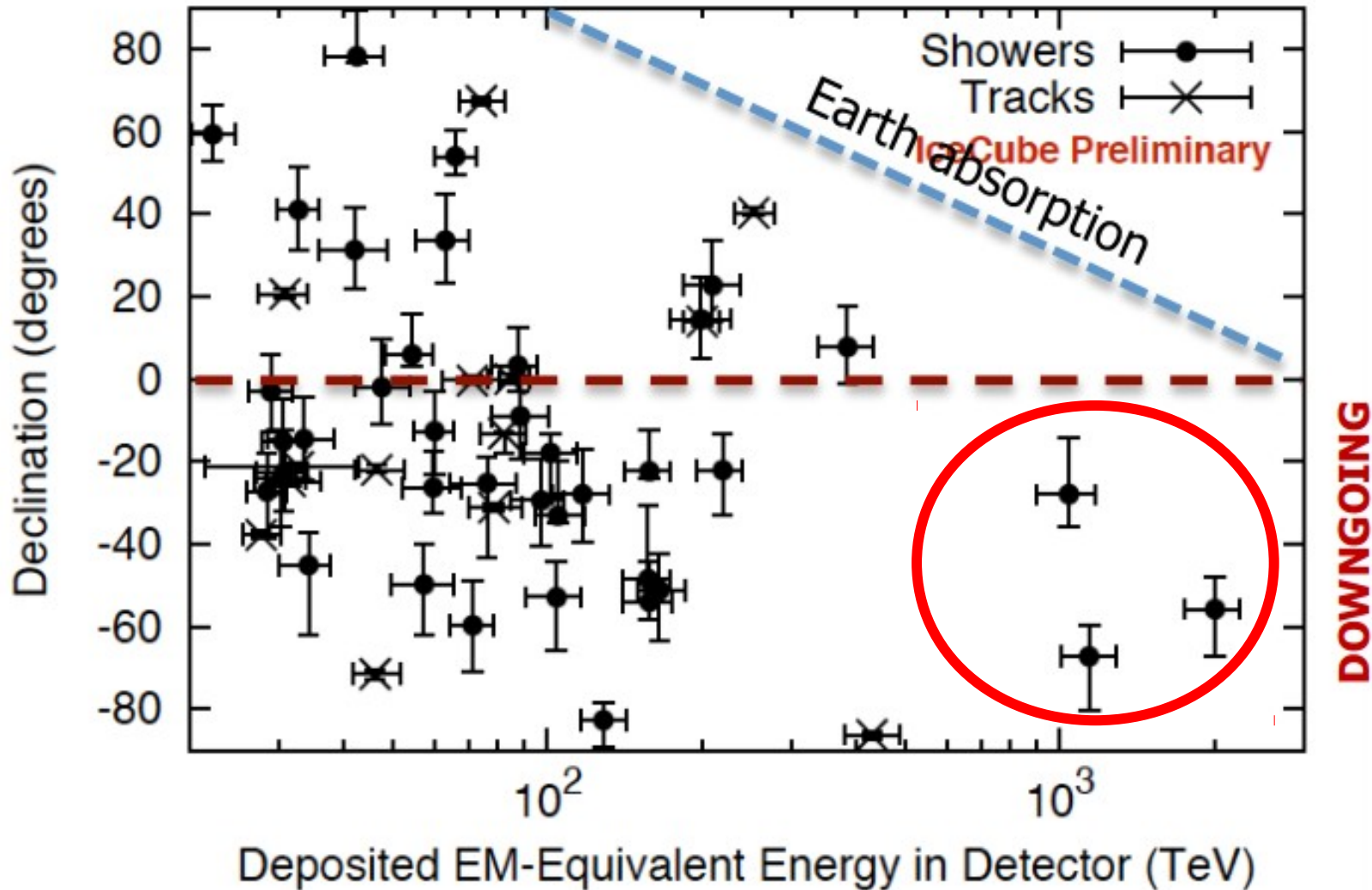


# IceCube HE $\nu$ -Events



54 HE  $\nu$ -events in 4 years.  $\sim 7\sigma$ . Mostly showers. Isotropic. Sources are unknown. Many models are excluded.

