Lepton Universality Tests with Leptonic Kaon Decays

Evgueni Goudzovski

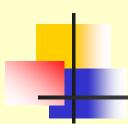
on behalf of the CERN NA62 collaboration

(Birmingham, Bratislava, CERN, Dubna, Fairfax, Ferrara, Firenze, Frascati, Liverpool, Louvain, Mainz, Merced, INR Moscow, Napoli, Perugia, Pisa, IHEP Protvino, Roma I, Roma II, Saclay, San Luis Potosí, SLAC, Sofia, Torino, TRIUMF)

Outline:

- 1) Purely leptonic meson decays as a SM testing ground
- New $R_K = BR(K \rightarrow ev)/BR(K \rightarrow \mu v)$ measurement by CERN NA62
- The KLOE R_K measurement and the world average
- **Conclusions**

BEACH 2010 Perugia, Italy • 24 June 2010 supported by



Leptonic meson decays: a SM testing ground

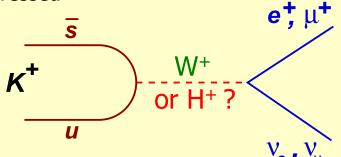
4

Leptonic meson decays: P+→l+v

Angular momentum conservation → SM contribution is suppressed

$$\Gamma(P^+ o l^+
u)=rac{G_F^2M_PM_l^2}{8\pi}\left(1-rac{M_l^2}{M_P^2}
ight)^2f_P^2|V_{qq\prime}|^2$$

Models with 2 Higgs doublets (2HDM-II including SUSY): sizeable charged Higgs (H±) exchange contributions



PRD48 (1993) 2342; Prog. Theor. Phys. 111 (2004) 295

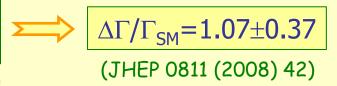
(numerical examples for $M_H = 500 \text{GeV/c}^2$, $\tan \beta = 40$)

H[±] exchange in B⁺ $\rightarrow \tau^+ \nu$:

BaBar+Belle: $Br_{exp}(B \to \tau \nu) = (1.42 \pm 0.43) \times 10^{-4}$

Standard Model: $Br_{SM}(B \rightarrow \tau \nu) = (1.33 \pm 0.23) \times 10^{-4}$

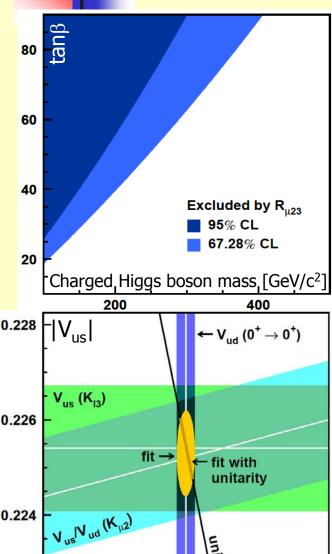
(SM uncertainties: $\delta(f_B^2)/f_B^2=10\%$, $\delta|V_{ub}|^2/|V_{ub}|^2=13\%$)



Search for new physics is obstructed by hadronic uncertainties (f_p) 3

4

H[±] exchange in K⁺ \rightarrow μ ⁺ ν



0.974

0.972

E. Goudzovski / Perugia, 24 June 2010

 $|V_{ud}||$

0.976

Comparison of $|V_{us}|$ determined from helicity suppressed $K^+ \rightarrow \mu^+ \nu$ decays vs helicity allowed $K^+ \rightarrow \pi^0 \mu^+ \nu$ decays

To reduce the uncertainties of hadronic and EM corrections:

average from nuclear β decays, PRC79 (2009) 055502

$$R_{\mu23} = \left(\frac{f_K/f_\pi}{f_+(0)}\right)^{-1} \left(\left|\frac{V_{us}}{V_{ud}}\right| \frac{f_K}{f_\pi}\right)_{\mu2} \frac{\left|V_{ud}\right|_{0^+ \to 0^+}}{\left[\left|V_{us}\right|f_+(0)\right]_{\ell3}}$$

Lattice QCD input

Measured with K_{u2}/π_{u2}

Measured with $K\rightarrow\pi\mu\nu$

Charged Higgs mediated contribution:

$$R_{\mu 23} \approx \left| 1 - \frac{m_{K^+}^2}{m_{H^+}^2} \frac{\tan^2 \beta}{1 + \epsilon_0 \tan \beta} \right|$$

Experiment: $R_{\mu 23} = 0.999(7)$, $|V_{us}|^2 + |V_{ud}|^2 - 1 = -0.0001(6)$.

Precision limited by lattice ICQ input. (Flavianet Kaon WG, arXiv:1005.2323)



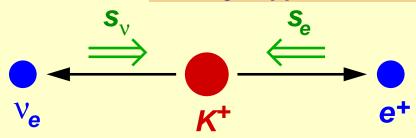
$R_K = K_{e2}/K_{\mu 2}$ in the SM

Observable sensitive to lepton flavour violation and its SM expectation:

$$R_{K} = \frac{\Gamma(K^{\pm} \to e^{\pm}\nu)}{\Gamma(K^{\pm} \to \mu^{\pm}\nu)} = \frac{m_{e}^{2}}{m_{\mu}^{2}} \cdot \left(\frac{m_{K}^{2} - m_{e}^{2}}{m_{K}^{2} - m_{\mu}^{2}}\right)^{2} \cdot (1 + \delta R_{K}^{rad.corr.})$$

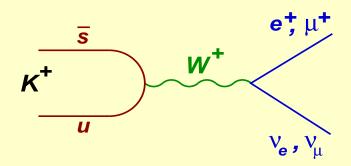
(similarly, R_{π} in the pion sector)

Helicity suppression: f~10⁻⁵



- <u>SM prediction:</u> excellent <u>sub-permille</u> accuracy due to cancellation of hadronic uncertainties.
- Measurements of R_K and R_{π} have long been considered as tests of lepton universality.
- Recently understood: helicity suppression of R_K might enhance sensitivity to non-SM effects to an experimentally accessible level.

