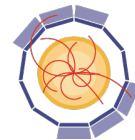


# Particle Flow Calorimetry

Katja Krüger, Ambra Provenza, Felix Sefkow, Huong Lan Tran



LINEAR COLLIDER COLLABORATION  
Designing the world's next great particle accelerator

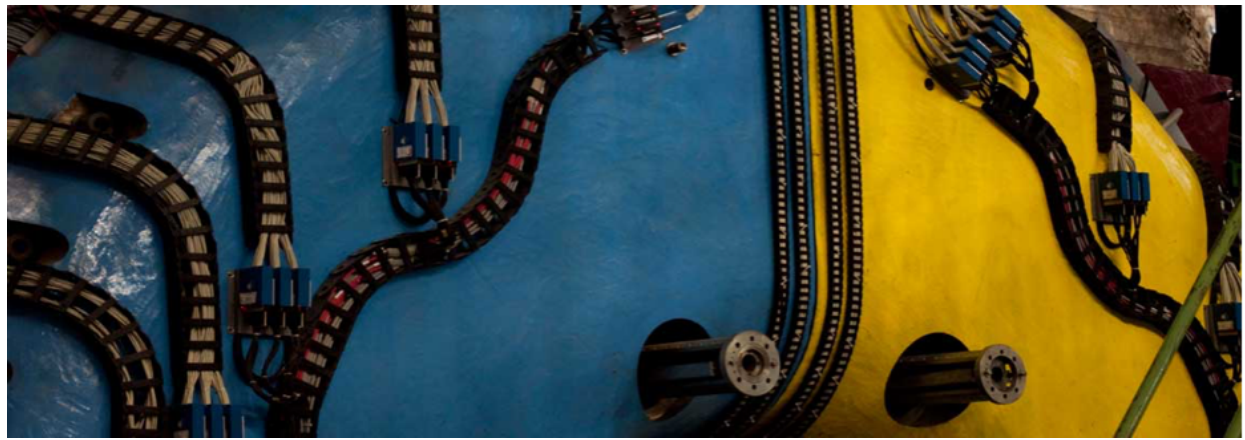


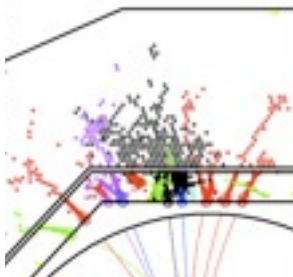
AIDA 2020

EDIT 2015

INTERNATIONAL SCHOOL

FRASCATI - OCT.20-29



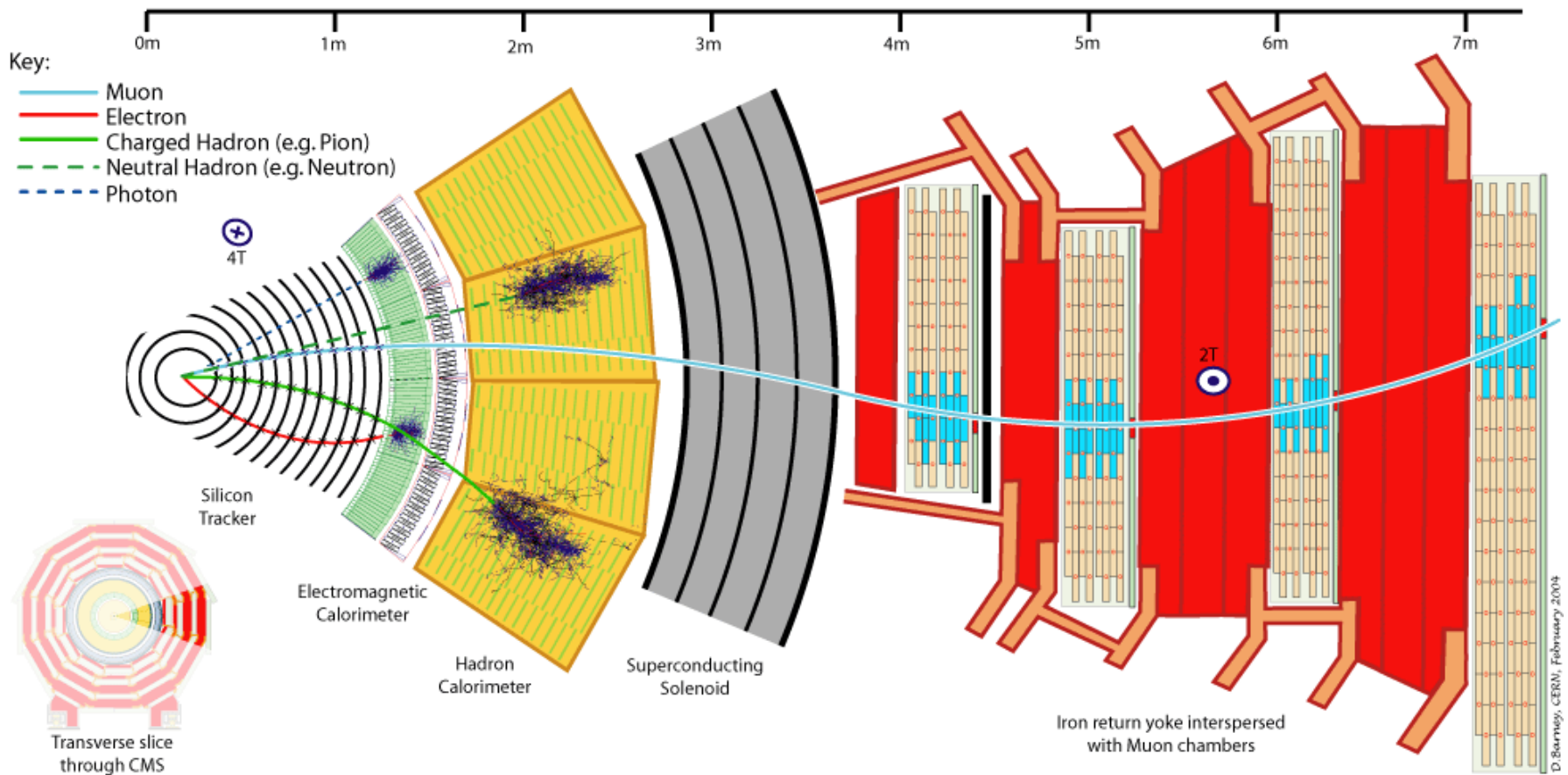
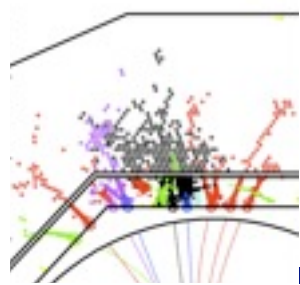


# Outline

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- Recall some calorimeter basics
- Particle flow calorimetry
- The exercise

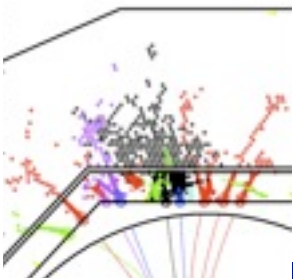
# A generic collider detector



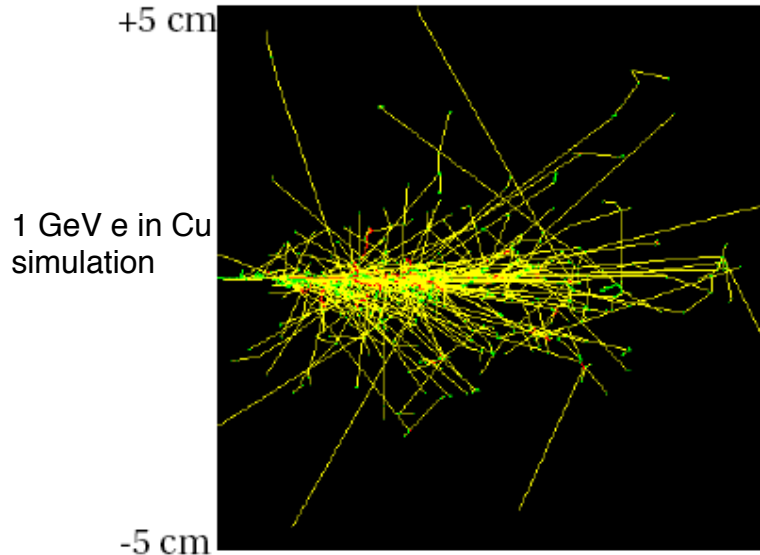
- Only charged particles produce signals

Recall some basics



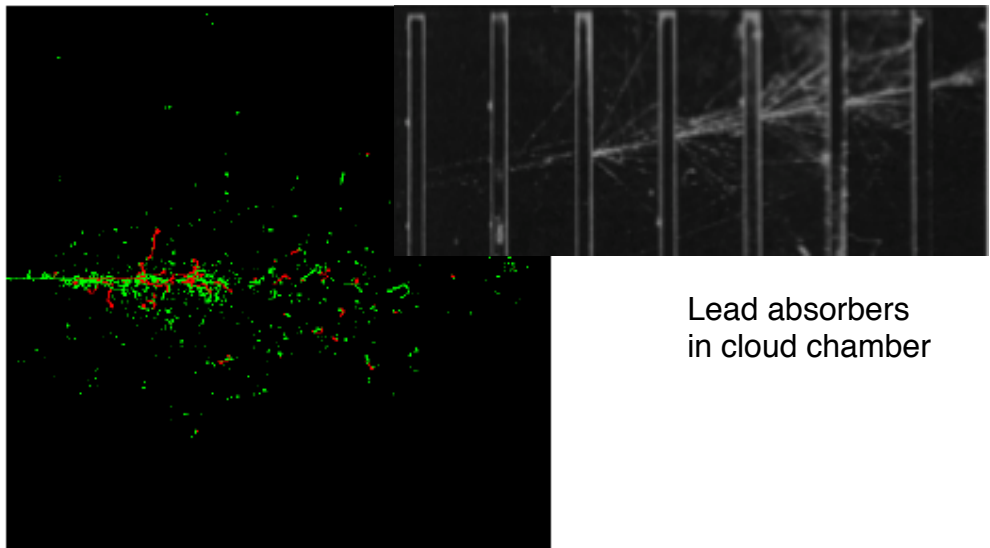


# Electromagnetic showers



photons  
electrons  
positrons

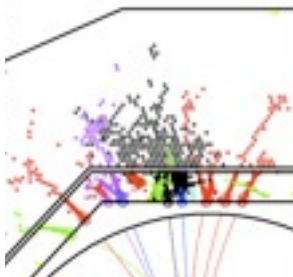
10 cm



Lead absorbers  
in cloud chamber

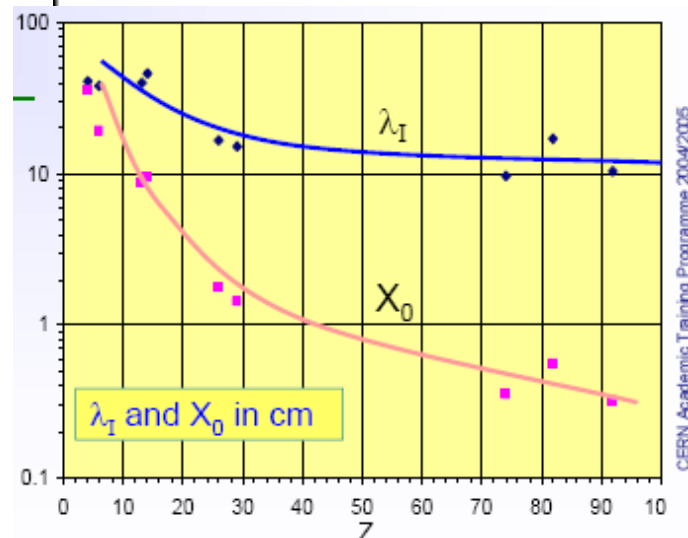
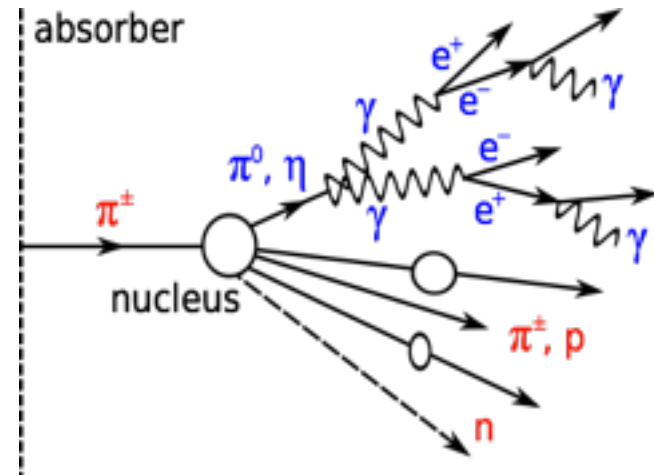
electrons  
positrons