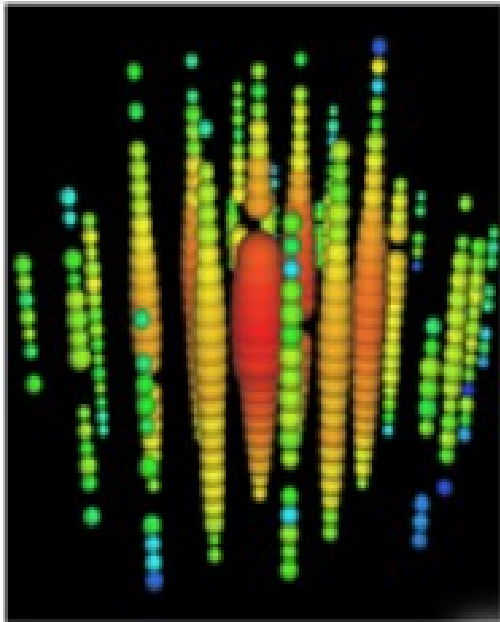
# IceCube HE $\nu$ -Events



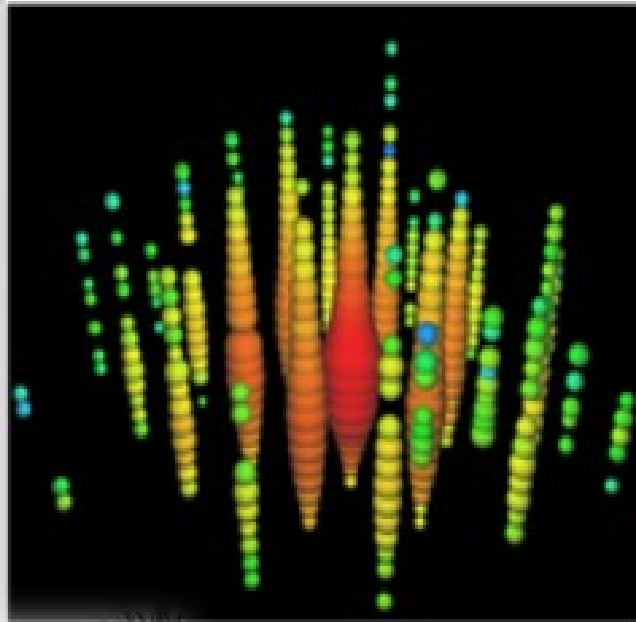
54 HE  $\nu$ -events in 4 years.  $\sim 7\sigma$ . Mostly showers. Isotropic. Sources are unknown. Many models are excluded.