Radiative correction (few %) due to $K^+ \rightarrow e^+ v\gamma$ (IB) process, by definition included into R_K



$$R_K^{SM} = (2.477 \pm 0.001) \times 10^{-5}$$

 $R_{\pi}^{SM} = (12.352 \pm 0.001) \times 10^{-5}$

Phys. Lett. 99 (2007) 231801



$R_K = K_{e2}/K_{u2}$ beyond the SM

2HDM – tree level (including SUSY)

K₁₂ can proceed via exchange of charged Higgs H[±] instead of W[±]

 \rightarrow Does not affect the ratio R_K

<u> 2HDM – one-loop level</u>

Dominant contribution to ΔR_{κ} : H[±] mediated <u>LFV</u> (rather than LFC) with emission of v_{τ}

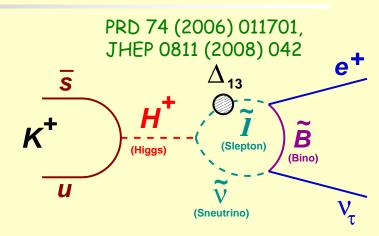
 \rightarrow R_K enhancement can be experimentally accessible

$$\mathbf{R}_{\mathbf{K}}^{\mathsf{LFV}} \approx \mathbf{R}_{\mathbf{K}}^{\mathsf{SM}} \left[\mathbf{1} + \left(\frac{\mathbf{m}_{\mathbf{K}}^{\mathbf{4}}}{\mathbf{M}_{\mathbf{H}^{\pm}}^{\mathbf{4}}} \right) \left(\frac{\mathbf{m}_{\tau}^{\mathbf{2}}}{\mathbf{M}_{\mathbf{e}}^{\mathbf{2}}} \right) |\mathbf{\Delta_{13}}|^{\mathbf{2}} \mathrm{tan}^{\mathbf{6}} \, \boldsymbol{\beta} \right]$$

Up to $\sim 1\%$ effect in large (but not extreme) tanβ regime with a massive H[±]

Example:

 $(\Delta_{13}=5\times10^{-4}, \tan\beta=40, M_{H}=500 \text{ GeV/c}^2)$ lead to $R_{\kappa}^{MSSM} = R_{\kappa}^{SM}(1+0.013)$.



Analogous SUSY effect in pion decay is suppressed by a factor $(M_{\pi}/M_{K})^{4} \approx 6 \times 10^{-3}$

(see also PRD76 (007) 095017)

Large effects in B decays due to $(M_B/M_K)^4 \sim 10^4$:

 $B_{\mu\nu}/B_{\tau\nu} \rightarrow \sim 50\%$ enhancement;

 $B_{ev}/B_{Tv} \rightarrow$ enhanced by ~one order of magnitude.

Out of reach: $Br^{SM}(B_{ev}) \approx 10^{-11}$

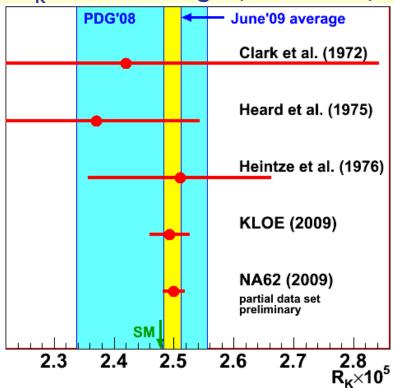


R_K & R_m: experimental status

Kaon experiments:

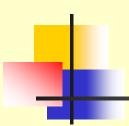
- → PDG'08 average (1970s measurements): $R_{K} = (2.45 \pm 0.11) \times 10^{-5} (\delta R_{K}/R_{K} = 4.5\%).$
- → 2009: KLOE (LNF), 2001–2005 data. 13.8K K_{e2} candidates, 16% background. $R_{K} = (2.493 \pm 0.031) \times 10^{-5} (\delta R_{K}/R_{K} = 1.3\%).$ (EPJ C64 (2009) 627)
- → 2009: NA62 (CERN), part of 2007 data. preliminary result presented at Kaon'09: 51.1K K_{e2} candidates, $\delta R_{K}/R_{K}=0.7\%$. (arXiv:0908.3858, 1005.1192)
- → Now: NA62 final result, same data set: 60.0K K_{e2} candidates, $\delta R_{K}/R_{K}=0.5\%$. (new!)

R_{κ} world average (June 2009)



<u>Pion experiments:</u>

- → PDG'08 average (1980s, 90s measurements): $R_{\pi} = (12.30 \pm 0.04) \times 10^{-5} \ (\delta R_{\pi}/R_{\pi} = 0.3\%)$
- \rightarrow Current projects: PEN@PSI (stopped π) running (CIPANP 2009; arXiv:0909.4358) PIENU@TRIUMF (in-flight) proposed (T. Numao, PANIC'08 proceedings, p.874)

