



# First Results from the Double Chooz Experiment

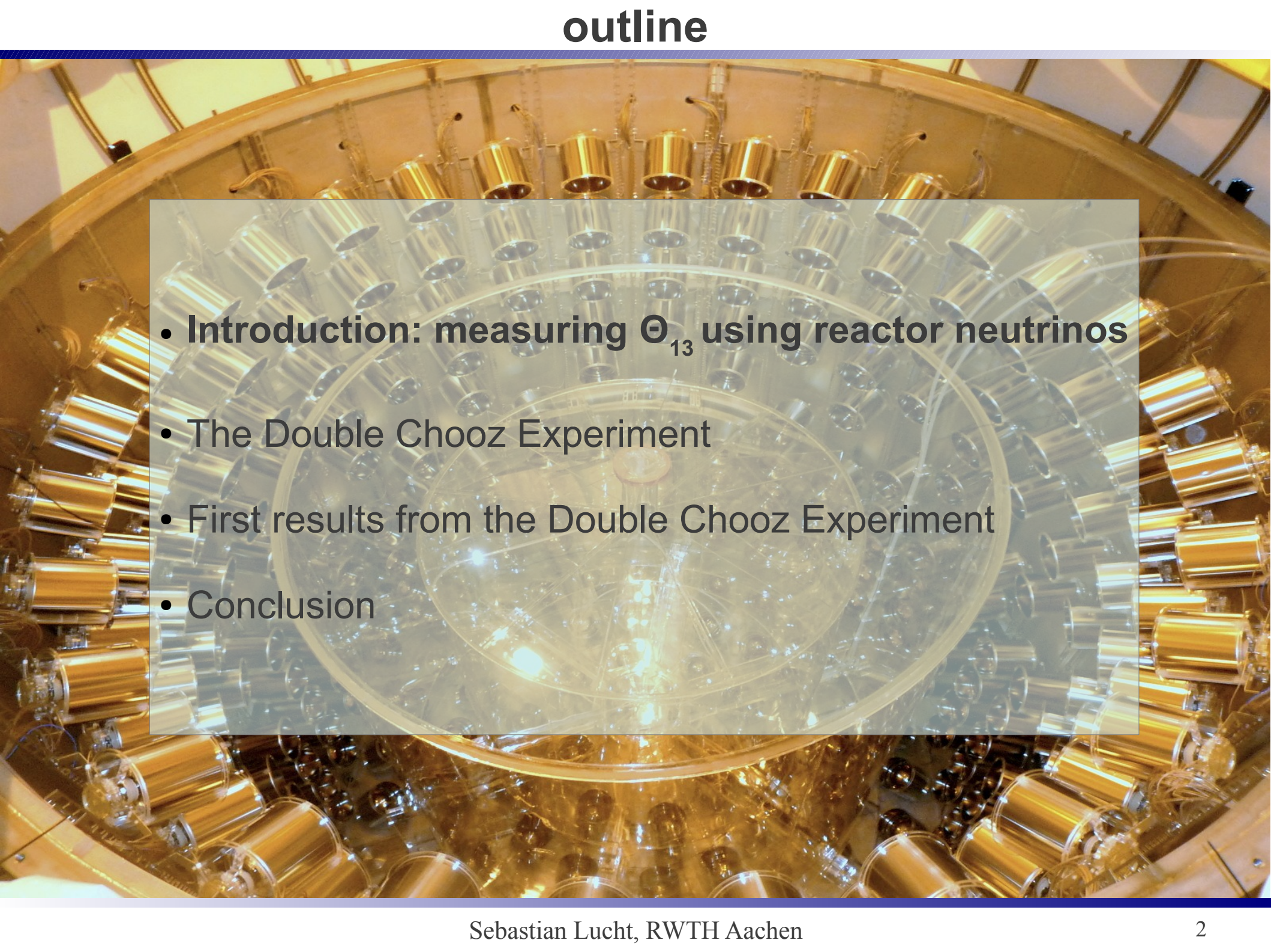
Sebastian Lucht (RWTH Aachen)  
on behalf of the Double Chooz Collaboration

**$\nu$ TURN 2012**

Laboratori Nazionali del Gran Sasso, 08-May-2012



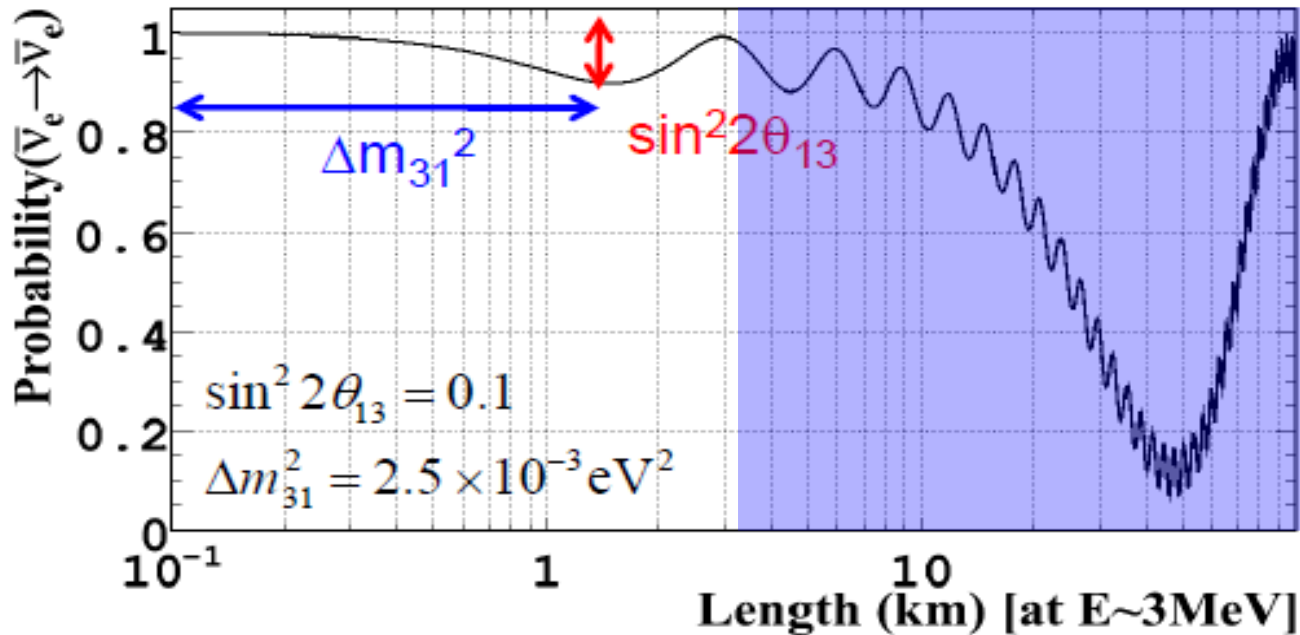
# outline

- 
- Introduction: measuring  $\Theta_{13}$  using reactor neutrinos
  - The Double Chooz Experiment
  - First results from the Double Chooz Experiment
  - Conclusion



# measuring $\Theta_{13}$ using reactor neutrinos

$$P[\bar{\nu}_e \rightarrow \bar{\nu}_e] \cong 1 - \boxed{\sin^2 2\theta_{13}} \sin^2\left(\frac{\Delta m_{31}^2 L}{4E}\right) + O(10^{-3})$$



- Double Chooz is a so called disappearance experiment
- Simple oscillation formula valid at small distances ( $L$ )
- $P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$  is function of  $\Delta m_{31}^2$  (well known) and  $\sin^2 2\theta_{13}$  (goal)
  - Independent of  $\delta_{CP}$
  - Negligible matter effects

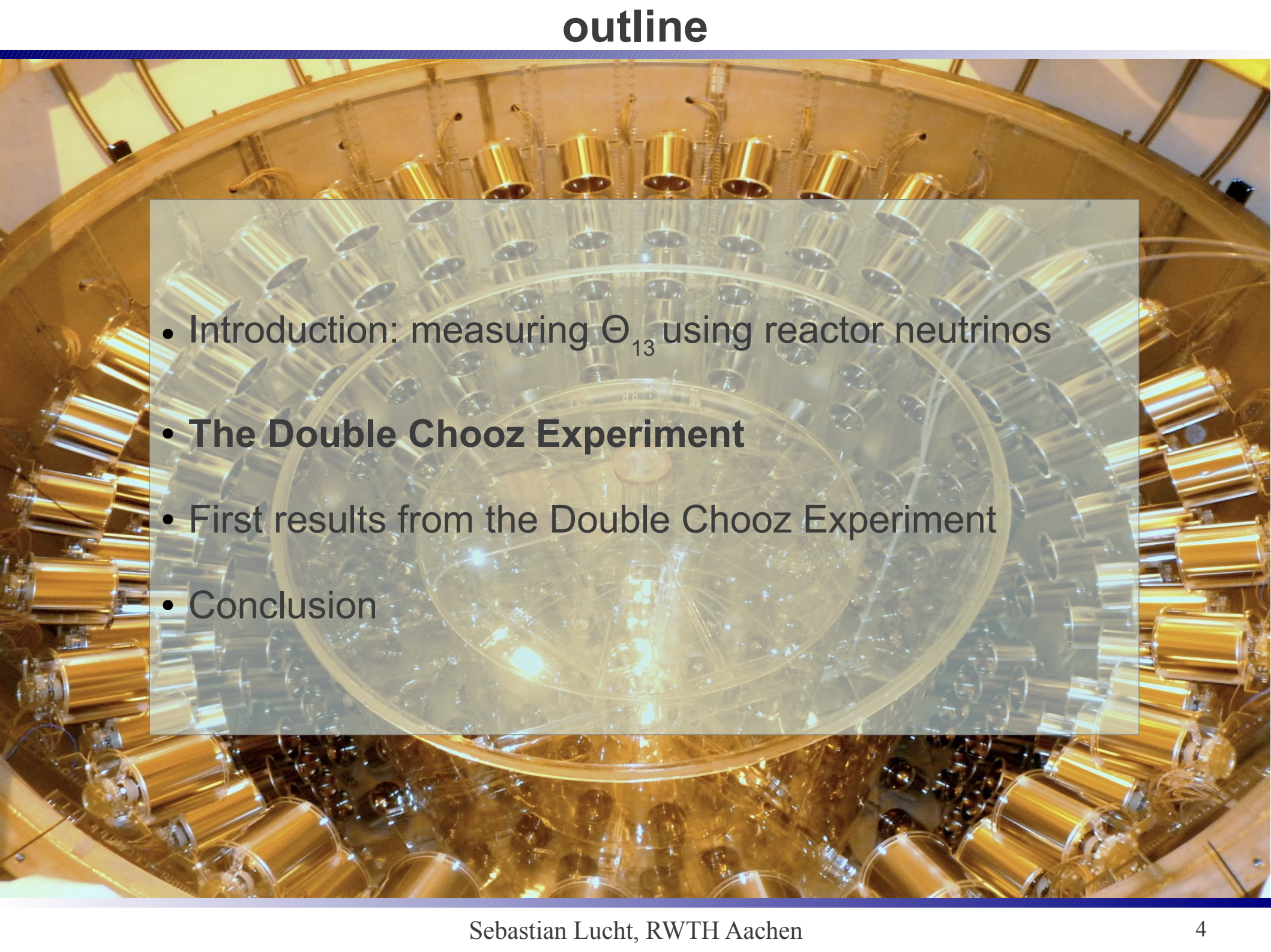


**Clean and direct measurement of  $\theta_{13}$**



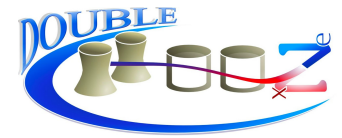


# outline

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- Introduction: measuring  $\Theta_{13}$  using reactor neutrinos
  - **The Double Chooz Experiment**
  - First results from the Double Chooz Experiment
  - Conclusion



# Double Chooz collaboration



**Brazil**

CBPF  
UNICAMP  
UFABC



**France**

APC  
CEA/DSM/IRFU:  
SPP  
SPhN  
SEDI  
SIS  
SENAC  
CNRS/IN2P3:  
Subatech  
IPHC  
ULB/VUB



**Germany**

EKU Tübingen  
MPIK Heidelberg  
RWTH Aachen  
TU München  
U. Hamburg



**Japan**

Tohoku U.  
Tokyo Inst. Tech.  
Tokyo Metro. U.  
Niigata U.  
Kobe U.  
Tohoku Gakuin U.  
Hiroshima Inst  
Tech.



**Russia**

INR RAS  
IPC RAS  
RRC Kurchatov



**Spain**

CIEMAT-Madrid



**UK**

Sussex



**USA**

U. Alabama  
ANL  
U. Chicago  
Columbia U.  
UCDavis  
Drexel U.  
IIT  
KSU  
LLNL  
MIT  
U. Notre Dame  
Sandia National  
Laboratories  
U. Tennessee

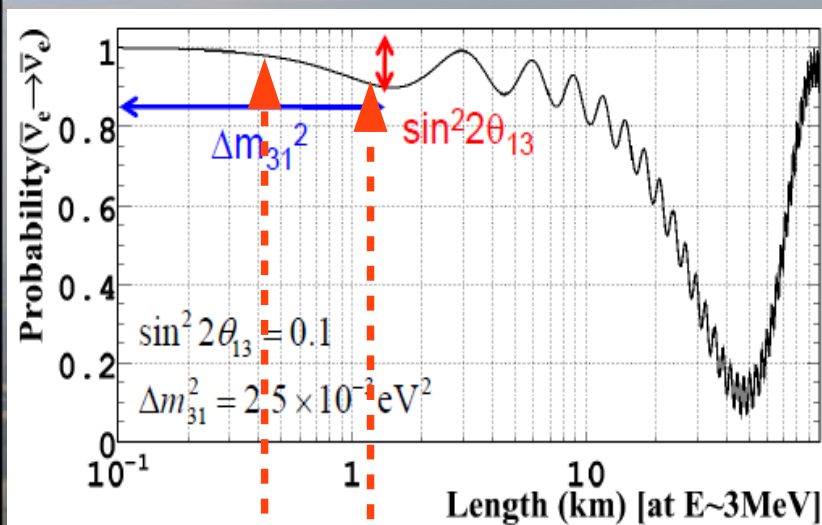
Spokesperson: H. de Kerret (IN2P3)  
Project Manager: Ch. Veyssière (CEA-Saclay)

Web Site: [www.doublechooz.org/](http://www.doublechooz.org/)





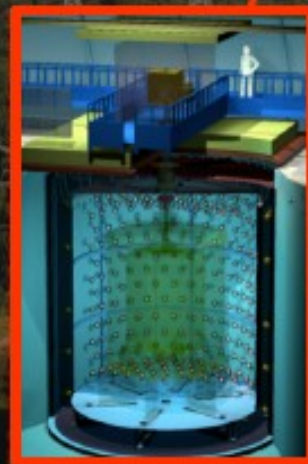
# the Double Chooz experiment



main goals:  
high precision  
small systematic errors



Chooz Reactors  
 $4.27\text{GW}_{\text{th}} \times 2$  cores



Near Detector  
 $\langle L \rangle 400\text{m}$   
120m.w.e.  
Early 2013



Far Detector  
 $\langle L \rangle 1050\text{m}$   
300m.w.e.  
April 2011

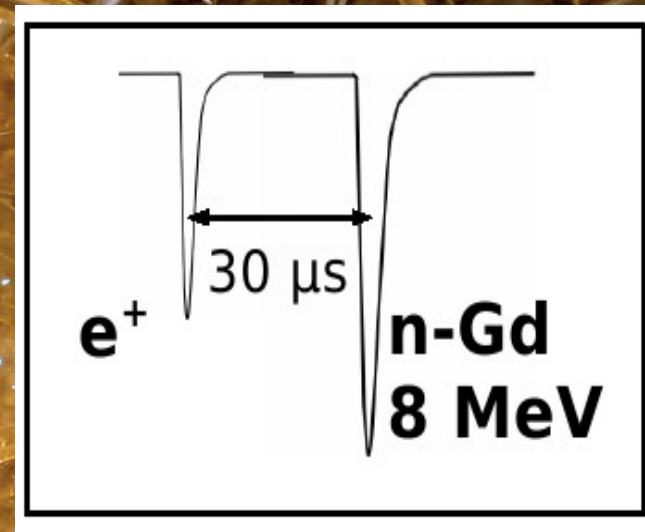
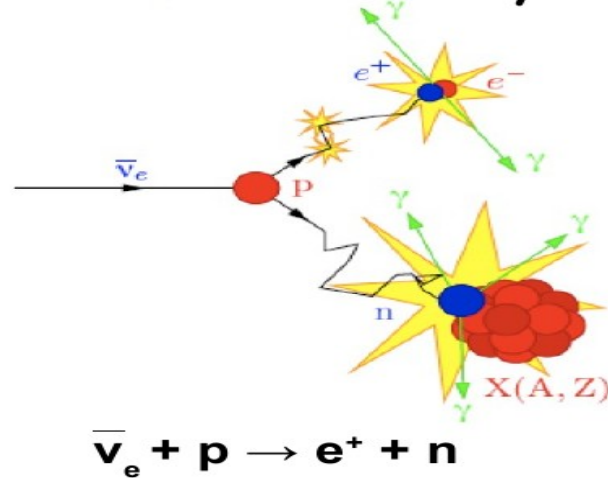


# $\bar{\nu}_e$ detection principle

## delayed coincidence scheme:

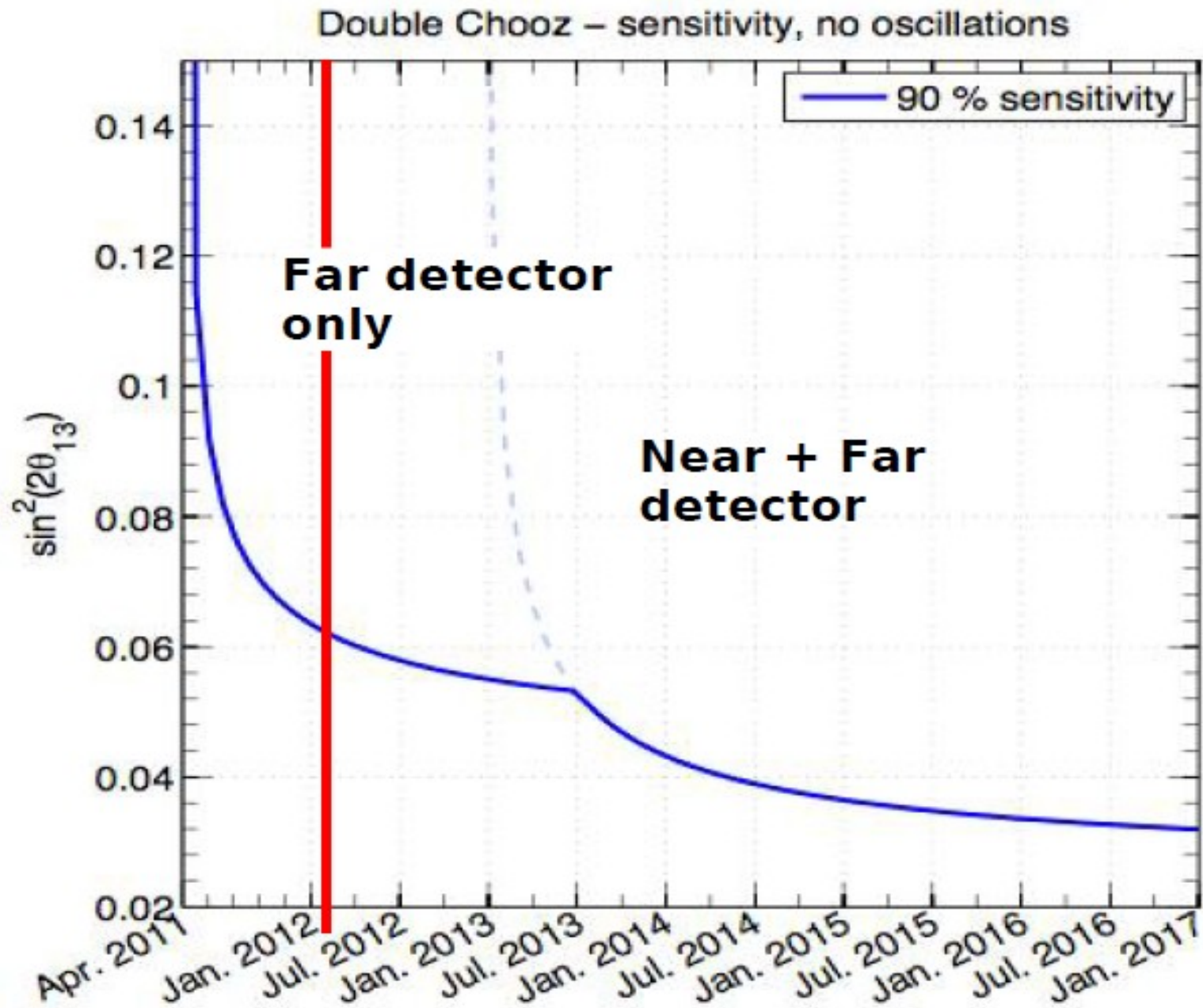
- Prompt:  $e^+$  annihilation
- Delayed: n capture on Gd
- time correlation  $\sim 30 \mu\text{s}$  (thermalization of the n)
- allows to suppress backgrounds

