



# *Search for $\nu_{\mu} \rightarrow \nu_{\tau}$ oscillations in appearance mode in the OPERA experiment*

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*on behalf of the OPERA Collaboration*

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XXV Rencontres de Physique de la Vallée d'Aoste, La Thuile,  
Aosta Valley, Italy, February 27, March 5 2011.

# The OPERA Collaboration

180 physicists, 32 institutions in 12 countries



**Belgium**  
IIHE-ULB Brussels



**Italy**  
Bari  
Bologna  
LNF Frascati  
L'Aquila,  
LNGS  
Naples  
Padova  
Rome  
Salerno



**Russia**  
INR RAS Moscow  
LPI RAS Moscow  
ITEP Moscow  
SINP MSU Moscow  
JINR Dubna



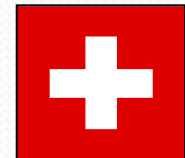
**Croatia**  
IRB Zagreb



**France**  
LAPP Annecy  
IPHC Strasbourg  
IPNL Lyon



**Switzerland**  
Bern  
ETH Zurich



**Germany**  
Hamburg  
Münster



**Japan**  
Aichi  
Toho  
Kobe  
Nagoya  
Utsunomiya



**Tunisia**  
CNSTN Tunis



**Israel**  
Technion Haifa



**Korea**  
Jinju



**Turkey**  
METU Ankara

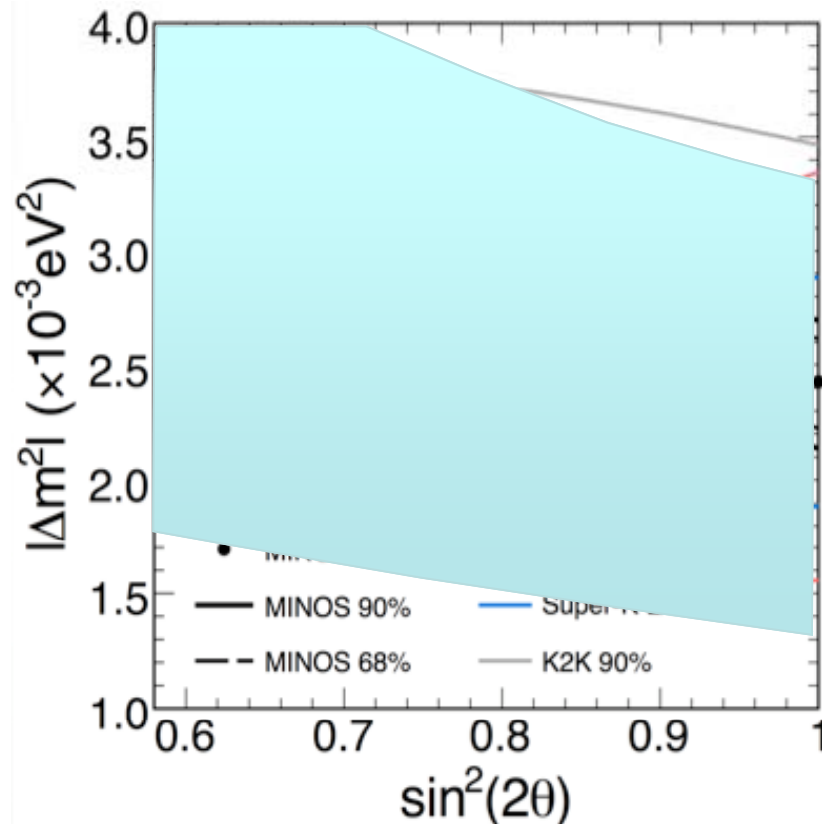


<http://operaweb.lngs.infn.it/scientists/?lang=en>

# Oscillation Project with Emulsion-tRacking Apparatus

Aiming at the first direct detection of neutrino oscillations in appearance mode where is identified by the charged lepton created in its CC interaction.

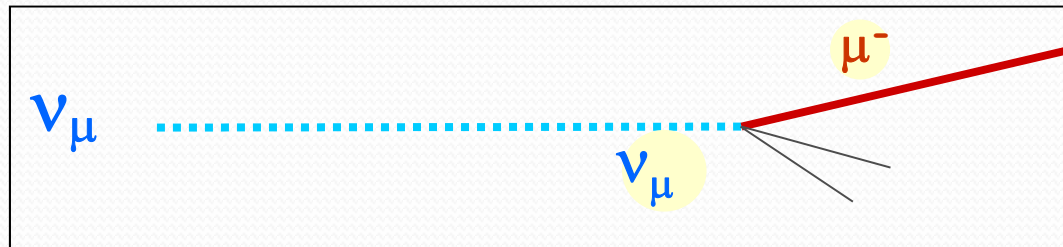
Oscillation parameters in the atmospheric neutrino sector



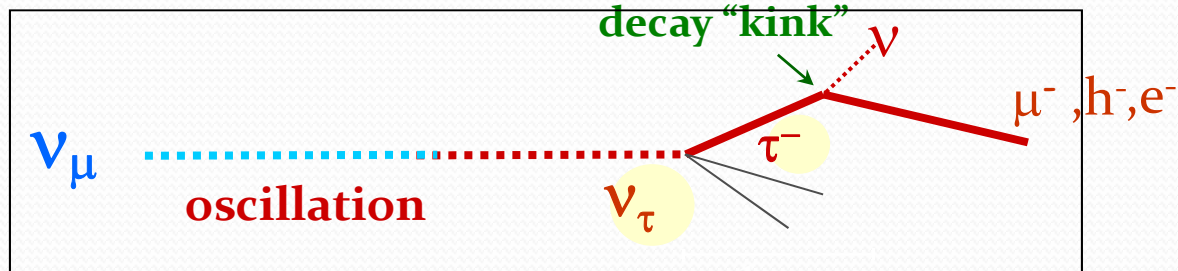
Full mixing and  $\Delta m^2_{23} \sim 2.4 \times 10^{-3} \text{ eV}^2$

The light blue band indicates the OPERA allowed region (90% CL) for the above parameter values for  $22.5 \times 10^{19} \text{ pot}$

## Direct detection of $\nu_\mu \rightarrow \nu_\tau$ appearance signal

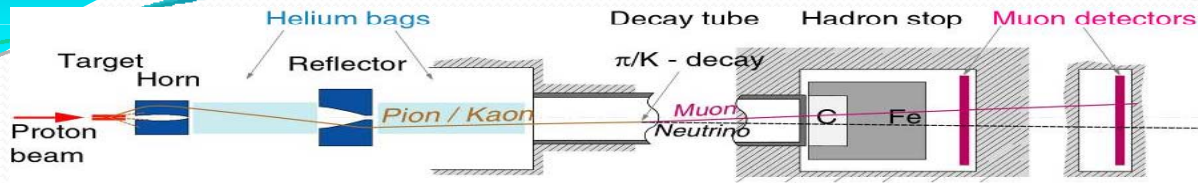


The challenge is to identify  $\nu_\tau$  interactions from  $\nu_\mu$  interactions.



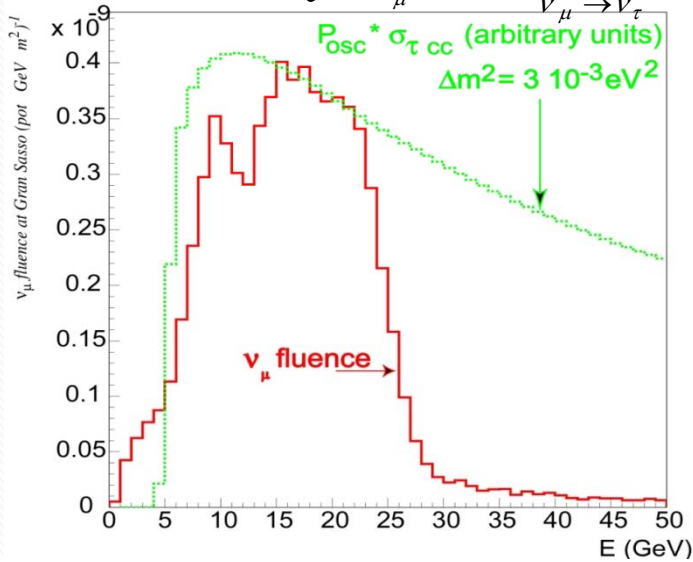
**Requirements** : high neutrino energy & intensity  
& long base line & large target mass  
& sub-micron resolution & detect short lived  $\tau$ 's

# CNGS neutrino beam



CNGS beam optimized to study  $\nu_\tau$  appearance by  $\tau$  detection

$$N_\tau = N_A M_D \int \phi_{\nu_\mu}(E) P_{\nu_\mu \rightarrow \nu_\tau}(E) \sigma_{\nu_\tau}^{CC}(E) \varepsilon(E) dE$$



$\langle E_\nu \rangle$	17 GeV
L	730 km
$(\nu_e + \bar{\nu}_e) / \nu_\mu$	0.87 % *
$\bar{\nu}_\mu / \nu_\mu$	2.1 % *
$\nu_\tau$ prompt	Negligible *

\* Interaction rate at LNGS

Expected interactions for  $22.5 \times 10^{19}$  pot ( $4.5 \times 10^{19}$  pot nominal pot in 5 years):

$\sim 24300 \nu_\mu CC + NC$

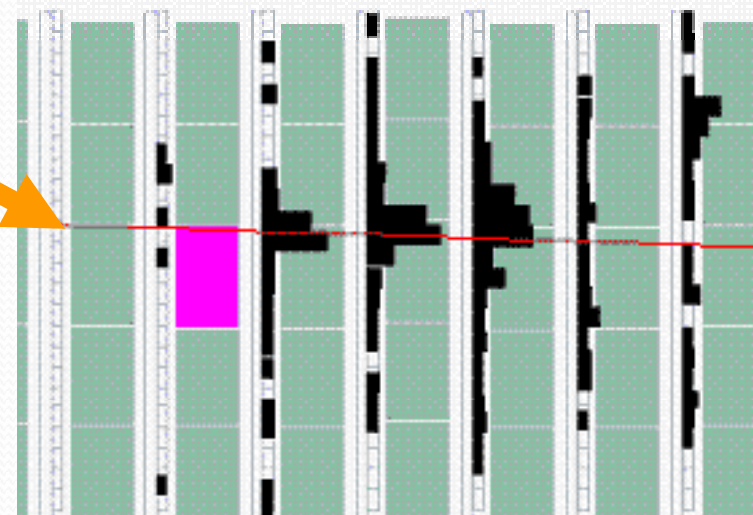
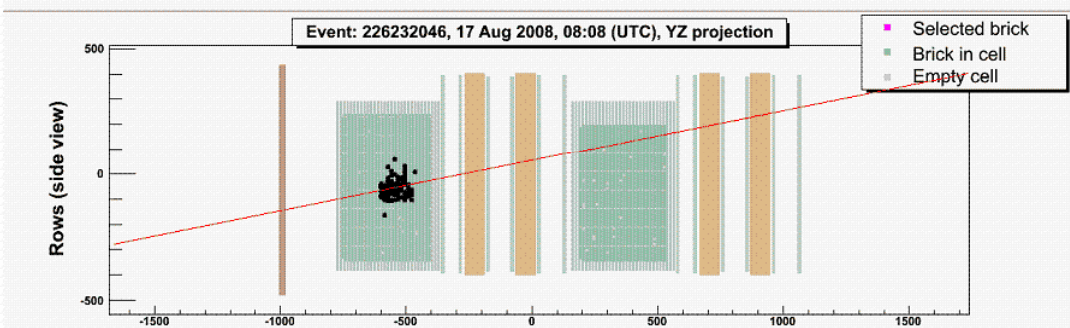
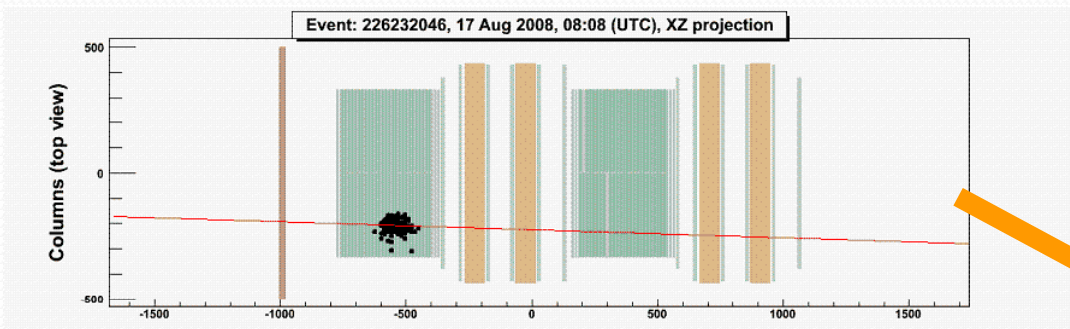
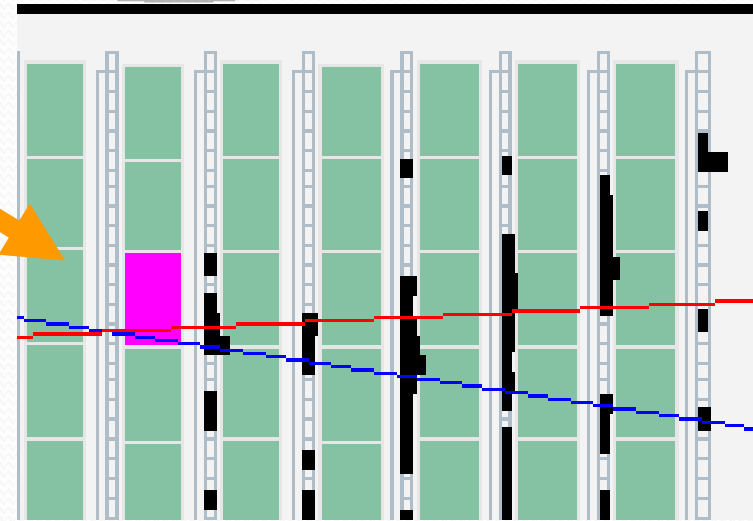
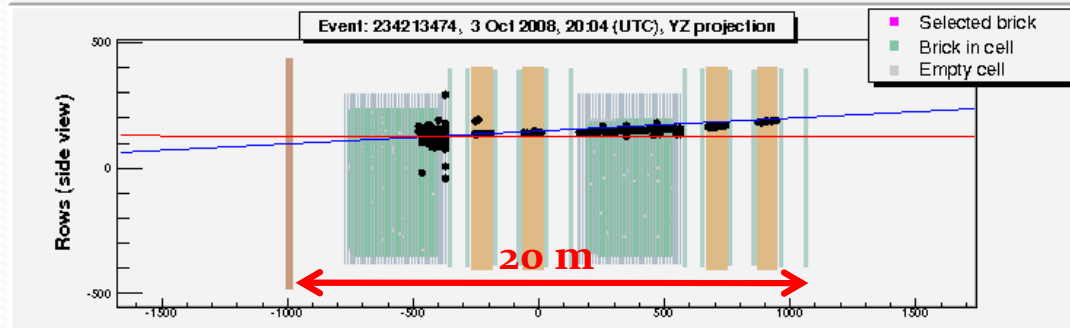
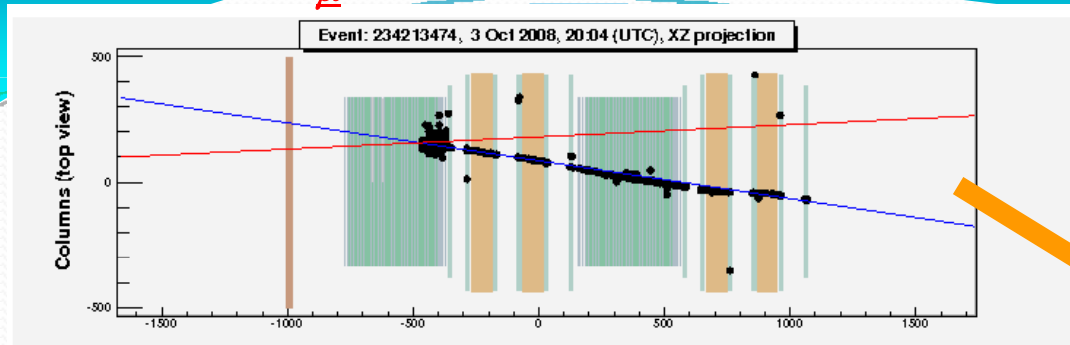
$\sim 115 \nu_\tau CC (\Delta m^2 = 2.5 \times 10^{-3} eV^2)$

$\sim 10$  tau decays are expected to be observed (BG<1)





