#### Transverse Target Moments of SIDIS Vector Meson Production at HERMES

#### S. Gliske

University of Michigan / HERMES Collaboration

Probing Strangeness in Hard Processes 2010 Laboratori Nazionali di Frascati \_\_\_\_\_\_19 October 2010





#### Outline

#### I. Motivation & Background

- Access to strange quark distribution and fragmentation functions
- Lund/Artru Model and the Collins Function

#### II. Previous Results & Planned Improvements

- HERMES and COMPASS on  $H_{1,UT}^{\swarrow sp}$  (collinear access to transversity)
- New Dihadron Program at HERMES

#### III. Monte Carlo & Models

- ▶ New GMC\_Trans Generator
- New Non-Collinear Variant of a Spectator Model
- IV. Non-Collinear Cross Section
  - Alternate Partial Wave Expansion
  - Sub-leading Twist

#### V. Conclusion & Outlook

# **Motivation & Background**

#### **SIDIS Meson Production**



- ► SIDIS cross section can be written  $\sigma^{ep \to ehX} = \sum_{q} DF \otimes \sigma^{eq \to eq} \otimes FF$
- Access integrals of DFs and FFs through azimuthal asymmetries in φ<sub>h</sub>, φ<sub>S</sub>, φ<sub>R</sub>



#### Distribution Functions (DF)



#### Fragmentation Functions (FF)

quark			
U	L	Т	
$D_1$	$G_1^\perp$	$H_1^{\perp}$	

#### Why Vector Mesons & Hadron Pairs?

- ► Many results (and CLAS12 proposals) on pions and kaons
- Vector mesons access all the same distribution functions with different fragmentation functions
- Dihadrons (vector mesons and hadron pairs) provide complimentary measurements for distribution functions
- ► Flavor mixing slightly different for pseudo-scalar and vector mesons
- $\blacktriangleright$   $\phi$ -meson provides unique access to strange quark distribution functions
  - ► No other final state accesses strange quark distribution functions as cleanly!
  - Strange quark Sivers function yields information on gluon orbital angular momentum.
- ► Also interesting physics in the fragmentation functions.

Motivation & Background

## Lund/Artru String Fragmentation Model



- Consider a gluon flux tube between the struck quark and the remnant.
- Assume the flux tube breaks into a  $q\bar{q}$  pair with quantum numbers equal to the vacuum.
- Produced mesons overlapping with  $|\frac{1}{2}, \frac{1}{2}\rangle |\frac{1}{2}, -\frac{1}{2}\rangle$  and  $|\frac{1}{2}, \frac{1}{2}\rangle |\frac{1}{2}, -\frac{1}{2}\rangle$  states prefer "quark left".
  - $|0,0\rangle =$  pseudo-scalar mesons.
  - $|1,0\rangle =$  longitudinally polarized vector mesons.
- Produced mesons overlapping with  $|\frac{1}{2}, \frac{1}{2}\rangle |\frac{1}{2}, \frac{1}{2}\rangle$  and  $|\frac{1}{2}, -\frac{1}{2}\rangle |\frac{1}{2}, -\frac{1}{2}\rangle$  states prefer "quark right".
  - $|1,\pm 1\rangle$  = transversely polarized vector mesons.
- For each charge, "the Collins function" for  $\pi$  and  $\rho_L$  should have opposite sign to "the Collins function" for the two  $\rho_T$ .

# Previous Results & Planned Improvements

# **Dihadron Results**

#### HERMES



COMPASS



- Measure asymmetry  $2 \langle \sin(\phi_{R\perp} + \phi_S) \sin \theta \rangle$  in  $\pi^+\pi^-$  pair production
- ► Collinear access to transversity via IFF H<sup>₹sp</sup><sub>1,UT</sub>
- Model based on HERMES results by Bacchetta, *et al.* (PRD 74:114007, 2006)
- Prediction for COMPASS results yields too small of an asymmetry (arXiv:0907.0961v1)
- ► Both experiments indicate non-zero H<sup>ζsp</sup><sub>1,UT</sub> and non-zero transversity function

