ALICE, ATLAS and CMS system architecture

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Outline

- Vertex & Tracking detectors of the 3 experiments: ALICE, ATLAS and CMS
- On detector transmission/GBT
- Off detector architecture/technology.
- Available technologies, R&D already started, R&D to be started.
- Conclusions

ATLAS/CMS Trackers Upgrade requirements

Radiation hardness

- Ultimate integrated luminosity considered ~ 3000 fb⁻¹
 (to be compared with original ~ 300/400/700 fb⁻¹)
- →Enhance radiation hardness by one order of magnitude! From 10¹⁵ to **10¹⁶ n_{eq}/cm²**, 1 Grad for the inner layers of pixel
- Bandwidth Saturation and Occupancy
 - Resolve ~140 (up to 200) of pile-up collisions, (the original design figure for the present trackers was 25!)
 - Maintain occupancy below % level
 - \rightarrow Requires much shorter strips and smaller pixels sizes!

→Remove limitation between on-detector electronics and the read-out drivers card (ROD)

Mechanical constrains (replaceable, removable, retractable)

ATLAS/CMS Tracker Upgrade requirements/2

Improve tracking performance

- Improve performance @ low p_T
- Reduce rates of nuclear interactions, g conversions, bremsstrahlung...
 - Reduce material in the tracking volume
- Improve performance @ high p_T
 - Reduce average pitch

• Tracker input to Level-1 trigger

- μ, e, jet rates would substantially increase at high luminosity
- Increasing thresholds would affect physics performance
- Add tracking information at Level-1
- Requires changes to detector FE electronics feeding trigger system
- Goal for "track trigger":
 - Rol(ATLAS) or self seeded(CMS)
 - Use info from L0 Trigger to reduce to 500 KHz(ATLAS) to 200Khz
 - Reconstruct tracks PT>2 GeV & ~ 1mm primary vertex resolution (CMS)



ATLAS Inner Tracker Layout

• Inner Pixels:

- 2 layers close to enlarged Phase-2 beam pipe
- smaller pixel pitch to improve b-tagging (FE-I5)

• Outer Pixels:

- 2 barrel layers at increased radii to improve tracking
- pixel endcaps ensure full tracking coverage to η=2.5
- some standalone tracking capability to $\eta=2.7$ (muons)

• Strip Detector:

- maximize momentum resolution (B·dl)
- 5 barrel layers of double sided strip, 7 disk, 2
- shorter strips close to PST to limit occupan
- Overall a 14 hit system down to η=2.5
 - robustness, avoid fakes at high pileup
 - much improved resolution
 - overall much reduced material budget

.....extension to eta=4 under study!



different technology options dependent on r (i.e. fluence)



ATLAS-Strips

• Detector

- Inner: Short strips, Outer: Long strips
- ~200 m² (Replace current SCT and TRT)
- 300k FE chips, ~75M channels
- Radiation: <25 Mrad

• Front-end - Binary

- Initial 250nm version made and extensively tested on strip modules
- 130nm 256 channel chip for both short and long strips
- Two level trigger with Region Of Interest (ROI) readout
 - L0: Rate ~500kHz , Lat. ~6µs, 10% ROI
 - L1: Rate ~200KHz, Lat. ~20µs (max 256 events)
- 130nm version just submitted: Collaboration between 7 institutes

Readout

- 160 Mb/s e-links on module, 320 Mb/s e-inks from module to end of stave
- 1 (2) LowPowerGBT link at end of stave
- **Power -** Two approaches being evaluated:
 - Serial power at module level
 - DC/DC converter per module



ATLAS strip with opto link per stave ⁶



ABC: 130nm FE chip

ATLAS-Pixels

• **Detector:** A clear layout is still to be defined; attempt to improve **n** coverage

Inner layers (r ~3 to 15 cm): low power; low material budget

- Development of new sensor materials (planar, 3D-Si, diamond) already well underway

 IC development based on FE-I4 "working horse" addressed by CERN R&D group RD53 for 65 nm

 \rightarrow ~ 1 Billion transistors !!



Outer layers (r>15 cm): low cost, low power

Possibilities in the context of CMOS pixels (and also strips)... small pixel area/thickness could be attractive for the innermost layer, if radiation resistance allows.

