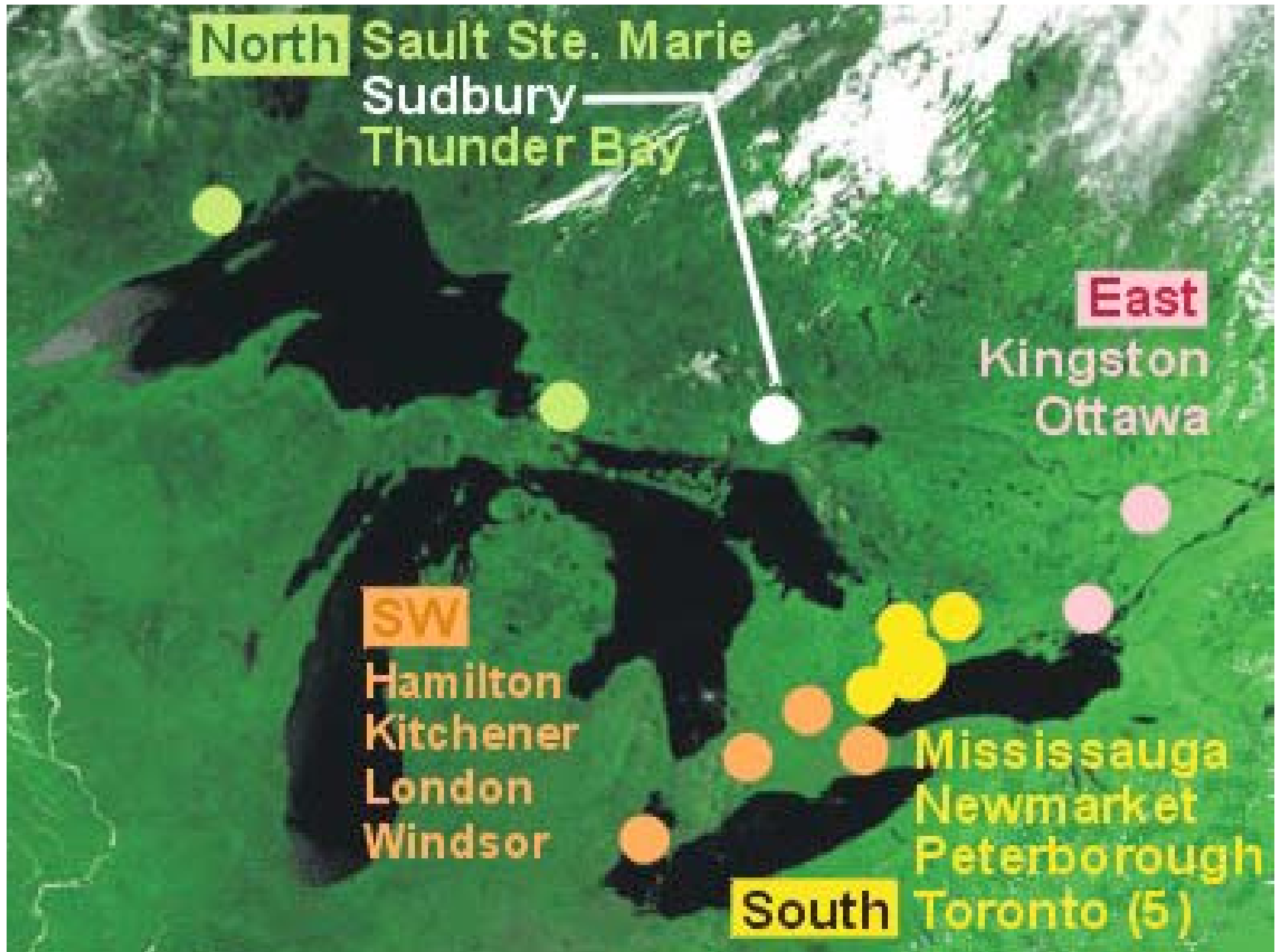




# Low Energy Threshold Analysis of SNO Data

S. Biller, Oxford University







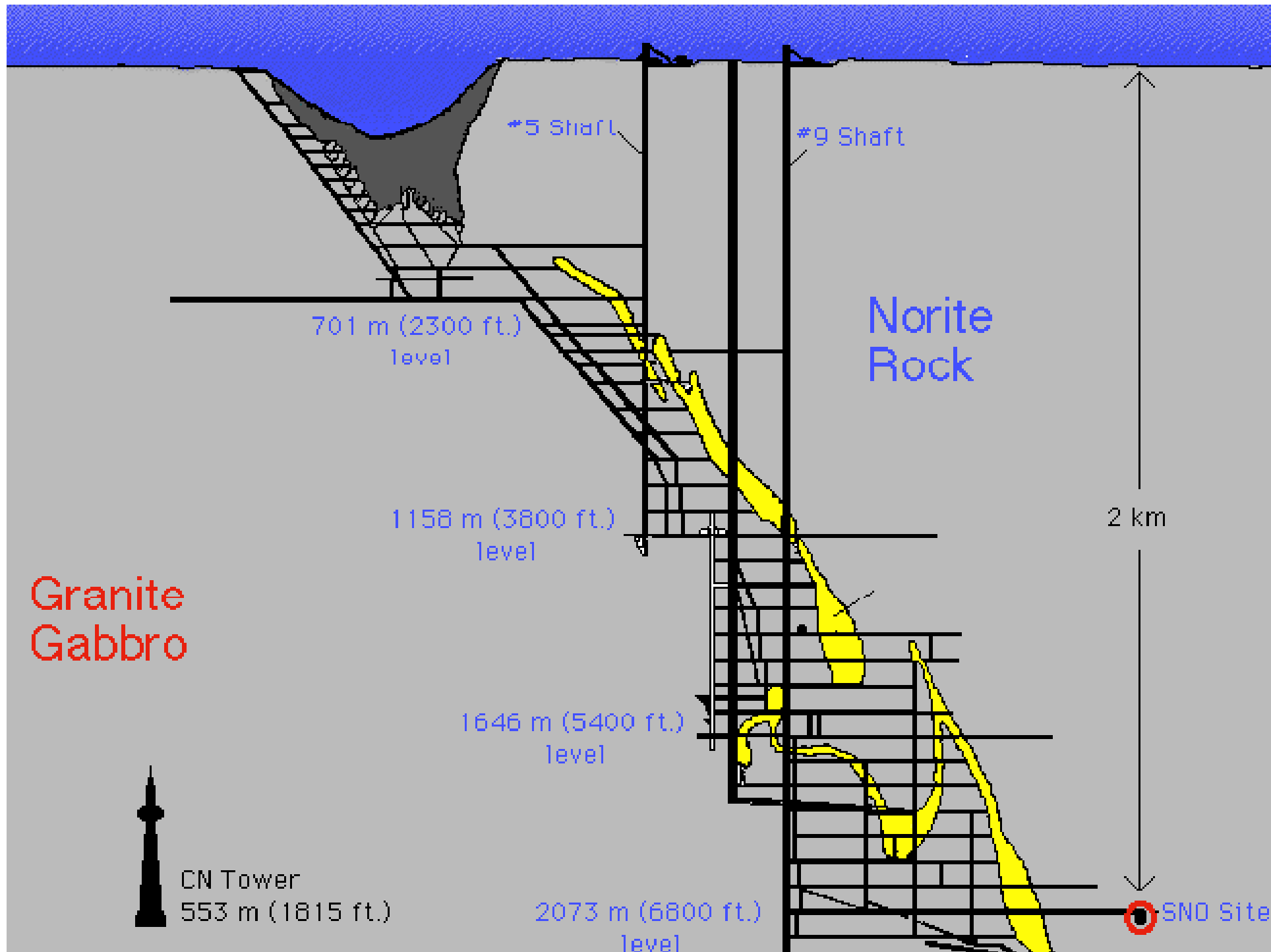








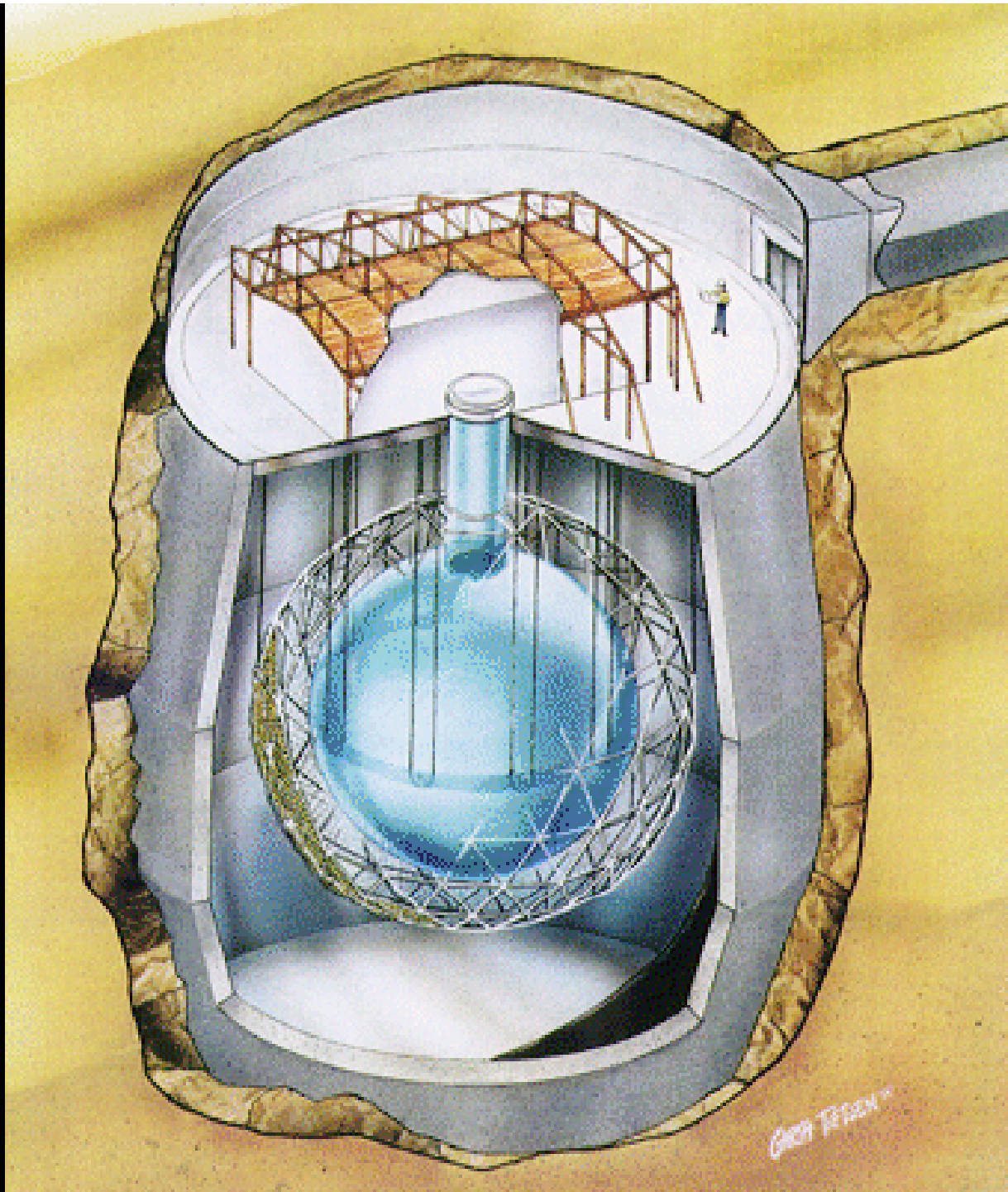


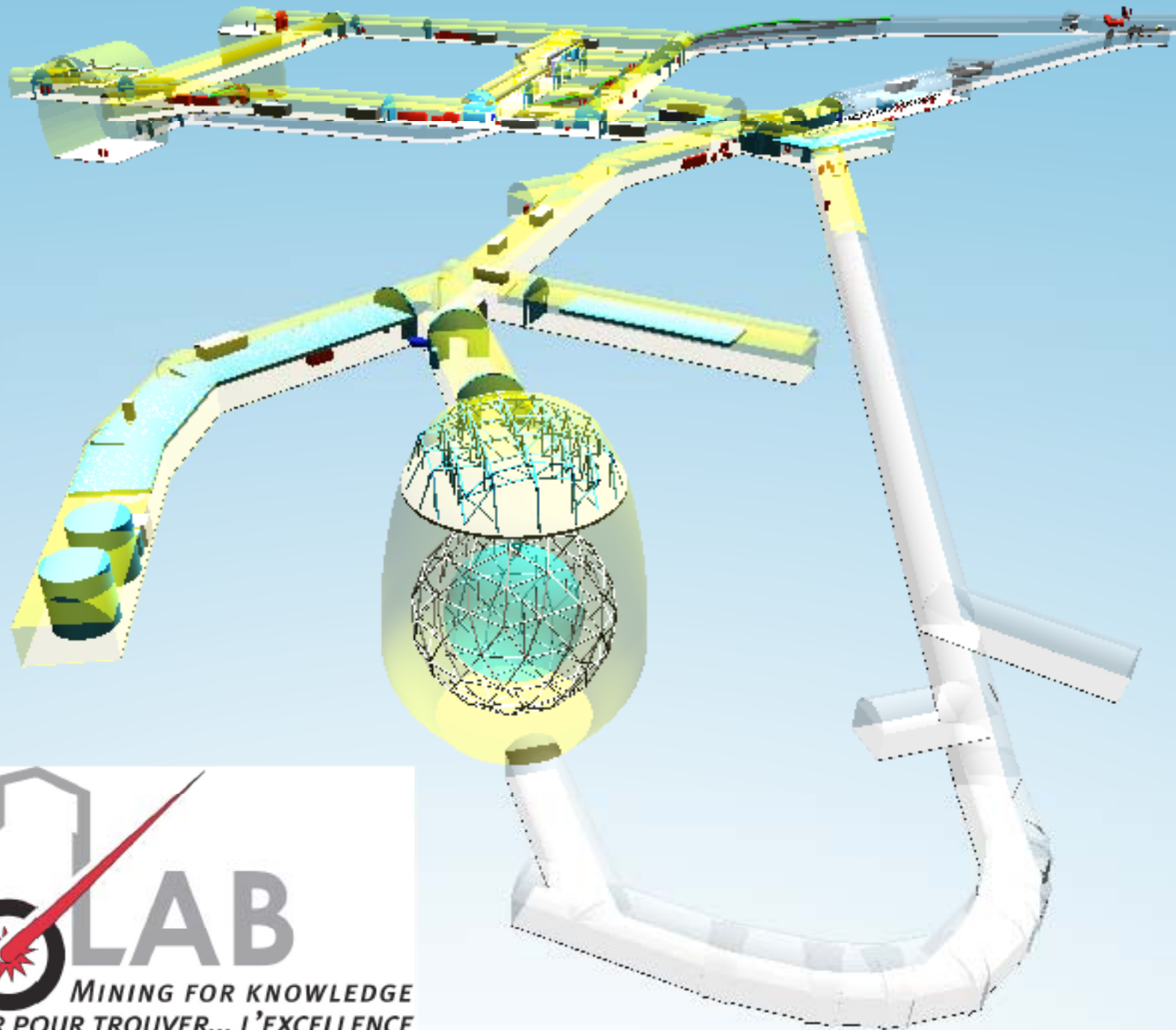






*Dr.  
SNO*

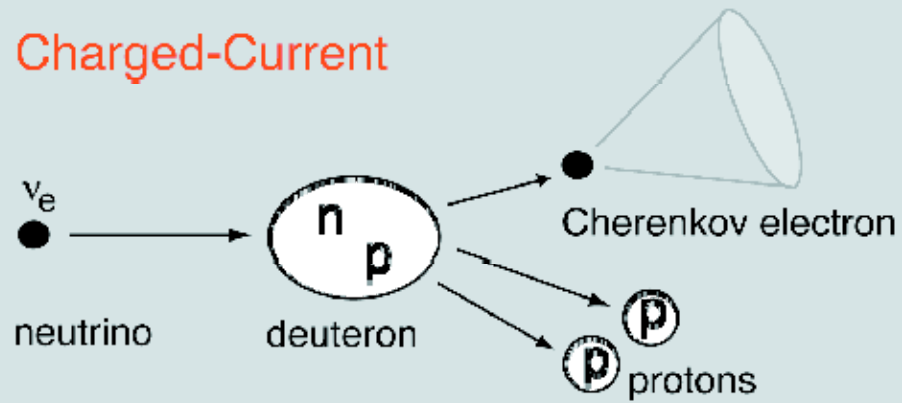




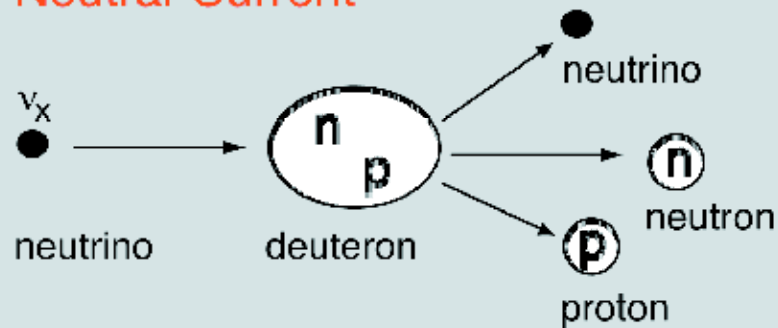
**SNOLAB**  
MINING FOR KNOWLEDGE  
CREUSER POUR TROUVER... L'EXCELLENCE

## Neutrino Reactions on Deuterium

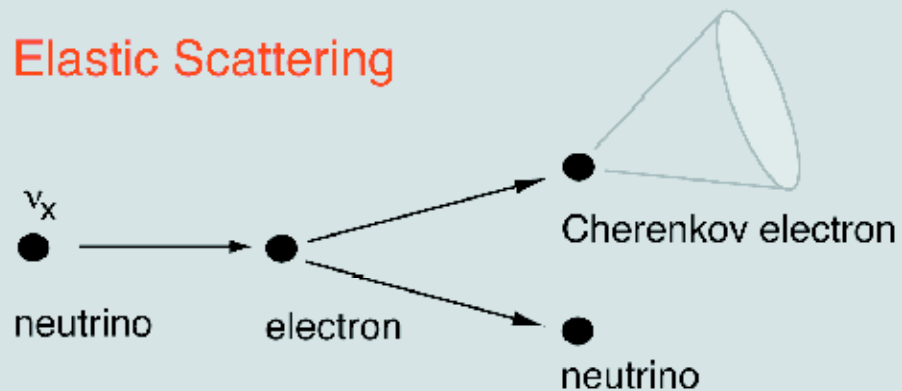
### Charged-Current



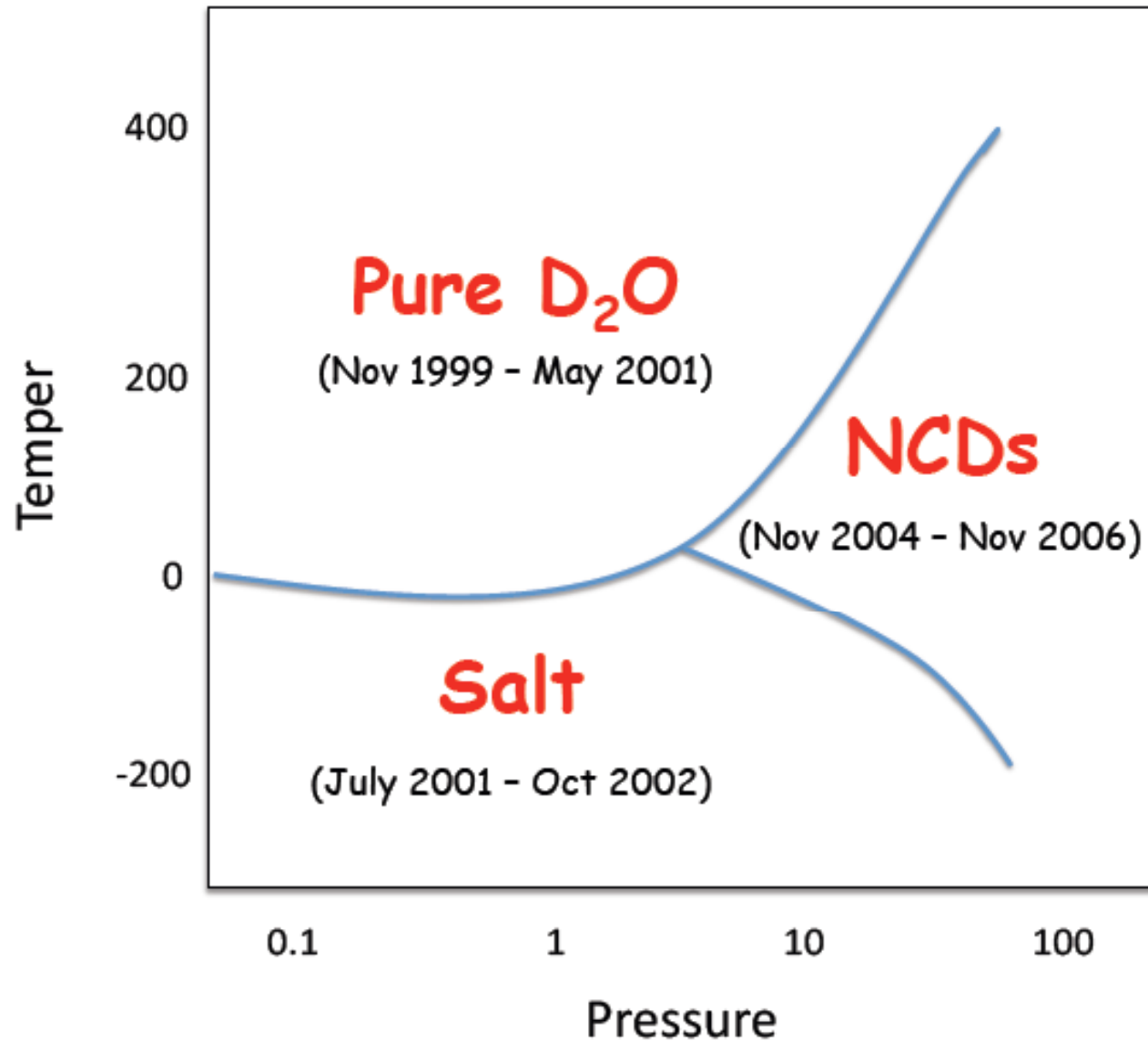
### Neutral-Current



### Elastic Scattering



# Phases of SNO:



You are in: Sci/Tech
Monday, 18 June, 2001, 16:24 GMT 17:24
UK
Ghostly particle mystery 'solved'

'High confidence'
The research was carried out at the Sudbury Neutrino Observatory (SNO), Ontario, in collaboration with Oxford University, UK.

