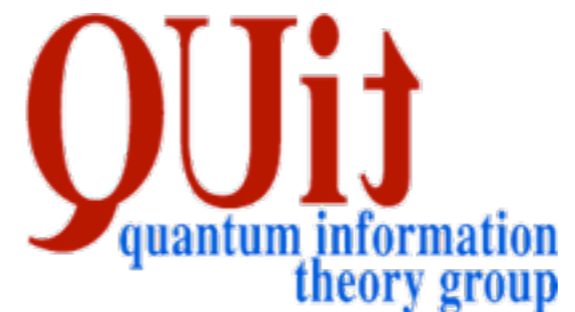


The informational approach to quantum theory: probabilistic theories, quantum principles, and hidden variable models

Paolo Perinotti
Dipartimento di Fisica
Università di Pavia



Open Problems in Quantum Mechanics, Frascati,
June 21 2012

In collaboration with

- G. M. D'Ariano



- G. Chiribella



- F. Manessi



Outline

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- Operational-probabilistic framework

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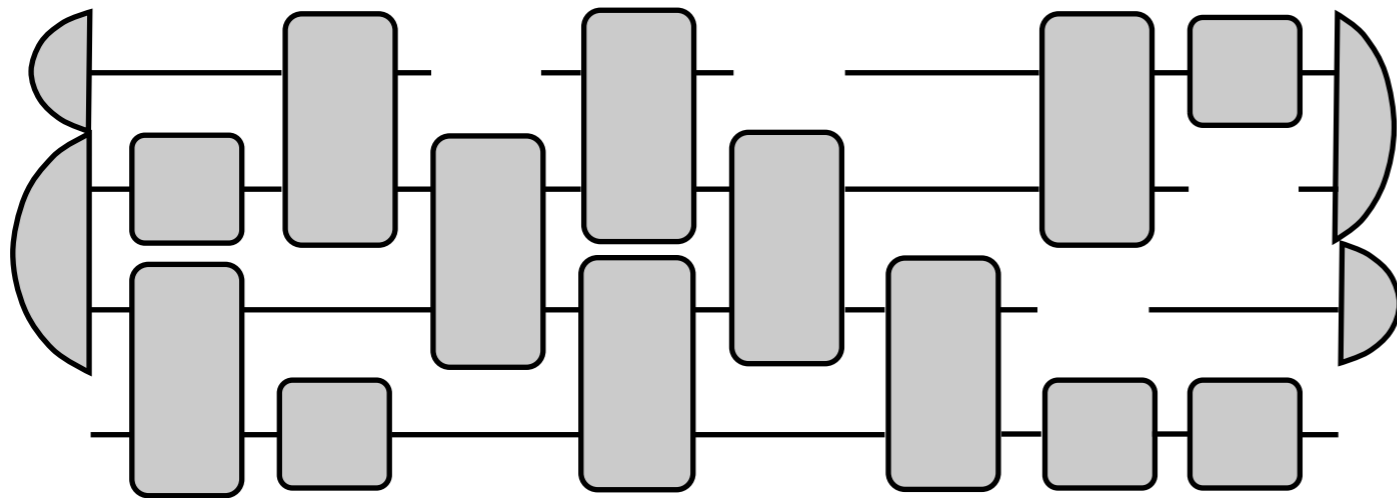
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 - Completeness

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- Operational-probabilistic framework
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 - Spooky action at a distance and outcome independence
 - Completeness
 - Steering, Complementarity and Schrödinger's cat

The operational language

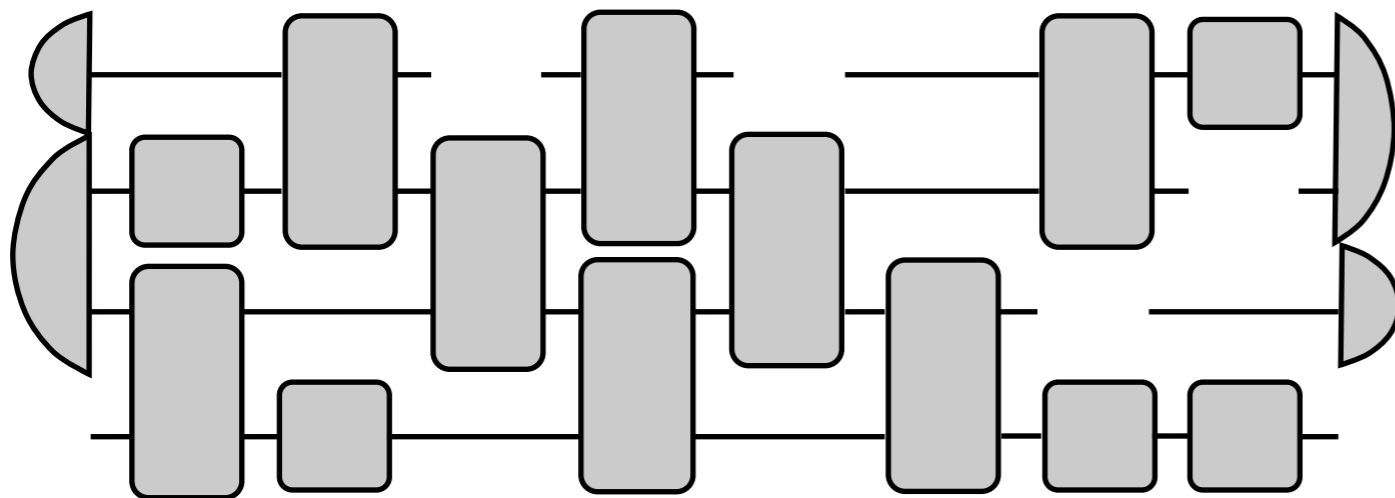
- Operational theory: tests with composition rules



- For any system A there exists a unique test T such that

The operational language

- Operational theory: tests with composition rules

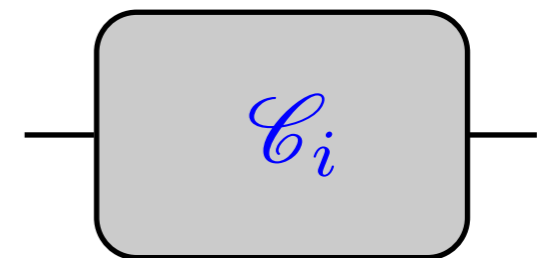
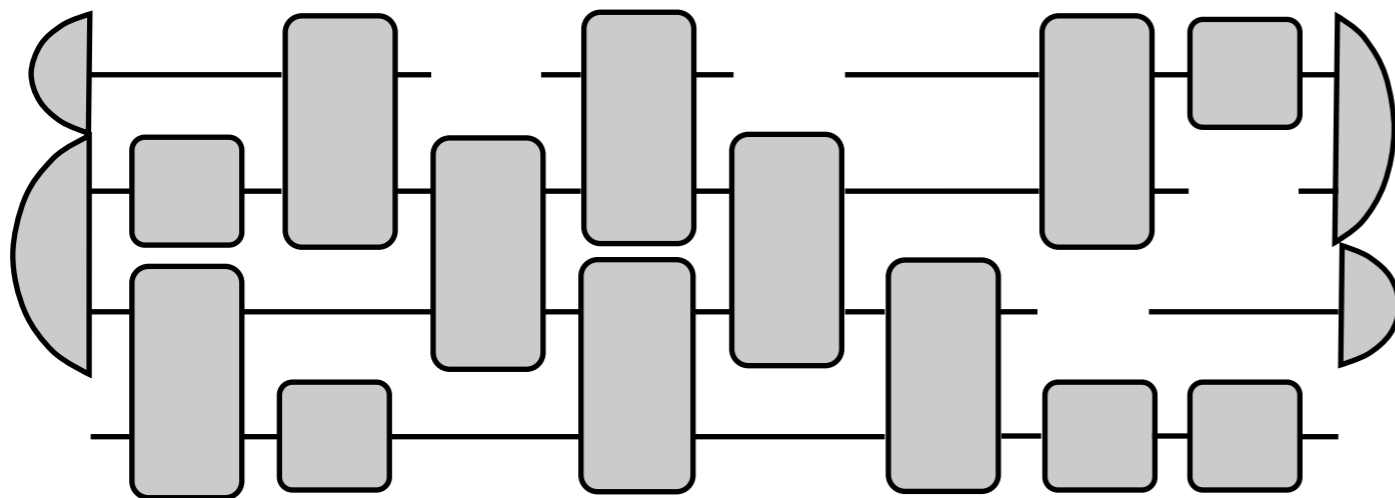