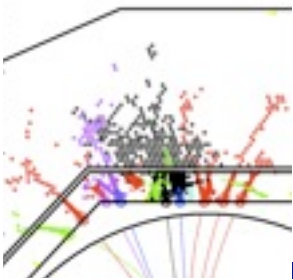
- Bremsstrahlung and pair creation until ionisation takes over
  - at  $E_{crit} \sim 1/Z$ ,  $N \sim E/E_{crit}$  particles: 1000s of e, millions of  $\gamma$
- Radiation length  $X_0$  ( $\sim$  cm)
- Exponential growth: shower size and shape vary with  $\log E$



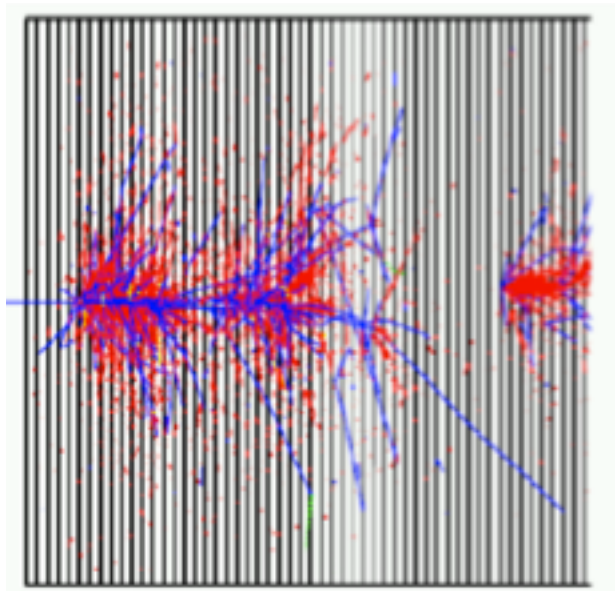
# Hadron showers

- Hadrons undergo strong interactions with detector material; nuclear collisions
- Secondary particles are produced
  - Partially undergo tertiary nuclear interactions → formation of a hadronic cascade
  - Electromagnetically decaying particles initiate em showers, in general different response
  - Part of the energy is absorbed as nuclear binding energy or target recoil and remains invisible
  - Similar to em showers, but much more complex
- Numerical examples for copper
  - 10 GeV:  $f = 0.38$ ; 9 charged h, 3  $\pi^0$
  - 100 GeV:  $f = 0.59$ ; 58 charged h, 19  $\pi^0$
- Small numbers, large fluctuations
  - E.g. charge exchange  $\pi^- p \rightarrow \pi^0 n$  (prb 1%) gives  $f_{em} = 100\%$
- Different scale: hadronic interaction length  $\lambda$ 
  - global shape  $\lambda$ , substructure  $X_0$

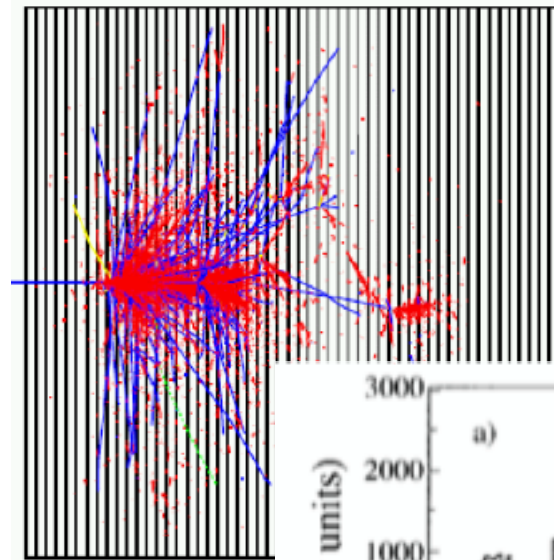




# Fluctuations: sampling, leakage



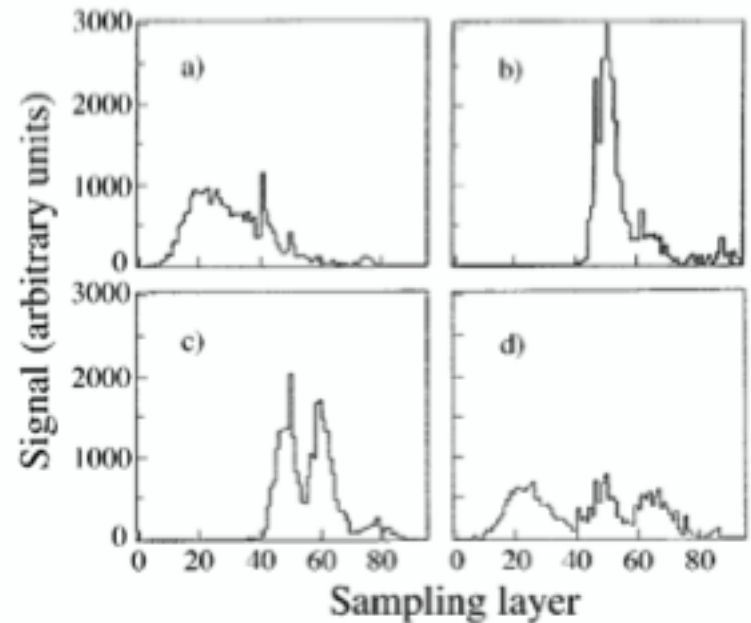
blue = hadronic component



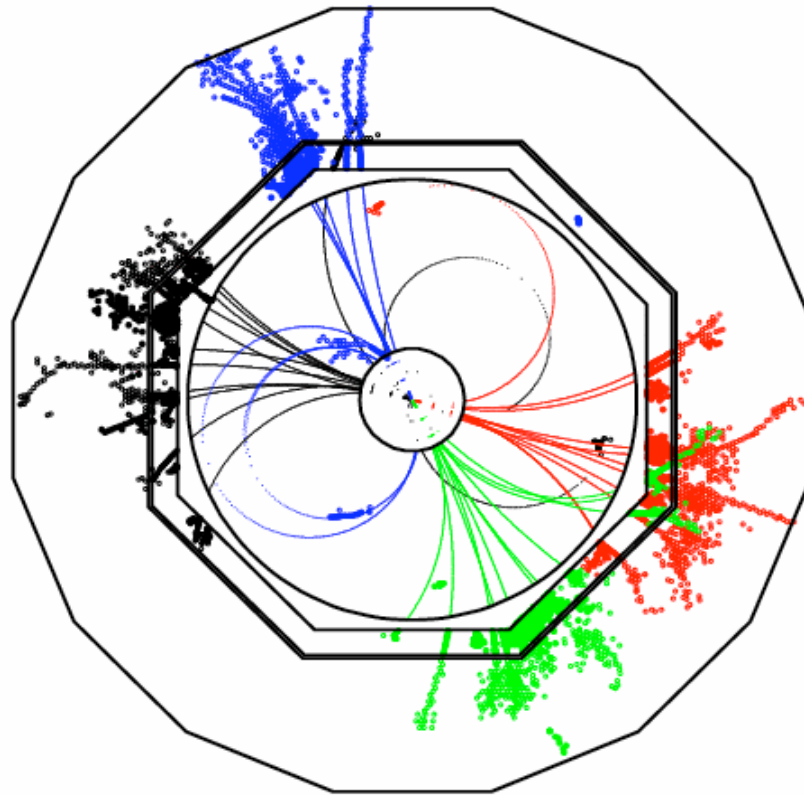
red = electromag

Leakage: in principle no problem  
 But: leakage fluctuations are!  
 (rule of thumb:  $\sigma_{\text{leak}} \sim 4 f_{\text{leak}}$ )

sampling fluctuations



# Particle flow concept



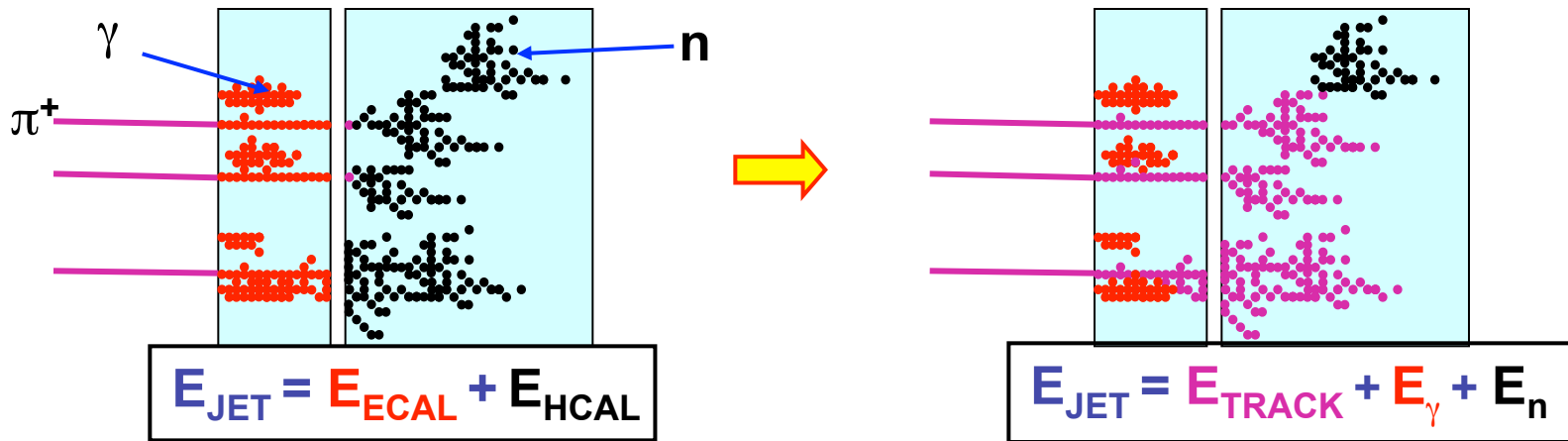
★ In a typical jet :

- ◆ 60 % of jet energy in charged hadrons
- ◆ 30 % in photons (mainly from  $\pi^0 \rightarrow \gamma\gamma$ )
- ◆ 10 % in neutral hadrons (mainly  $n$  and  $K_L$ )



★ Traditional calorimetric approach:

- ◆ Measure all components of jet energy in ECAL/HCAL !
- ◆ ~70 % of energy measured in HCAL:  $\sigma_E/E \approx 60\% / \sqrt{E(\text{GeV})}$
- ◆ Intrinsically “poor” HCAL resolution limits jet energy resolution



★ Particle Flow Calorimetry paradigm:

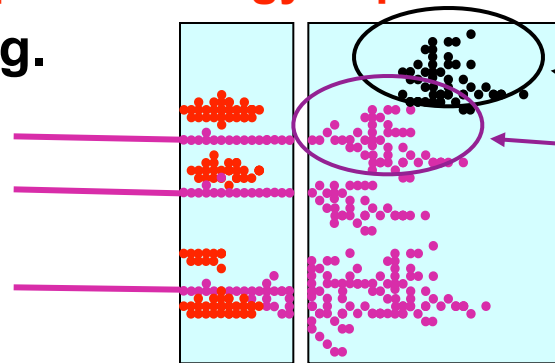
- ◆ charged particles measured in tracker (essentially perfectly)
- ◆ Photons in ECAL:  $\sigma_E/E < 20\% / \sqrt{E(\text{GeV})}$
- ◆ Neutral hadrons (ONLY) in HCAL
- ◆ Only 10 % of jet energy from HCAL ➔ much improved resolution

# Particle Flow Reconstruction

## Reconstruction of a Particle Flow Calorimeter:

- ★ **Avoid double counting of energy** from same particle
- ★ **Separate energy deposits** from different particles

e.g.

