

Current status and Physics Potential of the **Hyper-Kamiokande Experiment**

La Thuile 2023 - Les Rencontres de Physique de la Vallée d'Aoste

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Laboratoire Leprince-Ringuet

March 7, 2023

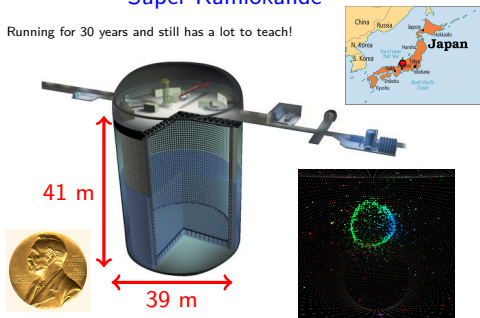


Hyper-Kamiokande

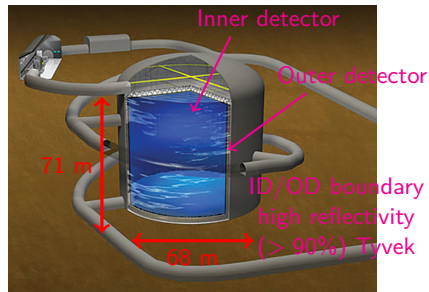
The Hyper-Kamiokande detector

Super-Kamiokande

Running for 30 years and still has a lot to teach!



Hyper-Kamiokande

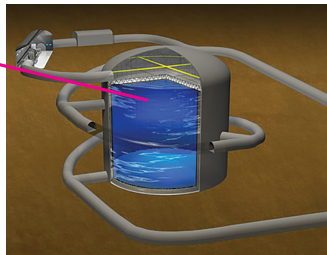
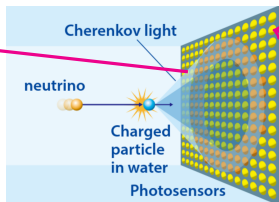
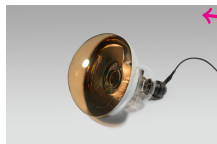


	Super-K	Hyper-K
Site	Mozumi	Tochibora
Overburden	2780 m.w.e.	1700 m.w.e.
Number of ID PMTs	11129	20000
Photo-coverage	40%	20% ($\times 2$ efficiency)
Mass / Fiducial Mass	50 kton / 22.5 kton	258 kton / 186 kton

Hyper-Kamiokande will begin taking data in 2027

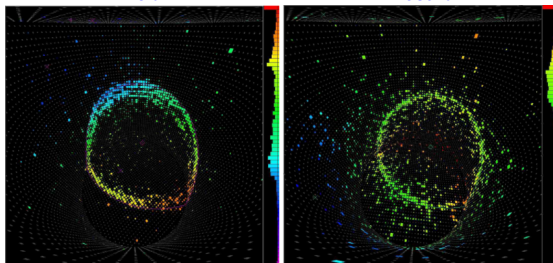
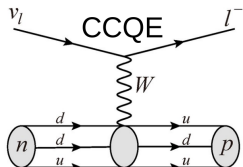
Neutrino detection with water Cherenkov detectors

PhotoMultiplier
Tube (PMT)



Muon

Electron



courtesy of SK collaboration

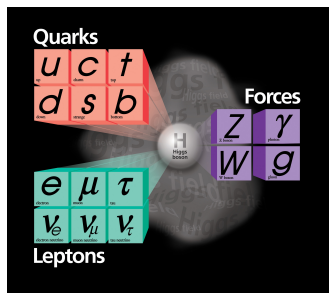
The Hyper-Kamiokande collaboration

- Around 500 collaborators from all around the world working together, sharing expertise and building a milestone in particle physics



- This week: First in-person meeting since Feb. 2020

Neutrinos in the Standard Model... and beyond



Super-Kamiokande (1998) + SNO (2001) :
oscillations \Rightarrow neutrinos have (different) mass

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{\text{PMNS}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

flavour "interaction" mass "propagation"



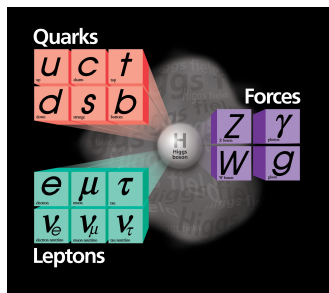
$$U_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & e^{-i\delta} \sin \theta_{13} \\ 0 & 1 & 0 \\ -e^{-i\delta} \sin \theta_{13} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

atmospheric Δm_{31}^2 solar Δm_{21}^2

3 mixing angles, 2 squared mass differences, 1 CP violation phase

open questions: mass hierarchy? $\theta_{23} > 45^\circ$ or $< 45^\circ$? value of δ_{CP} ? unitarity?

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atmospheric Δm_{31}^2

$$\begin{pmatrix} \cos \theta_{13} & 0 & e^{-i\delta} \sin \theta_{13} \\ 0 & 1 & 0 \\ -e^{-i\delta} \sin \theta_{13} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

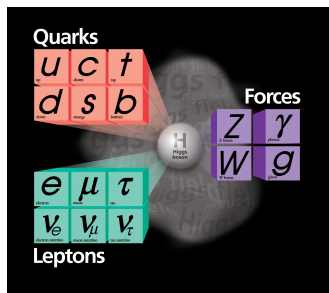
solar Δm_{21}^2

reactors

3 mixing angles, 2 squared mass differences, 1 CP violation phase

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atmospheric Δm_{31}^2 solar Δm_{21}^2

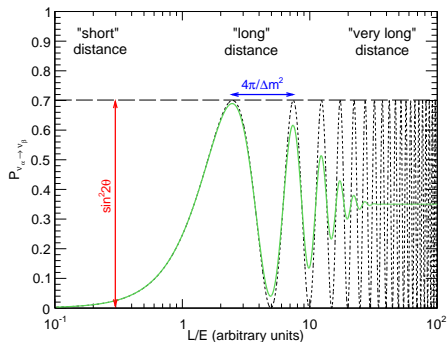
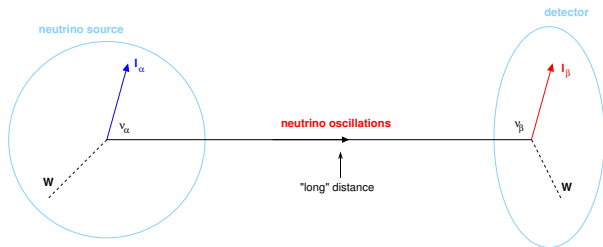
accelerators

reactors

3 mixing angles, 2 squared mass differences, 1 CP violation phase

open questions: mass hierarchy? $\theta_{23} > 45^\circ$ or $< 45^\circ$? value of δ_{CP} ? unitarity?