$i \in X$: outcome
 \mathcal{C}_i : event of the test

- For any system A there exists a unique test such that

The operational language

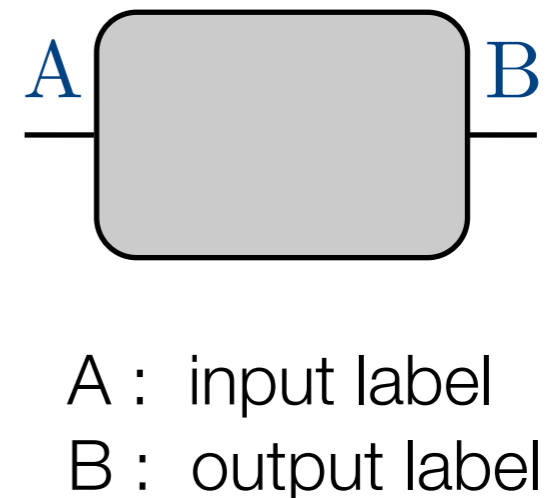
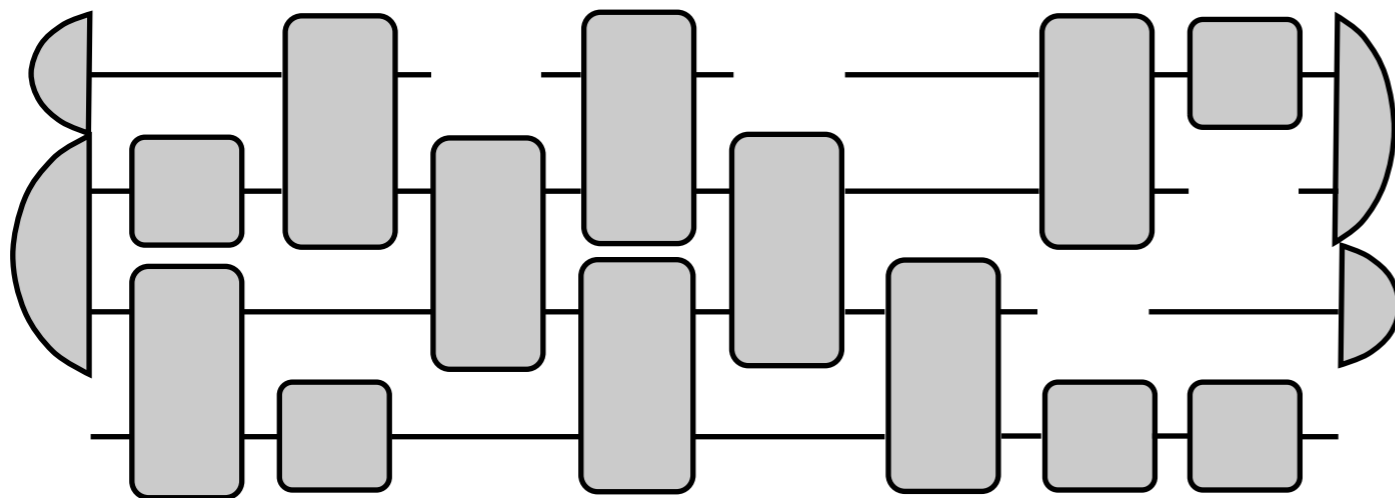
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- For any system A there exists a unique test C_i such that

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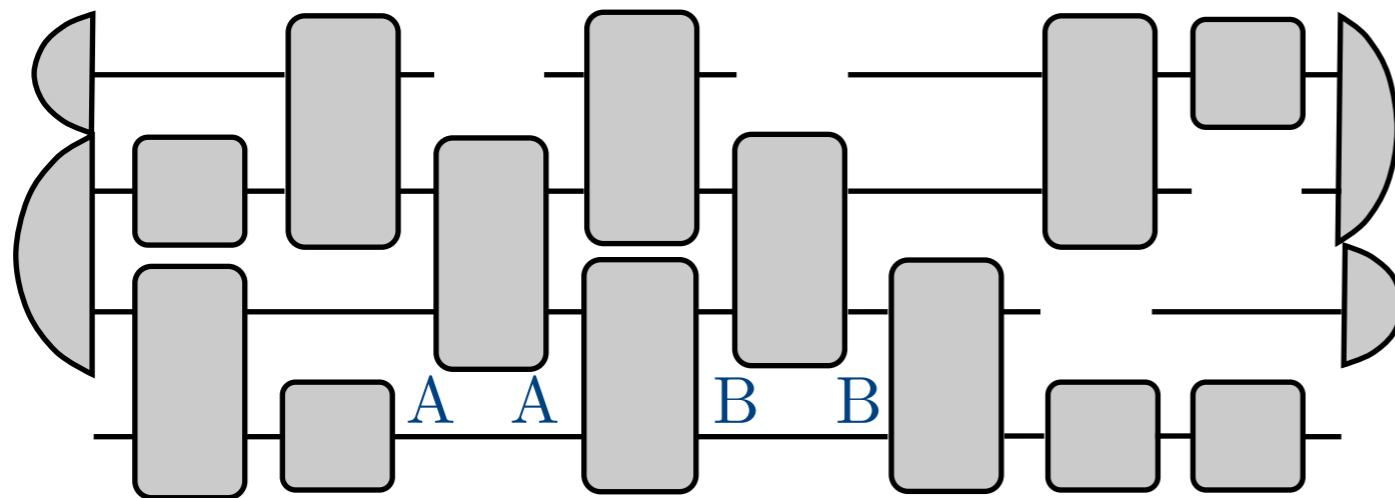
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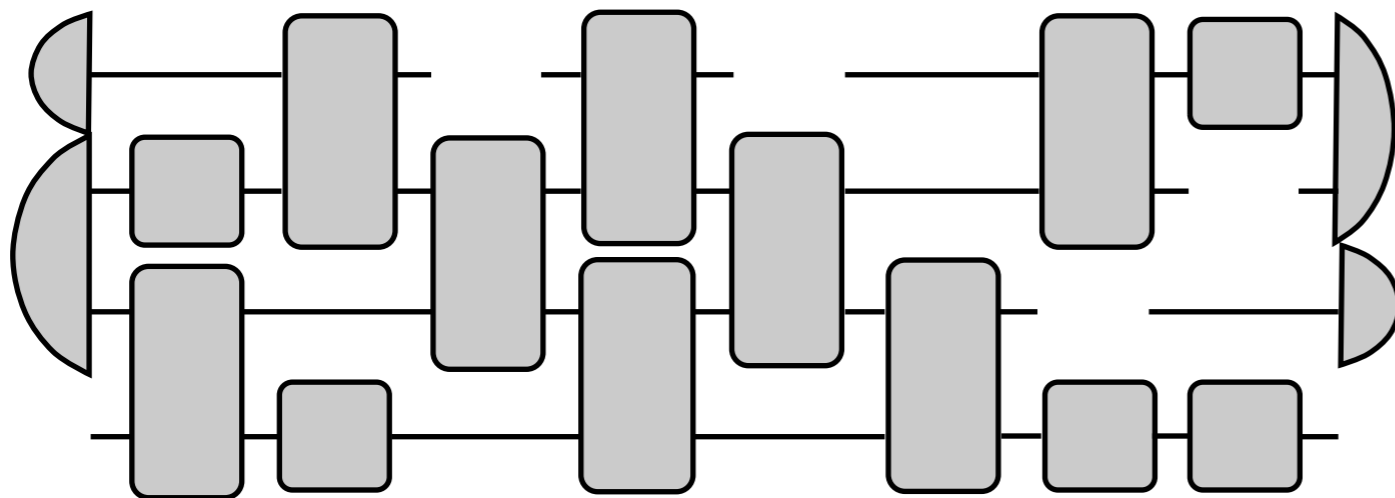


A : input label
B : output label

- For any system A there exists a unique test such that

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$$\frac{I}{\text{---}} \boxed{\rho_i} \text{---} A = \boxed{\rho_i} \text{---} A$$

