

## *Symmetries and symmetry-breaking in the flavor sector*

Gino Isidori

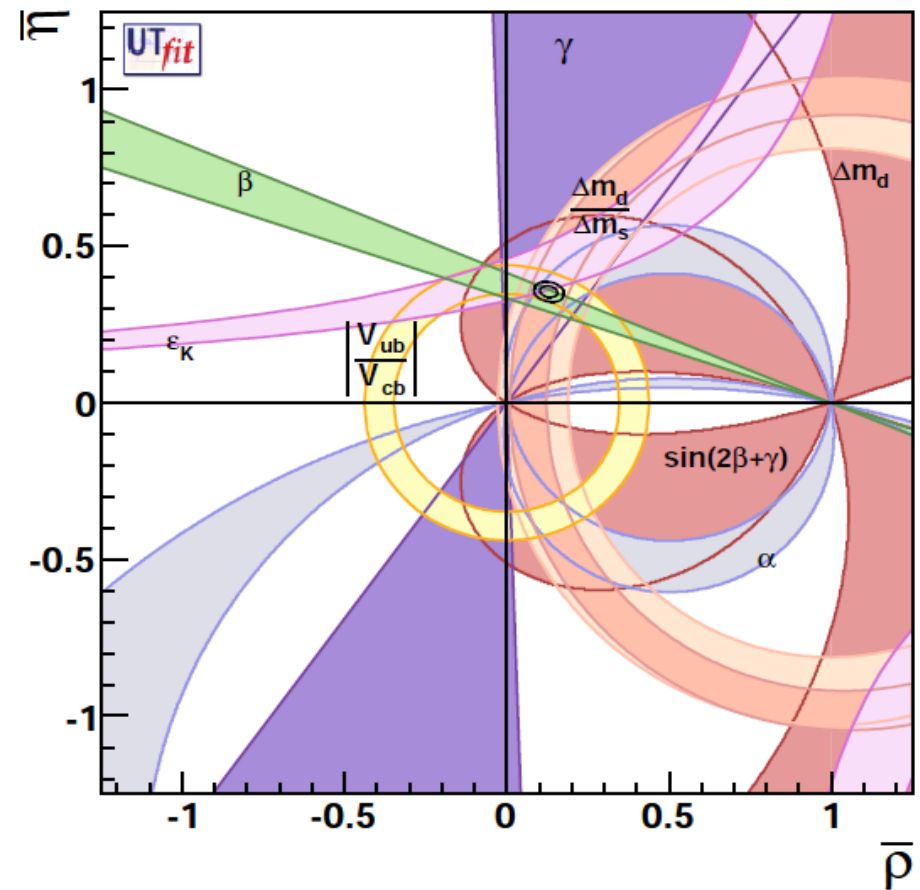
[ *INFN, Frascati* ]

- ▶ The flavor problem
- ▶ From MFV to  $U(2)^3$
- ▶ The  $U(2)^3$  symmetry and its breaking pattern
- ▶ Phenomenological implications
- ▶ *A few words to thank Luciano...*

► The flavor problem (or the embarrassing success of the GIM mechanism...)

- The measurements of quark flavor-violating observables show a remarkable overall success of the SM (and, particularly of the GIM mechanism).

This success is quite “embarrassing” if we assume there is some New Physics (NP) around the TeV scale...