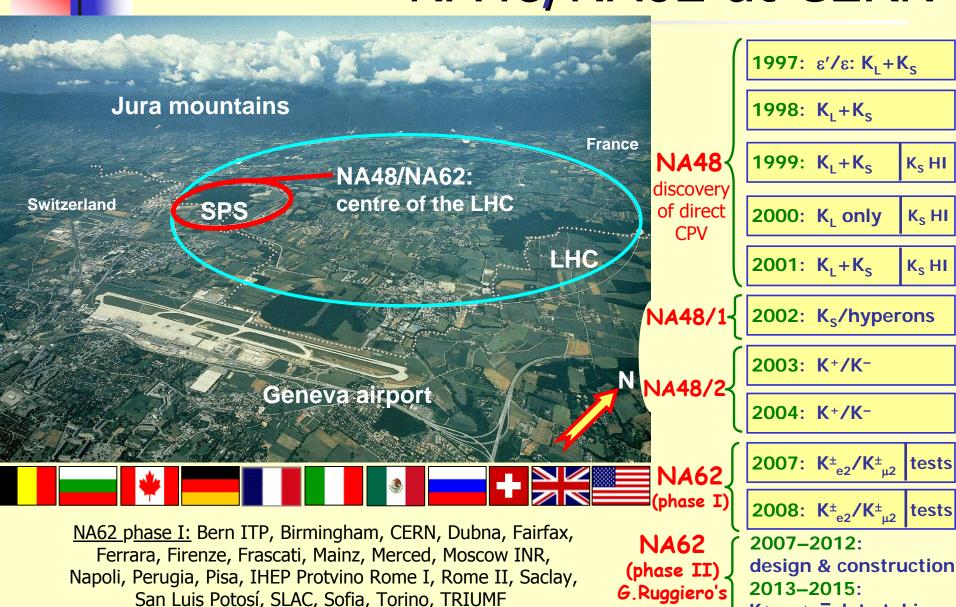


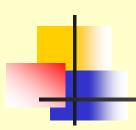
The new R_K measurement by CERN NA62



NA48/NA62 at CERN

 $K^+ \rightarrow \pi^+ \nu \overline{\nu}$ data taking





Data taking 2007

View of the NA48/NA62 beamline (2003-2008)

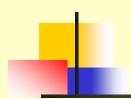


Data taking conditions optimized for a precision $K_{e2}/K_{\mu 2}$ measurement: a low intensity run with a minimum bias trigger

Primary SPS protons (400 GeV/c): 1.8×10¹²/SPS spill

Unseparated secondary positive beam: $p=(74.0\pm1.6)$ GeV/c. Entrance to the 114m long vacuum decay volume: 2.5×10^7 particles/SPS spill

Composition: $K^+(\pi^+) = 5\%(63\%)$. K^+ decaying in vacuum tank: 18%.



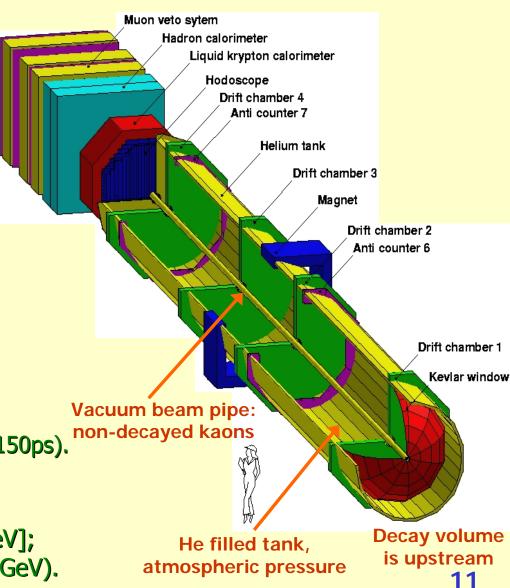
Detector

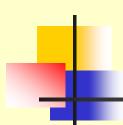
Data taking:

- Four months in 2007 (23/06–22/10):
 ~400K SPS spills, 300TB of raw data
 (90TB recorded); reprocessing &
 data preparation finished.
- Two weeks in 2008 (11/09–24/09): special data sets allowing reduction of the systematic uncertainties.

Principal subdetectors for R_K:

- Magnetic spectrometer (4 DCHs):
 4 views/DCH: redundancy ⇒ efficiency;
 Δp/p = 0.47% + 0.020%*p [GeV/c]
- Hodoscope fast trigger, precise time measurement (150ps).
- Liquid Krypton EM calorimeter (LKr) High granularity, quasi-homogeneous; $\sigma_{\rm E}/{\rm E}=3.2\%/{\rm E}^{1/2}+9\%/{\rm E}+0.42\%$ [GeV]; $\sigma_{\rm x}=\sigma_{\rm v}=0.42/{\rm E}^{1/2}+0.6{\rm mm}$ (1.5mm@10GeV).





Measurement strategy

- (1) K_{e2}/K_{u2} candidates are collected <u>concurrently</u>:
- analysis does not rely on kaon flux measurement;
- several systematic effects cancel at first order (e.g. reconstruction/trigger efficiencies, time-dependent effects).
- (2) counting experiment, independently in 10 lepton momentum bins (owing to strong momentum dependence of backgrounds and event topology)

$$R_{K} = \frac{1}{D} \cdot \frac{N(K_{e2}) - N_{B}(K_{e2})}{N(K_{\mu 2}) - N_{B}(K_{\mu 2})} \cdot \frac{A(K_{\mu 2}) \times f_{\mu} \times \epsilon(K_{\mu 2})}{A(K_{e2}) \times f_{e} \times \epsilon(K_{e2})} \cdot \frac{1}{f_{LKr}}$$

 $N(K_{e2})$, $N(K_{u2})$: numbers of selected K₁₂ candidates;

numbers of background events; $\searrow \bigvee_{\text{of systematic errors}} N_B(K_{e2})$: main source of systematic errors $N_B(K_{e2})$, $N_B(K_{u2})$:

 $A(K_{e2}), A(K_{112})$: MC geometric acceptances (no ID);

directly measured particle ID efficiencies; $f_{e'}$ f_{u} :

 $\varepsilon(K_{e2})/\varepsilon(K_{u2})>99.9\%$: E_{LKr} trigger condition efficiency;

 $f_{1 Kr} = 0.9980(3)$: global LKr readout efficiency;

downscaling factor of the $K_{\mu 2}$ trigger. D=150:

- (3) MC simulations used to a limited extent:
- Geometrical part of the acceptance correction comes from simulation;
- PID, trigger, readout efficiencies are measured directly.