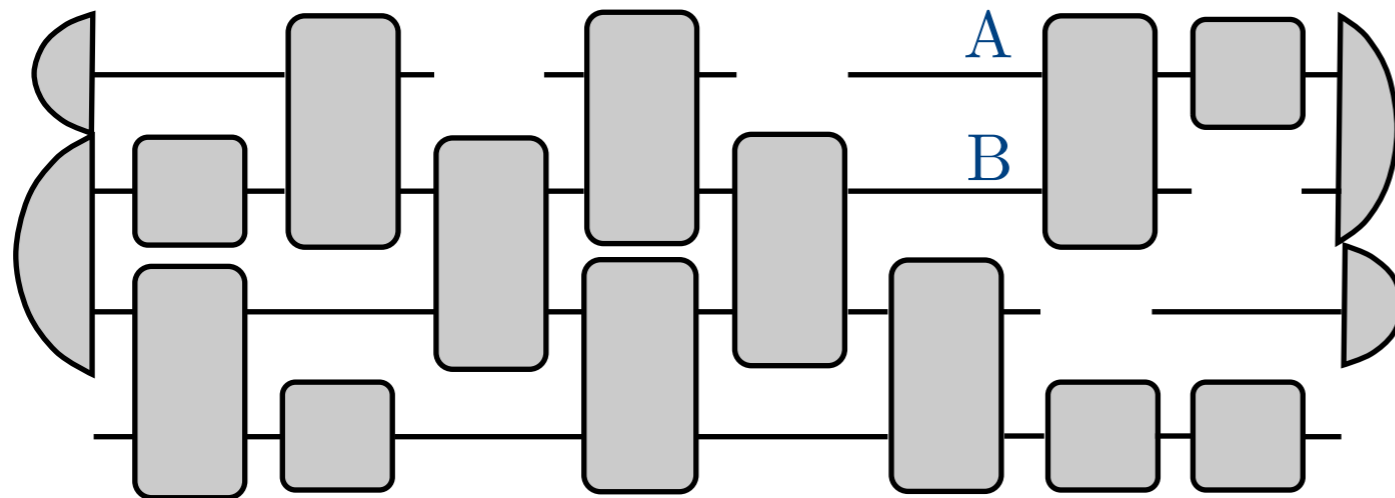
$$\frac{B}{\text{---}} \boxed{a_i} \text{---} I = \frac{B}{\text{---}} \boxed{a_i}$$

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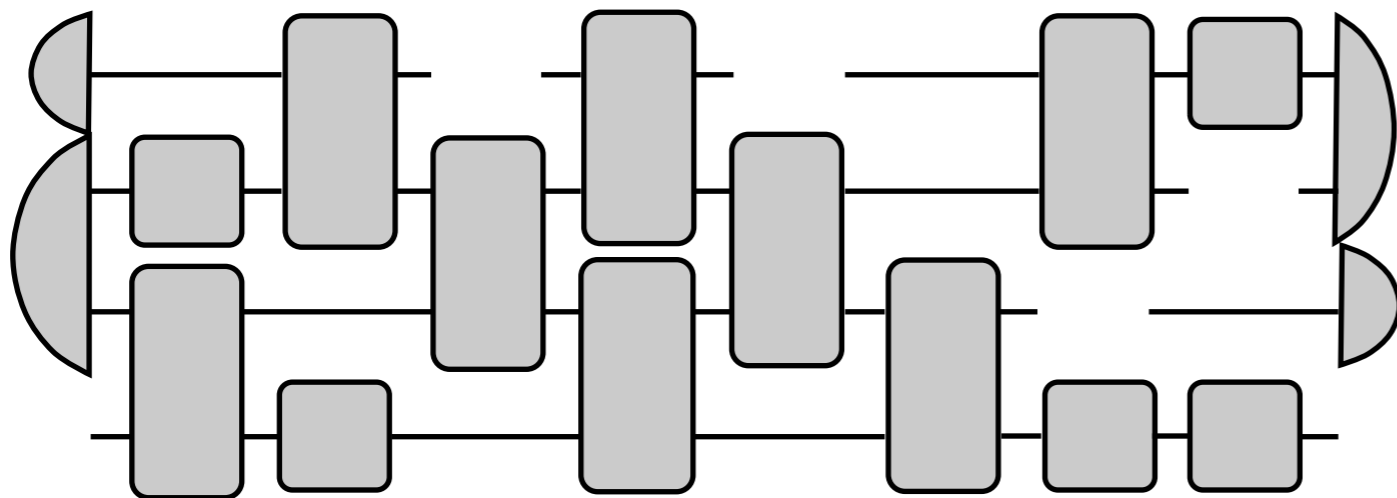


- $C := AB = BA$
- $(AB)C = A(BC)$
- $A1 = 1A = A$

- For any system A there exists a unique test 1 such that

The operational language

- Operational theory: tests with composition rules



- For any system A there exists a unique test \mathcal{I}_A such that

$$\begin{array}{c} A \\ \text{---} \end{array} \boxed{\mathcal{C}_i} \begin{array}{c} \text{---} \\ B \end{array} = \begin{array}{c} A \\ \text{---} \end{array} \boxed{\mathcal{I}_A} \begin{array}{c} A \\ \text{---} \end{array} \boxed{\mathcal{C}_i} \begin{array}{c} \text{---} \\ B \end{array} = \begin{array}{c} A \\ \text{---} \end{array} \boxed{\mathcal{C}_i} \begin{array}{c} B \\ \text{---} \end{array} \boxed{\mathcal{I}_B} \begin{array}{c} \text{---} \\ B \end{array}$$

G. Chiribella, G. M. D'Ariano, and PP, Phys. Rev. A **81**, 062348(2010)

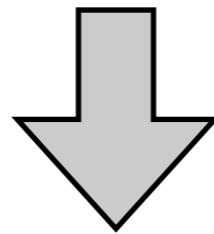
Operational probabilistic theories

- Probabilistic theory

Every test of type $I \rightarrow I$ is a probability distribution

$$\left(\rho_i \text{---} a_j \right) = \text{Pr}(a_j, \rho_i)$$

States are functionals on effects and viceversa



Real vector spaces $\text{St}_{\mathbb{R}}(A), \text{Eff}_{\mathbb{R}}(A)$

Events are linear transformations

Coarse graining and Atomicity

- Coarse graining of the test $\{\mathcal{A}_i\}_{i \in X} \longrightarrow \{\mathcal{B}_j\}_{j \in Y}$

$$\mathcal{B}_j = \sum_{i \in X_j} \mathcal{A}_i \quad \bigcup_{j \in Y} X_j = X \quad X_j \cap X_k = \emptyset$$

- Atomic is an event which does not represent a coarse graining
- The refinement set of an event contains all refining events

Axioms for Quantum Theory

- Causality
- Local discriminability
- Atomic composition
- Perfect discriminability
- Ideal compression
- Purification

G. Chiribella, G. M. D'Ariano, and PP, Phys. Rev. A **84**, 012311 (2011)

Axioms for Quantum Theory

- Causality

$$p_a(\rho_i) := \sum_j \left(\rho_i \text{---} a_j \right) = p(\rho_i)$$

- Local discriminability

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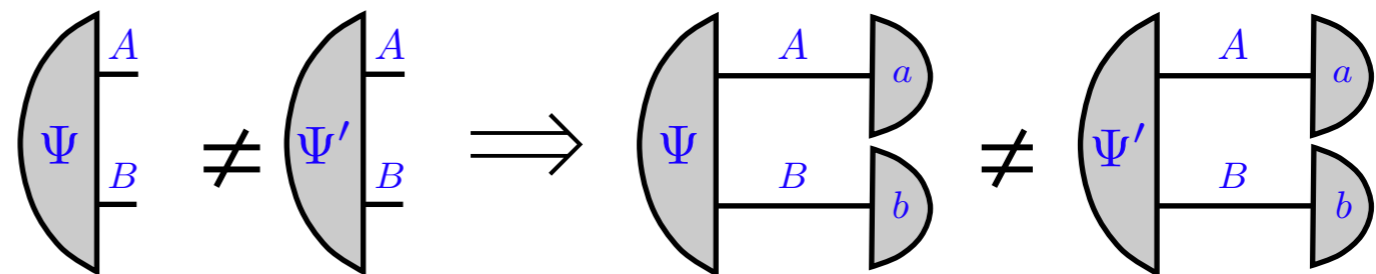
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$$\text{---} \boxed{\mathcal{A}} \text{---} \boxed{\mathcal{B}} \text{---} = \sum_i \text{---} \boxed{\mathcal{T}_i} \text{---}$$