"We now have high confidence that the discrepancy is not caused by problems with the models of the Sun but by changes in the neutrinos themselves as they travel from the core of the Sun to the Earth," says Dr Art McDonald, SNO project director and professor of physics at Queen's University in Kingston, Ontario, Canada.

"It's taken longer than we thought, but it's all been well worthwhile," says Dr Steve Biller, of Oxford University. "We've pushed the limits of engineering, chemistry... and patience, in order to push the limits of physics."

Fundamental particles

Neutrinos are fundamental particles often called 'ghostly' because they interact weakly with other forms of matter.

They come in three types: the electron neutrino, the muon neutrino and the tau neutrino.



Light detects its around the water tank detect the neutrinos



Installing the giant underground tank. But despite its large size the SNO only detects about 10 neutrinos a day.

'Mind-boggling'

"The engineering requirements alone are mind-boggling. We were breaking new ground in every sense and there were times that we weren't sure we were going to make it," says Professor Nick Jelley of Oxford University.

"It is incredibly exciting, after all the years spent by so many people building SNO, to see such intriguing results coming out of our first data analysis - with so

June 19, 2001

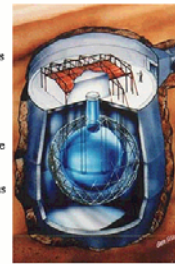
Sun's Missing Neutrinos: Hidden in Plain Sight

By KENNETH CHANG

After three decades of searching, physicists have tracked down subatomic particles that have eluded them for 30 years. The particles, it turns out, were right there all the while but had hidden themselves as if by magic.

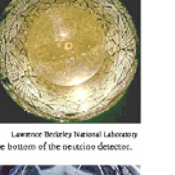
"We've solved a 30-year-old puzzle of the missing neutrinos of the Sun," said Dr. Arthur B. McDonald, director of the Sudbury Neutrino Observatory, near Sudbury, Ontario. In doing so, though, the researchers have answered questions about neutrino behavior and the fate of the universe.

Neutrinos are ghostly particles, one of the fundamental building blocks of the universe, like quarks, electrons and photons. Billions of them, produced by fusion reactions within the Sun, fly



A drawing of the neutrino detector, built 1-mile underground and immersed in water within a cavity 110 feet deep.

second. electric In noticed. In entered. In detectable.



The bottom of the neutrino detector.

her different result." The data can contrary to his hopes of finding a w kind of neutrino.

ll, he said, the Sudbury results look "quite solid."

Neutrinos come in three types (physicists call them flavors): electron neutrinos, muon neutrinos and tau neutrinos, named according to the subatomic particles they usually associate with. Muon and tau particles are heavier particles that otherwise act like electrons. The neutrinos produced by the Sun are all electron neutrinos.

Spurring the rare occasions when a neutrino collides with another particle requires large quantities of material for the neutrinos collide with.

The detector in the Sudbury Neutrino Observatory consists of a 40-foot-wide acrylic sphere containing 1,000 tons of heavy water, in which the two hydrogen atoms of the water molecules have been replaced with deuterium atoms, a heavier version of hydrogen. The sphere is submerged within a 10-story cavity that was carved out of a nickel mine 1.14 miles underground and filled with 40,000 tons of ordinary water.

Occasionally, an electron neutrino will slam into one of the deuterium atoms in the heavy water, splitting it into a proton and a neutron. Detectors around the sphere of heavy water are able to spot the debris. The other two types of neutrinos cannot break up deuterium. The scientists have seen 1,169 such collisions since the experiment began in 1999.

The researchers compared their results with earlier neutrino counts from the Super-Kamiokande neutrino experiment in Japan, which primarily detects collisions between electron neutrinos and electrons. But muon and tau neutrinos can also occasionally bounce off electrons.

If all the neutrinos reaching Earth from the Sun were of the electron variety, then the neutrino mass measured by Super-Kamiokande and Sudbury should match up. But Super-Kamiokande detected more. Since the Sun produces only electron neutrinos - the production of muon and tau neutrinos requires higher-energy events, like matter falling into black holes or an exploding star - that means some of them must change into muon or tau neutrinos.

"It's the first direct evidence for the changing of solar neutrinos from electron type to another type," Dr. Klein said. Most physicists had considered neutrino mixing to be the more likely explanation for the missing neutrinos.

Dr. Caldwell's theory was that the electron neutrinos were changing into "sterile" neutrinos that did not interact with ordinary matter. "It looks like

they've done a very thorough job," he said. "It then is a real question if there is any room for a sterile neutrino. I don't see much hope for it right now."

According to the equations of particle physics, for this transformation of flavors to occur, at least one of the neutrino types must possess a smidgeon of mass. Coupled with earlier experimental results, the researchers conclude that each of the three neutrino flavors weigh, at most, one 40,000th as much as an electron.



The underground neutrino detector viewed from above. By BBC News Online science editor Dr David Whitehouse

An international team of physicists claims to have solved a 30-year-old mystery: the puzzle of the missing solar neutrinos.

In the past, scientists detected only about a third of the

Fundamental particles

Neutrinos are fundamental particles often called 'ghostly' because they interact weakly with other forms of matter.

They come in three types: the electron neutrino, the muon neutrino and the tau neutrino.

Physicists solve weighty neutrino mystery

June 19, 2001 Posted: 11:33 AM EDT (1533 GMT)

(AP) -- Solving a 30-year-old scientific mystery, physicists have found the most convincing evidence yet that neutrinos -- elusive subatomic particles that were thought to have no mass whatsoever -- have a tiny whisp of heft after all.

LA NACION

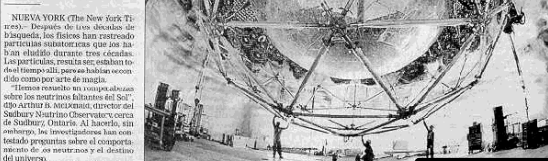
Buenos Aires, miércoles 20 de junio de 2001

En un observatorio canadiense

Detectan una partícula fantasmal

Permite explicar la transformación de los neutrinos, ladrillos elementales del universo

El hallazgo se produjo luego de tres décadas de investigaciones... Los expertos midieron el comportamiento de estas partículas, desde que cambian de tipo cuando se acercan a la Tierra.



La esfera del detector de neutrinos con el que se realizaron las mediciones.

Los neutrinos son partículas fantasmal, tan de los ladrillos fundamentales del universo, como los quarks, los electrones y los fotones. Billones de ellos, producidos por reacciones de fusión que ocurren en el interior del Sol, nos atraviesan cada segundo. Pero los científicos no son capaces de explicar el rito de transformación que sufren al acercarse a la Tierra.

El número de neutrinos del Sol, sin embargo, es de alrededor de diez millones por cada centímetro cuadrado que nos atraviesa cada segundo. Pero los científicos no son capaces de explicar el rito de transformación que sufren al acercarse a la Tierra.

El misterio se resolvió en 1998, el doctor John Bahcall, un estadounidense del Instituto para Estudios Avanzados de Princeton, Nueva Jersey, calculó que el ritmo de neutrinos del Sol, que pasan por cada centímetro cuadrado a diario, se debe a la acción de un fenómeno que se llama oscilación de neutrinos.

Los neutrinos que comenzaron en el interior del Sol, cuando se crearon por reacciones de fusión, eran electrones. Pero los científicos no son capaces de explicar el rito de transformación que sufren al acercarse a la Tierra.



La esfera del detector de neutrinos con el que se realizaron las mediciones.

Express & Star

Telephone 01902 31 31 31 Tuesday, June 19, 2001 www.westmidlands.com

Solved: riddle of missing neutrino

A 30-year-old mystery surrounding a tiny elementary particle has been solved using a £34 million monster machine buried two kilometres underground. British physicists were among those celebrating after unravelling the riddle of the missing neutrino. Neutrinos are ghostly elementary particles of matter with no electric charge and very little mass. Scientists have calculated that huge numbers of solar electron-neutrinos should be showering the Earth - but only a fraction of the expected amount are ever detected. So how are the missing neutrinos explained? It took a gigantic engineering project, massive investment, and an international team of 100 scientists to find the answer.

Yomiuri Online advertisement with logo and navigation links.

YomyClub advertisement with logo and promotional text.

IBM advertisement with text about neutrino research and IBM services.



# How Do You Do Better?

 **Do It Again!**

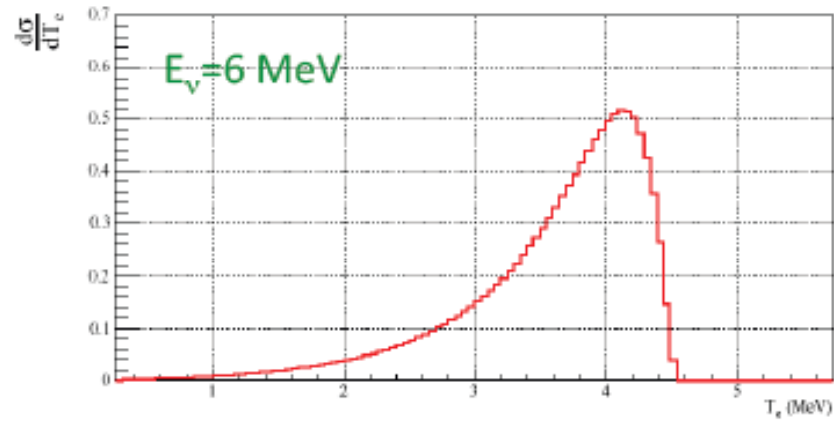
D<sub>2</sub>O and Salt phases had the lowest analysis energy thresholds, best spectral information and simplest detector configurations (good place to start):

- Do a more careful combined signal extraction from these phases
- Lower analysis energy threshold as much as possible
- Take more time to understand and reduce systematic uncertainties
- Put more effort into modeling low energy backgrounds
- Take advantage of recent improvements in algorithms and simulations
- Pay closer attention to propagation of correlated uncertainties

# Advantages of Low Threshold Analysis

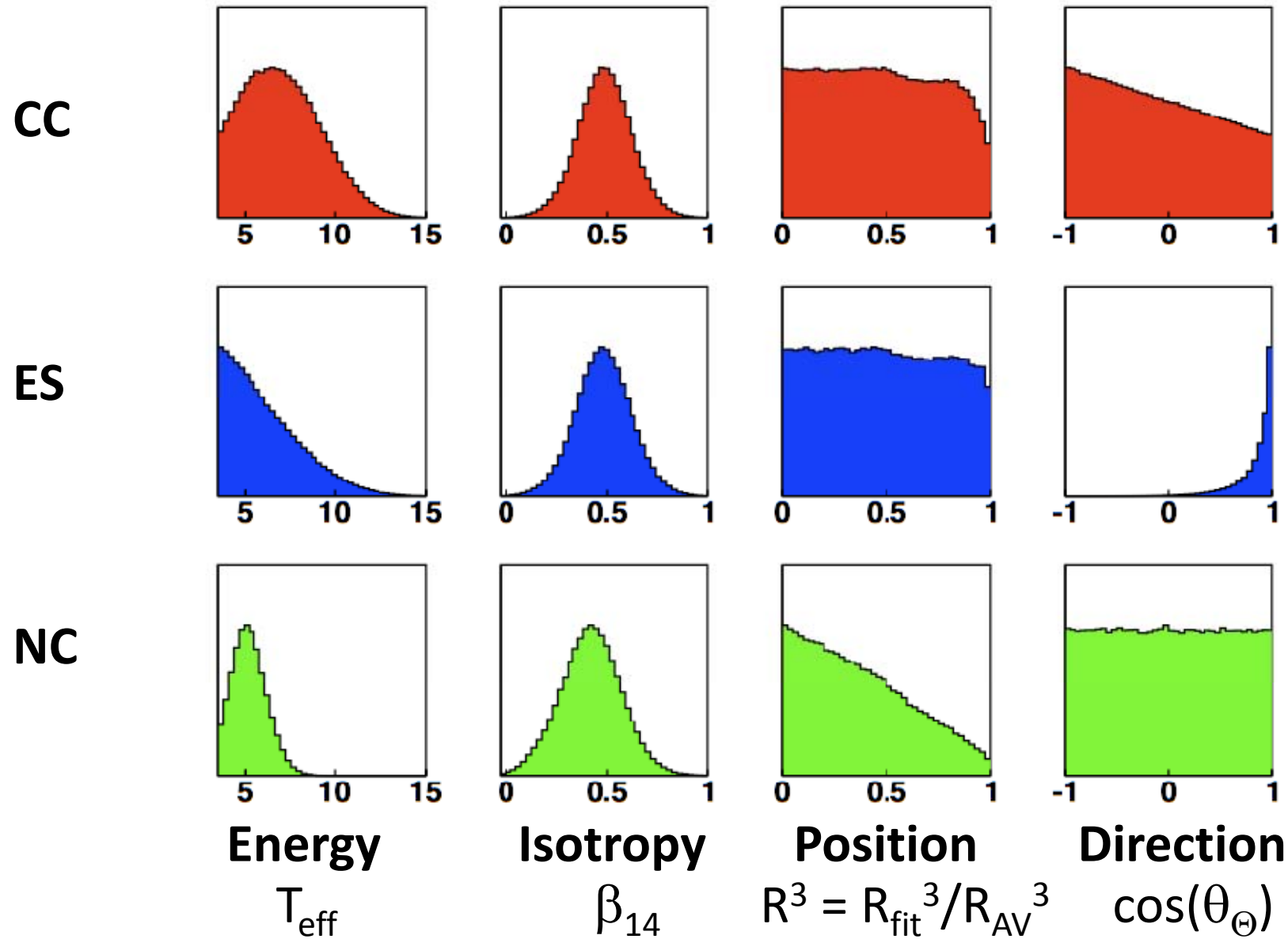
## ➤ $\nu_e$ Statistics

Charged Current Electrons

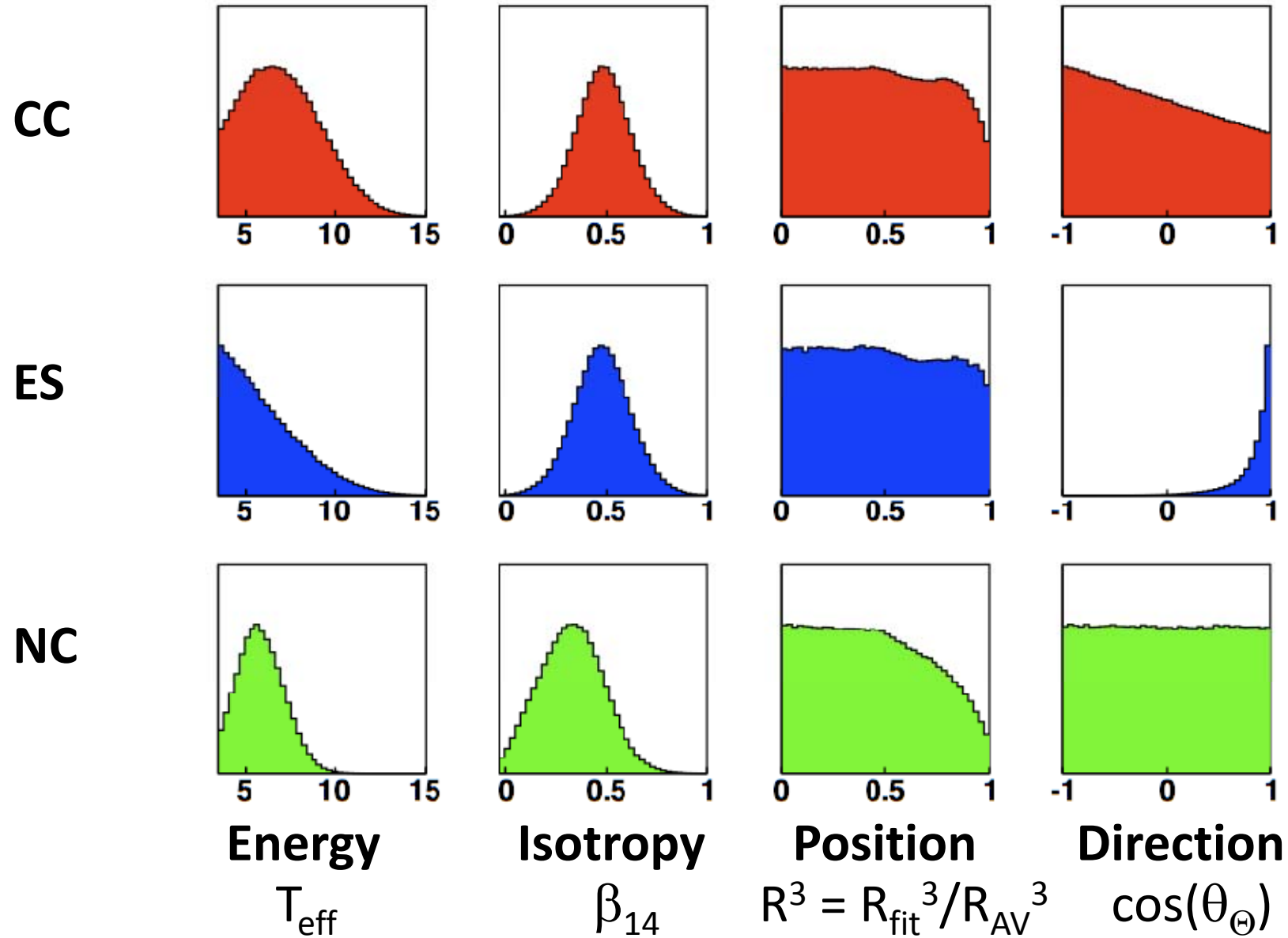


Getting There:

# Event Separation: D<sub>2</sub>O



# Event Separation: Salt

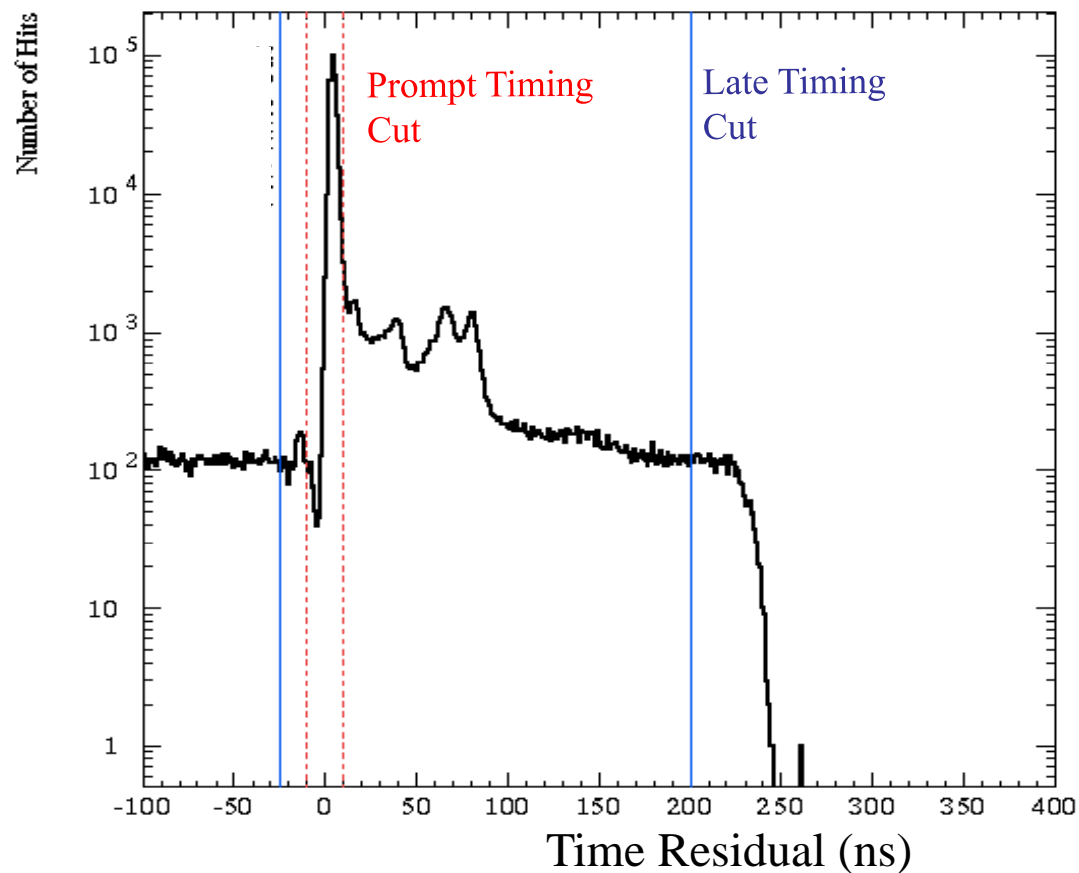
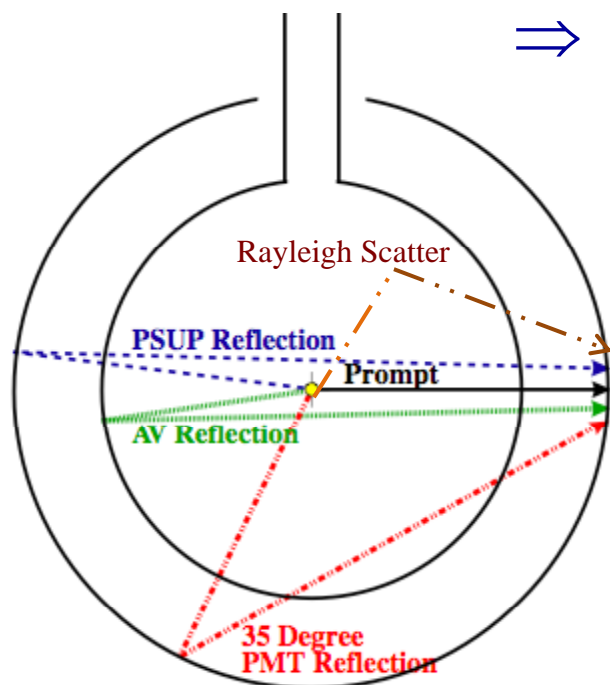