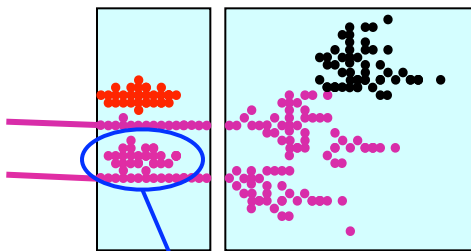


If these hits are clustered together with these, lose energy deposit from this neutral hadron (now part of track particle) and ruin energy measurement for this jet.

**Level of mistakes, “confusion”, determines jet energy resolution**  
**not the intrinsic calorimetric performance of ECAL/HCAL**

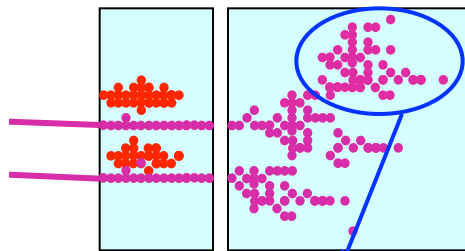
## Three types of confusion:

### i) Photons



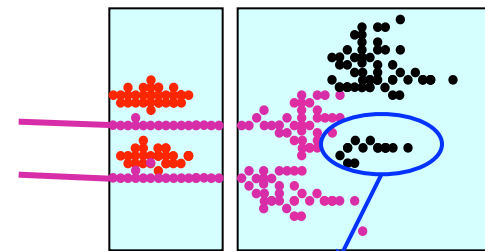
Failure to resolve photon

### ii) Neutral Hadrons

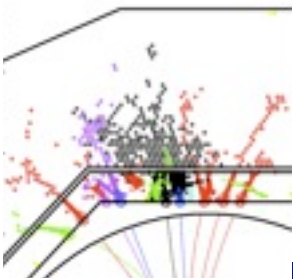


Failure to resolve neutral hadron

### iii) Fragments

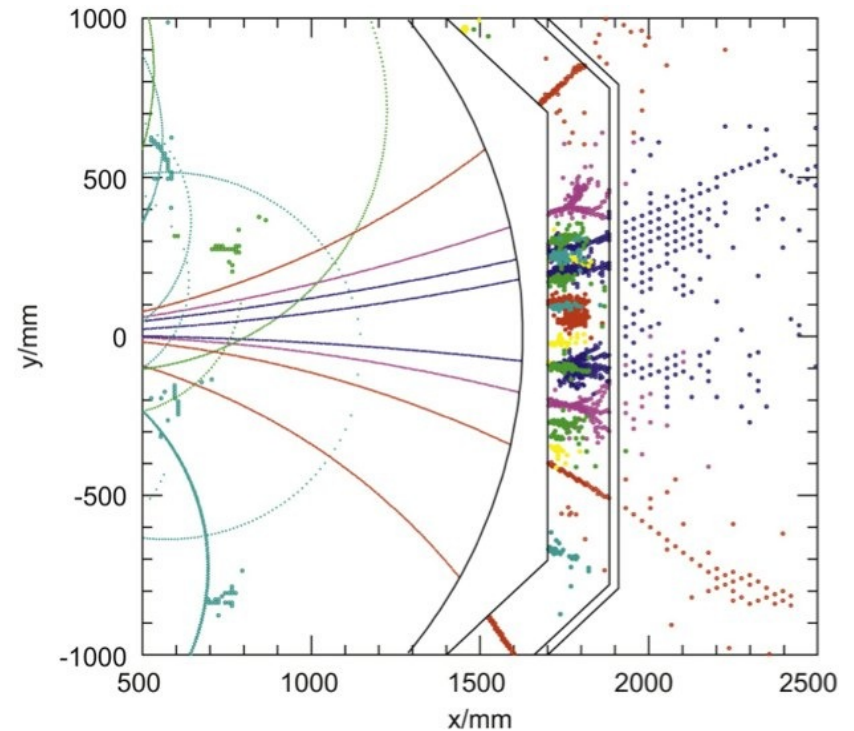


Reconstruct fragment as separate neutral hadron

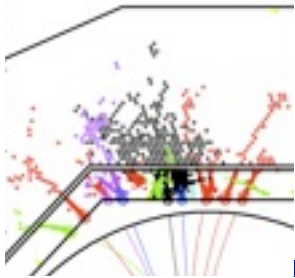


# Particle flow detectors

- Large radius, high magnetic field, calorimeters inside coil
- Dense and compact design
- Very high granularity
  - order of Moliere radius
  - ECAL: 0.5 - 1 cm,  $10^8$  cells
  - HCAL: 1 - 3 cm,  $10^7$  -  $10^8$  cells
- Cost is rather driven by instrumented area then by cell size

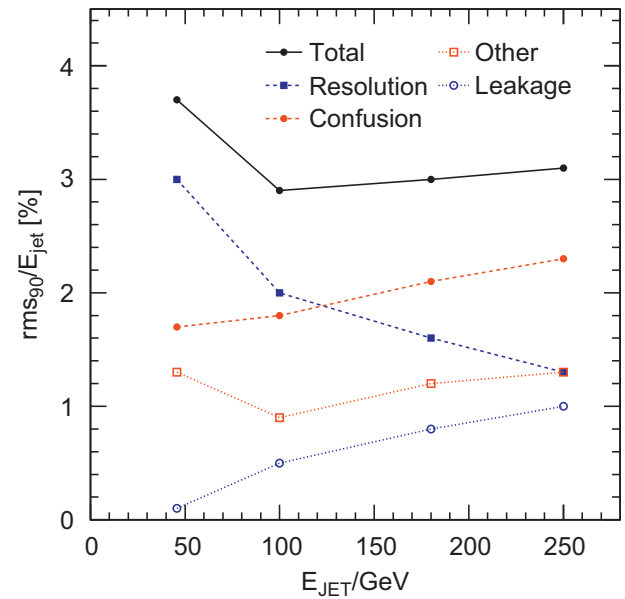
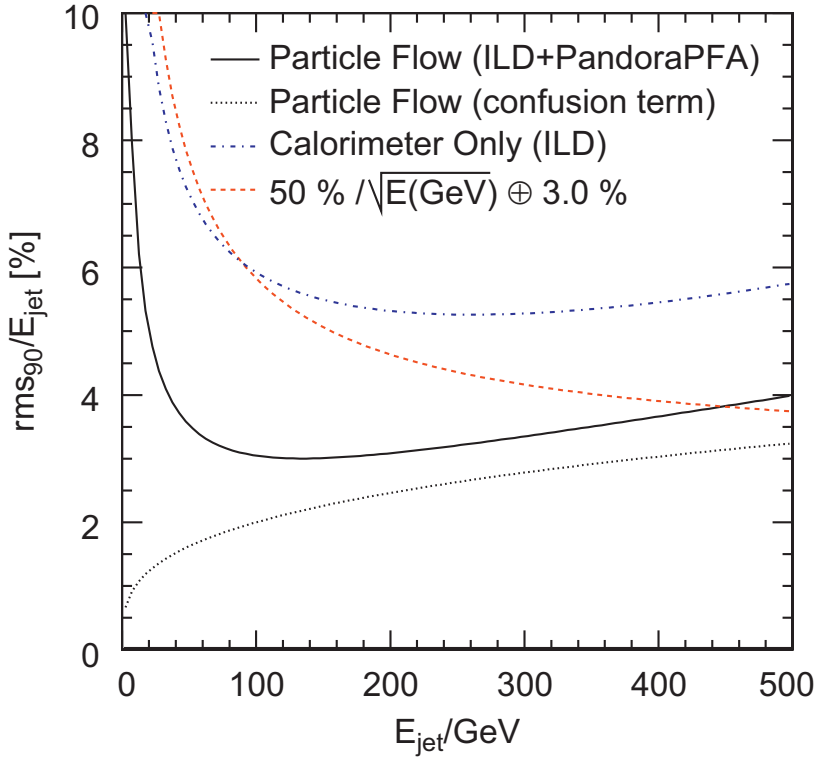






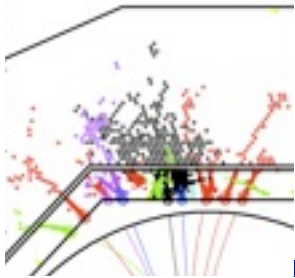
# Understand particle flow performance

$$\frac{\sigma_E}{E} = \frac{21}{\sqrt{E}} \oplus 0.7 \oplus 0.004E \oplus 2.1 \left( \frac{E}{100} \right)^{+0.3} \%$$



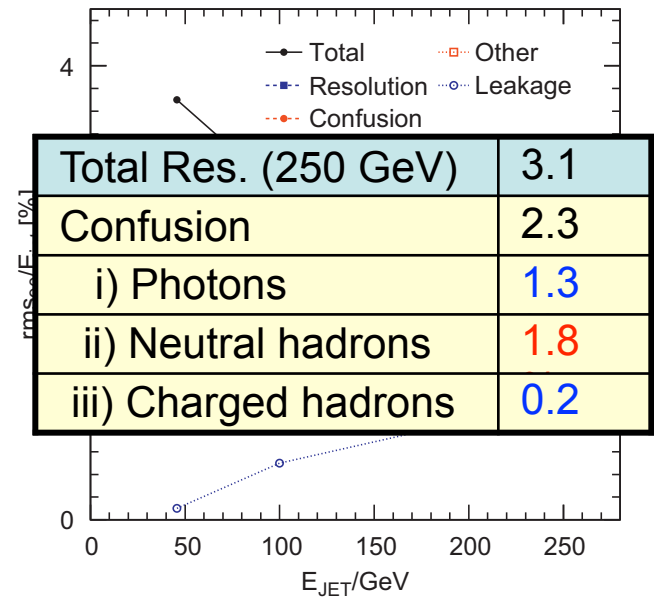
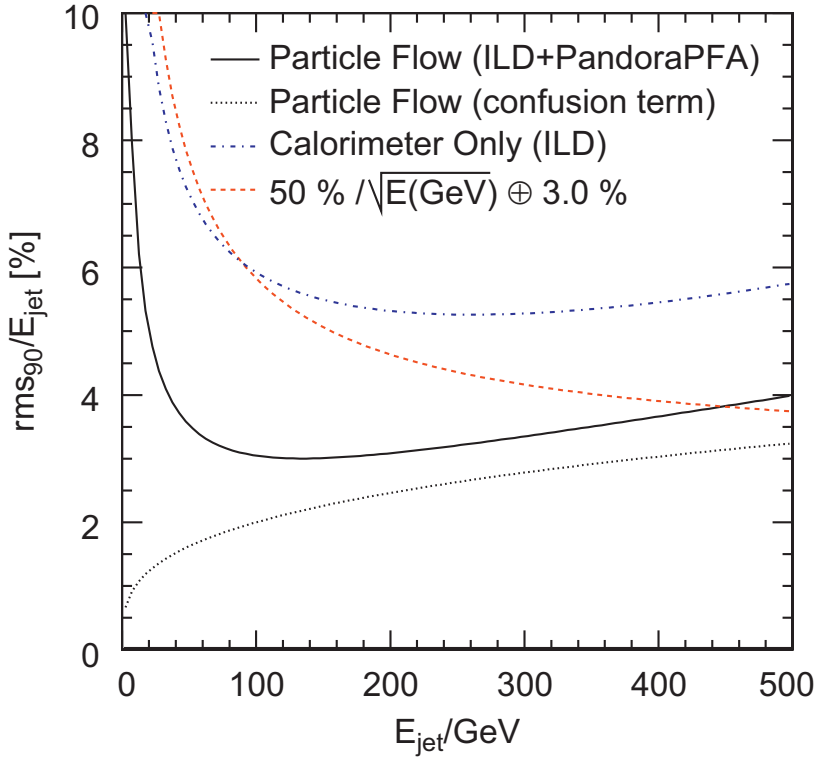
- Particle flow is always a gain
  - even at high jet energies
- Calorimeter resolution does matter
  - dominates up to ~ 100 GeV
  - contributes to resolve confusion
- Leakage plays a role, too
  - but less than in classic case