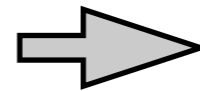
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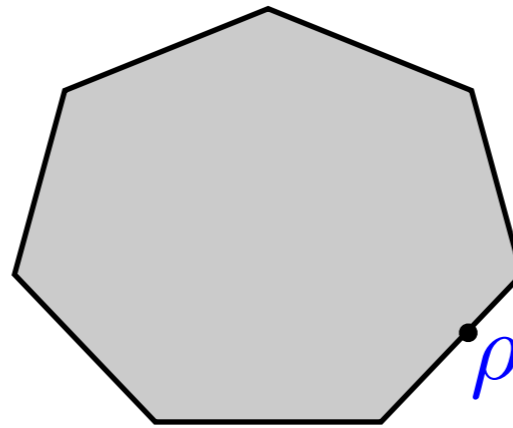
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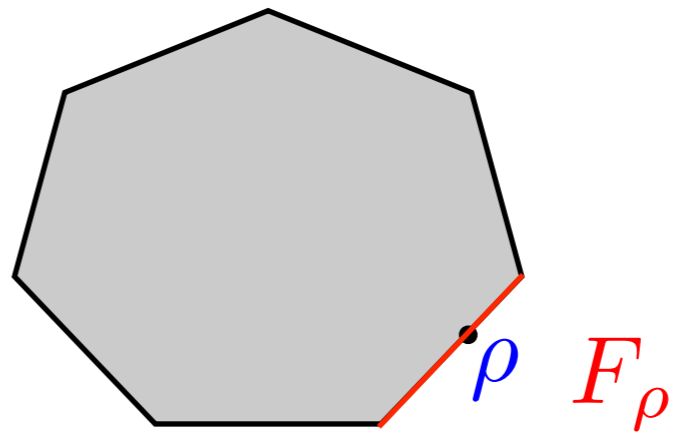
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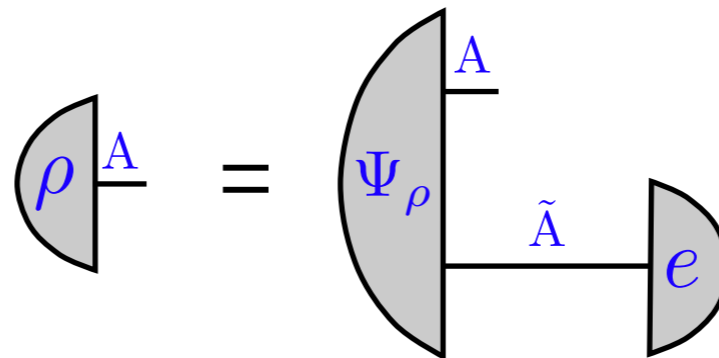
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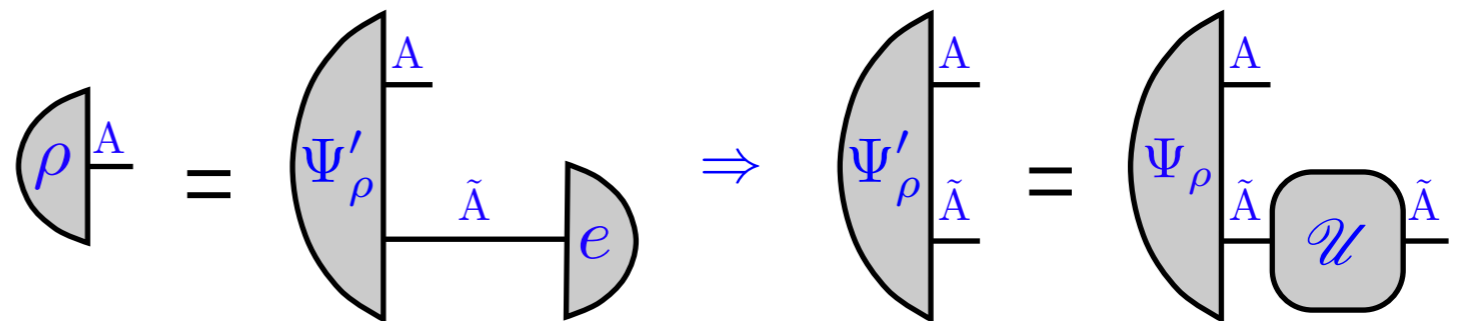
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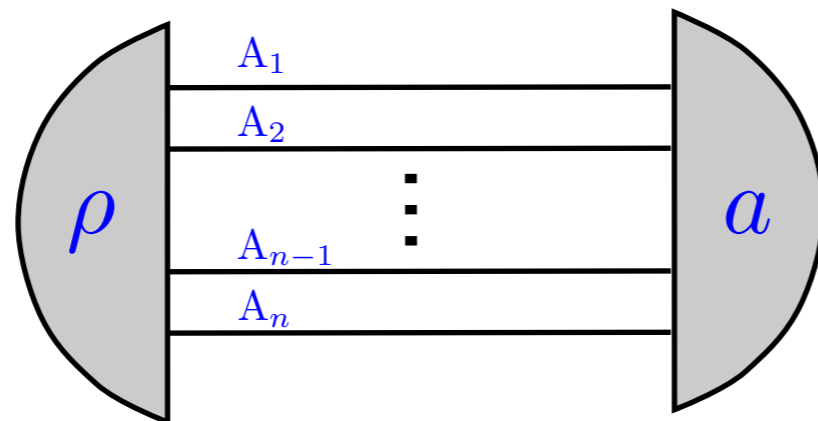
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Hidden Variable Theories for OPTs

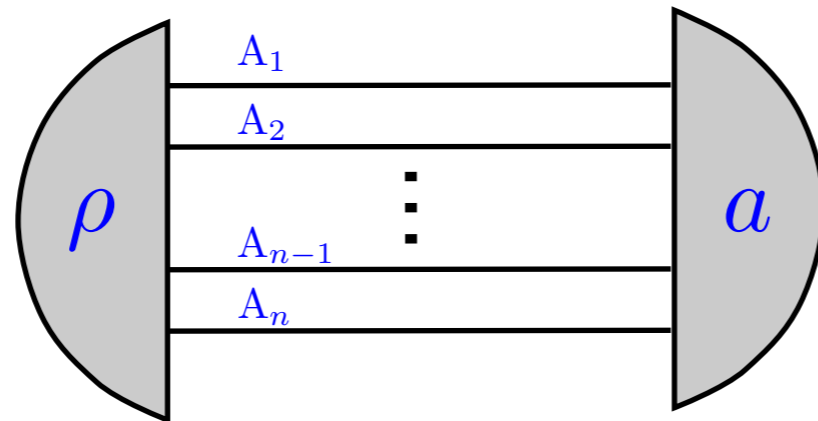
- Given an OPT for every circuit of type



we can choose tests $a := \{a_j\}_{j \in Y}$

Hidden Variable Theories for OPTs

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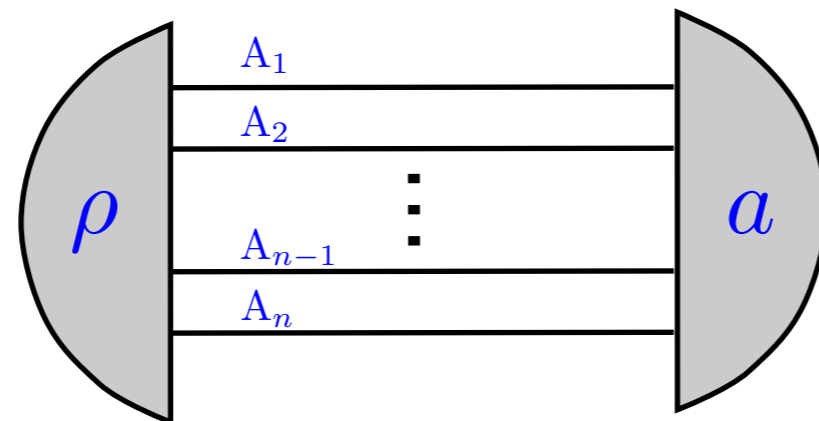


we can choose tests $a := \{a_j\}_{j \in Y}$

- Define the sample space $\Psi^{A_1 \otimes \dots \otimes A_n} := Y \times \{a^{(1)}, \dots, a^{(n)}\}$