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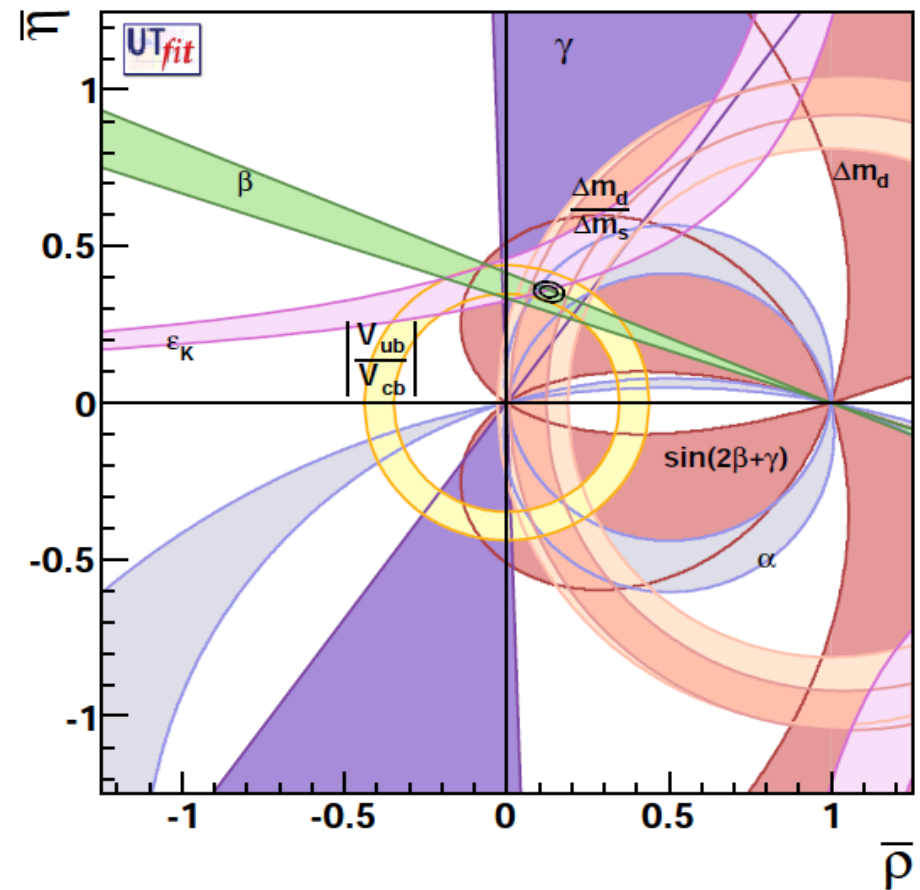
This success is quite “embarrassing” if we assume there is some New Physics (NP) around the TeV scale...

E.g.:

$$M(B_d - \bar{B}_d) \sim \frac{m_t^4 (V_{tb}^* V_{td})^2}{16\pi^2 m_w^2} + \frac{c_{NP}}{\Lambda_{NP}^2}$$

↑  
tiny SM contribution  
(GIM suppressed)

↑  
possible large contribution (if  $\Lambda_{NP} \sim \text{TeV}$  and  $c_{NP} \sim 1$ ),  
excluded by present data



► The flavor problem

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \frac{c_{ij}}{\Lambda^2} \mathcal{O}_{ij} \quad (6)$$

G.I, Nir, Perez '10

Operator	Bounds on $\Lambda$ (TeV)		Bounds on $c_{ij}$ ( $\Lambda = 1$ TeV)		Observables
	Re	Im	Re	Im	
$(\bar{s}_L \gamma^\mu d_L)^2$	$9.8 \times 10^2$	$1.6 \times 10^4$	$9.0 \times 10^{-7}$	$3.4 \times 10^{-9}$	$\Delta m_K; \varepsilon_K$
$(\bar{s}_R d_L)(\bar{s}_L d_R)$	$1.8 \times 10^4$	$3.2 \times 10^5$	$6.9 \times 10^{-9}$	$2.6 \times 10^{-11}$	$\Delta m_K; \varepsilon_K$
$(\bar{c}_L \gamma^\mu u_L)^2$	$1.2 \times 10^3$	$2.9 \times 10^3$	$5.6 \times 10^{-7}$	$1.0 \times 10^{-7}$	$\Delta m_D;  q/p , \phi_D$
$(\bar{c}_R u_L)(\bar{c}_L u_R)$	$6.2 \times 10^3$	$1.5 \times 10^4$	$5.7 \times 10^{-8}$	$1.1 \times 10^{-8}$	$\Delta m_D;  q/p , \phi_D$
$(\bar{b}_L \gamma^\mu d_L)^2$	$5.1 \times 10^2$	$9.3 \times 10^2$	$3.3 \times 10^{-6}$	$1.0 \times 10^{-6}$	$\Delta m_{B_d}; S_{B_d \rightarrow \psi K}$
$(\bar{b}_R d_L)(\bar{b}_L d_R)$	$1.9 \times 10^3$	$3.6 \times 10^3$	$5.6 \times 10^{-7}$	$1.7 \times 10^{-7}$	$\Delta m_{B_d}; S_{B_d \rightarrow \psi K}$
$(\bar{b}_L \gamma^\mu s_L)^2$	$1.1 \times 10^2$	$1.1 \times 10^2$	$7.6 \times 10^{-5}$	$7.6 \times 10^{-5}$	$\Delta m_{B_s}$
$(\bar{b}_R s_L)(\bar{b}_L s_R)$	$3.7 \times 10^2$	$3.7 \times 10^2$	$1.3 \times 10^{-5}$	$1.3 \times 10^{-5}$	$\Delta m_{B_s}$