# Improved Energy Estimator

*Uses all hits: 12% more*

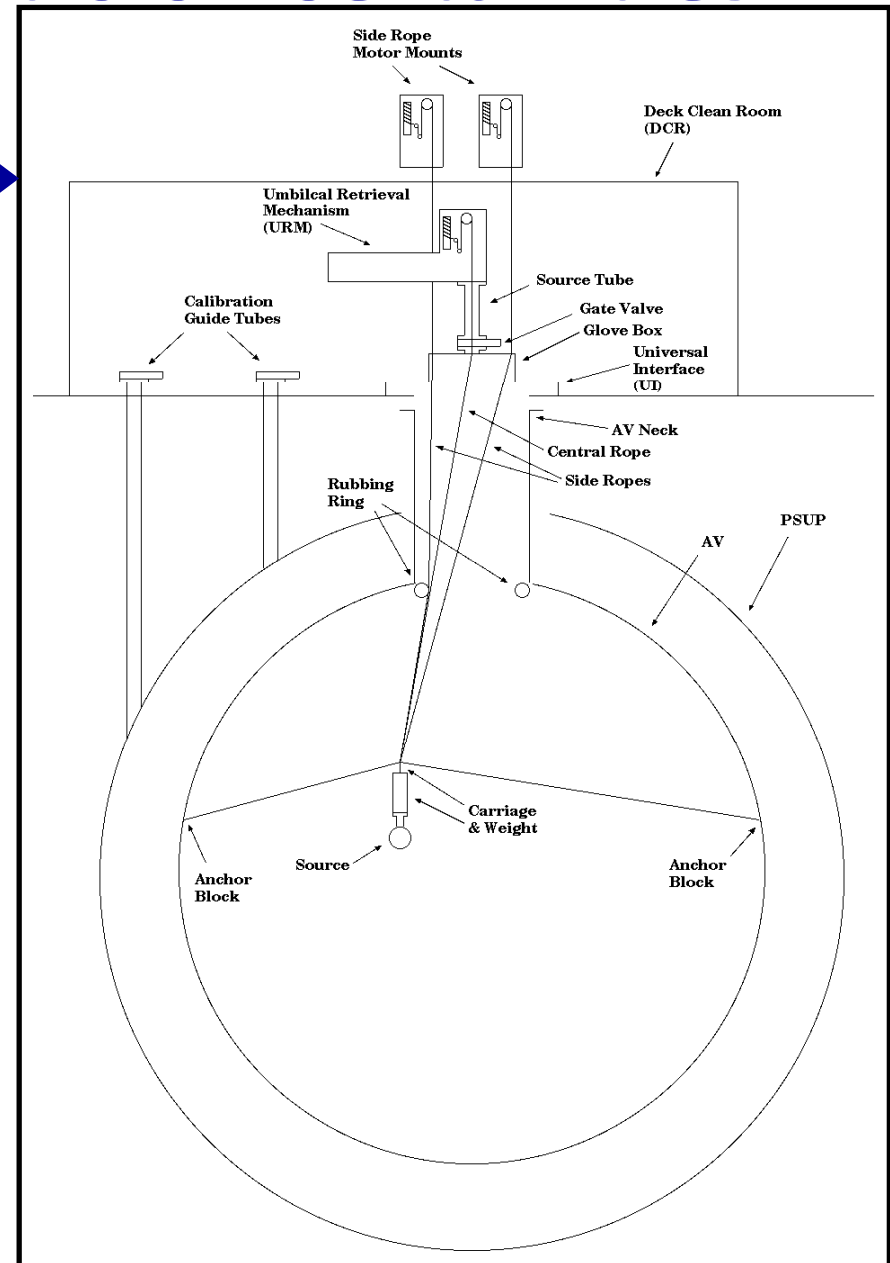
*⇒ 6% improvement in resolution*

*⇒ 60% reduction of internal backgrounds*



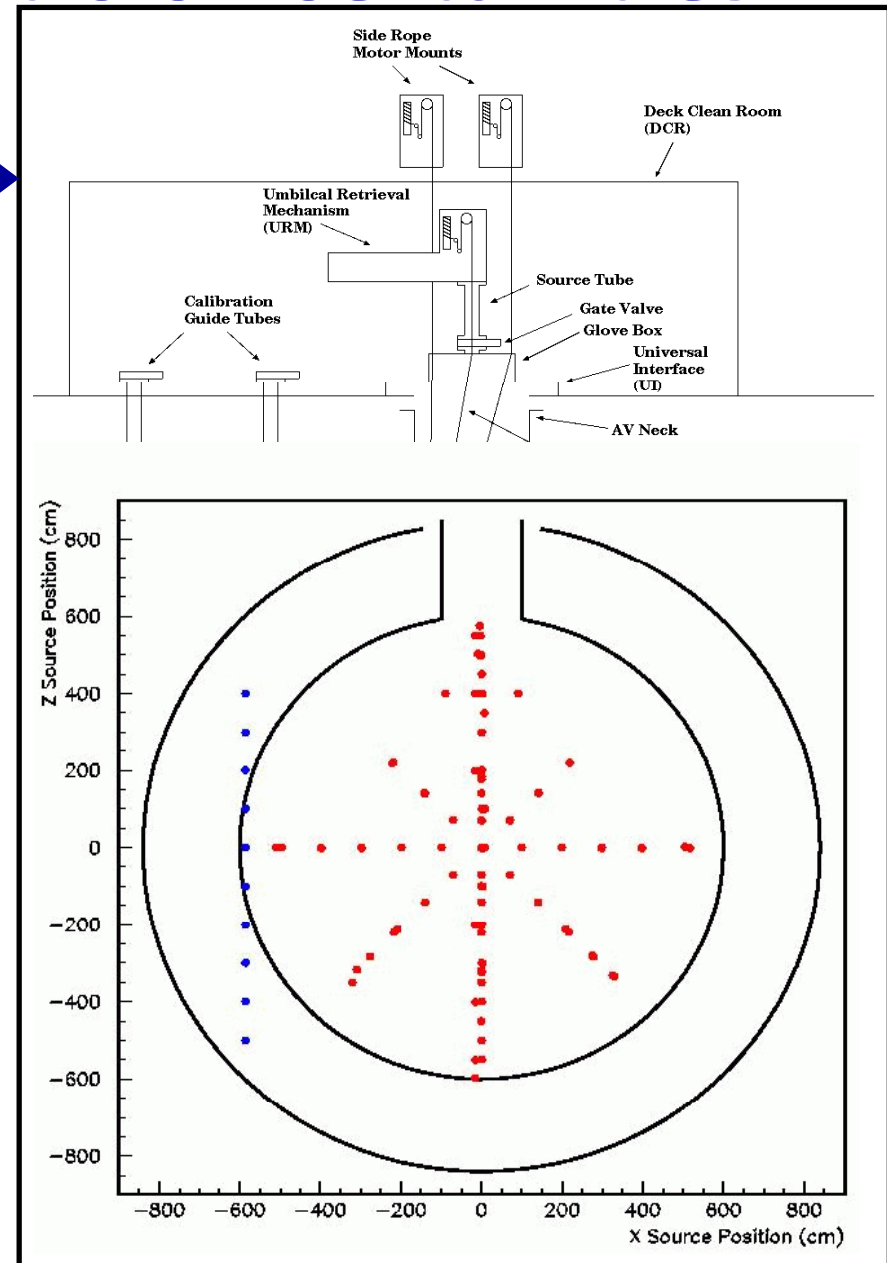
# 5) Reduce Systematic Uncertainties

Manipulator system allows flexible source placement



# 5) Reduce Systematic Uncertainties

Manipulator system allows flexible source placement



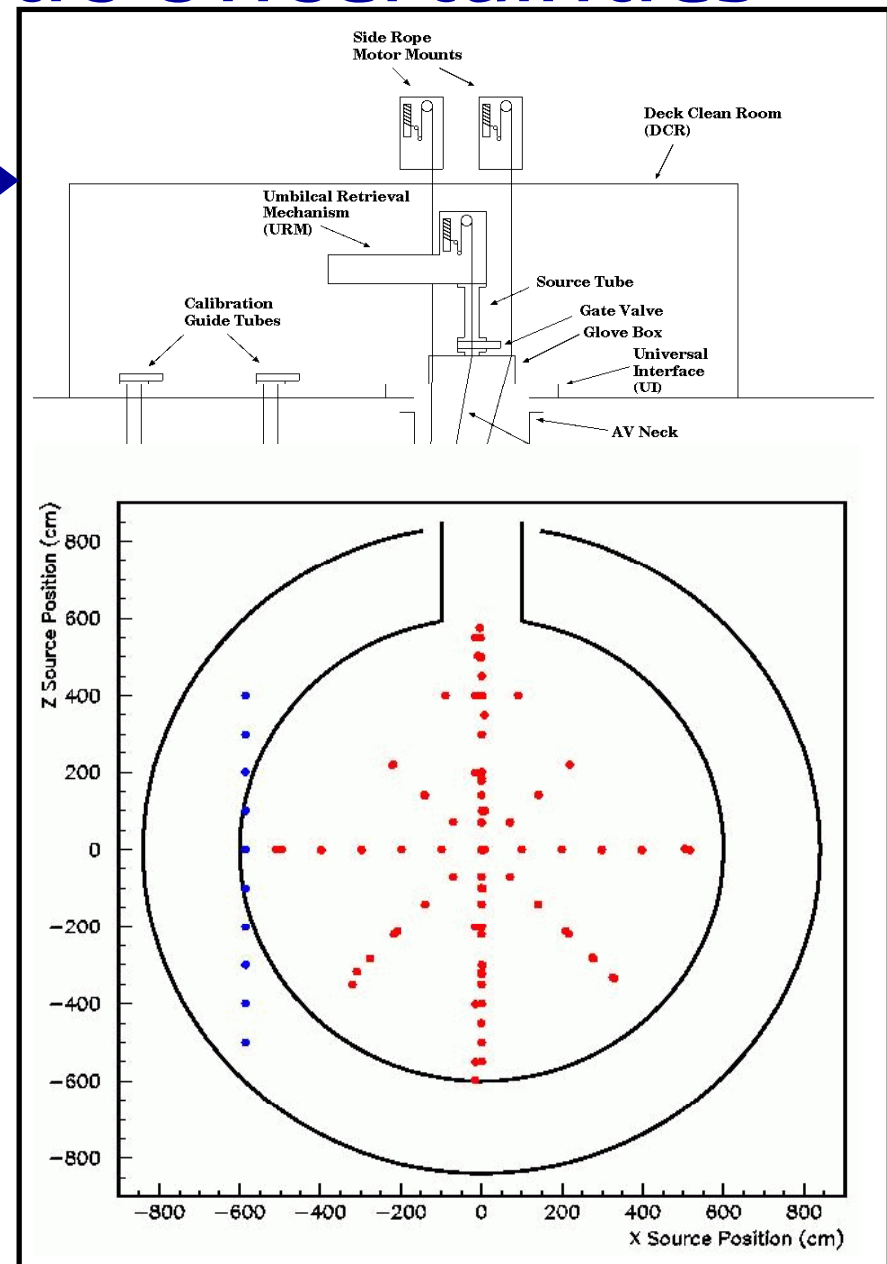


# 5) Reduce Systematic Uncertainties

Manipulator system allows flexible source placement

Better use of diverse calibration sources

$^{16}\text{N}$  → 6.13 MeV  $\gamma$ s  
 $pT$  → 19.8 MeV  $\gamma$ s  
 $^8\text{Li}$  →  $\beta$ s < 15 MeV  
*Cf, AmBe ns,* → n captures  
*Muon-spallation neutrons*  
*Encapsulated U, Th; Rn spikes*  
*Diffusing laser source*

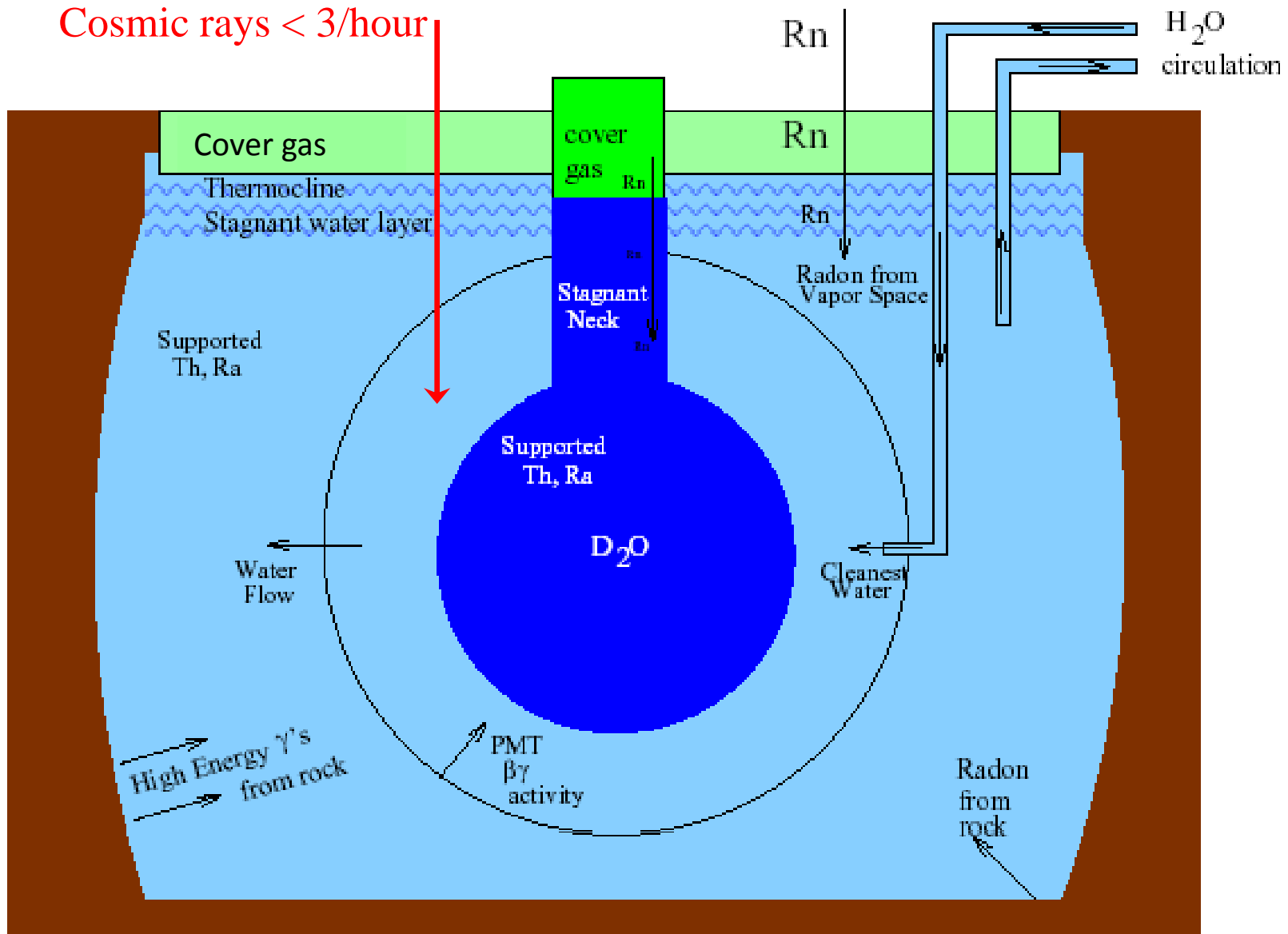


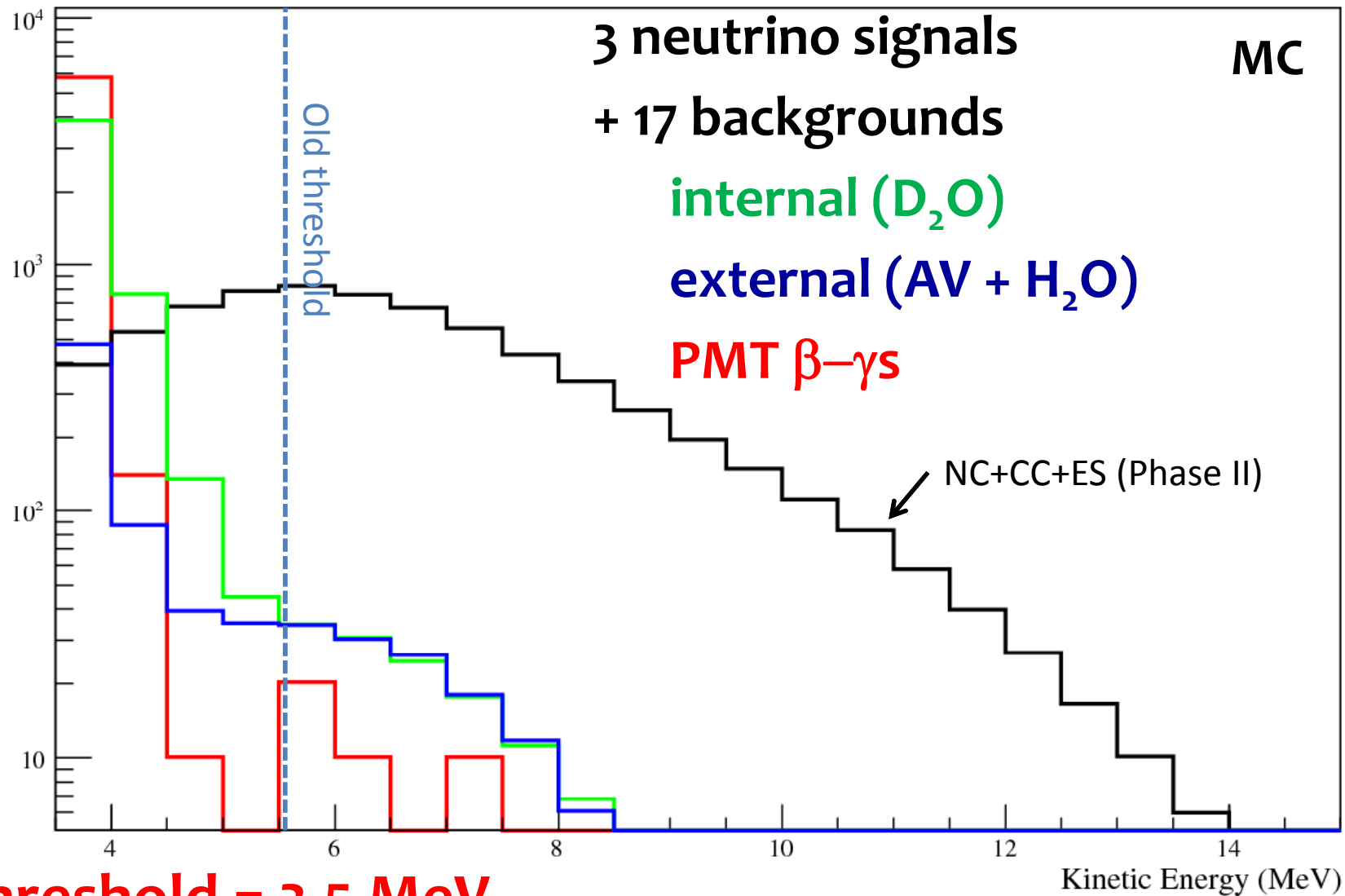
# 5) Reduce Systematic Uncertainties

	Old (D2O,salt)	<b>New</b>
Energy	Scale: 1.2% Resn: 4.5, 3.4%	<b>&lt; 0.5%</b> <b>&lt; 2%</b>
$\beta_{14}$	Electron: 0.85%	<b>0.24 %</b>
$R^3$	Fid Vol: 3%	<b>&lt; 1%</b>
$\cos \theta_{\text{sun}}$	Ang Resn: 16%	<b>11%</b>
“Contamination”		
Normalization (neutrons, others)	Ncap: >2%	<b>1.2%</b>
PMT $\beta$ - $\gamma$ distributions		

# 3) Radioactive Backgrounds

Cosmic rays < 3/hour





# Ideal (and correct!) Way To Propagate Uncertainties:

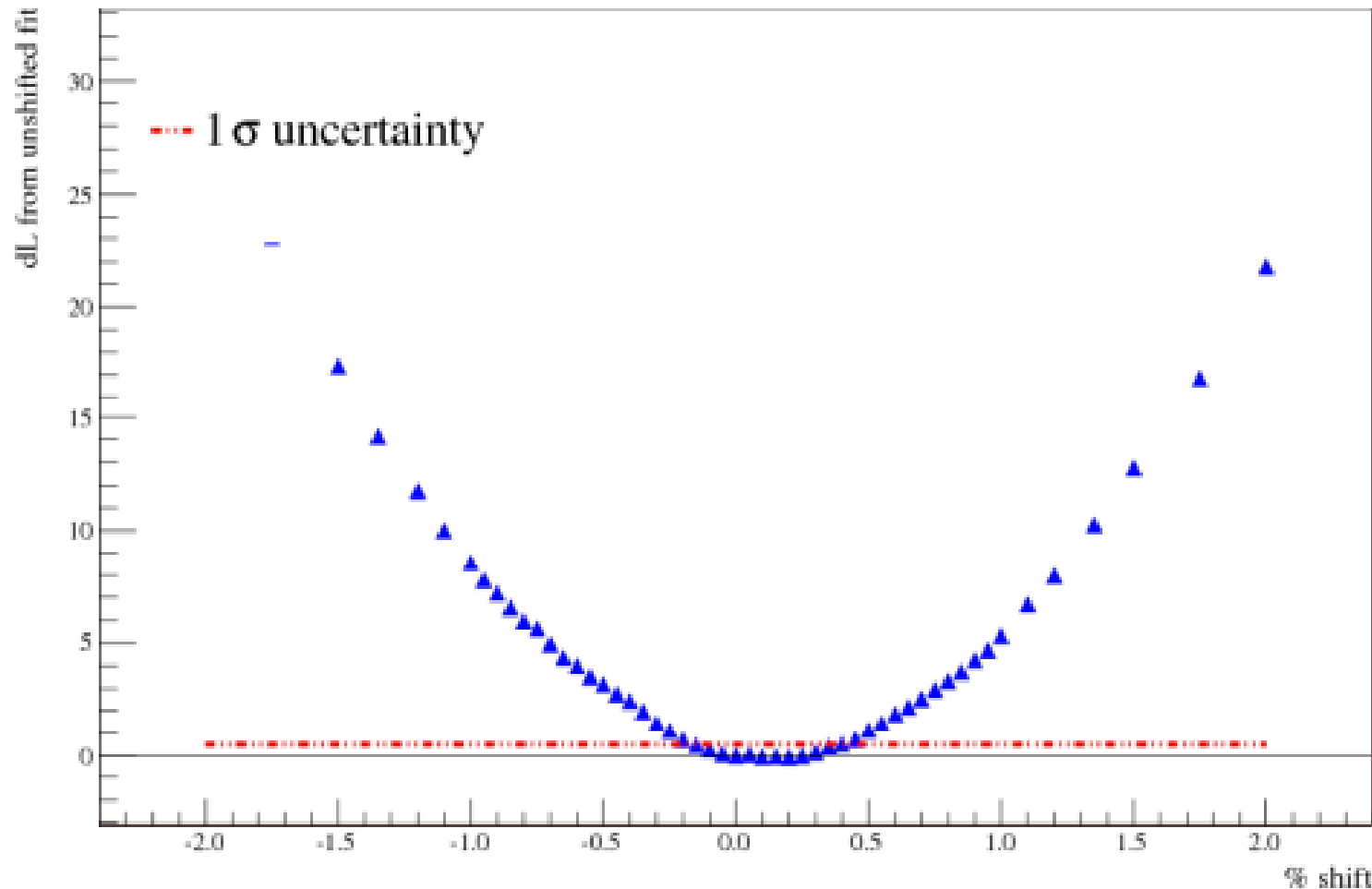
“Float” uncertainties as variable parameters in Likelihood fit, appropriately constrained by any independently determined bounds.