K_{e2} vs K_{μ2} selection

Large common part (topological similarity)

- one reconstructed track (lepton candidate);
- geometrical acceptance cuts;
- K decay vertex: closest approach of lepton track & nominal kaon axis;
- veto extra LKr energy deposition clusters;
- track momentum: 13GeV/c<p<65GeV/c.

Kinematic identification

missing mass

$$M_{miss}^2 = (P_K - P_l)^2$$

 P_K : average measured with $K_{3\pi}$ decays

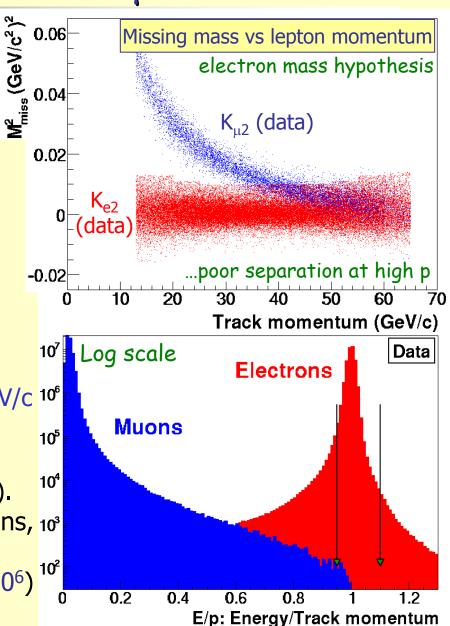
 \rightarrow Sufficient K_{e2}/K_{µ2} separation at p_{track}<25GeV/c ¹⁰⁶

Lepton identification

E/p = (LKr energy deposit/track momentum). $(0.90 \text{ to } 0.95) < \text{E/p} < 1.10 \text{ for electrons, } 10^3$

E/p<0.85 for muons.

 \rightarrow Powerful μ^{\pm} suppression in e^{\pm} sample $(\sim 10^6)^{10^2}$





K_{μ2} background in K_{e2} sample

Main background source

Muon "catastrophic" energy loss in LKr by emission of energetic bremsstrahlung photons. $P_{\mu e} \sim 3 \times 10^{-6}$ (and momentum-dependent).

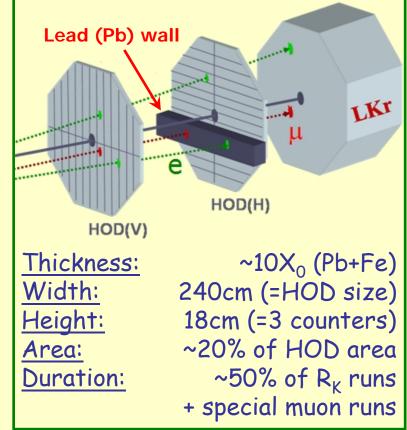
 $P_{ue} / R_K \sim 10\%$:

 $K_{\mu 2}$ decays represent a major background

Direct measurement of P_{ue}

Pb wall (9.2 X_0) in front of LKr: suppression of $\sim 10^{-4}$ positron contamination due to $\mu \rightarrow e$ decay.

 $K_{\mu 2}$ candidates, track traversing Pb, p>30GeV/c, E/p>0.95: positron contamination <10⁻⁸.



 $P_{\mu e}$ is modified by the Pb wall:

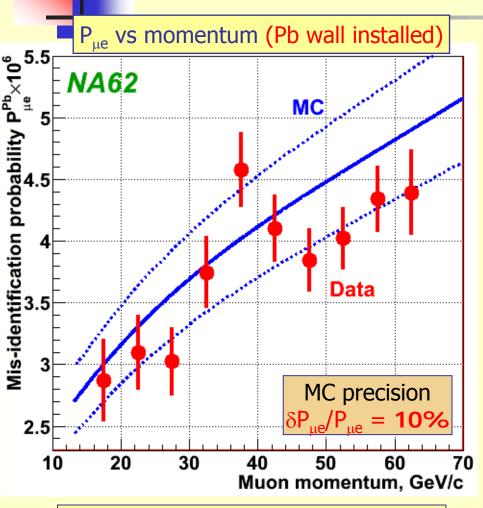
- → ionization losses in Pb (low p);
- → bremsstrahlung in Pb (high p).

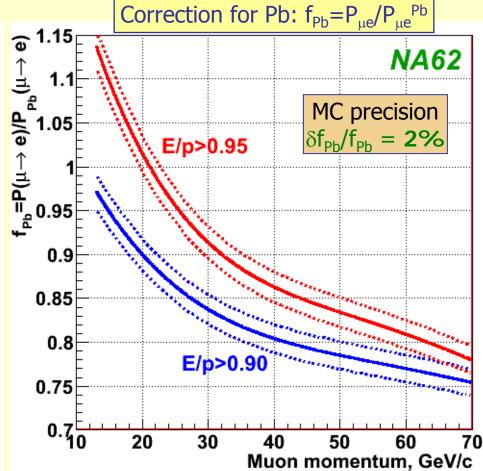


The correction $f_{Pb} = P_{\mu e}/P_{\mu e}^{Pb}$ is evaluated with a dedicated Geant4-based simulation

[Muon bremsttranlung: Phys. Atom. Nucl. 60 (1997) 576]

Muon mis-identification





Result: $B/(S+B) = (6.10\pm0.22)\%$

Uncertainty is ~3 times smaller than the one obtained solely from simulation

Uncertainties
Limited data sample (0.16%);
MC correction (0.12%);
M²_{miss} vs P_{track} correlation (0.08%).