Neutrino oscillation in a nutshell



2-flavour approximation:

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L, E) = \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 L}{4E} \right)$$

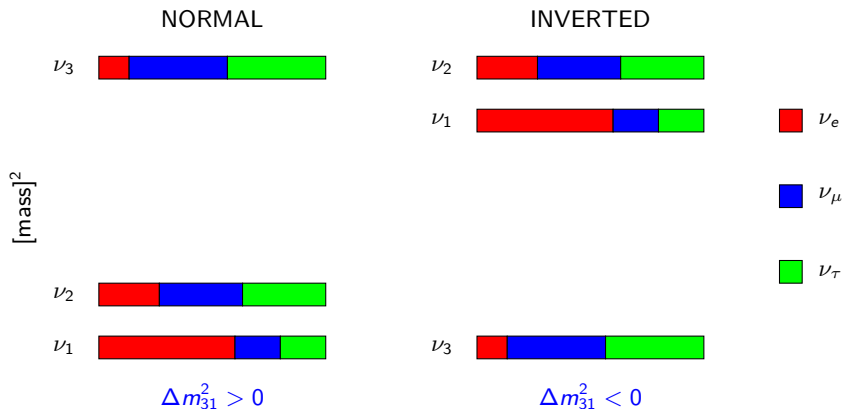
3 flavours : much longer to write...
but the same basic principle

$$\delta_{CP} \neq 0 \Rightarrow P(\nu_\alpha \rightarrow \nu_\beta) \neq P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$$

Matter-antimatter asymmetry?

What is the mass hierarchy?

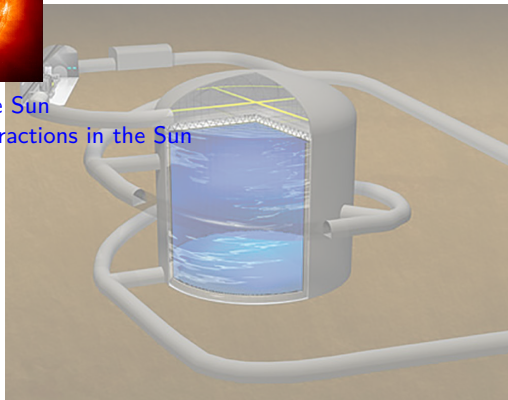
two possibilities for the neutrino mass spectrum



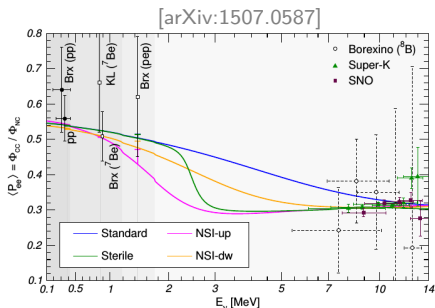
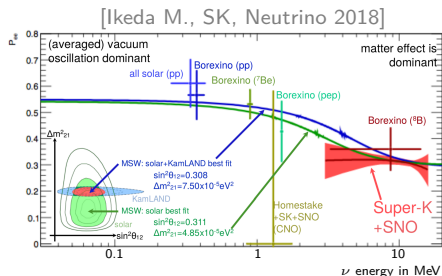
NB: we know that the mass state containing most ν_e is the lighter of the two “solar mass” states $\Delta m_{21}^2 \equiv m_2^2 - m_1^2 > 0$ and $\theta_{12} < 45^\circ$ thanks to the observation of the matter effect in the Sun



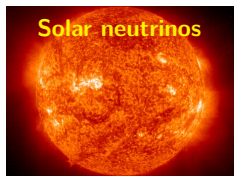
MSW effect in the Sun
Non standard interactions in the Sun



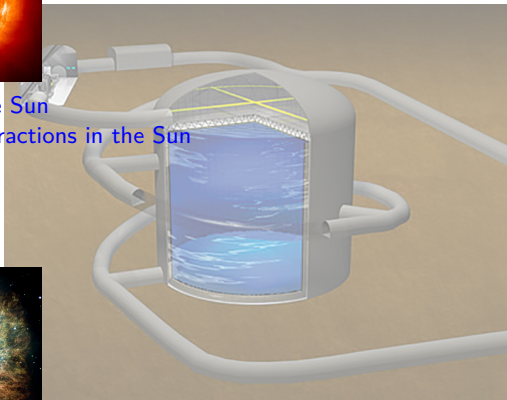
- SK/SNO found high matter effect in the Sun $\Leftrightarrow P_{ee}$ upturn shifted to low energies



- SK deviates from “standard” upturn scenario by $> 2\sigma$
- Statistical fluctuation? Light sterile neutrino? Non Standard Interaction (NSI) in the Sun?
- Hyper-Kamiokande solar ν physics : explore upturn region, probe day/night asymmetry (matter effects in the Earth), solve tension w/ KamLAND results



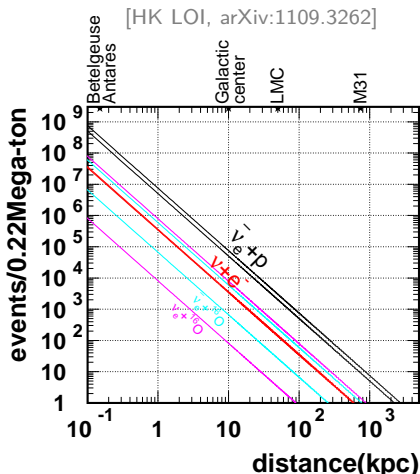
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Constraints SN models
Constraints star formation history