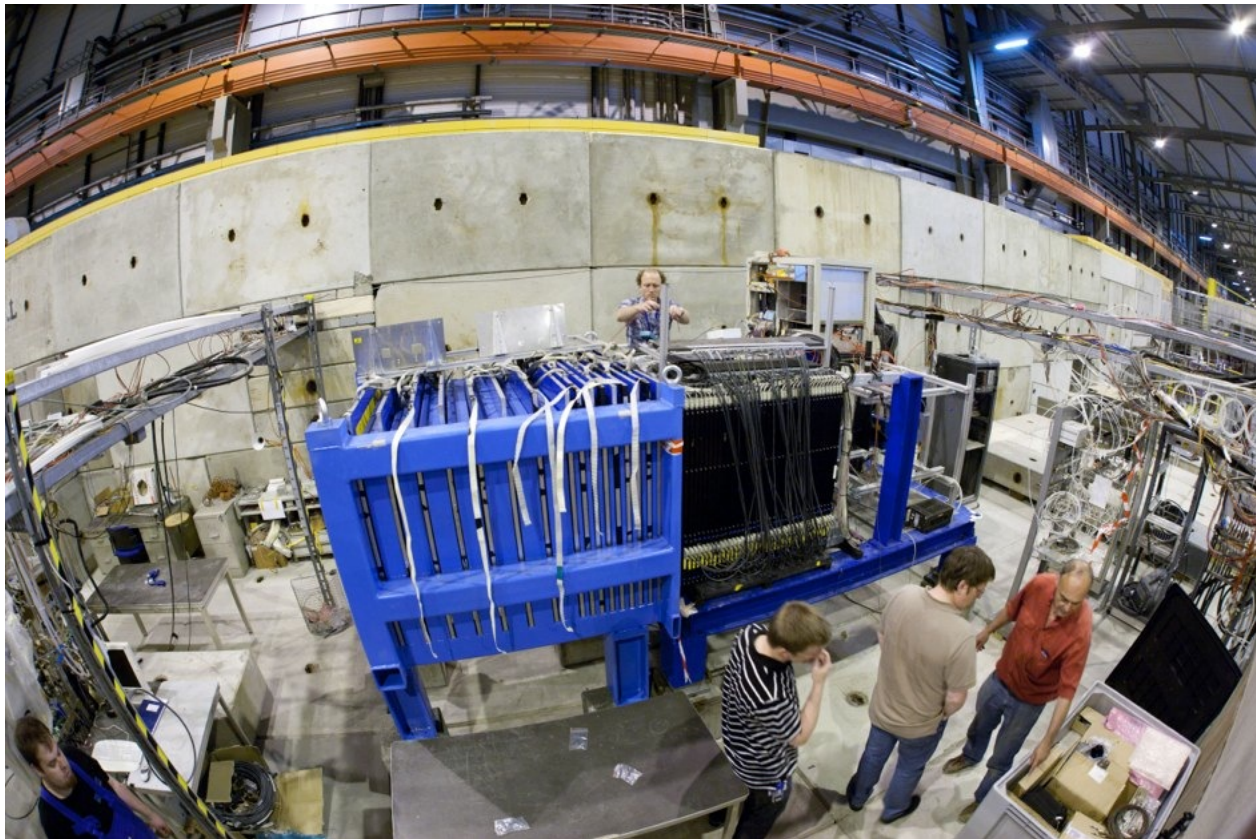
# Understand particle flow performance

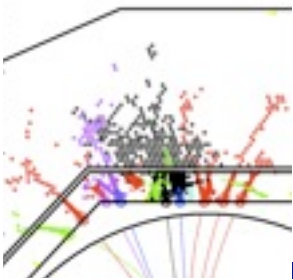
$$\frac{\sigma_E}{E} = \frac{21}{\sqrt{E}} \oplus 0.7 \oplus 0.004E \oplus 2.1 \left( \frac{E}{100} \right)^{+0.3} \%$$



- Particle flow is always a gain
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  - but less than in classic case

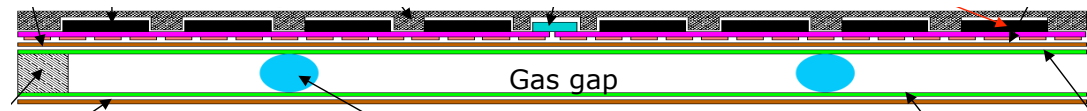
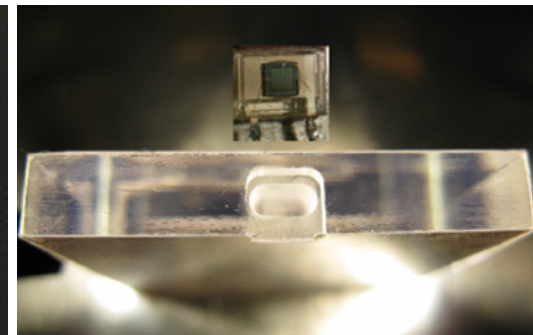
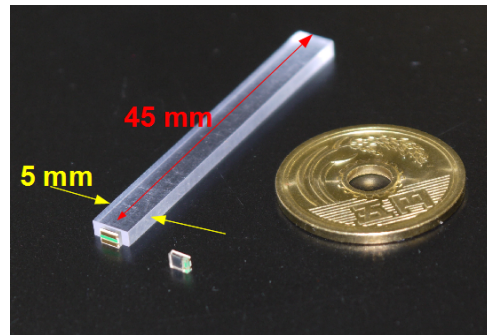
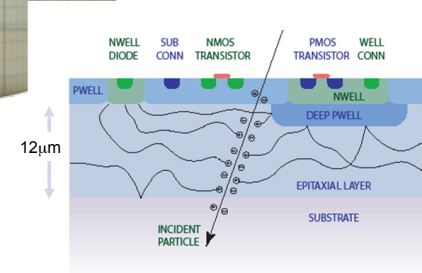
# Technologies and test beam performance



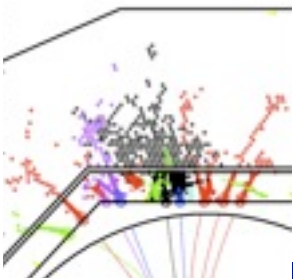


# Particle flow technologies

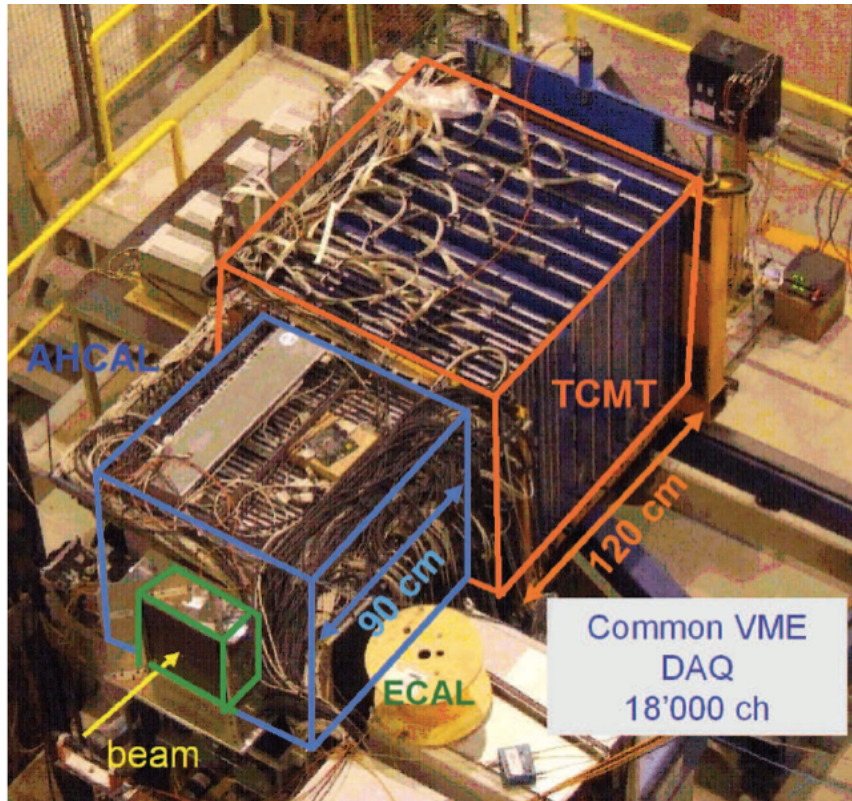
- Silicon (ECAL)
  - most compact solution, stable calibration
  - 0.5 - 1 cm<sup>2</sup> cell size
  - MAPS pixels also studied
- Scintillator SiPM (ECAL, HCAL)
  - robust and reliable, SiPMs..
  - ECAL strips: 0.5 - 1 cm eff.
  - HCAL tiles: 3x3 cm<sup>2</sup>
- Gaseous technologies
  - fine segmentation: 1 cm<sup>2</sup>
  - Glass RPCs: well known, safe
  - MPGDs: proportional, rate-capable
    - GEMs, Micromegas



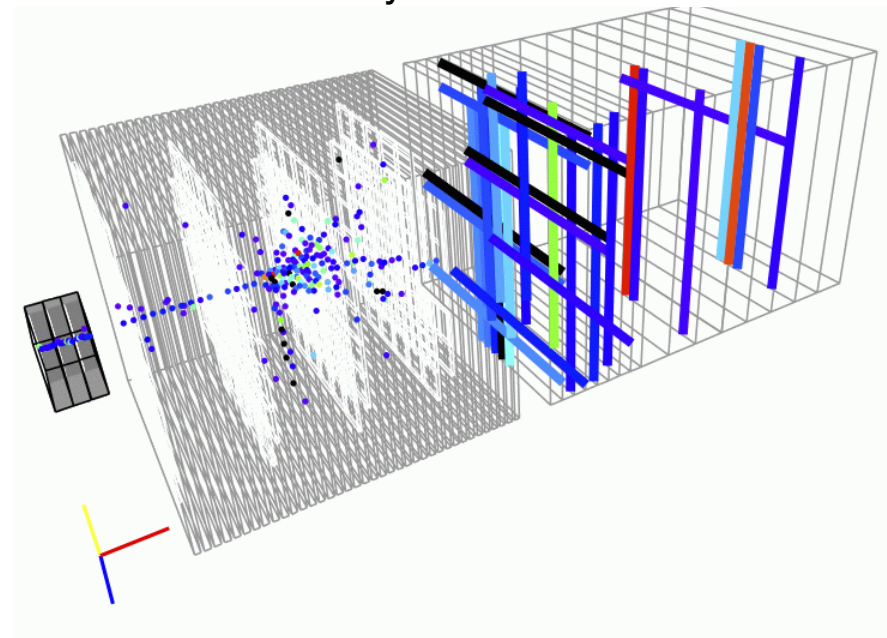
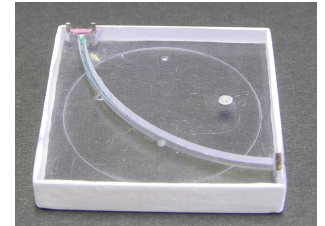
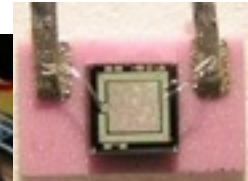


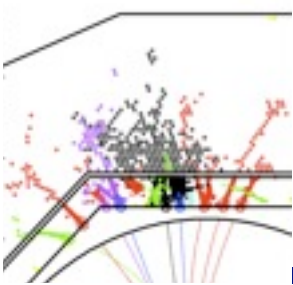


# Test beam set-up



- at CERN SPS





# Steel and Tungsten



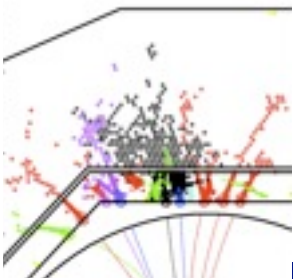
$\lambda = 17 \text{ cm}$   
 $X_0 = 1.9 \text{ cm}$   
 $d = 2 \text{ cm}$   
 $\sim 0.1 \lambda, 1 X_0$



$\lambda = 10 \text{ cm}$   
 $X_0 = 0.4 \text{ cm}$   
 $d = 1 \text{ cm}$   
 $\sim 0.1 \lambda, 2.5 X_0$

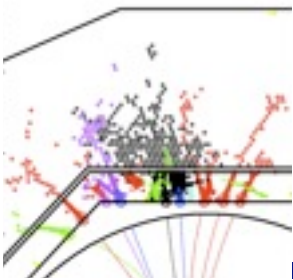
- Same sampling for hadronic showers
- Different sampling for electromagnetic (sub) showers

# EDIT Exercise



# Test beam events

- Goal: a hands-on experience with showers, their topologies and the fluctuations of energy and shape
- Vary
  - particle type: electrons and pions
  - energy
  - absorber: steel and tungsten
- You will look at real data
  - do not expect the hardware to work 100% perfectly
  - do not expect the samples to be 100% pure
- Some simple analysis: do you understand the results?