#### **Possible Sources of Discrepancy**

- One possible source of discrepancy could be the  $Q^2$  dependence of  $\phi_{R\perp}$ 
  - More natural cross section variable is  $\phi_R$
  - $(\phi_R \phi_{R\perp})$  is  $Q^2$  suppressed
  - Effectively reduces resolution, and thus reduces measured moments.
- Another possible source is  $\cos \vartheta$  treatment
  - Compass integrates over  $\cos \vartheta$
  - Hermes anti-symmetries in  $\cos \vartheta$
  - Yet cross section is differential with respect to  $\cos \vartheta$ 
    - The moment of interest should vanish in  $4\pi$  with integration over  $\cos \vartheta$ .
    - Integration over  $\cos \vartheta$  introduces machine-dependent bias.
- May be other causes as well, but cannot tell until resolve above items.
- Warning for all experiments
  - Momentum acceptance & cuts significantly affect  $\cos \vartheta$  distribution.
  - High  $|\cos \vartheta|$  implies one high momentum and one low momentum decay particle
  - ► In order to compare results across experiments, need to not integrate over cos ϑ but correct for cos ϑ acceptance.
  - Important in both SIDIS and exclusive vector meson analysis

#### **New SIDIS Dihadron Program at HERMES**

- Use  $\cos \vartheta$  dependence and  $\phi_R$  not  $\phi_{R\perp}$ .
- Apply acceptance correction.
- Transverse momentum dependent (i.e. non-collinear), sub-leading twist analysis
  - ▶ Number of unpol. moments: 15 (24 at Tw. 3), compared with pseudo-scalar mesons 2 (3 at Tw. 3).
  - ▶ Number of transverse target moments: 27 (54 at Tw. 3), compared with pseudo-scalars 3 (6 at Tw. 3).
  - Must determine which moments are suitable for release.
- ► Attempt background subtraction to separate vector mesons from hadron pairs.
- Measure at least 4 vector mesons/hadron pairs ( $\rho$ -triplet and  $\phi$ ).
  - Have data for  $K^*$ s (less background than  $\rho$ )
  - Theory regarding mixed mass pairs  $(\pi K)$  not as well developed.
    - ► No model for fragmentation functions.

Previous Results & Planned Improvements

# Mass Distribution: $\rho^0(770)$



- Left panel: comparison with Pythia, highlighting various process decaying into  $\pi^+\pi^-$  pair.
- ▶ Right panel: Hermes 02-05 data, fit to Breit-Wigner plus linear background.
- ► High background fraction, but hope only vector mesons in *pp*-wave.
- $\rho^{\pm}$  distributions effectively the same, but slightly lower statistics.

Previous Results & Planned Improvements

## Mass Distribution: $\phi(1020)$



- ► Lower signal, but much lower background fraction.
- ▶ No other mesons decaying into  $K^+K^-$  within mass window.
- Clean access to strange quark distribution and fragmentation functions.

#### Needed Items, Not Previously Available

- ► Non-collinear SIDIS Monte Carlo generator at sub-leading twist.
  - Must simulate azimuthal dependence of cross section for systematic studies.
  - Cannot use polynomial fits to the data as was done for pseudo-scalar analysis.
- Generator requires
  - ► Non-collinear cross section at sub-leading twist.
  - Non-collinear fragmentation models.
- Would also like to understand "Which term in the cross section includes the 'the Collins function' for  $\rho_L$ ,  $\rho_T$ ?"
  - Use alternate partial wave expansion
  - Note: some theorists present could have answered this question without new expansion
  - Pursuit of the answer in this manner has led to something not previously computed by any theorist: the sub-leading twist, non-collinear dihadron cross section.

### **New GMC\_Trans Generator**

#### Method

- ► Integrates cross section per flavor, yields quark branching ratios
- Throw a flavor type according to branching ratios
- Throw kinematic/angular variables by evaluating cross section
  - Can use weights or acceptance rejection
- Full TMD simulation: each event has specific  $|\mathbf{p}_T|$ ,  $\phi_p$ ,  $|\mathbf{k}_T|$ ,  $\phi_k$  values
- Includes both pseudo-scalar and dihadron SIDIS cross sections
- Guiding plans
  - Extreme flexibility
    - Models for fragmentation and distribution functions
    - ► Various final states: pseudo-scalars, vector mesons, hadron pairs, etc.
    - Transverse momentum and flavor dependence
    - Output options & connecting to analysis chains of various experiments
    - Minimize dependencies on other libraries
  - ► Full flavor and transverse momentum dependence.
- Should prove a useful tool for both experimentalists and theorists to test models and machine response.