Supernovae neutrinos

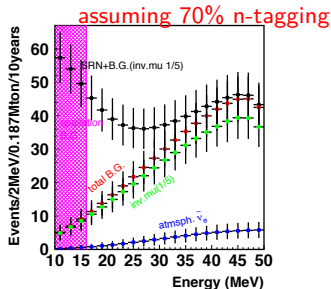
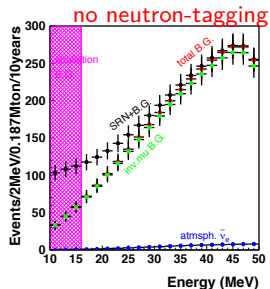
- 99% of the gravitational energy of a SN is released into neutrinos \Rightarrow knowledge about the mechanisms in the core of the collapsing star
- SK only sensitive to galactic SN (~ 8000 events) which are extremely rare (few times per century) so far only SN1987a in LMC (12 events in Kamiokande)
- HK sensitive to **extra-galactic SN** (Andromeda)
- If SN in the galactic center, $\sim 50k$ events \Rightarrow **unprecedented constraints in both time and energy** [K. Abe et al. ApJ 916 15 (2021)]
- Directional resolution of 1° @ 10 kpc \Rightarrow **multi-messengers astronomy**



Diffuse Supernovae Neutrino Background

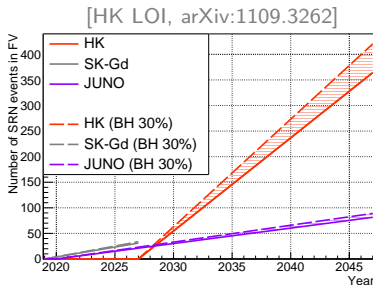
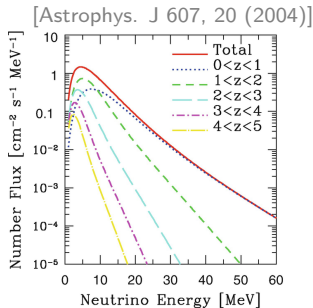
- Neutrinos produced by all of the supernova explosions since the beginning of the universe
- Detection channel IBD:
 $\bar{\nu}_e + p \rightarrow e^+ + n$
- First detection in SK-Gd? Gd has very large n capture cross section and a very distinctive γ signature [arXiv:0309300]
Latest results for DSNB search at SK [K. Abe et al. PRD 104 (2021)]
- Shape of the spectrum with HK!
- Dependency with the redshift
 \Rightarrow rate as a function of time
- Sensitivity to fraction of failed SN *i.e.* neutron star vs BH (harder spectrum)

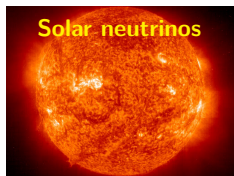
[HK LOI, arXiv:1109.3262]



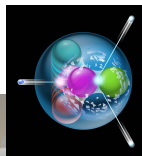
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Non standard interactions in the Sun

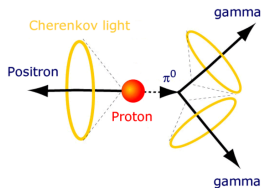


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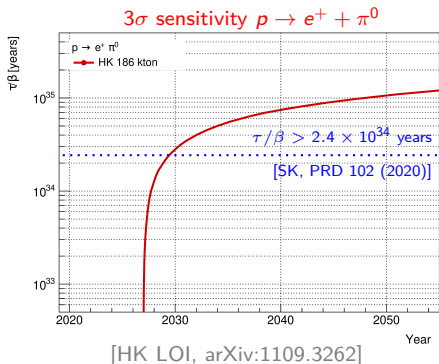
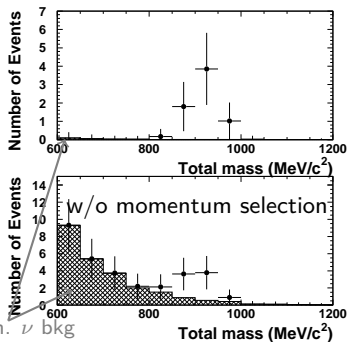


GUT and proton decay

- Probe Grand Unified Theories at a new scale through proton decay
- Golden channel : $p \rightarrow e^+ + \pi^0$, very clean event topology, almost background free (dominant bkg is atmospheric ν producing a π^0)
- Second channel $p \rightarrow \bar{\nu} + K^+$

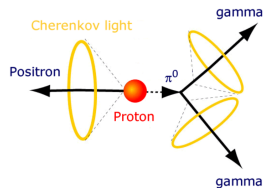


$p \rightarrow e^+ \pi^0$, HK 10 years, $\tau_p/\beta = 1.7 \times 10^{34}$ years

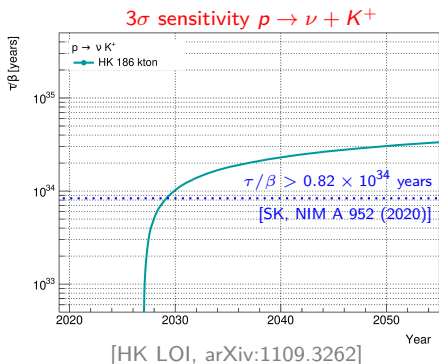
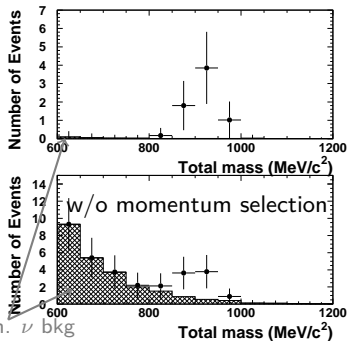


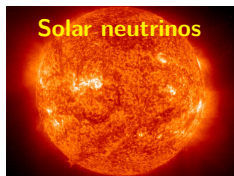
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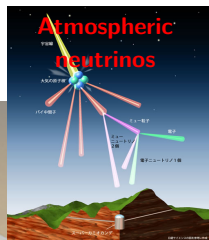




MSW effect in the Sun
Non standard interactions in the Sun



Proton
decay (GUT)