Hidden Variable Theories for OPTs

- Given an OPT for every circuit of type



we can choose tests $a := \{a_j\}_{j \in Y}$

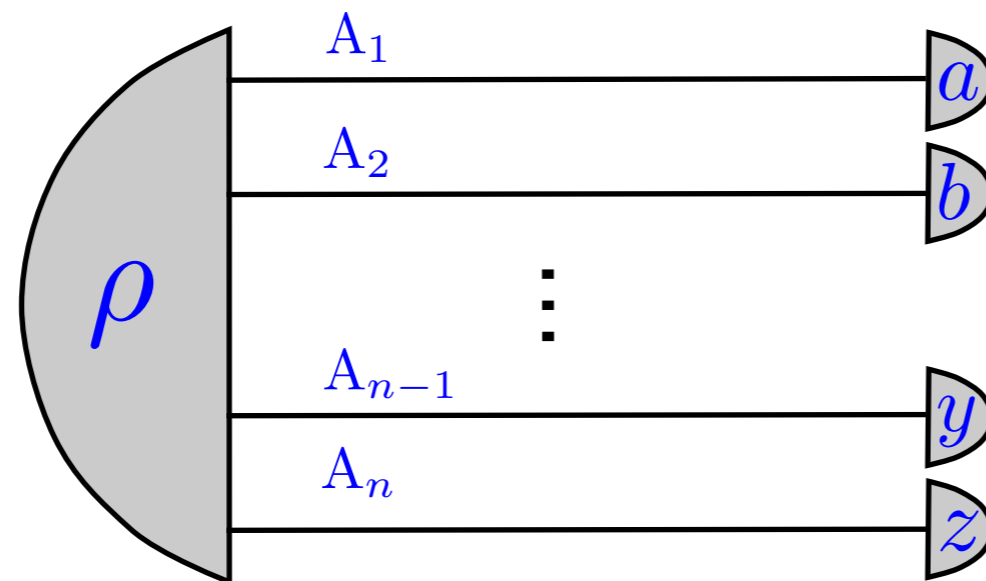
- Define the sample space $\Psi^{A_1 \otimes \dots \otimes A_n} := Y \times \{a^{(1)}, \dots, a^{(n)}\}$

- Equivalent HVT: $\Lambda^{A_1 \otimes \dots \otimes A_n}, \text{Pr}_\lambda^\rho : \Psi \times \Lambda$

$$\text{Pr}(a_j | a) = \sum_{\lambda \in \Lambda} \text{Pr}_\lambda(a_j | a, \lambda) \text{Pr}_\lambda(\lambda | a)$$

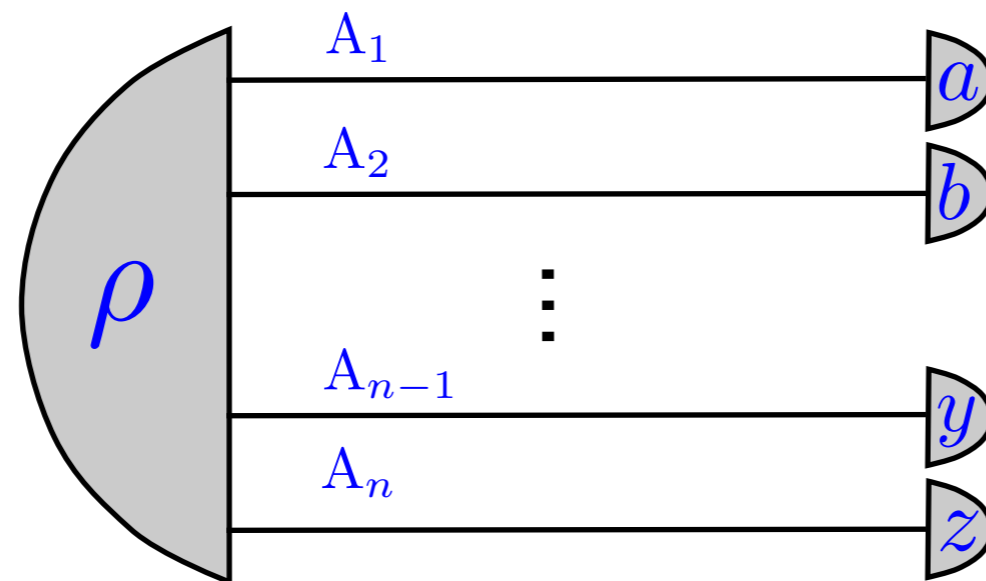
Hidden variable theories and locality

- Typical setting: local measurements



Hidden variable theories and locality

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- This setting is used to prove **non-locality** of quantum theory

$$\Pr(a_i, b_j | a, b) \neq \sum_{\lambda \in \Lambda} \Pr_{\lambda}(a_i | a, \lambda) \Pr_{\lambda}(b_j | b, \lambda) \Pr_{\lambda}(\lambda)$$

Basic properties of HVTs

- λ -Independence

$$\Pr_{\lambda}(\lambda|a, b, \dots) = \Pr_{\lambda}(\lambda|a', b', \dots)$$

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- Parameter Independence

$$\Pr_{\lambda}(a_i|a, b, \dots, \lambda) = \Pr_{\lambda}(a_i|a, \lambda)$$

- Free Choice*

$$\Pr_{\lambda}(a|b_i, b, \lambda) = \Pr_{\lambda}(a|b'_i, b', \lambda')$$
$$\Pr_{\lambda}(a) > 0, \quad \forall a$$

* R. Colbeck and R. Renner, arXiv:1111.6597 (2011)

Determinism

- Strong determinism:

$$\forall a, \lambda \quad \exists! a_i \text{ s.t. } \Pr_{\lambda}(a_i | a, \lambda) = 1$$

- Weak determinism:

$$\forall a, b, \dots, \lambda \quad \exists! (a_i, b_j, \dots) \text{ s.t. } \Pr_{\lambda}(a_i, b_j, \dots | a, b, \dots, \lambda) = 1$$

Existence theorems

- T1: Given any empirical model, there is an equivalent hidden-variable model which satisfies Strong Determinism*.
- T2: Given any empirical model, there is an equivalent hidden-variable model which satisfies Weak Determinism and λ -Independence*.

T1 does not grant λ -independence and parameter independence

T2 does not grant parameter independence

* A. Brandenburger and N. Yanofsky, J. Phys. A: Math. Theor. 41, 425302 (2008)

Assumption

- We will consider only HVTs with both

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- We will consider only HVTs with both
 - λ -Independence
 - Parameter independence

Properties of HVTs

- Outcome Independence

Properties of HVTs

- Outcome Independence $\Pr_{\lambda}(a_i|a, b, \dots, b_j, c_k, \dots, \lambda) = \Pr_{\lambda}(a_i|a, b, \dots, \lambda)$
- Locality

Properties of HVTs

- Outcome Independence $\Pr_{\lambda}(a_i|a, b, \dots, b_j, c_k, \dots, \lambda) = \Pr_{\lambda}(a_i|a, b, \dots, \lambda)$
- Locality $\Pr_{\lambda}(a_i, b_j, \dots | a, b, \dots, \lambda) = \Pr_{\lambda}(a_i|a, \lambda)\Pr_{\lambda}(b_j|b, \lambda) \dots$
- Completeness

Properties of HVTs

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- Completeness $\Pr_{\lambda\mu}(a_i|a, \lambda, \mu) = \Pr_{\lambda}(a_i|a, \lambda), \quad \forall \lambda, \mu \Pr_{\lambda, \mu}(\lambda, \mu) > 0$

Locality \Leftrightarrow Parameter Independence + Outcome Independence

Properties of HVTs

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Locality \Leftrightarrow Parameter Independence + Outcome Independence

- Notice: violation of locality \Leftrightarrow violation of Outcome Independence

Spooky action at a distance

- A theory is spooky* if any equivalent HVT violates Outcome Independence

* G. M. D'Ariano, F. Manessi, and PP arXiv:1108.3681 (2011).

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 - λ -Independence + Parameter independence
 - CHSH ensures that any HVT for Quantum Theory must violate locality
 - ➔ It necessarily violates outcome independence

* G. M. D'Ariano, F. Manessi, and PP arXiv:1108.3681 (2011).