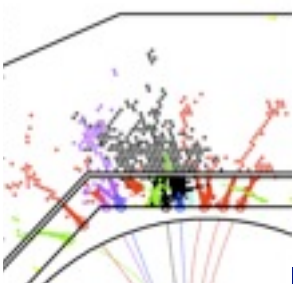
# e+e- linear collider events

- Only simulated, so far
- Goal: hands-on experience with power and limitations of high granularity and particle flow
- Run interactive particle flow reconstruction
  - associate tracks and calorimeter objects
- Vary the energy
- Look at the results: what went right, what went wrong?
  - both can be interesting!
  - Single particle level and “confusion matrix”: reconstructed vs true



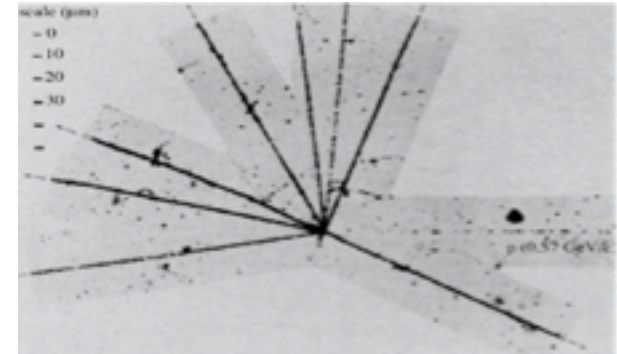
Enjoy!

# Back-up slides

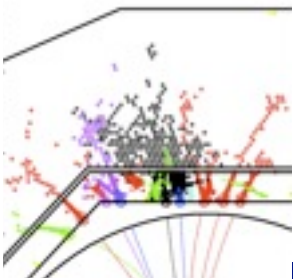


# Hadronic interactions

- 1<sup>st</sup> stage: the hard collision
  - Multiplicity scales with E
  - $\sim 1/3 \pi^0 \rightarrow \gamma\gamma$
  - Leading particle effect: depends on incident hadron type,
    - e.g fewer  $\pi^0$  from protons
- 2<sup>nd</sup> stage: spallation
  - Intra-nuclear cascade
    - Fast nucleons and other hadrons
  - Nuclear de-excitation
    - Evaporation of soft nucleons and  $\alpha$  particles
    - Fission + evaporation

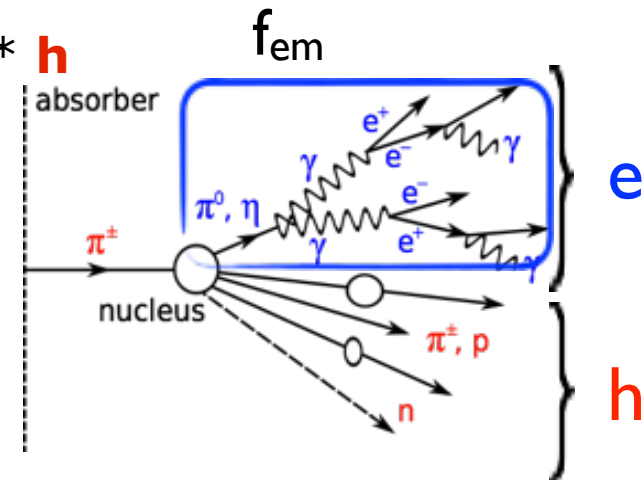


- The response to the hadronic part of a hadron-induced shower is usually smaller than that to the electromagnetic part:  **$h \neq e$** 
  - Due to the invisible energy
  - Due to the short range of spallation nucleons
  - Due to saturation effects for slow, highly ionizing particles

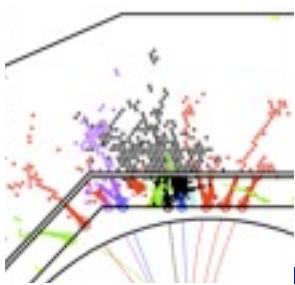


# Electromagnetic fraction

- $\pi^0$  production irreversible; "one way street"
  - $\pi^0 \rightarrow \gamma\gamma$  produce em shower, no further hadronic interaction
  - Remaining hadrons undergo further interactions, more  $\pi^0$ 
    - Em fraction increases with energy,  $f = 1 - E^{m-1}$
- Response non-linear: signal  $\sim f * e + (1-f) * h$
- Numerical example for copper
  - 10 GeV:  $f = 0.38$ ; 9 charged h, 3  $\pi^0$
  - 100 GeV:  $f = 0.59$ ; 58 charged h, 19  $\pi^0$
  - Cf em shower: 100's  $e^+$ , 1000's  $e^-$ , millions  $\gamma$
- Large fluctuations
  - E.g. charge exchange  $\pi^- p \rightarrow \pi^0 n$  (prb 1%) gives  $f_{em} = 100\%$



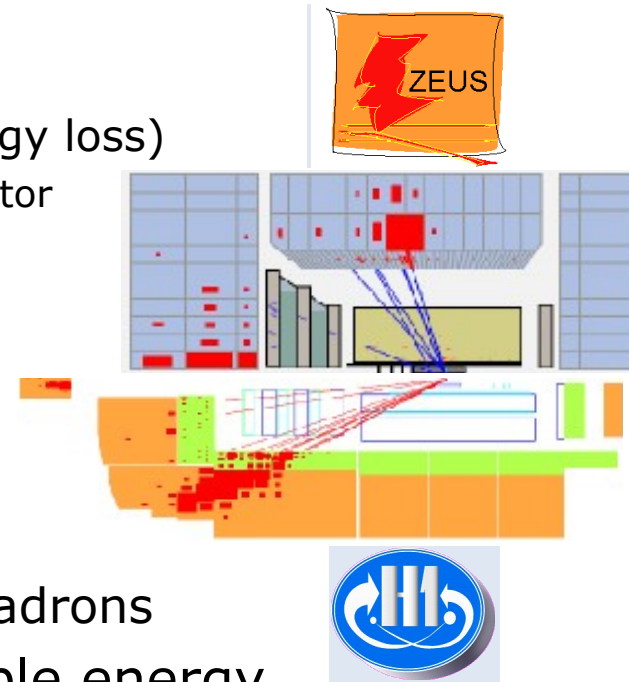
# Compensation

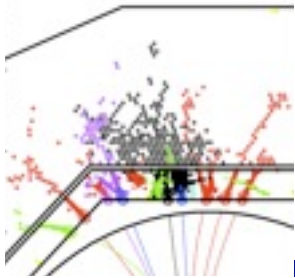


Different strategies, which can also be combined

- Hardware compensation
  - Reduce em response
    - High Z, soft photons
  - Increase had response
    - Neutron part (correlated with binding energy loss)
      - Tunable via thickness of hydrogenous detector
  - Example ZEUS: uranium scintillator,
  - 35%  $/\sqrt{E}$  for hadrons, 45%  $/\sqrt{E}$  for jets
- Software compensation
  - Identify em hot spots and down-weight
    - Requires high 3D segmentation
  - Example H1, Pb/Fe LAr,  $\sim 50\%$   $/\sqrt{E}$  for hadrons

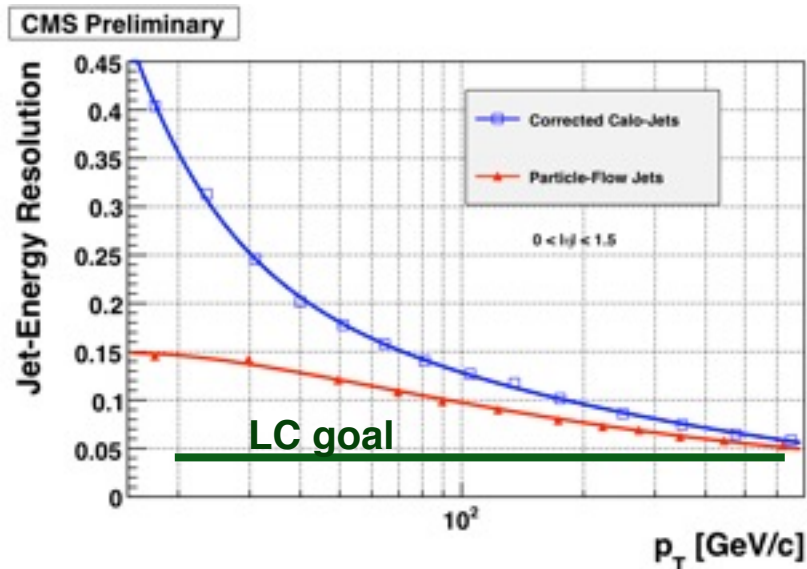
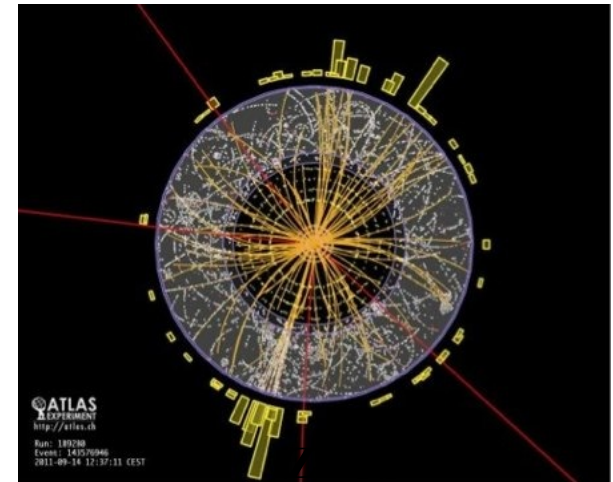
NB: Does not remove fluctuations in invisible energy



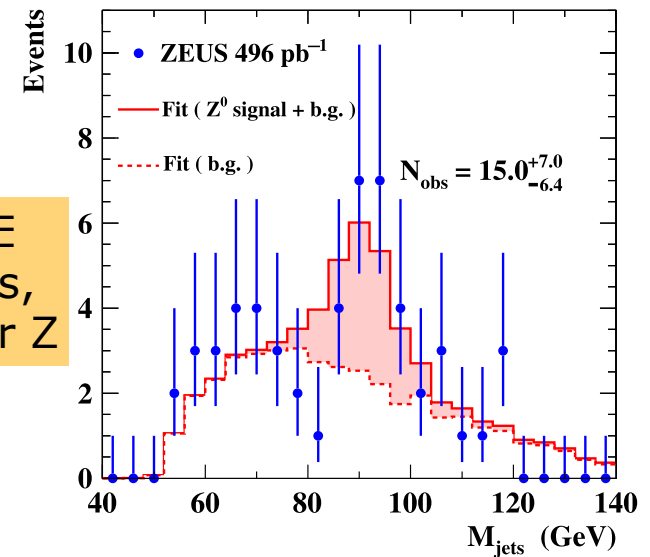


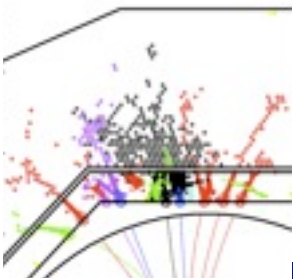
# The jet energy challenge

- Jet energy performance of existing detectors is not sufficient for separation of W and Z bosons
  - E.g. CMS:  $\sim 100\%/\sqrt{E}$ , ATLAS  $\sim 70\%/\sqrt{E}$
- Calorimeter resolution for hadrons is intrinsically limited, e.g. nuclear binding energy losses
- Resolution for jets worse than for single hadrons
- It is not sufficient to have the world's best calorimeter