- New flavor-breaking sources of O(1) at the TeV scale are definitely excluded

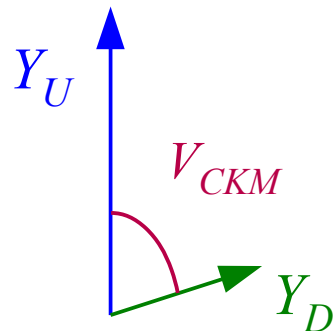
## ► The flavor problem

- The measurements of quark flavor-violating observables show a remarkable overall success of the SM (and, particularly of the GIM mechanism).
- New flavor-breaking sources of  $O(1)$  at the TeV scale are definitely excluded



Minimal Flavor Violation paradigm:

The  $U(3)^3 = U(3)_Q \times U(3)_U \times U(3)_D$  quark-flavor symmetry of the SM gauge sector is broken only by the 2 quark Yukawa couplings:  $Y_D \sim 3_Q \times \bar{3}_D$   $Y_U \sim 3_Q \times \bar{3}_U$



- The CKM matrix controls all flavor-changing phenomena in the quark sector also beyond SM
- Naturally small effects in the flavor-changing processes measured so far even for new-physics in the TeV range

► From MFV to  $U(2)^3$

MFV virtue



Naturally small effects  
in FCNC observables

MFV main open problems



No explanation for small  
CPV flavor-conserving  
observables (edms)



No explanation for  $Y$   
hierarchies (masses and  
mixing angles)

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A solutions of both these problems is obtained  
in the context of supersymmetry introducing a  
horizontal  $U(2)$  flavor symmetry acting on the  
first two generations only (and suitably broken).

The so-called “effective susy” framework,  
with heavy first two generations of squarks

Dimopoulos, Giudice, '95  
Cohen, Kaplan, Nelson '96

Pomarol, Tommasini, '96  
Barbieri, Dvali, Hall, '96  
Barbieri, Hall, Romanino, 97

► From MFV to  $U(2)^3$

MFV virtue



Naturally small effects  
in FCNC observables

Effective susy with a  $U(2)$  flavour symmetry



Naturally small CPV  
flavor-conserving  
observables (edms)



Partial explanation for  
 $Y$  hierarchies  
( $|V_{td}/V_{ts}| = \vartheta_d = (m_d/m_s)^{1/2}, \dots$ )

*Well motivated beyond flavor physics...*

(hierarchy problem + non-observation of SUSY so far)

*...not efficient as MFV in FCNC observables*

(too large flavor-violating effects in the RH sector)

- Fine-tuning in the kaon system ( $\epsilon_K$  &  $\epsilon'$ )
- Sizable non-standard CPV phases in  $\Delta B=1$  obs.



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MFV virtue



Naturally small effects  
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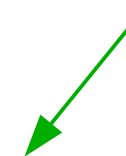
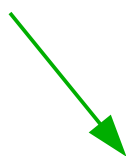
Effective susy with a  $U(2)$  flavour symmetry



Naturally small CPV  
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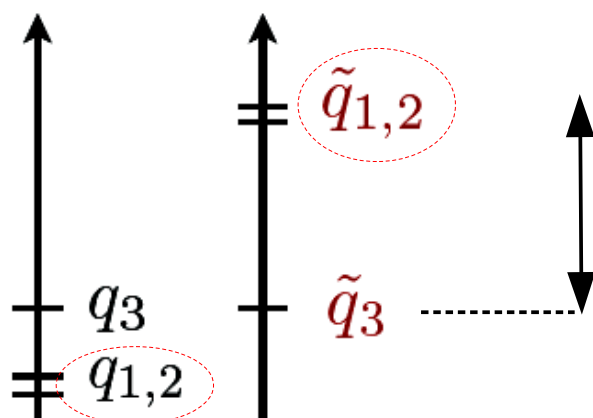