$K_{\mu 2}$ with $\mu \rightarrow e$ decay in flight

Muons from $K_{\mu 2}$ decay are fully polarized: Michel electron distribution

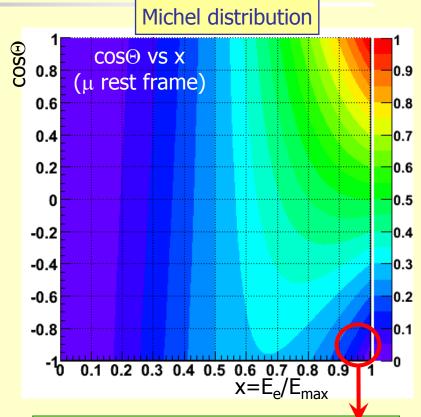
$$d^2\Gamma/dxd(\cos\Theta) \sim x^2[(3-2x) - \cos\Theta(1-2x)]$$

$$x = E_e/E_{max} \approx 2E_e/M_{u}$$

 Θ is the angle between p_e and the muon spin (all quantities are defined in muon rest frame).

Result: $B/(S+B) = (0.27\pm0.04)\%$

Important but not dominant background



Only energetic forward positrons are selected as K_{e2} candidates

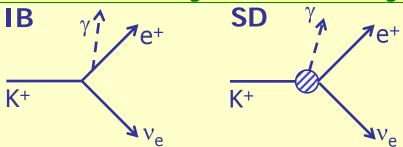
They are naturally suppressed by the muon polarisation

(radiative corrections provide another ~10% suppression)



Radiative $K^+ \rightarrow e^+ v\gamma$ process

 R_K is inclusive of IB radiation by definition. SD radiation is a background. INT is negligible.



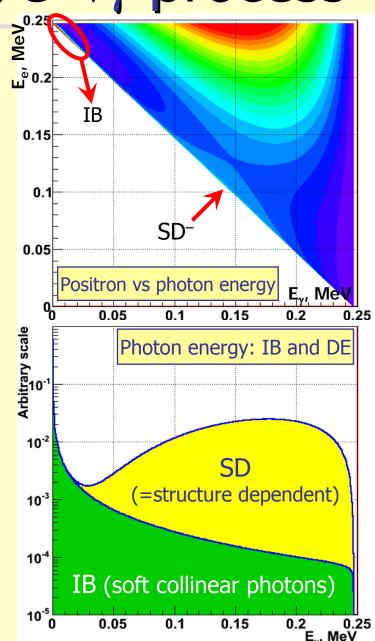
SD radiation is not helicity suppressed. KLOE measurement of the form factor leads to BR(SD+, full phase space) = $(1.37\pm0.06)\times10^{-5}$. (EPJC64 (2009) 627)

SD background contamination

$$B/(S+B) = (1.15\pm0.17)\%$$

Conservative uncertainty $(3 \times \delta BR_{KLOE})$ to accommodate the observed R_K variation w.r.t the LKr veto selection condition.

A new $K_{e2\gamma}$ (SD+) measurement is being performed by NA62.





Beam halo background

Electrons produced by beam halo muons via $\mu \rightarrow e$ decay can be kinematically and geometrically compatible to genuine K_{e2} decays

Background measurement:

- Halo background much higher for K_{e2}^- (~20%) than for K_{e2}^+ (~1%).
- Halo background in the K_{u2} sample is considerably lower.
- ~90% of the data sample is K⁺ only, ~10% is K⁻ only.
- K⁺ halo component is measured directly with the K⁻ sample and vice versa.

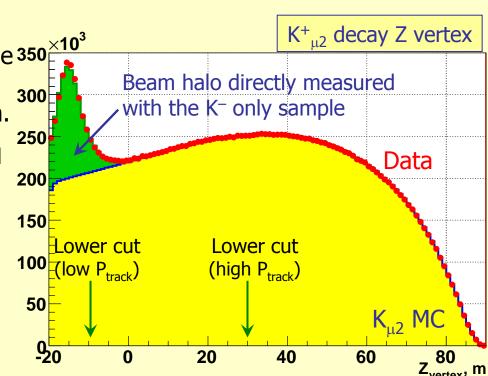
The background is measured to sub-permille 350 precision, and strongly depends on decay vertex position and track momentum.

The selection criteria (esp. Z_{vertex}) are optimized to minimize the halo background.

$$B/(S+B) = (1.14\pm0.06)\%$$

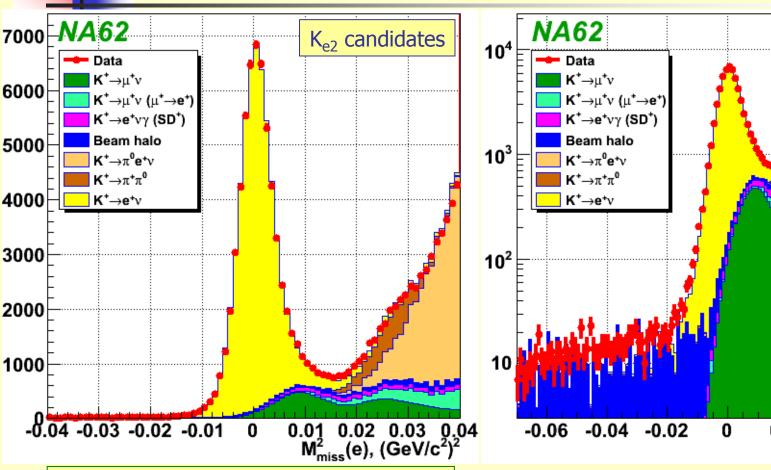
Uncertainty:

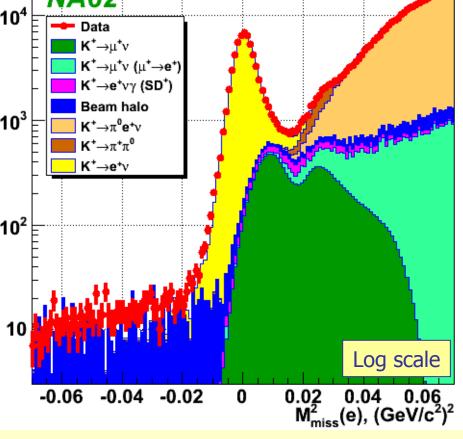
- 1) limited size of control sample;
- 2) π , K decays upstream vacuum tank.