## Inverse Beta Decay



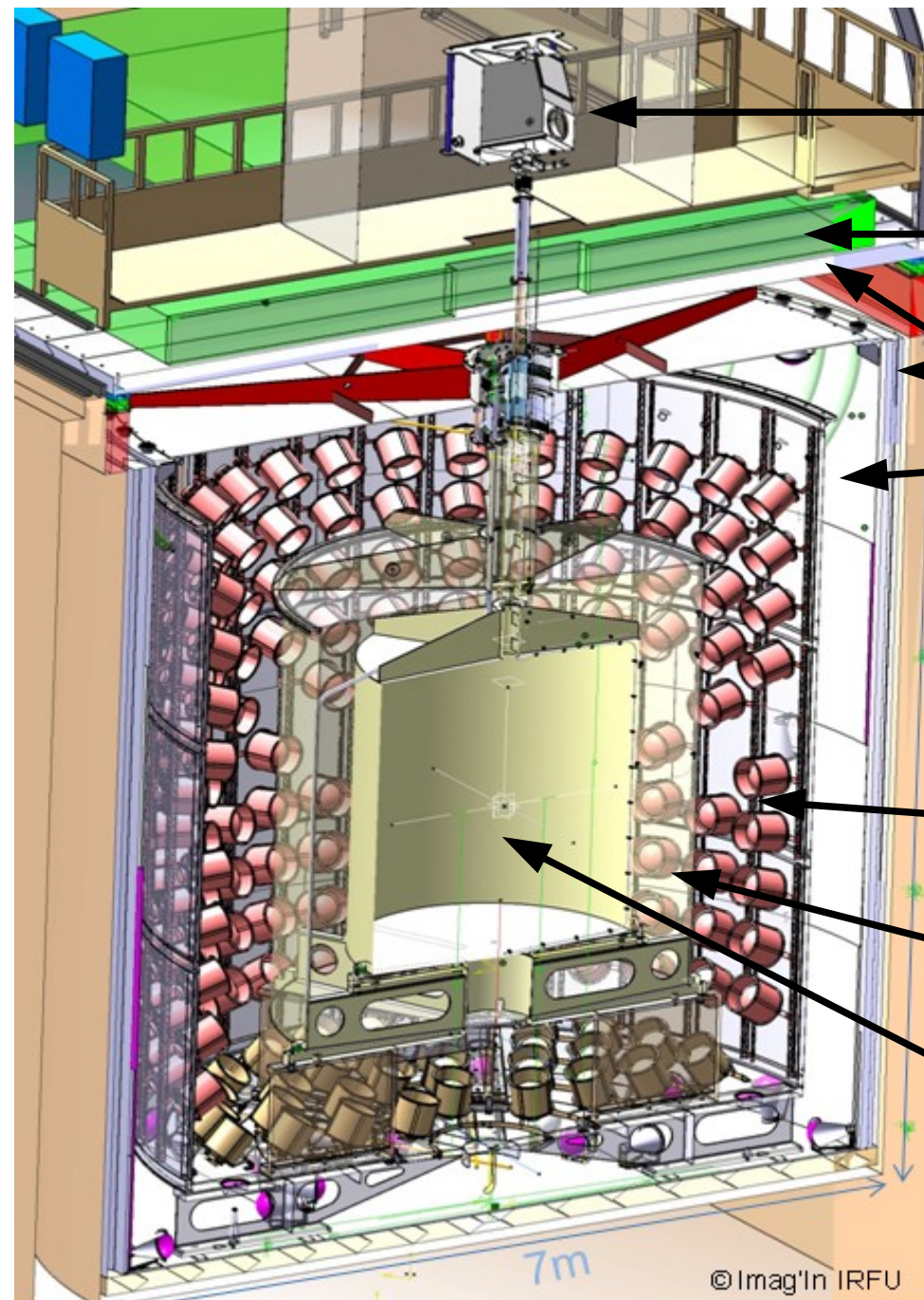


# Double Chooz sensitivity





# the Double Chooz detector



glove box  
→ preparation of calibration sources in controlled atmosphere

outer veto (plastic scintillator strips)  
→ tagging “near-by”  $\mu$ s

shielding (250 tons of steel, 15cm thick)  
→ reduce  $\gamma$  background from surrounding rock

inner veto (steel vessel, 90m<sup>3</sup> liquid scint. + 78 PMTs)  
→ detection of cosmic  $\mu$ s, fast neutrons,  $\gamma$ s etc.

## inner detector (three layers)

buffer (steel vessel, 110m<sup>3</sup> mineral oil + 390 PMTs)  
→ reduction of  $\gamma$ s from PMTs and outside and fast neutrons

gamma catcher (acrylic vessel, 22.3m<sup>3</sup> liquid scint.)  
→ conversion of  $\gamma$ s leaving the target

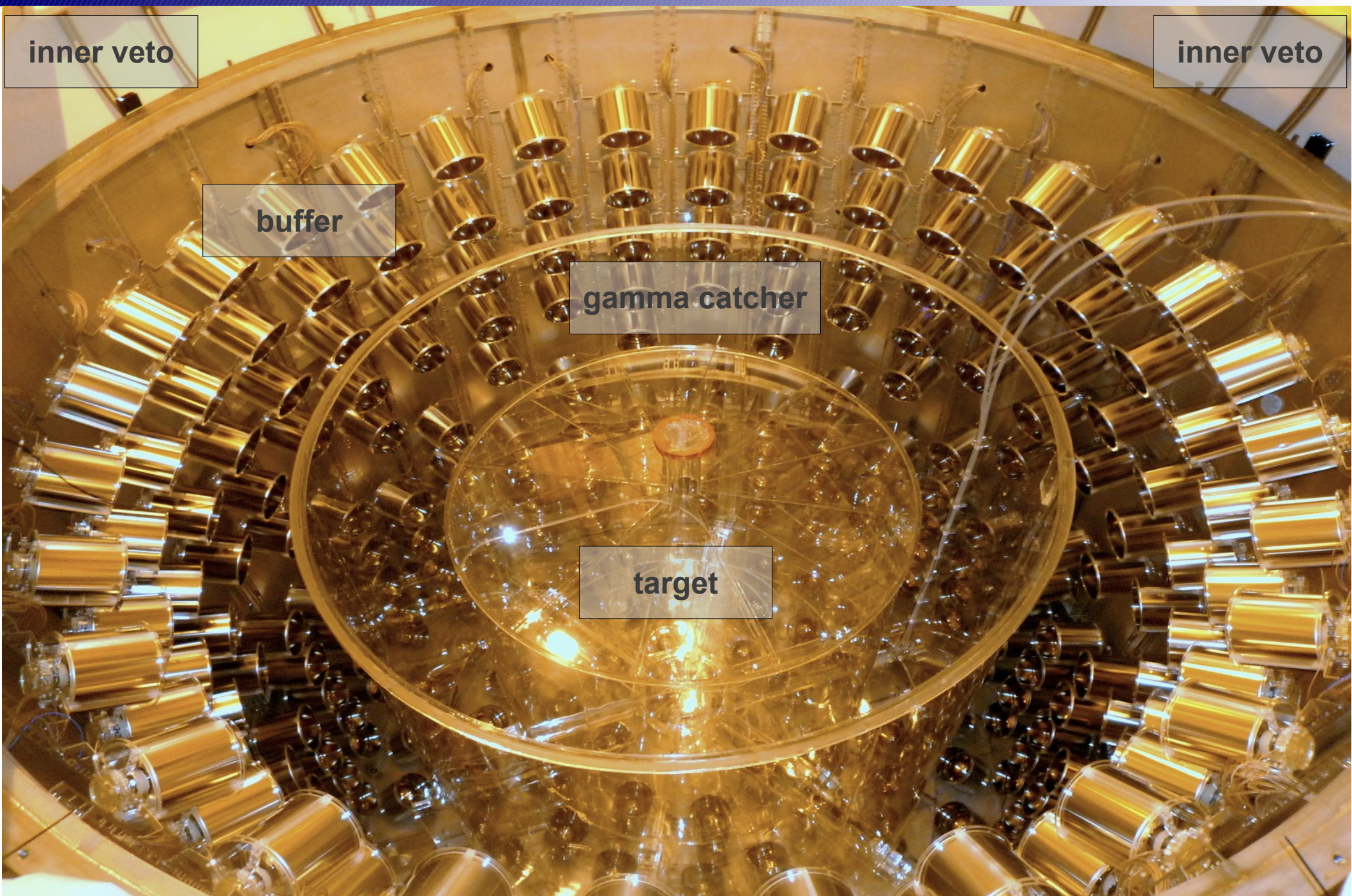
target (acrylic vessel, 10.3m<sup>3</sup> liquid scint. + 1g/l Gd)  
→ v-target, fiducial volume

7m

© Imag'In IRFU



# the Double Chooz detector



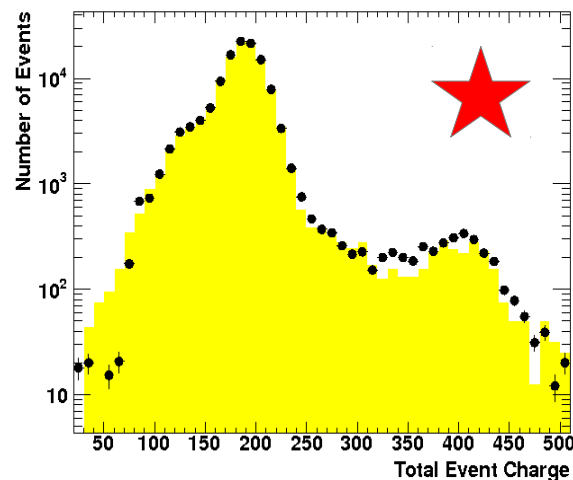


# calibration systems

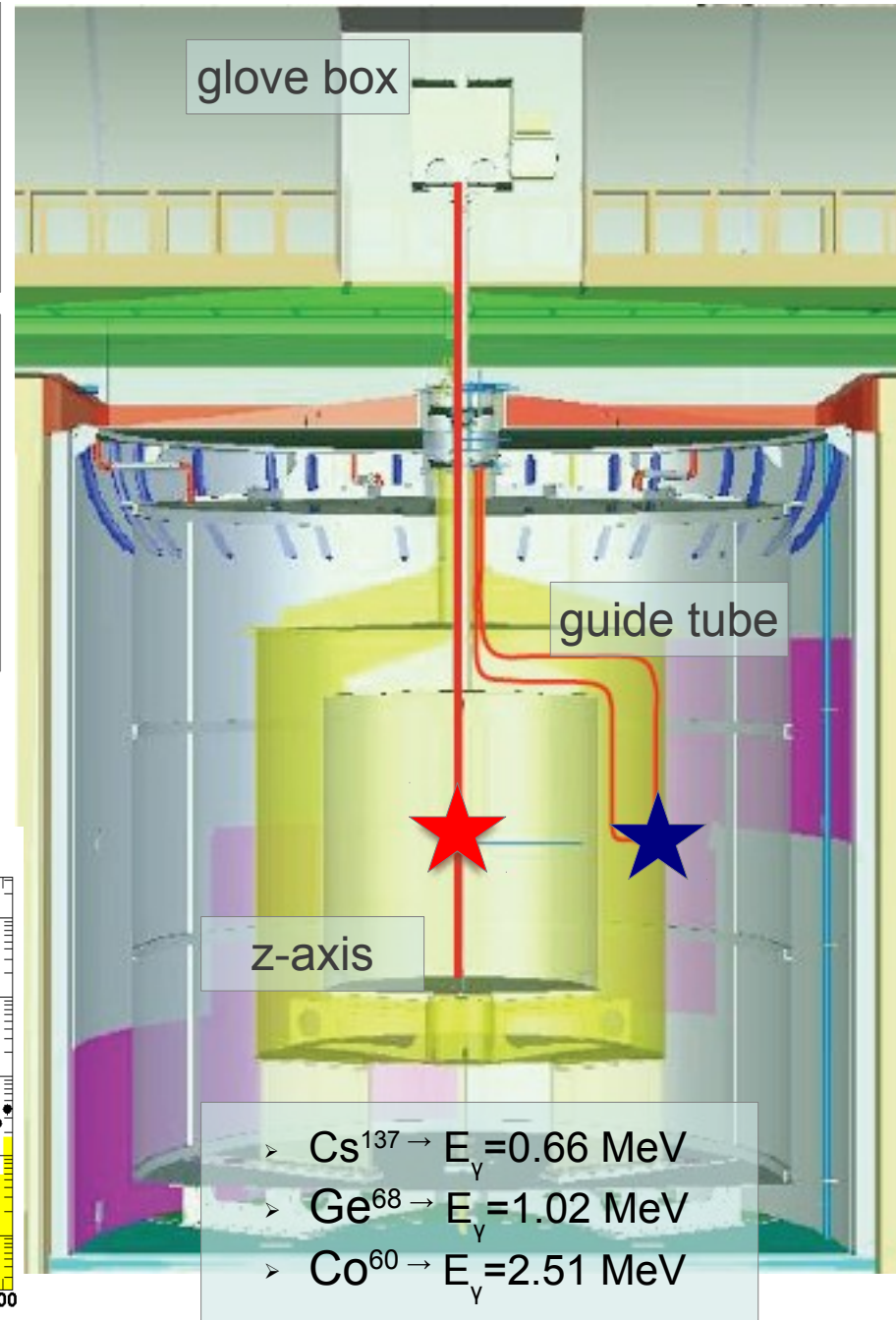
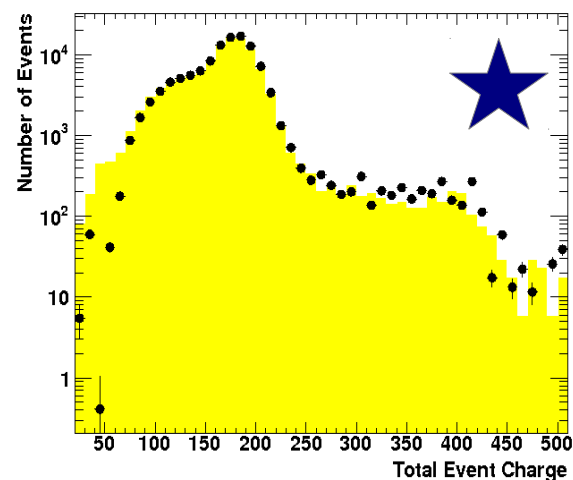
- light sources embedded  
→ LED in ID & IV monitor readout (timing, gain)
- light sources deployed  
→ LED, red-laser & UV-laser for PMT gain, timing, scint stability & attenuation
- radioactive source deployment
  - $\text{Cs}^{137}$ ,  $\text{Ge}^{68}$ ,  $\text{Co}^{60}$  and natural (e.g. H/Gd-captures) covering most important energy range (and signal types)
  - $\text{Cf}^{252}$  (n-source)  
→ allows to study efficiencies
- deployment via z-axis, guide tube and articulated arm (future)

e.g. energy calibration:

$^{68}\text{Ge}$  Detector Center X=0mm, Y=0mm, Z=0mm

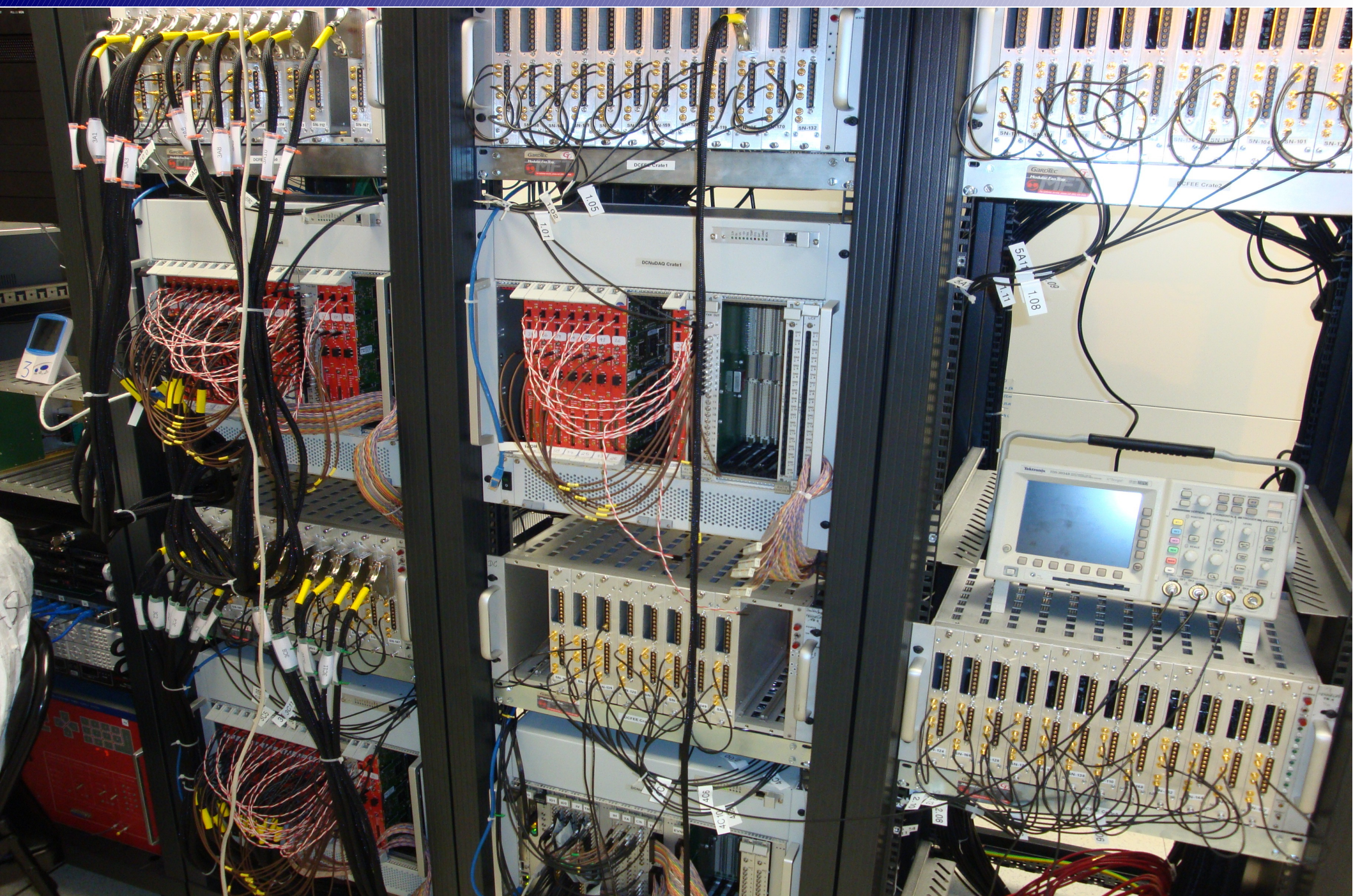


$^{68}\text{Ge}$  Guide Tube X=0mm, Y=1433.9mm, Z=0mm





# readout & electronics





# readout & electronics



ID: 390 PMTs (R7081MOD)  
IV: 78 PMTs (R1408)



custom splitter  
(CIEMAT)



custom Frontend Electronics  
(Drexel U. & LLNL)