Complete operational probabilistic theories

- HVT for an OPT: **descriptively non significant*** if for every pure state

$$\Pr_{\lambda}[a_i, b_j, \dots | a, b, \dots, |\Psi, \lambda] = \Pr_{\lambda}[a_i, b_j, \dots | a, b, \dots, |\Psi, \lambda']$$

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- OPT: **complete** if any HVT is **descriptively non significant**

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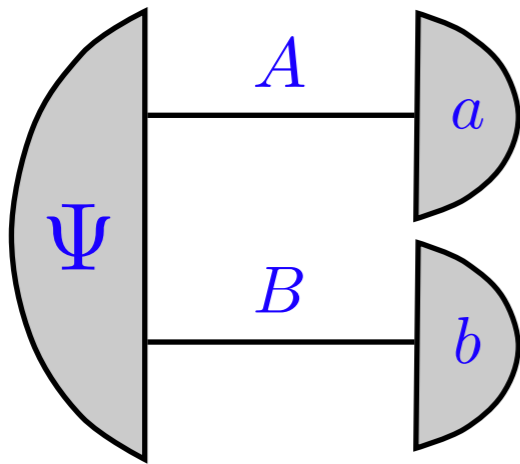
- OPT: **complete** if any HVT is **descriptively non significant**
 - Quantum Theory is complete**

* G. M. D'Ariano, F. Manessi, and PP arXiv:1108.3681 (2011).

** R. Colbeck and R. Renner, Nature Communications 2, 411 (2011)

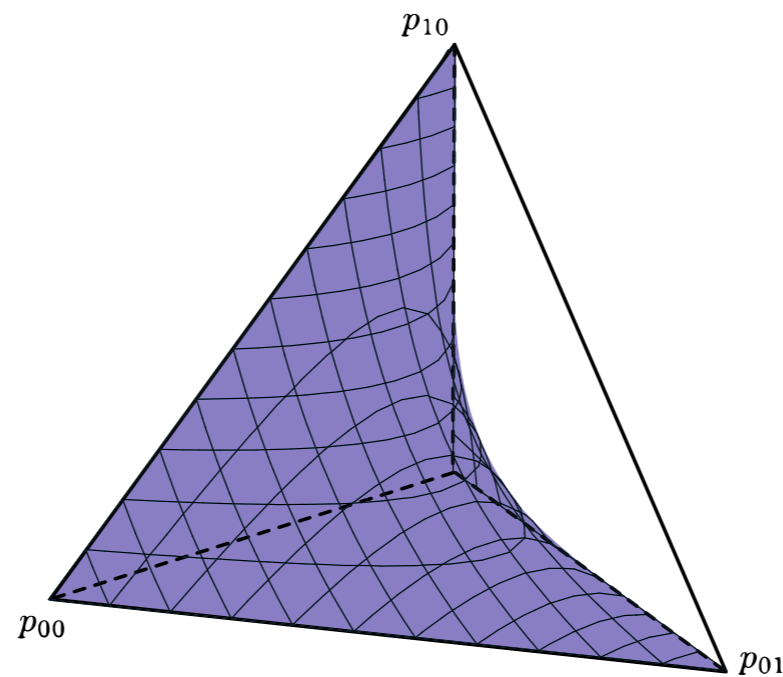
Complete spooky theories

- Theorem*: a **complete** theory is spooky if and only if there exists a **pure** state Ψ and tests $a:=(a_0,a_1)$ and $b:=(b_0,b_1)$ such that



$$p_{00}p_{11} \neq p_{01}p_{10}$$

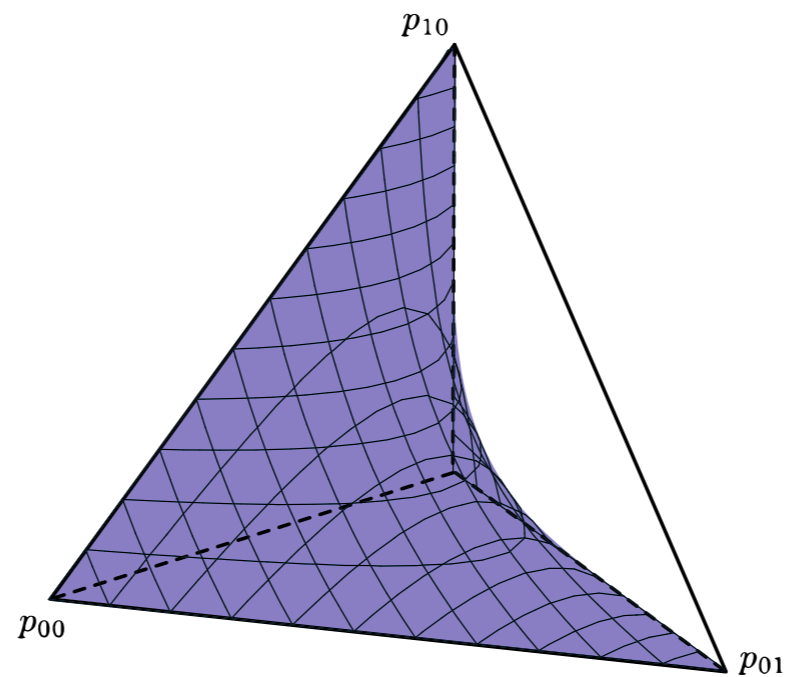
$$p_{ij} := \Pr(a_i, b_j | \Psi)$$



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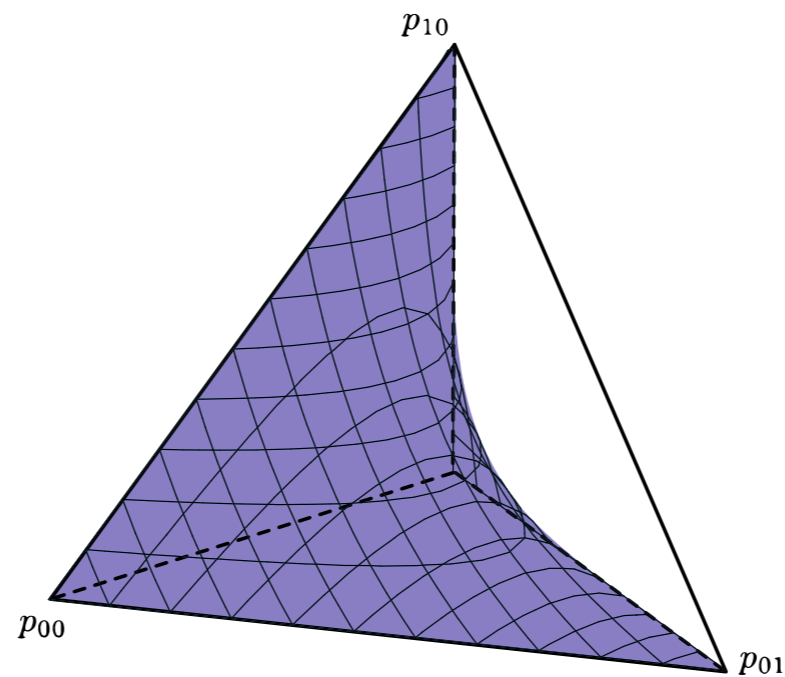
Complete spooky theories

- The paraboloid has null measure in the tetrahedron
- It seems very likely to have theories violating outcome independence



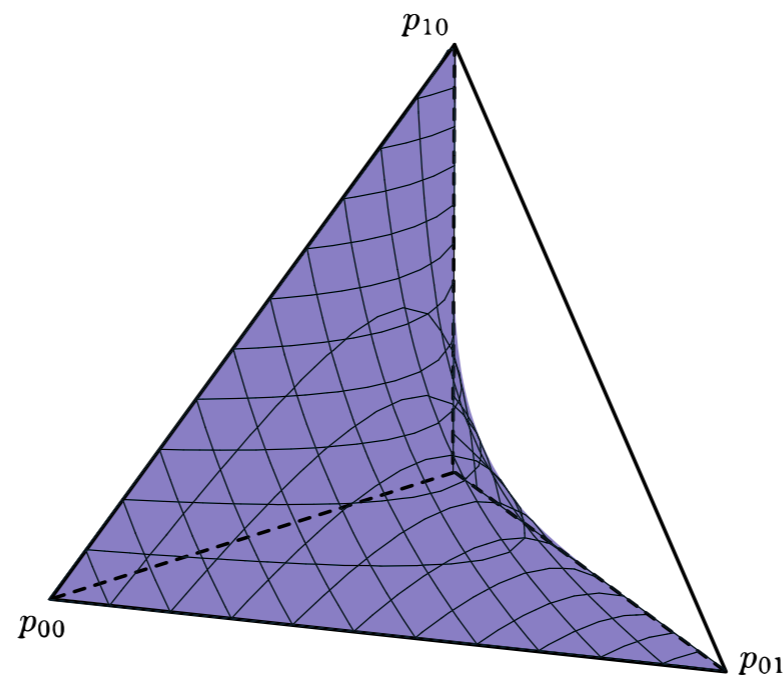
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 - Question: how can one characterise complete theories?