K_{e2}: partial (40%) data set





59,963 K⁺ \rightarrow e⁺v candidates. Positron ID efficiency: (99.27±0.05)%. $B/(S+B) = (8.8\pm0.3)\%$.

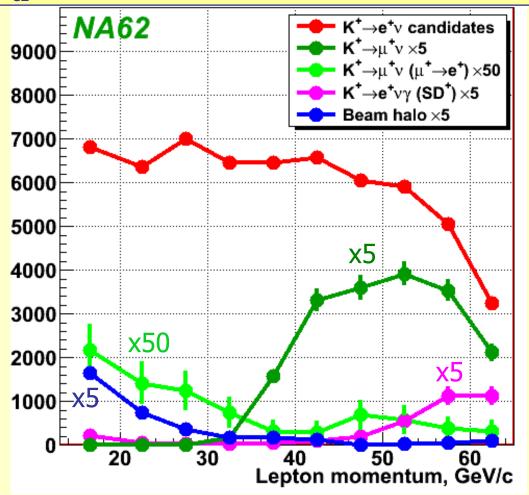
cf. KLOE: 13.8K candidates (K⁺ and K⁻), ~90% electron ID efficiency, 16% background

NA62 estimated total K_{e2} sample: ~130K K $^+$ & ~20K K $^-$ candidates. Proposal (CERN-SPSC-2006-033): 150K candidates



Backgrounds: summary

K_{e2} candidates and backgrounds in momentum bins



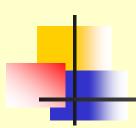
(selection criteria optimized individually in each P_{track} bin)

Backgrounds

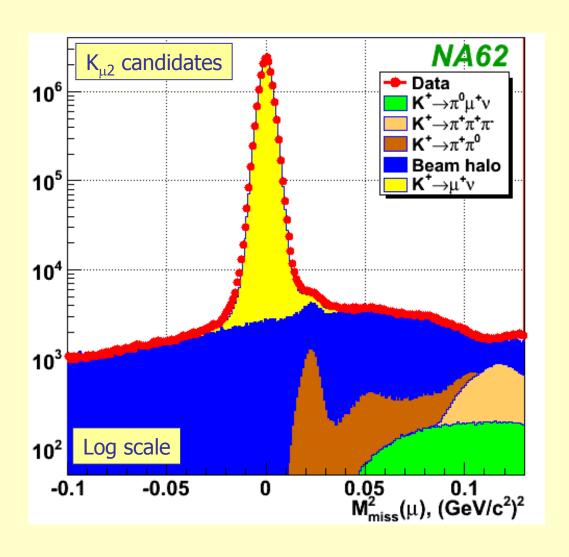
Source	B/(S+B)
K_{u2}	(6.10±0.22)%
$K_{\mu 2}$ ($\mu \rightarrow e$)	(0.27±0.04)%
K _{e2γ} (SD+)	(1.15±0.17)%
Beam halo	(1.14±0.06)%
K _{e3(D)}	(0.06±0.01)%
$K_{2\pi(D)}$	(0.06±0.01)%
Total	(8.78±0.29)%

Record K_{e2} sample: 59,963 candidates with low background $B/(S+B) = (8.8\pm0.3)\%$

Lepton momentum bins are differently affected by backgrounds and thus the systematic uncertainties. 20



$K_{\mu 2}$: partial (40%) data set



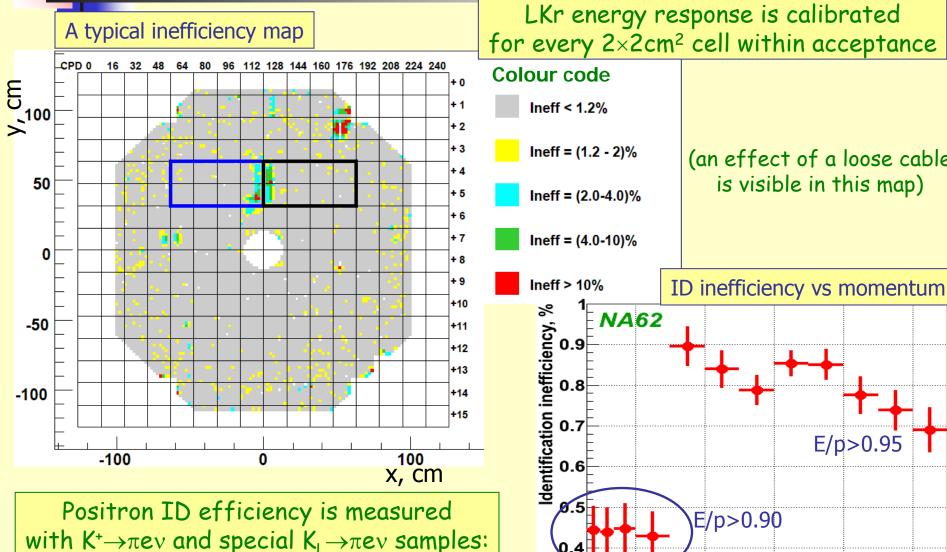
Backgrounds

Source	B/(S+B)	
Beam halo	(0.38±0.01)%	
Total	(0.38±0.01)%	

18.030 M candidates with low background B/(S+B) = 0.38%

(The $K_{\mu 2}$ trigger was pre-scaled by D=150)