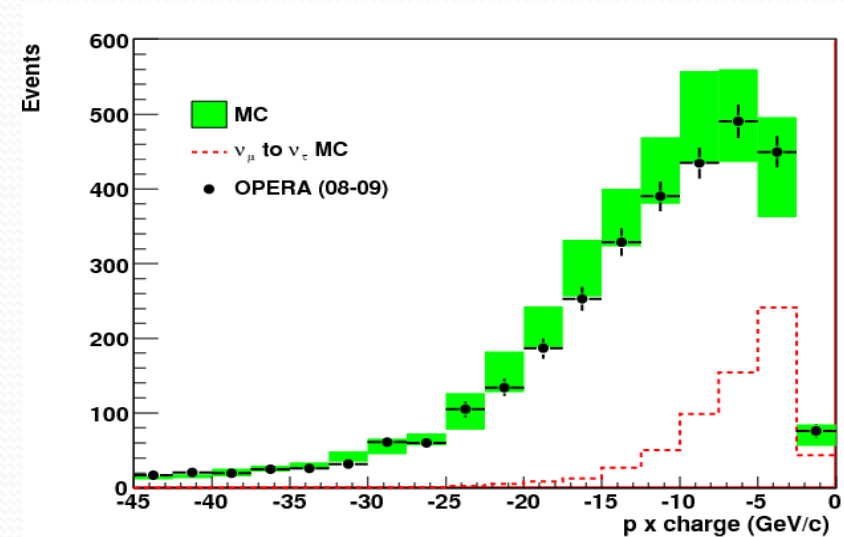
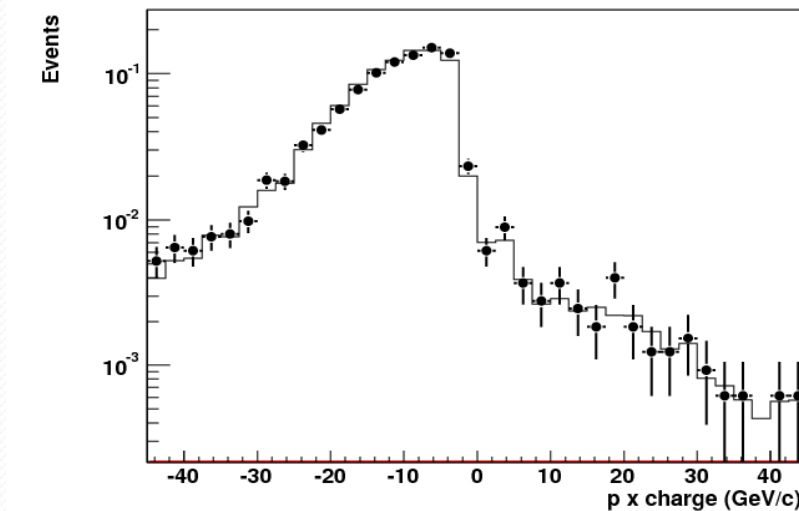
# Typical $\nu_{CC}$ -like and $\nu_{NC}$ -like events



# OPERA Detector performance\*

\* hep-ex 1102.1882v1 Submitted to New Journal of Physics

## Muon momentum\*charge



*The overall efficiency \*\* for CC events > 97.5%*

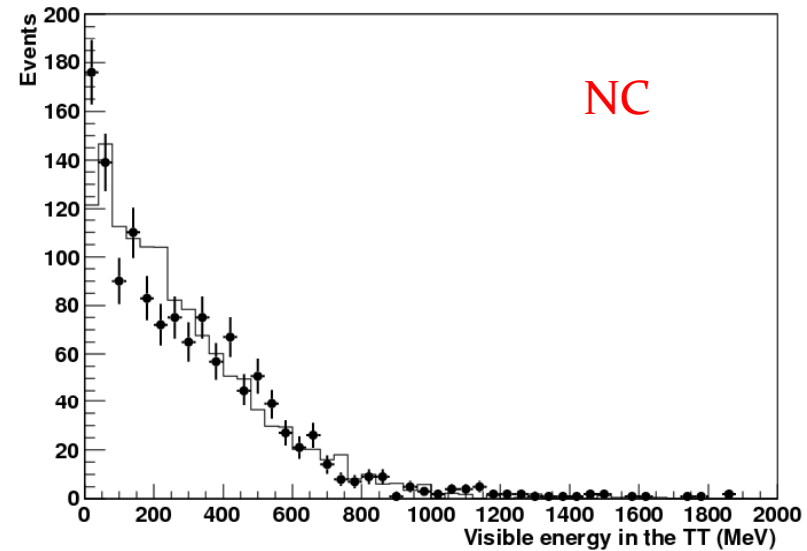
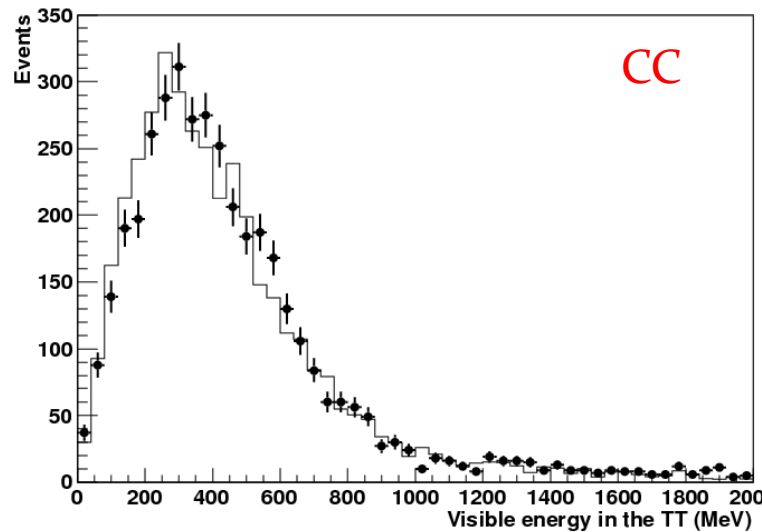
*Charge id efficiency above 96% for  $45\text{GeV}/c > |P| > 2.5\text{GeV}/c$*

*Momentum resolution as computed from MC is about 10% at  $2.5\text{GeV}/c$  and 20% at  $25\text{GeV}/c$  and transverse spatial resolution is better than 1mm.*

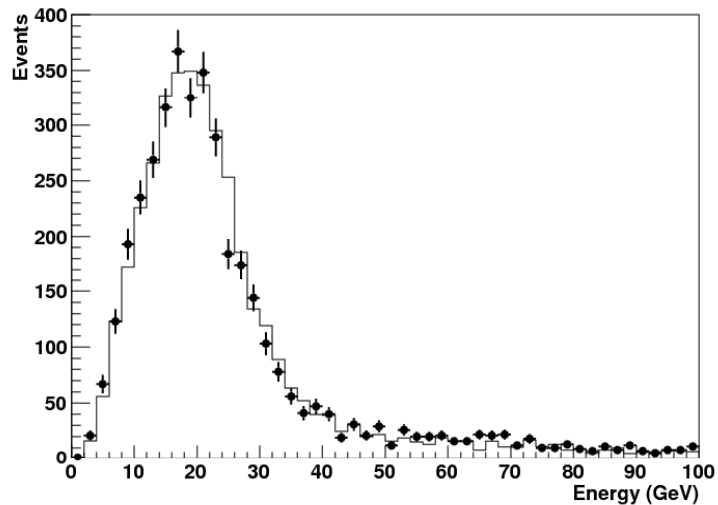
*\*\*Trigger efficiency + reconstruction efficiency*



# Performance : Energy deposit in the Target Tracker



Total reconstructed energy



*For energy above 200MeV in a good agreement. Investigating the energy deposition in the low energy region.*

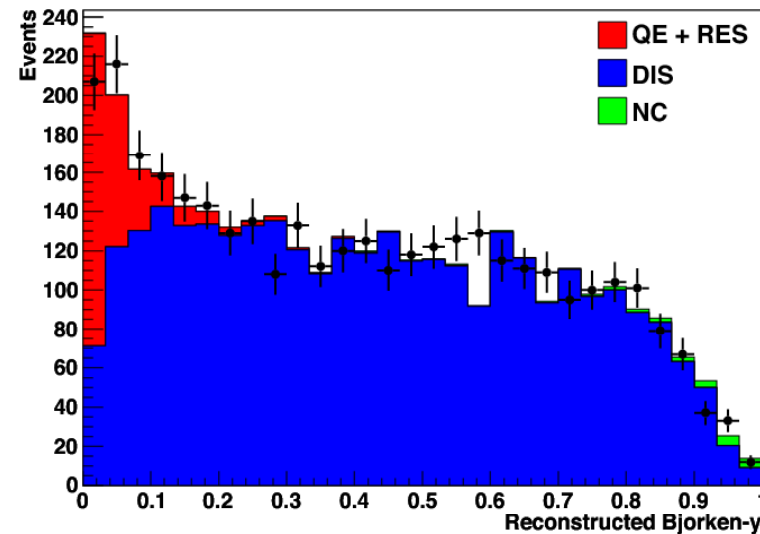
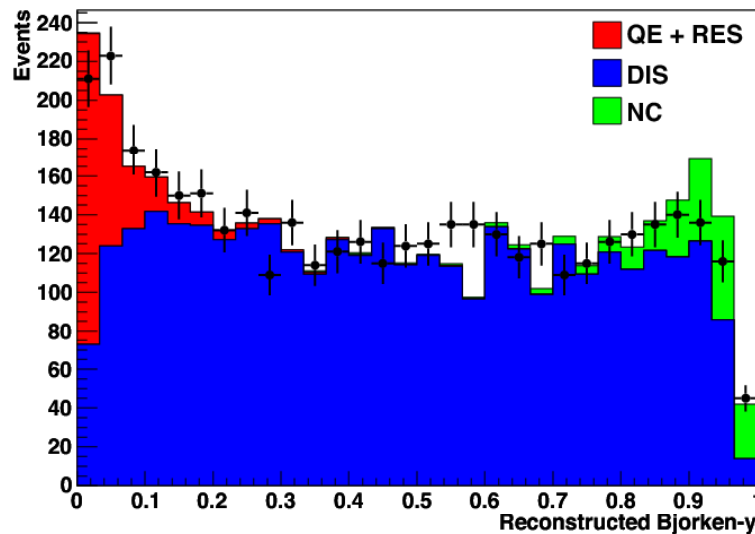
***$dE/E$  is between (20-40)% for the energy range (5-40)GeV/c<sup>2</sup>***

# Performance :

**Bjorken-y variable:**

$$y = 1 - \frac{E_{\mu}}{E_{\nu_{\mu}}} = \frac{E_{had}}{E_{\mu} + E_{had}}$$

connects muon momentum measurement with calorimetric measurement of all the hadrons

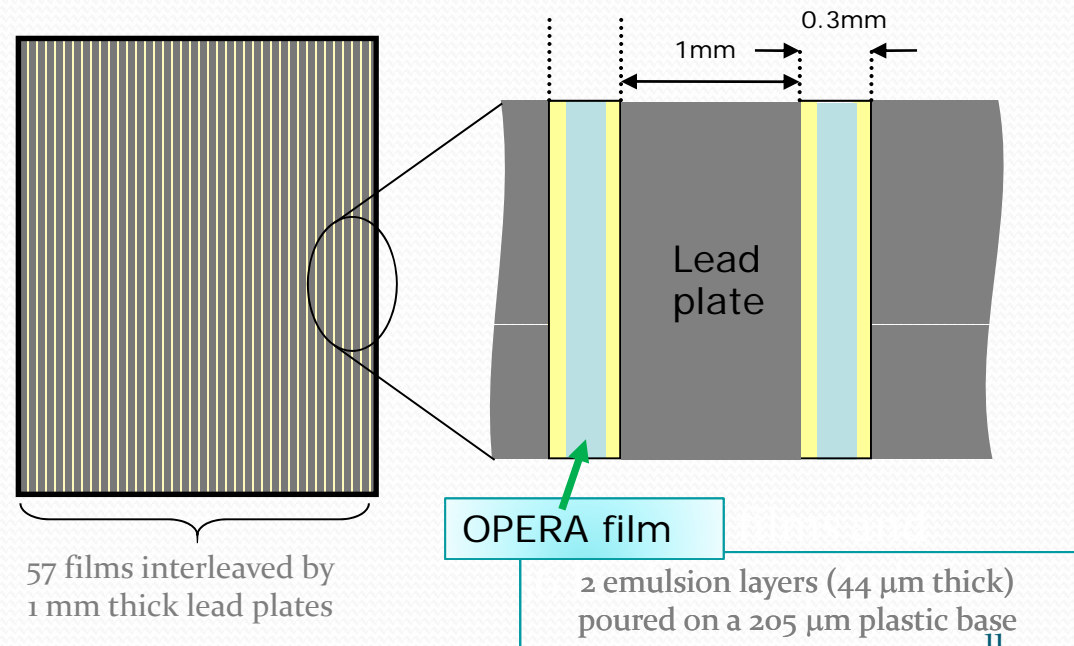
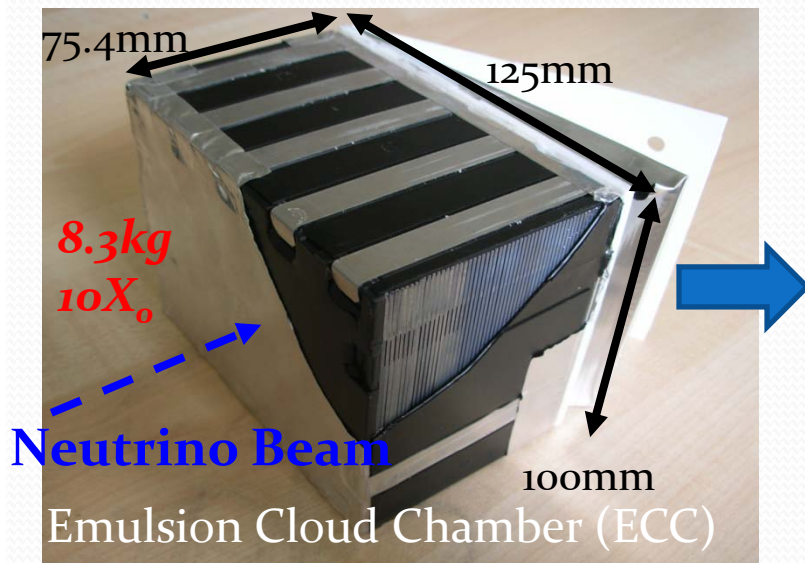
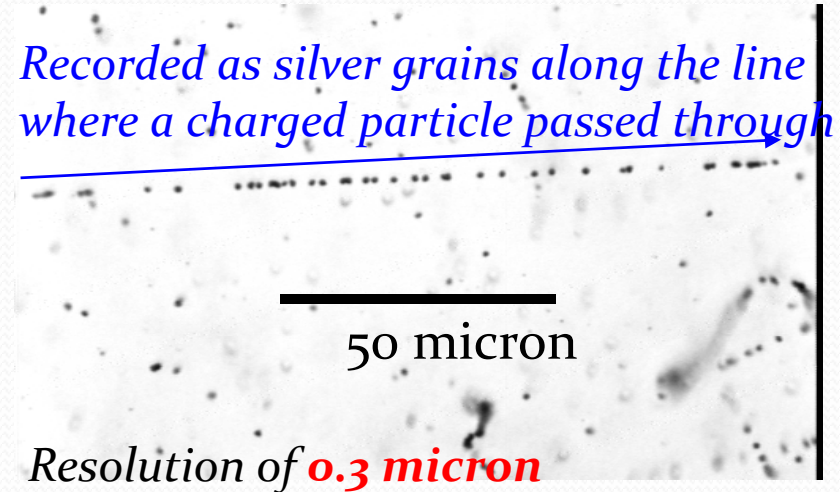
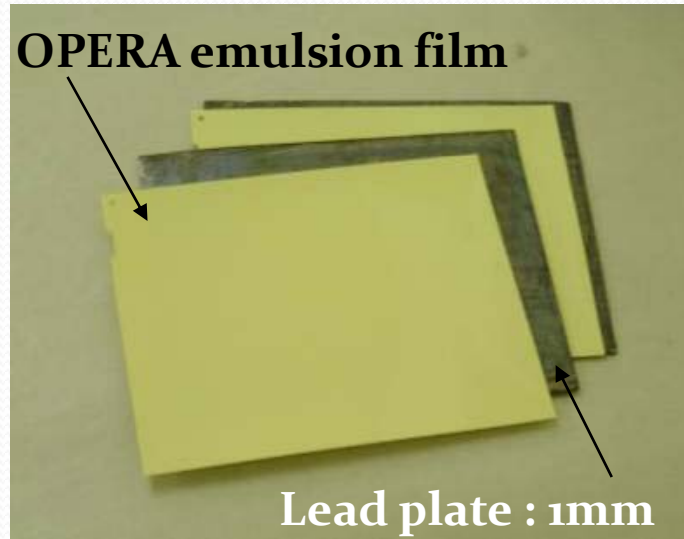


*Left:* events selected just with muon ID    NC background **5.2%**

*Right:* muon ID + bending in spectrometer    NC background **0.8%**

The resolution is good enough to clearly see QE peak.  
NC background could be eliminated by requiring momentum measurement in the spectrometer.