#### **Collinear Spectator Model for Dihadron Fragmentation**

- ▶ Model developed by A. Bacchetta & M. Radici, Phys. Rev. D74 (2006)
- ► The SIDIS X is replaced with a single, on-shell, spin-0 particle of mass  $M_s \propto M_h$ .
- Assume one spectator for hadron pairs and vector mesons.
- The leading twist fragmentation correlation matrix can then be computed from the tree level diagram.
- Integration over transverse momenta is performed before extracting fragmentation functions.
- Includes  $\pi^+\pi^-$  pairs,  $\rho^0$ , and  $\omega$  (both two and three pion decays)
- Unfortunately, the model yields nonzero Collins function for only three partial waves—no pp waves.

#### **TMD Spectator Model** for Dihadron Fragmentation

- Use same  $k_T$  dependent fragmentation correlation matrix as collinear case
- Extract fragmentation function without integrating over  $k_T$ .
  - Requires reworking Dirac matrix algebra
- Generalized to other final states  $\pi^{\pm}\pi^{0}$ ,  $\rho^{\pm}$  and  $K^{+}K^{-}$ ,  $\phi$ 
  - Slight modifications to *p*-wave vertex function
  - Must also allow several parameter sets, depending on flavor
- ► Note: only need up to three flavor parameter sets

• 
$$\pi^+\pi^-: u = -d = -\bar{u} = \bar{d}, s = \bar{s}$$

• 
$$\pi^+\pi^0$$
:  $u = \bar{d}, d = \bar{u}, s = \bar{s}$ 

• 
$$K^+K^-$$
:  $u = \overline{u}, d = \overline{d}, s = \overline{s}$ 

- Need to include an extra z dependent  $|\mathbf{k}_T|$  cutoff.
- ► Note: mixed mass pairs  $(\pi K/K^*)$  require more complicated extensions.

## $ho^0$ Kinematic Distributions, p.1





- Close agreement for x, y, z distributions.
- Main discrepancy in x distribution—most likely do to imbalance in the flavor contributions, or a subtle effects of Q<sup>2</sup> scaling.

## $ho^0$ Kinematic Distributions, p.2



- Difficultly matching both  $P_{h\perp}$  and  $M_h$  distributions.
- On-shell spectator condition yields

$$k^{2} = \frac{z}{1-z}|\boldsymbol{k}_{T}|^{2} + \frac{M_{s}^{2}}{1-z} + \frac{M_{h}^{2}}{z}$$

Exponential cutoff in k<sup>2</sup> cuts off both M<sub>h</sub> and P<sub>h⊥</sub> distributions at high values.
 This motivates the extra |k<sub>T</sub>|<sup>2</sup> cut off.

#### $\phi$ Kinematic Distributions: Scaling Variables





- Close agreement for x, y, z distributions.
- Further optimizing flavor balance can improve x & y distributions.

## $\phi$ Kinematic Distributions, Intrinsic Momentum



- Can plot model predictions for intrinsic momentum
  - Unique advantage of this generator.
- Given model requires  $p_T \approx k_T$  in order to get narrow  $P_{h\perp}$  peak.
- Also, model does not support any flavor dependence to  $k^2$ ,  $|k_T|^2$  cut offs

## $\phi$ Kinematic Distributions, Mass and $P_{h\perp}$



- Parameters optimized for  $M_h < 1.05$ .
- Includes  $|\mathbf{k}_T|$  cutoff as well (not present in previous  $\rho^0$  plots).
  - *z* dependence of both  $k_T$  and  $k^2$  cutoffs identical
- Agreement is high and can yet be further optimized.
- ► The "commissioning" of Monte Carlo generator nearing completion.