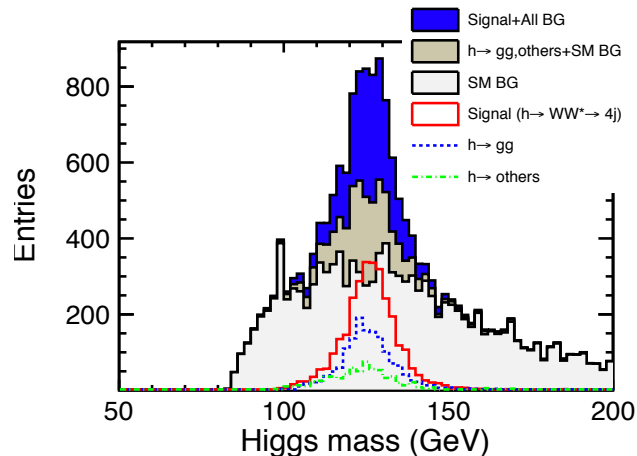
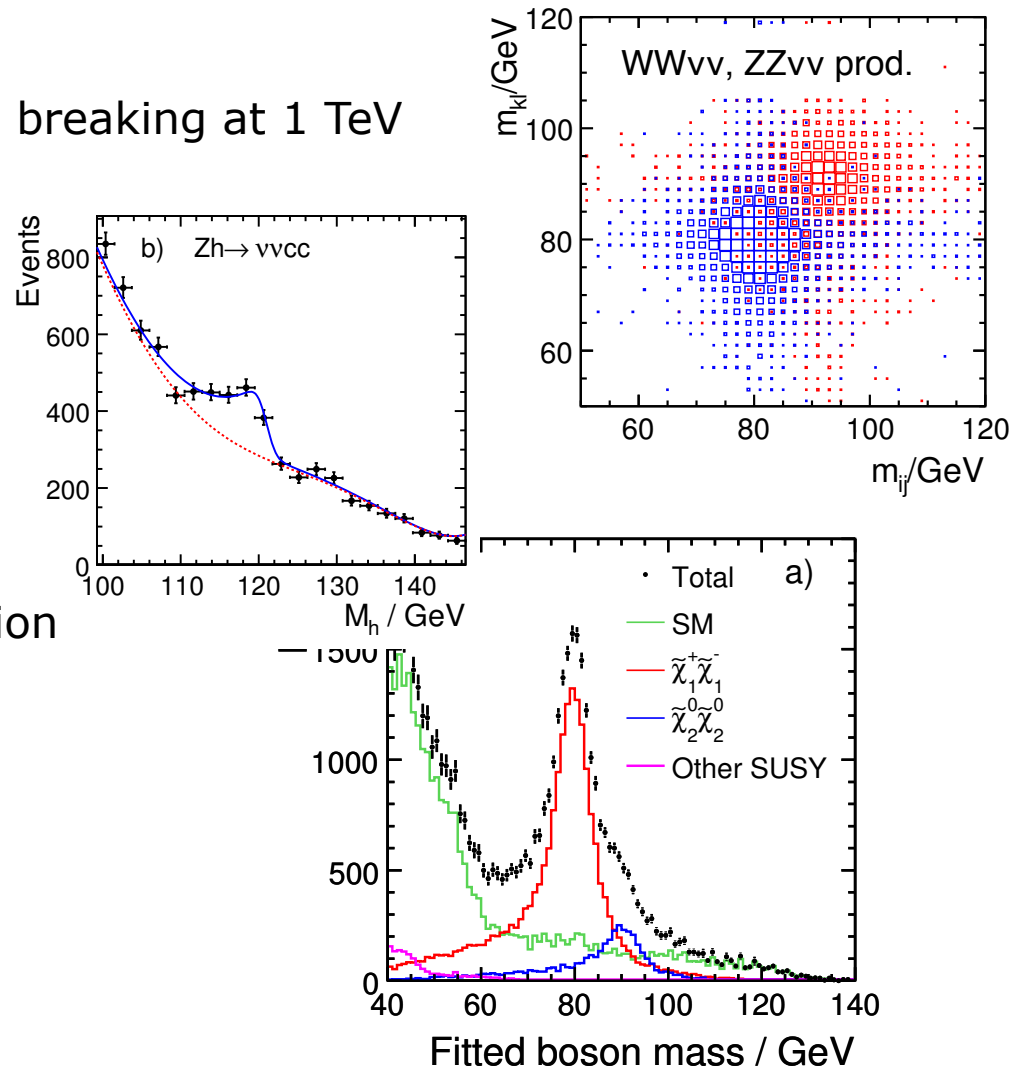
35% $\sqrt{E}$   
for pions,  
6 GeV for Z

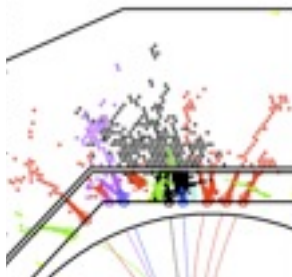




# LC physics with jets: $M_{inv}$

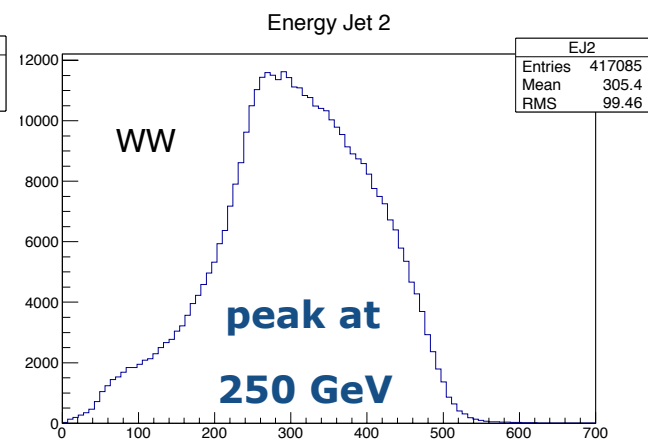
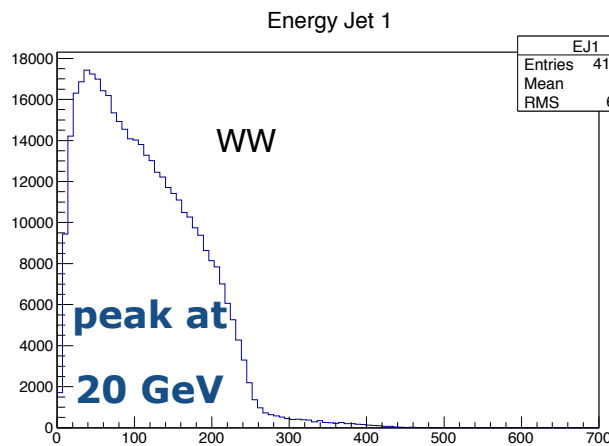
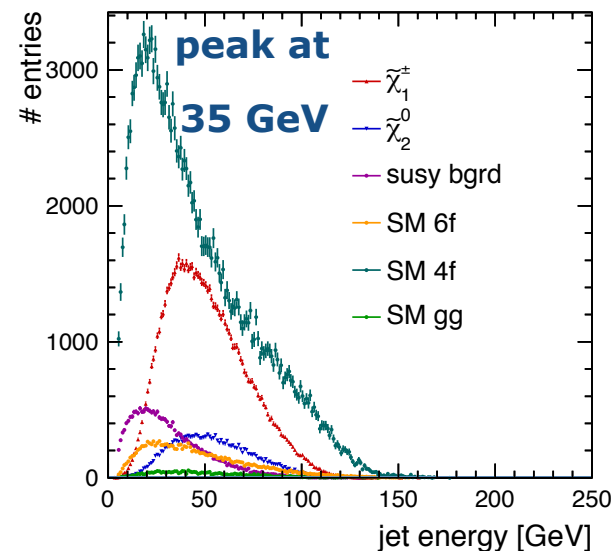
- W - Z separation
  - study strong e.w. symmetry breaking at 1 TeV
- Other di-jet mass examples
  - $H \rightarrow cc, Z \rightarrow \nu\nu$
  - Higgs recoil with  $Z \rightarrow qq$
  - invisible Higgs
  - WW fusion  $\rightarrow H \rightarrow WW$ 
    - total width and  $g_{HWW}$
- SUSY example:
  - Chargino neutralino separation





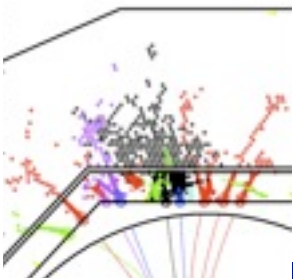
# Jet energies

- $\sigma_m/m = 1/2 \sqrt{(\sigma_{E1}/E1)^2 + (\sigma_{E2}/E2)^2}$ 
  - low energy jets important
  - high energy, too
- At  $\sqrt{s} = 500$  GeV
- example chargino, neutralino  $\rightarrow qq + \text{invis.}$
- At  $\sqrt{s} = 1$  TeV
- example  $WW \rightarrow H \rightarrow WW \rightarrow l\nu qq$



plots:  
J.List, M.Chera, A.Rosca  
DESY

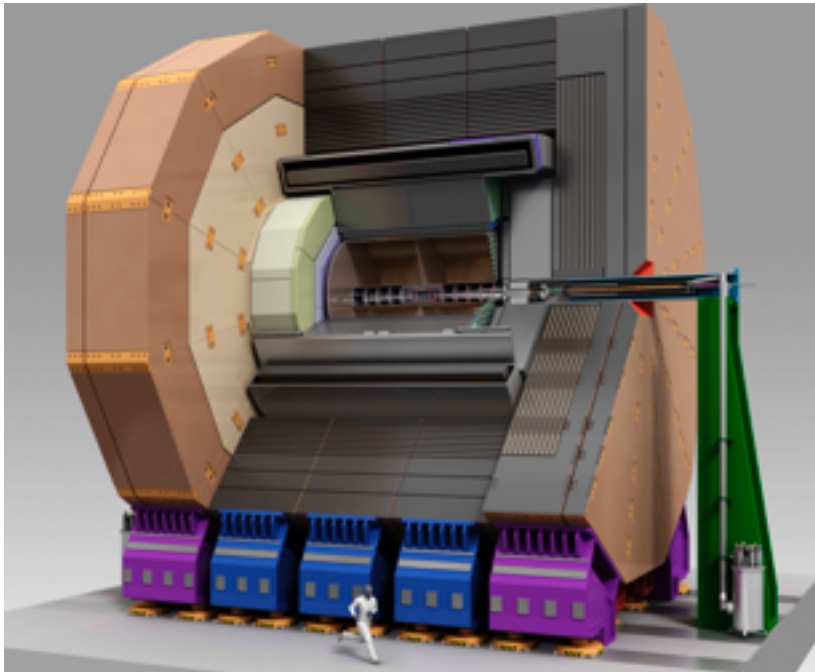




# Particle flow detectors

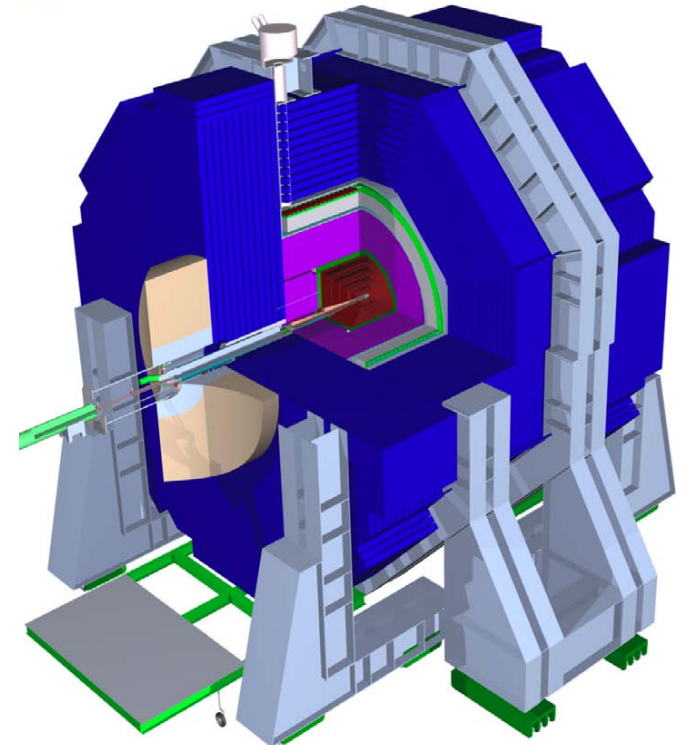
- large radius, large field, compact calorimeter, fine 3D granularity
  - Typ. 1X0 long., transv.: ECAL 0.5cm, HCAL 1cm (gas) - 3cm (scint.)
- optimised in full simulations and particle flow reconstruction