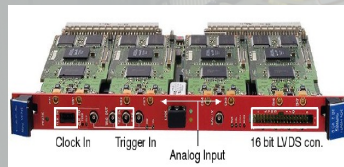
HV supply  
(SY1527LC&A1535P)

## vDAQ

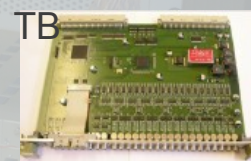


controlled by MVME3100  
(Emerson)

implemented in ADA



v-FADC VX1721  
500 MHz  
(CAEN & APC)



TB

custom trigger system  
"energy trigger + multiplicity"  
(RWTH Aachen)



TMB



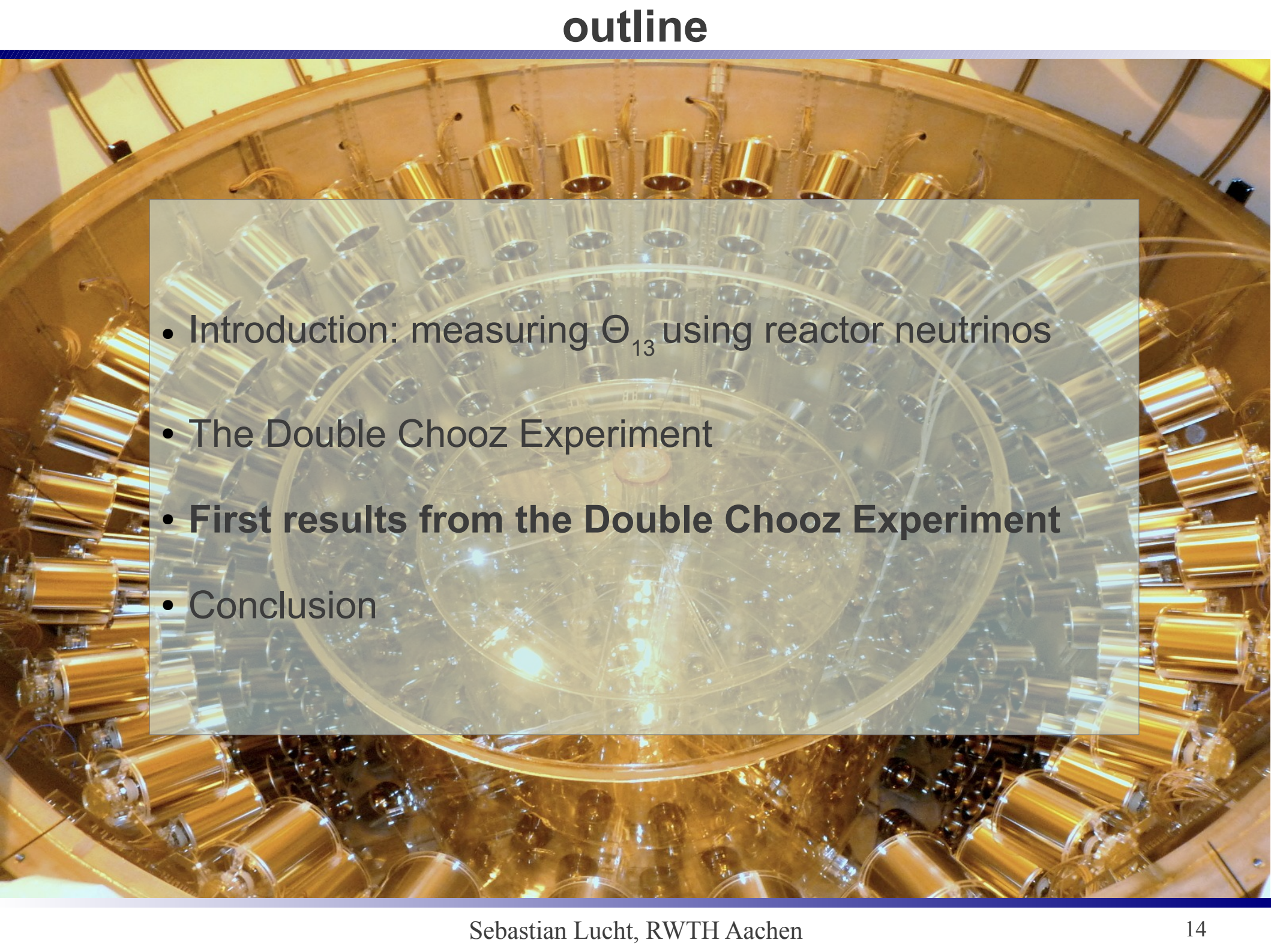
custom  $\mu$ -FADC  
125 MHz  
(CBPF)

trigger, common clock, event number & event pre-classification

**+ outer veto DAQ (independent but synchronized to vDAQ by trigger clock)**

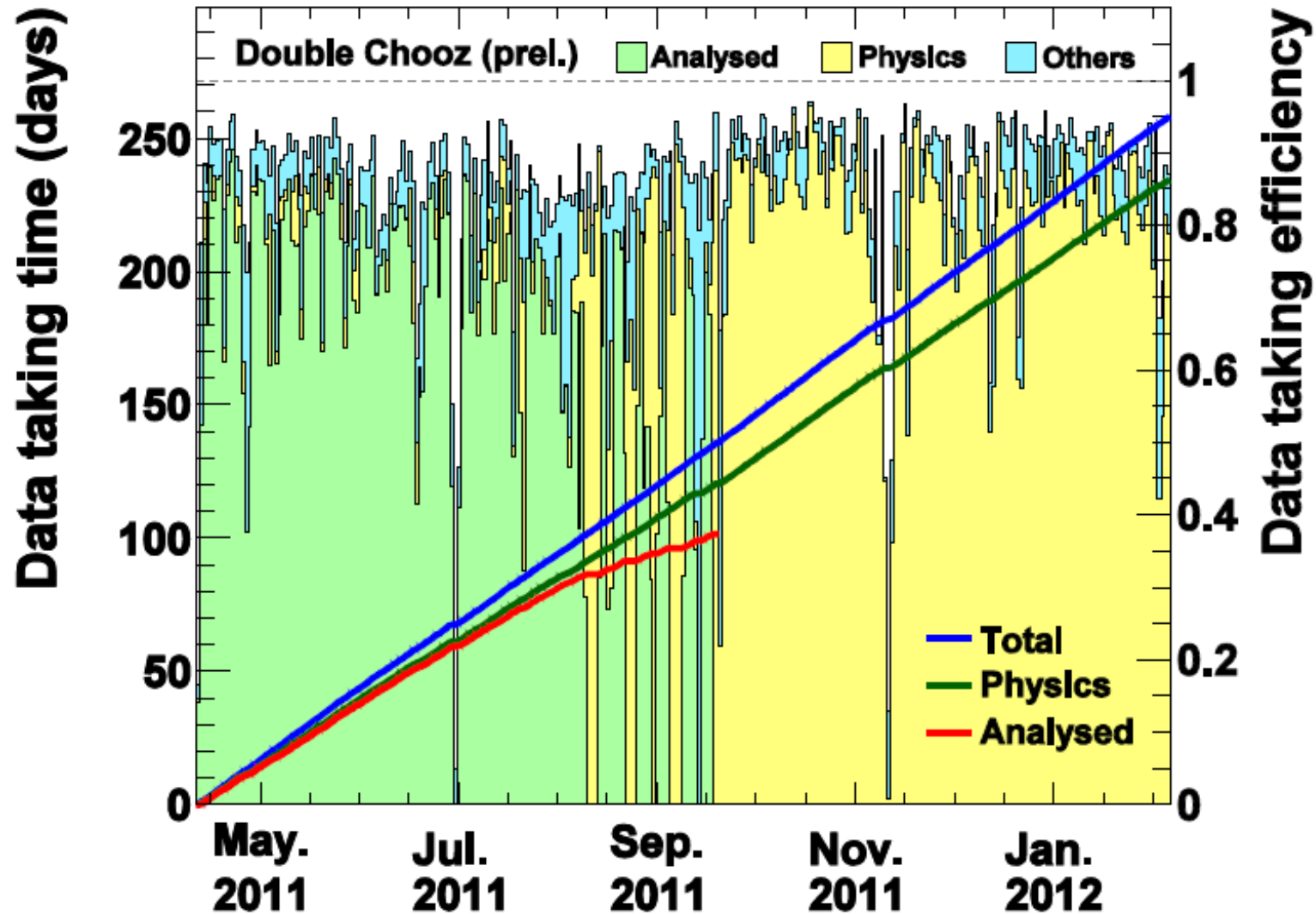


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# fraction of analysed data for 1. publication

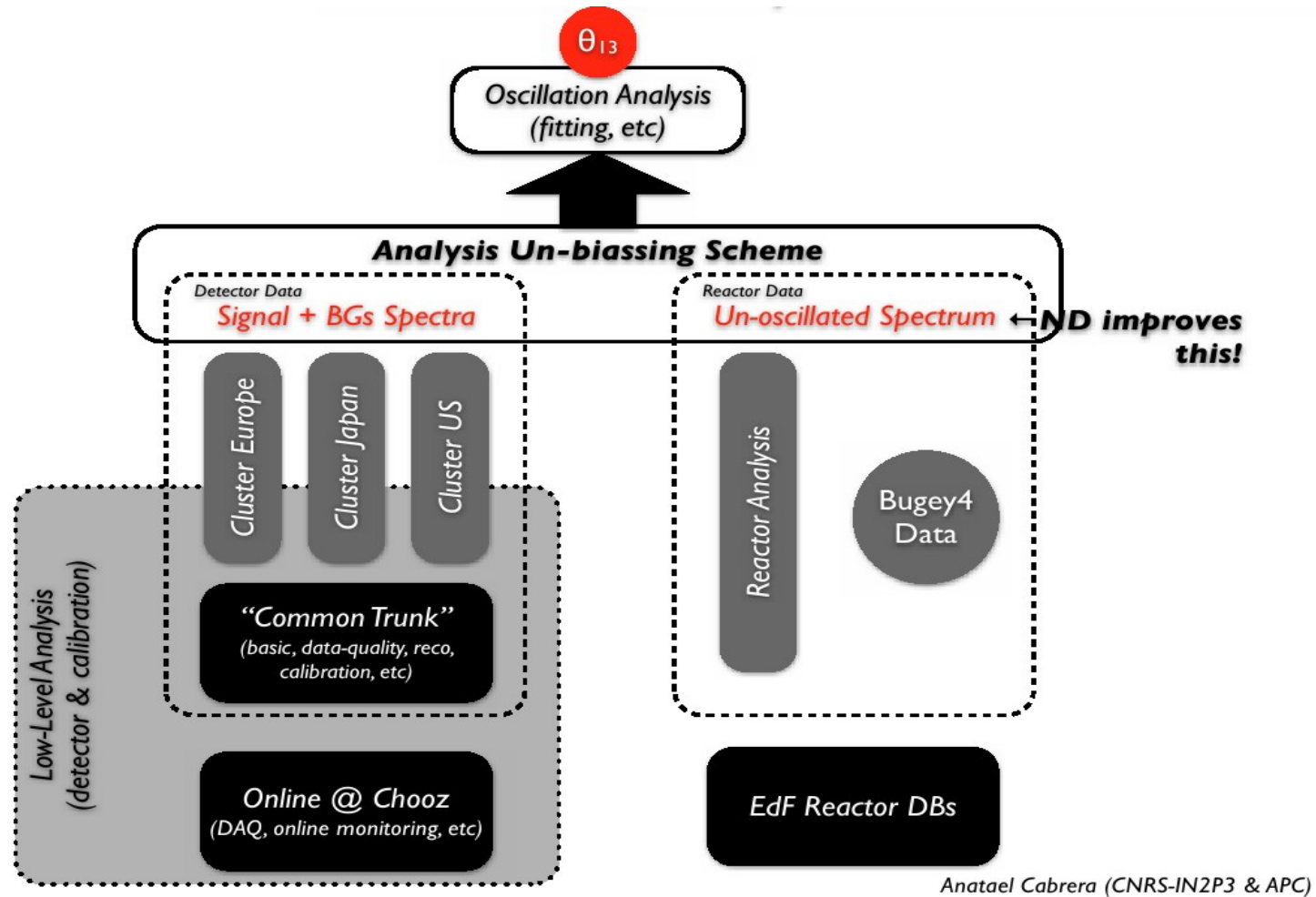


Run time: 101.52 days from April 13<sup>th</sup> to September 18<sup>th</sup>

**Live Time:** 96.82 days due to 1ms  $\mu$  veto



# analysis scheme



without ND we need to use predicted  $\nu$ -rate for oscillation analysis

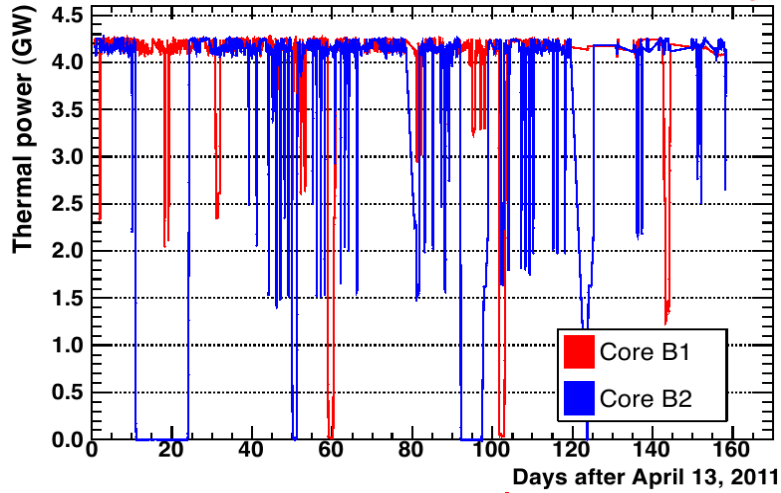


# predicted neutrino rate

$P_{th}$  continuously extracted from reactor data

$\delta P_{th}/P_{th} = 0.46\%$

Preliminary

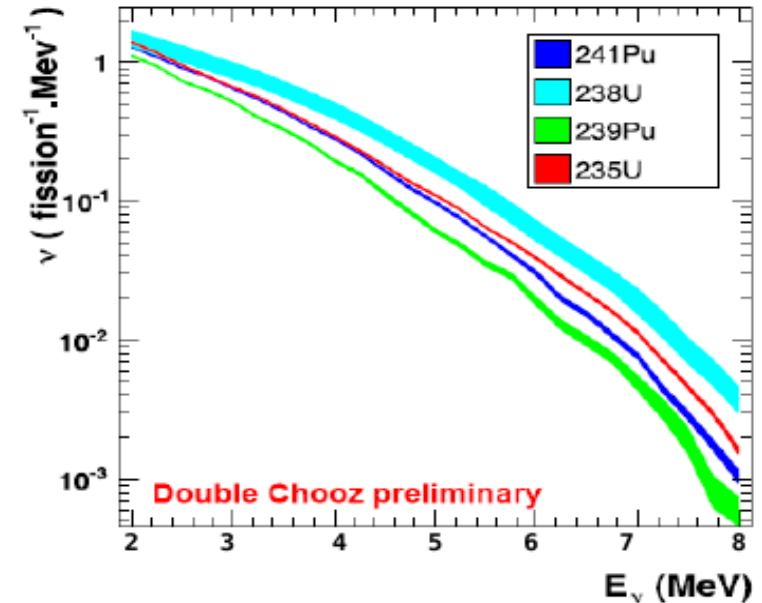


$$N_{\nu}^{exp}(E, t) = \frac{N_p \epsilon}{4 \pi L^2} * \frac{P_{th}(t)}{\langle E_f \rangle} * \langle \sigma_f \rangle$$

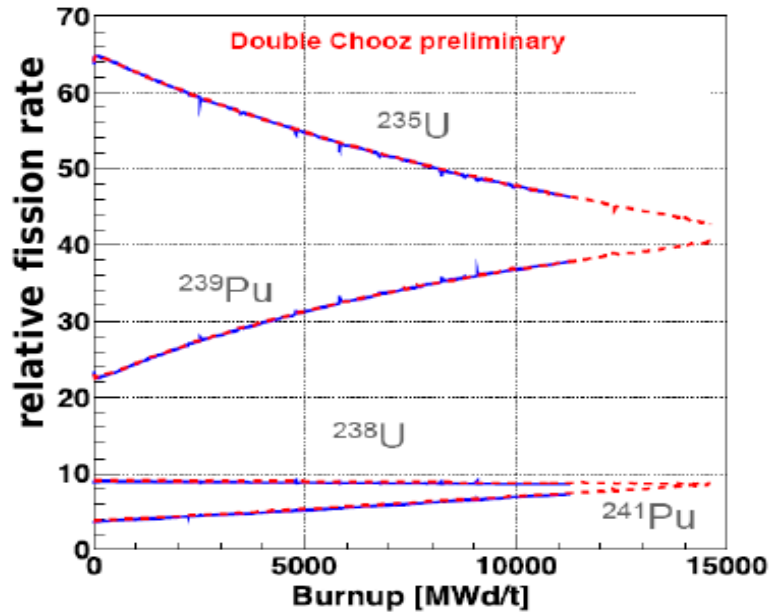
mean energy per fission

Bugey4 data as normalization

mean cross section per fission



detailed simulation of core evolution (MURE & Dragon)



see: Th. A. Mueller et al, Phys.Rev. C83(2011) 054615  
P.Huber, Phys.Rev. C84(2011) 024617



# neutrino selection

## candidate selection cuts:

### muon veto:

→  $\Delta t_\mu > 1 \text{ ms}$

### coincidence:

→ time coincidence:  $2 < \Delta t < 100 \mu\text{s}$

### prompt event:

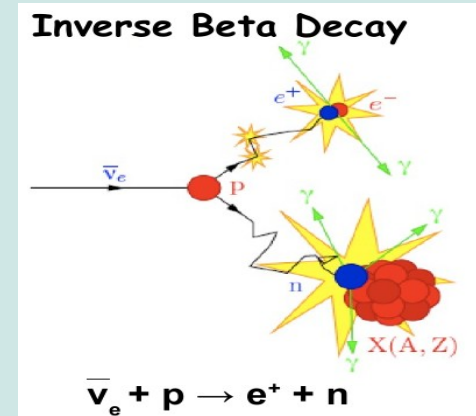
→  $Q_{\text{max}}/Q_{\text{tot}} < 0.09$  &  $\text{RMS}_{\text{Tstart}} < 40 \text{ ns}$

→  $0.7 < E < 12 \text{ MeV}$

### delayed event:

→  $Q_{\text{max}}/Q_{\text{tot}} < 0.06$  &  $\text{RMS}_{\text{Tstart}} < 40 \text{ ns}$