# **Non-Collinear Cross Section**

Non-Collinear Cross Section

#### **Amplitude Level Diagram**



- ► At the amplitude level, we expect the |l, m⟩ of the produced meson to tell us when the Collins signs match or flip.
- But life is more complicated...

## **Optical Theorem**



- Amplitudes of different  $|l', m'\rangle$  are summed before amplitude is squared.
- Analog two-dihadron amplitude includes sum the states of both dihadrons.
- Note: cross sections and physical quantities usually prefer direct-sum over direct-product bases.
  - ► E.g., physical meson states are basis elements |0,0⟩ and |1,0⟩, not basis elements |<sup>1</sup>/<sub>2</sub>, <sup>1</sup>/<sub>2</sub>⟩|<sup>1</sup>/<sub>2</sub>, -<sup>1</sup>/<sub>2</sub>⟩, |<sup>1</sup>/<sub>2</sub>, -<sup>1</sup>/<sub>2</sub>⟩|<sup>1</sup>/<sub>2</sub>, <sup>1</sup>/<sub>2</sub>⟩.
  - New expansion: in terms of the  $|\tilde{l}, \tilde{m}\rangle$  state of the two Dihadron system.

#### **Old Partial Wave Expansion**



- ► Such as in Bacchetta & Radici, *Phys. Rev.* **D** 67(9):094002 (2003)
- ► Initially expand cos ϑ dependence of fragmentation functions in Legendre Polynomials
- Write out cross section
- ► Write partial wave expansion in |l<sub>1</sub>, m<sub>1</sub>⟩|l<sub>2</sub>, m<sub>2</sub>⟩ basis via traces of products of 8 × 8 and 16 × 16 matrices.

#### Definitions

Ĺ

Fragmentation correlation matrix

$$\Delta^{[\Gamma]}(z, M_h, |\mathbf{k}_T|, \cos \vartheta, \phi_R - \phi_k) = 4\pi \frac{z|\mathbf{R}|}{16M_h} \int dk^+ \operatorname{Tr}\left[\Gamma \Delta(k, P_h, R)\right] \Big|_{k^- = P_h^-/z}$$

Define fragmentation functions via trace relations

FF	Pseudo-Scalar	Dihadron, other	Dihadron, Gliske
$D_1$	$\Delta^{[\gamma^-]}$	$\Delta^{[\gamma^-]}$	$\Delta^{[\gamma^-(1+i\gamma^5)]}$
$G_1^\perp$		$\propto \Delta^{[\gamma^-\gamma^5]}$	
$H_1^\perp$	$\Delta^{[(\sigma^{1-})\gamma^5]}$	$\Delta^{[(\sigma^{1-})\gamma^5]}$	$\Delta^{[(\sigma^{1-}-i\sigma^{2-})\gamma^5]}$
$\bar{H}_1^{\swarrow}$		$\propto \Delta^{[(\sigma^{2-})\gamma^5]}$	

- Real part of fragmentation function similar
- Gliske's definition of  $D_1 \& H_1^{\perp}$ 
  - Adds "imaginary" part to  $D_1 \& H_1^{\perp}$ , instead of introducing new functions.
  - Are then complex valued and depends on  $Q^2$ , z,  $|k_T|$ ,  $M_h$ ,  $\cos \vartheta$ ,  $(\phi_R \phi_k)$ .
  - Can be denoted the completely unexpanded, unpolarized and Collins functions.

# **New Partial Wave Expansion**

- Dihadron cross section using completely unexpanded fragmentation functions looks identical to pseudo-scalar meson cross section
  - And it should—both are the cross section for producing a single mesonic-system.
  - Further structure about the mesonic system is contained in the fragmentation functions.
- ► Can now expand  $D_1$ ,  $H_1^{\perp}$  in  $|l, m\rangle$  basis of two-dihadron system
  - Simple spherical harmonic expansion  $Y_l^m(\cos \vartheta)e^{im(\phi_R-\phi_k)}$ .
- After expansion, cross section has identical form to dihadron cross section using previous methods.
- ► New method uniquely identifies each angular moment with a |l, m⟩ partial wave of the two dihadron system.
- Details in HERMES Internal Note 10-003
  - Publicly available via http://hermes.desy.de/.