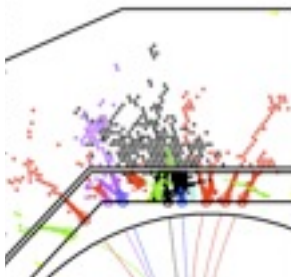
ILD: large TPC,  $B=3.5T$ , PFLOW calo



SiD: all-Si tracker,  $B=5T$ , PFLOW calo

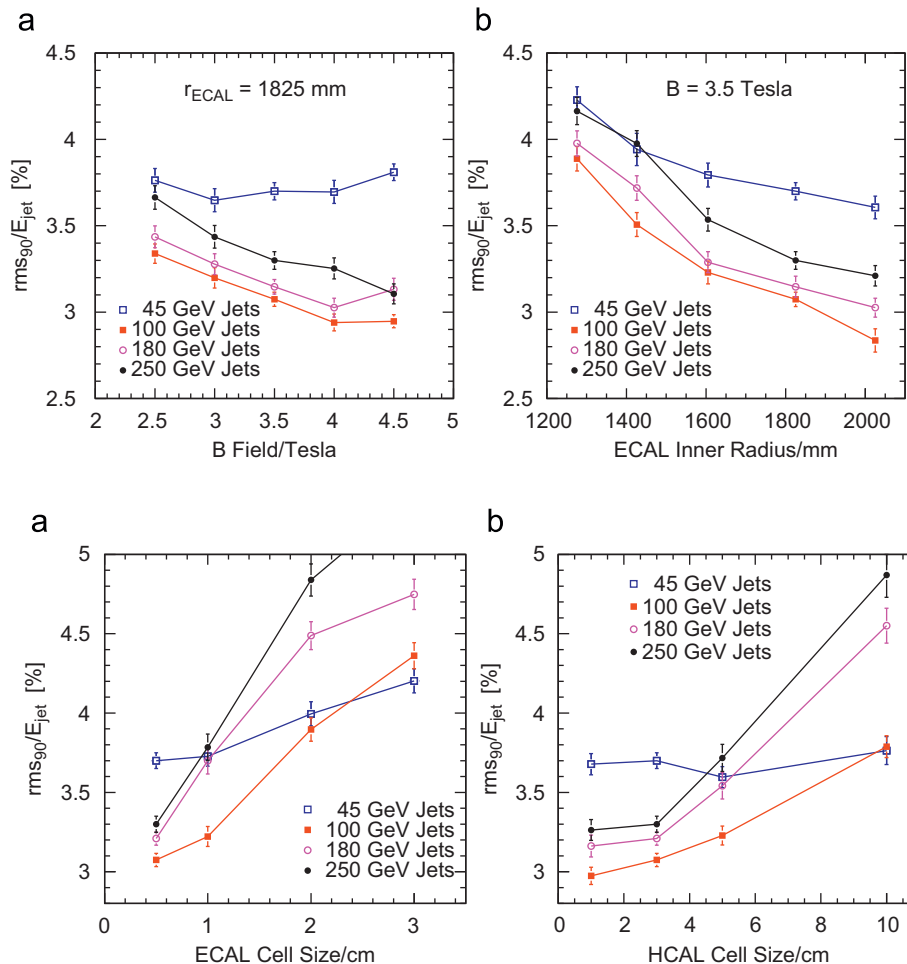
CLIC:  
tungsten  
barrel HCAL  
considered

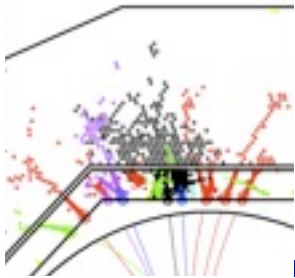




# Granularity optimisation

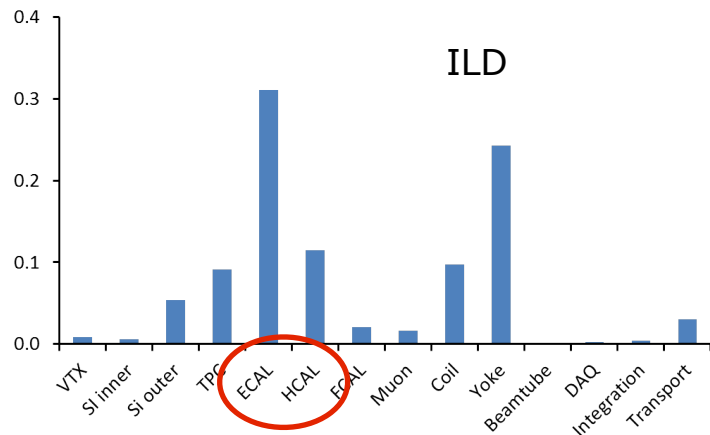
- Based of Pandora PFA
- Large radius and B field drive the cost
- Both ECAL and HCAL segmentation of the order of  $X_0$ 
  - longitudinal: resolution
  - transverse: separation
- Cost optimisation to be done



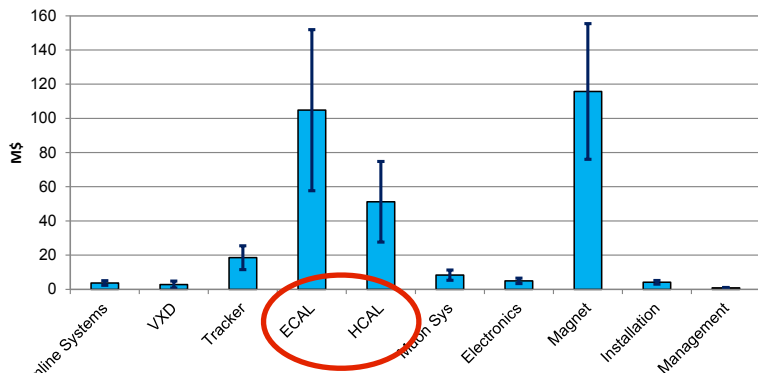


# Calorimeter cost

fraction of 392

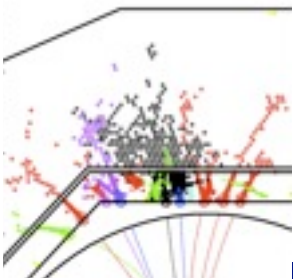


SiD M&S



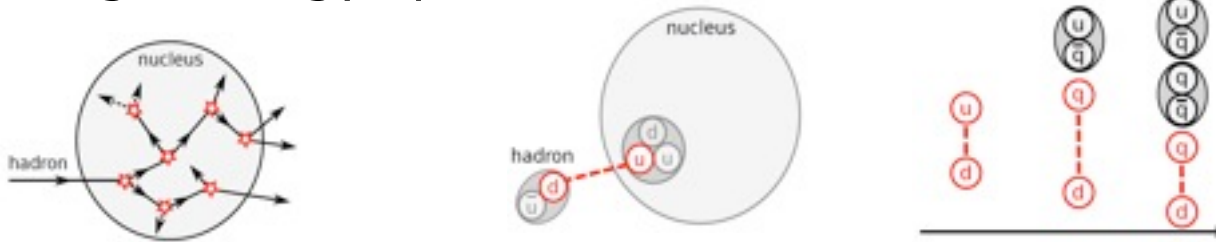
sum = 315

- Costing is at a very early stage
- Yet, many lessons learnt from 2nd generation prototypes
- Example HCAL:
- example ILD scint HCAL: 45M
  - 10M fix, rest ~ volume
  - 10M absorber, rest ~ area ( $n_{\text{Layer}}$ )
  - 16M PCB, scint, rest ~ channels
  - 10 M SiPMs and ASICs
- ECAL:
- main cost driver: silicon area
- ILD 2500 m<sup>2</sup>, SiD 1200 m<sup>2</sup>
  - cf. CMS tracker 200 m<sup>2</sup>
  - cf. CMS ECAL+HCAL endcap 600 m<sup>2</sup>

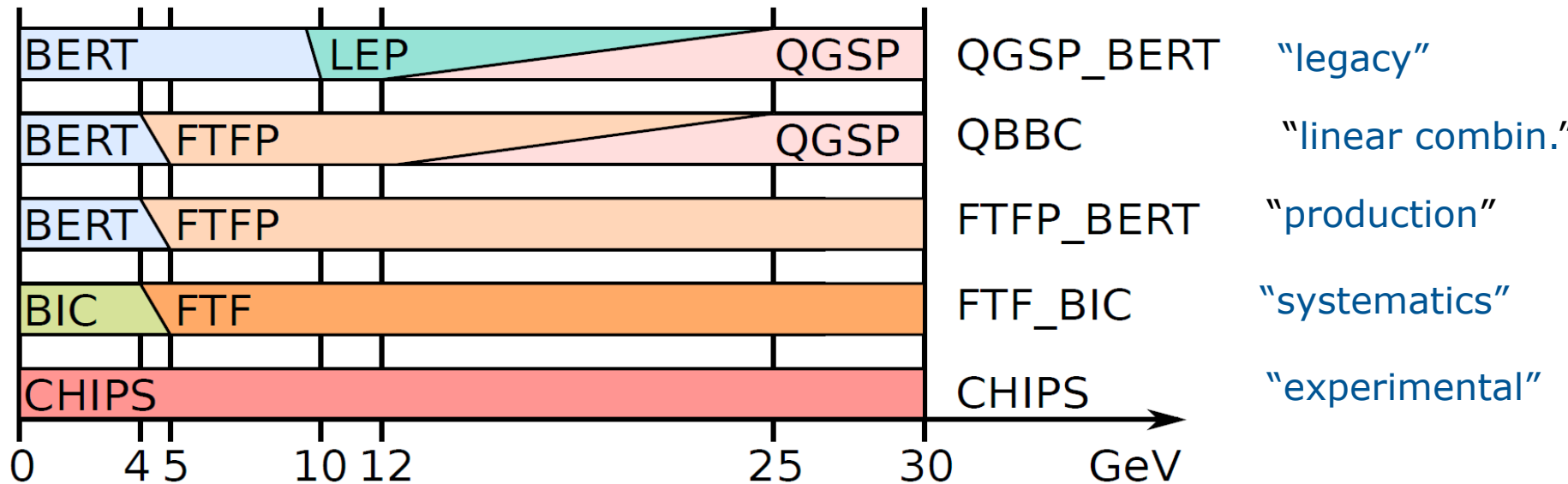


# Shower simulation in Geant 4

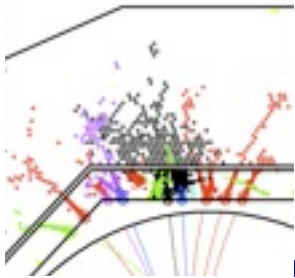
- Low energy: cascade models
- High energy: partonic models



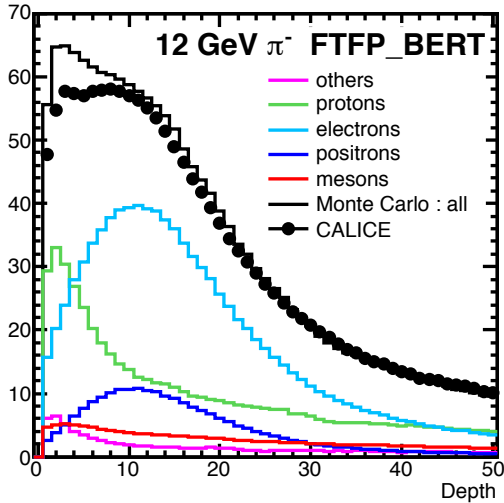
minimize use of phenomenological parameterization