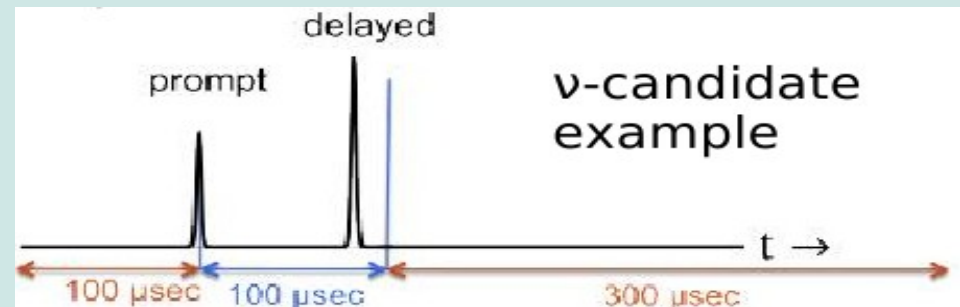
→  $6 < E < 12 \text{ MeV}$



### multiplicity:

→ no trigger  $E > 500 \text{ keV}$  within  $100 \mu\text{s}$  before prompt

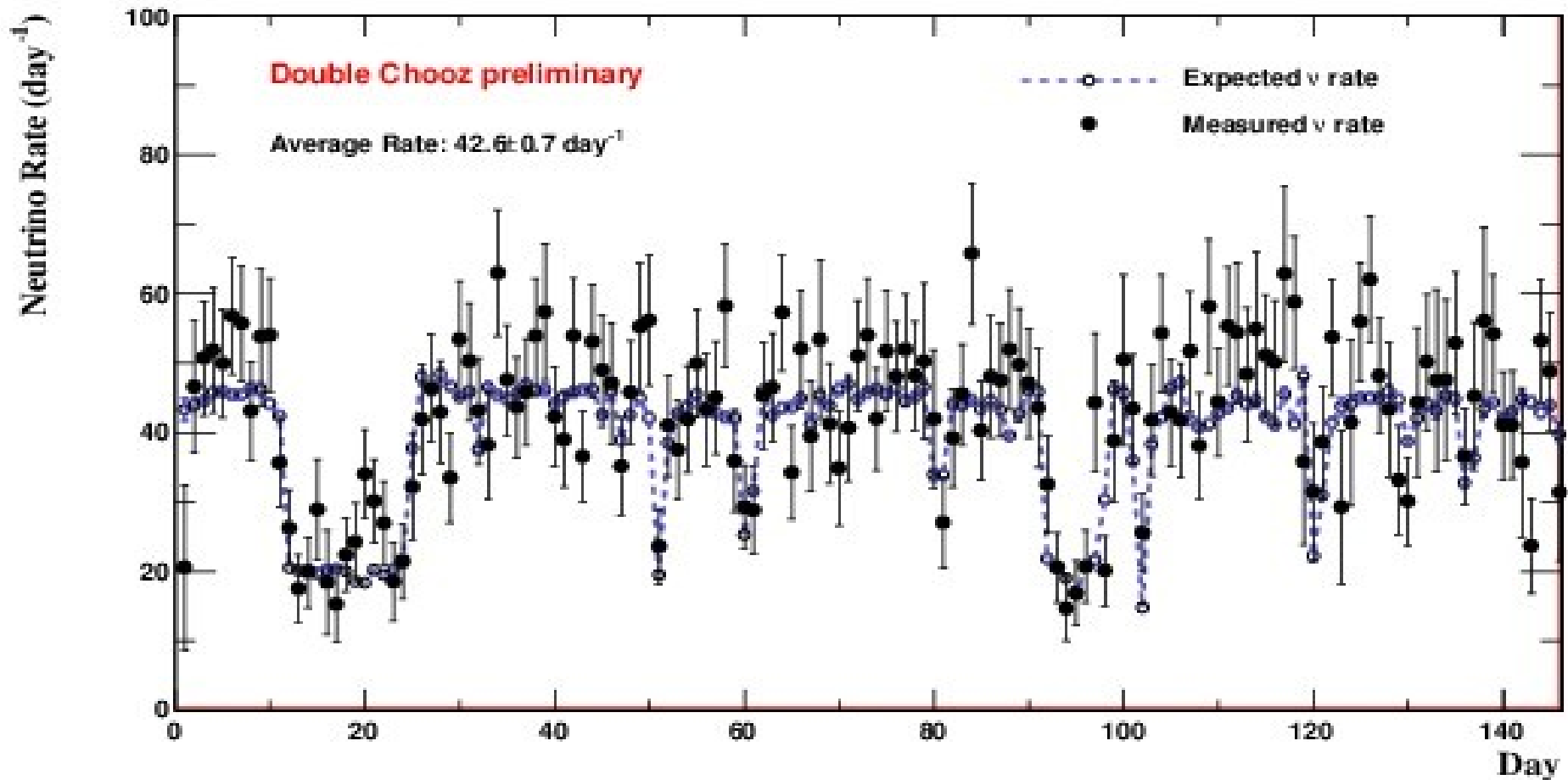
→ exactly one trigger in  $2 < \Delta t < 400 \mu\text{s}$  after prompt





# neutrino candidate rate

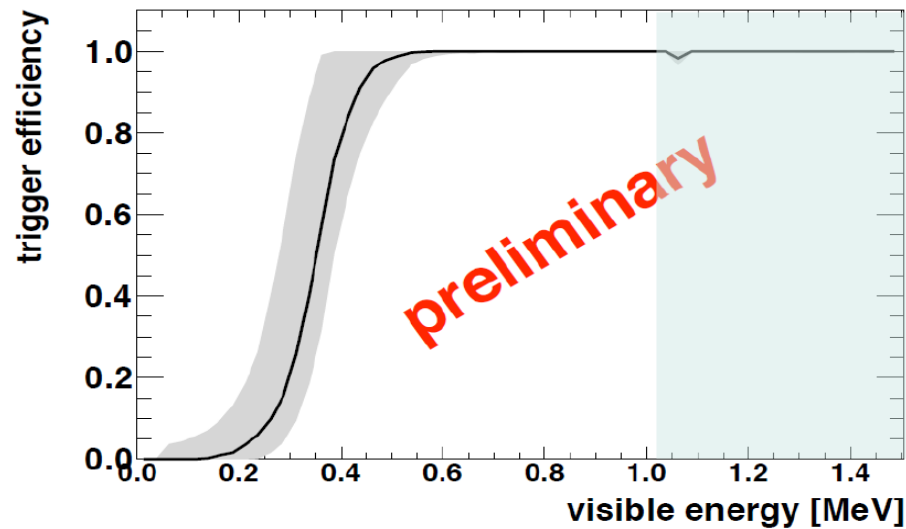
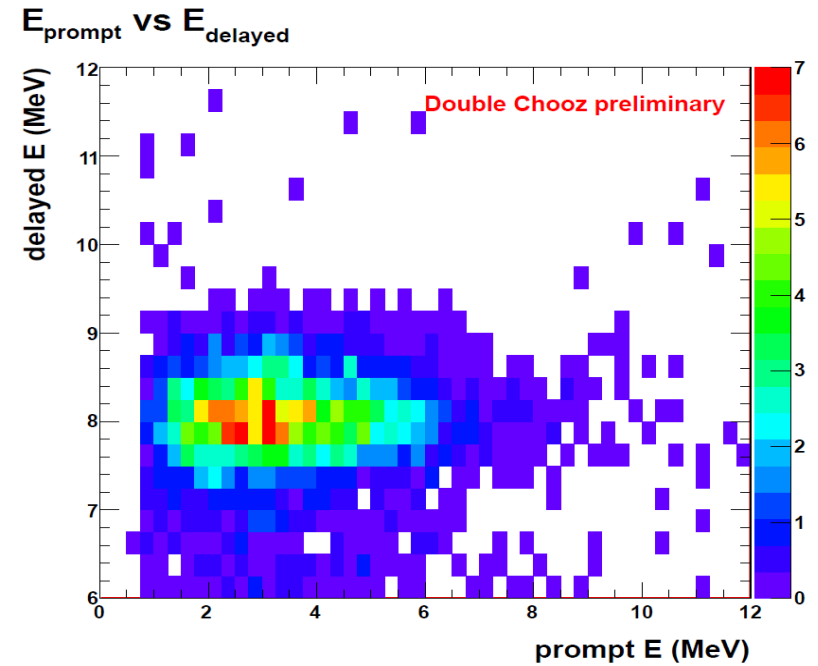
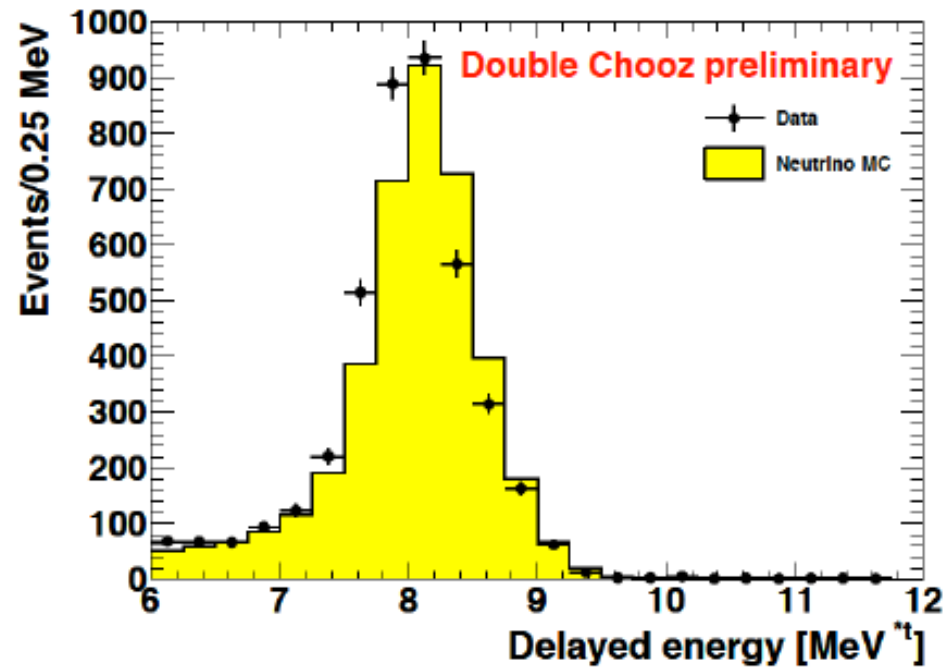
## Neutrino candidates rate (background not subtracted)



- in total 4121 candidates survive the cuts (no background subtraction)
  - good correspondence to reactor power history
  - indicates low background level in detector



# a few example distributions



trigger efficiency above 700 keV: 1.000 +/- 0.004

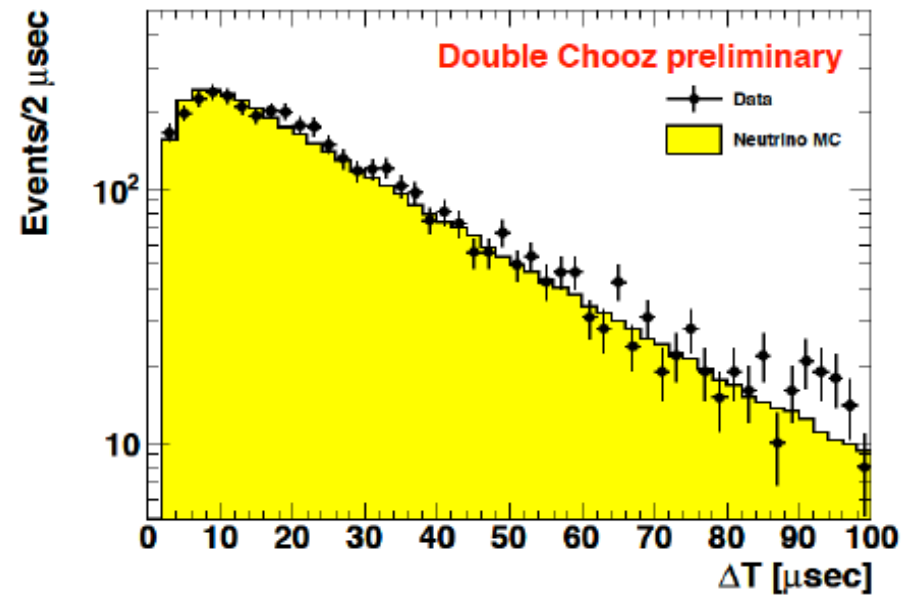
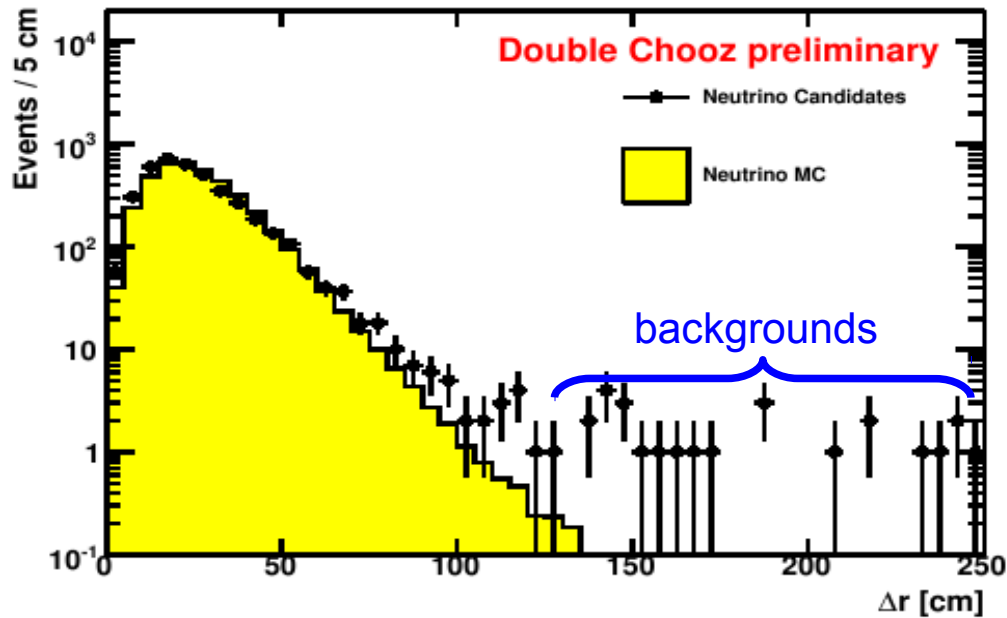


# a few example distributions

$\Delta R$ :

$\Delta T$ :

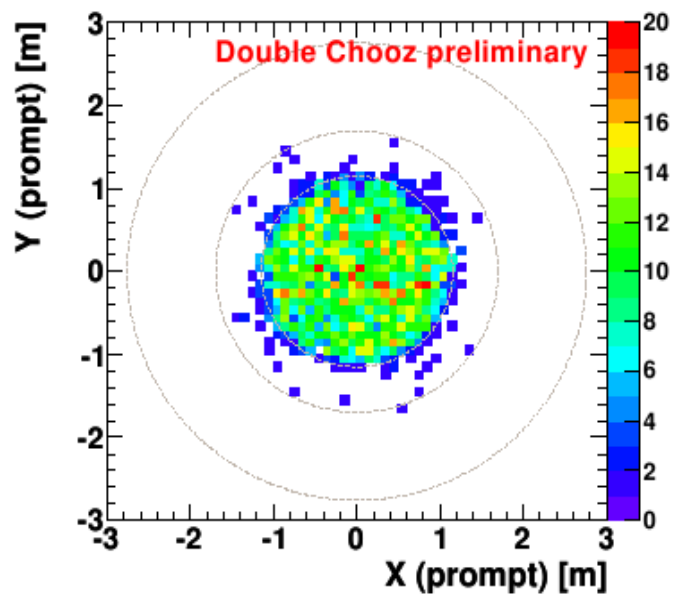
Prompt - Delayed Reconstructed Distance



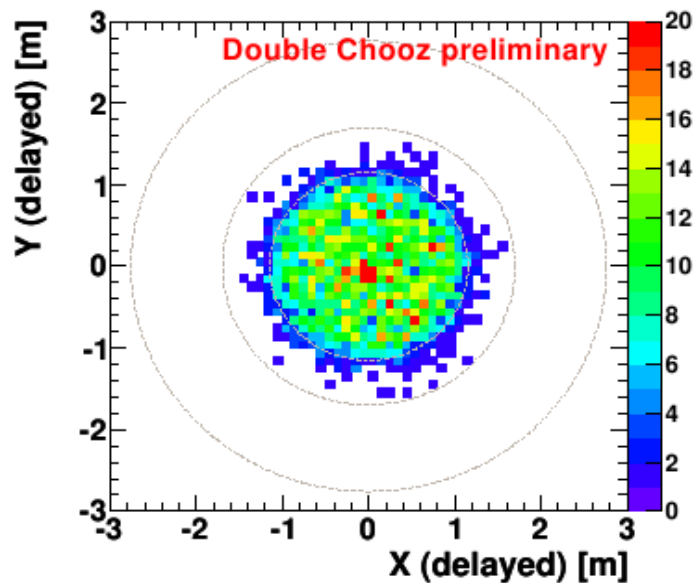
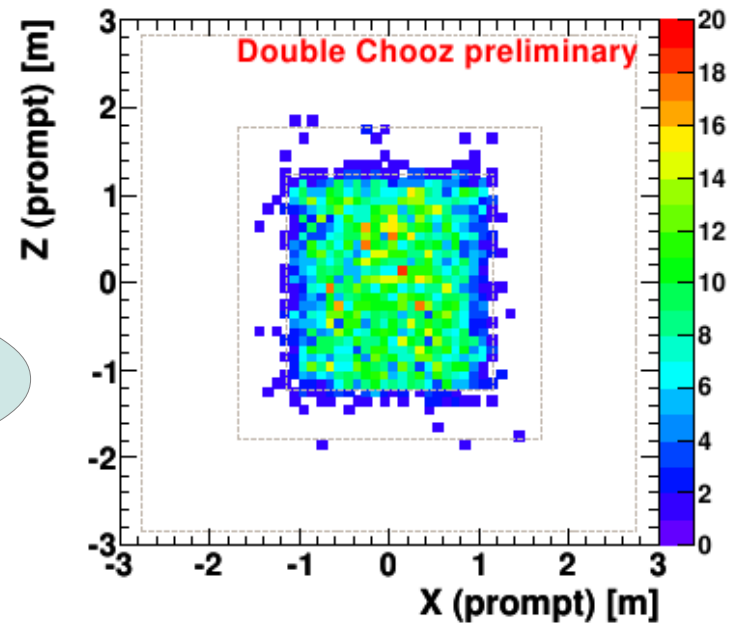
$\Delta T$  cut efficiency  $96.5 \pm 0.5\%$