Partial explanation for  
 $Y$  hierarchies



Effective susy with a  $U(2)^3 = U(2)_Q \times U(2)_U \times U(2)_D$  flavor symmetry

Barbieri, G.I., Jones-Perez, Lodone, Straub, '11



Large mass gap (several TeV) not controlled  
by flavor symmetries (as opposite to MFV)  
and fine-tuning considerations

► The  $U(2)^3$  symmetry and its breaking pattern

The symmetry is a good approximation to the SM quark spectrum (exact symmetry for  $m_u=m_d=m_s=m_c=0$ ,  $V_{CKM}=1$ ), hence we only need to introduce small breaking terms

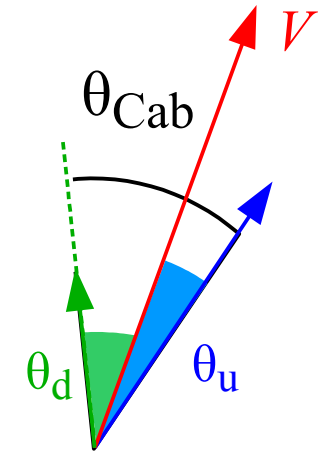
$$U(2)^3 = U(2)_Q \times U(2)_U \times U(2)_D \quad \longrightarrow \quad M_{\text{squarks}} = \begin{bmatrix} m_{\text{heavy}} & 0 \\ 0 & m_3 \end{bmatrix}$$

$$Y_u = y_t \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \quad Y_d = y_b \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$$

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The set of breaking terms necessary to reproduce the quark spectrum, while keeping small FCNCs beyond the SM are:



$$V \sim (2,1,1) \quad V_{cb} \ \& \ V_{ts} \quad O(\lambda^2 \sim 0.04)$$

$$\Delta Y_u \sim (2,2,1) \quad m_c, m_u, \theta_u \quad O(y_c)$$

$$\Delta Y_d \sim (2,1,2) \quad m_s, m_d, \theta_d \quad O(y_s)$$

$$U(2)^3 = U(2)_Q \times U(2)_U \times U(2)_D$$

$$Y_u = y_t \begin{bmatrix} \Delta Y_u & c_u V \\ 0 & 1 \end{bmatrix}$$

$$Y_d = y_b \begin{bmatrix} \Delta Y_d & c_d V \\ 0 & 1 \end{bmatrix}$$



$$\begin{aligned} |V_{us}| &\sim \theta_u - \theta_d \\ |V_{td}/V_{ts}| &= \theta_u \\ |V_{ub}/V_{cb}| &= \theta_d \end{aligned}$$

► The  $U(2)^3$  symmetry and its breaking pattern

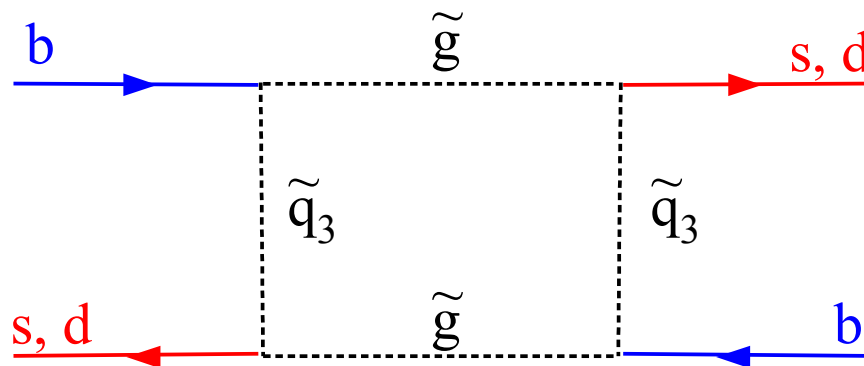
The assumption of a single (2,1,1) breaking term  
 [or a single breaking term connecting the light generations to the third one]  
 ensures a GIM-like structure for FCNCs:

$$\begin{array}{ccc}
 b_L \xrightarrow{V} d_L (s_L) & \Rightarrow & V_{td(s)} \\
 s_L \xrightarrow{V^+} 3^{\text{rd}} \xrightarrow{V} d_L & \Rightarrow & V_{ts}^* V_{td}
 \end{array}$$