• Readout:

- 100x readout rate: ~10Gb/s link required per pixel chip (inner)
- Opto parts can most likely not survive within pixel volume
- Low power, low mass cable, high speed e-links to intermediate opto link

• Power:

High power density and hostile radiation environment makes serial powering the most realistic option:

- Requires R&D and qualification
- Option: Combination with DC/DC (e.g. on-chip switched capacitor)

CMS Tracker Layout



• Main features:

- Higher granularity (x4 in strip sensors)
- Radiation hardness (sensors operated at -20°C)
- Reduced material budget
- Provide information to Level-1 trigger

CMS Outer Tracker

Detector:

Two-Strip (2S) and **Pixel-Strip (PS)** modules allow for **p**_T discrimination on module level !

- 4 layers of strips \rightarrow 2S
- 3 more layers of pixelated sensors \rightarrow PS
 - Unambiguous 3d coordinates helps track finding in high pile-up
 - 12 hits up to $\eta \approx 2.4$ available at Level -1
- Hermetic surfaces (cylinders and disks) for stub finding
 - Taking into account IP spread

Front-end: Binary

- 2S: 130nm 256 channel strip FE chip (CBC) and module controller
 2nd version of CBC under test on double strip module
 Module controller chip architecture definition and design on-going.
- PS: FE pixel chip in 65nm Architecture defined and design on-going

Readout:

1 link (10Gb/s) per module (high Pt trigger data plus 1MHz trigger)

Power: Independent DC/DC per module





CMS-Pixel phase2 "Baseline"

• Detector:

- − Phase1 pixel (4 layers) + 10 end-cap disks → extended coverage up to $\eta \approx 4$
- Opto Power services on service cylinder: ~2m from the IP
- Trigger:



- 500KHz, (1MHz with 2 x readout links)
- 10 μ s with very low loss (~10⁻³), 20 μ s with low loss (~10⁻²)
- (Option: Pixel participation in first level trigger ?)

• Front end:

- One chip for all layers
 - (ATLAS may possibly use FE-I4 for outer layers)
- RD53: Technology, Basic pixel architecture
- Pixel size: 25 x 100 µm² or larger
- Chip size: ~2.5cm x 3cm if appropriate for inner most layer
- CMS specific: Sensor, Pixel size/aspect, Trigger rate/latency, L1 trigger contribution



CMS-Pixel phase2 "Baseline"

Readout + Power:

- 1.2 Gbit/s e-links to LowPowerGBT
- Serial powering, possibly combined with on-chip DC/DC

Module design:

A: 4 x 1 chips: Two inner most layers B: 4 x 2 chips: Two outer most layers Encap disks: ? \mathbf{X}

Cooling: CO₂



ALICE fully pixellated Inner Tracking System

- Big pixel camera: > 2 Gpixels, 10 m².
- Triggered & untriggered operation.
- 100 kHz Pb-Pb event rate.
- 7 layers in 3 groups.



ITS sensors organized in staves (inner) and modules (outer)





ITS expected data throughput

Layer	Chip [Mb/s]	(Half-)Stave [Gbit/sec]	Layer [Gbit/sec]	Nr. e-link	Nr. DDL
0	348	3.1	38	108	12
1	215	1.9	31	144	16
2	156	1.4	28	180	20
3	16	0.8	37	96	24
4	15	0.8	50	120	30
5	13	1.2	107	168	42
6	13 🕹	1.2	119	192	48
Total	-	-	410 [Gb/s]	1008	192

(*) Data rates estimated for:

- a. 100 kHz interaction rate
- b. QED electrons included assuming an integration time of $30\mu s$
- c. 40 bits to encode a hit
- d. Fake hit rate 10⁻⁵/pixel per readout frame



ITS inner layer: readout scheme

Very simple situation in the three innermost layers:

each sensor drives its own line at full speed (up to 1.28 Gb/s)



• Commercial cables will be employed from the end of the stave to the CRU.





ALICE ITS UPGRADI

ITS outer and middle modules: readout scheme

Masters parallel – preferred solution



- Each master collect data from 6/13 sensor plus itself.
- Data are sent all the way up to the Common Readout Unit (up to 5 m length).
- The only active driver is the one inside the chip: speed up to 320 Mb/s achievable.
- Robust against single point failure, it needs up to 14 data pairs running on the 30 mm wide bus.