# Validation of Geant 4 models

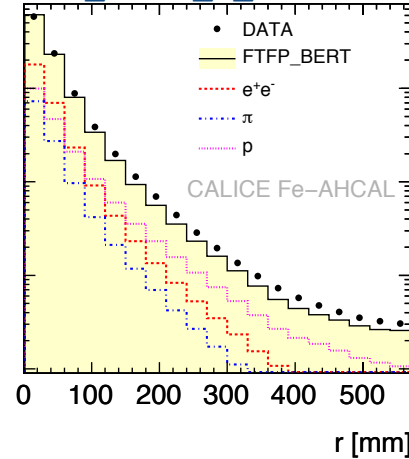


2010\_JINST\_5\_P05007

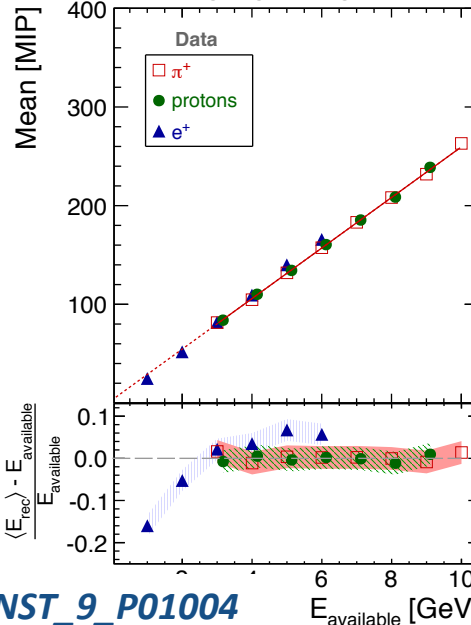


SiW ECAL  
longit. profile

2013\_JINST\_8\_P07005



CALICE Fe-AHCAL

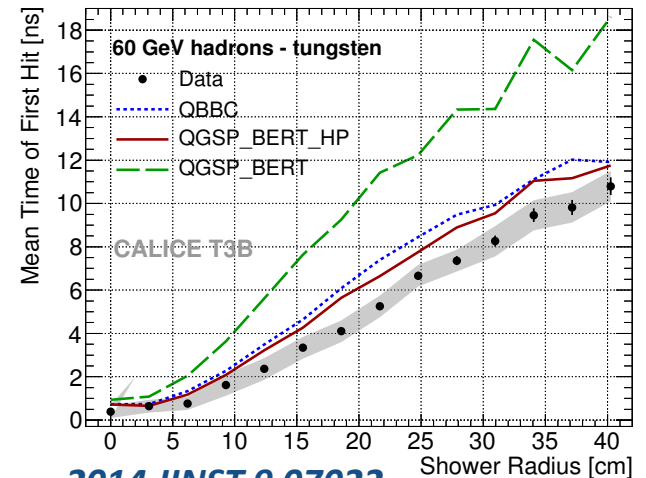
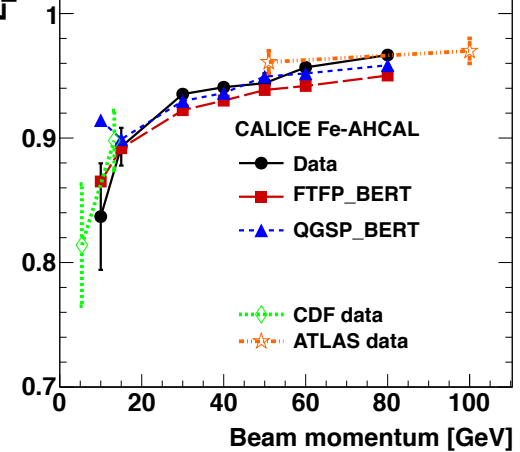


- just a few examples
- altogether at 5% or better

Particle 2014\_JINST\_9\_P01004

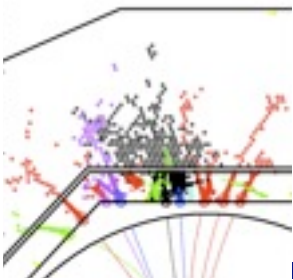
Fe Scint  
HCAL  
radial  
profile,  
proton pic  
esp. rati

2015\_JINST\_10\_P04014(a)



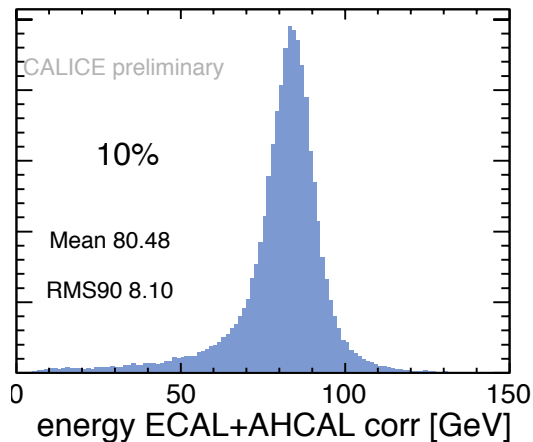
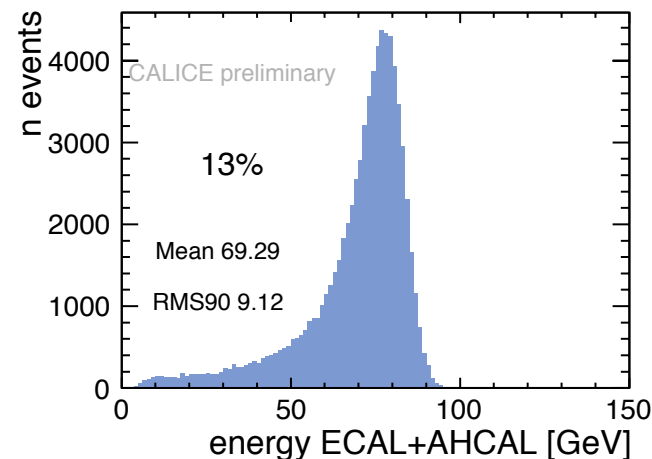
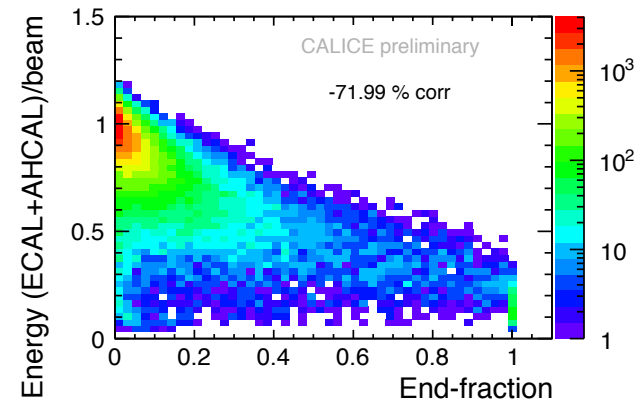
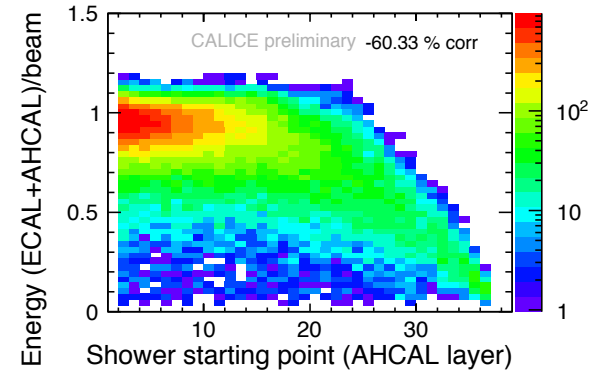
2014\_JINST\_9\_07022

W Scint HCAL response, timing



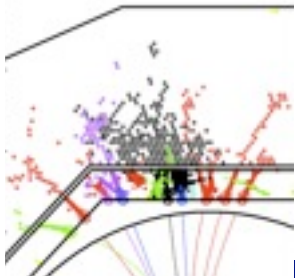
# Leakage estimation

- Exploit the 3-D granularity
- ECAL  $1\lambda$ , HCAL  $4.5\lambda$
- Observables
  - shower start
  - energy fraction in rear layers
  - measured energy



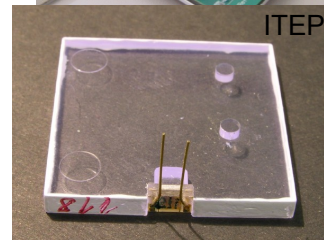
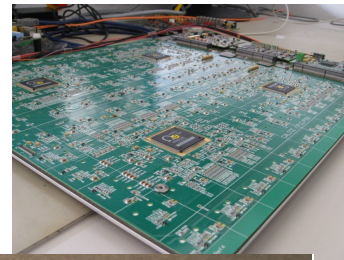
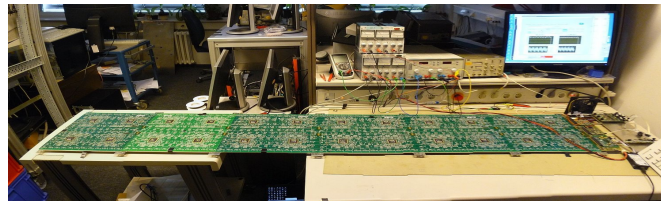
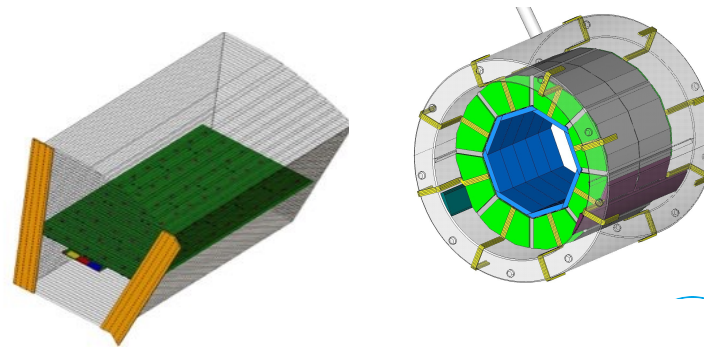
cf : with tail catcher, no coil: 5.4%



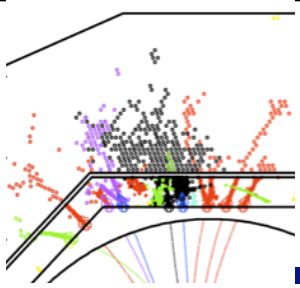


# Industrialisation: Numbers!

- The AHCAL
- 60 sub-modules
- 3000 layers
- 10,000 slabs
- 60,000 HBUs
- 200'000 ASICs
- 8,000,000 tiles and SiPMs

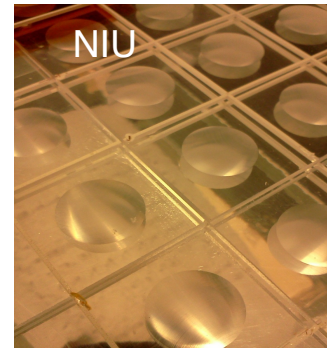


- One year
- 46 weeks
- 230 days
- 2000 hours
- 100,000 minutes
- 7,000,000 seconds

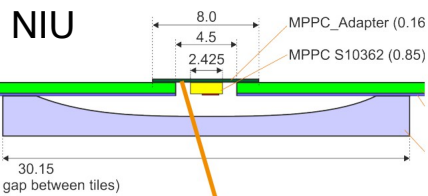
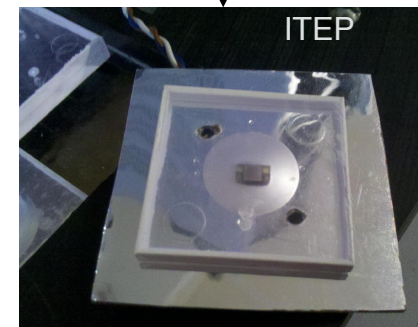
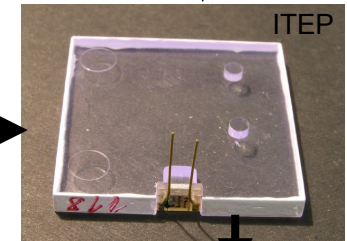
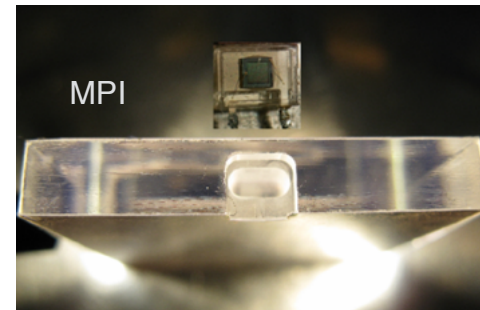
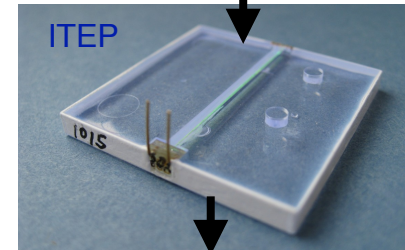
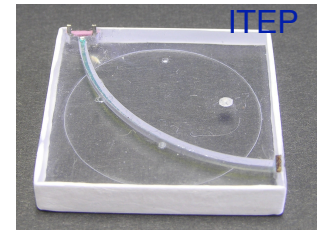


# Directions in tile and SiPM R&D

- Revise tile design in view of automatic pick & place procedures
- Consider SMD approach, originally proposed by NIU
- Light yield becomes an issue again
  - build on advances in SiPMs
- Very different assembly, QC and characterisation chain



7608 ch physics prototype



board coming to life

Mainz