The protection of FCNCs is robust even if we introduce additional (2,2,1) or (2,1,2) breaking terms (useful to explain light-quark mass ratios), provided they are sufficiently small

## ► Phenomenological implications

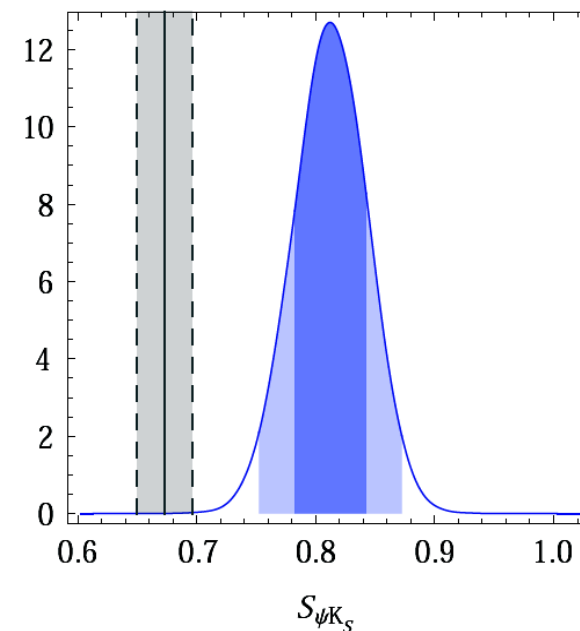
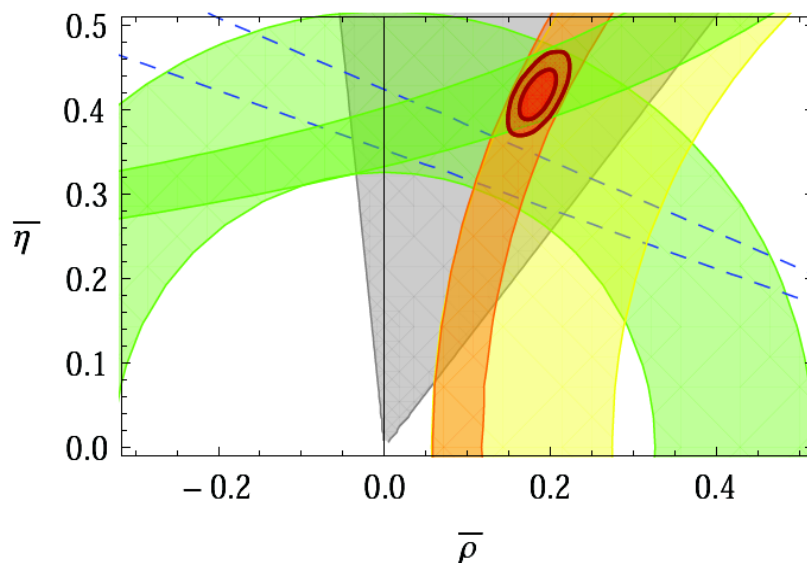
The leading and most clean deviations from the SM are expected in meson-anti-meson mixing, from gluino-box diagrams:



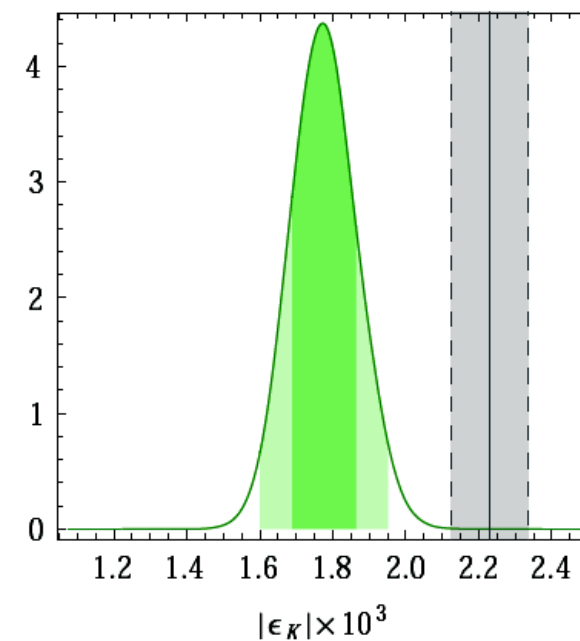
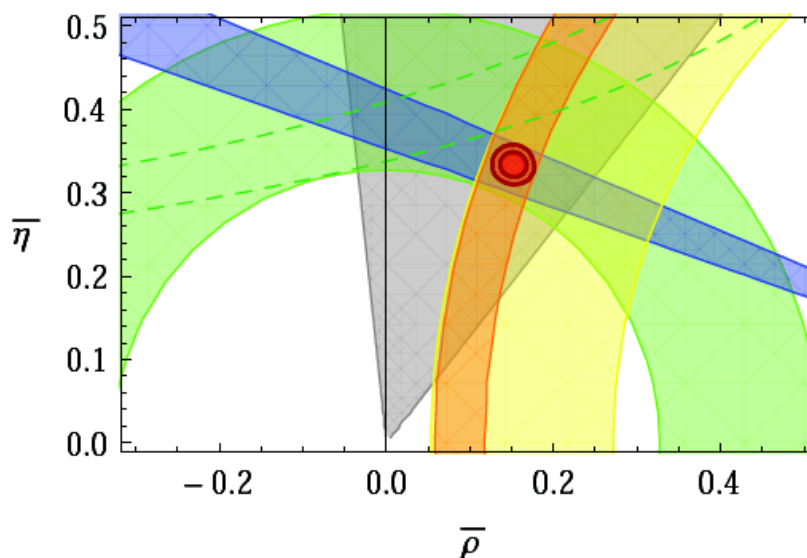
- Correction to  $K^0$  mixing aligned in phase with the SM amplitude, with definite sign (constructive interference)
- New CPV appearing in  $B_{s,d}$  mixing (in a universal way)

Despite the overall good consistency, the CKM fit within the SM shows some “tensions”:

I. SM fit,  
no  $S_{\psi K_S}$



II. SM,  
no  $\epsilon_K$



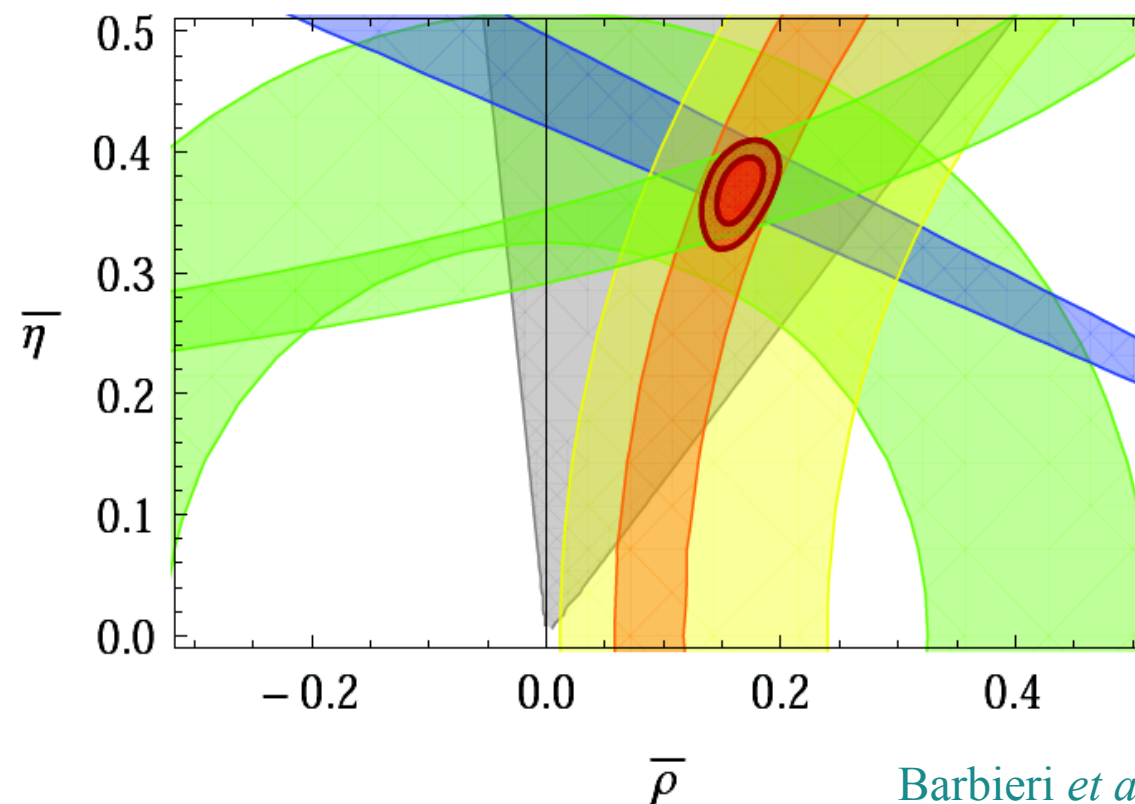
Similar results  
by [CKMfitter](#) & [UTfit](#)