Obs. CP violation at 5σ
Precise measurement of δ_{CP}
Mass hierarchy

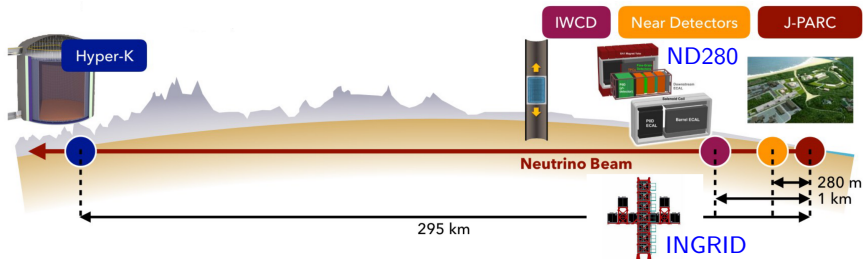


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Hyper-Kamiokande long-baseline program

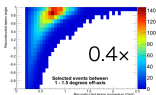
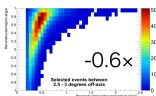
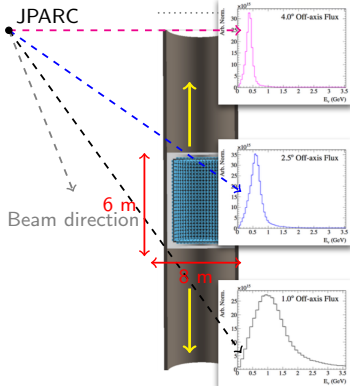
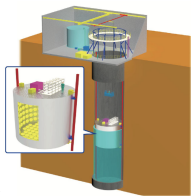
- Search for ν_μ ($\bar{\nu}_\mu$) disappearance \Rightarrow precise measurement of atmospheric parameters
- Search for ν_e ($\bar{\nu}_e$) appearance \Rightarrow search for CP violation in the lepton sector
- Upgraded beam power to 1.3 MW w.r.t. T2K (~ 500 kW)
- Upgraded ND280 (off-axis detector of T2K)
- New Intermediate Water Cherenkov Detector @ 1 km



Intermediate Water Cherenkov Detector (IWCD)



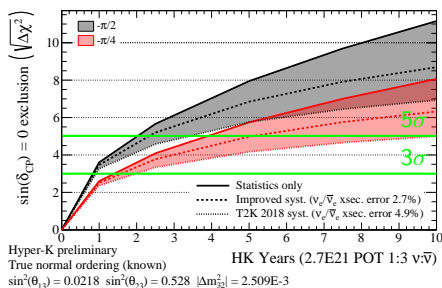
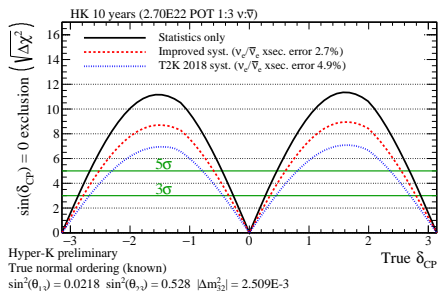
Multi-PMTs : better timing and spatial res. than 20"-PMTs



- Solid angle different at near and far detector
- Take a combination of reconstructed number of neutrinos (*e.g.* in p/θ) to correctly predict the flux at HK \Rightarrow helps in reducing systematics related to cross-section models
- Water Cherenkov \Rightarrow same as HK, excellent PID
- Distance ~ 1 km
- Loaded w/ Gd for n tagging?
- ND280 + IWCD complementary to reach $\leq 3\%$ syst.

Sensitivity to CP violation

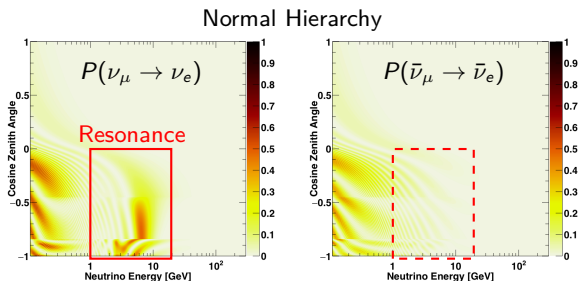
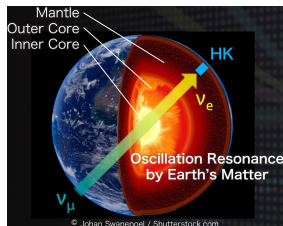
- Assumption $\nu:\bar{\nu} = 1:3$ with beam @ 1.3 MW



- If $\delta_{CP} = -\pi/2$: 5σ after 2-4 years of data taking, independent from \searrow systematics
- 5σ sensitivity on 60% of δ_{CP} values in 10 years
- HK has world-best sensitivity to CP violation... if MH is known

Atmospheric neutrinos and mass-hierarchy determination

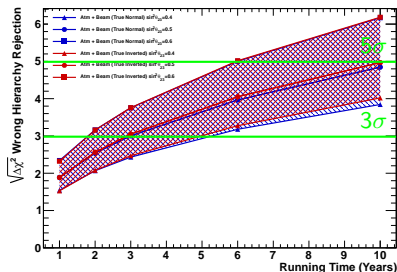
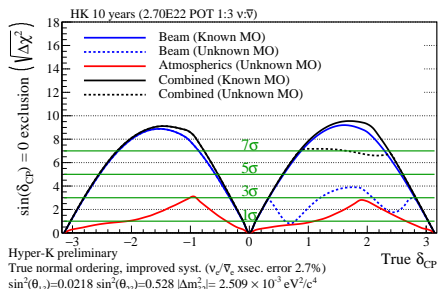
- Mass-hierarchy can be accessed through matter effects, the longer the baseline, the higher the effects



[PTEP 2019 (2019) no.5, 053F01]

- Mass-hierarchy determined with upward-going multi-GeV ν_e sample: atm. baseline ≤ 130000 km \gg 295 km accelerator baseline
 - Normal hierarchy: enhancement of $P(\nu_\mu \rightarrow \nu_e)$
 - Inverted hierarchy: enhancement of $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$
- Sensitivity enhanced if $\nu/\bar{\nu}$ separation \Rightarrow neutron capture [ν ($\bar{\nu}$) interactions on nuclei produce a p (n)]