**In 4 dimensions with >50 parameters  
and limited MC statistics for PDFs ?!**



## Two Approaches:

# 1) Float Dominant Systematics via a "Brute Force" Iterative Scan of the Likelihood Space (shift & smear the rest)

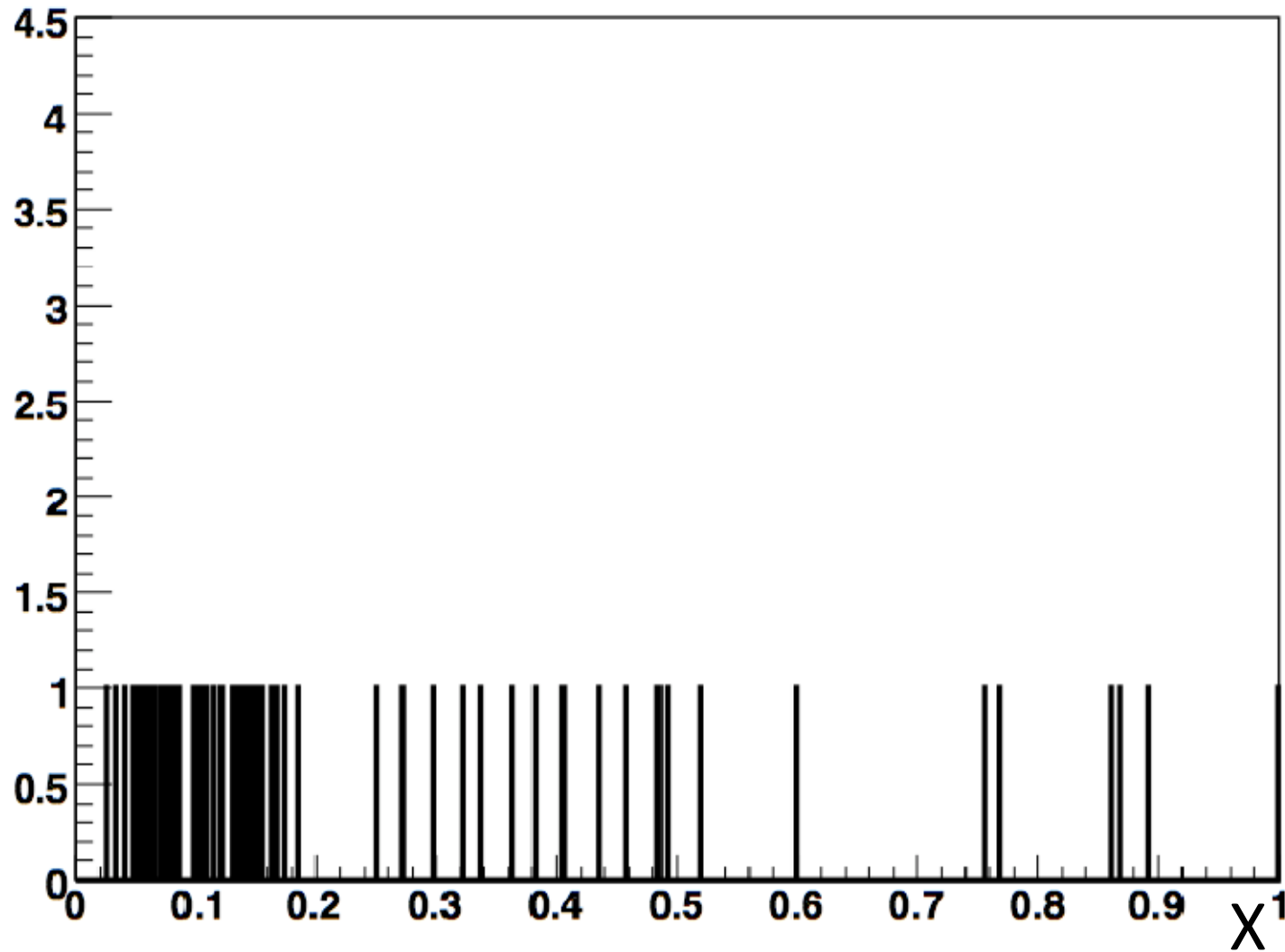


Kernel

## 2) ~~Colonel~~ Estimated PDFs

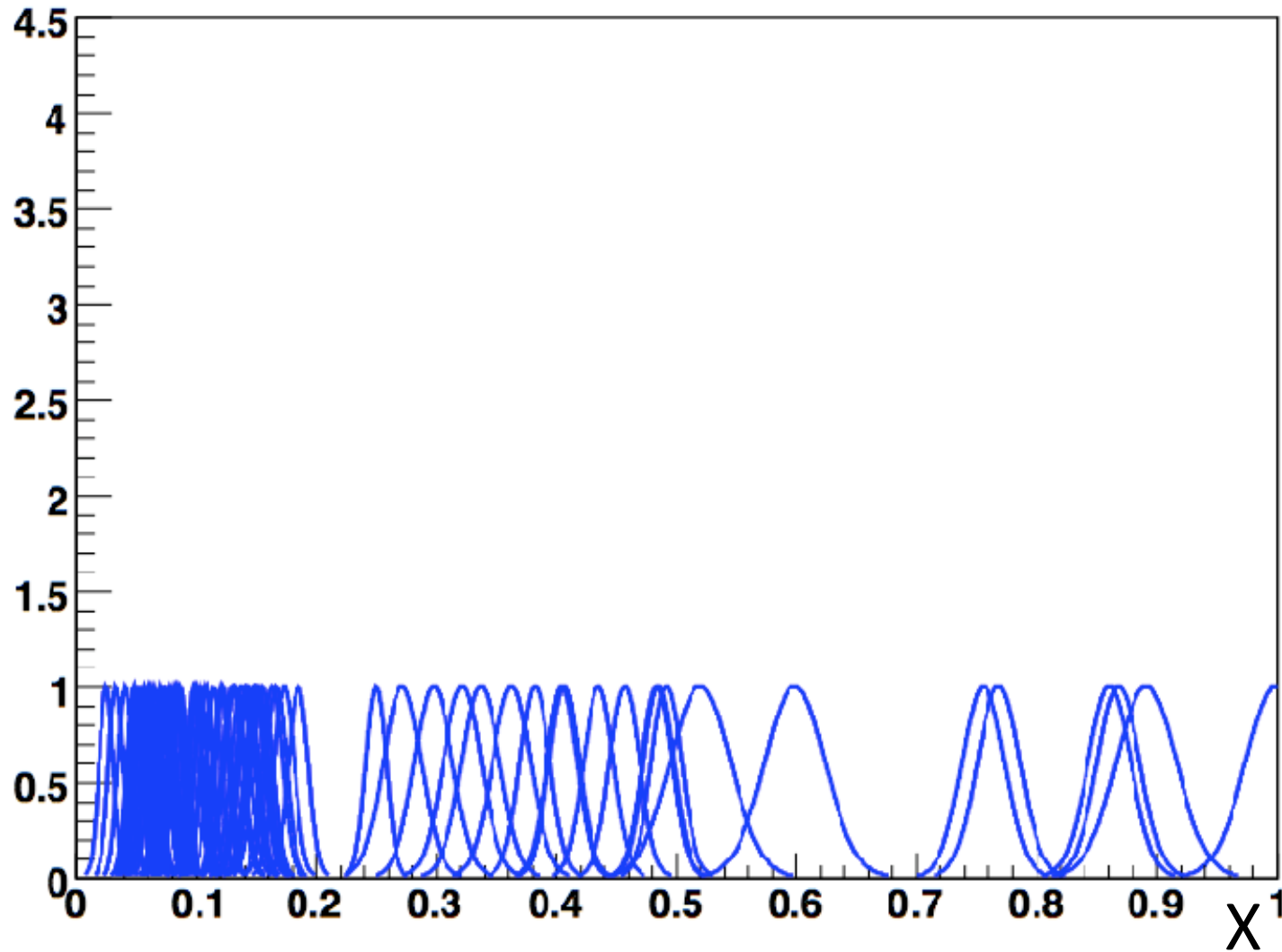


# 1-D toy model

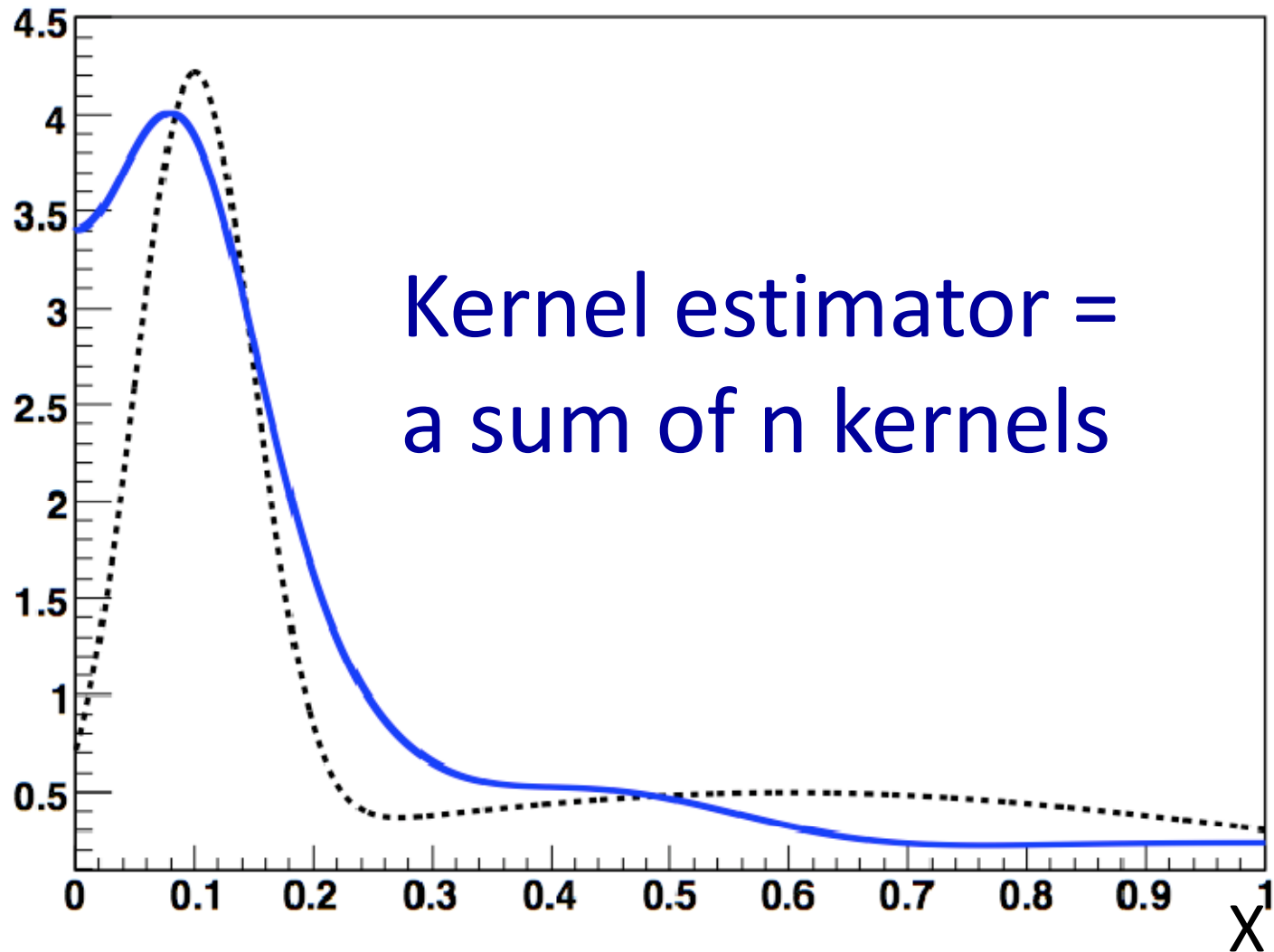




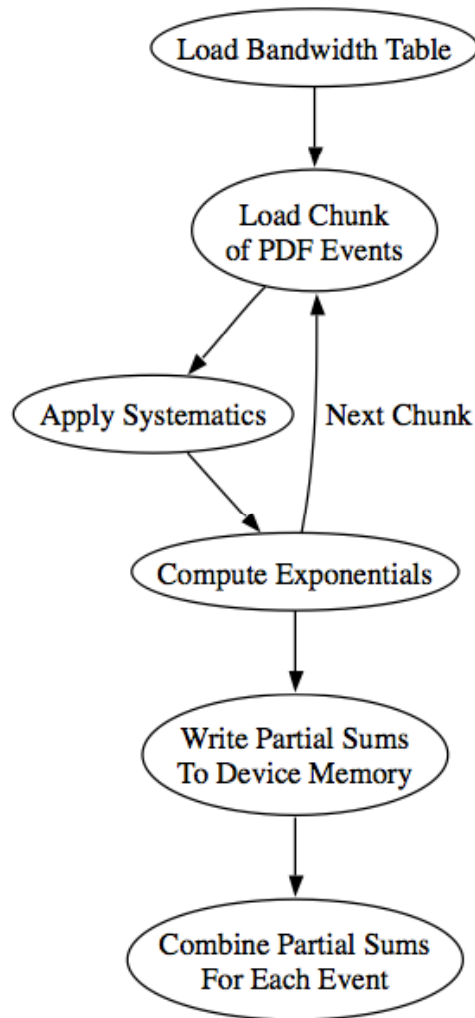
# 1-D toy model



# 1-D toy model



Approach is very (prohibitively) CPU intensive  
... so don't use CPUs!!





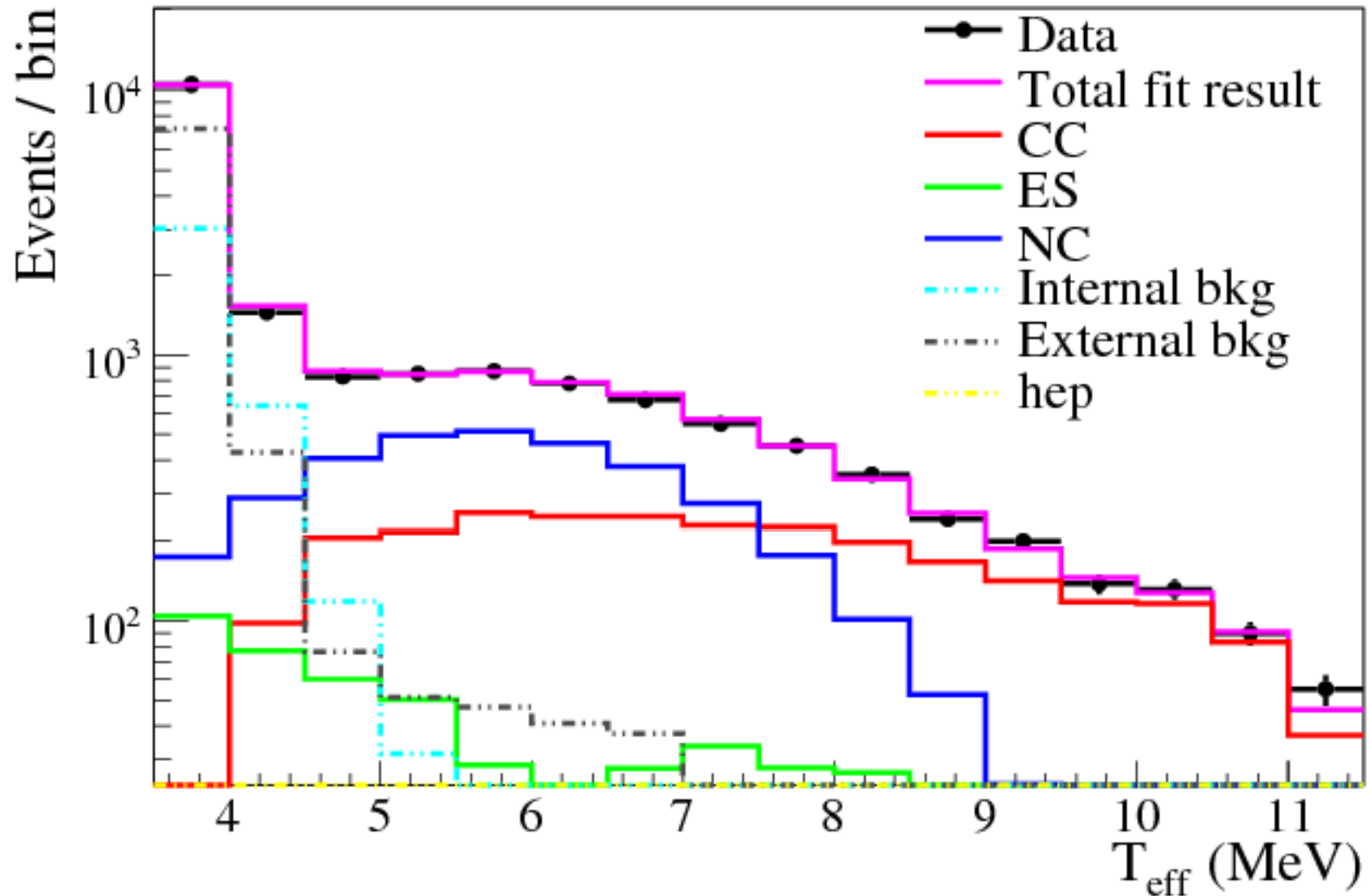
# Blindness Strategy:

- 1) Test both methods on many, independent MC sets
- 2) Test both methods on 1/3 of data (statistical blindness)
- 3) Freeze and apply to full data set.

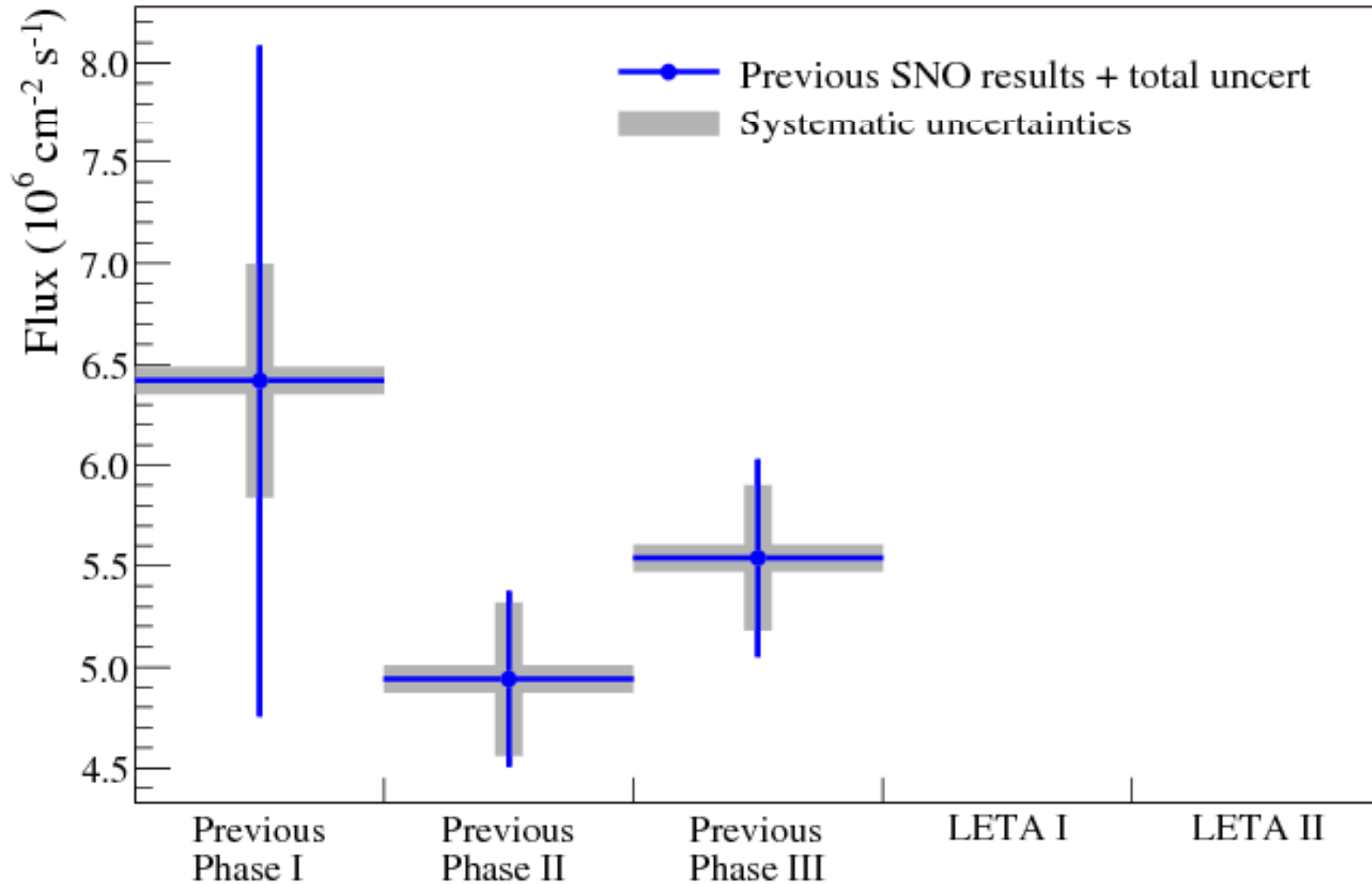
Results!