► Phenomenological implications

The situation improves substantially if we include the  $U(2)^3$  SUSY corrections

$$(\chi^2/N_{\text{dof}})_{\text{SM}} = 10/5$$

$$(\chi^2/N_{\text{dof}})_{\text{SUSY}} = 0.7/2$$

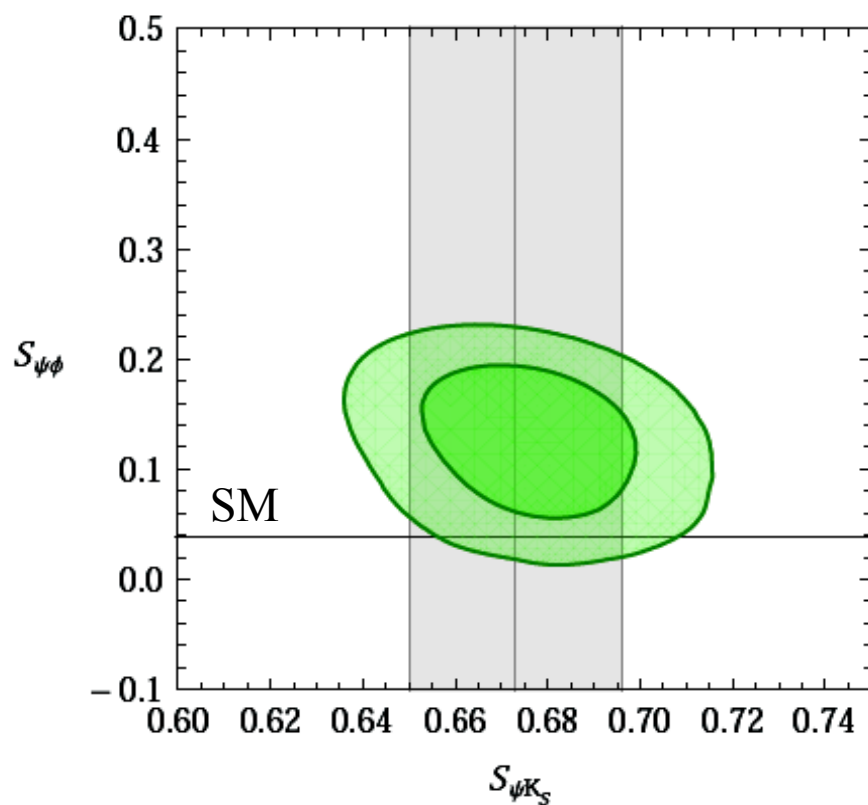


Barbieri *et al.* '11

## ► Phenomenological implications

Two clean predictions for the LHC:

**I.** Small non standard CPV in  $B_s$  mixing



Compatible with the recent LHCb data,  
possibly within their near-future reach

**II.** Relatively “light” gluinos  
and 3<sup>rd</sup> generation squarks

$$m_{\tilde{g}}, m_{\tilde{q}_3} < 1.0, 1.5 \text{ TeV}$$

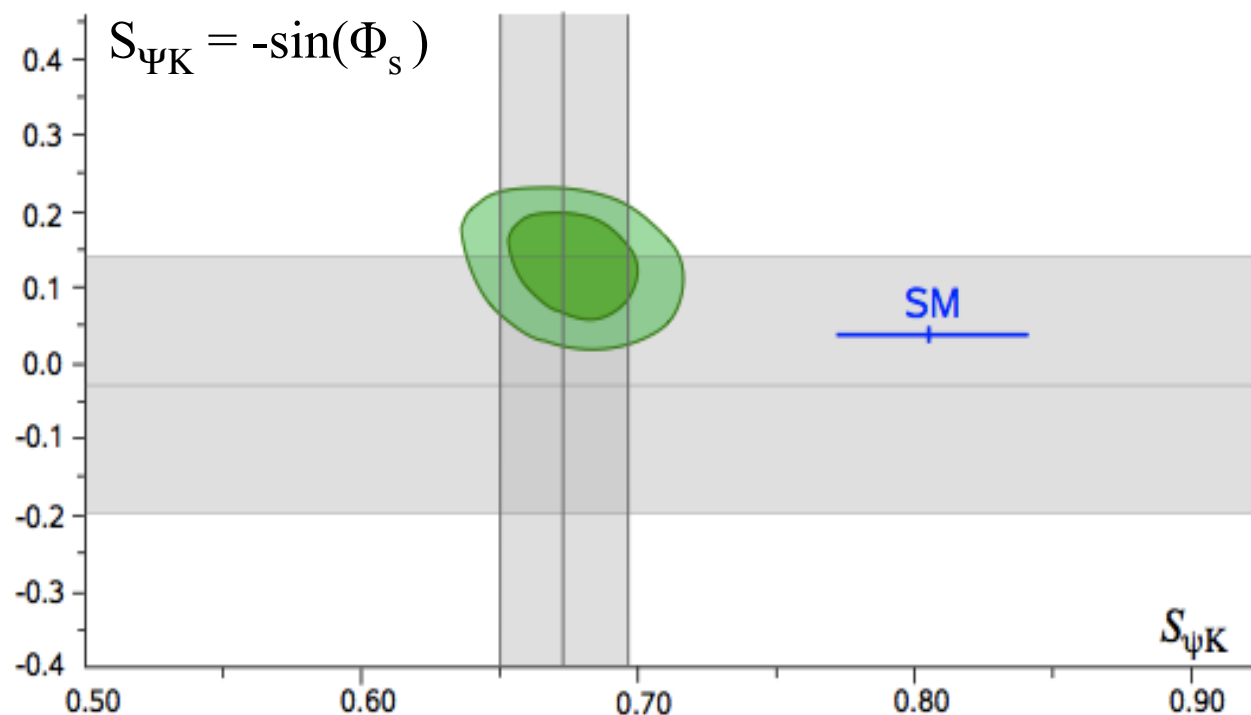
Compatible with the most recent  
bounds from ATLAS & CMS,  
but within their near-future reach



## ► Phenomenological implications

Two clean predictions for the LHC:

### I. Small non standard CPV in $B_s$ mixing



$$S_{\Psi K}^{\text{SM}} = 0.041 \pm 0.002$$

$$S_{\Psi K}^{\text{U}(2)} = 0.12 \pm 0.05$$

$1\sigma$ , prelim. LHCb  
result (LP2011)

$$-\Phi_s^{\text{exp}} = -0.03 \pm 0.16 \pm 0.07$$

Not easy to distinguish from the SM, but not impossible...

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All of you know that Luciano is a brilliant scientist.

But maybe not everybody is aware of the fact he is also a great teacher...

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Twenty-one years ago, during my last year as undergraduate student in physics, I dared to ask the diploma thesis to Luciano.

I had very little ideas of what particle physics is, and was a bit scared to ask the thesis to him. But after following Luciano's course on Theoretical Physics I had no doubt this was the right thing to do.

The course ended several days late, with a program ranging from QED to the path integral formulation of QFT, including a set of special lectures on SSB held by Goldstone himself (*probably these days these are three separate courses...*)... definitely the most exciting course in physics I ever attended !

(incidentally, only two of us showed up at the first call for the exam...)

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- the passion for comparing theory and data;
- the interest to work in different fields of physics;
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Many thanks and Happy Birthday Luciano!