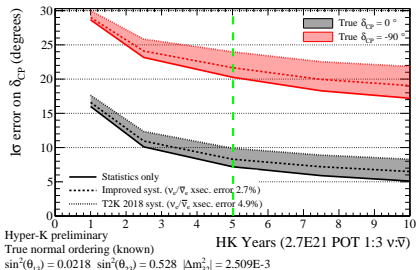
Combination of atmospheric + beam neutrinos



- Even if MH is not known when HK starts, sensitivity to CP violation is little affected if we use atmospheric ν
- MH will be determined ≤ 6 -10 years through atmospheric ν oscillations

Precision of δ_{CP} measurement

- After CPV is determined, accurate measurement of δ_{CP} will be crucial
 \Rightarrow maximal CPV, constrain leptogenesis scenarios, symmetries of lepton's generation,...



	HK precision	
	5 years	10 years
CP conservation ($\delta_{CP} = 0$)	8°	6°
Max. CP violation ($\delta_{CP} = -\pi/2$)	25°	19°

- HK will be the leading experiment for CPV & δ_{CP} measurements in the next 20 years

Beginning of HK construction



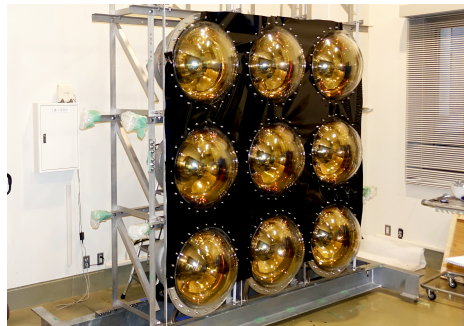
Access tunnel entrance when the excavation just started on May 6, 2021

Beginning of HK construction



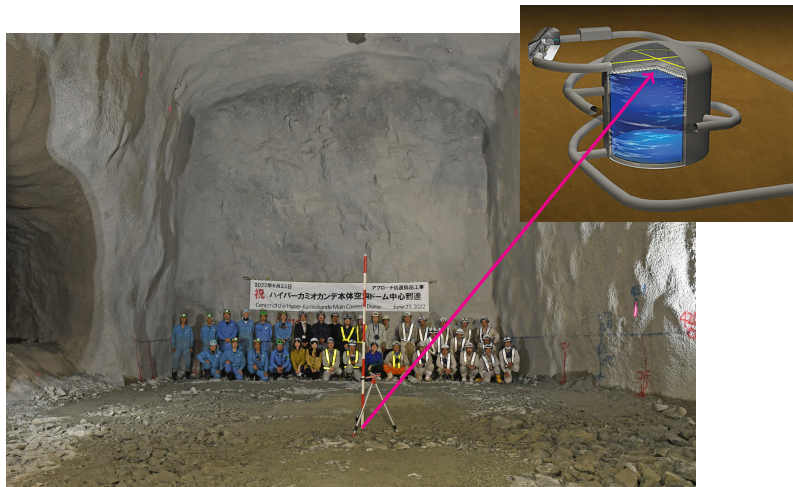
Groundbreaking ceremony held online on May 28, 2021

PMT production and delivery



Total 3772 PMTs ($\sim 20\%$)
delivered by April 2022

Current status of HK construction



Center of the future HK main cavern's dome reached on June 23, 2022

- Hyper-Kamiokande is a next-generation neutrino experiment built on the success of Super-Kamiokande with $8\times$ larger fiducial volume and improved PMTs
- Upgraded beam program with 1.3 MW beam power, upgraded near detectors and new Intermediate Water Cherenkov Detector \Rightarrow towards discovery of CP violation in the lepton sector
- Extraordinary research project with extraordinary scientific significance
- Highly versatile multi-purpose experiment, with capability to explore variety of topics in the MeV - TeV energy range: beam neutrinos, solar and atmospheric neutrinos, supernovae neutrinos, DSNB, neutrino astrophysics, dark matter, proton decay and other baryon number-violating processes, ...

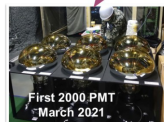
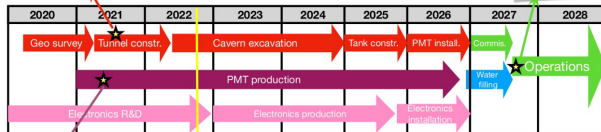
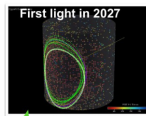
Construction on-going! Data taking in 2027!

New collaborators welcome!

BACK-UP SLIDES



HK schedule



Three flavour oscillation parameters summary

From NuFIT 5.0 (2020), www.nu-fit.org

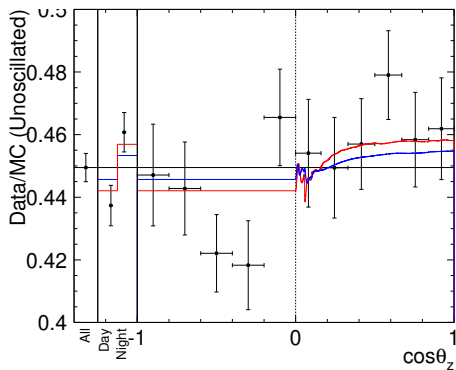
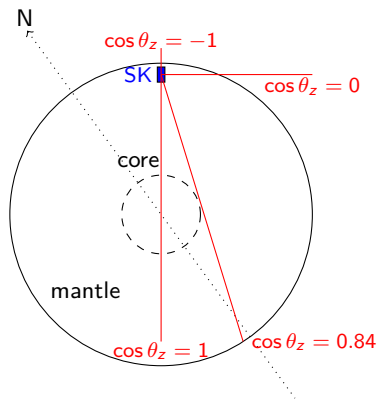
Parameter	$\text{bfp} \pm 1\sigma$	1σ acc.	Experiment	Comment
$\sin^2 \theta_{12}$	$0.304^{+0.012}_{-0.012}$	4.2%	KamLAND, SK, SNO	unitarity?
Δm_{21}^2 [10^{-5} eV 2]	$7.42^{+0.21}_{-0.20}$	2.8%	KamLAND SK, SNO	
$\sin^2 \theta_{23}$	NH: $0.573^{+0.016}_{-0.020}$ IH: $0.575^{+0.016}_{-0.019}$	4.3%	T2K, NO ν A, SK	unitarity? octant? ($\theta_{23} > 45^\circ$ or $< 45^\circ$?)
$\Delta m_{3\ell}^2$ [10^{-3} eV 2]	NH: $\Delta m_{31}^2 = 2.517^{+0.026}_{-0.028}$ IH: $\Delta m_{32}^2 = -2.498^{+0.028}_{-0.028}$	1.2%	T2K, NO ν A, SK, Daya Bay	mass hierarchy?
$\sin^2 \theta_{13}$	NH: $0.02219^{+0.00062}_{-0.00063}$ IH: $0.02238^{+0.00063}_{-0.00062}$	3.0%	Daya Bay, RENO, Double Chooz	unitarity?
δ_{CP} [degree]	NH: 197^{+27}_{-24} IH: 282^{+26}_{-30}	-	T2K, NO ν A (w/ θ_{13} constraint)	3σ measurement? CP violation?