Systematic effect: positron ID



(an effect of a loose cable

E/p > 0.9520 30 50 60 40 Positron momentum, GeV/c

integral $\epsilon = (99.27 \pm 0.05)\%$

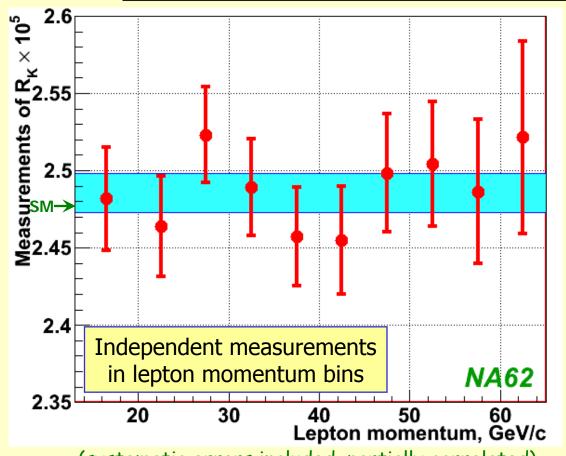


NA62 final result (40% data set)

$$R_K = (2.486 \pm 0.011_{stat} \pm 0.007_{syst}) \times 10^{-5}$$

= $(2.486 \pm 0.013) \times 10^{-5}$

(new: June 2010)



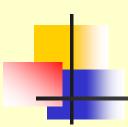
(systematic errors included, partially correlated)

Uncertainties

<u>Officer tallities</u>				
Source	$\delta R_K \times 10^5$			
Statistical	0.011			
$K_{\mu 2}$	0.005			
$BR(K_{e2\gamma} SD^+)$	0.004			
Beam halo	0.001			
Acceptance corr.	0.002			
DCH alignment	0.001			
Positron ID	0.001			
1-track trigger	0.002			
Total	0.013			
/O FO9/ :	. \			

(0.52% precision)

Preliminary result: $R_K = 2.500(16) \times 10^{-5}$. Shift due to multi-photon corrections to the $K_{e2\gamma}$ (IB) decay.



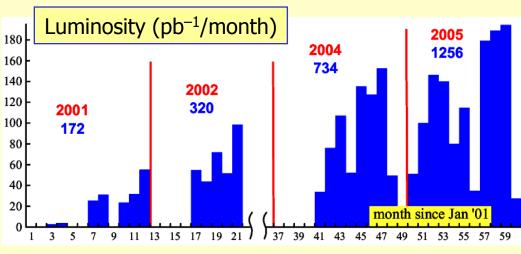
The KLOE R_K measurement and the world average



KLOE: ~100 MeV kaons

DAΦNE: e+e- collider at LNF Frascati

- CM energy $\sim m_{\phi} = 1.02 \text{ GeV}$;
- BR($\phi \rightarrow K^+K^-$) = 49.2%,
- ϕ production cross-section $\sigma_{\phi} = 1.3 \mu b$;
- Data sample (2001–05): 2.5 fb⁻¹.



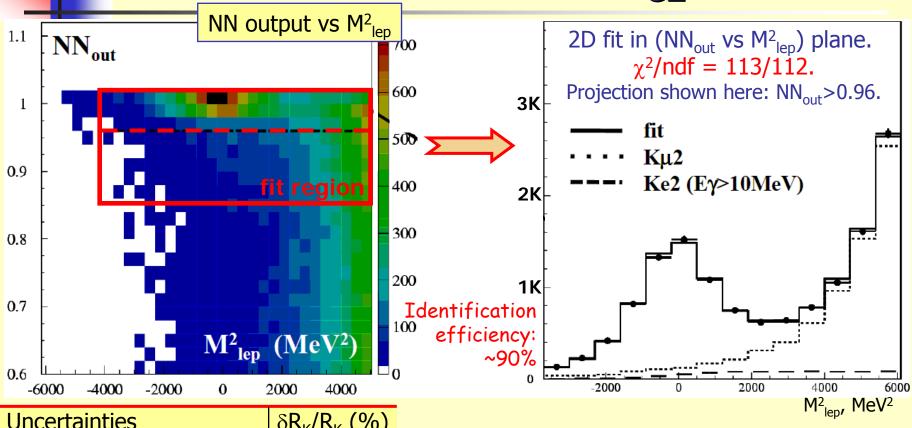
$K_{e2}/K_{\mu 2}$ selection technique (vs NA62):

- Kinematics: by M²_{lep} (equivalent to M_{miss}²);
 PID: neural network with 12 input
- PID: neural network with 12 input parameters (vs E/p for NA62).





KLOE K_{e2} analysis



Uncertainties	$\delta R_{K}/R_{K}$ (%)
Statistical	1.0
K ₁₁₂ subtraction	0.3
K_{e2y} (SD+)	0.2
Reconstruction efficiency	0.6
Trigger efficiency	0.4
Total	1.3

Full data sample analyzed [EPJ C64 (2009) 627]

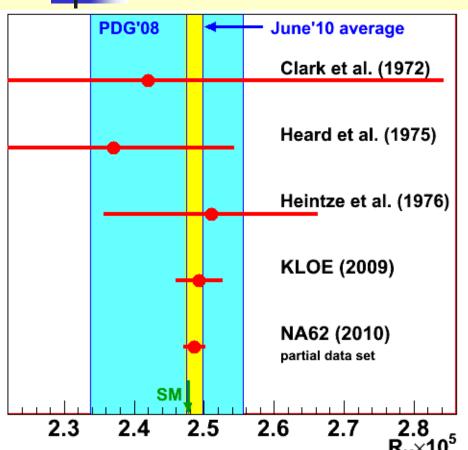
13.8K K_{e2} candidates, 16% background

<u>KLOE-2</u>: starting in 2010, expect $\delta R_K/R_K=0.4\%$.