$$\chi^2 = 13.6 / 16$$

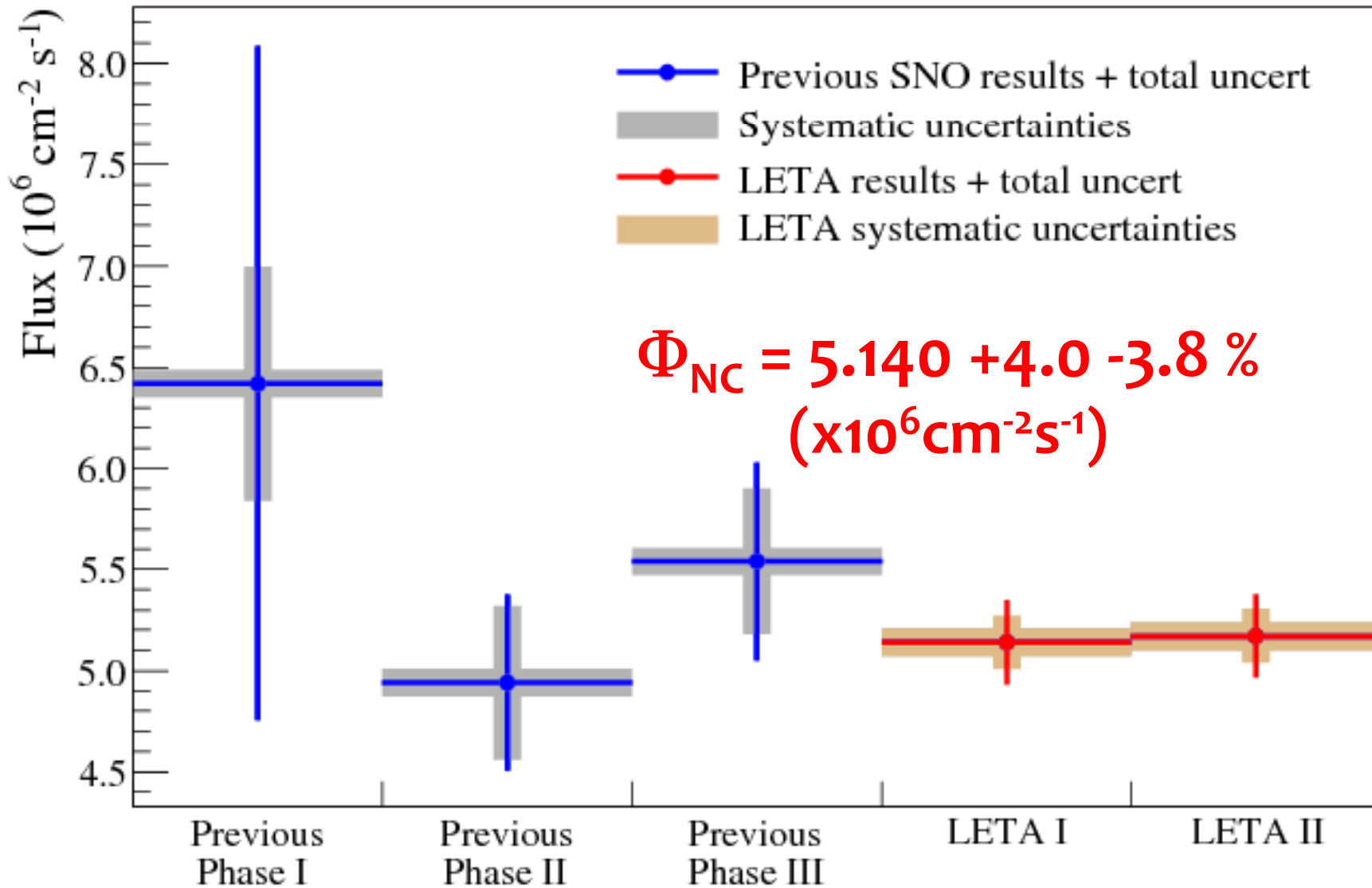
## Fit Result



# $^8\text{B}$ Flux Result

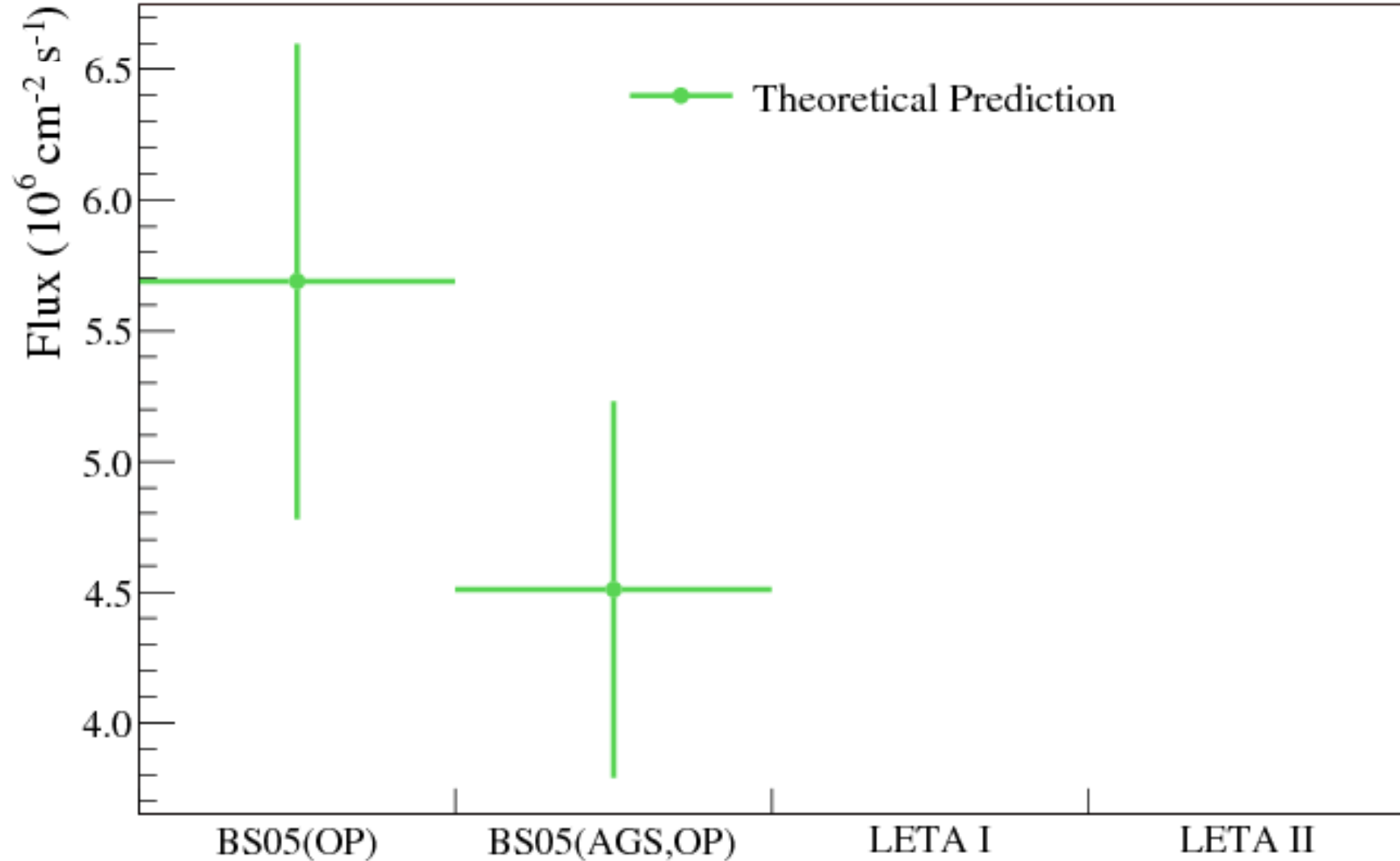


# $^8\text{B}$ Flux Result



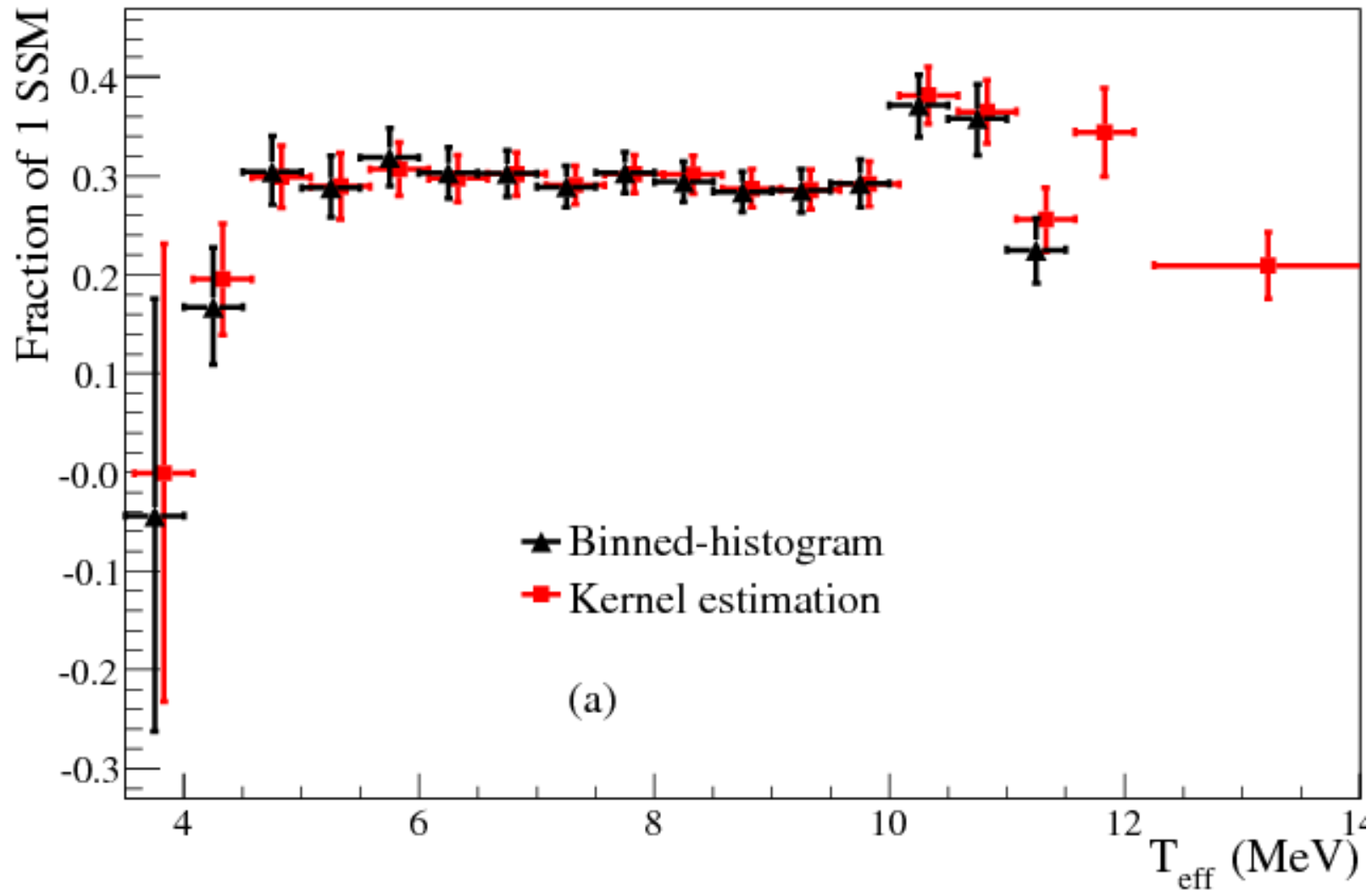


# $^8\text{B}$ Flux Result



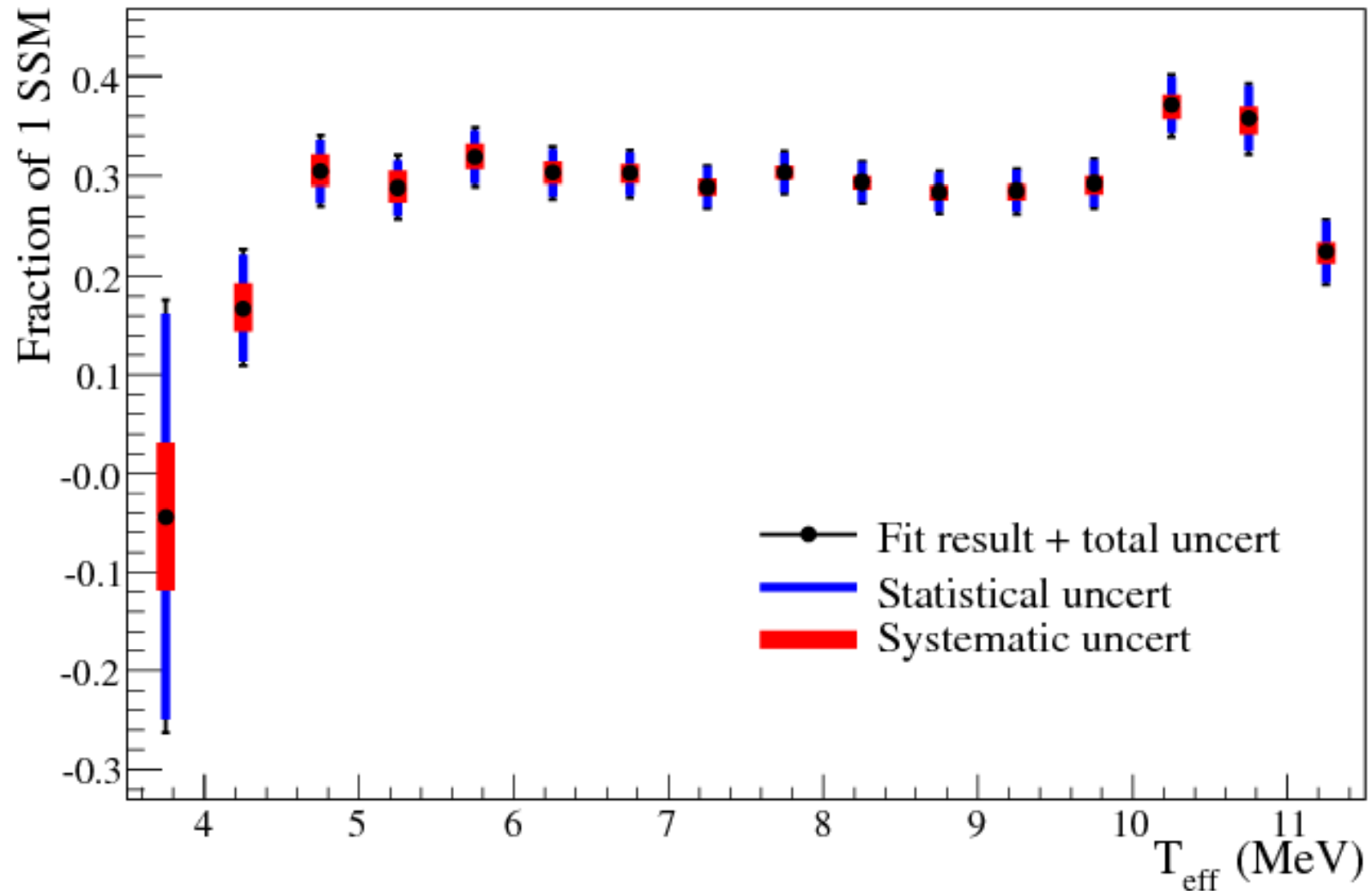
J. N. Bahcall, A. M. Serenelli, and S. Basu, *AstroPhys. J.* **621**, L85 (2005)

# CC Recoil-Electron Spectrum

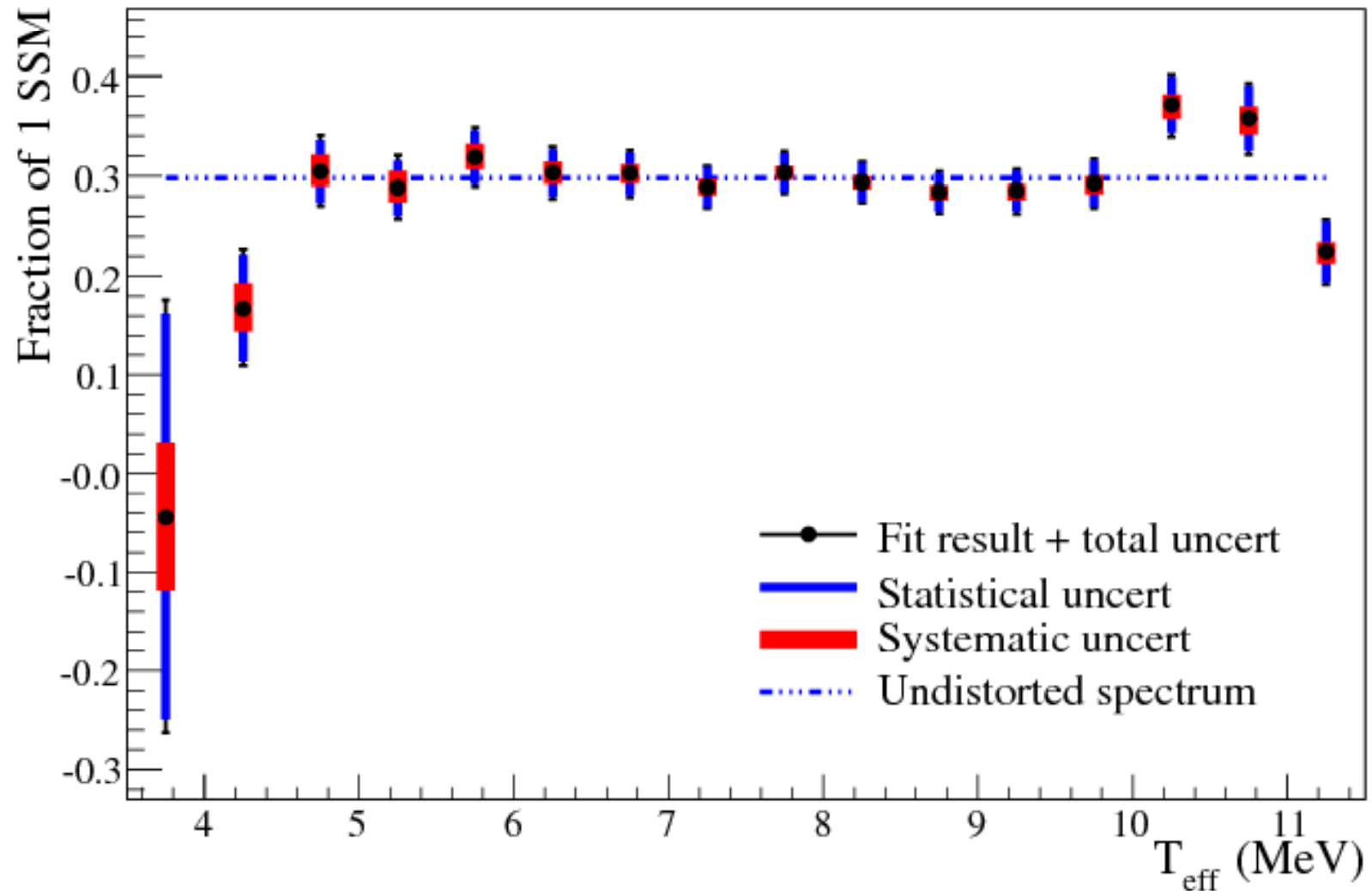


Systematic	Phase	Effect on rate /%				Phase	Effect on rate /%						
		NC	CC1	CC12	ES0		NC	CC1	CC12	ES0			
Angular resn (+)	I					I	0.397	-0.277	-1.735	0.378			
Angular resn (-)	I					I	-0.230	0.119	1.027	-0.233			
Angular resn (+)	II	$T_{\text{off}}$ scale (+)	I, II	-0.293	-2.037	-2.144	-0.156	II	-0.698	0.794	-1.144	0.322	
Angular resn (-)	II	$T_{\text{off}}$ scale (-)	I, II	0.137	0.475	0.913	0.035	II	0.825	-0.994	1.376	-0.389	
Axial scale (+)	I	$T_{\text{off}}$ scale (+)	I	0.030	-0.956	-0.337	-0.148	I, II	-0.357	-0.519	-0.434	-0.451	
Axial scale (-)	I	$T_{\text{off}}$ scale (-)	I	-0.084	1.659	0.652	0.236	I, II	1.039	1.299	1.136	1.171	
Axial scale (+)	II	$T_{\text{off}}$ scale (+)	II	-0.307	0.317	-1.094	0.105	I, II	-0.180	0.134	-0.002	0.026	
Axial scale (-)	II	$T_{\text{off}}$ scale (-)	II	0.177	-0.493	0.584	-0.133	I, II	0.183	-0.100	0.004	-0.023	
Z scale (+)	I	$T_{\text{off}}$ resn (elec) (+)	I	0.008	-3.999	-0.013	-0.439	I	-0.049	-0.797	0.003	-0.074	
Z scale (-)	I	$T_{\text{off}}$ resn (elec) (-)	I	-0.030	7.656	0.017	1.399	I	0.044	0.829	-0.001	0.084	
Z scale (+)	II	$T_{\text{off}}$ resn (elec) (+)	II	0.653	-5.005	-0.006	-0.531	II	-1.306	0.616	-0.001	0.062	
Z scale (-)	II	$T_{\text{off}}$ resn (elec) (-)	II	-0.716	6.597	0.027	0.480	II	1.338	-0.612	0.003	-0.060	
X offset (+)	I	$T_{\text{off}}$ resn (neut) (+)	I, II	0.065	-0.054	-0.023	-0.006	I, II	-0.759	0.040	-0.000	-0.001	
X offset (-)	I	$T_{\text{off}}$ resn (neut) (-)	I, II	-0.041	-0.058	0.046	0.013	I, II	0.770	-0.053	0.001	-0.011	
X offset (+)	II	$T_{\text{off}}$ linearity (+)	I, II	0.130	-0.160	0.379	-0.125	II	0.028	-0.751	0.008	-0.056	
X offset (-)	II	$T_{\text{off}}$ linearity (-)	I, II	-0.132	0.287	-0.372	0.301	II	0.067	-0.463	0.003	-0.182	
Y offset (+)	I	$\beta_{14}$ elec scale (+)	I, II	0.634	-5.064	-0.082	-0.648	(+)	I	0.009	-6.482	-0.003	-1.469
Y offset (-)	I	$\beta_{14}$ elec scale (-)	I, II	-0.622	5.559	0.086	0.607	(-)	I	0.002	3.217	0.004	0.821
Y offset (+)	II	$\beta_{14}$ neut scale (+)	I, II	0.719	-1.962	-0.040	-0.068	(+)	II	0.046	-0.814	0.001	-0.196
Y offset (-)	II	$\beta_{14}$ neut scale (-)	I, II	-0.411	1.204	0.029	0.048	(-)	II	0.011	-0.328	0.003	0.010
Z offset (+)	I	$\beta_{14}$ elec width (+)	I, II	0.306	-1.263	-0.079	-0.027	(+)	I	-0.048	-2.875	0.003	-0.402
Z offset (-)	I	$\beta_{14}$ elec width (-)	I, II	-0.286	2.342	0.058	0.099	(-)	I	0.035	1.746	0.000	0.238
Z offset (+)	II	$\beta_{14}$ neut width (+)	I, II	0.067	-0.240	-0.002	-0.014	(+)	II	0.023	-2.371	0.002	-0.185
Z offset (-)	II	$\beta_{14}$ neut width (-)	I, II	-0.054	0.217	0.012	0.017	(-)	II	0.004	0.870	-0.000	0.440
X resn	I	$\beta_{14}$ E-dep (+)	I, II	0.227	1.661	-0.054	0.299	I	0.053	5.674	-0.004	0.774	
X resn	II	$\beta_{14}$ E-dep (-)	I, II	-0.246	-0.999	0.068	-0.228	I	-0.016	-2.113	0.003	-0.203	
Y resn	I							II	-0.005	0.735	-0.000	0.370	
Y resn	II							II	0.001	-1.014	0.003	-0.111	
Z resn	I							I	-0.042	-2.271	0.002	-0.714	
Z resn	II							I	0.062	0.559	0.000	0.509	
Z resn	I							II	-0.516	4.456	0.029	0.396	
Z resn	II							II	0.524	-4.102	-0.027	-0.802	
Z resn	I							I	0.075	-1.388	-0.001	-0.008	
Z resn	II							I	-0.070	0.192	0.005	0.060	
Z resn	I							II	0.357	-1.054	-0.006	0.257	
Z resn	II							II	-0.365	1.394	0.009	-0.459	