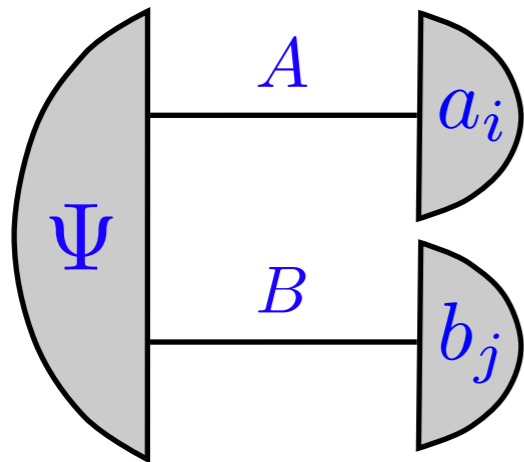
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 - Question: how can one characterise complete theories?
 - Is completeness sufficient to single out Classical and Quantum statistics?

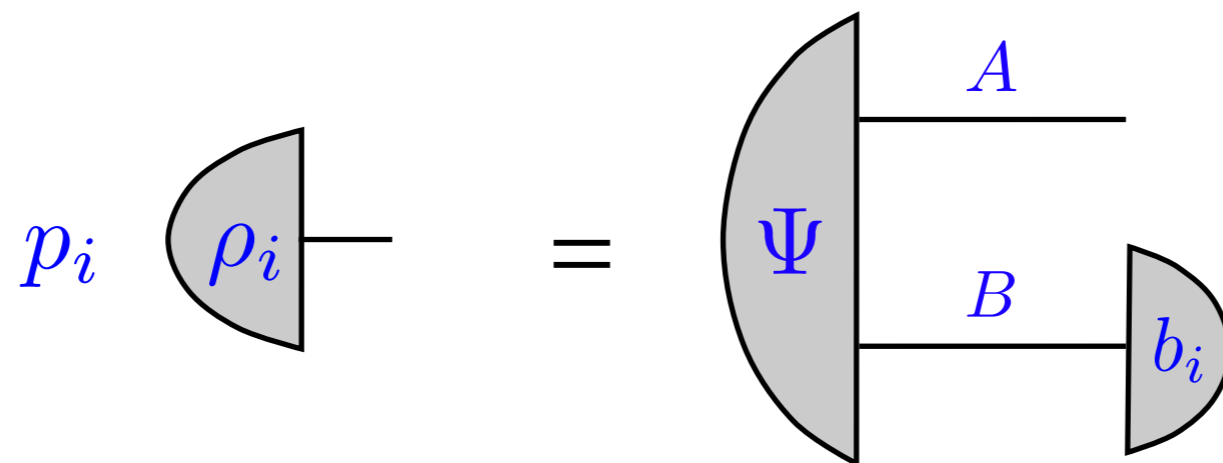


Steering and outcome independence

- Theorem*: An OPT admits a steering state for a **non trivial** ensemble of two **different** states if and only if its probabilities satisfy



$$p_{00}p_{11} \neq p_{01}p_{10}$$



* G. M. D'Ariano, F. Manessi, and PP arXiv:1108.3681 (2011).

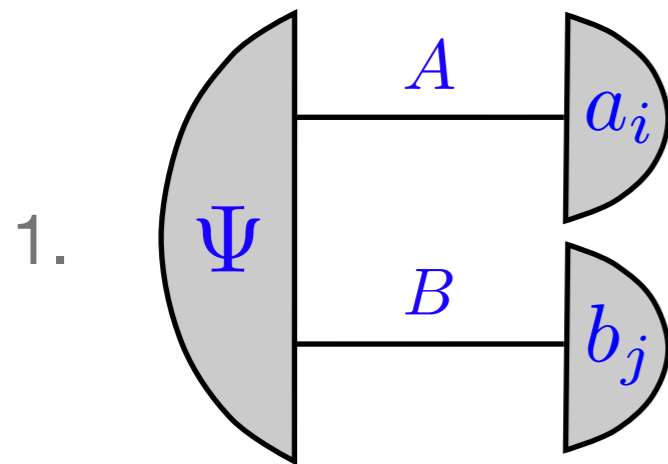
Steering and spookiness

- Corollary*: For a **complete** OPT the following are equivalent

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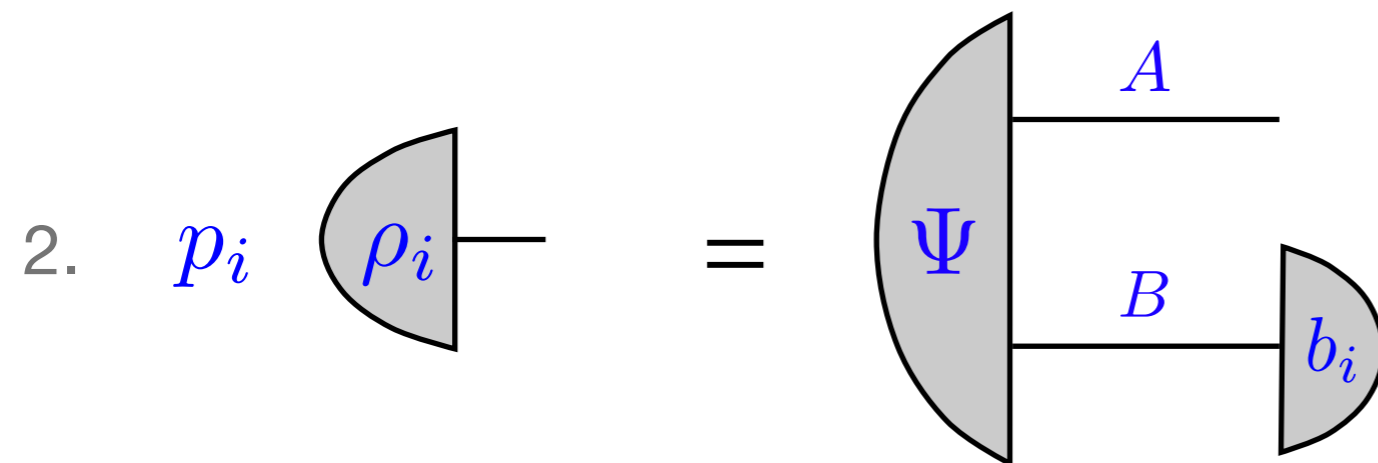
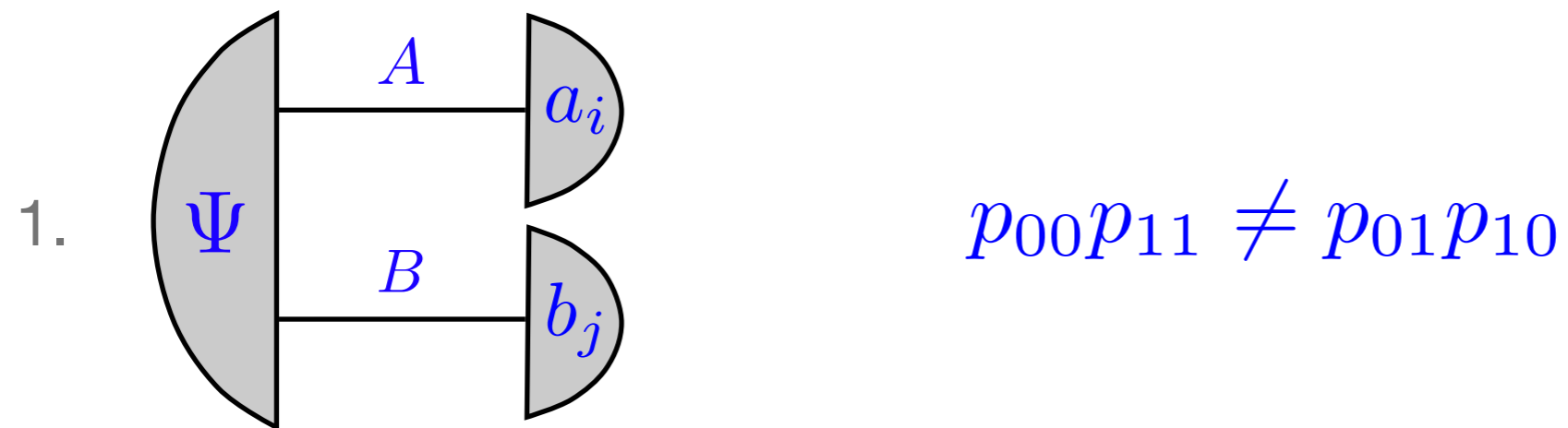