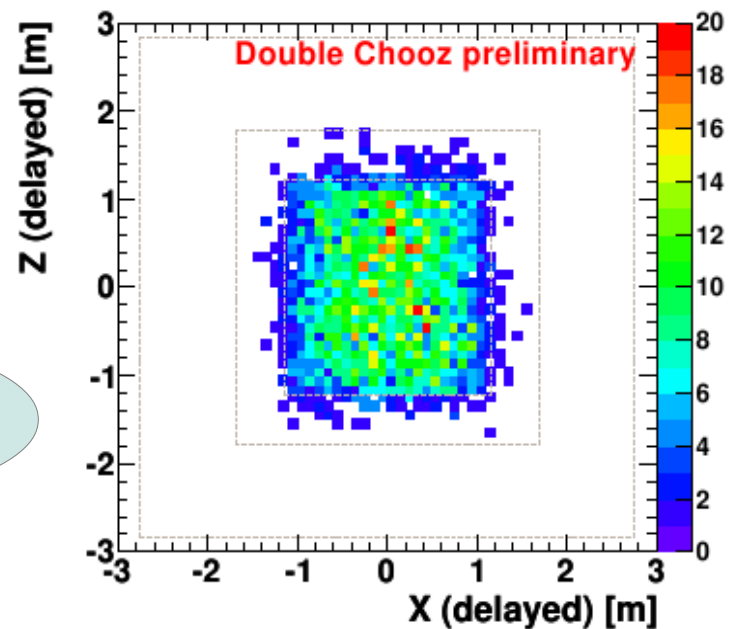
# vertex distributions



prompt event



delayed event





## what about backgrounds ???



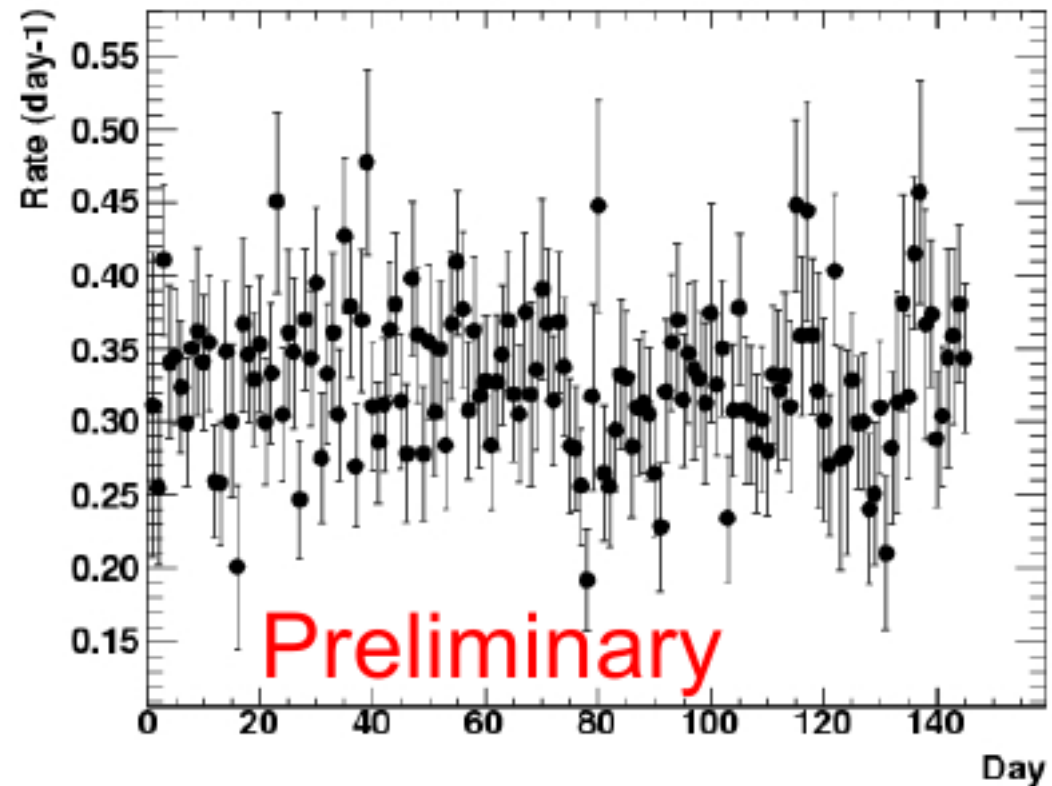
potential sources: cosmic muons (and secondaries), radioactivity



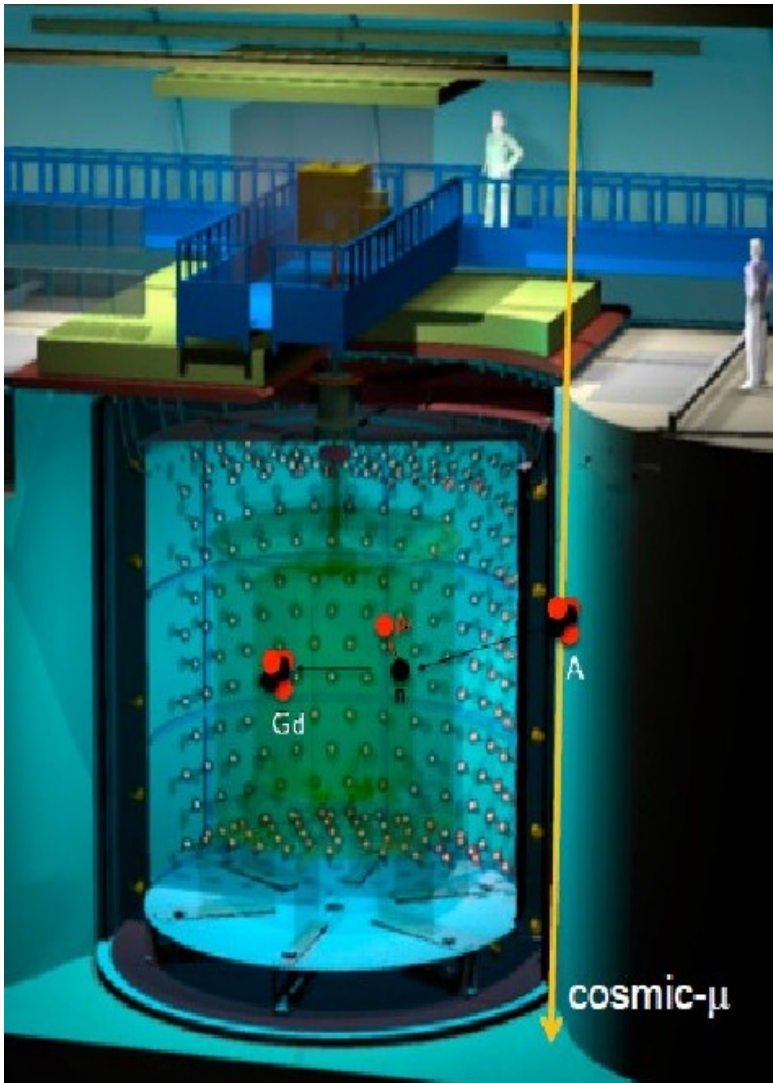
# accidental background



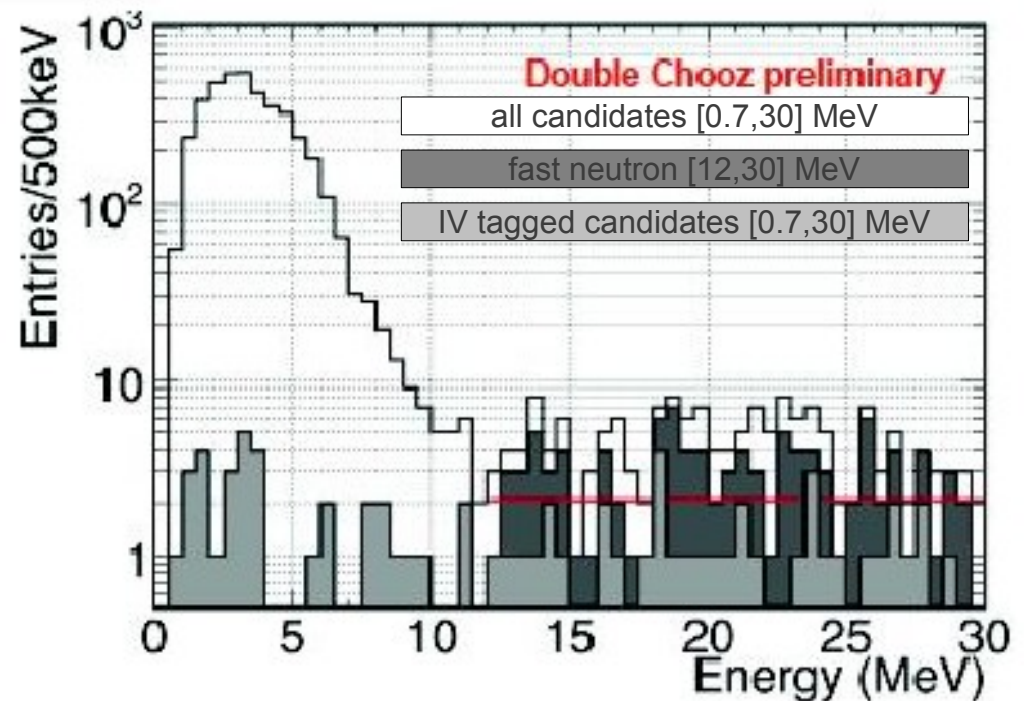
- for example:
  - Prompt: environmental gamma-ray
  - Delayed: muon induced neutron
- estimated by off-time method
- $0.33 \pm 0.03$  events/ day



# correlated background I

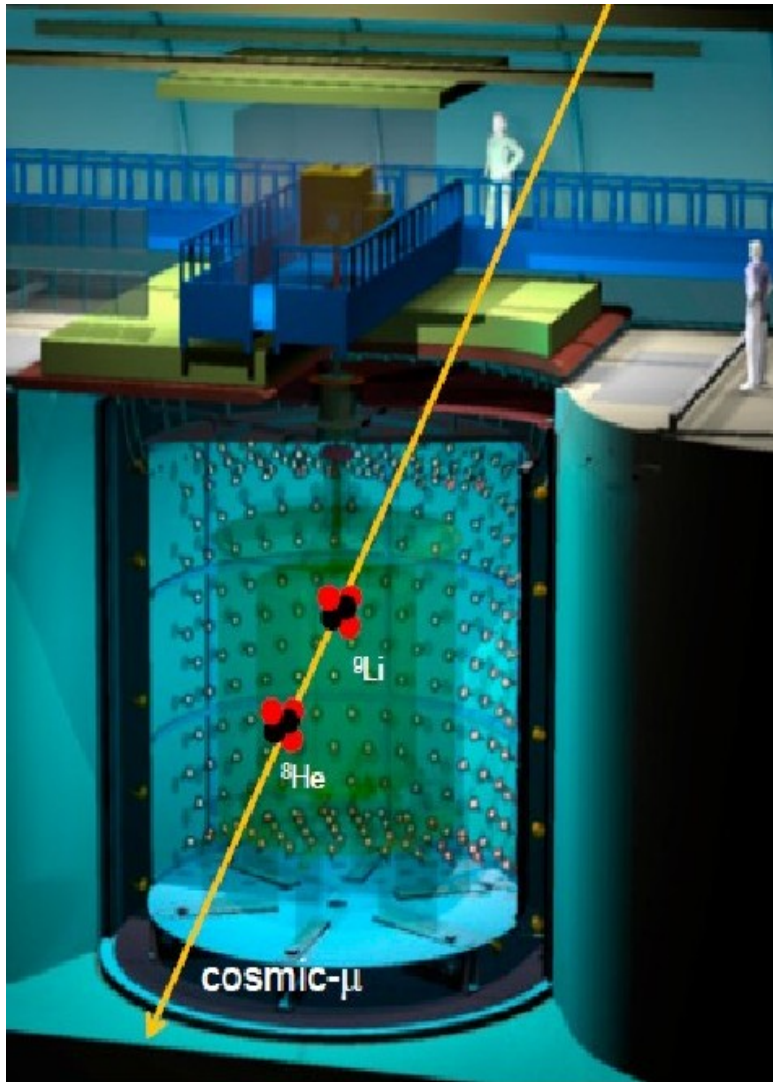


- fast neutrons:
  - Prompt: recoil proton
  - Delayed: neutron capture on Gd
- neutrino search extended to 30 MeV for prompt (>12 MeV pure background sample)
- extrapolation into low energy region assuming flat spectrum
- validated by using IV tagged events
- $0.83 \pm 0.38$  events/ day

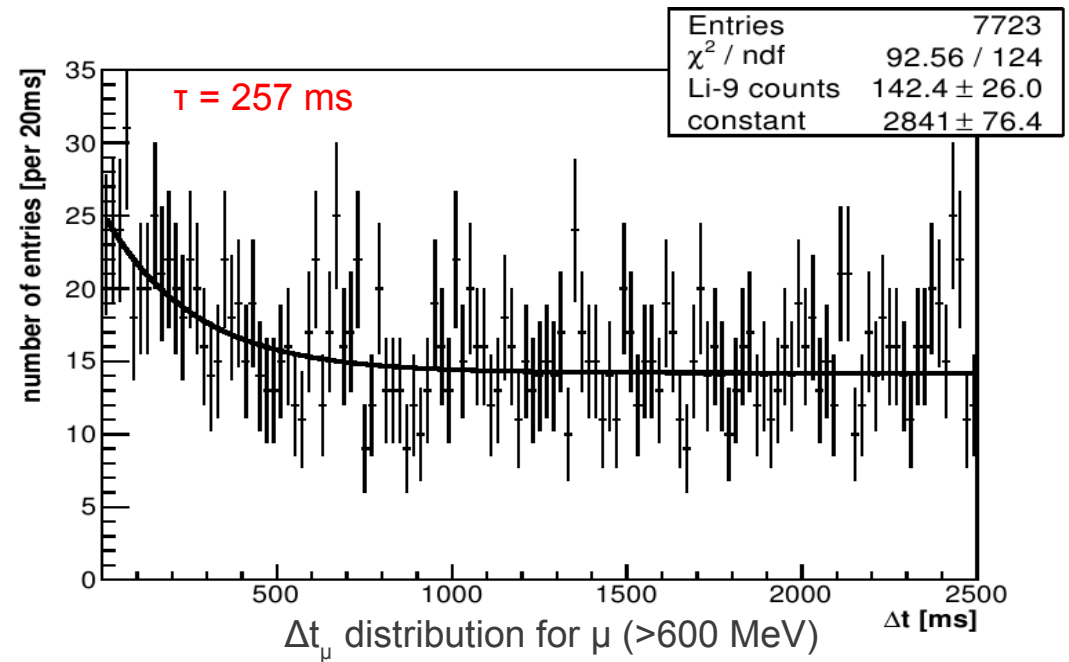




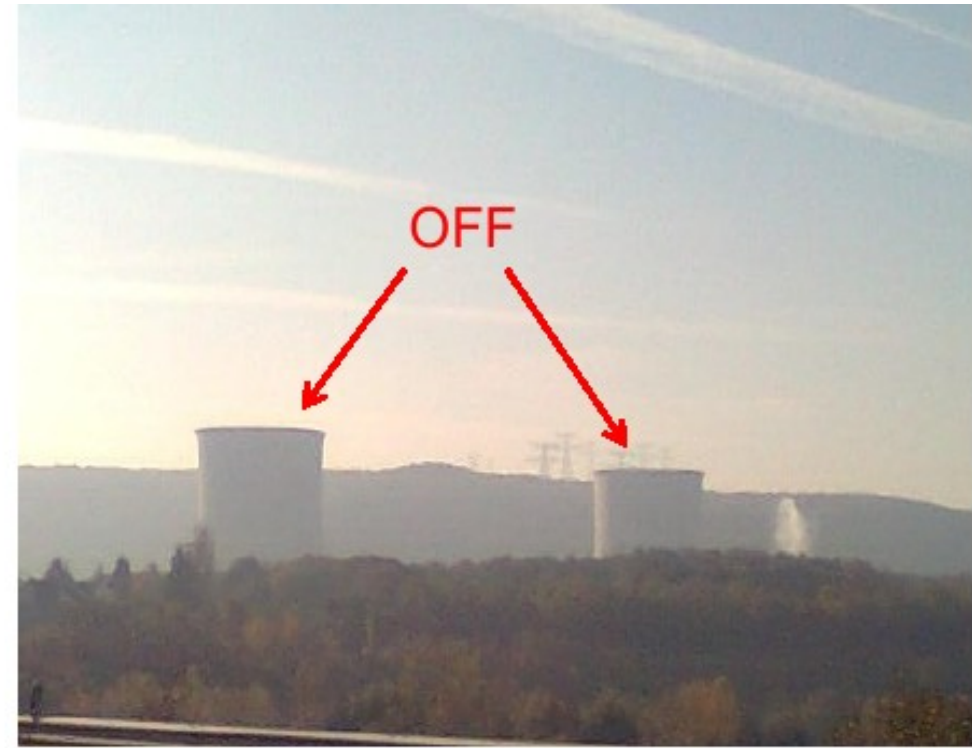
# correlated background II



- cosmogenics ( ${}^9\text{Li}/{}^8\text{He}$  produced by  $\mu$ -induced spallation):
- $\beta - n$  emitters, perfectly mimic the  $\nu$ -signal
- hard to veto due to long lifetime of isotopes
- search for triple coincidence ( $\mu$  ( $>600$  MeV) + prompt + delayed)
- fit exponential (fixed at  ${}^9\text{Li}$  lifetime) + constant to raw  $\Delta t_\mu$  spec.
- $2.3 \pm 1.2$  events/ day



# background estimates crosscheck



- **unique opportunity** to measure backgrounds in-situ
- ~ 24h of reactor **OFF-OFF** data
- 2 (+1) candidates found by delayed coincidence
  - 2 events in neutrino energy window ↔ likely  ${}^9\text{Li}$  background candidates
  - 1 event with  $E_{\text{prompt}} = 26.5 \text{ MeV}$  ↔ likely fast neutron background candidate
- both observations are consistent with our background observations



# oscillation analysis



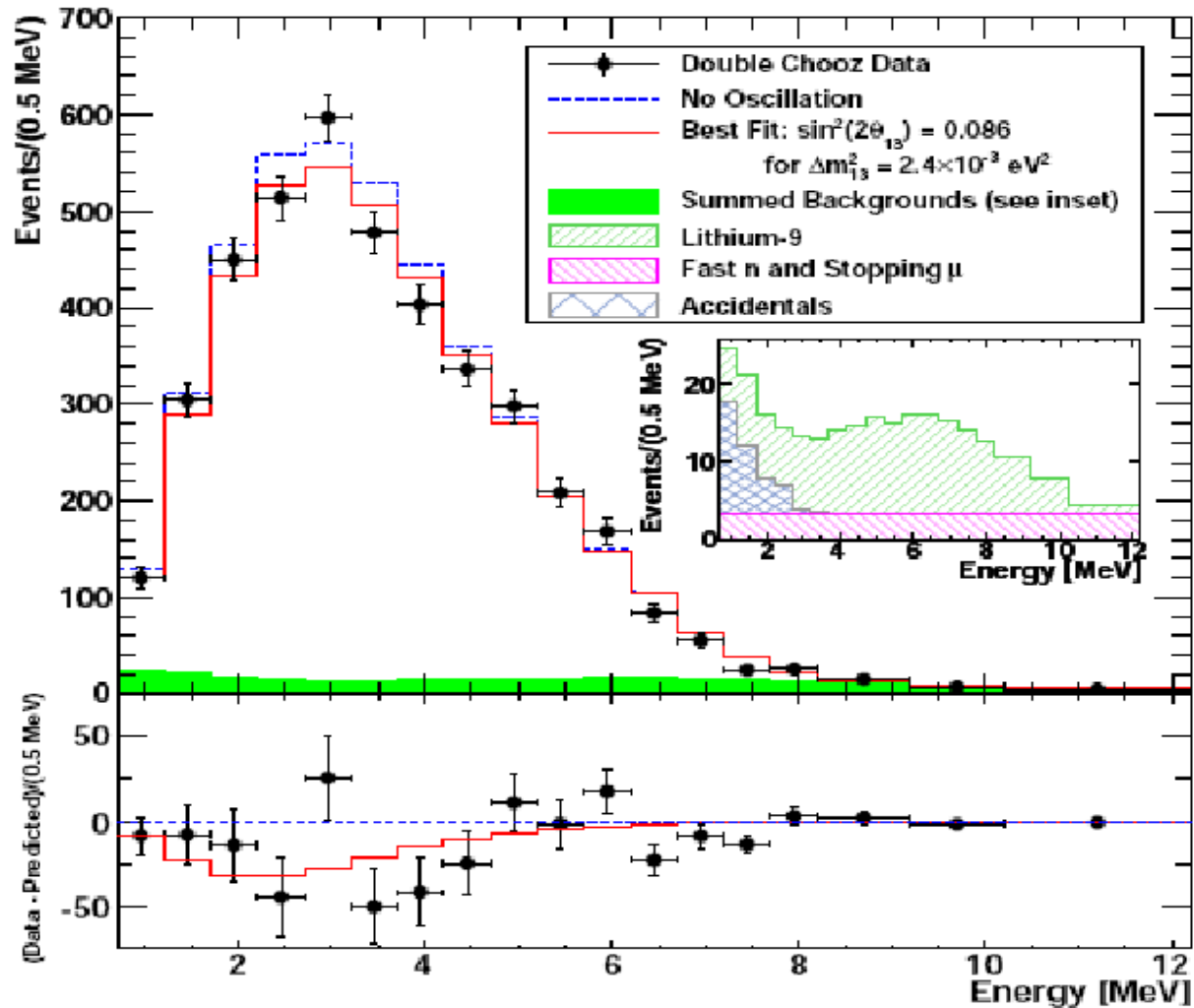
# summary of uncertainties

Source		Uncertainty w.r.t. signal	
Statistics		1.6%	
Reactor	Bugey4 measurement	1.4%	1.8%
	Fuel Composition	0.9%	
	Thermal Power	0.5%	
	Reference Spectra	0.5%	
	Energy per Fission	0.2%	
	IBD x-sec	0.2%	
	Baseline	0.2%	
Detector	Energy response	1.7%	2.1%
	$E_{\text{delay}}$ Containment	0.6%	
	Gd Fraction	0.6%	
	$\Delta t_{e+n}$	0.5%	
	Spill in/out	0.4%	
	Trigger Efficiency	0.4%	
	Target H	0.3%	
Backgrounds	Accidental	< 0.1%	3.0%
	Fast neutron	0.9%	
	${}^9\text{Li}$	2.8%	