Open questions in neutrino oscillations : [mass hierarchy](#), [\$\theta_{23}\$ octant](#), [value of \$\delta_{\text{CP}}\$](#) , [unitarity?](#)

Regeneration of solar ν_e 's in the Earth?

During day solar ν 's cross atmosphere + thin part of crust \Rightarrow negligible matter effects

During night they come from below, passing through the Earth \Rightarrow matter effects (density in the core ~ 0.01 Sun)



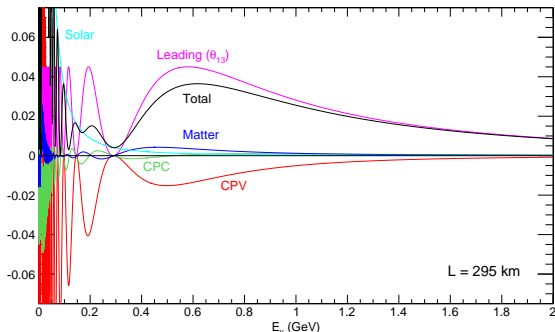
$$A_{\text{DN}} = (-4.9 \pm 1.8(\text{stat.}) \pm 1.4(\text{syst.}))\%$$

$$A_{\text{DN}}^{\text{fit,SK}} = (-3.3 \pm 1.0(\text{stat.}) \pm 0.5(\text{syst.}))\%$$

ν_e appearance in accelerator experiments (1)

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & \boxed{4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31}} \\
 & + 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} \\
 & - 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} \\
 & + 4s_{12}^2 c_{13}^2 (c_{12}^2 c_{23}^2 + s_{12}^2 s_{23}^2 s_{13}^2 - 2c_{12} c_{23} s_{12} s_{23} s_{13} \cos \delta) \sin^2 \Delta_{21} \\
 & - 8c_{13}^2 s_{12} s_{23}^2 \frac{aL}{4E} (1 - 2s_{13}^2) \cos \Delta_{32} \sin \Delta_{31} + 8c_{13}^2 s_{13}^2 s_{23}^2 \frac{a}{\Delta m_{31}^2} (1 - 2s_{13}^2) \sin^2 \Delta_{31}
 \end{aligned}$$

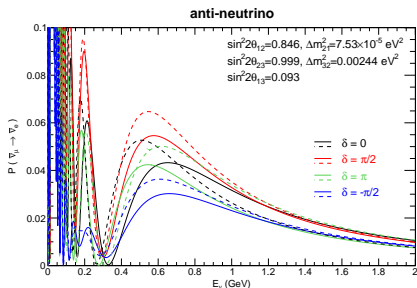
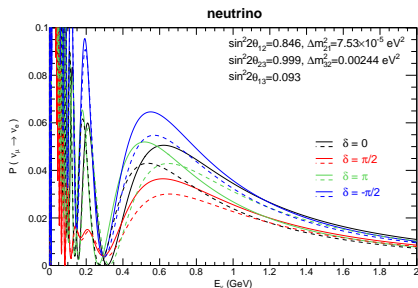
$$\sin^2 2\theta_{12} = 0.846, \Delta m_{21}^2 = 7.53 \times 10^{-5} \text{ eV}^2, \sin^2 2\theta_{23} = 0.999, \Delta m_{32}^2 = 0.00244, \sin^2 2\theta_{13} = 0.093, \delta = \pi/2$$



ν_e appearance in accelerator experiments (2)

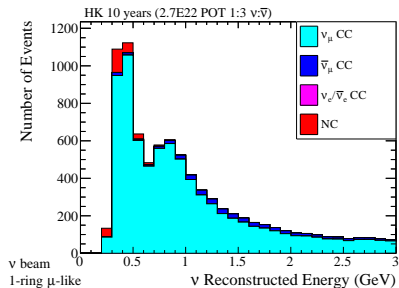
$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & 4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \Delta_{31} \\
 & + 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} \\
 & - 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \Delta_{32} \sin \Delta_{31} \sin \Delta_{21} \\
 & + 4s_{12}^2 c_{13}^2 (c_{12}^2 c_{23}^2 + s_{12}^2 s_{23}^2 s_{13}^2 - 2c_{12} c_{23} s_{12} s_{23} s_{13} \cos \delta) \sin^2 \Delta_{21} \\
 & - 8c_{13}^2 s_{12}^2 s_{23}^2 \frac{aL}{4E} (1 - 2s_{13}^2) \cos \Delta_{32} \sin \Delta_{31} + 8c_{13}^2 s_{13}^2 s_{23}^2 \frac{a}{\Delta m_{31}^2} (1 - 2s_{13}^2) \sin^2 \Delta_{31}
 \end{aligned}$$

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) \quad a \rightarrow -a \quad \delta \rightarrow -\delta$$