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Steering and spookiness

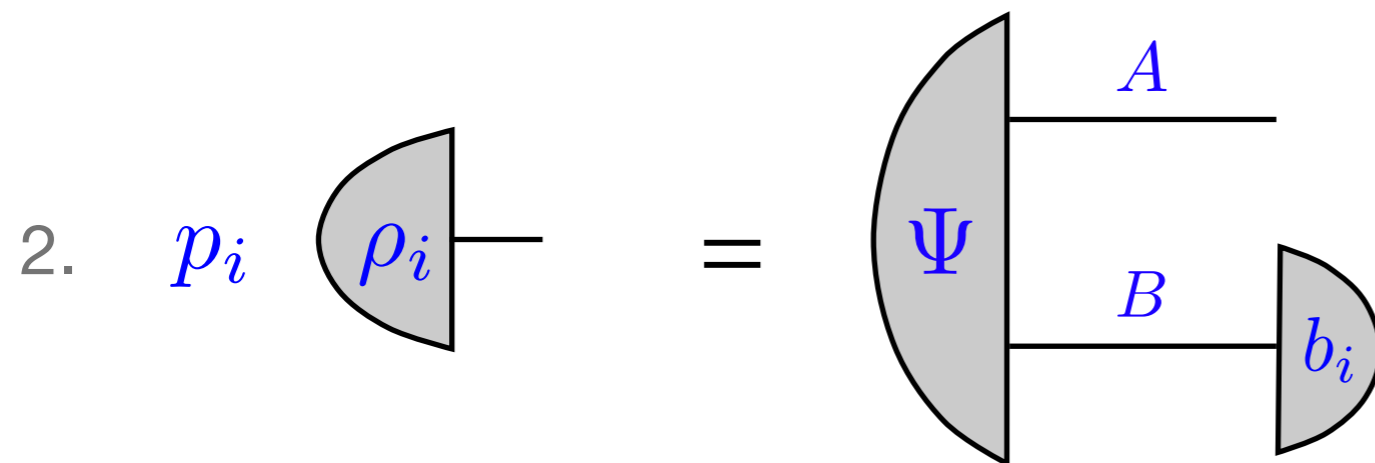
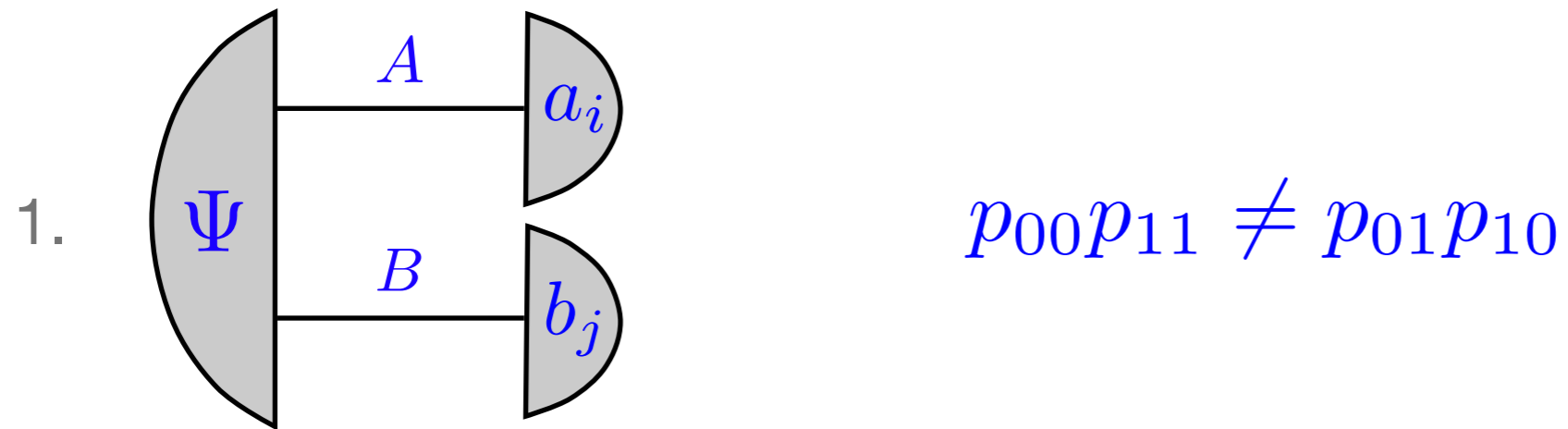
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* G. M. D'Ariano, F. Manessi, and PP arXiv:1108.3681 (2011).

Steering and spookiness

- Corollary*: For a **complete** OPT the following are equivalent



3. **Spookiness**

* G. M. D'Ariano, F. Manessi, and PP arXiv:1108.3681 (2011).

Propositions

- Proposition*: a test $a=(a_0, a_1)$ such that there exist two states ρ_0, ρ_1 s.t.

$$\Pr(a_i|\rho_j) = \delta_{ij}$$

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- The proposition can have definite truth values, corresponding to preparations ρ_0 (false), and ρ_1 (true).
- In Quantum Theory propositions correspond to orthogonal projections
 - Their existence in Quantum Theory is granted by the axiom of **discriminability of non fully mixed states****:

Every state that is not fully mixed can be perfectly discriminated from another state

* G. M. D'Ariano, F. Manessi, and PP arXiv:1108.3681 (2011).

** G. Chiribella, G. M. D'Ariano, and PP, Phys. Rev. A **84**, 012311 (2011).

Complementarity

- An OPT entails complementarity if there are two propositions $a^{(i)}=(a^{(i)}_0, a^{(i)}_1)$ s.t.

$$\Pr(a_i^{(0)} | \rho) = 1 \quad \Rightarrow \quad 0 < \Pr(a_j^{(1)} | \rho) < 1$$

- An OPT entails complementarity if and only if there is a set of propositions $a^{(i)}=(a^{(i)}_0, a^{(i)}_1)$ s.t.

$$\Pr(a_j^{(i)} | \rho) = 1 \quad \Rightarrow \quad \exists k, l \quad 0 < \Pr(a_l^{(k)} | \rho) < 1$$

Schrödinger's cat I

G. M. D'Ariano, F. Manessi, and PP [arXiv:1108.3681](#) (2011).

Schrödinger's cat I

- An OPT with complementarity exhibits Schrödinger's cat-like paradox

$$a^{(0)} = \left\{ \begin{array}{c} \text{cat} \\ \text{alive} \end{array} , \begin{array}{c} \text{cat} \\ \text{dead} \end{array} \right\} \quad a^{(1)} = \left\{ \begin{array}{c} \text{cat} \\ \text{alive} \end{array} , \begin{array}{c} \text{cat} \\ \text{dead} \end{array} \right\}$$

G. M. D'Ariano, F. Manessi, and PP arXiv:1108.3681 (2011).

Schrödinger's cat II

- Given a complete OPT with complementarity and a pure steering state for the ensemble $\{p_0\rho^{(0)}, p_1\rho^{(1)}\}$ where $\Pr(a_0^{(0)}|\rho^{(0)}) = 1$ $\Pr(a_0^{(0)}|\rho^{(1)}) = 0$
the states verifying “alive” or “dead” are remotely prepared

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- Such a theory is necessarily spooky
- The paradox stems from both violation of locality (outcome independence) and complementarity

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Concluding remarks

- Quantum Theory as an OPT
 - five standard axioms for information theories
 - purification postulate
- Can **completeness** be an alternate postulate?
 - Completeness, spookiness and pure state steering
- Steering, complementarity and Schrödinger's cat
 - HVTs can provide useful classification of OPTs