# The OPERA target : Emulsion Cloud Chamber

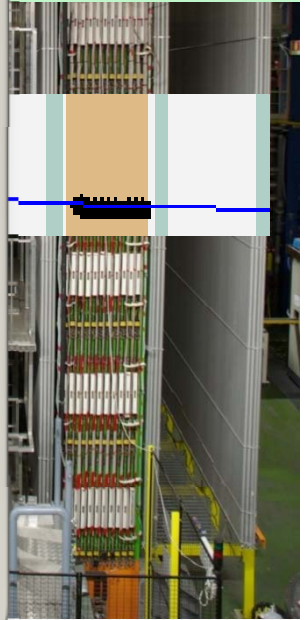
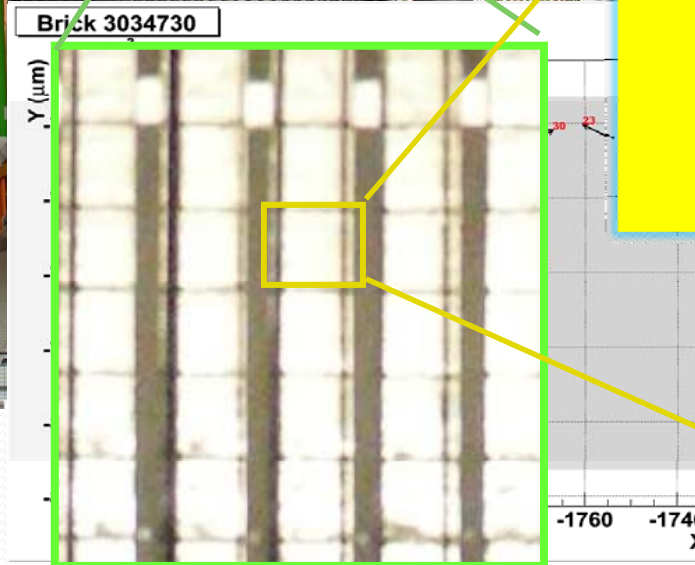
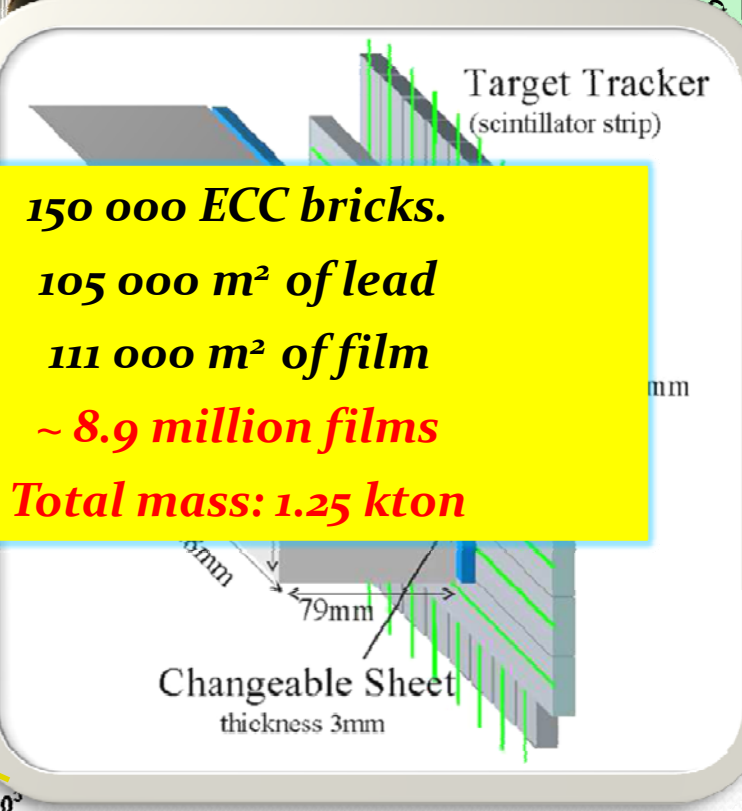


# OPERA as hybrid detector



Extract ECC brick and CS, scan CS.  
Scan CS in the ECC brick.  
Scanning labs.

**150 000 ECC bricks.**  
**105 000 m<sup>2</sup> of lead**  
**111 000 m<sup>2</sup> of film**  
**~ 8.9 million films**  
**Total mass: 1.25 kton**





# Emulsion scanning

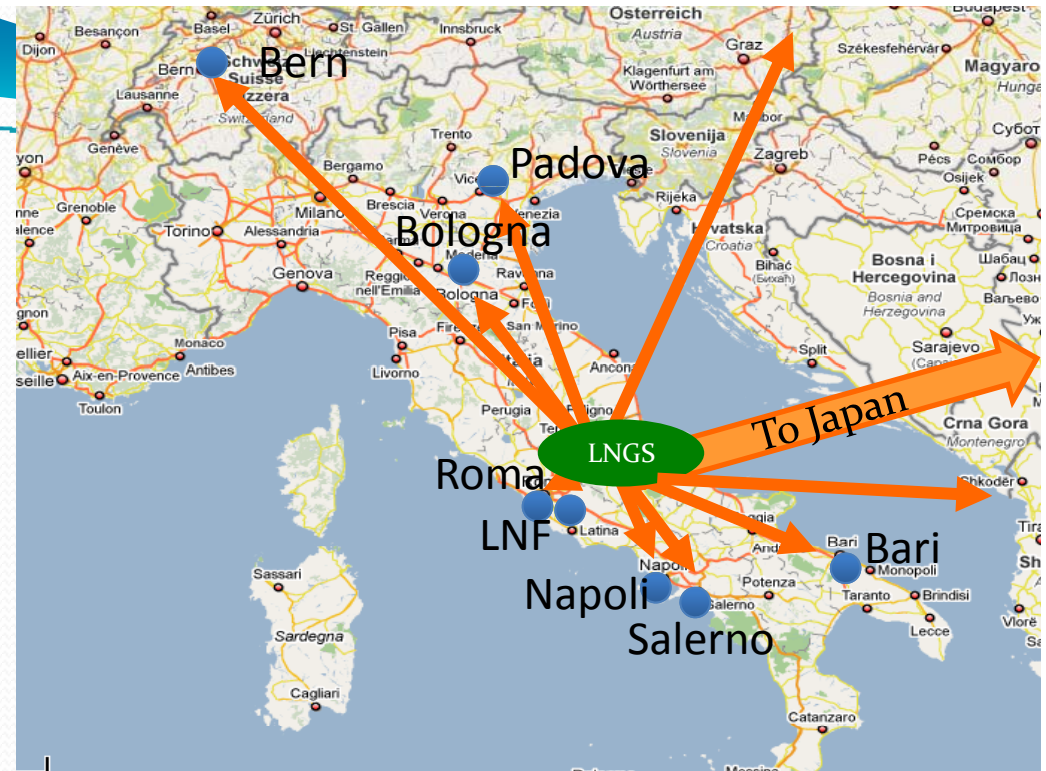
Parallel ECC brick analysis in ~ 10 labs.

CS Scan & analysis

→ NAGOYA : LNGS = 50:50

ECC brick Scan & analysis

→ NAGOYA : EU = 50:50



LNGS(Europe)



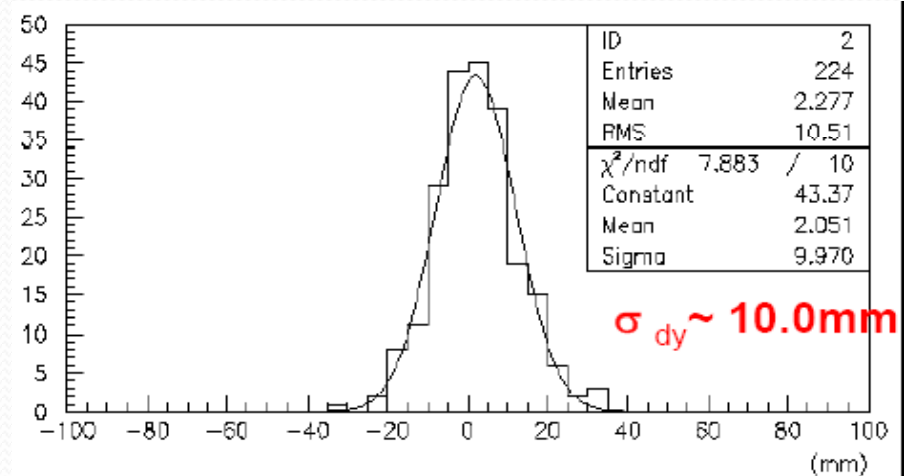
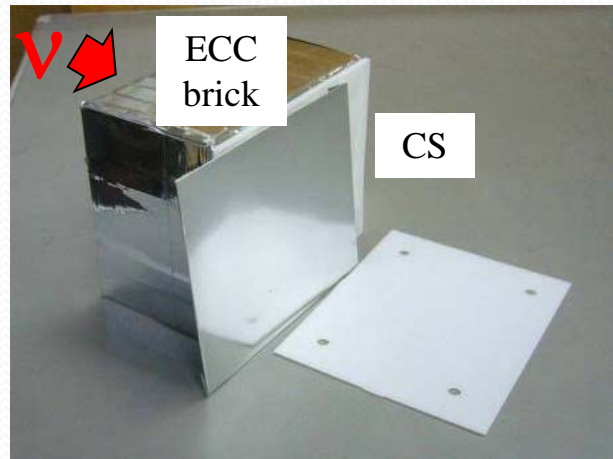
CS Scanning Stations



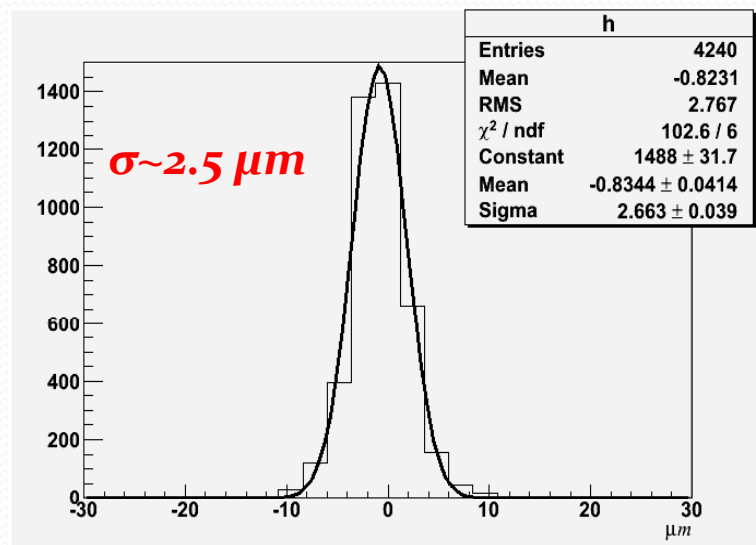
Nagoya (Japan)

# The Changeable Sheets (CS)

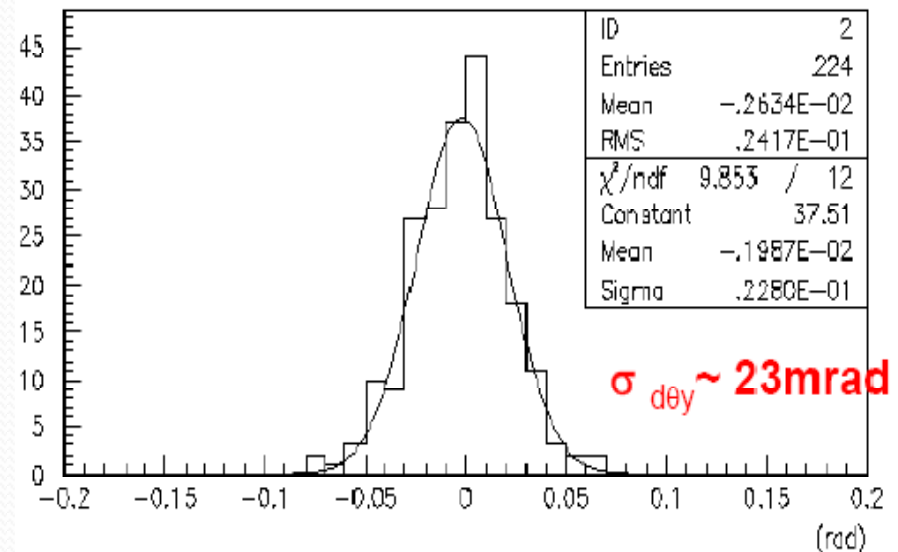
- the interface between Electronic Detector and ECC brick



Position accuracy of the electronic predictions

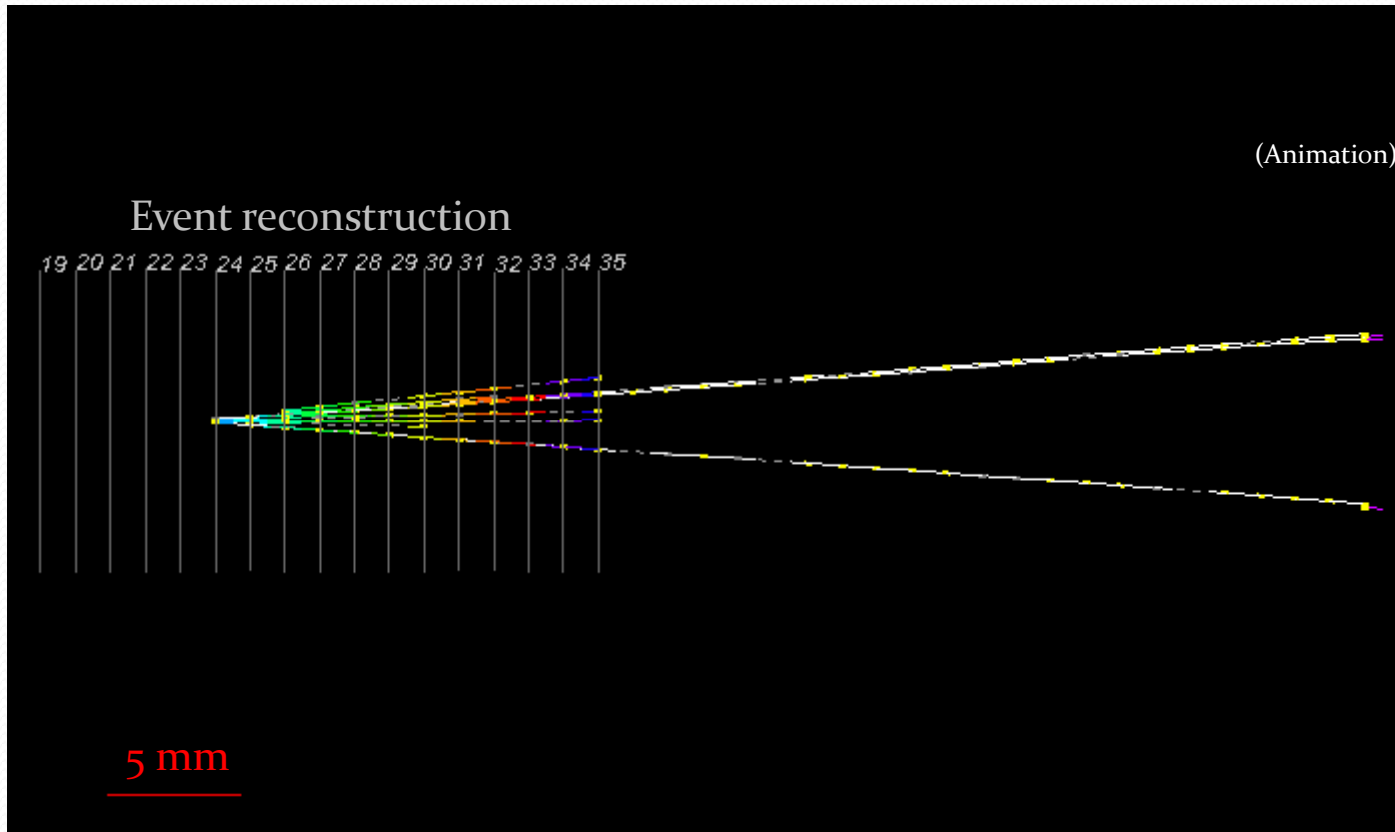


CS doublet alignment by Compton electrons



Angular accuracy of the electronic predictions

## Event analysis in ECC brick

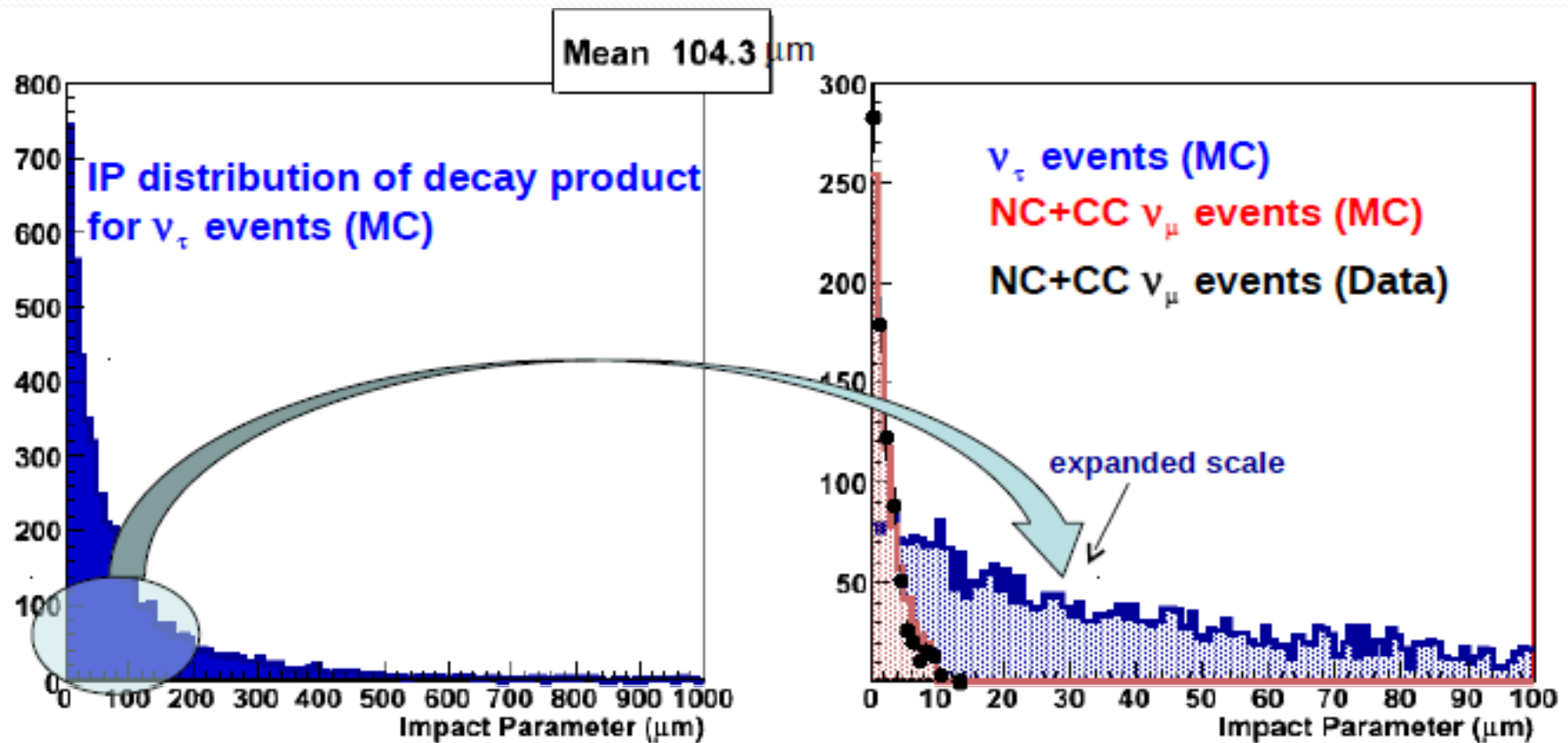
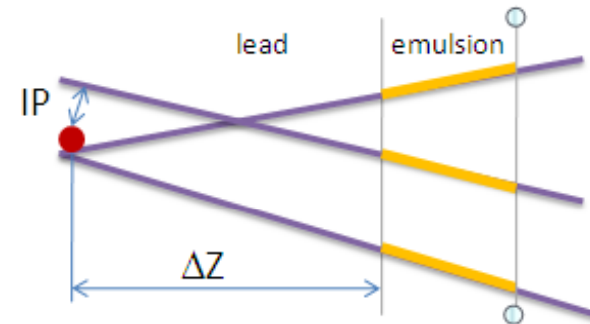


Emulsion gives 3D vector data, with a few micron precision of the vertex accuracy.