# oscillation analysis

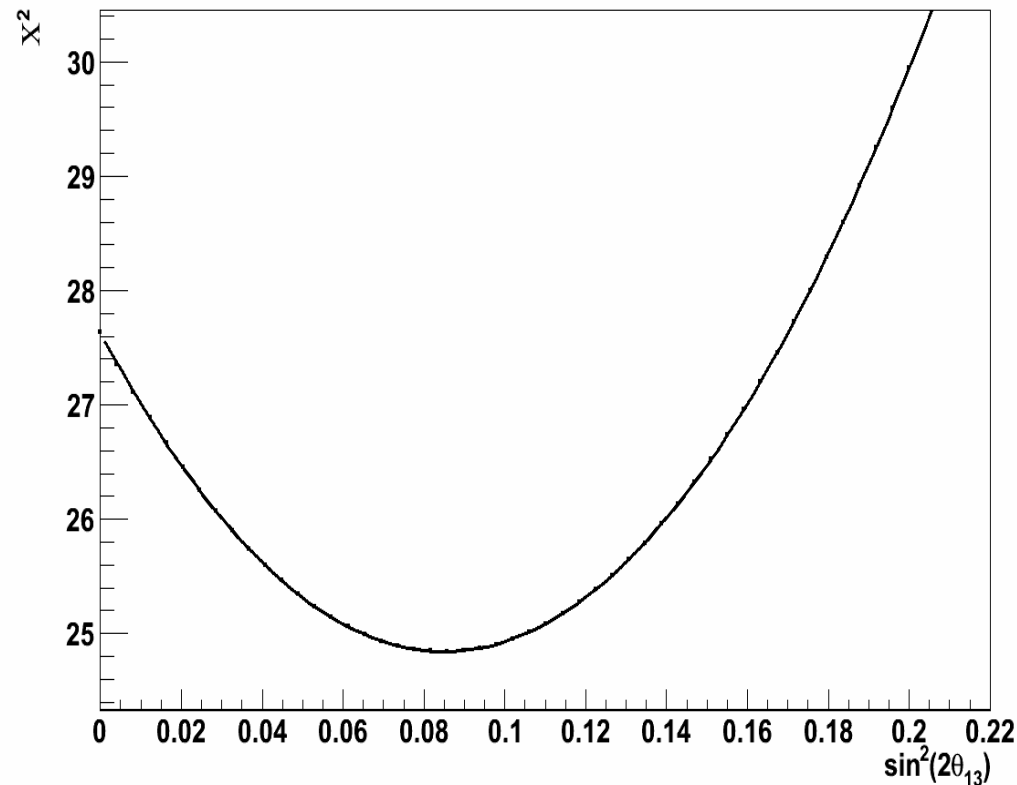
Prompt Spectrum:



rate + shape analysis

# final fit results

$\chi^2$  vs.  $\sin^2(2\theta_{13})$



Y. Abe et al PRL 108, 131801, (2012)

rate + shape:

$$\sin^2(2\theta_{13}) = 0.086 \pm 0.041 \text{ (stat)} \pm 0.030 \text{ (syst)}$$

no-oscillation excluded @ 94.6%



# outlook

- Double Chooz is running as designed
- 100 days of data have been analysed, first results on rate+shape analysis have been published

**Y. Abe et al PRL 108, 131801, (2012)**

$$\sin^2(2\theta_{13}) = 0.086 \pm 0.041 \text{ (stat)} \pm 0.030 \text{ (syst)}$$

- promising analysis improvements underway
- two times more statistics already available for analysis
- near detector is under construction, operational 2013

# outlook

... now  $\theta_{13}$  is quite big ...

- $\theta_{13}$  will be measured by reactor experiments (independent of  $\delta_{CP}$  and mass hierarchy)



good precision is needed!!!

- Double Chooz can contribute!

high value → statistics not the main point (it will be systematics)

- Double Chooz is the only one to measure backgrounds in situ
- only two reactors → detectors are on same iso ratio curve  
→ use of power balance is the same, reducing systematics
- good and very powerful calibration system
- scintillator is organometallic → more stable by construction  
(strong link between Gd and LAB solvent)



# thanks for your attention!

apr '11



may '11



**ND expected to start running at 2013**

dec '11



apr '12



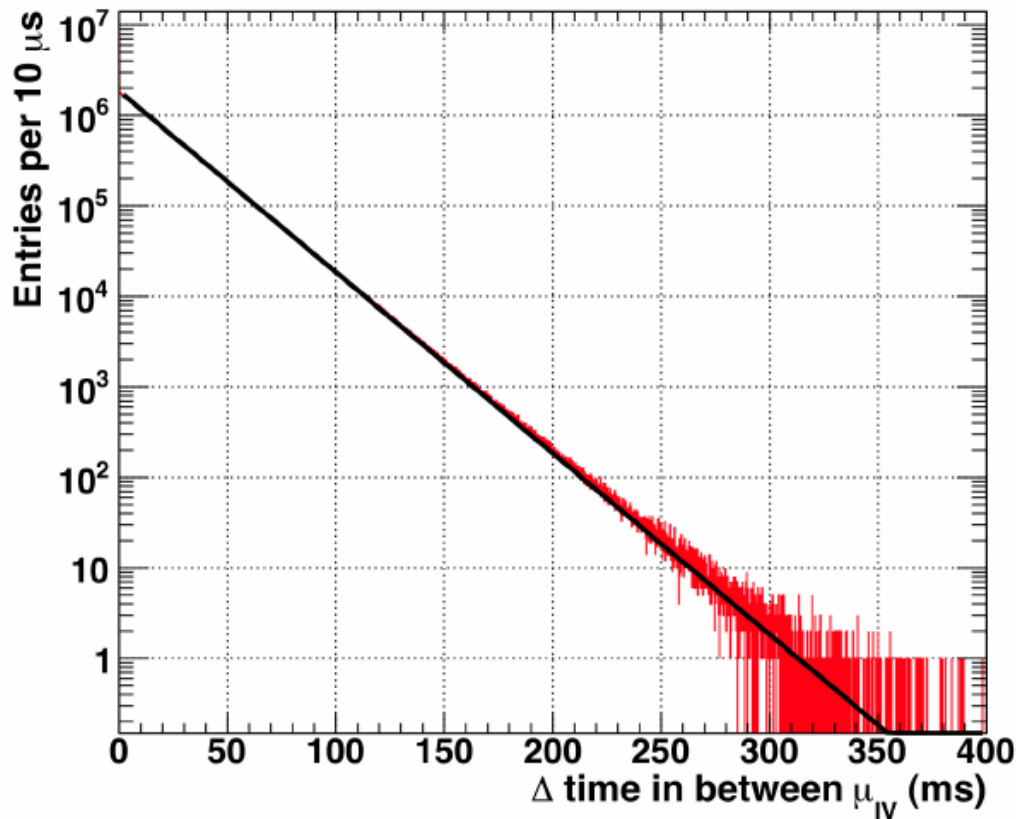
backup



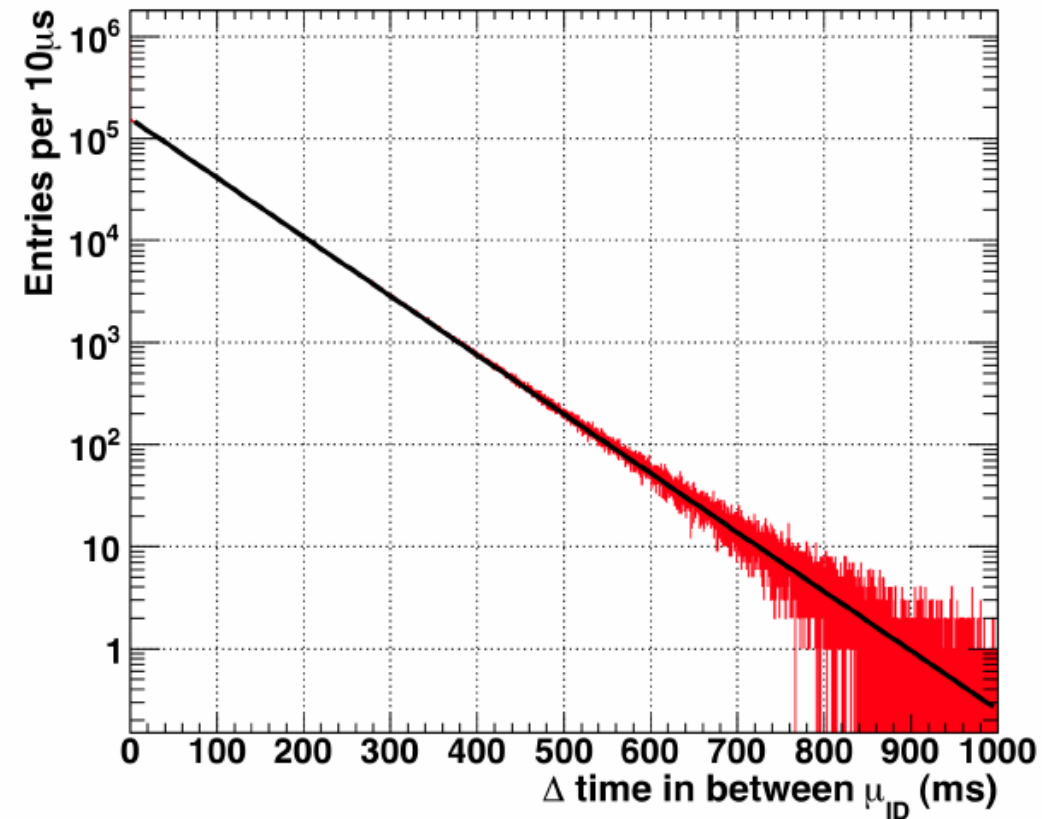
# muons

- Inner Veto muon rate: 46 Hz
- Inner Detector (T+GC): 13 Hz
- $\nu$ -search: software muon cut:  
discard all events within 1 ms after a muon (IV muons)

Muon rate in Inner Veto: 46 Hz



Muon rate in Inner Detector: 13 Hz

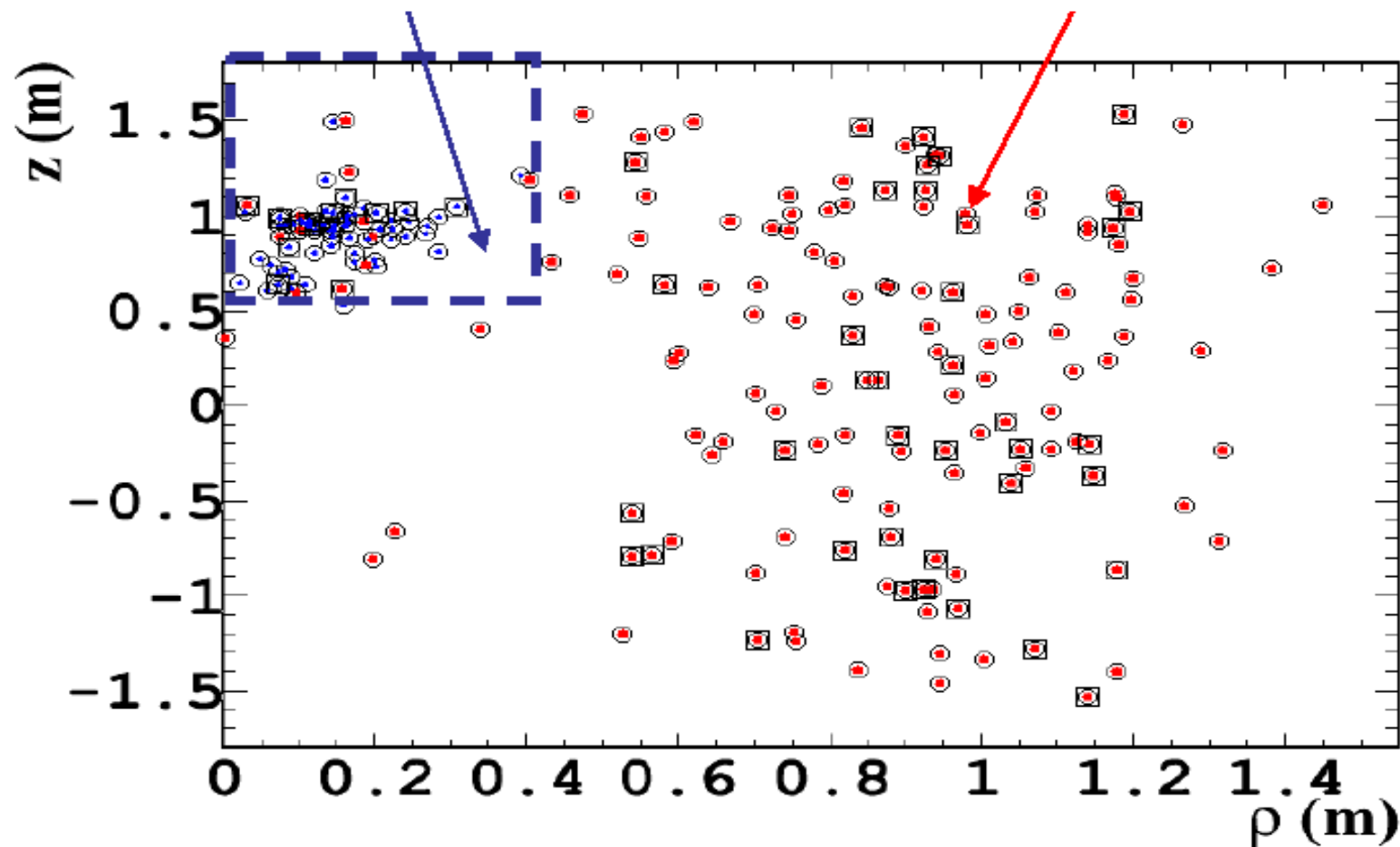


# fast neutrons / stopping muons

→ our so called “fast neutron” background also includes stopping muons (clearly visible by looking at vertex and  $\Delta t$  distributions)

**Stopped muons**  
( $\Delta t < 6.6\mu\text{s}$ )

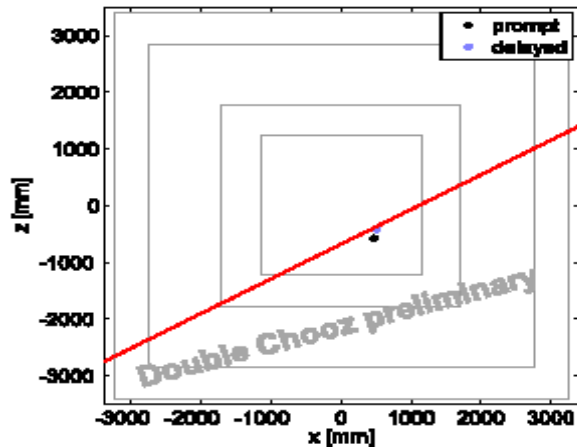
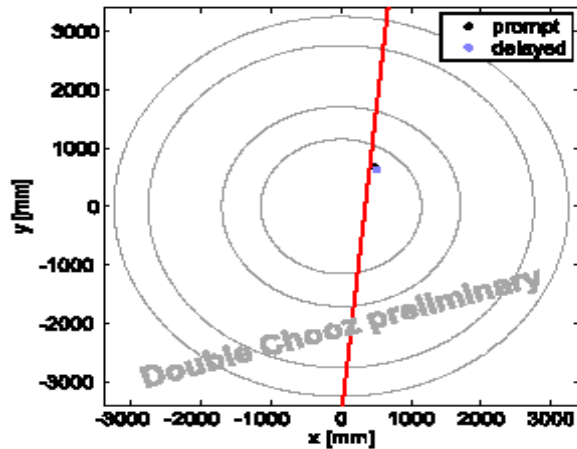
**Fast neutrons**  
( $\Delta t > 6.6\mu\text{s}$ )





# OFF-OFF analysis

${}^9\text{Li}$  candidate

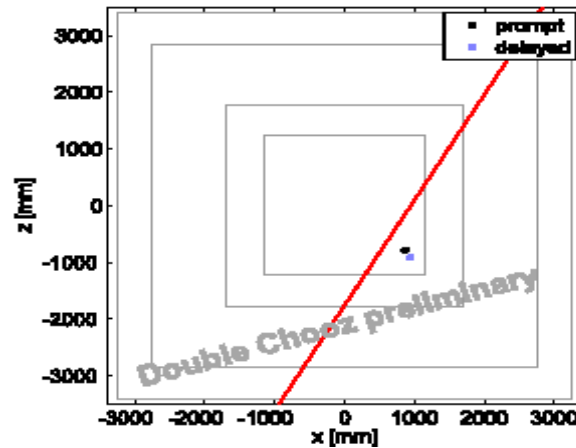
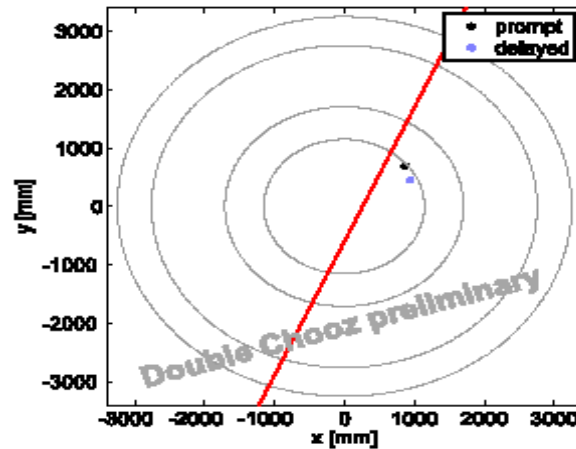


$$E_{\text{prompt}} = 9.8 \text{ MeV}$$

$$\Delta T = 4.1 \mu\text{s}$$

$$\Delta T_{\mu(739 \text{ MeV})} = 201 \text{ ms}$$

${}^9\text{Li}$  candidate

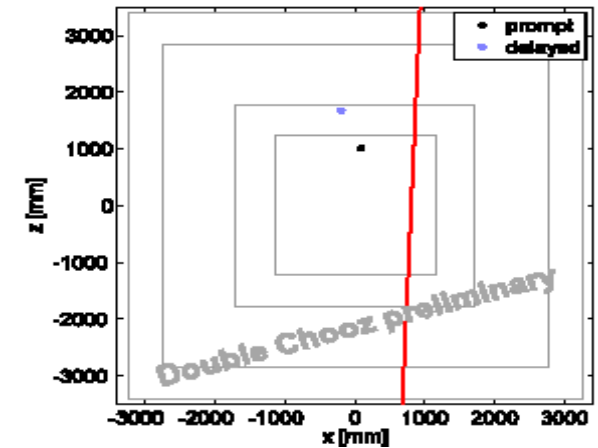
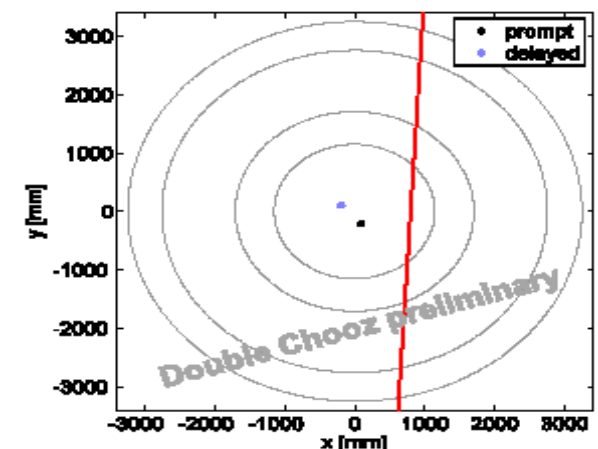