Power Distribution

- Two main power strategies being explored for the HL-LHC:
 - Serial Powering
 - DC-DC Buck converters
- In addition:
 - Switched capacitor DC-DC
- Necessary to continue work on all
- Continued support is needed to deliver suitable parts in time
 - Bulk supplies
 - Evaluation of larger Serially Powered systems
 - Low mass DC-DC Buck Converters with increased radiation tolerance
 - Identification of "HV" switch transistors for sensor bias applications

Ex. Serial Powering: ATLAS Strip staves





Ex. DC-DC buck converter

CMS Pixel upgrade

Data/optical links

- Tracker Requirements:
 - High radiation tolerance: 1Mrad (ALICE ITS), 1Grad (ATLAS/CMS pixels)
 - One link does all: readout, clock, trigger, trigger data, control/monitoring
 - Increased data rates: Hit rates (~10) x Trigger rates (~10) = ~100x !
 - Low mass, low power, small form factor, reliable, long-lived, etc.
- → Use of standardized (very) rad hard links (where ever possible)
 - Common development with flexibility and support for specific tracker needs
- LPGBT ~10Gbits/s in 65nm: To be defined & designed
- ATLAS & CMS "strip": < 100Mrad
 - Optical link within tracker volume
 - Collect data from multiple sources (FE chip or modules) and control "fanout"
- CMS/ATLAS pixels: ~1Grad
 - Optical link can most likely not survive radiation environment
 - Opto link located few (2-10) meters from pixel modules
 - High speed and low power electrical links critical to get data out of pixel volume!





High speed link R&D

- Common Projects for Rad Hard Optical Link (Phase-1):
 - GigaBit Transceiver (GBT) project (chip-set)
 & GBT-FPGA project
 - Versatile Link (VL) project (opto)
 & Gigabit Link Interface Board (GLIB)

6 institutes: CERN, FNAL, IN2P3, INFN, Oxford, SMU

- Meeting the HL-LHC challenge requires:
 - Qualifying new technologies and components
 - Designing electronics, interconnects, packages and perhaps even optoelectronics
 - Maintaining expertise, tools and facilities
 - Investing heavily with a few selected industrial partners
- The community is healthy, but small and fragmented. Manpower is the bottleneck
 → development time remains very long (~6y) in comparison to industry.
- Si-Photonics might be a valid alternative...On-going collaboration with academic and industrial partners (INFN?) → Assess radiation hardness first!
- High speed electrical data links are not obsolete! (Short distance, on-board serial links, aggregation to high speed opto-hubs, low mass, highly radiation resistant (HL-LHC pixels)

Shrinking of GBT package size to smaller footprint



Optical Link Project Status: GBT

- Project started in 2008
 - GBT (Serializer/Deserializer)
 - GBT-Serdes prototype in 2009
 - GBTx in 2012
 - Packaging in 2013
 - Production in 2014
 - GBLD (Laser Driver)
 - Final iteration (V4.1/V5) in 2013
 - GBTIA (Pin Diode Receiver)
 - Final iteration (V3) in 2012

GBTSCA (Slow Control ASIC) Final version expected in 2014

GBT-FPGA firmware

Tracking evolution of major FPGA families Available

- Project delivers
 - Chipset for Front-End
 - GBT-FPGA Back-End firmware



Optical Link Project Status: VL

- Kick-off: April08
- Project delivers
 - Custom built Rad Hard VTRx
 - Production readiness: 2014
 - Early delivery of rad-soft VTTX to CMS-Cal-Trig: Dec13
 - Recommendations for
 - Fibre and connectors
 - Backend optics
 - Evaluation Interface boards (GLIB)
- Experiments
 - Design their own system
 - Select passive and backend components based on VL recommendations and on their own constraints



Front End Link eXchange project (ATLAS) (CERN, BNL, Weizmann Institute, Weizmann Institute)