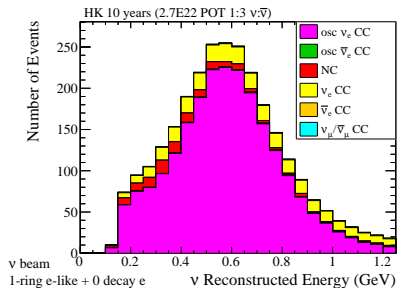


Hyper-Kamiokande prospects for the CP phase (1)

Beam only ν mode



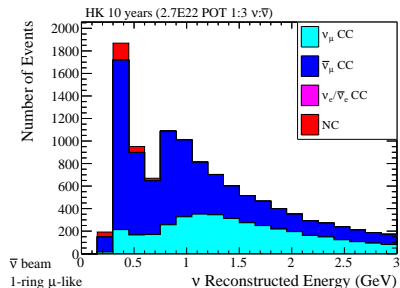
Beam only ν mode



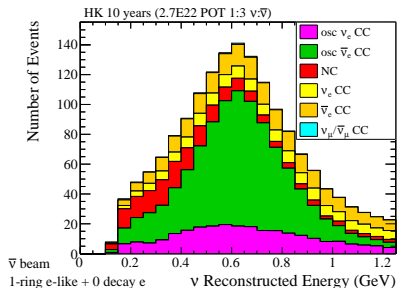
Reconstructed neutrino beam event samples disappearance (left) and appearance (right)

Hyper-Kamiokande prospects for the CP phase (2)

Beam only $\bar{\nu}$ mode



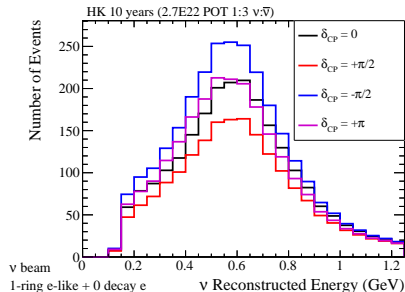
Beam only $\bar{\nu}$ mode



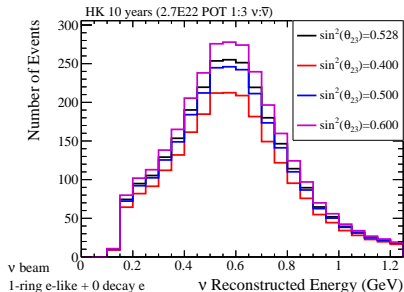
Reconstructed antineutrino beam event samples disappearance (left) and appearance (right)

Hyper-Kamiokande prospects for the CP phase (3)

Beam only ν mode



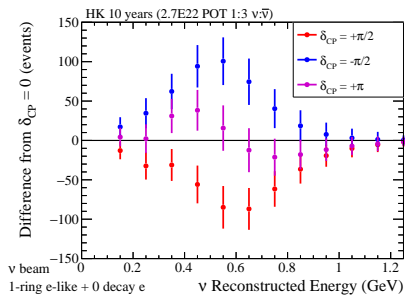
Beam only ν mode



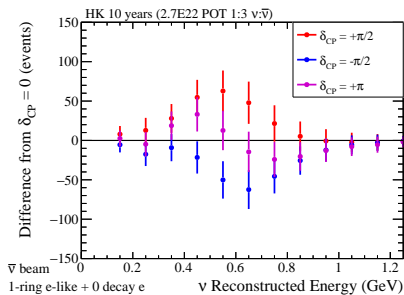
Appearance channel is sensitive to the value of CP
but needs the precise measurement of other parameters, e.g. θ_{23}

Hyper-Kamiokande prospects for the CP phase (4)

Beam only ν mode

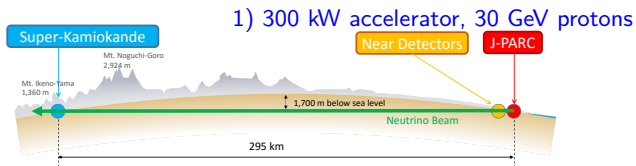


Beam only $\bar{\nu}$ mode

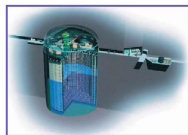


Operating the beam in neutrino and antineutrino modes and the various muon and electron-like samples help solving degeneracies
As so does the input from atmospheric neutrinos

The T2K experiment



3) well-defined ν_μ beam (purity > 99%)



Super-Kamiokande
(ICRR, Univ. Tokyo)



J-PARC Main Ring
(KEK-JAEA, Tokai)

2) near detectors
at 280 m

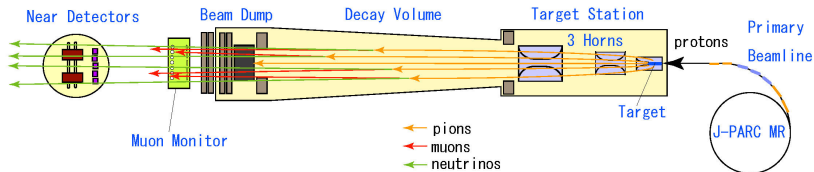
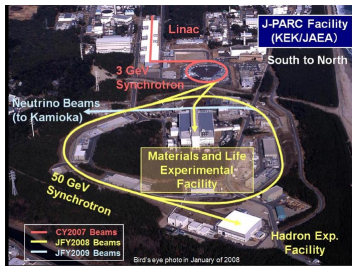
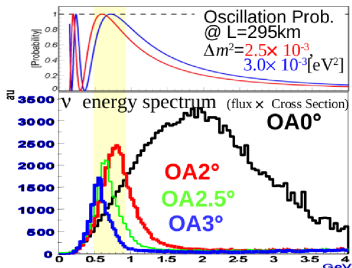


4) far detector 50 kton Water Cherenkov

ν_e appearance: leading order θ_{13} , sub-leading δ_{CP}

ν_μ disappearance: atm. parameters

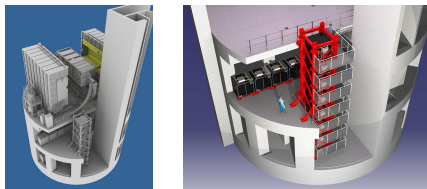
T2K & HK: Intense & high quality ν_μ beam