The frames correspond to scanning area. Yellow short lines are measured tracks. Other colored lines are interpolation or extrapolation.

# Performance of ECC brick

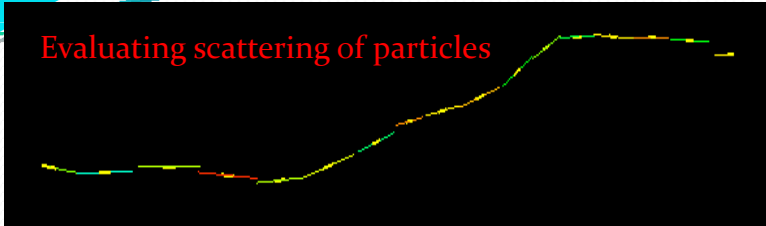
*Impact Parameter (IP) measurement:  
The IP evaluation is a crucial point to  
detect decay topology.*



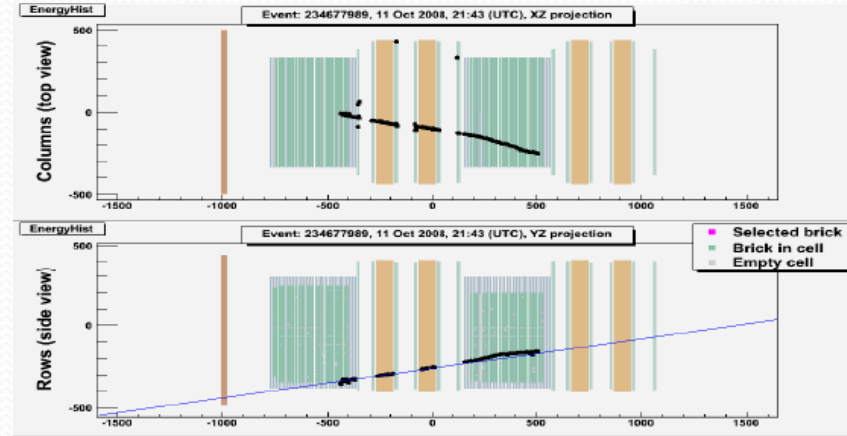


# Momentum measurement by MCS

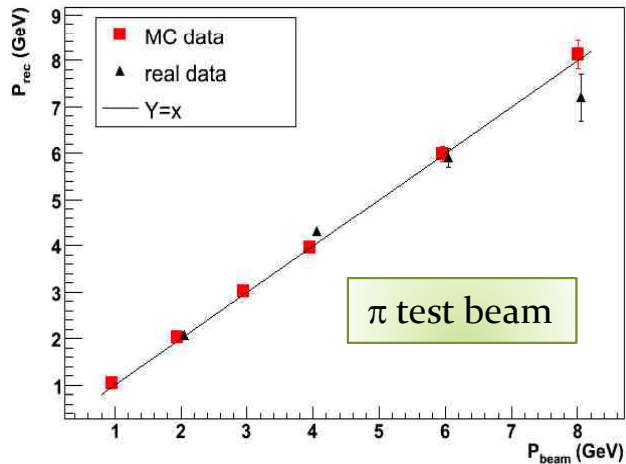
Evaluating scattering of particles



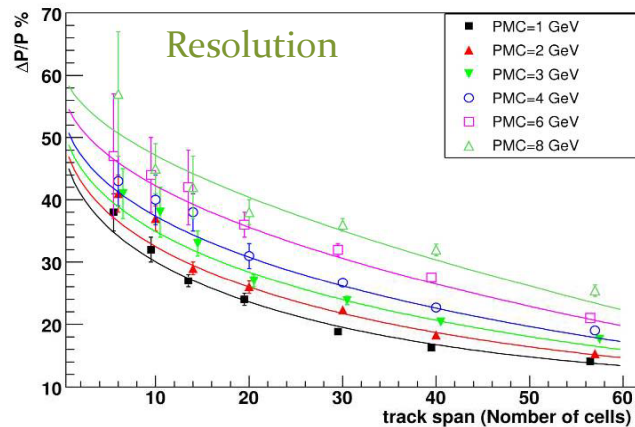
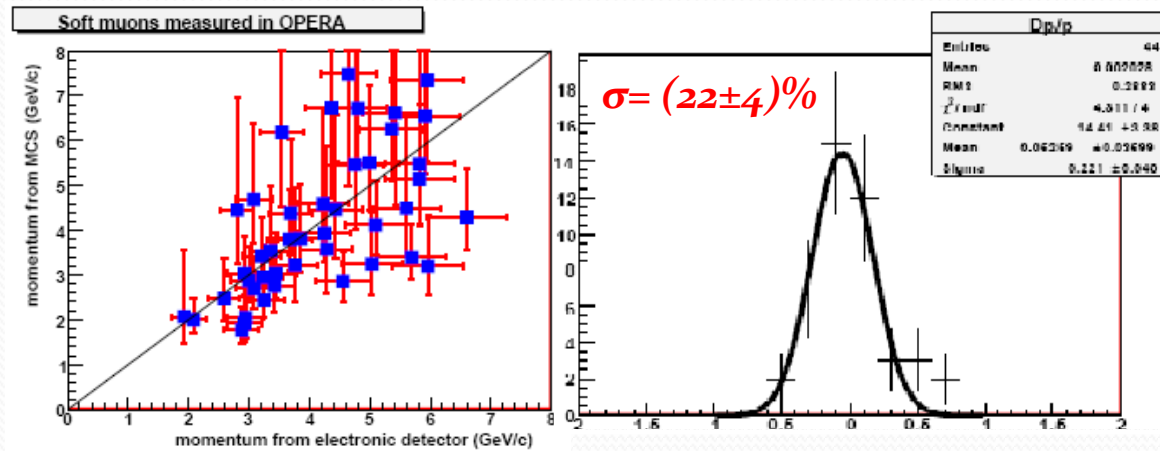
$$\theta_0 = \frac{13.6 \text{ MeV}}{\beta c p} \sqrt{x/X_0} [1 + 0.038 \ln(x/X_0)]$$



Linearity of momentum center

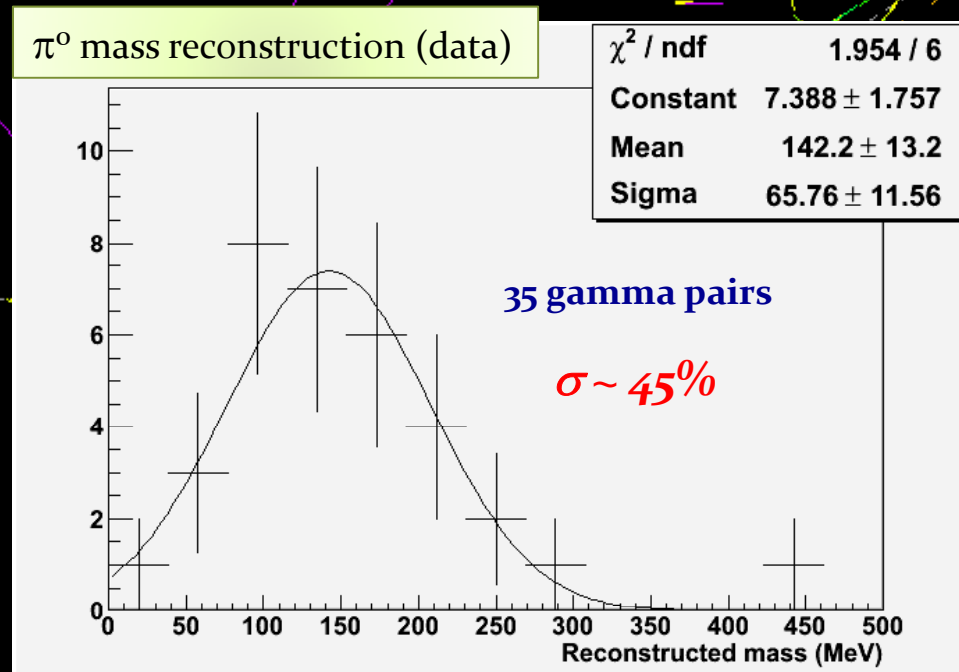


soft muons in the bricks



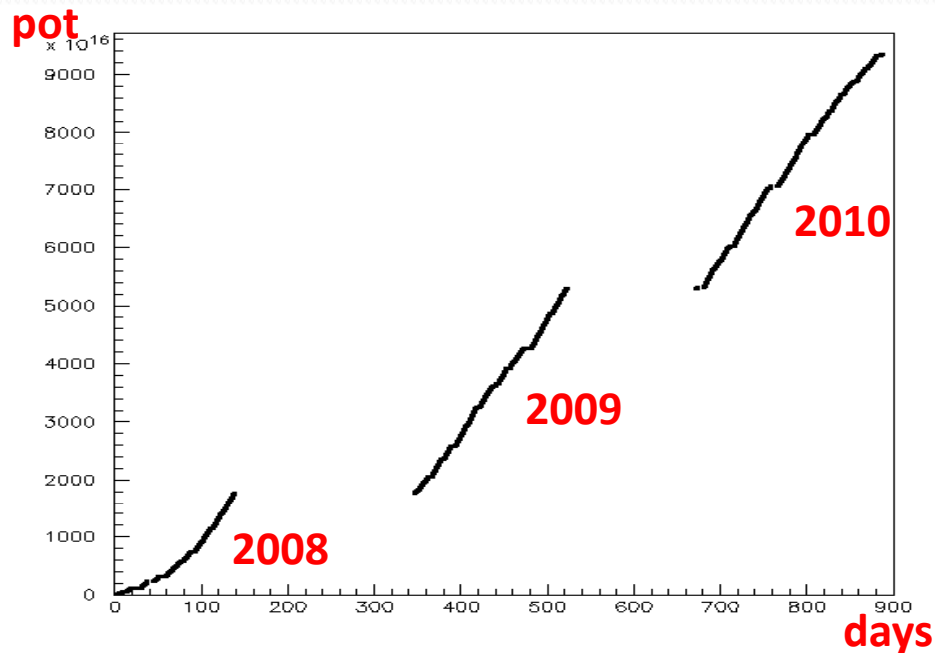
# Gamma reconstruction analysis in ECC brick

(Animation)



# CNGS physics runs

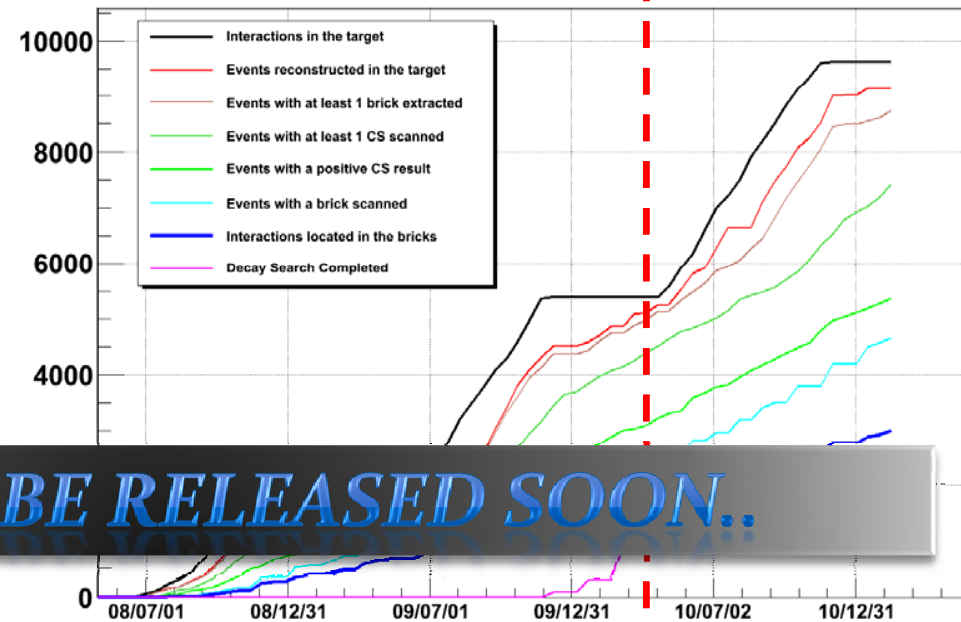
year	beam days	protons on target	SPS eff.	events in the bricks	run
2008	123	$1.78 \times 10^{19}$	61%	1698	Physics runs
2009	155	$3.52 \times 10^{19}$	70%	3693	Physics runs
2010	187	$4.04 \times 10^{19}$	81%	4248	Physics runs



In total 9639 events collected  
(within  $1\sigma$  w.r.t. expectation from  
pot)  
→ 2.1 nominal years in 3 years

# Analysis status in ECC brick

**3006** neutrino interactions located, **98%** of the **2008-09** neutrino run expected yield.



**NEW DATA WILL BE RELEASED SOON..**

The first results was opened and published at June 2010\*.

\*Phys.Lett.B691:138-145,2010

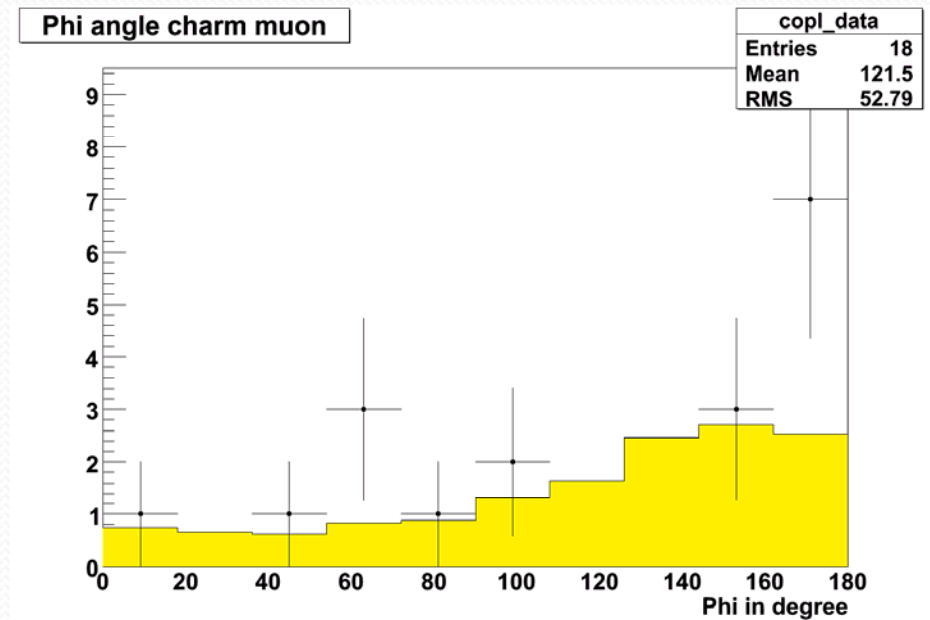
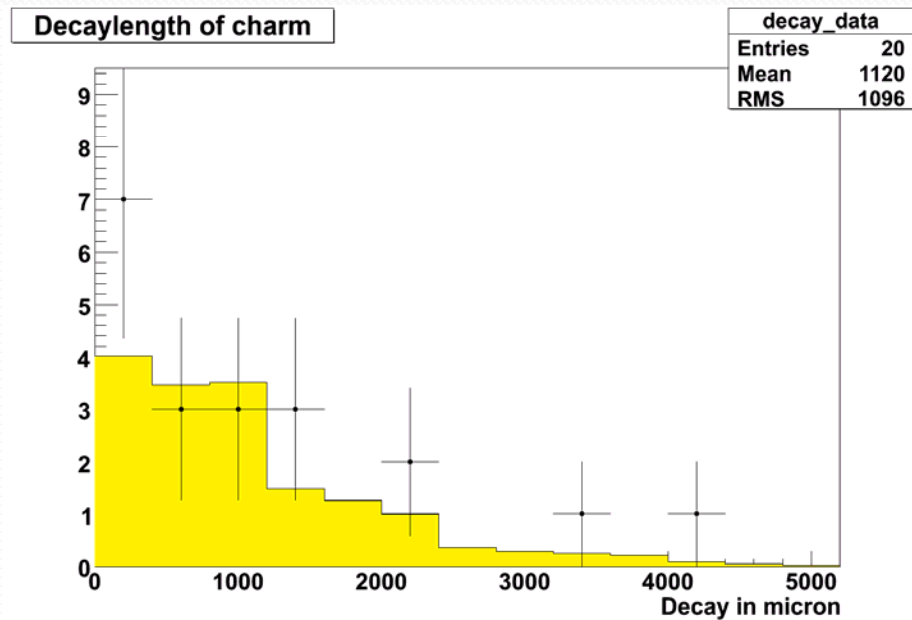
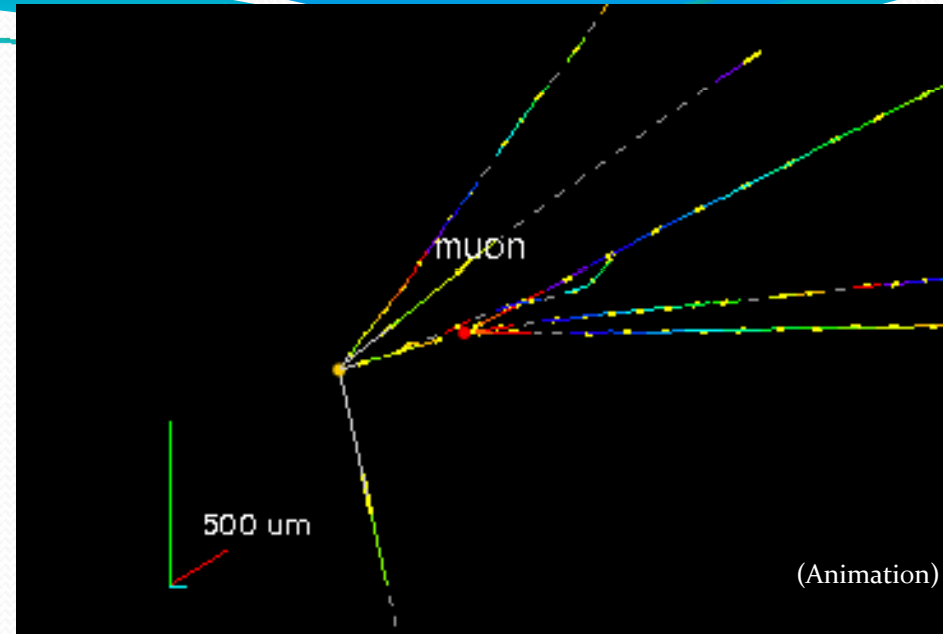