- *"FELIX, a new approach to interfacing on-detector electronics separates the Front End link interfacing from the Front End data processing"*
- It eliminates the static point-to-point connections between the Front Ends and the Read Out system
- Reduce ATLAS dependence on custom electronics and its inherent problems of long term support by experts
- Define an architecture that is scalable and flexible, since we don't know exactly what future requirements will be
- Interface several GBT links to a high bandwidth industry standard network technology – Ethernet (40Gb/s) or Infiniband (56Gb/s) – then route logical data flows to/from different off-detector endpoints

Integrating GBTs to a LAN



Off detector: Modular Electronics

- xTCA and its sub-standards:
 - ATCA (2002): ATLAS, LHCb, ILC, ...
 - μTCA (2006): CMS, XFEL
- Favoured candidate as successor of VME



• Tight roadmap to define and test common developments

Next steps:

- Manpower and tools needed to develop common solutions and support them
- Raising the competence of developers community will take time
- Many coordinating actions already started, but lots to be done
- xTCA Interest Group should play a major role

Alternatively development of high bandwidth system based on PCIx cards in "commodity" PCs to interface detector specific front-end to DAQ systems on a switched network.

DAQ for the test beam at DESY (CMS Strips)

Based on Phase II tracker DAQ developments

- implemented on CERN GLIBs (µTCA AMCs)

- 'back-end' GLIB handles external trigger, processes and formats data from front end, configures CBC2s over I2C and issues fast control signals

- 'front-end' GLIB currently acting as fan-out to modules; but will eventually implement concentrator

- GBT link implemented between front- & backend GLIBs

- currently working well

'front-end' GLIB + 2 FMC mezzanines to interface with modules



'back-end' GLIB + 1 FMC mezzanine for external trigger

Summary: Specs and Technologies for the Electronics

Front-end

Specs:

- Low power / channel
- Low noise
- High-density
 interconnections
- High radiation tolerance

Technologies:

- Deeper submicron ASICs technologies
- 130 nm for strips, 65 nm for hybrid pixels
- High-density technologies
 for hybrids substrates
- Coarse bump-bonding for flip chip of ASICs to hybrids, and for large pixels

Back-end

Specs:

- High processing power
- High interconnectivity

Technologies:

- xTCA standards
- Possibly custom-design ASICs for fast pattern recognition (track trigger)

Services

Specs:

- High-speed, low-power links
 → special requirements for trackers
- Small-size opto components
- Power conversion
- Rad hardness

Technologies:

- DC-DC conversion, serial powering
- 65 nm ASICS for next generation links
- Low-power low-mass electrical links

Conclusions

The LHC experiments have conceived viable and highly optimized tracker system upgrades for the extremely challenging HL-LHC conditions:

→ Significant electronics engineering resources will be required to develop, verify and build these trackers.

ASICs development:

Large, complex, low power, radiation hard, mixed signal ASICs are critical

- Resources for their development are needed early in the project phase.
- Manpower must be appropriately organized and trained.
- Collaborative efforts across multiple institutes and when possible across experiments.
- Critical to enable small design teams across many institutes to develop such IC's

• Power distribution and data links:

Further developments needed beyond the "phase-1" devices:

- Must continue as common projects, accommodating specific requirements for trackers
- These developments are critical for the design of the upgraded trackers
- Significant efforts will be required to define, develop, test and qualify, support next generation link (LPGBT) appropriate for LS3 tracker upgrades

\rightarrow Radiation tolerance, low power 5 & 10 Gbits/s optical links appropriate for use in tracker!

• Off detector electronics: fast and complex, critical for track triggers.

BackUP

Pixel Chip generations

Generation	Current FEI3, PSI46	Phase 1 FEI4, PSI46DIG	Phase 2
Pixel size	100x150um ² (CMS) 50x400um ² (ATLAS)	100x150um ² (CMS) 50x250um ² (ATLAS)	25x100um ² ?
Sensor	2D, ~300um	2D+3D (ATLAS) 2D (CMS)	2D, 3D, Diamond, MAPS ?
Chip size	7.5x10.5mm ² (ATLAS) 8x10mm ² (CMS)	20x20mm ² (ATLAS) 8x10mm ² (CMS)	> 20 x 20mm ²
Transistors	1.3M (CMS) 3.5M (ATLAS)	87M (ATLAS)	~1G
Hit rate	100MHz/cm ²	400MHz/cm ²	1-2 GHz/cm ²
Hit memory per chip	0.1Mb	1Mb	~16Mb
Trigger rate	100kHz	100KHz	200kHz - 1MHz
Trigger latency	2.5us (ATLAS) 3.2us (CMS)	2.5us (ATLAS) 3.2us (CMS)	6 - 20us
Readout rate	40Mb/s	320Mb/s	1-3Gb/s
Radiation	100Mrad	200Mrad	1Grad
Technology	250nm	130nm (ATLAS) 250 nm (CMS)	65nm
Architecture	Digital (ATLAS) Analog (CMS)	Digital (ATLAS) Analog (CMS)	Digital
Buffer location	EOC	Pixel (ATLAS) EOC (CMS)	Pixel
Power	~1/4 W/cm ²	~1/4 W/cm ²	~1/4 W/cm ² 29