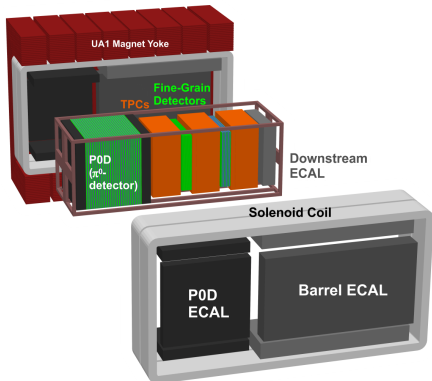
first off-axis experiment (beam @ 2.5°)

energy tuned for oscillation maximum, 0.5% ν_e contamination

The near detector complex (INGRID + ND280)

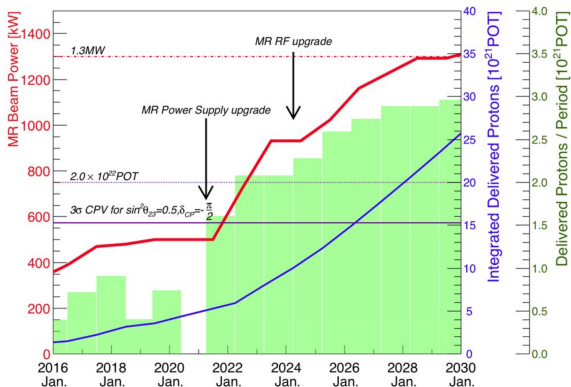


- INGRID @ on-axis:
 - ν beam monitoring
- ND280 @ 2.5° :
 - Normalisation of neutrino flux
 - Measurement of ν cross-sections
 - UA1 dipole magnet (0.2 T),
 - P0D (π^0 detector)
 - FGD+TPC (target + tracking)
 - ECAL (EM calorimeter),
 - Side- μ -range detector



Beam upgrade

- * Beam currently capable of 450-500kW stable running
- * Beam line upgrade in 2021 - Nd280 upgrade will happen at the same time
- * target power: 1.3MW

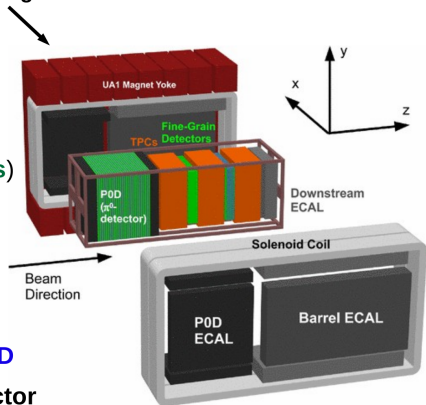


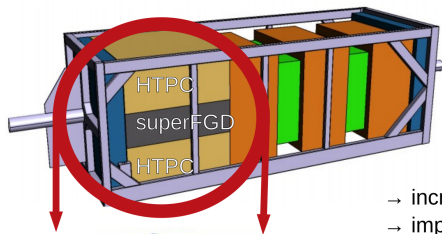
ND280

Same off-axis angle as SK

- Active target mass → 2 x scintillators (**FGDs**)
→ vertex reconstruction
- 3 Time projection chambers (**TPC**)
→ **momentum** reconstruction
→ **charge** identification
→ Particle identification (**PID**)
- Electromagnetic calorimeters (**Ecal**) → **PID**
- π^0 detector and **side muon range detector**

Magnetised





Pi0 detector is being replaced by

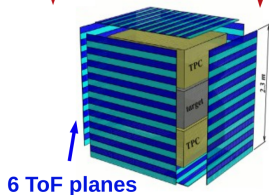
- * **SuperFGD**

 - higher granularity, 3D readout

- * **Horizontal TPCs (HTPCs)**

- * **Time of Flight (ToF) planes**

- increases active target **mass** for oscillation analysis
- improved **angular acceptance**
- able to reconstruct **low energy short tracks**
 - improved hadronic information
 - better $\gamma \rightarrow e^+ e^-$ identification

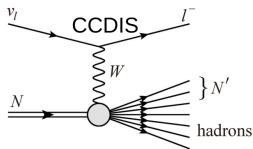
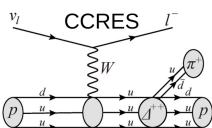
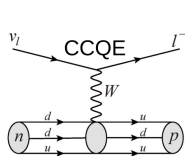


6 ToF planes

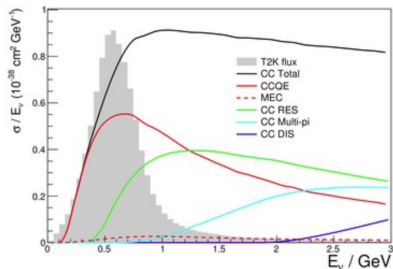
Reduce systematic uncertainty to 4%

- 3σ exclusion of CP conservation for 36% of the δ_{cp} phase space (if mass hierarchy is known)

Neutrino interactions (1)



NC interactions also important
e.g. NC π^0 , NC1 γ
→ background



Interactions occur with nucleons bound inside a nucleus

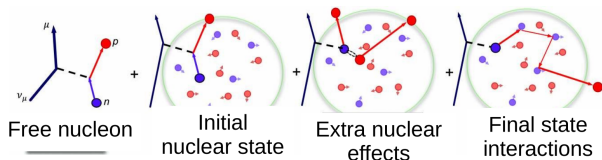
→ **Nuclear effects!!**

We only measure particles that exit the nucleus

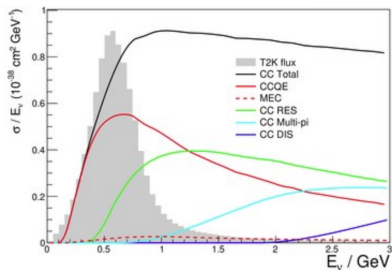
→ lose information about the initial interaction

→ can create a bias in energy reconstruction

Neutrino interactions (2)



Interaction modes and Nuclear models tuned to external data



Interactions occur with nucleons bound inside a nucleus

→ **Nuclear effects!!**

We only measure particles that exit the nucleus

→ lose information about the initial interaction

→ can create a bias in energy reconstruction