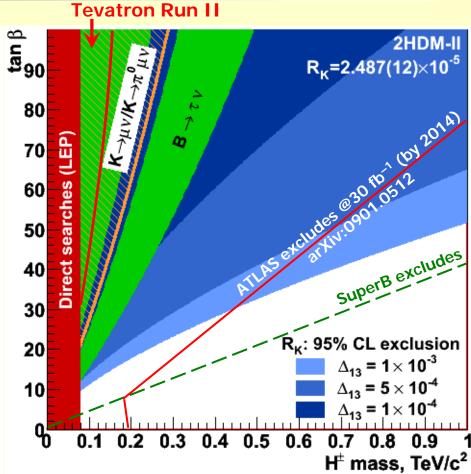
[arXiv:1003.3862]

26

R_K: world average



		partial data set			
		partial data set			1
,	.5	2.6	2.7	20	
•	.5	2.0	2.1	2.8 R _K ×10⁵	
	δΙ	$R_{\kappa} \times 10^{5}$	5	Precision	
		57±0.0		0.97%	_
	2.48	37±0.0	12	0.48%	



For non-tiny values of the LFV slepton mixing Δ_{13} , sensitivity to H[±] in $R_K = K_{e2}/K_{u2}$ is better than in $B \rightarrow \tau \nu$

World average

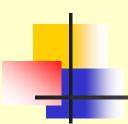
March 2009

June 2010

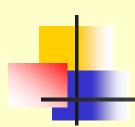


Conclusions & prospects

- Leptonic meson decays and their ratios are well-suited for stringent tests of the Standard Model. In particular, $R_K = K_{e2}/K_{\mu 2}$ is sensitive to lepton flavour violation in multi-Higgs models.
- NA62 data taking in 2007/08 was optimised for R_K measurement. NA62 K_{e2} sample is ~10 times the world sample, with excellent $K_{e2}/K_{\mu2}$ separation (99.3% electron ID efficiency, 6% $K_{\mu2}$ background).
- Final result based on ~40% of the NA62 K_{e2} sample $R_K = (2.486 \pm 0.013) \times 10^{-5}$ reached a record 0.5% accuracy. A timely result, as searches for New Physics at the LHC are starting.
- Future experimental improvements on R_K:
 - 1) the full NA62 data sample of 2007/08: $\delta R_K/R_K < 0.4\%$;
 - 2) NA62 phase II (2012–2015) and KLOE-2 (2010–) aim at ~0.2% and ~0.4% precision.

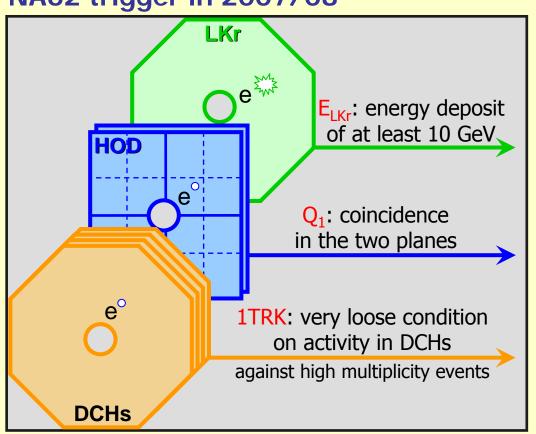


Spare slides



Trigger logic

NA62 trigger in 2007/08

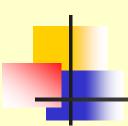


Minimum bias (high efficiency, but low purity) trigger configuration used

 K_{e2} condition: $Q_1 \times E_{LKr} \times 1TRK$. Purity $\sim 10^{-5}$.

 $K_{\mu 2}$ condition: $Q_1 \times 1TRK/D$, downscaling (D) 50 to 150. Purity ~2%.

- Efficiency of K_{e2} trigger: monitored with K_{u2} & other control triggers.
- Different trigger conditions for signal and normalization!



K₁₃: lepton universality test

Comparison of $|V_{us}|$ determined from K_{e3} vs K_{u3} decays

$$r_{\mu e} = \frac{[|V_{us}|f_{+}(0)]_{\mu 3, \text{ exp}}^{2}}{[|V_{us}|f_{+}(0)]_{e 3, \text{ exp}}^{2}} = \frac{\Gamma_{K\mu 3}}{\Gamma_{Ke 3}} \frac{I_{e 3} (1 + 2\delta_{\text{EM}}^{Ke})}{I_{\mu 3} (1 + 2\delta_{\text{EM}}^{K\mu})} = (g_{\mu}/g_{e})^{2} = 1$$

Experimental results

$$K^{\pm}:$$
 $r_{\mu e} = 0.998(9)$
 $r_{\mu e} = 1.003(5)$
 $r_{\mu e} = 1.002(4)$

Non-kaon measurements:

$$\pi \rightarrow l_V$$
: $r_{\mu e} = 1.0042(33)$ (PRD 76 (2007) 095017) $\tau \rightarrow l_{VV}$: $r_{\mu e} = 1.000(4)$ (Rev.Mod.Phys. 78 (2006) 1043)

The sensitivity in kaon sector approaches those obtained in the other fields.

SM

lepton coupling

at the $W \rightarrow V$ vertex