# Charm candidate events

- proof of the  $\tau$  efficiency -

On the published data sample

- **20 charm events** selected  
(3 events with 1-prong kink topology)
- **Expected:  $16.0 \pm 2.9$**   
( $0.80 \pm 0.22$  with kink)
- **$\sim 2$  BG events** expected



# The first $\nu_\tau$ candidate event was found

Physics Letters B 691 (2010) 138–145



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Physics Letters B

[www.elsevier.com/locate/physletb](http://www.elsevier.com/locate/physletb)

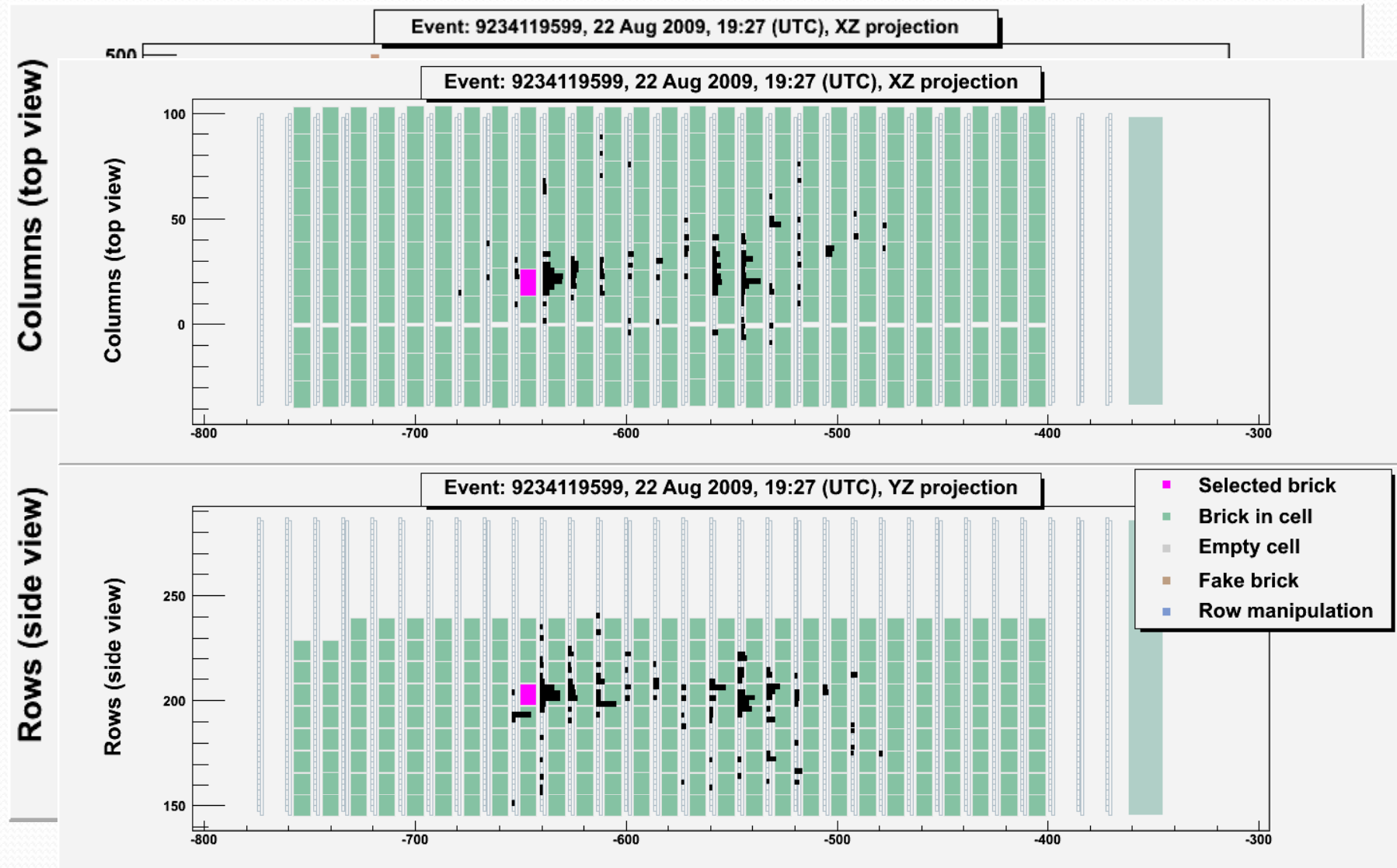


Observation of a first  $\nu_\tau$  candidate event in the OPERA experiment in the CNGS beam

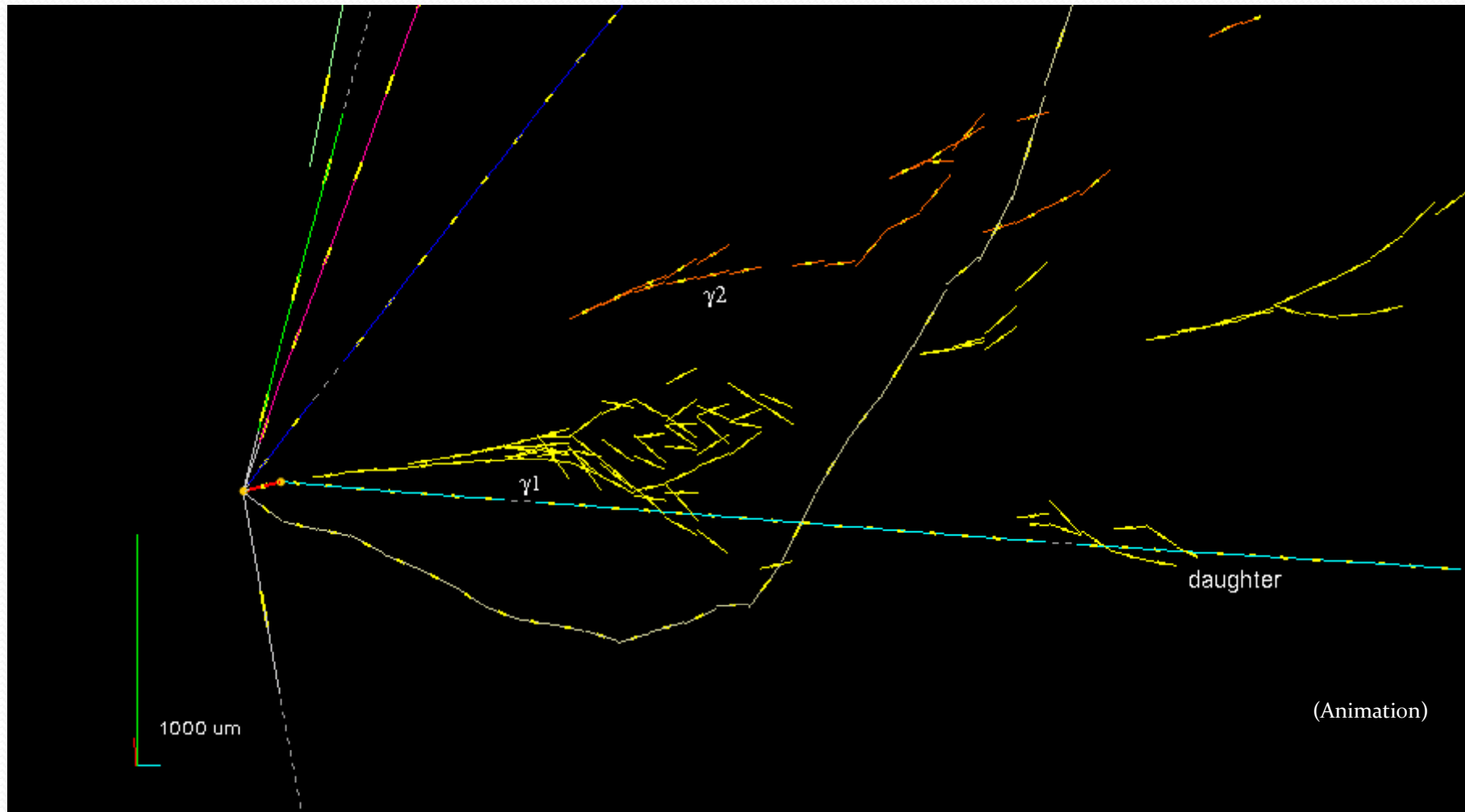
Event number 9234119599,  
taken on 22 August 2009, 19:27 (UTC)

This result was opened at June/2010.

# The $\nu_\tau$ candidate event as seen by the electronic detectors...

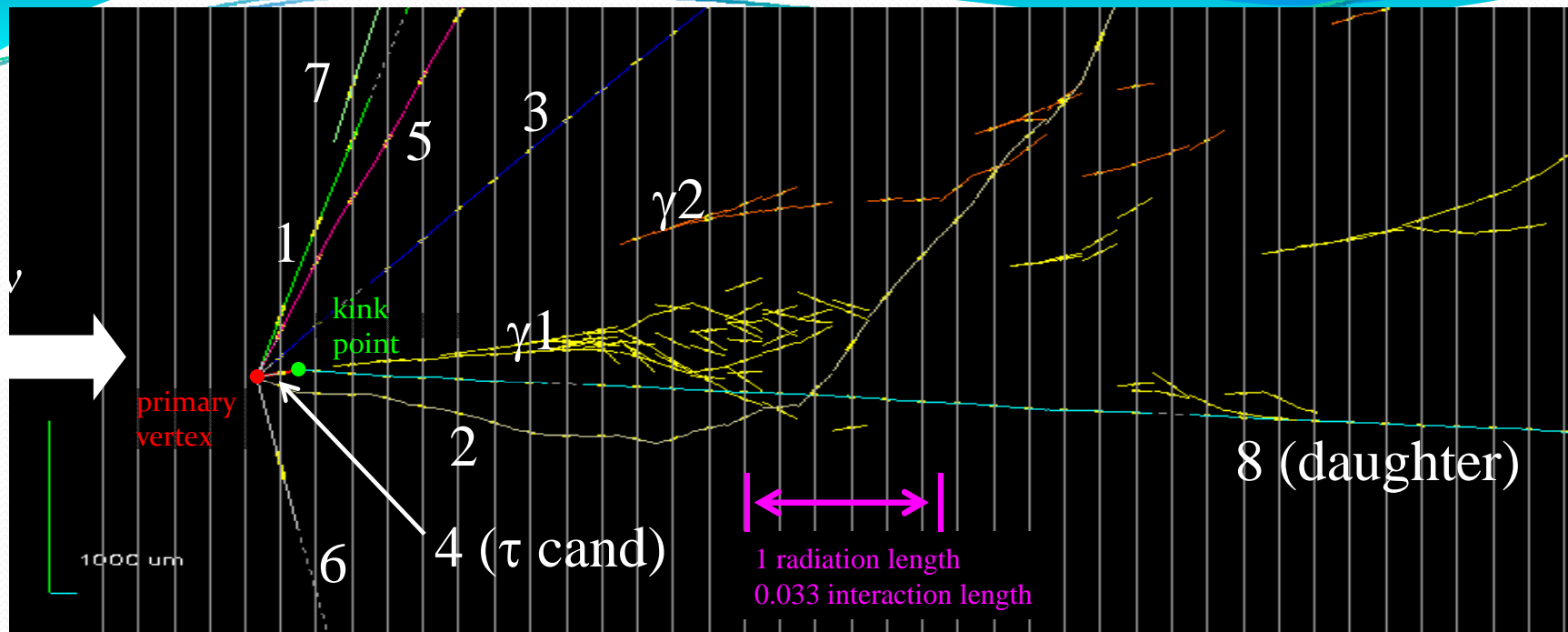


# ...and as seen in emulsion





# Event topological features (Side view)

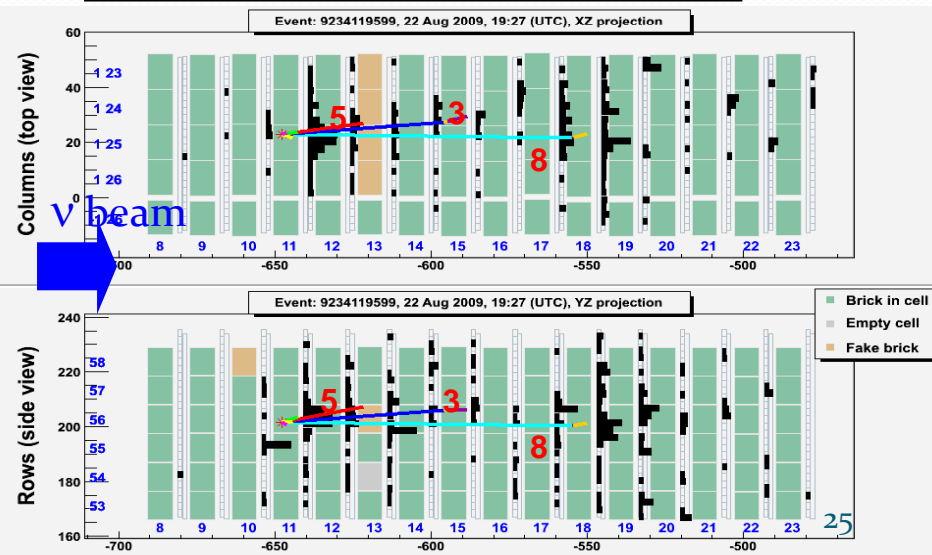


track #	$\tan \theta_x$	$\tan \theta_y$	$p\beta$ [GeV/c]
1	0.176	0.363	$0.78^{+0.13}_{-0.10}$
2	-0.650	0.000	$0.32^{+0.31}_{-0.11}$
3	0.108	0.113	$1.97^{+0.33}_{-0.25}$
4 (parent)	-0.027	0.022	
5	0.157	0.267	$1.30^{+0.22}_{-0.16}$
6	0.334	-0.584	$0.36^{+0.18}_{-0.09}$
7 (from neutral track)	0.438	0.419	$0.49^{+0.29}_{-0.13}$
8 (daughter)	-0.007	-0.014	$12^{+6}_{-3}$

top view

side view

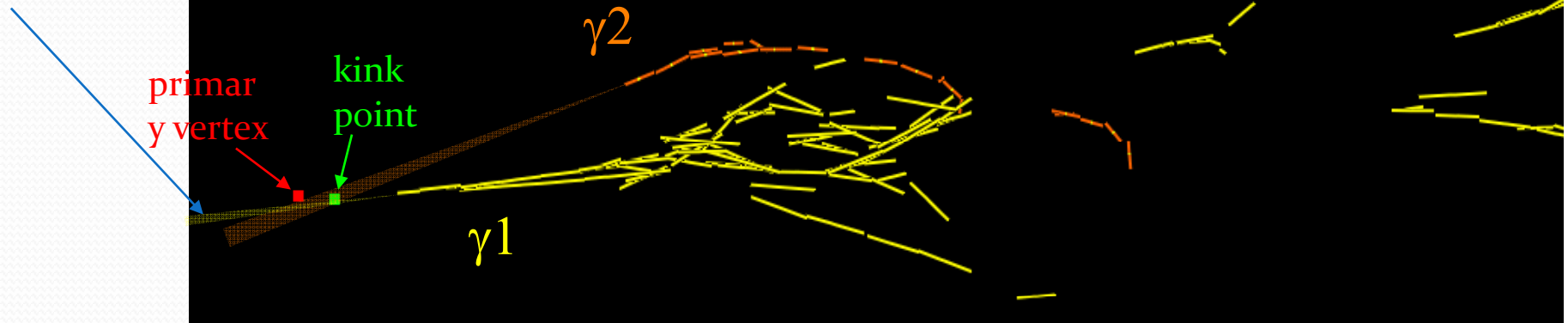
The viewer of scintillation Target Tracker



Brick in cell  
Empty cell  
Fake brick

# $\gamma$ attachment to the vertices

Pointing resolution ( $1\sigma$ ) for a given gamma: function of scattering and distance