#### **Unpolarized Cross Section**

$$\frac{2\pi xyQ^2}{\alpha^2 M_h P_{h\perp}} \left(1 + \frac{\gamma^2}{2x}\right)^{-1} d^9 \sigma_{UU} =$$

$$A(x, y) \left[\sum_{l=0}^2 \sum_{m=0}^l P_l(\vartheta) \cos(m(\phi_h - \phi_R)) F_{UU,T}^{P_l(\vartheta)} \cos(m(\phi_h - \phi_R))\right]$$

$$+ B(x, y) \left[\sum_{l=0}^2 \sum_{m=-l}^l P_l(\vartheta) \cos((2 - m)\phi_h + m\phi_R) F_{UU}^{P_l(\vartheta)} \cos((2 - m)\phi_h + m\phi_R)\right]$$

$$+ C(x, y) \left[\sum_{l=0}^2 \sum_{m=-l}^l P_l(\vartheta) \cos((1 - m)\phi_h + m\phi_R) F_{UU}^{P_l(\vartheta)} \cos((1 - m)\phi_h + m\phi_R)\right]$$

At leading twist contains same terms as previously found in the literature.
 Setting m = 0 reduces to the terms in the pseudo-scalar cross section.

$$d^{6}\sigma_{UU} \propto A(x,y)F_{UU,T} + B(x,y)\cos\phi_{h}F_{UU}^{\cos\phi_{h}} + C(x,y)\cos\phi_{h}F_{UU}^{\cos\phi_{h}}.$$

#### **Transverse Target Terms of the Cross Section**

$$\begin{pmatrix} \frac{1}{S_T} \end{pmatrix} \frac{2\pi x y Q^2}{\alpha^2 M_h P_{h\perp}} \left( 1 + \frac{\gamma^2}{2x} \right)^{-1} d^9 \sigma_{UT} = \left[ \sum_{l=0}^2 \sum_{m=-l}^l A(x, y) P_l(\cos \vartheta) \sin((1-m)\phi_h - \phi_S + m\phi_R) F_{UT,T}^{P_l(\cos \vartheta)} \sin((1-m)\phi_h - \phi_S + m\phi_R) + B(x, y) P_l(\cos \vartheta) \sin((1-m)\phi_h + \phi_S + m\phi_R) F_{UT,T}^{P_l(\cos \vartheta)} \sin((1-m)\phi_h + \phi_S + m\phi_R) F_{UT,T}^{P_l(\cos \vartheta)} \sin((3+m)\phi_h - \phi_S + m\phi_R) F_{UT,T}^{P_l(\cos \vartheta)} \sin((3+m)\phi_h - \phi_S + m\phi_R) F_{UT,T}^{P_l(\cos \vartheta)} \sin((3+m)\phi_h - \phi_S + m\phi_R) F_{UT,T}^{P_l(\cos \vartheta)} \sin((2+m)\phi_h - \phi_S + m\phi_R) F_{UT,T}^{P_l(\cos \vartheta)} \sin(m\phi_h + \phi_S + m\phi_R) F_{UT,T}^{P_l(\cos \vartheta)} \sin(m\phi_h + \phi_S + m\phi_R) F_{UT,T}^{P_l(\cos \vartheta)} \sin(m\phi_h + \phi_S + m\phi_R) F_{UT,T}^{P_l(\cos \vartheta)} \sin((2+m)\phi_h - \phi_S + m\phi_R) F_{UT,T}^{P_l(\cos \vartheta)} \sin((2+m)\phi_R) F_{UT,T}^{P_l(\cos \vartheta)} \sin((2+m)\phi_R)$$

- ► Again, terms in the cross section agree with published results
- Again, setting m = 0 reduces to the terms in the pseudo-scalar cross section.
- ▶ Note: the terms surviving  $P_{h\perp}$  integration depend on the moment and on *m*.