ITk: Rough Draft Timetable

For Discussion Only



CB= collaboration board, EB=executive board, IMOU=interim memorandum of understanding, UCG=upgrade cost group, RRB= Resources review board, IDR=initial design review (internal), TDR=technical design report (external)

The ITk Institutes

82 institutes have expressed interest in taking part in building the Itk

Collaboration grown by 6 institutes since November 2013 - ITK-SC. Likely to grow by a few more very soon....

PLs have started, and will continue, to discuss with the individual institutes their involvement, towards the IDR, TDRs and beyond

There are currently "significantly" more institutes signed up to work on the pixel detector than strips.

Country	Number of Institutions
Australia	3
Canada	4
CERN	1
China	1 (cluster)
Czech Republic	3
Germany	10
France	5
Italy	4
Japan	8
Netherlands	1
Norway	2
Poland	2
Switzerland	2
Spain	2
Slovenia	1
Sweden	2
South Africa	1 (cluster)
Taiwan	1
United Kingdom	12
United States	17
Total	<u>82</u>

End-of-substructure Card (EoS) (ATLAS Strips)

- First FE-chip in 130nm process (ABC130) test program is ramping up
- DESY is leading design effort for EoS Design for both Staves and Petals PCBs made by DESY Close Collaboration with Berkeley & Oxford
- Currently working on EoS system issues Power consumption Detector Control Systems (DCS) Material reduction

New key features:

- Only interlock relevant DCS lines separated
- All other DCS information via optical link
- Less cables







First ABC130 chips Functional but only after surgery Will need a re-spin



8 module 250nm Super-module

12 module 250nm DC-DC Stave

	Key ITk Dates in 2014
January	23 rd -24 th :Mechanics kick off meeting @ CERN 29 th : ITk-SC @ CERN
February	<u>3rd -7th :Strips meeting in Valencia</u> 18th – 20th : ACES meeting @ CERN
March	13 th : ITk-SC @CERN
April	7 th – 11 th : AUW in Freiburg
May	2 nd : ITk-SC @ CERN : Friday
June	2 nd -6 th : TIPP 25 th : ITk-SC @CERN 30 th : Tracker Mechanics Forum (DESY)
August	21 st : ITk-SC @CERN
September	Common ITk meeting in first half of the month at CERN 14 th – 19 th : VERTEX 25 th : ITk-SC @ CERN
October	ITK-IDR @ CERN Aix les Bains 22-24 ECFA meeting
November	3 rd -11 th : AUW a@ CERN
December	4 th : AUW a@ CERN

ITS clock/slow control/trigger distribution (ALICE)

- For both readout scheme (parallel or daisy chain), the clock, slow control and trigger signals are distributed to master chips only (1 or 2 per module), then locally broadcasted to slave chips.
- Trigger is broadcasted through the slow control lines.





CMS Trigger & DAQ Architecture for HL-LHC



@ 40 MHz – Bunch crossing
 @ O(100) kHz – CMS Level-1 trigger



00 Hz

38 ~ 300 MB/

ATLAS TRIGGER SCHEME

- Split Level-0/Level-1 hardware trigger with a total level-1 accept rate of 200 kHz and total latency of 20 µs.
- Level-0 trigger distributes the Level-0 accept at a rate ~500 kHz within 6 μ s.
- Phase-II Level-0 trigger is ~Phase-I Level-1 system and consists of a feature extractor (FEX) based on calorimeter electromagnetic and jet triggers, and the Phase-I Level-1 muon trigger.
- The Level-0 accept is generated by the central trigger system which incorporates topological triggering capability.
- Level-1 system will reduce the rate to 200kHz within an additional latency of 14µs.
- Accomplished by
 - introduction of track information within a Region-of- Interest (Rol)
 - full calorimeter granularity within the same Rol
 - introduction of a refined muon selection based on the use of the MDT information.
- Increased use of offline-like algorithms in the High-Level Trigger (software trigger) with an anticipated readout rate of 510 kHz.