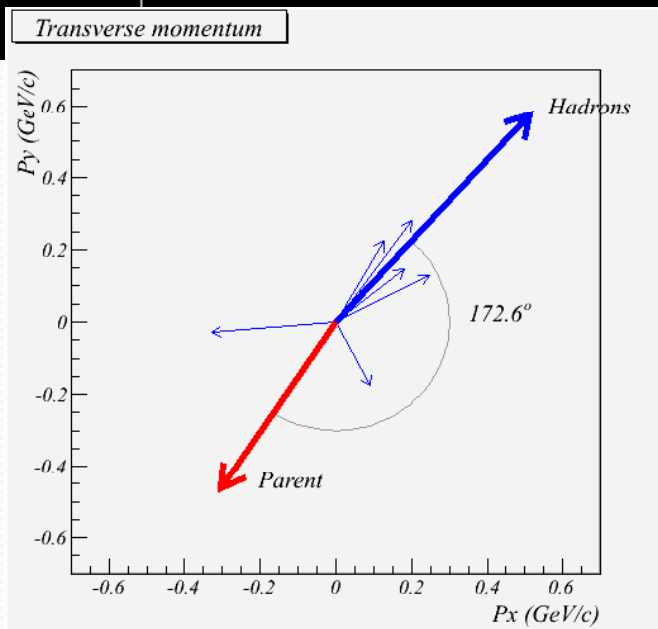
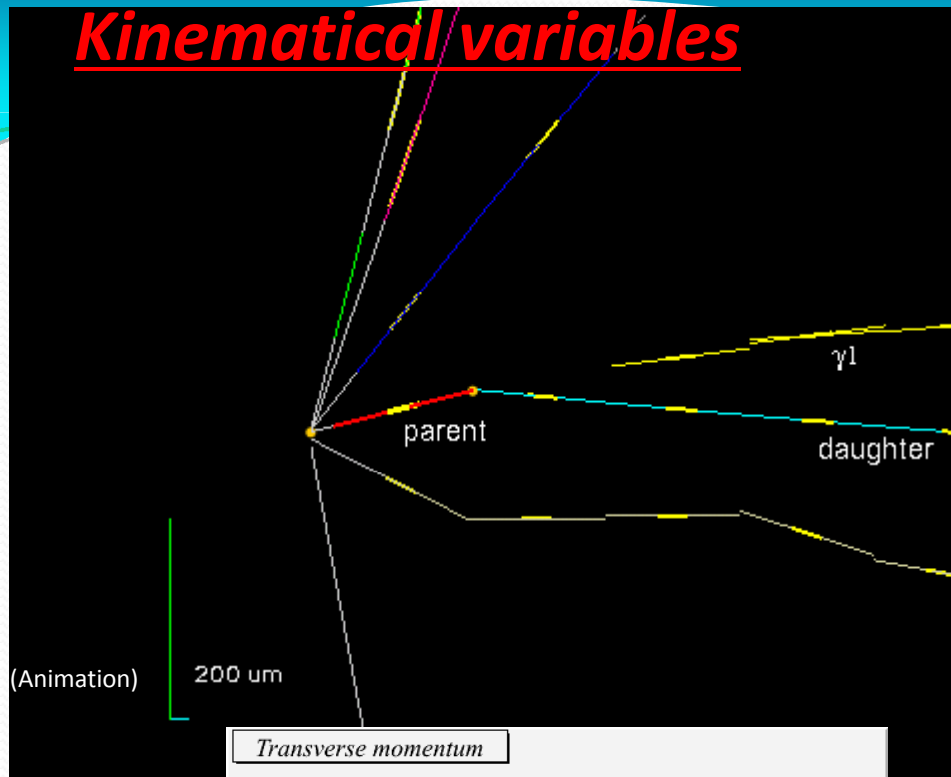
	Distance from 2ry vertex (mm)	IP to 1ry vertex ( $\mu\text{m}$ ) <resolution>	IP to 2ry vertex ( $\mu\text{m}$ ) <resolution>	Prob. of attach. to 1ry vtx*	Prob. of attach. to 2ry vtx*	Attachment hypothesis
$\gamma 1$	2.2	45.0 <11>	7.5 <7>	$<10^{-3}$	0.32	2ry vertex
$\gamma 2$	12.6	85.6 <56>	22 <50>	0.10	0.82	2ry vertex (favored)

\* probability to find an IP larger than the observed one

	Energy (GeV)
$\gamma 1$	$5.6 \pm 1.0 \pm 1.7$
$\gamma 2$	$1.2 \pm 0.4 \pm 0.4$

$\gamma 1 + \gamma 2$	$\pi(8) + \gamma 1 + \gamma 2$
$120 \pm 20 \pm 35 \text{ MeV}$	$640^{+125}_{-80} {}^{+100}_{-90} \text{ MeV}$

# Kinematical variables



VARIABLE	Measured	Selection criteria
Kink (mrad)	$41 \pm 2$	$>20$
Decay length ( $\mu\text{m}$ )	$1335 \pm 35$	Within 2 plates
P daughter (GeV/c)	$12^{+6}_{-3}$	$>2$
Pt daughter (MeV/c)	$470^{+230}_{-120}$	$>300$ ( $\gamma$ attached)
Missing Pt (MeV/c)	$570^{+320}_{-170}$	$<1000$
$\phi$ (deg)	$173 \pm 2$	$>90$

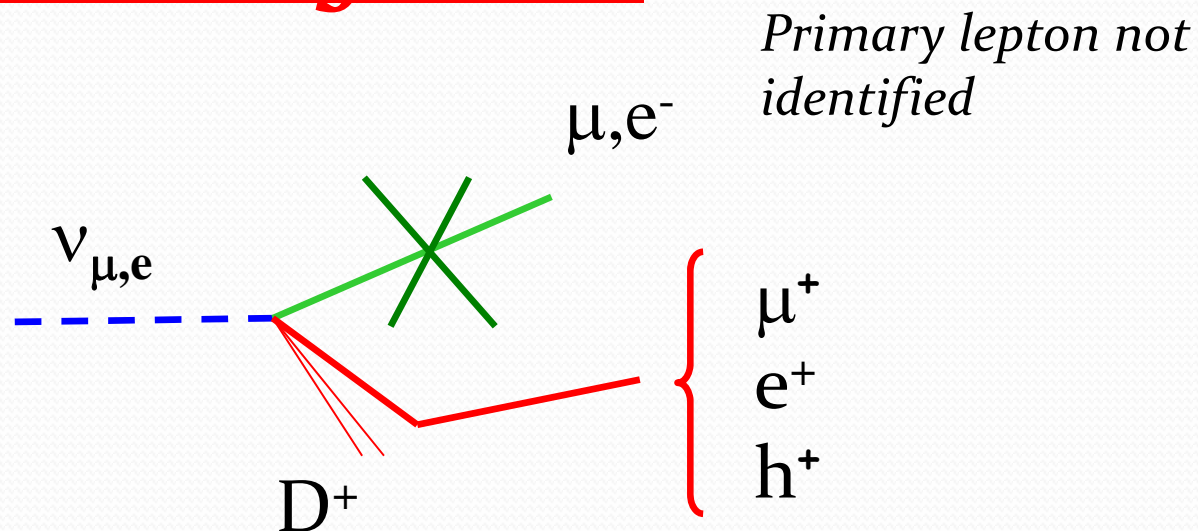
## Background sources

- *Prompt  $\nu_\tau$*   *$\sim 10^{-7}/CC$*
- *Decay of charmed particles produced in  $\nu_e$  interactions*  *$\sim 10^{-6}/CC$*
- *Double charm production*  *$\sim 10^{-6}/CC$*

### *Main backgrounds:*

- *Decay of charmed particles produced in  $\nu_\mu$  interactions (CC & NC)*  *$\sim 10^{-5}/CC$*
- *Hadronic interactions (CC & NC)*  *$\sim 10^{-5}/CC$*

# Charm background

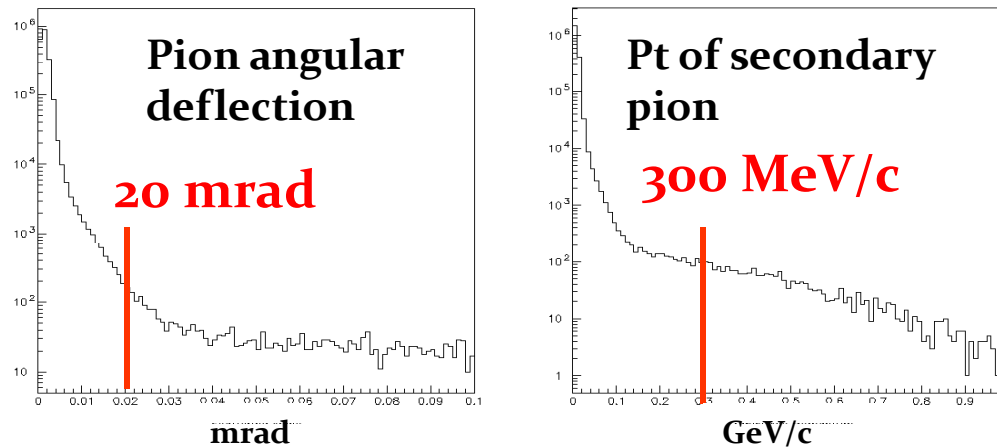


- This background can be suppressed by identifying the primary lepton  $\rightarrow \sim 96\%$  muon ID
- For the 1-prong hadronic channel  **$0.007 \pm 0.004$  (syst.)** background events are expected for the analyzed statistics

# Simulation of the hadronic interaction BG

- 160 million events (0.5-15 GeV/c) of  $\pi^+$ ,  $\pi^-$ ,  $K^+$ ,  $K^-$ ,  $p$  impinging 1 mm of lead, equivalent to 160 km of hadronic track length produced with FLUKA

Typical Monte Carlo scattering distributions for 5 GeV  $\pi^+$

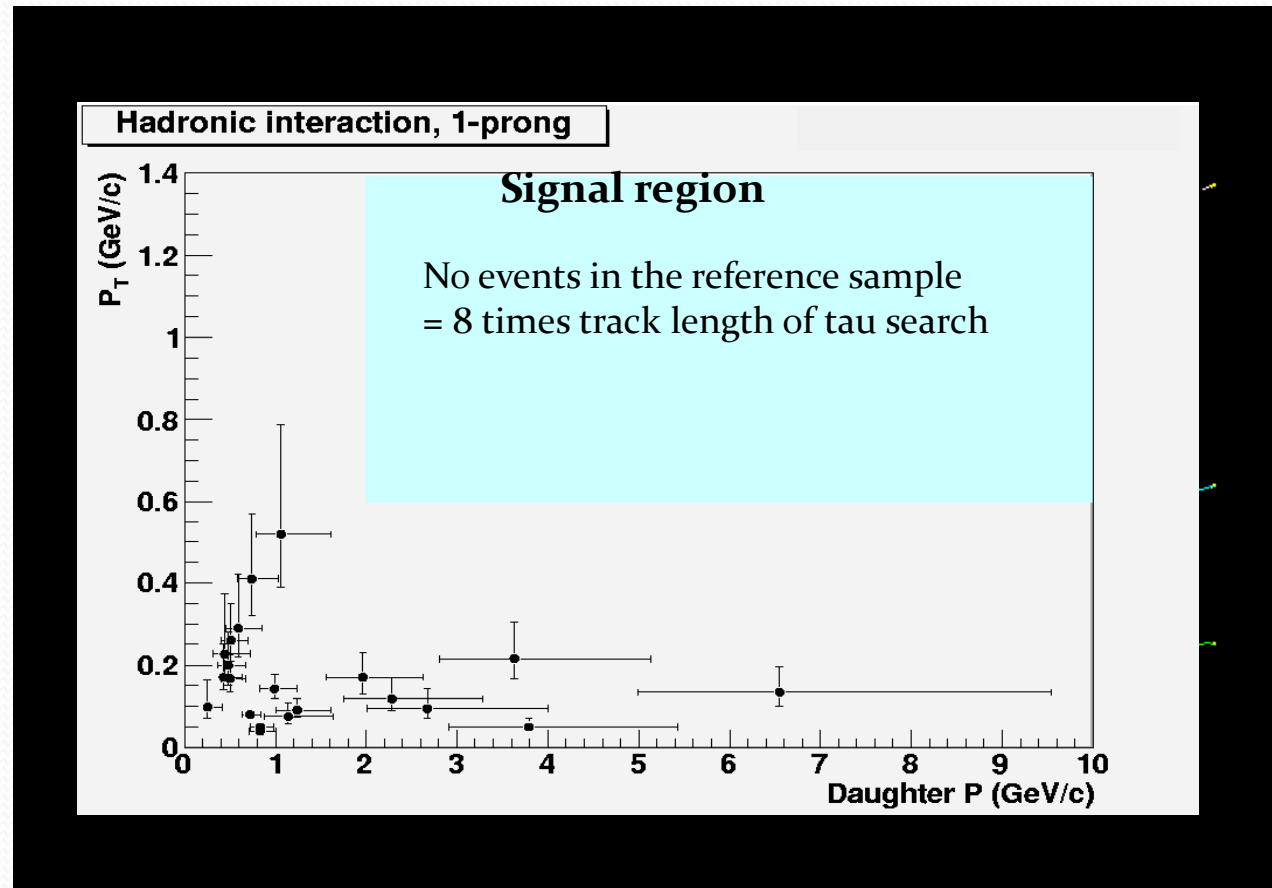


kink probability integrated over the  $\nu_\mu$  NC hadronic spectrum after 2 mm Pb and taking in to account the cuts on the event global kinematics:

$$(3.8 \pm 0.2) \times 10^{-5} \text{ kinks/NC}$$



# Hadronic interaction background study in OPERA data



- Search for “decay-like” interactions track far away from the primary vertex
  - no background-like interaction has been found in the signal region
- 90% CL upper limit of  **$1.54 \times 10^{-3}$  kinks/NC event**

# Statistical significance

We observe 1 event in the 1-prong hadron  $\tau$  decay channel,  
with a background expectation of:

**0.007 events (charm)**

**0.011 events (hadronic interactions)**



**events 1-prong hadron:**

**$0.018 \pm 0.007$  (syst)**

**all decay modes: 1-prong hadron, 3-prongs + 1-prong  $\mu$  + 1-prong  $e$ :**

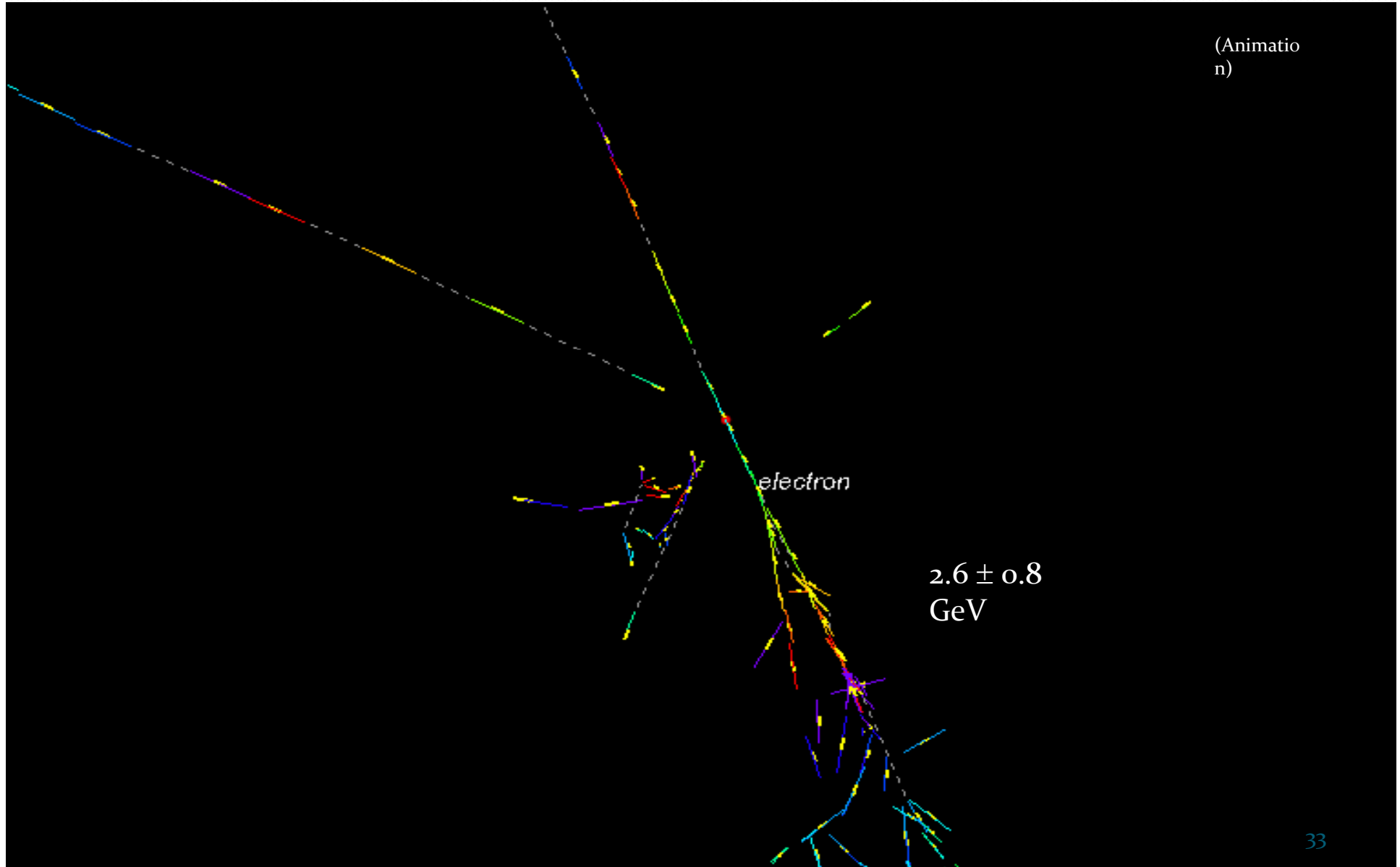
**$0.045 \pm 0.020$  (syst) events total BG**

Channel	Probability to observe 1 event due to BG	Statistical significance ( $\sigma$ )
1-prong hadron	1.8 %	2.36
all decay mode	4.5 %	2.01

# $\nu_e$ events

13  $\nu_e$  candidate events have been observed.