Gliske (HERMES / Michigan)

## **New Partial Wave Expansion: Summary**

- ► Utilizes similarities between pseudo-scalar & dihadron cross sections
  - Can compute dihadron cross section from pseudo-scalar cross section, at any twist
- One symbol for each experimentally accessible fragmentation function.
- ► No clean access to "The Collins function" for long. vector mesons
  - Is included in  $H_1^{|2,0\rangle}$ , but mixed with *TT* interference.
    - $\blacktriangleright \hspace{0.1 in} |2,0\rangle \in \text{Span}\{|1,0\rangle|1,0\rangle, \hspace{0.1 in} |1,1\rangle|1,-1\rangle\} + \hspace{0.1 in} \text{h.c.}$
- There does exist "the Collins function" for trans. vector mesons:  $H_1^{[2,\pm 2)}$ .
  - $\bullet |2,\pm 2\rangle = |1,\pm 1\rangle$
  - Requires assuming no tensor mesons
    - Could have *ds* interference also mixed in.
- The previously analyzed  $H_{1UT}^{\triangleleft sp} = H_1^{|1,1\rangle}$  is not pure *sp* interference
  - ►  $|1,1\rangle \in \text{Span}\{|1,1\rangle|0,0\rangle$ ,  $|1,1\rangle|1,0\rangle\} + \text{h.c.}$
  - ► Includes also *LT pp* interference.
- Process leading to  $H_1^{\triangleleft}$  is understood.
- Collins fragmentation function takes trans. polarized quark and produces any polarized final state.

# **Conclusion & Outlook**

#### **Conclusion & Outlook**

- Non-collinear SIDIS Dihadron production provides unique access to
  - Strange quark distribution and fragmentation
  - Testing the Lund/Artru model
- Future analysis need to use  $\phi_R$  rather than  $\phi_{R\perp}$  and include  $\cos \vartheta$  dependence.
- New partial wave expansion
  - Alternate view greatly simplifies complexity
  - Easier to find "the Collins function" for vector mesons.
  - But is also powerful computational tool.
- All 18 TMD dihadron fragmentation functions are important
  - Hope  $e^+e^-$  machines extract all 18, not just 2 of the 5 collinear.
- Cross section for dihadrons can be directly computed from pseudo-scalar cross section, at any twist
- New Monte Carlo Generator is excellent testing ground for flavor and transverse momentum dependent distribution and fragmentation functions.

# **Backup Slides**

#### **Backup Slides**

#### **Relation with Previous Notation**

 Comparing with similar trace definitions, e.g. PRD 67:094002 yields the relations

$$D_1\Big|_{Gliske} = \left[ D_1 + i \frac{|\mathbf{R}||\mathbf{k}_T|}{M_h^2} \sin \vartheta \sin(\phi_R - \phi_k) G_1^{\perp} \right]_{other},$$
(1)  
$$H_1^{\perp}\Big|_{Gliske} = \left[ H_1^{\perp} + \frac{|\mathbf{R}|}{|\mathbf{k}_T|} \sin \vartheta e^{i(\phi_R - \phi_k)} \bar{H}_1^{\triangleleft} \right]_{other} = \frac{|\mathbf{R}|^2}{|\mathbf{k}_T|^2} H_1^{\triangleleft}\Big|_{other}.$$
(2)

• Inconsistencies in the literature between definitions of  $H_1^{\swarrow}, \bar{H}_1^{\updownarrow}, H_1^{\backsim}$ .

# **Extraction Method & Systematics**

- Use maximum likelihood estimation to preform fit within each kinematic bin.
- Exact number of unpolarized and polarized terms to be included is not yet determined.
- Acceptance correction:
  - ► Use GMC\_Trans to generate kinematic distribution, but flat in angles
  - ► Run GMC\_Trans "no angular dependence" data through acceptance
  - Make Kernel Density Estimation (KDE) over angles within each kinematic bin
    - ► This is now an estimate of the effective acceptance function integrated over the bin.
  - Weight each data point by 1/KDE.
- Smearing effects and effectiveness of acceptance correction to be tested via "PEPSI Challenge"
  - Generate data using Pythia with RadGen & place through acceptance
  - ▶ Weight using angular portion of cross section via GMC\_Trans or KDE of data.
  - Compare weighting  $4\pi$  vs. acceptance + smearing.
- Linear extrapolate moments in mass sidebands to estimate background under VM peak, then perform background subtraction.