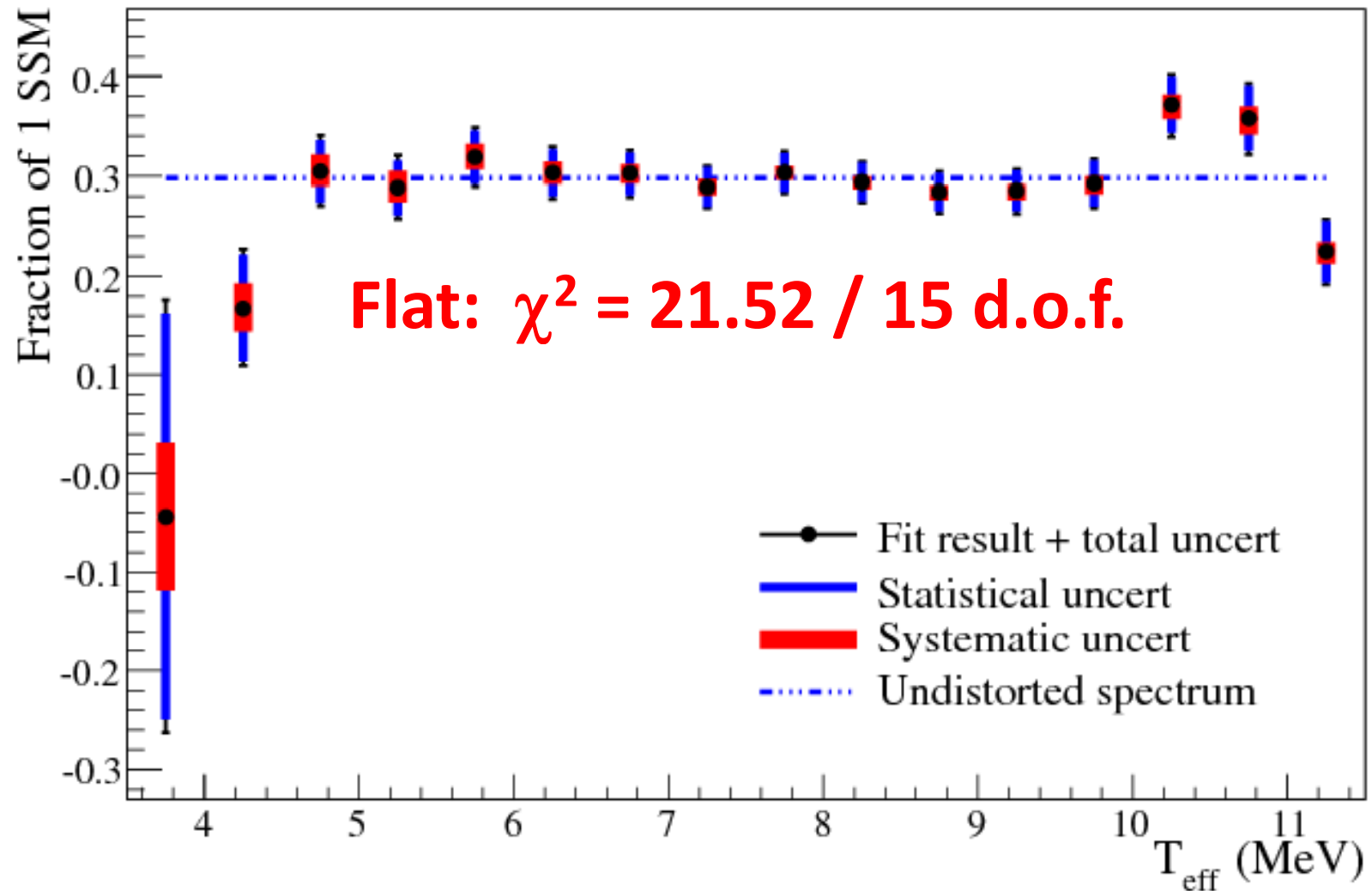
# CC Recoil-Electron Spectrum



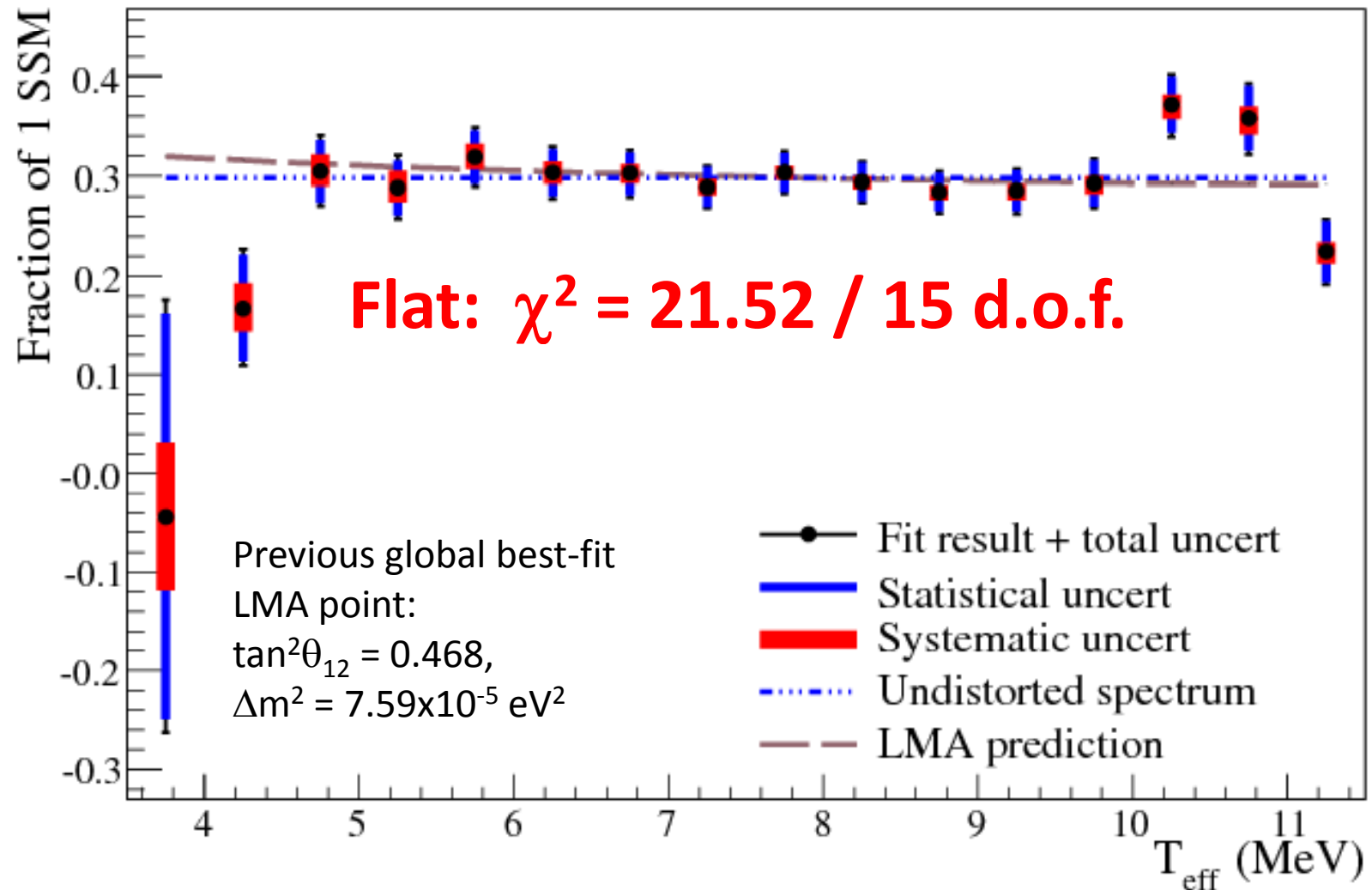
# CC Recoil-Electron Spectrum



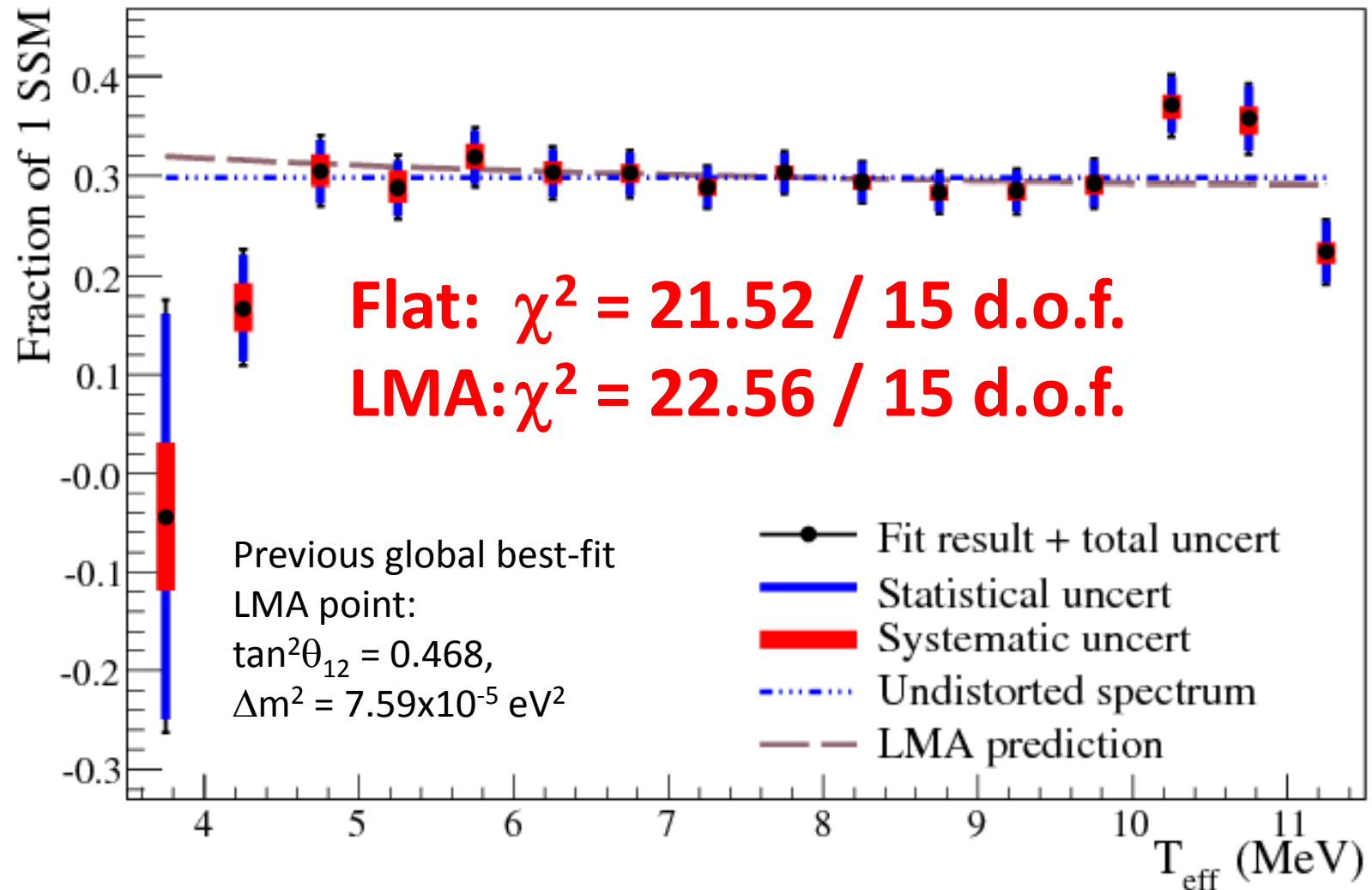
# CC Recoil-Electron Spectrum



# CC Recoil-Electron Spectrum

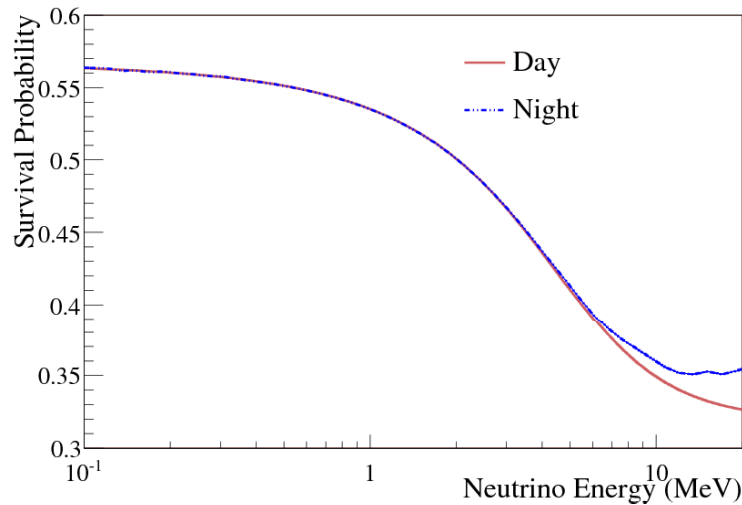


# CC Recoil-Electron Spectrum





# Direct Fit for Energy-Dependent Survival Probability

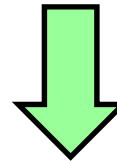


Neutrino signal directly described by 6 parameters:

1.  $\Phi_{8B}$ : total  $^8B$  neutrino flux
2.  $c_0, c_1, c_2$ : quadratic expansion of the  $\nu_e$  daytime  $P_{ee}$  around  $E_\nu = 10$  MeV
3.  $a_0, a_1$ : linear expansion of a day/night asymmetry around  $E_\nu = 10$  MeV

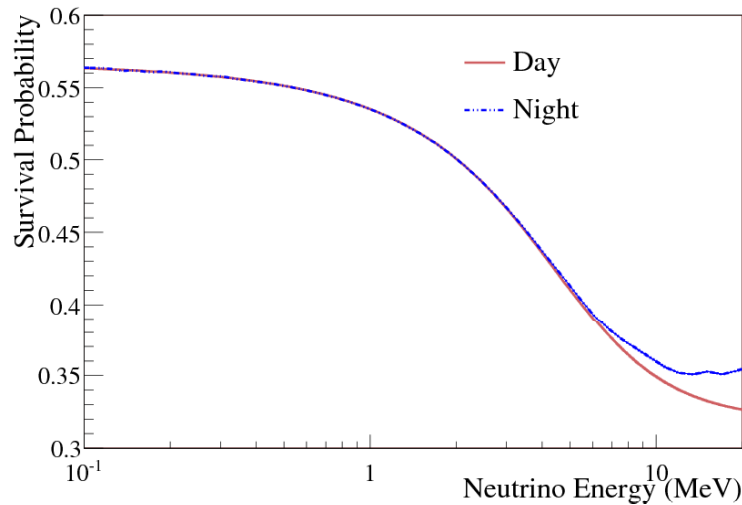
$$P_{ee}^{DAY}(E_\nu) = c_0 + c_1 (E_\nu - 10 \text{ MeV}) + c_2 (E_\nu - 10 \text{ MeV})^2$$

$$P_{ee}^{ASYM}(E_\nu) = a_0 + a_1 (E_\nu - 10 \text{ MeV})$$



$$P_{ee}^{NIGHT}(E_\nu) = P_{ee}^{DAY}(E_\nu) \times \frac{[1 + (1/2) * P_{ee}^{ASYM}(E_\nu)]}{[1 - (1/2) * P_{ee}^{ASYM}(E_\nu)]}$$

# Direct Fit for Energy-Dependent Survival Probability



$$\Phi_{8B} = 5.046$$

$$+3.8 -3.9 \%$$

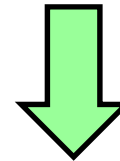
*Assuming unitarity*

Neutrino signal directly described by 6 parameters:

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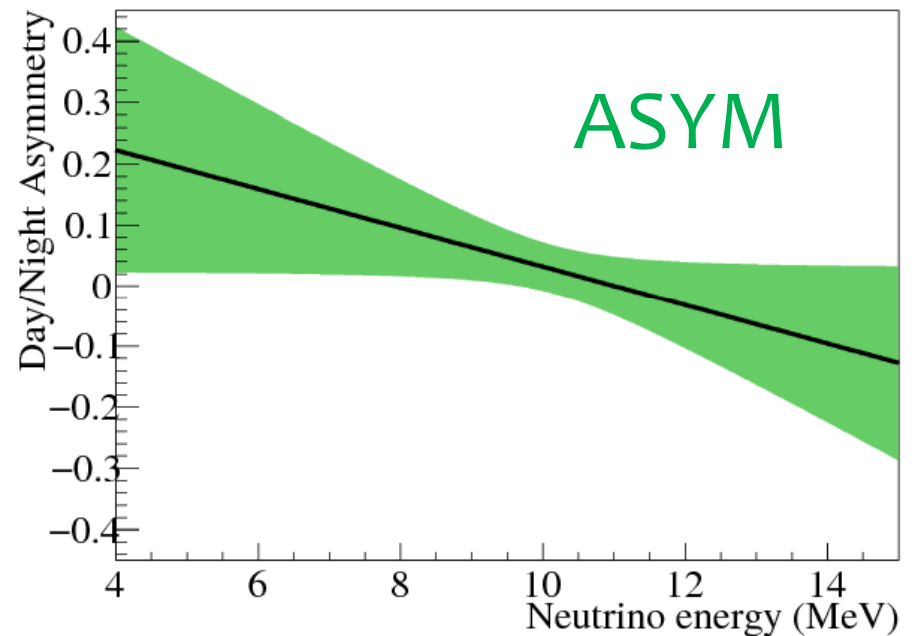
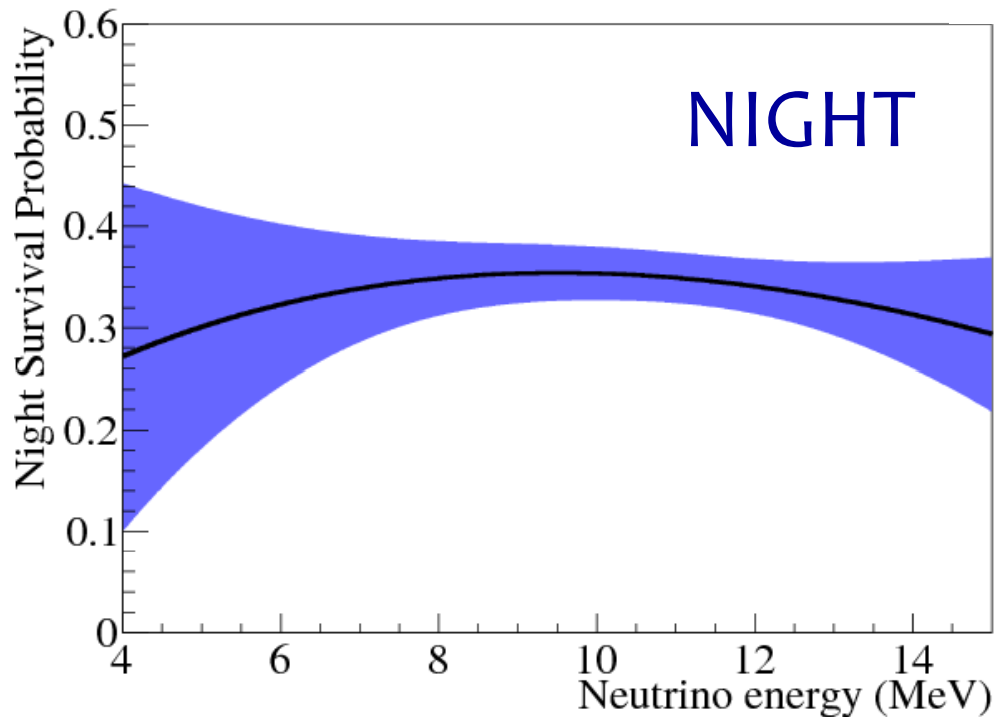
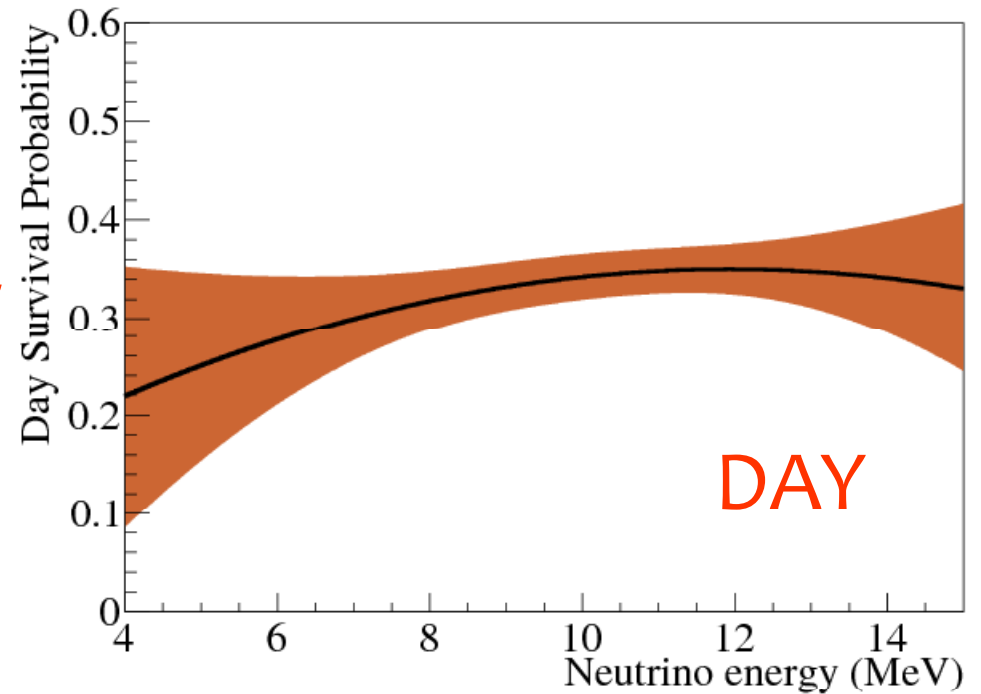
$$P_{ee}^{DAY}(E_\nu) = c0 + c1 (E_\nu - 10 \text{ MeV}) + c2 (E_\nu - 10 \text{ MeV})^2$$

$$P_{ee}^{ASYM}(E_\nu) = a0 + a1 (E_\nu - 10 \text{ MeV})$$



$$P_{ee}^{NIGHT}(E_\nu) = P_{ee}^{DAY}(E_\nu) \times \frac{[1 + (1/2) * P_{ee}^{ASYM}(E_\nu)]}{[1 - (1/2) * P_{ee}^{ASYM}(E_\nu)]}$$