(Animation)



## Summary

- The OPERA experiment is aimed at the discovery of neutrino oscillations in **direct appearance mode** through the study of the  $\nu_{\mu} - \nu_{\tau}$  channel.
- A subsample of 2008-2009 data taking corresponding to  **$1.85 \times 10^{19}$  pot** (**20% of the available statistics**) have been opened and published on June 2010.
  - Decay topologies due to charmed particles have been observed in good agreement with expectations, as well as several events induced by  $\nu_e$  present as a contamination in the  $\nu_{\mu}$  beam.
  - One muon-less event candidate for **the  $\tau \rightarrow 1$ -prong hadron** decay topology has been detected ( **$0.54 \pm 0.13$ (syst.)** expected).
- 
- By considering 1-prong hadron channel, the statistical significance on the measurement of a first  $\nu_{\tau}$  candidate event is  **$2.36 \sigma$** .


# Outlook

*• In 2008 – 2010, data has been taken corresponding to 2.1 nominal years.*

*In 2010, beam intensity has reached 90% nominal and SPS efficiency 81%.*

*• Analysis on 2008+2009 full sample will be released soon.*

*• Analysis of 2010 events is being performed in parallel.*

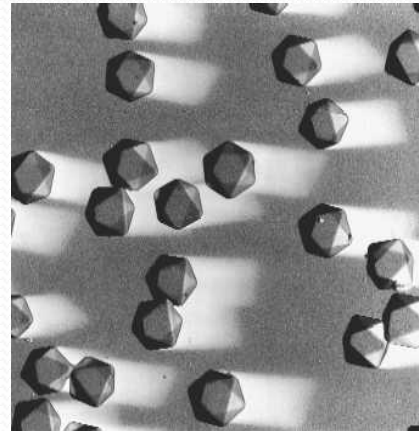
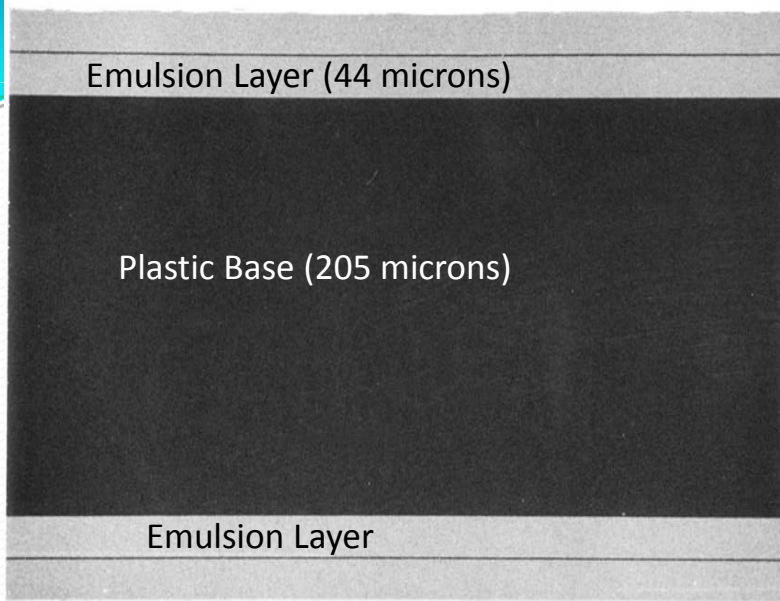
- 
- *Next CNGS run will in 2011 and 2012.*
  - *From **18<sup>th</sup> of March 2011**, CNGS run will start with 6 extra weeks of beam in dedicated mode . It will correspond to **1.2 nominal years** if CNGS and OPERA efficiencies are at the 2010 level.*
  - *If 2012 run is like 2011: data on  **$\sim 19.9 \times 10^{19}$**  POT or  **$\sim 88\%$**  of Proposal beam intensity will be collected.*



***Thank you for your attention!***

***BACKUP***

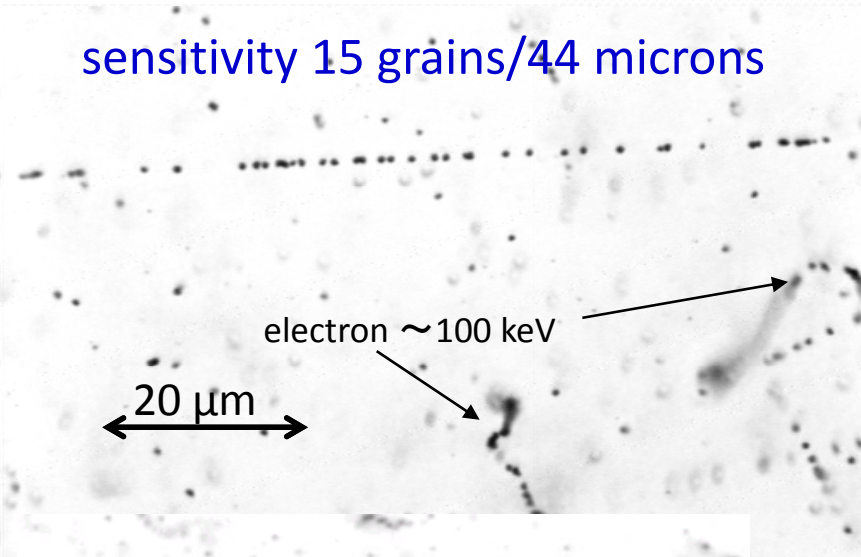
# INDUSTRIAL EMULSION FILMS BY FUJI FILM



**basic detector: AgBr crystal,**  
 size = 0.2 micron  
 detection eff.= 0.16/crystal  
 **$10^{13}$  “detectors” per film**

sensitivity 15 grains/44 microns

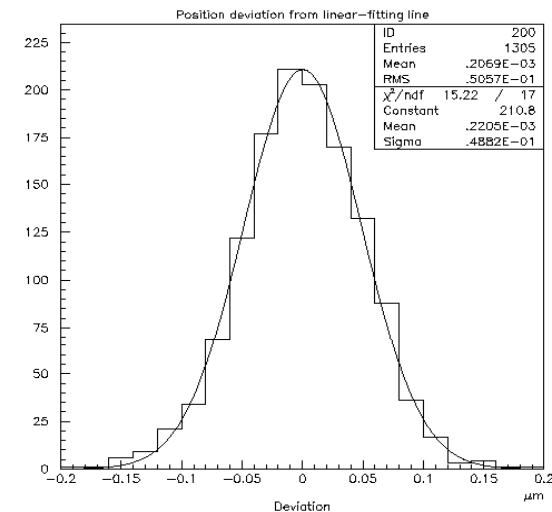
mip →



high dE/dx tracks  
 from nuclear evaporation

intrinsic resolution: 50 nm

deviation from linear-fit line. (2D)



# OPERA expected performance (Proposal)

$\tau$ decay channel	B.R. (%)	Signal $\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$	Background
$\tau \rightarrow \mu$	17.7	2.9	0.17
$\tau \rightarrow e$	17.8	3.5	0.17
$\tau \rightarrow h$	49.5	3.1	0.24
$\tau \rightarrow 3h$	15.0	0.9	0.17
<b>Total</b>		<b>10.4</b>	<b>0.75</b>

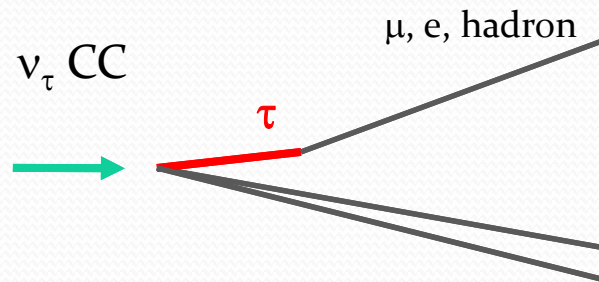
Main background sources:

- Production and decay of charmed particles
- Hadron reinteractions
- Large angle muon scattering

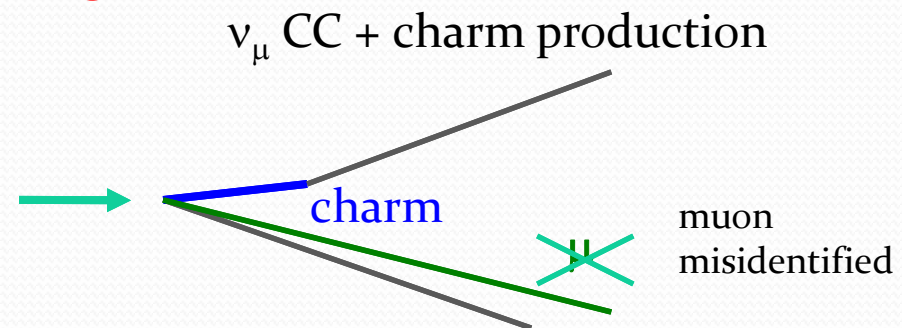
Assume  $22.5 \times 10^{19}$  pot

Example: charm BG to tau decays

**Signal**



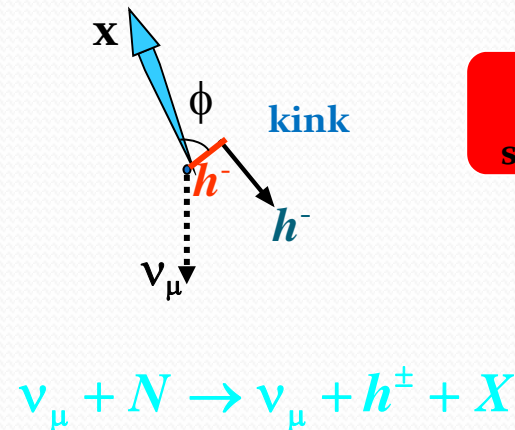
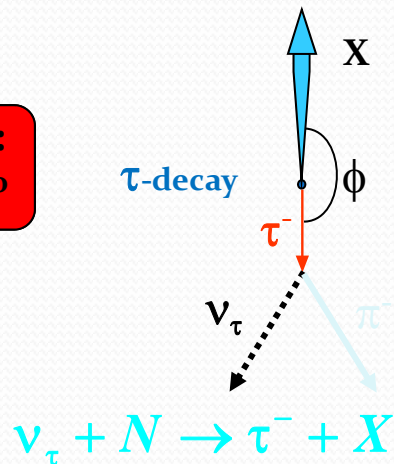
**Background**



# Nominal selection criteria for hadron kink topology

- Kink occurring within 2 lead plates downstream of the primary vertex
- Kink angle larger than 20 mrad
- Daughter momentum higher than 2 GeV/c
- Decay  $P_t$  higher than 600 MeV/c,  
300 MeV/c if  $\geq 1$   $\gamma$  pointing to the decay vertex
- Missing  $P_t$  at primary vertex lower than 1 GeV/c
- Azimuth angle between the primary hadron shower momentum direction and the parent track direction larger than  $\pi/2$  rad

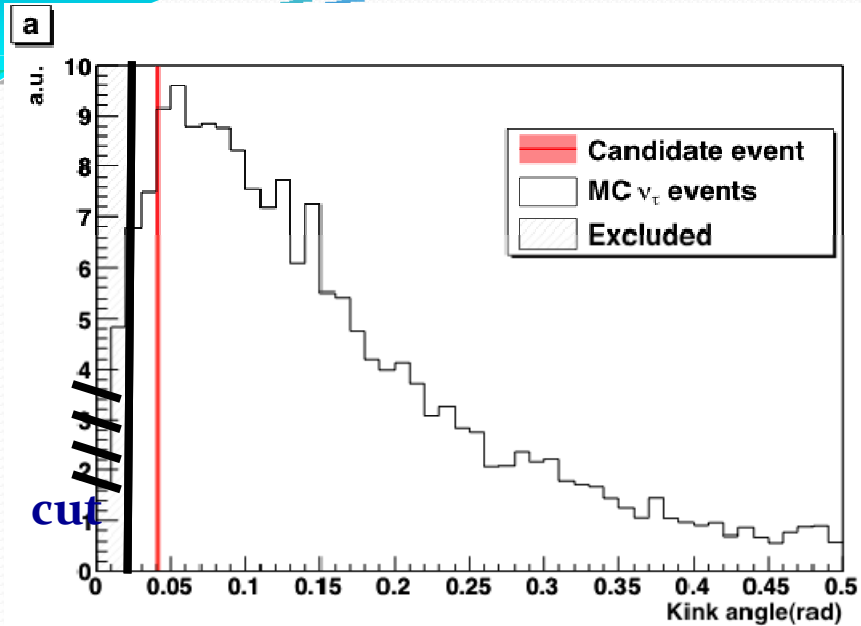
Signal :  
 $\phi = 180^\circ$



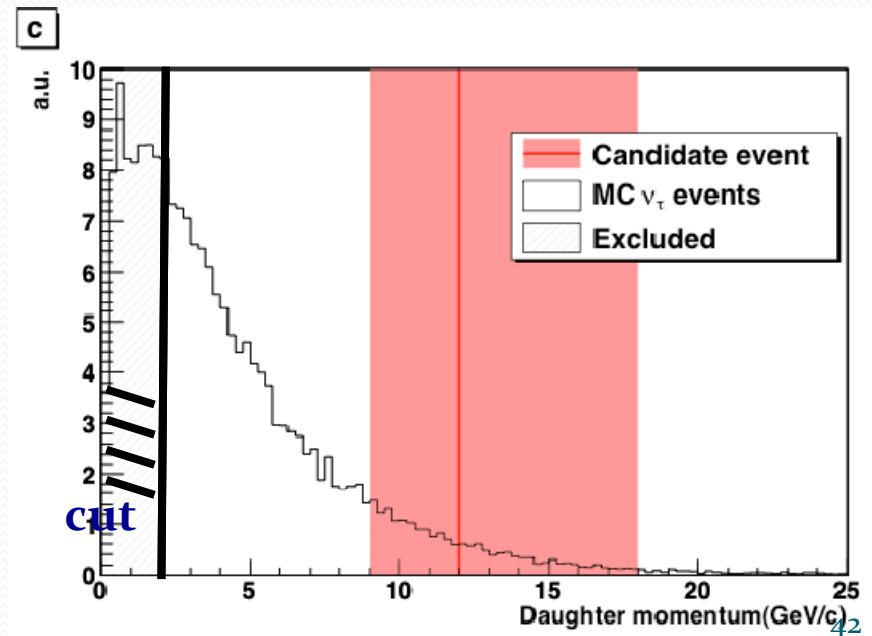
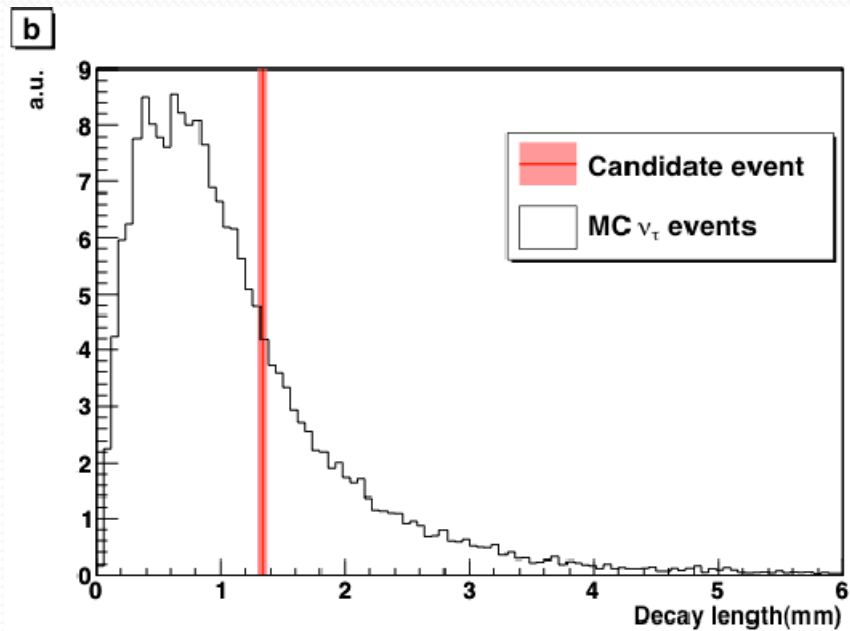
BG:  
small  $\phi$