# Most Energetic Neutrinos

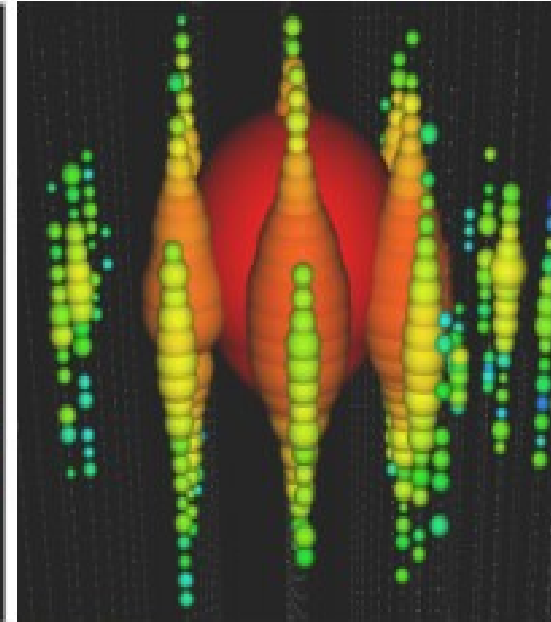
## Cascade events



"Bert"  
1.04 PeV  
Aug. 2011



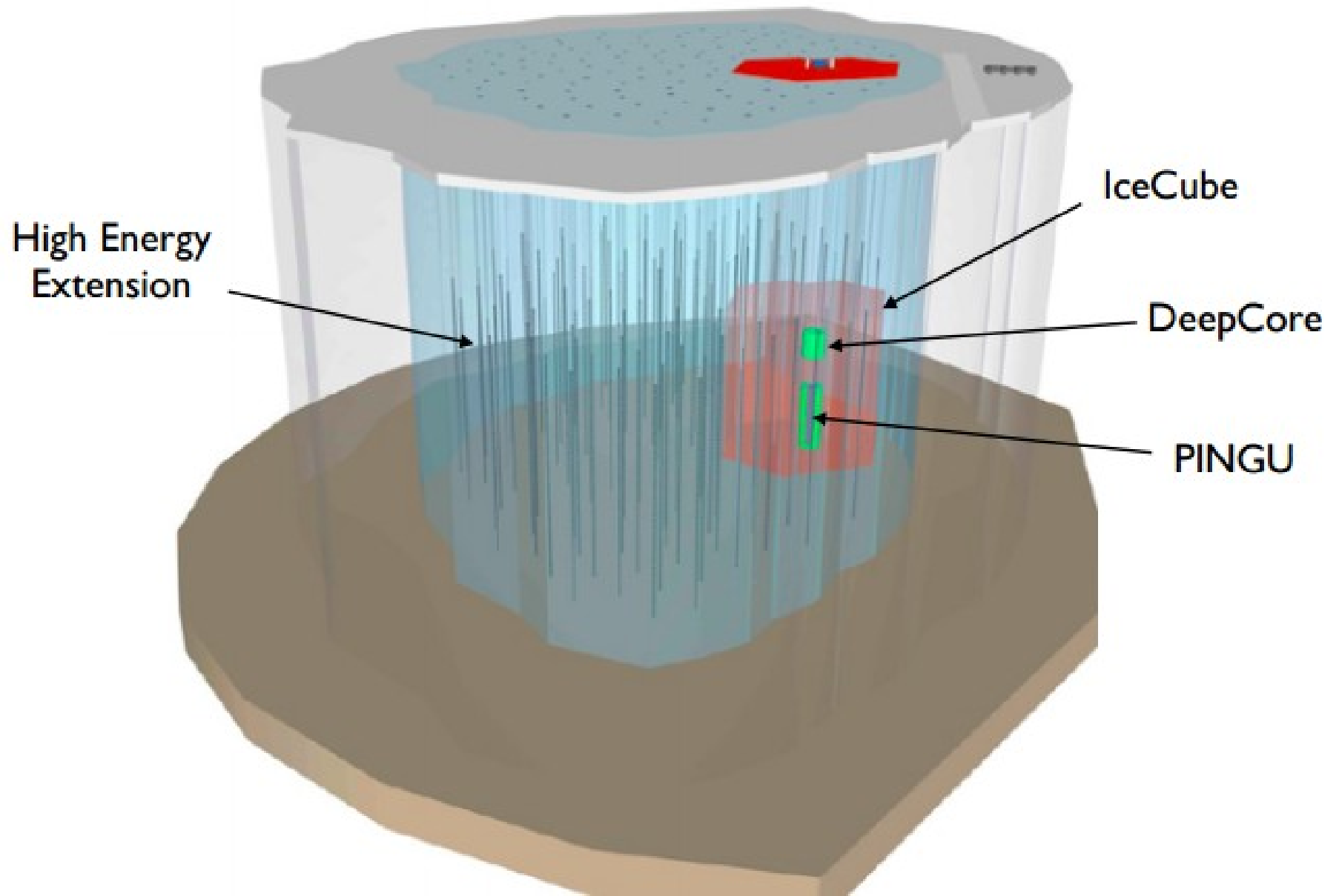
"Ernie"  
1.14 PeV  
Jan. 2012



"Big Bird"  
2 PeV  
Dec. 2012



# NGIC



# Next Lecture

- In spite of the long history of  $\nu$  physics, the cross-sections of  $\nu N$ -interactions with matter remain the field of intensive study at all ranges of energies.
- In the next lecture I'll try to demonstrate the importance of these studies and to present the main theoretical ideas used for calculations of different cross-sections.
- I'll discuss theoretical models and the parameters involved, and the uncertainties due to these unknown parameters.
- The role of elastic, quasi-elastic, single pion production and deep-inelastic scatterings will be discussed separately.
- I plan to show the dependence of the cross-sections on the axial mass  $M_A$  in the single pion production case and on  $W_{\text{cut}}$  parameter, which separates the single-pion and the deep-inelastic contributions.

# Next Lecture, cont.

- I'll discuss the DIS and the role of NNLO pQCD and target mass corrections. The role of final lepton mass effects, especially important in the  $\tau$ -neutrino scattering case, and the kinematic limits involved in calculations.
- Small  $x$  and high  $Q^2$  effects will be considered. The problems with extrapolations of the structure functions to small  $Q^2$ , where the pQCD does not work but which is especially important for the future megaton-scale detector experiments like PINGU, ORCA and Hyper-Kamiokande, will be discussed.
- The cross-sections derived using the set of models and parameters obtained in collaboration with my colleagues [M. Kowalski](#) (DESY-Zeuthen), [K. S. Kuzmin](#) (JINR and ITHEP, Moscow ), [V. Naumov](#) (JINR, Russia) and [Ch. Spiering](#) (DESY-Zeuthen) will be compared with the predictions of several MC generators.

**Thank you!**

**and see you...**