$$E_{\text{prompt}} = 4.8 \text{ MeV}$$

$$\Delta T = 26 \mu\text{s}$$

$$\Delta T_{\mu(627 \text{ MeV})} = 241 \text{ ms}$$

fast n candidate

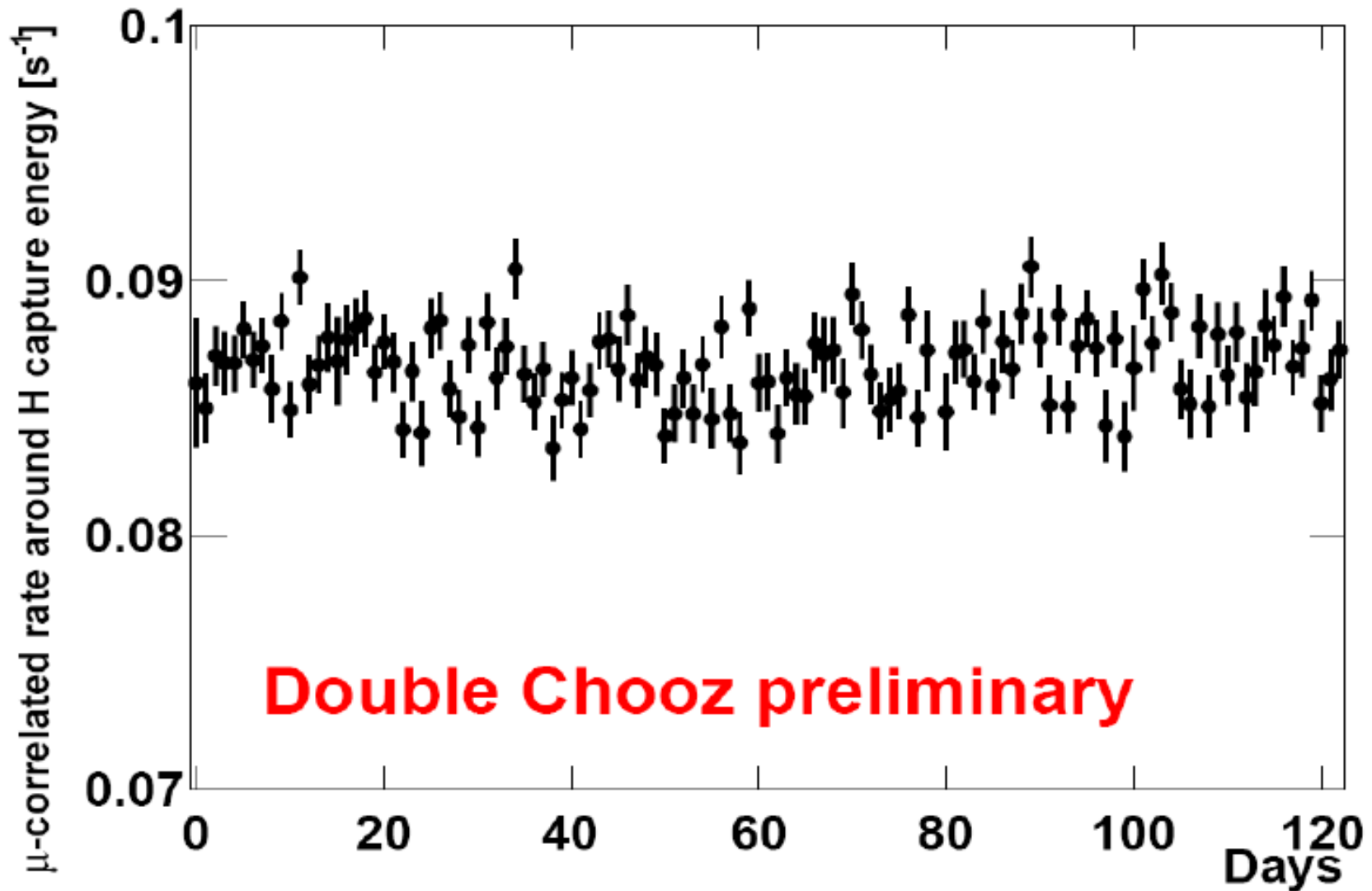


$$E_{\text{prompt}} = 26.5 \text{ MeV}$$

$$\Delta T = 2.2 \text{ ms}$$

$$\Delta T_{\mu(523 \text{ MeV})} = 206 \text{ ms}$$

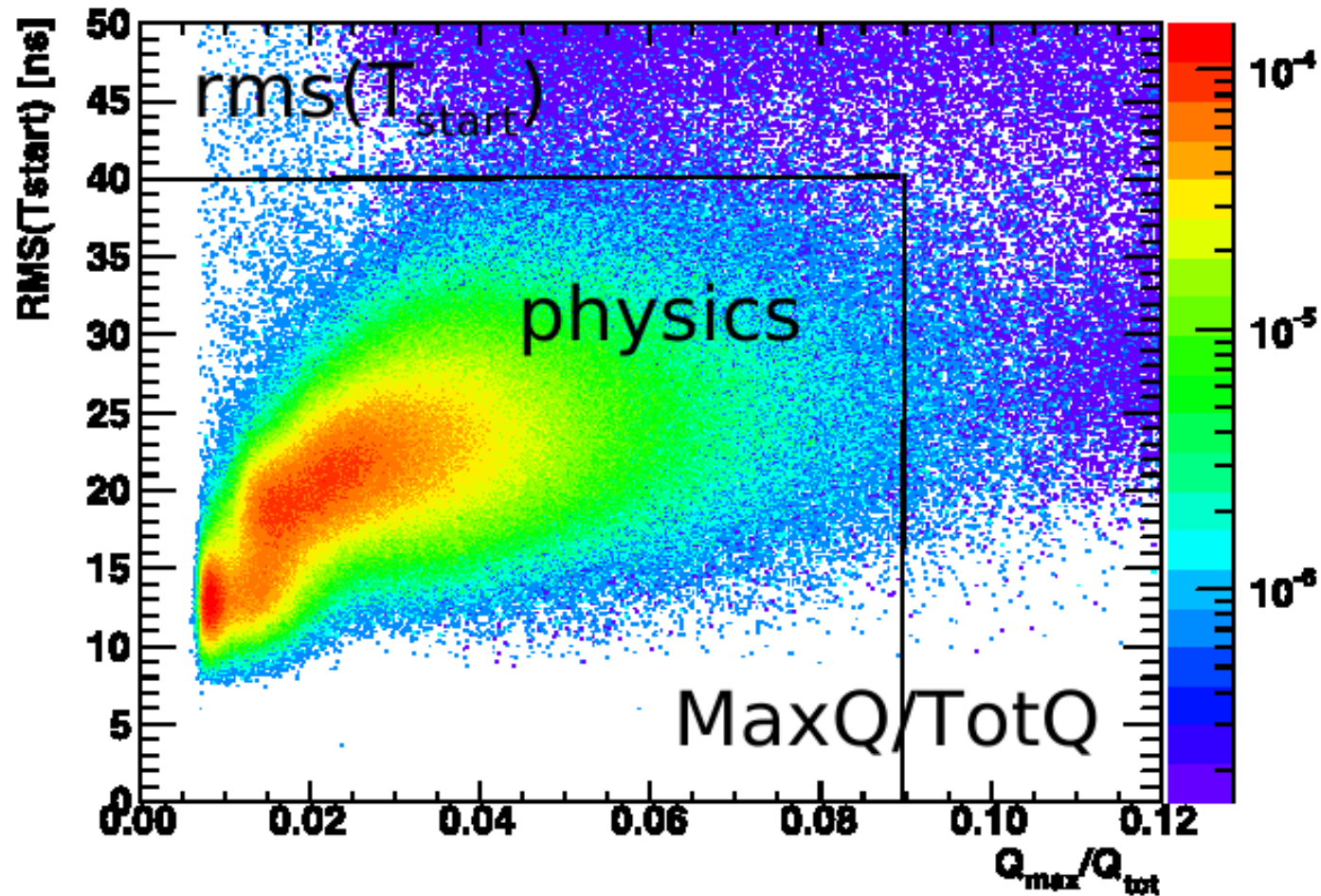
# detector stability



→ no sign of scintillator deterioration



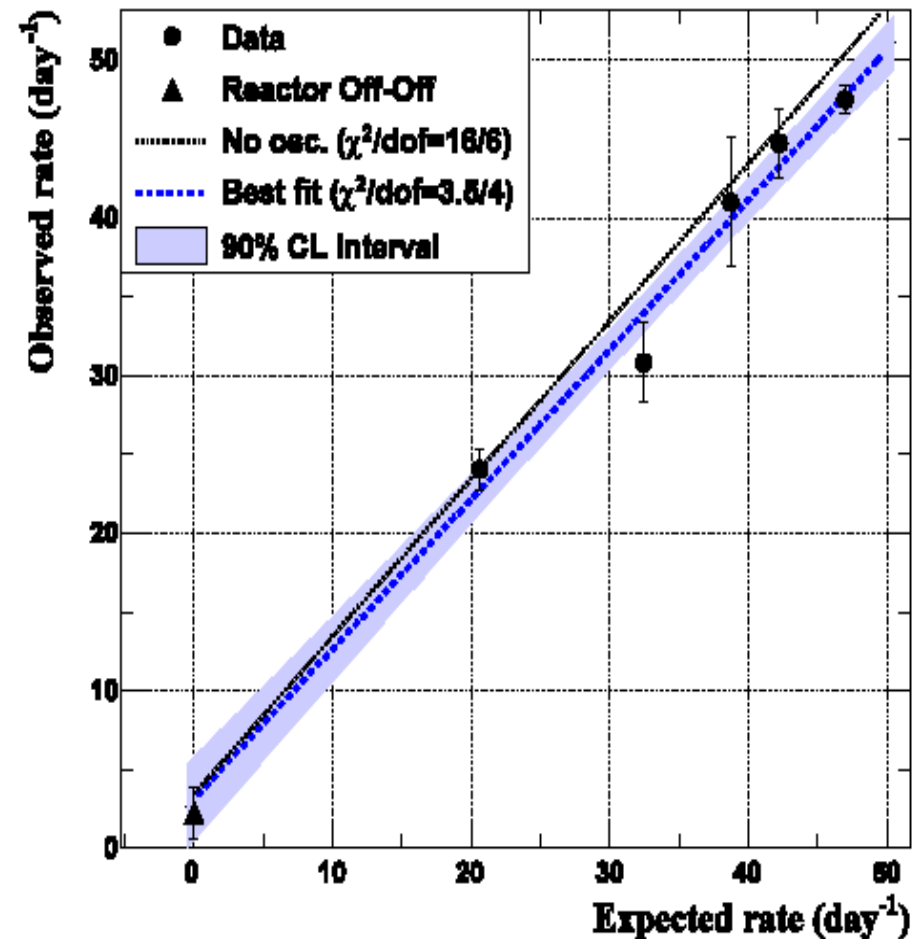
# PMT spontaneous light emission cuts



- ensure light homogeneously spread across detector ( $Q_{\text{max}}/Q_{\text{tot}}$ )
- ensure light arrives at approximately the same time ( $\text{RMS}_{T_{\text{start}}}$  of hit time per PMT)

# crosscheck: measured neutrino rate vs. expectation

- backgr. not subtracted
- backgr. from fit consistent with our estimation  
 $3.2 \pm 1.3$  / day



Rate + Shape Fit:  $\sin^2 2\theta_{13} = 0.086 \pm 0.041(\text{stat.}) \pm 0.030(\text{syst.})$

Rate Only Fit:  $\sin^2 2\theta_{13} = 0.104 \pm 0.030(\text{stat.}) \pm 0.076(\text{syst.})$



# final fit method

$$\chi^2 = \left( N_i - \left( \sum_R^{\text{Reactors}} N_i^{\nu,R} + \sum_b N_i^b(P_b) \right) \right) \times \left( M_{ij}^{\text{signal}} + M_{ij}^{\text{detector}} + M_{ij}^{\text{stat}} + \sum_b^{\text{bkgnds.}} M_{ij}^b \right)^{-1}$$

$$\times \left( N_j - \left( \sum_R^{\text{Reactors}} N_j^{\nu,R} + \sum_b N_j^b(P_b) \right) \right)^T$$

$$+ \sum_R^{\text{Reactors}} \frac{(P_R)^2}{\sigma_R^2}$$

$$+ \sum_b^{\text{bkgnds.}} \frac{(P_b)^2}{\sigma_b^2}$$

$M_{ij}^{\text{signal}}$ : Signal covariance matrix.

$M_{ij}^{\text{detector}}$ : Detector covariance matrix.

$M_{ij}^{\text{stat}}$ : Statistical covariance matrix.

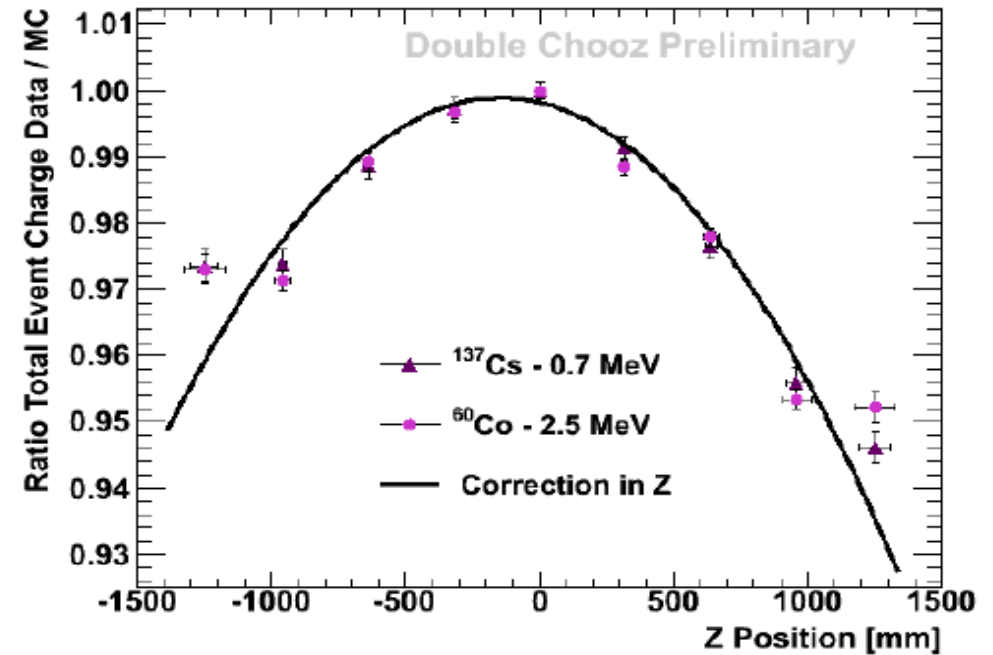
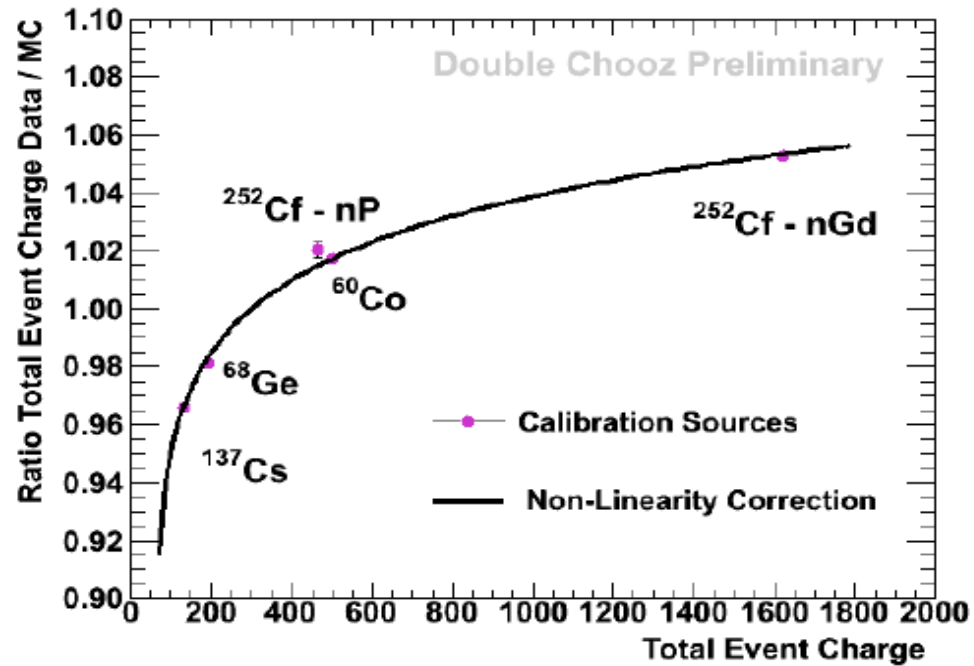
$M_{ij}^b$ : Covariance matrix for background

$M_{\text{signal}}$  = accounts for conversion from neutrinos to positron spectrum (MC, reactor calculation)

$M_{\text{detector}}$  = accounts for remaining MC/ Data differences (detector effects)

$M_{\text{backgr.}}$  = spectral uncertainties of all backgrounds

# energy scale uncertainties

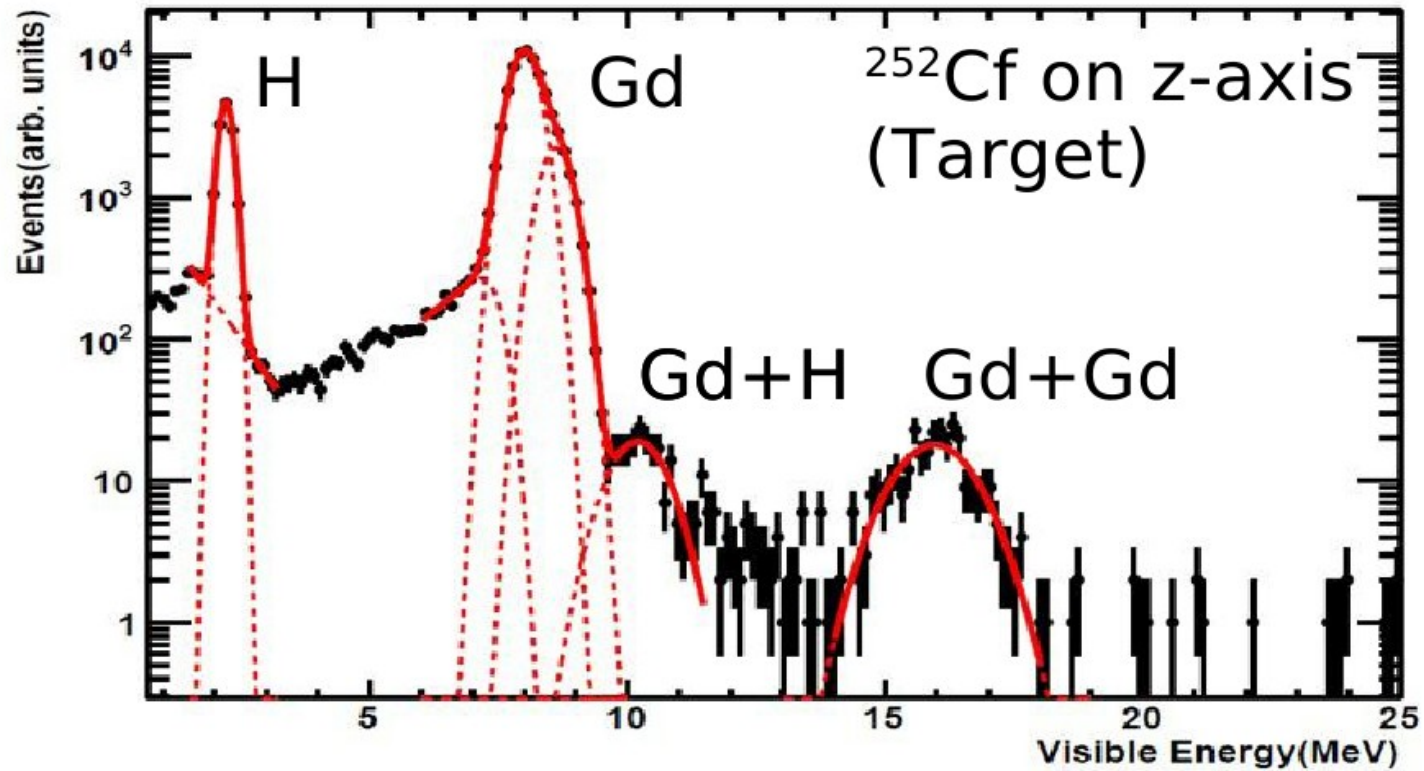


- use fit to phenomenological model to correct Data / MC differences
- correction included in covariance matrix