# Features of the decay topology

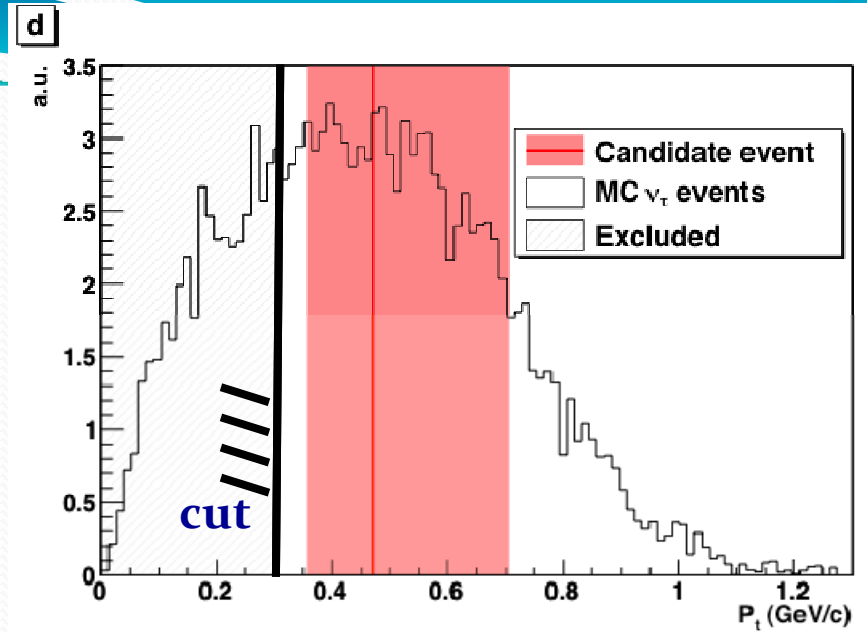


red bands: values for the “interesting” event with uncertainties

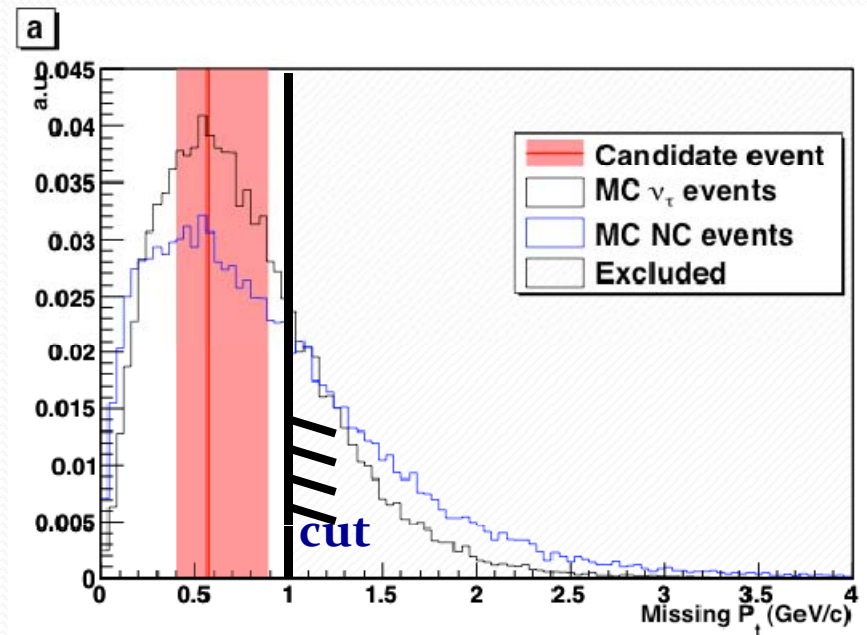


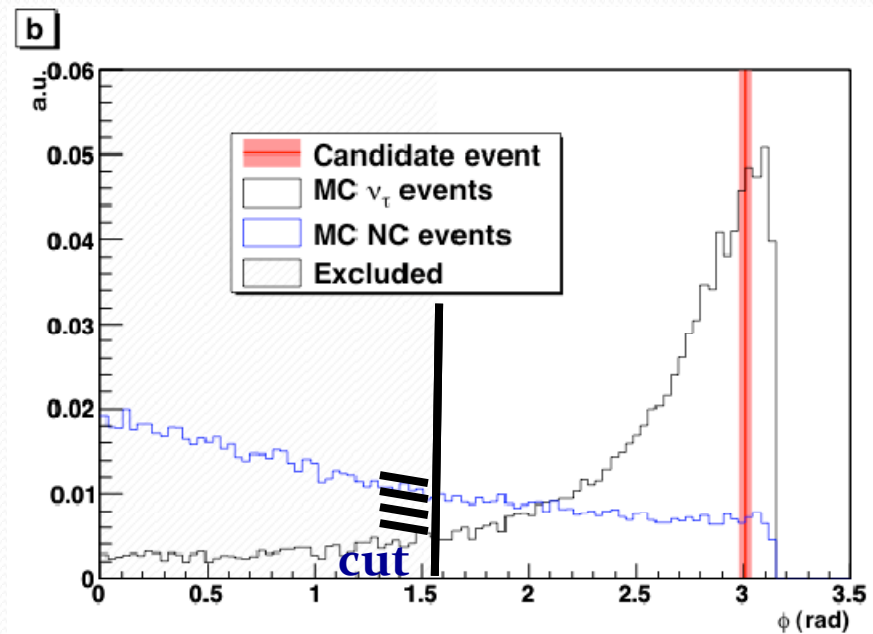
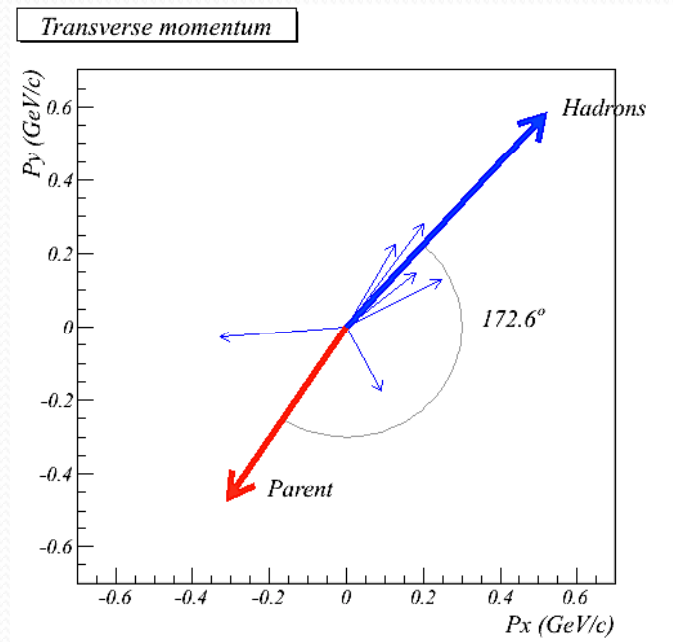
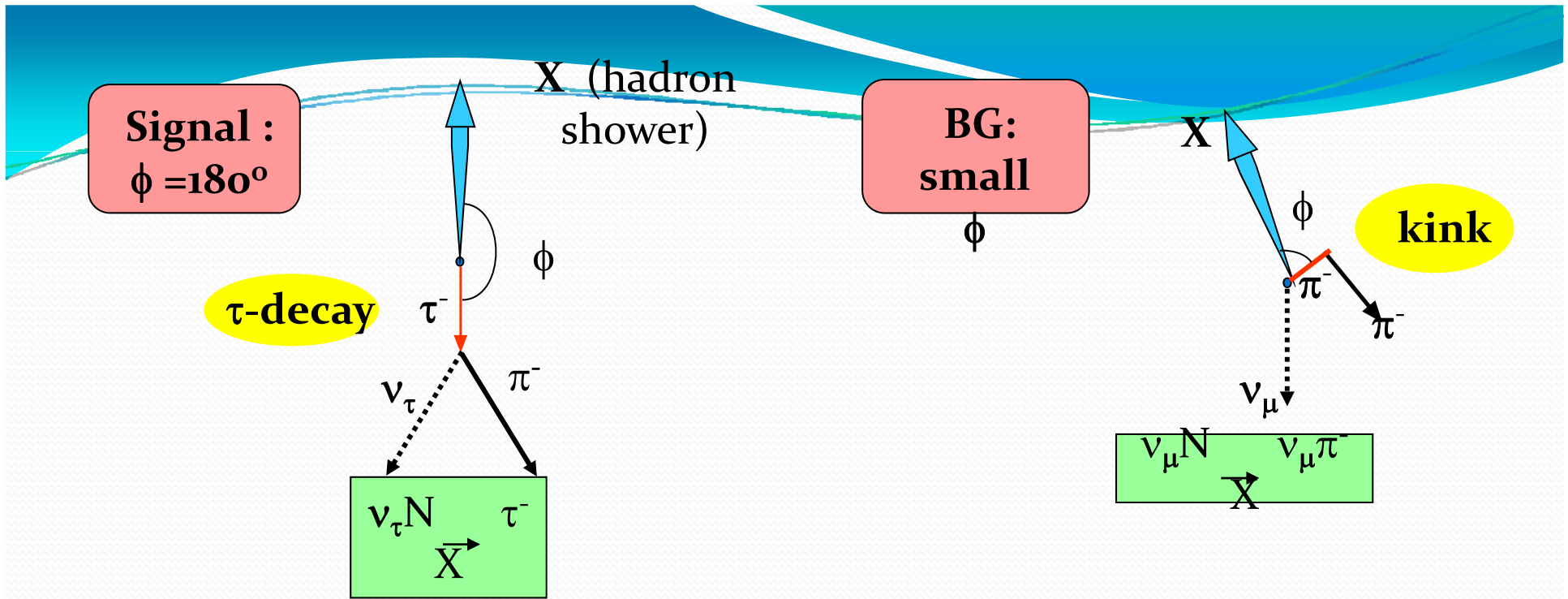
# Kinematical cuts

Reject hadron interactions with small  $P_t$  at secondary vertex  $\rightarrow$



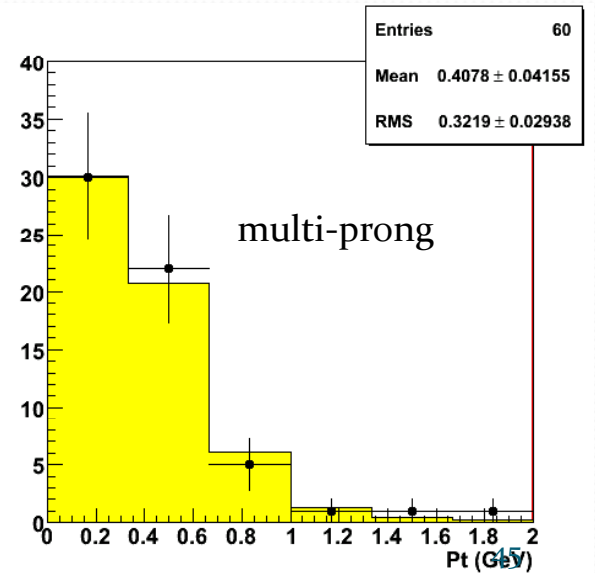
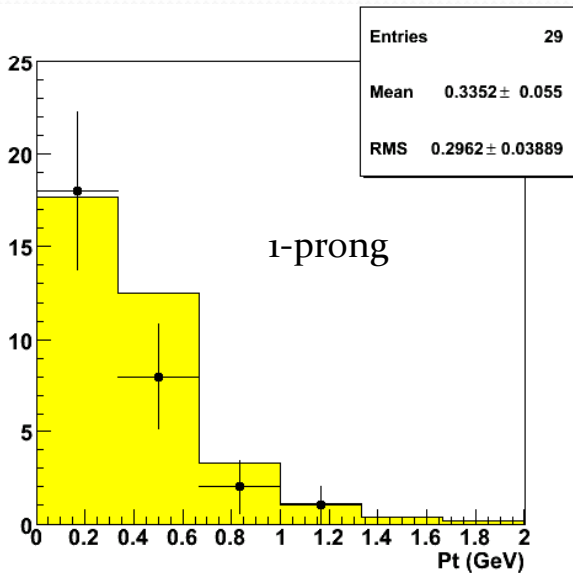
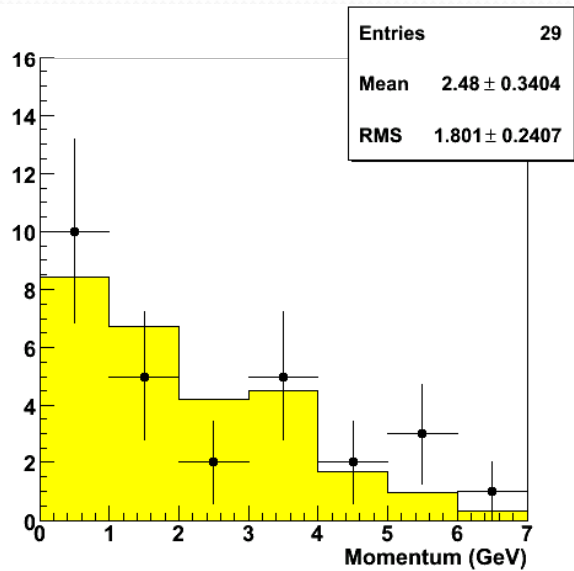
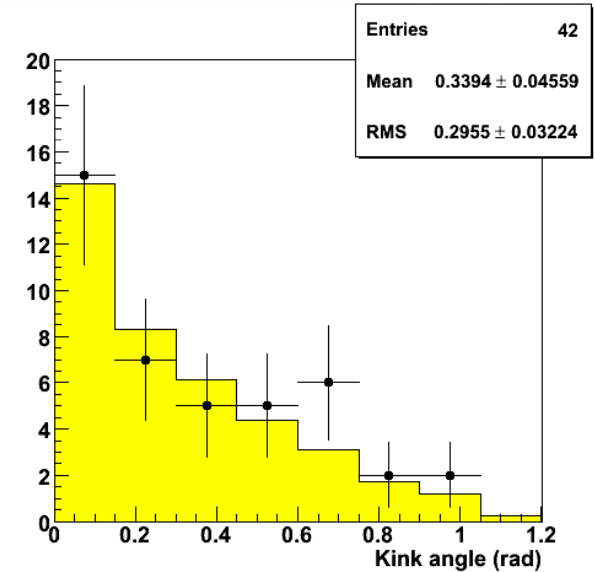
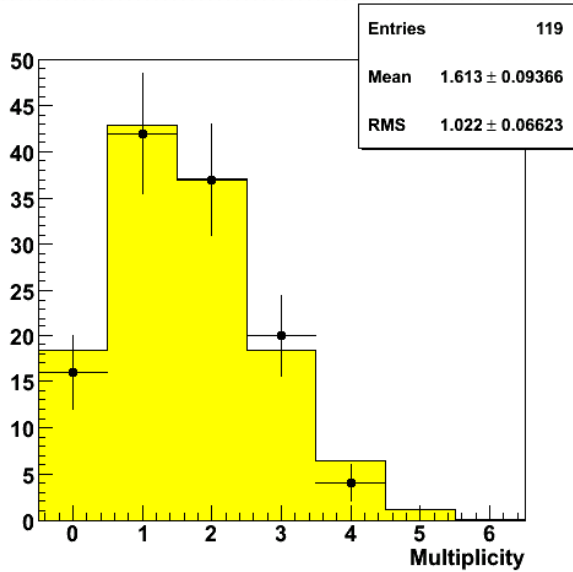
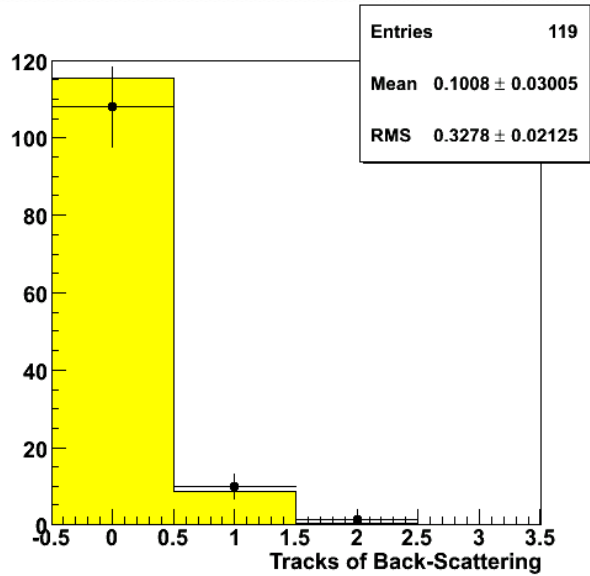
Reject NC events with larger missing  $P_t$  at primary vertex  $\rightarrow$





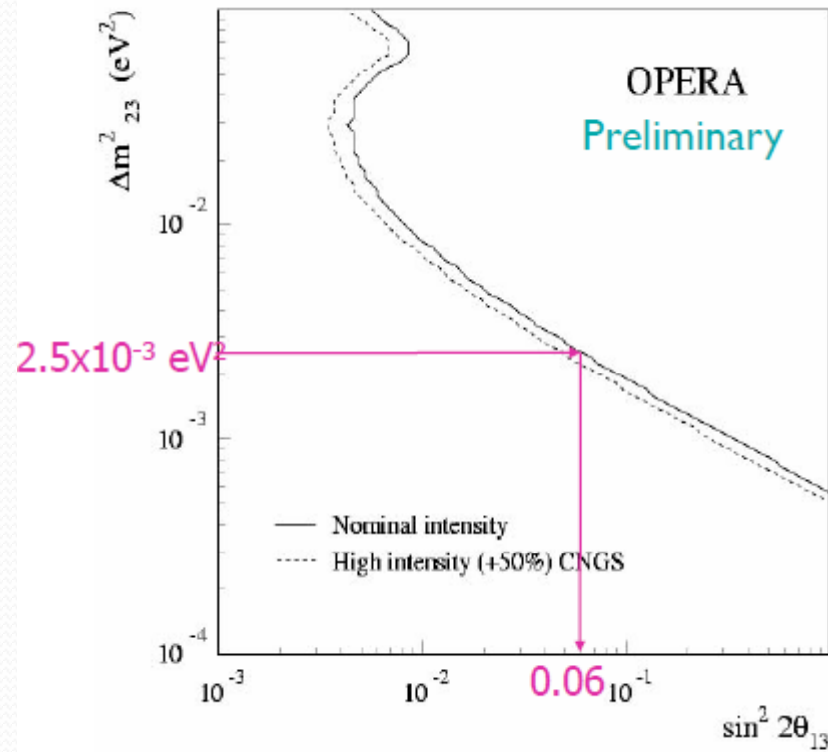
# DATA/MC comparison: good agreement in normalization and shape

Beam test 4 GeV pion 18 times track length (zom) of tau search.



## Sensitivity to $\Theta_{13}$

Simultaneous fit on:  
 $E_e$ , missing  $p_T$  and visible energy



full mixing, 5 years run @  $4.5 \times 10^{19}$  pot / year

$\Theta_{13}$ (deg)	Signal $\nu_{\mu} \rightarrow \nu_e$	Background			
		$\tau \rightarrow e$	$\nu_{\mu} \text{CC}$	$\nu_{\mu} \text{NC}$	$\nu_e \text{CC}$ beam
9	9.3	4.5	1.0	5.2	18
7	5.8	4.5	1.0	5.2	18
5	3.0	4.5	1.0	5.2	18

Limits at 90% CL for  
 $\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$  full mixing

	$\sin^2 2\Theta_{13}$	$\Theta_{13}$
CHOOZ	<0.14	$11^\circ$
OPERA	<0.06	$7.1^\circ$