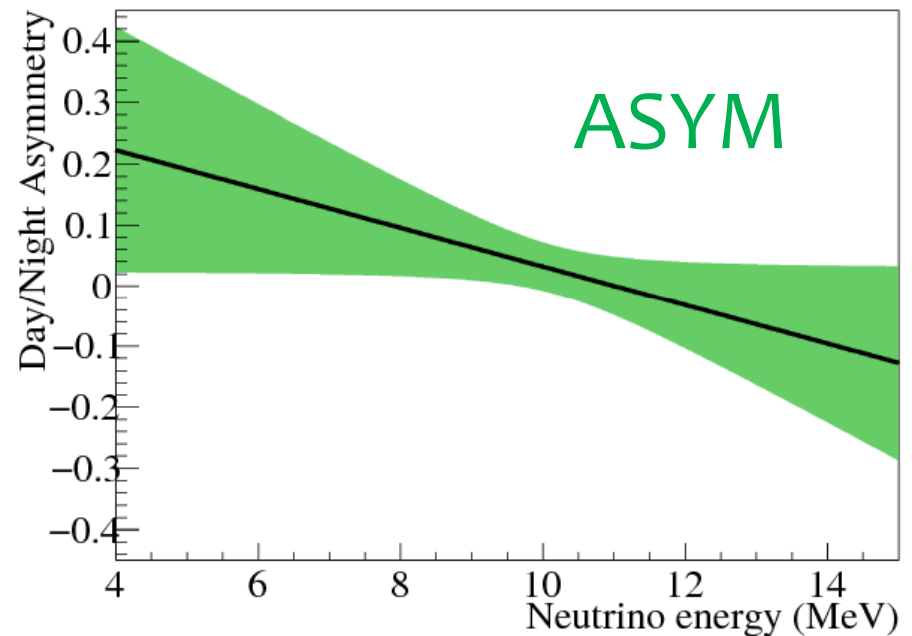
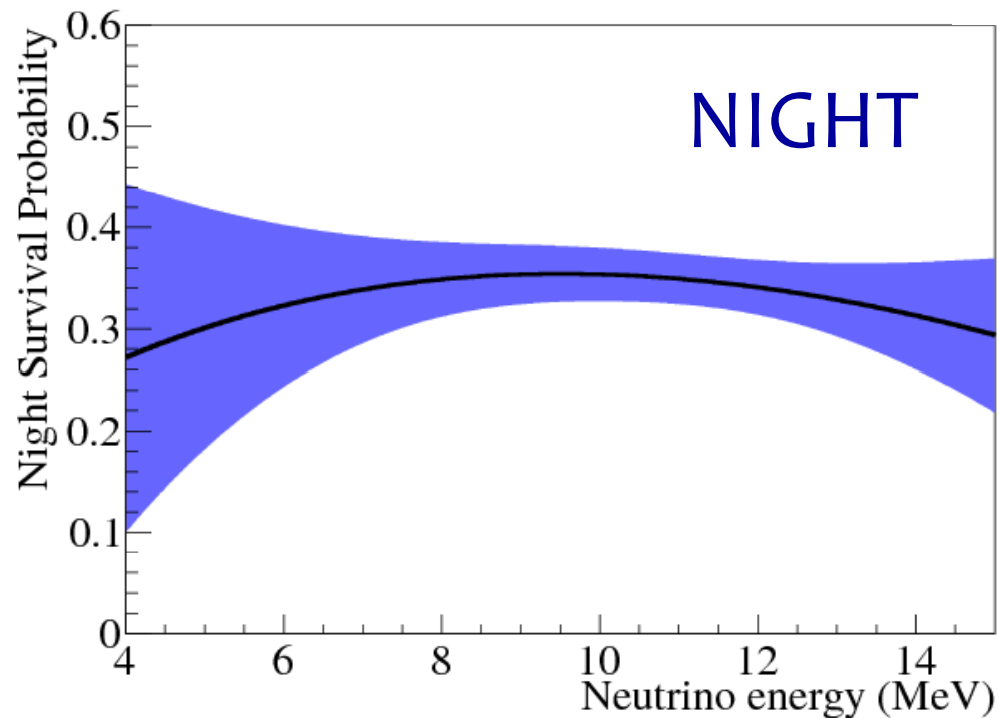
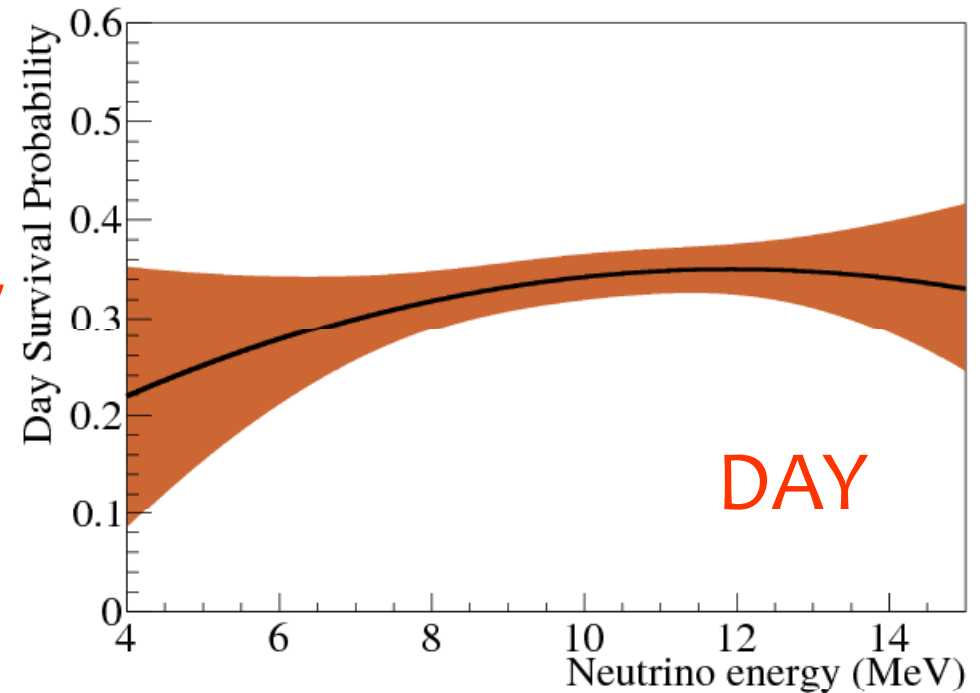
# Direct Fit for Energy-Dependent Survival Probability



# Direct Fit for Energy-Dependent Survival Probability

No distortion, no a/s:

$$\Delta\chi^2 = 1.94 / 4 \text{ d.o.f.}$$



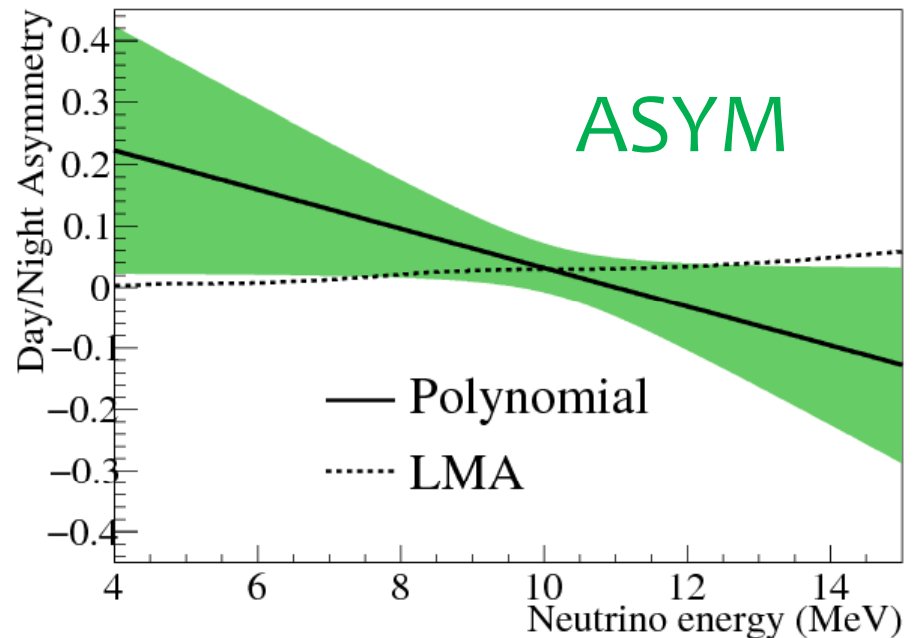
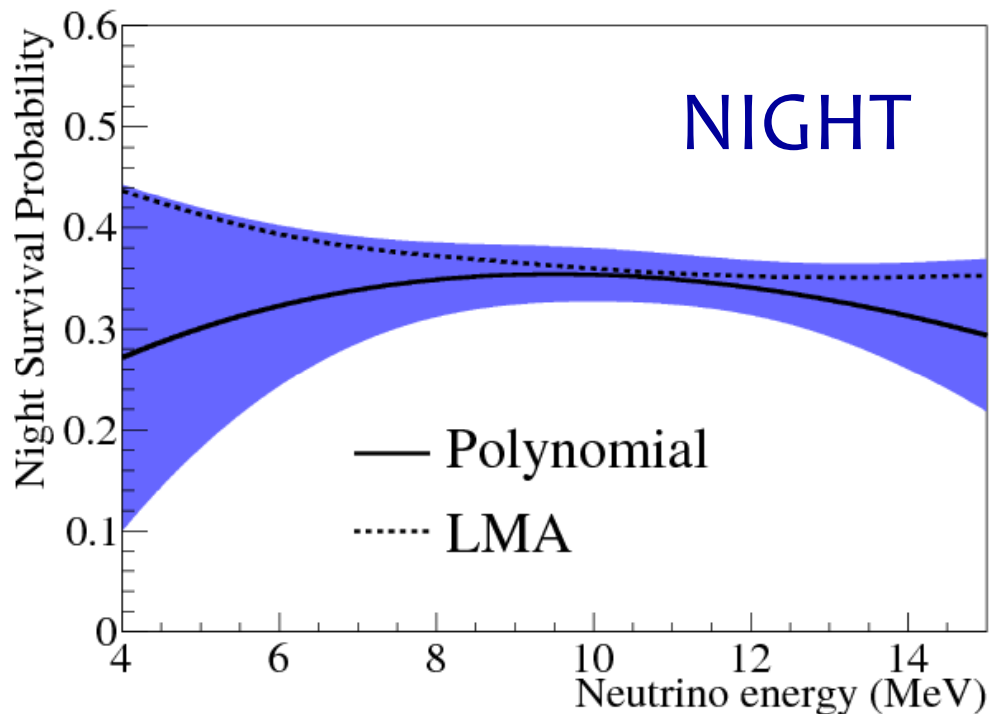
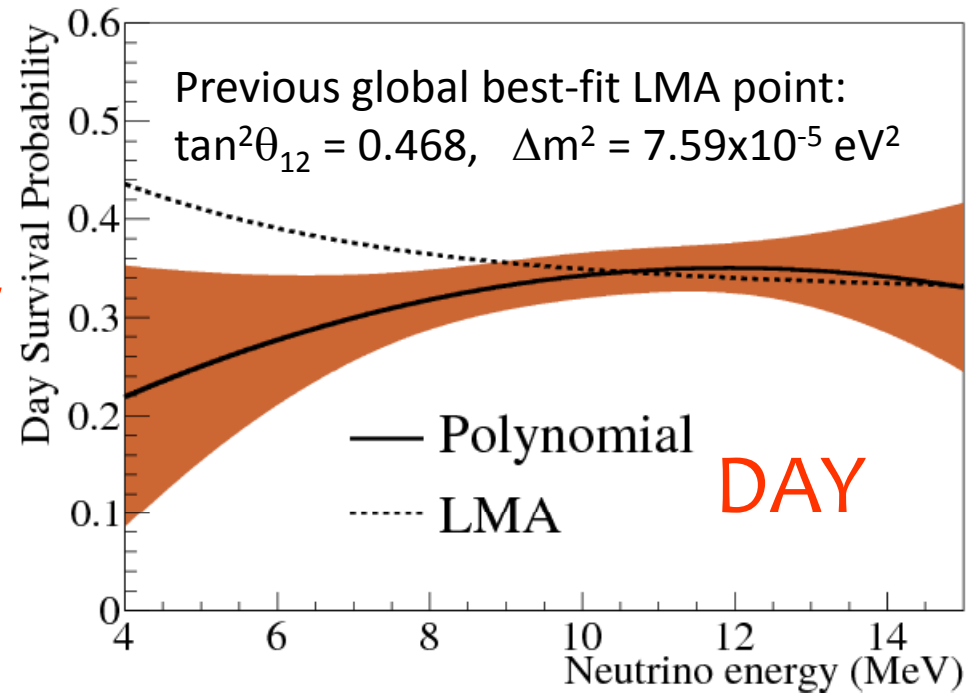
# Direct Fit for Energy-Dependent Survival Probability

No distortion, no a/s:

$$\Delta\chi^2 = 1.94 / 4 \text{ d.o.f.}$$

LMA-prediction:

$$\Delta\chi^2 = 3.90 / 4 \text{ d.o.f.}$$



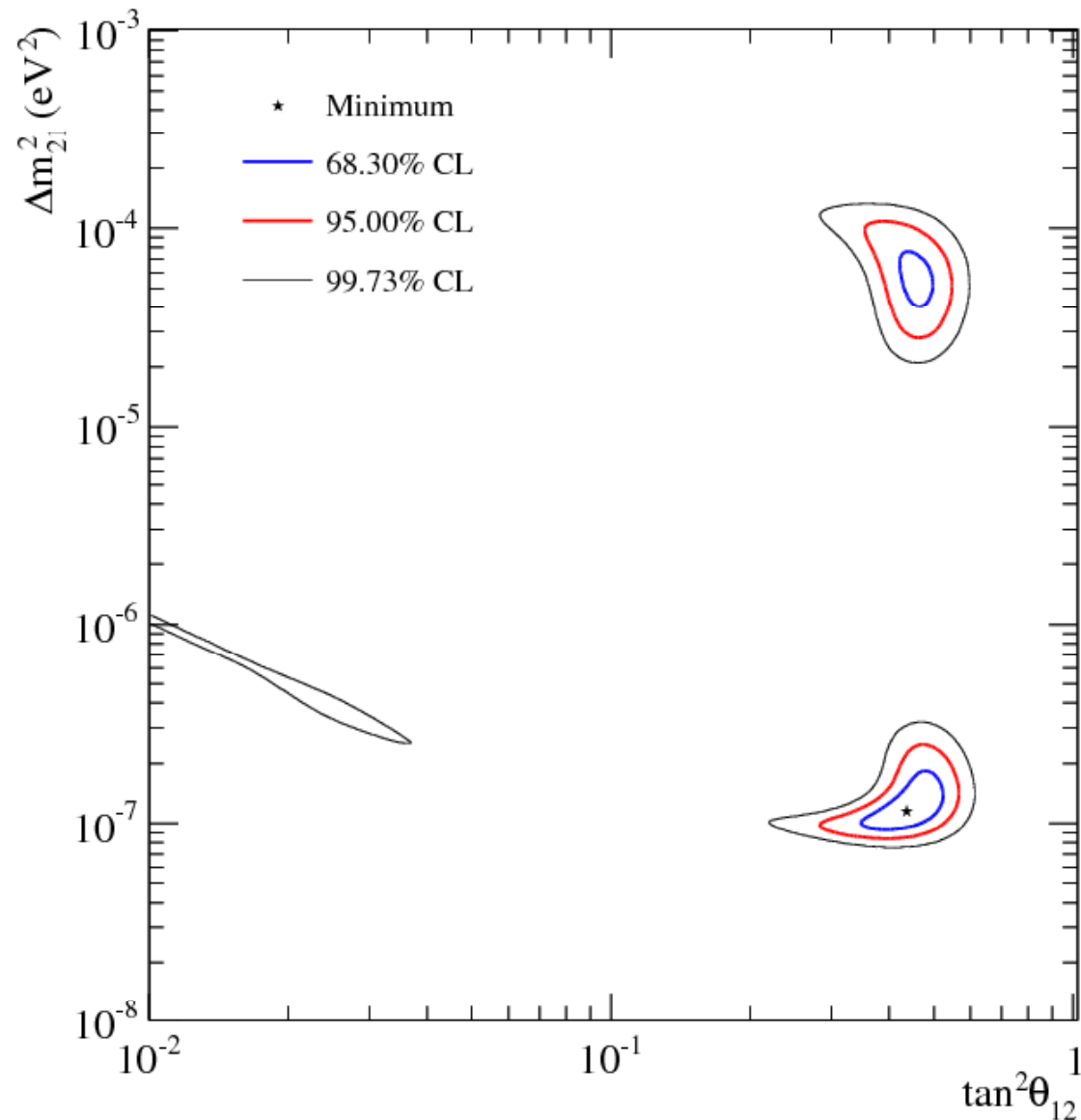
# Oscillation Analyses: LETA

LETA paper 2009:  
LETA joint-phase fit  
+ Phase III

Best-fit point:

$$\tan^2\theta_{12} = 0.437,$$

$$\Delta m^2 = 1.15 \times 10^{-7} \text{ eV}^2$$



# Oscillation Analyses: LETA

LETA paper 2009:  
LETA joint-phase fit  
+ Phase III

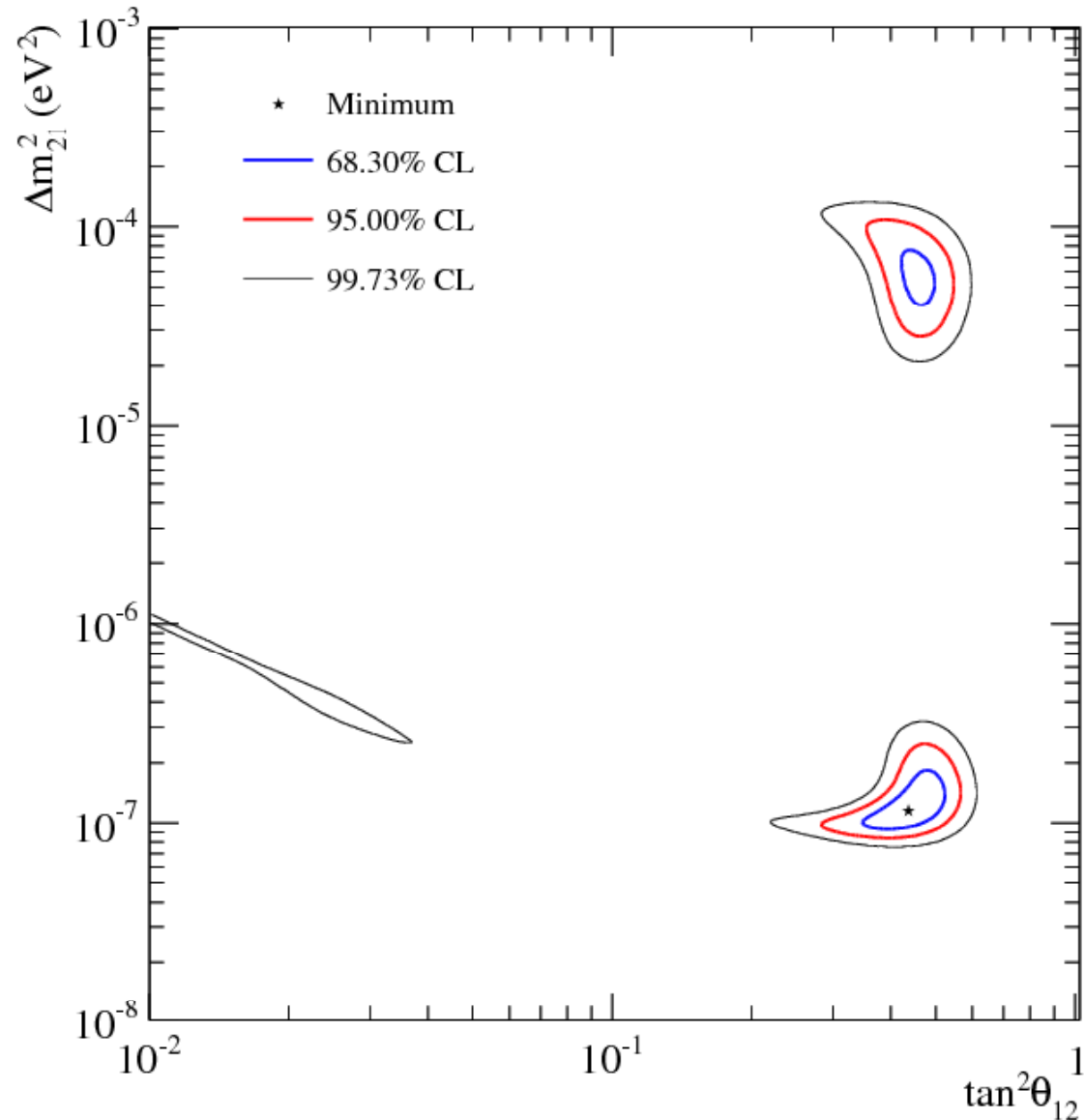
Best-fit LMA point:

$$\tan^2\theta_{12} = 0.457$$

(+0.038 -0.042)

$$\Delta m^2 = 5.50 \times 10^{-5} \text{ eV}^2$$

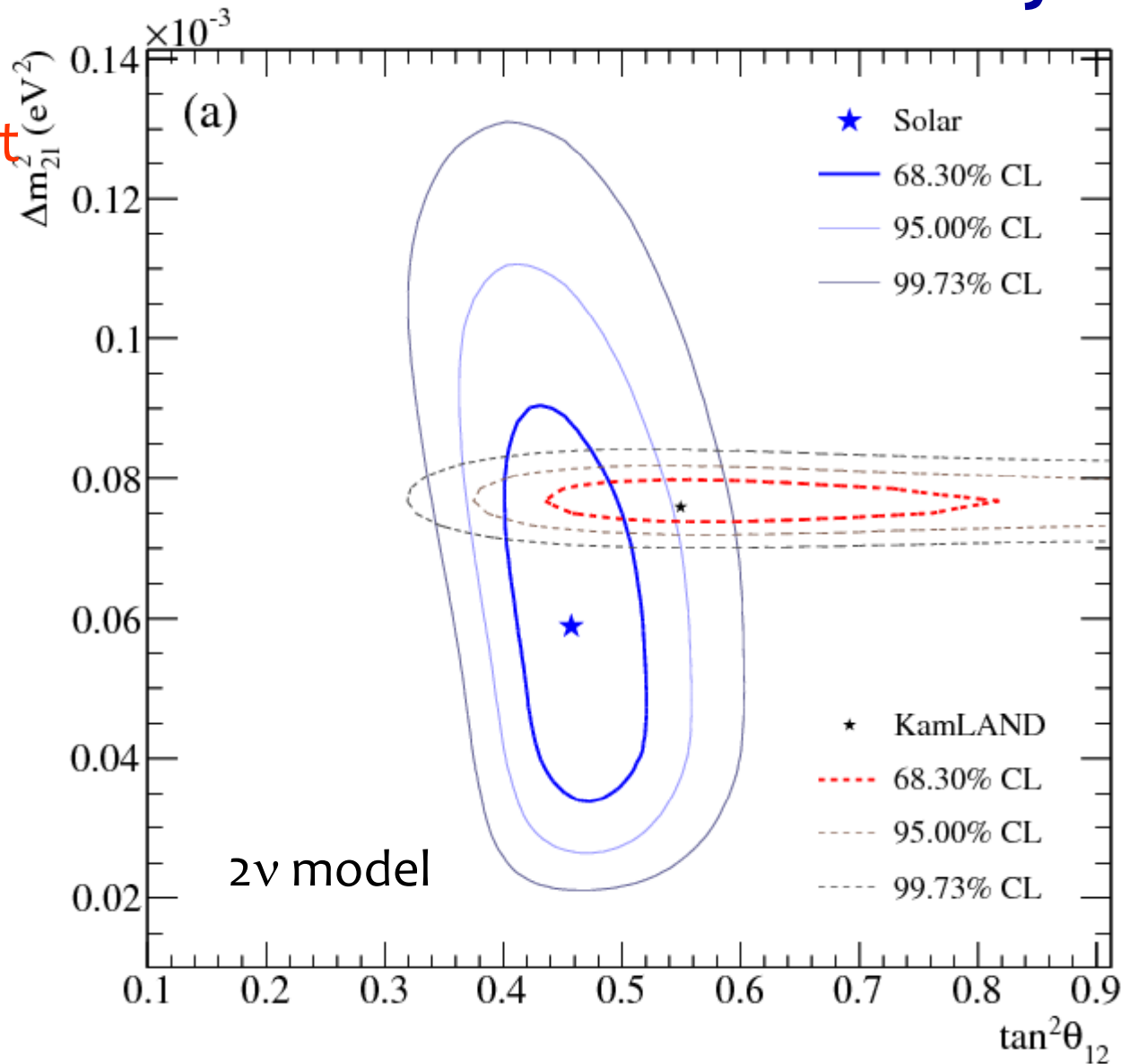
(+2.21 -1.62)



# Solar + KamLAND 2-flavor Overlay

LETA paper 2009:  
LETA joint-phase fit  
+ Phase III  
+ all solar expts  
+ KamLAND

2-flavor overlay:

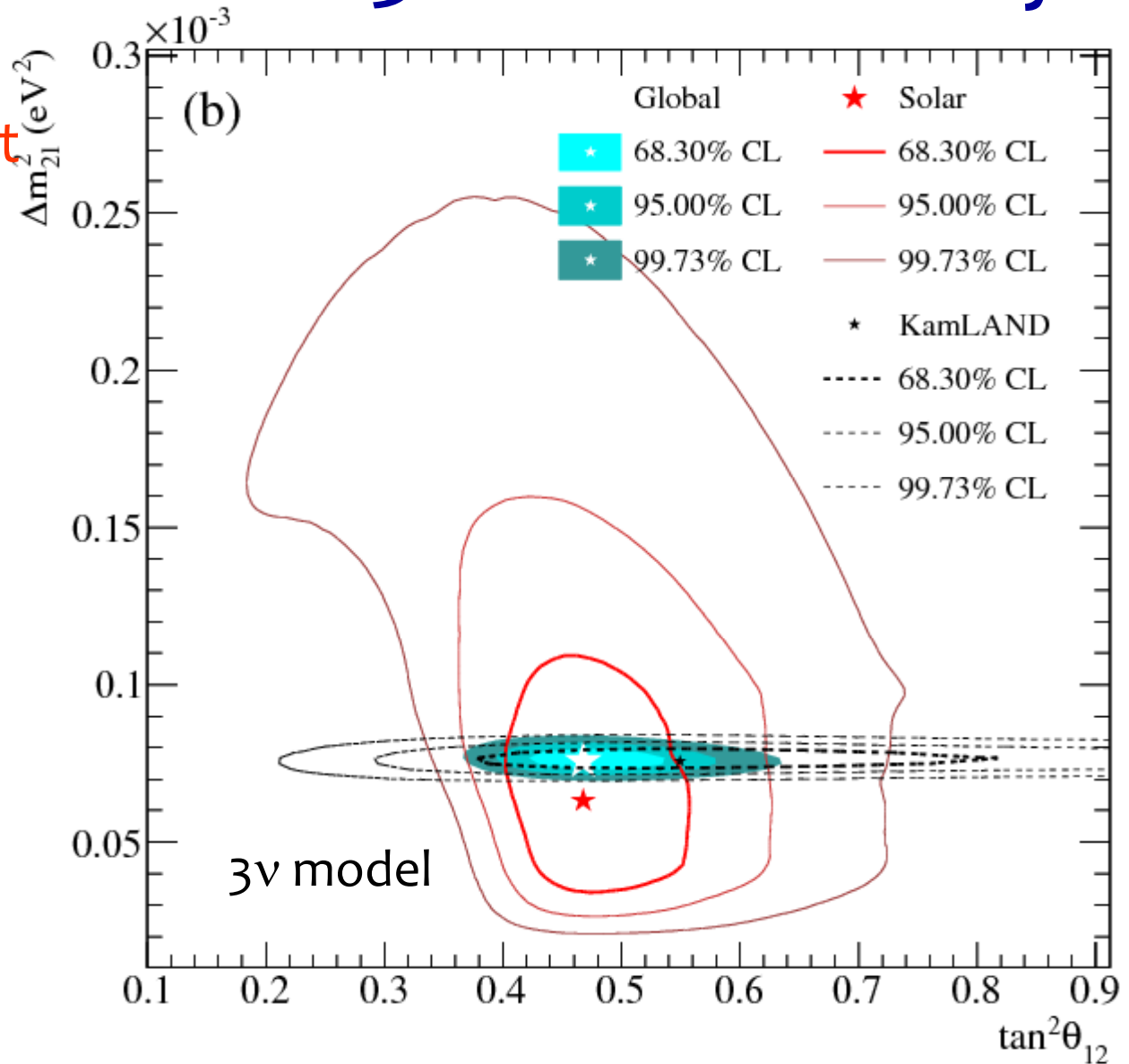




# Solar + KamLAND 3-flavor Overlay

LETA paper 2009:  
LETA joint-phase fit  
+ Phase III  
+ all solar expts  
+ KamLAND

3-flavor overlay:



# Solar + KamLAND 3-flavor Overlay

LETA paper 2009:  
LETA joint-phase fit  
+ Phase III  
+ all solar expts  
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3-flavor overlay:

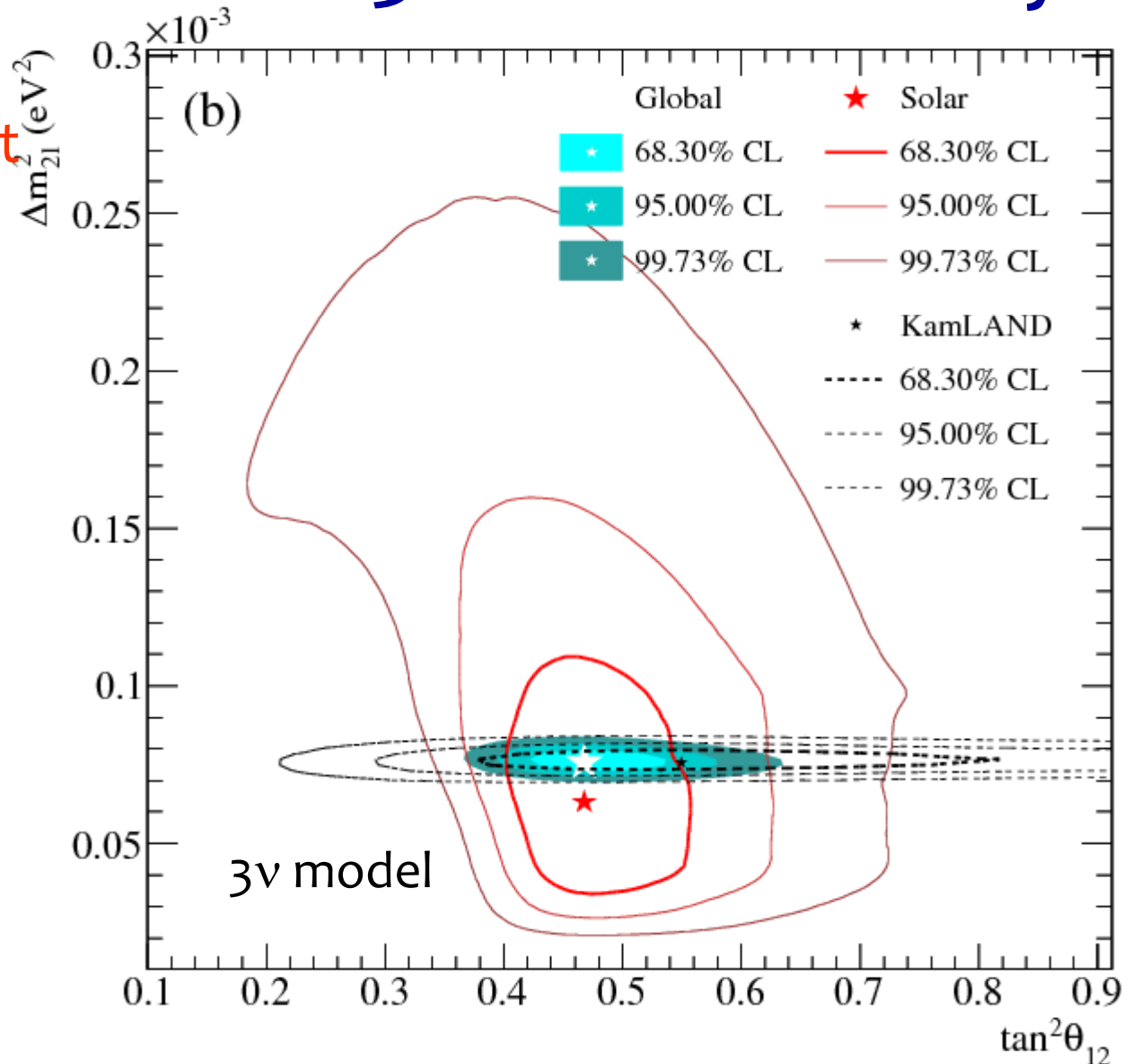
Best-fit LMA point:

$$\tan^2\theta_{12} = 0.468$$

$$(+0.042 -0.033)$$

$$\Delta m^2 = 7.59 \times 10^{-5} \text{ eV}^2$$

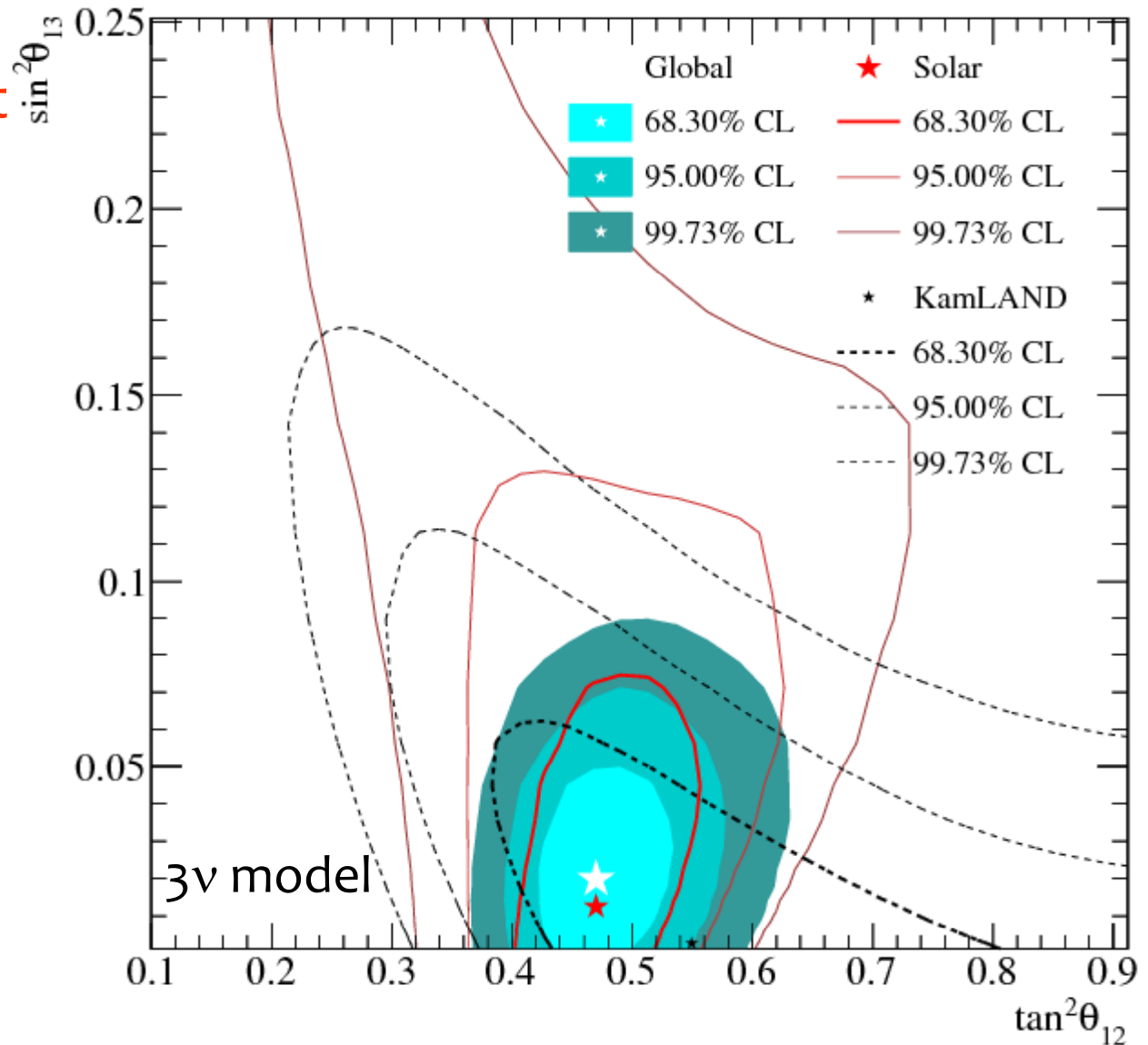
$$(+0.21 -0.21)$$



# Solar + KamLAND 3-flavor Overlay

LETA paper 2009:  
LETA joint-phase fit  
+ Phase III  
+ all solar expts  
+ KamLAND

3-flavor analysis:



# Solar + KamLAND 3-flavor Overlay

LETA paper 2009:  
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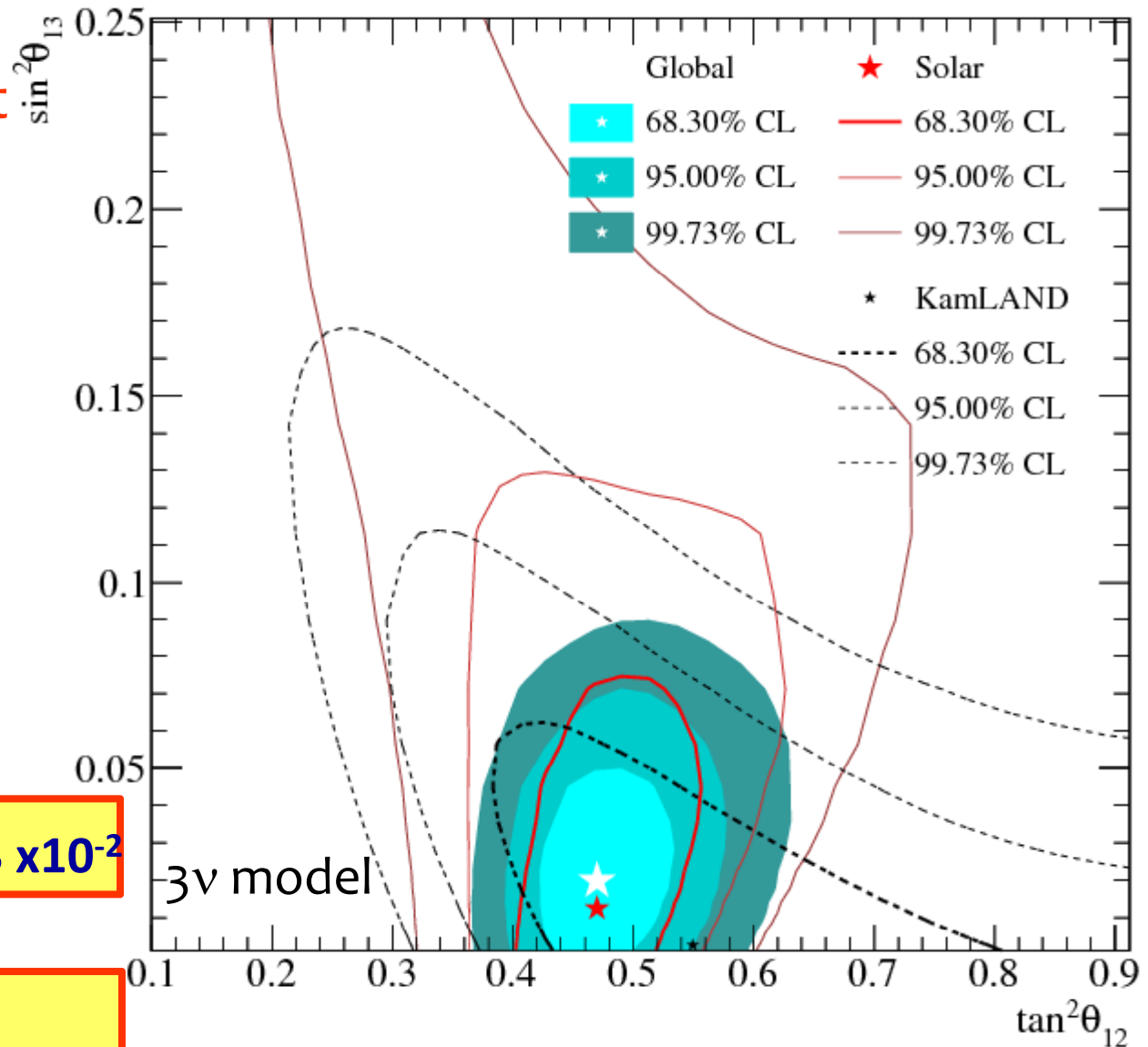
3-flavor analysis:

Best-fit:

$$\sin^2\theta_{13} = 2.00 + 2.09 - 1.63 \times 10^{-2}$$

⇒

$$\sin^2\theta_{13} < 0.057 \text{ (95\% C.L.)}$$



# Summary

1. Model-independent measure of the  $^8\text{B}$  flux:

$$\Phi_{\text{NC}} = 5.140 +4.0 -3.8 \%$$

2. Measure of the  $^8\text{B}$  flux assuming unitarity:

$$\Phi_{8\text{B}} = 5.046 +3.8 -3.9 \%$$

3. Best fit global MSW parameters (2-flavor):

$$\tan^2 \theta_{12} = 0.457 \quad (+0.040 -0.029)$$

$$\Delta m^2 = 7.59 \times 10^{-5} \text{ eV}^2 \quad (+0.20 -0.21)$$

$$\Phi_{8\text{B}} \text{ uncert} = +2.38 -2.95 \%$$

4. 3-flavor oscillation analysis:

$$\sin^2 \theta_{13} = 2.00 +2.09 -1.63 \times 10^{-2} \Rightarrow \sin^2 \theta_{13} < 0.057 \text{ (95\% C.L.)}$$

arXiv:0910.2984 [nucl-ex]