Power-cooling-integration

- Power optimized electronics critical for trackers
 - Low power technology: Deep submicron ASICs
 - Architecture optimization: Segmentation, ADC/binary, Triggering, Buffering, Readout bandwidt
 - Circuit optimization: Low power low noise analogue, Low power digital

Significantly increased performance requirements do not favour low power

- Power densities and cooling
 - Hybrid pixels: 0.5 1.5W/cm2 (Extremely high rates) →Uniform high power density over full "small" detector
 - Strips: ~0.05W/cm2 (High rates) →Localized heat sources distributed over large detector
 - MAPS (ALICE ITS): ~0.05W/cm2 (modest rates but high event multiplicity) \rightarrow Uniform low power density
- Low voltage power distribution critical for trackers.
 - Low power -> Low voltage ASICs ~1V -> High currents -> High cable losses
 - Highly power optimized digital designs have high power transients
 - Associated power control/monitoring and safety systems
- Active power distribution required within detectors
- Power conversion in very high radiation and magnetic fields
 - DC/DC conversion (common development)
 - Serial powering (project specific)

Hard to define/guess power/cooling needs early in project phase

Trigger Developments

Tracking Triggers

- ATLAS: L1 trigger at 500KHz within 20 µs; 'pull path'
- CMS: L1 trigger at 40MHz within 10-20µs; 'push path'

Challenges:

- Complex pattern recognition over very large channel counts with short latency and no dead time (clock/event pipelined).
- Highly challenging connectivity and processing problem

Tools:

1. Pattern Recognition Associative Memory (PRAM)

- Match and majority logic to associate hits in different detector layers to a set of pre-determined hit patterns
- highly flexible/configurable
- Pattern recognition finishes soon after hits arrive Challenges:
- Increase pattern density by 2 orders of magnitude
- Increase speed x 3 (latency)
- Use 3D architecture: Vertically Integrated Pattern Recognition AM – VIPRAM

2. FPGAs:

Challenges:

- Latest generation FPGAs create complex placement issues
- Designs must be heavily floor-planned similar to ASIC layout
- Embedded Processors, moving tasks from FPGA to SW design

High Speed Electrical Links

On-stave Data Links for Innermost Pixel Layers in ATLAS

V. Fadevev (UCSC), S. Seidel, M. Hoeferkamp (UNM)

Need: high bandwidth (> 1 <u>Gbps</u>), low mass (<0.5 mm diameter), ______ high radiation hardness



Investigating dual coaxial cables as a technical solution.

Have shown that cables with non-rad-hard dielectric have high enough BW with proper dimension. With 8/10B encoding, it's 3.1 <u>Gbps</u> raw (2.5 <u>Gbps</u> useful payload).



BUT: After 250 MRad BW is reduced, and mechanical integrity is compromised (not surprising).

The solution is to use cables with correct dimensions with rad-hard materials (kapton is the most likely choice). Axon bid for making them.

In parallel, obtained cable samples with <u>kapton</u> dielectric from 2 other vendors. They were used in proton irradiation run at Los Alamos in September 2013. Assessment of dielectric, impedance, BW changes, and mechanical stability to follow in the near future.

Many different Link types

- Readout DAQ:
 - Unidirectional
 - Event frames.
 - High rate
 - Point to point
- Trigger data:
 - Unidirectional
 - High constant data rate
 - Short and constant latency
 - Point to point

- Detector Control System
 - Bidirectional
 - Low/moderate rate ("slow control")
 - Bus/network or point to point
- Timing: Clock, triggers, resets
 - Precise timing (low jitter and constant latency)
 - Low latency
 - Fan-out network (with partitioning)

 Different link types remain physically separate, each with their own specific implementation