# Gd fraction

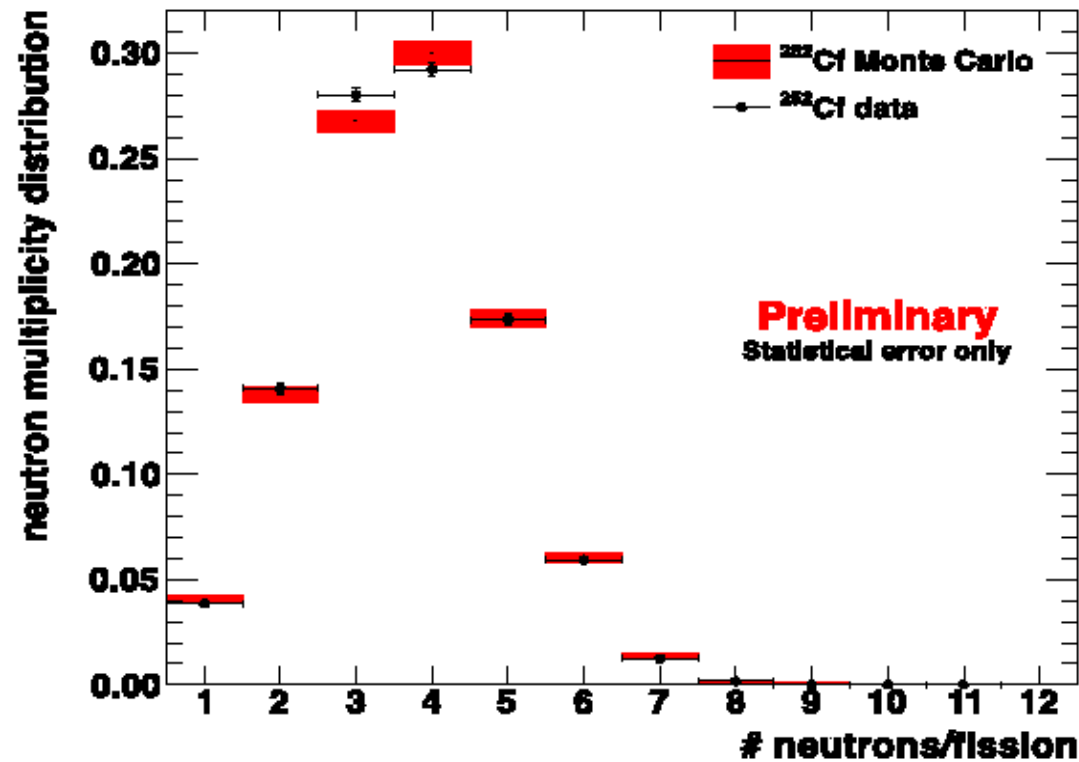
<sup>252</sup>Cf Data Delayed Signal



- Gd fraction ( Gd/ Gd+H ) in target (data):  $86 \pm 0.6 \%$
- uncertainty estimated by Data / MC comparison

# neutron multiplicity

Multiplicity of total neutron capture (H+Gd)



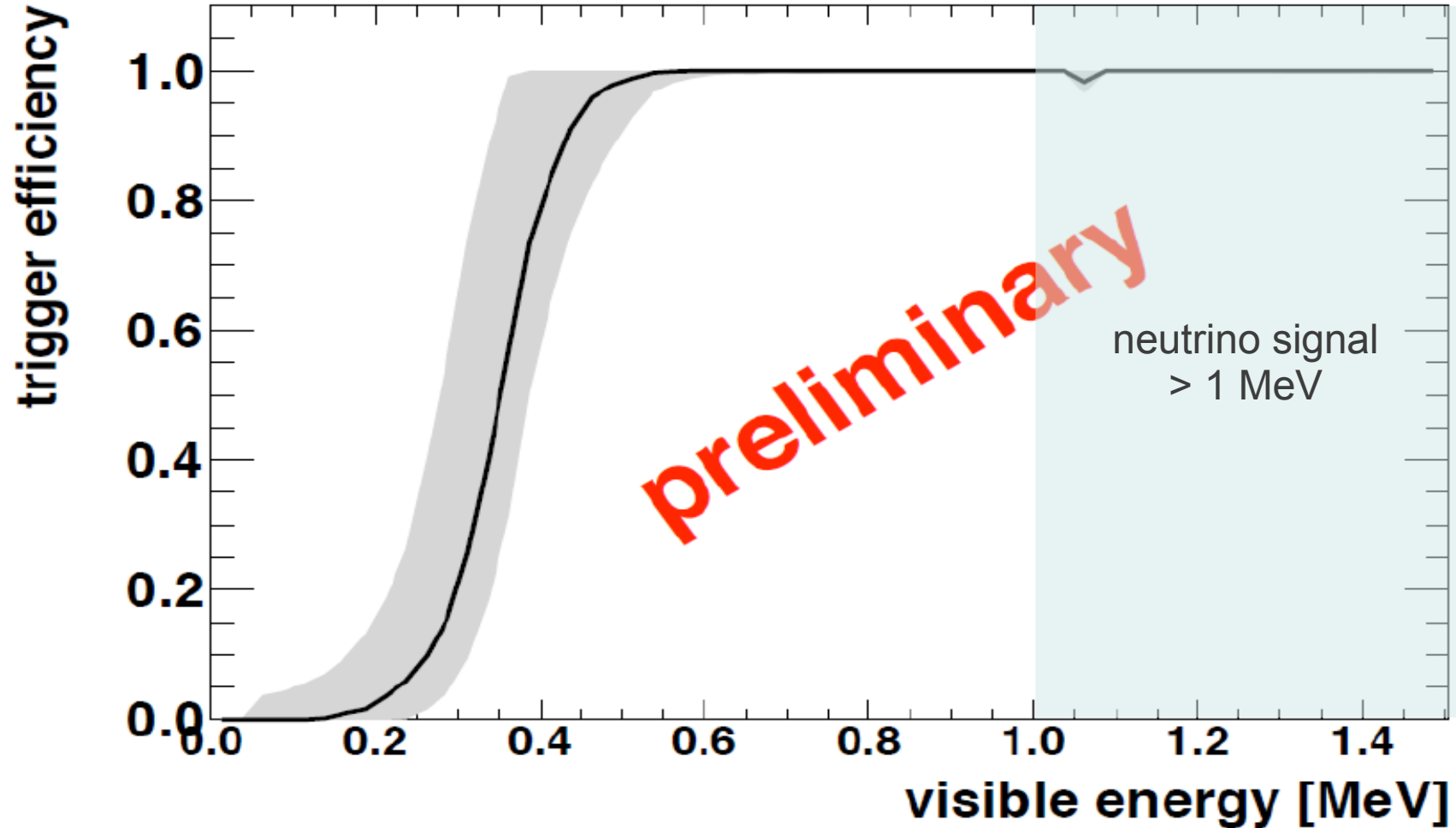
- used to verify neutron detection efficiency
- average neutron multiplicity:

DATA:  $3.659 \pm 0.008$  (statistical)

MC:  $3.677 \pm 0.013$  (statistical)



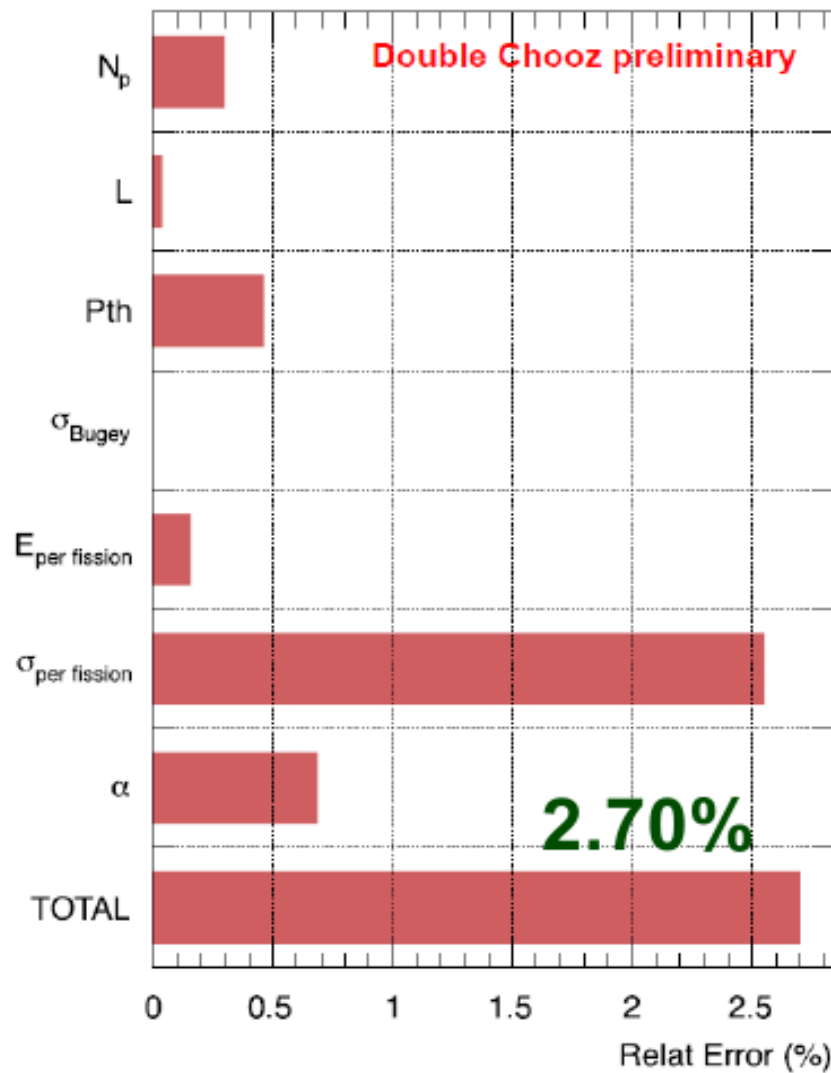
# trigger efficiency



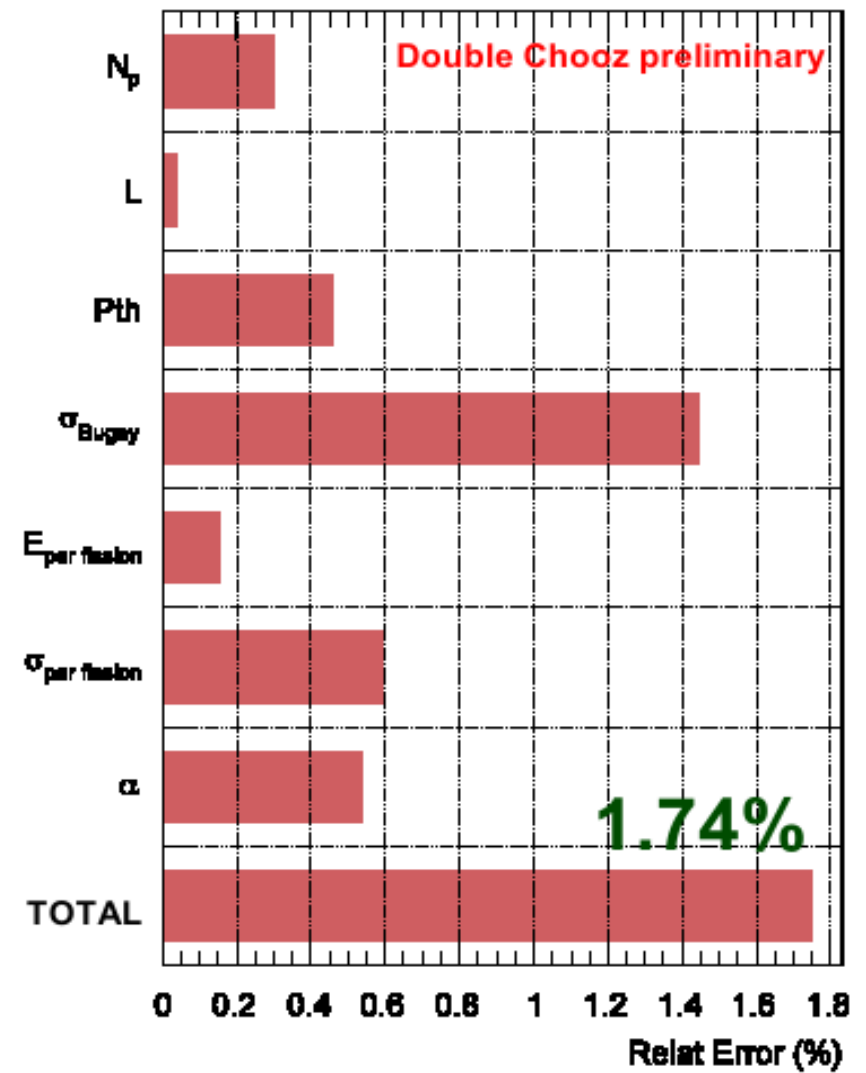
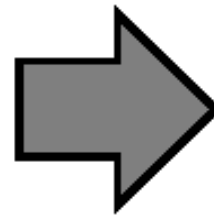
trigger efficiency above 700 keV:  $1.000 \pm 0.004$

# errors on predicted v-rate

Bugy4 works like a near detector as anchor point for FD only analysis  
→ FD-only results less dependent on flux calculations or reactor anomaly effect



theoretical calculation

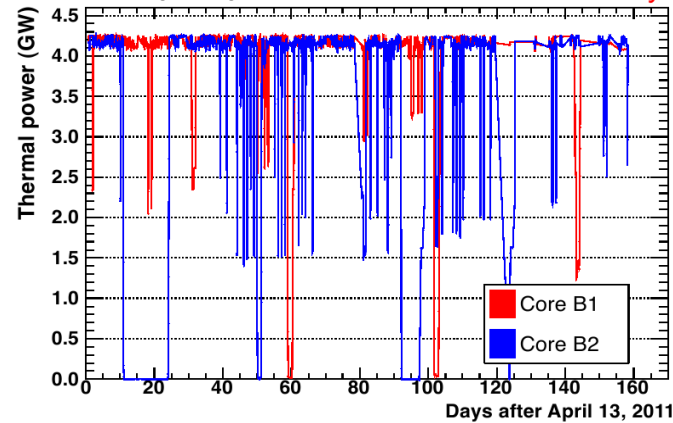


theoretical calculation  
with Bugy4

# predicted neutrino rate

$$\delta P_{th} / P_{th} = 0.46\%$$

Preliminary



- $P_{th}$  continuously extracted from reactor data
- detailed simulation of core evolution (MURE & Dragon)
- normalization to Bugey4  $\sigma_\nu$  measurement ("use as ND")

see: Th. A. Mueller et al, Phys.Rev. C83(2011) 054615  
P.Huber, Phys.Rev. C84(2011) 024617

thermal power

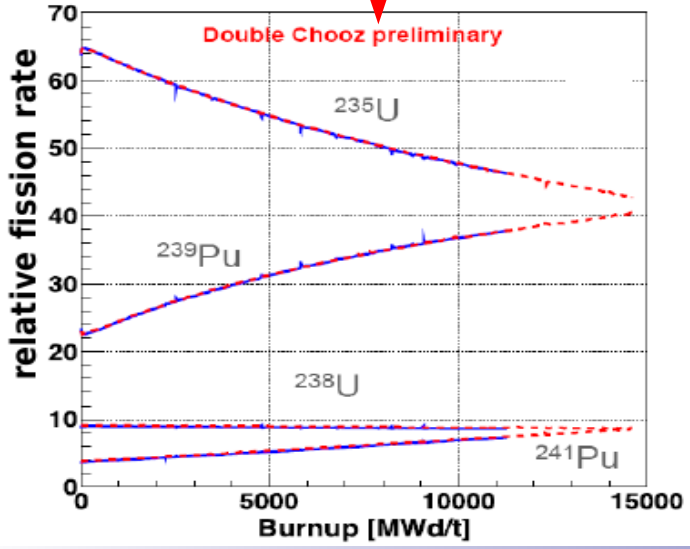
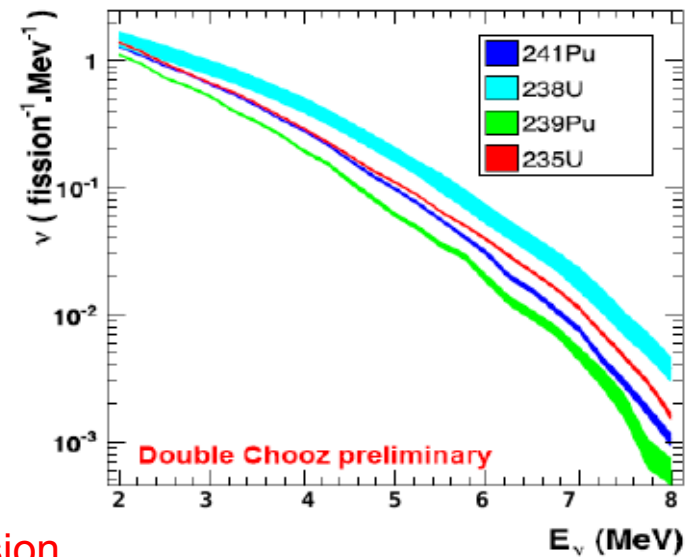
( $k = {}^{235}\text{U}, {}^{238}\text{U}, {}^{239}\text{Pu}, {}^{241}\text{Pu}$ )

$$\langle E_f \rangle = \sum_k \alpha_k(t) \langle E_k \rangle$$

mean energy per fission

$$N_\nu^{exp}(E, t) = \frac{N_p \epsilon}{4 \pi L^2} * \frac{P_{th}(t)}{\langle E_f \rangle} * \langle \sigma_f \rangle$$

Bugey4 data as anchor point



mean cross section per fission

$$\langle \sigma_f \rangle = \langle \sigma_f \rangle^{Bugey} + \sum_k (\alpha_k^{DC}(t) - \alpha_k^{Bugey}(t)) \langle \sigma_f \rangle_k$$

$$\langle \sigma_f \rangle_k = \int_0^\infty dE S_k(E) \sigma_{IBD}(E)$$



# milestones

- May 2008 – October 2010 → far detector construction
- December 2010 → far detector filling completed
- April 2011 → far detector commissioned
- April 2011 → start physics data taking with far detector
- April 2011 → near laboratory construction started
- July 2011 → outer veto commissioned
- November 2011 → first result @ LowNu 2011
- December 2011 → paper on arxiv:1112.6353, submitted to PRL
- March 2012 → paper published Y. Abe et al PRL 108, 131801, (2012)